

1 **Interference at Influenza Hemagglutinin Antigenic-Sites Determines Antibody Levels and**  
2 **Specificities after Repeat Vaccination**

3 Christopher S. Anderson<sup>1</sup> and David J. Topham<sup>2</sup>

4 <sup>1</sup>Department of Pediatrics, University of Rochester Medical Center. <sup>2</sup>David Smith Center for  
5 Vaccine Biology and Immunology, Department of Microbiology and Immunology, University of  
6 Rochester School of Medicine and Dentistry, Rochester, NY.

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11 **Address for Correspondence:**

12 Christopher S Anderson, PhD

13 Department of Pediatrics

14 University of Rochester Medical Center

15 601 Elmwood Ave, Box 850

16 Rochester, NY 14642, USA.

17 E-mail: christopher\_anderson@urmc.rochester.edu

18

19 David J. Topham, PhD

20 Department of Microbiology and Immunology

21 University of Rochester School of Medicine and Dentistry

22 601 Elmwood Ave, Box 850

23 Rochester, NY 14642, USA.

24 E-mail: david\_topham@urmc.rochester.edu

25

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32 **Abstract**

33 **Background**

34 It is recommended that children receive a dose of the influenza vaccine at 6 months of age and a  
35 second dose the following season. In some years, the second dose will be the same vaccine  
36 formulation, in others years it will be re-formulated to include HA proteins derived from  
37 antigenically drifted or shifted circulating influenza strains. In addition, natural exposure to  
38 influenza can create permanent changes to the memory B cell repertoire and specificities. The  
39 effect that the specificity of pre-existing humoral immunity has on antibody levels and  
40 specificity after repeat vaccination is an ongoing research area.

41 **Methods**

42 We used a computational framework (ssMod.v1) to simulate scenarios that occurred during the  
43 2009 influenza pandemic: children receiving a second dose who have previously exposed to the  
44 2009 influenza HA antigen, children previously exposed to an HA antigen antigenically similar  
45 to the 2009 influenza HA antigen, and children previously exposed to an antigenically dissimilar  
46 strain. To assess the contribution of pre-existing immunity, two experimental permutations in the  
47 ssMod.v1 were made: elimination of antibody-mediated antibody clearance of vaccine (HA)  
48 antigen by pre-existing antibodies and elimination of the ability of memory B cells to form  
49 germinal centers. In the simulation, 30 days after repeat vaccination antibody specificities were  
50 examined against 12 antigenically historical vaccine/HA variant influenza strains for each of the  
51 five canonical antigenic-sites and the subdominant, conserved, stalk antigenic-site.

52 **Results**

53 We found that elimination of antibody-mediated antigen clearance significantly increased  
54 antibody levels while elimination of the ability of memory B cells to form germinal center

55 reactions significantly decreased the total antibody levels, but this was dependent on the  
56 antigenic relationship between the original vaccine and repeat vaccine and the particular  
57 antigenic-site. Moreover, highly-cross-reactive antibody was highest when the antigenic distance  
58 between original vaccine HA antigen and repeat vaccine HA antigen was larger and antibody-  
59 mediated antigen clearance was eliminated.

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## 63      **Introduction**

64            Each year in the United States, influenza virus infection leads to hospitalization in about  
65            1 in every 1000 children, typically in those preschool and younger[1,2]. Influenza-specific  
66            antibodies are essential for protection against influenza infection[3]. In the United States, the  
67            Influenza virus vaccine is recommended for all children starting at six months of age in order to  
68            induce antibodies against the influenza surface protein, hemagglutinin (HA), which is highly  
69            correlated with protection against severe influenza disease[4]. Antigenic drift and antigenic shift  
70            of the HA protein in circulating viruses reduces the immunity afforded by the vaccine. Vaccine  
71            induced antibody has been shown to decrease over time and children who get influenza infection  
72            have lower antibodies specific to the circulating strain HA [5]. Therefore, the influenza vaccine  
73            is recommended each year after the original immunization. Some years the influenza vaccine  
74            will contain identical HA proteins to the previous year's vaccine and some years it will have a  
75            new formulation with HA proteins derived from newly circulating antigenically drifted or shifted  
76            influenza strains.

77            Repeat vaccination studies have shown that prior influenza vaccination can reduce the  
78            effectiveness of the vaccine[6-10]. Multiple studies have demonstrated that this reduced vaccine  
79            effectiveness depends on the antigenic relationship between the original immunizing influenza  
80            vaccine HA protein and the revaccination HA protein results in differences in antibody  
81            specificities, leading to variation in vulnerability to circulating influenza viruses[11-16].  
82            Individuals tend to have the highest level of circulating antibodies and memory B cells specific  
83            to influenza virus strains that circulated when they were young compared to more recent strains  
84            [9,17]. Upon repeat vaccination, it has been shown that the antibody and memory B cell

85 specificity differs between individuals with different antibody specificities prior to repeat  
86 vaccination [5,9,10].

87 In 2009, a world-wide pandemic occurred due to a antigenic shift in the influenza virus in  
88 swine and subsequent transmission to humans[18]. Within a year a new influenza vaccine was  
89 formulated with proteins derived from the shifted strain and administered to the public, including  
90 young children. Research into antibody induced by the 2009 pandemic virus vaccine showed  
91 induction of highly-cross-reactive antibodies able to bind a broad range of antigenically distinct  
92 seasonal viruses and highly divergent avian influenza strains. Interestingly, the boost in cross-  
93 reactive antibody was only seen in younger individuals [19,20]. Furthermore, the increase in  
94 cross-reactivity in young individuals was found to be associated with the antigenic-sites to which  
95 antibodies were induced[20,21], with young individuals inducing antibodies towards conserved  
96 regions on the HA protein, including the stalk region, while older individuals had greater  
97 antibody specific for the 2009 H1N1 HA protein [20,22].

98 Many immunological agents have been implicated as the root of the dissimilarities in  
99 antibody specificities seen after repeat vaccination including regulatory T cells, memory B cells,  
100 and antibody. Immune processes have also been implicated such as the masking of novel  
101 epitopes by antibody, Fc $\gamma$  receptor-mediated inhibition of B cell activation, and antibody-  
102 mediated clearance of antigen[5,6,8,12,23,24]. Early computational models suggested that both  
103 memory B cells and antibodies affect the antibody specificity of the humoral responses after  
104 repeat vaccination in a manner dependent on the antigenic distance between the influenza HA  
105 proteins included in the original vaccine and repeat vaccine[12]. Detailed computational models  
106 of the antibody-antigen interaction demonstrated that pre-existing antibodies play a crucial role  
107 in the specificities of antibody induced after repeat vaccination[25]. Pre-existing memory B cells

108 have also been implicated in focusing the antibody response to conserved regions of the HA  
109 protein, in a manner dependent on the specificity of the pre-existing memory B cells[13,14]. We  
110 hypothesize that the specificity of pre-existing antibodies and memory B cells is a major  
111 determinant of the magnitude and specificity of the response to subsequent vaccination.

112 Here we test the effect of pre-existing memory B cells and antibodies, and their  
113 specificities on antibody responses to the 2009 H1N1 pandemic vaccine. Using the ssMod.v1  
114 computational framework, which simulates humoral immune responses to historical/vaccine  
115 strain influenza HA protein antigens[26], we investigated the role pre-existing memory B cells  
116 and antibodies play in the specificity of the antibody response induced in children receiving their  
117 second dose of the influenza vaccine.

118

## 119 **Results**

120 Three scenarios were simulated using the ssMod.v1 computational framework: (Scenario  
121 1) children originally vaccinated with the 2009 H1N1 pandemic virus vaccine, (Scenario 2)  
122 children originally vaccinated with a formulation containing HA protein antigens antigenically  
123 similar, but not identical, to the 2009-pandemic virus HA protein in the vaccine, (Scenario 3)  
124 children originally exposed to a HA protein highly antigenically dissimilar to the 2009 H1N1  
125 vaccine HA protein antigen. One “year” after the original vaccination a second vaccine dose,  
126 which was re-formulated to include the HA protein derived from the 2009 H1N1 pandemic virus,  
127 was administered (Figure 1).

128 Both pre-existing memory B cells and antibody have been shown to interfere with  
129 secondary immune responses. Therefore, for each scenario modeled, two perturbations were  
130 made in the simulations: the ability of antibodies to clear vaccine HA protein antigen was

131 eliminated, resulting in antigen only diminishing from natural decay, and elimination of the  
132 ability of memory B cells to become activated and form germinal centers, resulting in only naive  
133 B cells contributing to germinal centers and thus producing antibody secreting cells.

134 The computational framework was sensitive to both perturbations. Total antibody levels 30  
135 days post repeat vaccination were similarly affected by perturbations. In all scenarios, removal of  
136 antigen clearance by antibody significantly increased the total antibody levels compared to the  
137 unperturbed, normal, simulations. Removal of memory B cells from germinal center reactions  
138 significantly decreased the total antibody levels compared to unperturbed simulations for all  
139 three scenarios (Figure 2). Taken together, pre-existing antibody negatively interfered with total  
140 antibody responses while pre-existing memory B cells positively interfered with total antibody  
141 levels after repeat vaccination regardless of the antigenicity of the original exposure HA protein  
142 antigen.

143 Elimination of antibody clearance affected cross-reactivity of antibodies induced 30 days  
144 after repeat vaccination. Cross-reactivity was determined against a wide-range of antigenically  
145 distinct, prototypical, HA antigens representing historical and seasonal-vaccine influenza strains.  
146 Removing antibody-mediated antigen clearance increased antibody cross-reactivity after repeat  
147 vaccination to all HA antigens, for both Scenario 1 and Scenario 3. When the repeat vaccine was  
148 antigenically similar, but not identical, to the first vaccine HA protein antigen removal of  
149 antibody-mediated antigen clearance only affected antibody binding for strains antigenically  
150 similar (Figure 3).

151 Removal of the memory B cell's ability to form germinal centers reduced antibody levels  
152 to all HA protein antigens (Figure 3), but only when a heterologous HA protein antigens were  
153 used (Scenario 2&3). Alternatively, when homologous antigens were used (Scenario 1), removal

154 of memory B cell's ability to form germinal centers had no significant effect on the cross-  
155 reactivity. Taken together, antibody-mediated clearance of antigen significantly altered cross-  
156 reactivity for all scenarios, while memory B cells only contributed to cross-reactivity of the  
157 antibody response when the repeat HA protein was antigenically distinct from the original HA  
158 protein antigen.

159 The number of highly-cross-reactive antibodies, those able to bind 10-12 HA protein  
160 antigens, was determined. Elimination of antibody-mediated antigen clearance for both Scenarios  
161 1 & 3 significantly increased antibody levels, but not for the Scenario 2, which was not  
162 significantly affected. Eliminating memory B cells from germinal center reactions resulted in a  
163 significantly decreased the number of highly-cross-reactive antibodies induced in both  
164 heterologous HA protein antigen re-exposure scenarios (Scenario 2 & 3), but not for the  
165 homologous HA protein antigen re-exposure scenario (CA09-HA->CA09-HA). Taken together,  
166 the effect of pre-existing antibodies and memory B cells had on a number of antigenically  
167 distinct antigens each antibody was capable of binding after secondary exposure to HA antigen  
168 was largely dependent on the original exposure HA protein antigen.

169 The number of antibodies specific to each of the six HA antigenic-sites was determined.  
170 Repeat vaccination with homologous HA protein antigen (Scenario 1) was significantly affected  
171 by antibody-mediated antigen clearance, with all antigenic-sites showing a significant increase in  
172 antibodies level, including the subdominant Stk antigenic-site compared to unperturbed (Figure  
173 5). For Scenario 2, elimination of antibody-mediated antigen clearance significantly increased  
174 antibodies levels to the three most conserved HA head antigenic-sites, but not to head antigenic-  
175 sites with larger antigenic distances or the subdominant, fully-conserved, Stk antigenic-site.  
176 Elimination of antibody clearance for the Scenario 3 simulations significantly increased antibody

177 levels to antigenic-sites with very large (>7) antigenic distances and to the Stk antigenic-site, but  
178 not to the most conserved head antigenic-site, Ca1. Taken together, elimination of antibody-  
179 mediated antigen clearance increased antibodies to all antigenic-sites when the antigenic-distance  
180 between the original HA antigen and the repeat HA antigen was short, but when the antigenic-  
181 distance was increased, the effect of pre-existing antibodies depended on both the conservation  
182 and immunodominance of the antigenic-site.

183 Elimination of memory B cell's ability to form germinal centers had no significant effect  
184 on antibody levels to head antigenic-sites 30 days after repeat exposure to HA protein antigen  
185 regardless of the antigenic distance between the HA protein antigens (Figure 5). Alternatively,  
186 removal of memory B cells from the germinal center reactions significantly decreased antibody  
187 to the Stk antigenic-site to both scenarios with re-exposure to heterologous HA antigen. Taken  
188 together, memory B cells played a significant role during repeat vaccination, but only during  
189 heterologous HA antigen re-exposure and only in the conserved, subdominant, antigenic-site.

190

## 191 **Methods**

192 **Determination of antigenic distance between HA antigens.** As previously  
193 described[27], HA amino acid sequence data was obtained from the Influenza Resource  
194 Database (fludb.org) [28]. In short, a viral-sequence-based antigenic distance calculation is used  
195 to determine the antigenic distance between canonical antigenic-sites of the HA antigen. Virtual  
196 HA antigens in the framework were then defined in a manner that captured the antigenic  
197 relationship between antigens. In addition to the five canonical antigenic sites (Sa, Sb, Ca1,  
198 Ca2, Cb), a single, fully-conserved, stalk antigenic site (Stk) was also represented in the  
199 framework. Twelve HA antigens were represented in the model using HA genome sequences

200 from the Influenza Resource Database (fludb.org) [28]: A/California/07/2009 (CA09)  
201 [NC\_026433], A/Brisbane/59/2007 (BR07) [KP458398], A/South Carolina/01/1918 (SC18)  
202 [AF117241], A/Beijing/262/1995 (BE95) [AAP34323], A/Brazil/11/1978 (BR78) [A4GBX7],  
203 A/Chile/1/1983 (CH83) [A4GCH5], A/New Caledonia/20/99 (NC99) [AY289929],  
204 A/Singapore/6/1986 (SI86) [ABO38395], A/Solomon Islands/3/2006 (SI06) [ABU99109],  
205 A/USSR/90/1977 (US77) [P03453], A/New Jersey/11/1976 (NJ76) [ACU80014], A/Puerto  
206 Rico/8/1934 (PR34) [HQ008261].

207 **Computational Framework.** Antigenic distances were used to define antigens in the  
208 open-source computational framework, ssMod.v1[26]. ssMod.v1 is an agent-based modeling  
209 system which explicitly models the antigenic-sites of virus proteins and the immunoglobulin-  
210 binding-domains of B cells and antibodies in an immunological shape space [12,29,30]. The  
211 framework captures the distributions of the paragenic properties of the B cell receptor binding  
212 region using a Lazy Evaluation approach[31].

213 **Simulating Repeat Vaccination Scenarios.** Three scenarios were simulated using the  
214 ssMod.v1 computational framework. In Scenario 1, ssMod.v1 framework was set to simulate  
215 vaccination with HA protein antigen derived from the 2009 pandemic strain (CA09-HA) and  
216 repeat HA protein antigen exposure to the same (homologous) antigen one year later. In Scenario  
217 2, ssMod.v1 was set to simulate vaccination with HA protein from the 1918 pandemic strain HA  
218 protein antigen (SC18-HA) and repeat HA protein antigen exposure with the moderately  
219 antigenically similar 2009 pandemic HA protein antigen. In Scenario 3, ssMod.v1 was set to  
220 simulate vaccination with the 2007 vaccine strain HA antigen (BR07-HA) and repeat exposure  
221 with the highly antigenically distinct 2009 pandemic HA protein antigen. Antibody numbers and  
222 specify was tracked throughout the simulation.

223

224 **Discussion**

225 In 1977, the seminal work by Perelson et al. described how the binding domain  
226 (paratope) of an immunoglobulin receptor can be thought to exist in a multi-dimensional space  
227 where the position of the paratope in that space is defined by the biochemical properties (e.g.  
228 hydrogen bonding, Van Der-waals, hydrophobicity) that determine the ‘shape’ of the paratope.  
229 Paratopes with similar shapes are closer together in the ‘shape space’ while dissimilar ‘shapes’  
230 are further apart in the shape space. Epitopes, regions of a protein bound by a paratope, also exist  
231 in ‘shape space’ such that an epitope with a high affinity for the paratope can be thought to reside  
232 close to that paratope in the ‘shape space’. Perelson et al. also described the ‘ball of stimulation’  
233 of a paratope since each paratope can bind epitopes over a range of affinities and therefore can  
234 bind a slightly antigenically-drifted epitopes. Here we used a computational framework  
235 (ssMod.v1) based on the principals of shape space.

236 In 1999, Smith et al. derived the parameters for the immunological shape space in order  
237 to understand how repeat vaccination can reduce vaccine efficacy when comparing to first-time  
238 vaccine receivers, and why this only occurred during some influenza epidemics. Smith et al.  
239 results suggested that the creation of antibody and memory B cells during initial exposure  
240 resulted in increased numbers of paratopes (antibodies and memory B cells) in certain areas of  
241 the shape space resulting in negative interference, where antibodies and memory B cells interfere  
242 with boosting of antibody responses, resulting in a decrease in the expansion of new paratopes  
243 during repeat vaccination, potentially leading to increased susceptibility to a drifted influenza  
244 virus. The increase in paratopes also results in positive interference where pre-existing cross-  
245 reactive antibody and pre-existing memory B cells produced in the response to the original

246 vaccine HA antigen can boost the antibody levels to slightly-drift HA protein antigens. Known  
247 as the Antigenic Distance Hypothesis, Smith et al. showed that reduced efficacy during repeat  
248 vaccination was dependent on where in the shape space the epidemic strain expands paratopes,  
249 with outcomes being worse if the distance between the two vaccine HA antigens was close in  
250 shape space, but the epidemic strain is far, but not when the two vaccine HA antigens were very  
251 different. Our results support and expand these results demonstrating that positive and negative  
252 interference occurs during repeat exposure, but can be different for different antigenic-sites and  
253 results in differences in cross-reactivity. For instance, we showed that if positive interference  
254 occurred at a conserved antigenic site an increase in cross-reactive antibodies occurs.

255 Real-world studies have supported the Antigenic Distance Hypothesis and our current  
256 findings. Originally studies describing ‘Original Antigenic Sin’ demonstrated using a set of  
257 antigenically drifted influenza strains that the highest antibody levels in an individual were  
258 against strains that circulated in the first few years of a person’s life. This suggests that the  
259 ability of an individual’s shape space to expand antibody paratopes is greater during initial  
260 exposure to the virus than subsequent exposures. Fonville et al. used an approached known as  
261 antigenic cartography to defined a composite shape space where each HA antigen, but not  
262 epitope, are represented in a space. Using this approach, Fonville et al. overlayed an individual’s  
263 antibody binding data for each HA antigen represented in the shape space and demonstrated  
264 signatures of original antigenic sin prior to infection, with negative interference occurring when  
265 the virus strain was well matched to existing antibody, consistent with our results.

266 Pre-existing memory B cells have also been shown to demonstrate similar signatures of  
267 original antigenic sin[9] and multiple studies have suggested that when the antigenic distance  
268 between original and repeat HA antigens is larger, memory B cells complementary to the regions

269 conserved between the HA antigens preferentially expand resulting in expansion of paratopes  
270 (antibodies) complementary to these conserved regions, sometimes referred to as ‘antibody  
271 focusing’[13,14]. Here we showed that pre-existing antibodies and memory B cells both can  
272 affect antibody levels after vaccination. The effect occurred in a manner dependent on the  
273 conservation of HA antigenic-site (epitopes) and the individual’s expansion of certain memory B  
274 cells and antibodies (paratopes) specific to certain HA antigenic-sites (epitopes) in shape space  
275 during original HA protein antigen exposure. The presence of pre-existing, cross-reactive,  
276 antibody generally reduced the antibody response to HA antigenic-sites, but not always, and  
277 depended on both immunodominance of the antigenic-site and its antigenic distance. Pre-existing  
278 antibody during homologous vaccination had a greater impact than antibody during heterologous  
279 vaccination with drifted strains, consistent with Smith et al. They also support studies showing  
280 increased antibody levels to subdominant epitopes when the antigenic distance between the  
281 original vaccine and repeat vaccine HA antigens is large. Moreover, in our simulations, the  
282 elimination of antibody-mediated antigen clearance significantly increased total antibody levels.  
283 These findings are consistent with recent studies by Zarnitsyna et al. which suggest that masking  
284 of epitopes by pre-existing antibodies, decreases B cell activation, resulting in a decrease in  
285 cross-reactivity[25]. Furthermore, our results suggest that the “back-boosting” seen when the  
286 vaccine is updated[32] may be due to germinal center formation by memory B cells that are  
287 specific to antigenic-sites more conserved between the antigens.

288 Taken together, our results suggest that children receiving their second dose of the  
289 influenza will mount different antibody responses to the vaccine depending on if the vaccine  
290 formulation was updated to include antigenically drifted or shifted HA antigens.

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401

402

403

404 **Figure 1. Schematic of Repeat Vaccine Scenarios and Perturbations.** Three types of  
405 computational simulations were performed using the ssMod.v1 framework, Scenario 1-3. In each  
406 scenario a different HA antigen protein was given and one year later the 2009 pandemic HA  
407 antigen was given. For each scenario, two perturbations to the ssMod.v1 framework were made  
408 either removing the ability of antibody to clear antibody (No Ab Clearance) or removing the  
409 ability of memory B cells to become stimulated and form germinal centers (No Memory B cells).

410

411 **Figure 2. Total Antibody Levels Post Repeat Vaccination.** Total antibody levels 30 days after  
412 repeat exposure to CA09-HA for simulations originally exposed to (A) CA09-HA, (B) SC18-  
413 HA, or (C) BR07-HA. The average of 50 simulations for each scenario for unperturbed (Normal)  
414 and perturbed (No Ab Clearance, No Memory B cells) conditions were calculated and error bars  
415 represent standard deviation. ‘\*’ represents p-value less than 0.05 for two-sample t-test between  
416 Normal and No Ab Clearance antibody levels. ‘#’ represents p-value less than 0.05 for two-  
417 sample t-test between Normal and Memory B cell levels.

418

419 **Figure 3. Strain Specific Antibody Levels After Repeat Vaccination.** Antibody levels 30 days  
420 after repeat vaccination to HA antigens representing 12 antigenically distinct historical/vaccine

421 influenza strains for each scenario. Antibody for normal, unperturbed, simulations are in blue  
422 open circle, No Ab Clearance are in red 'X', and No Memory B cell simulation antibody levels  
423 are marked by yellow full circles for each of the 50 simulations performed for each scenario and  
424 condition. '\*' represents p-value less than 0.05 for ANOVA between Normal and No Ab  
425 Clearance antibody levels. '#' represents p-value less than 0.05 for ANOVA between Normal  
426 and Memory B cell levels.

427

428 **Figure 4. Cross-reactivity of Individual Antibodies.** The number of HA protein antigens in  
429 which an antibody had affinity for most HA antigens(10- 12 HA protein antigens) was  
430 determined for each antibody present at day 30 post repeat vaccination. Barplots represents the  
431 average of 50 simulations performed for each scenario and condition. Error bar represents  
432 standard deviation. '\*' represents p-value less than 0.05 for two-sample t-test between Normal  
433 and No Ab Clearance antibody levels. '#' represents p-value less than 0.05 for two-sample t-test  
434 between Normal and Memory B cell levels.

435

436 **Figure 5. Antigenic-Site Specific Antibody Levels.** The number of antibodies able to bind the  
437 five canonical head antigenic-sites and a conserved (Stk) site was determined at day 30 post  
438 repeat vaccination. Barplots represents the average of 50 simulations performed for each  
439 scenario and condition. Error bar represents standard deviation. '\*' represents p-value less than  
440 0.05 for ANOVA between Normal and No Ab Clearance antibody levels. '#' represents p-value  
441 less than 0.05 for ANOVA between Normal and Memory B cell levels. The antigenic-distances

442 (0-20 A.D.) between original HA protein antigen and repeat HA protein antigen for each  
443 antigenic-site (A.S.) is shown under each barplot.

444

	Immunization: Time (Days):	Original	Repeat	30d post repeat vac.
		Vac. d0	Vac. d365	Vac. d395
<b><u>Condition</u></b>				
<b>Scenario 1:</b> Normal	CA09-HA	CA09-HA	End	
	CA09-HA	CA09-HA	End	
	CA09-HA	CA09-HA	End	
<b>Scenario 2:</b> Normal	SC18-HA	CA09-HA	End	
	SC18-HA	CA09-HA	End	
	SC18-HA	CA09-HA	End	
<b>Scenario 3:</b> Normal	BR07-HA	CA09-HA	End	
	BR07-HA	CA09-HA	End	
	BR07-HA	CA09-HA	End	

Figure 1

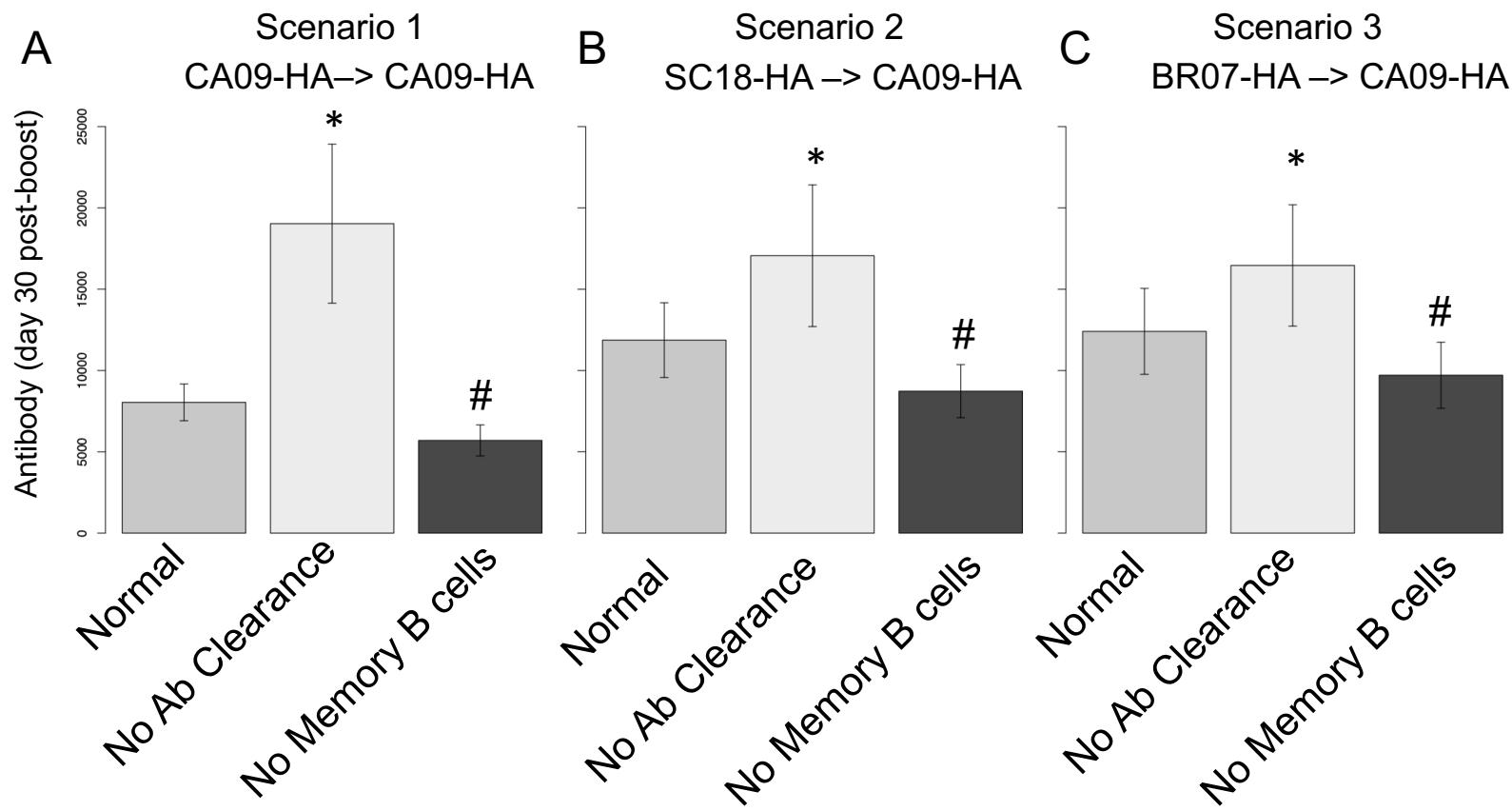


Figure 2

Normal  No Ab Clearance  No Memory B cells 

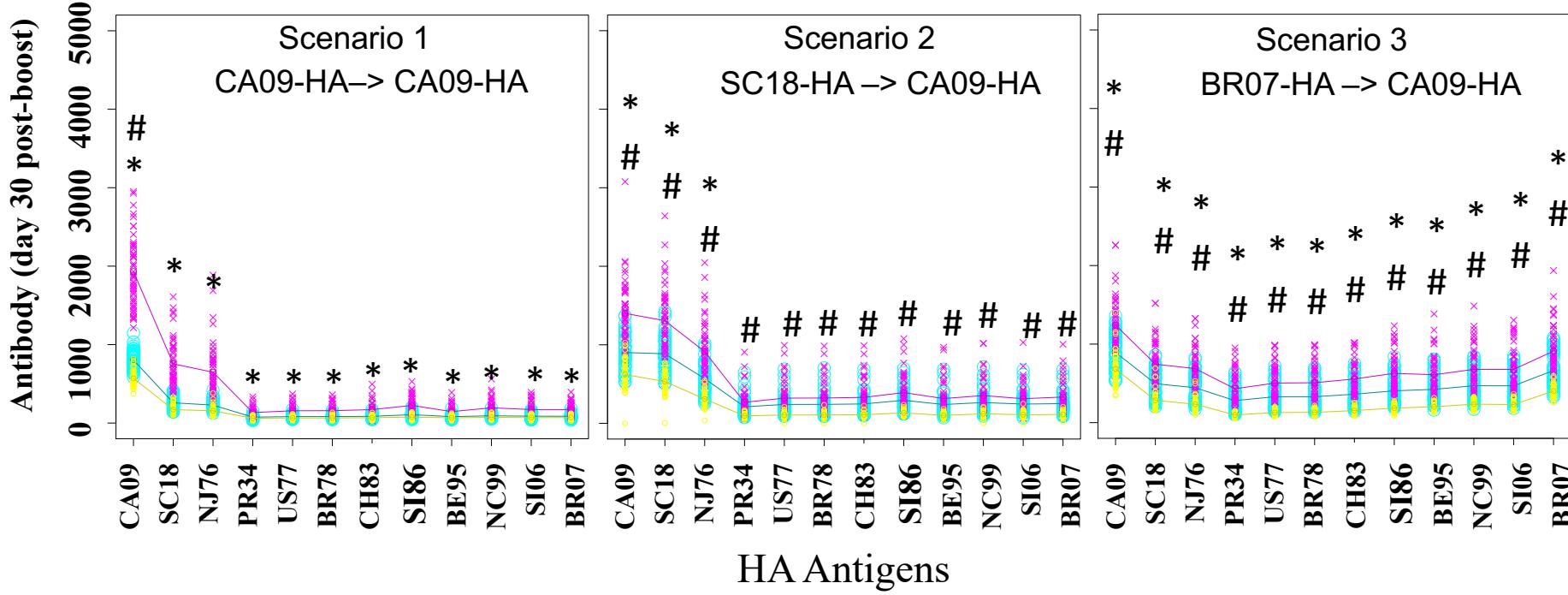


Figure 3

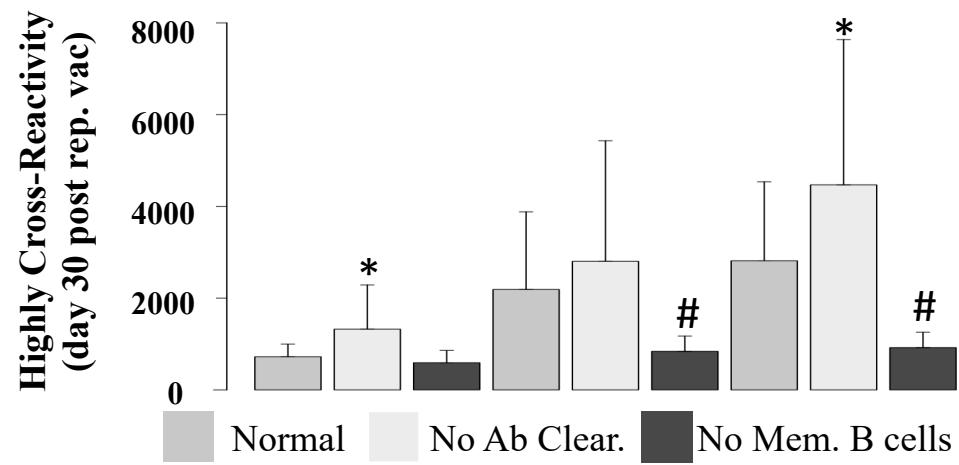


Figure 4

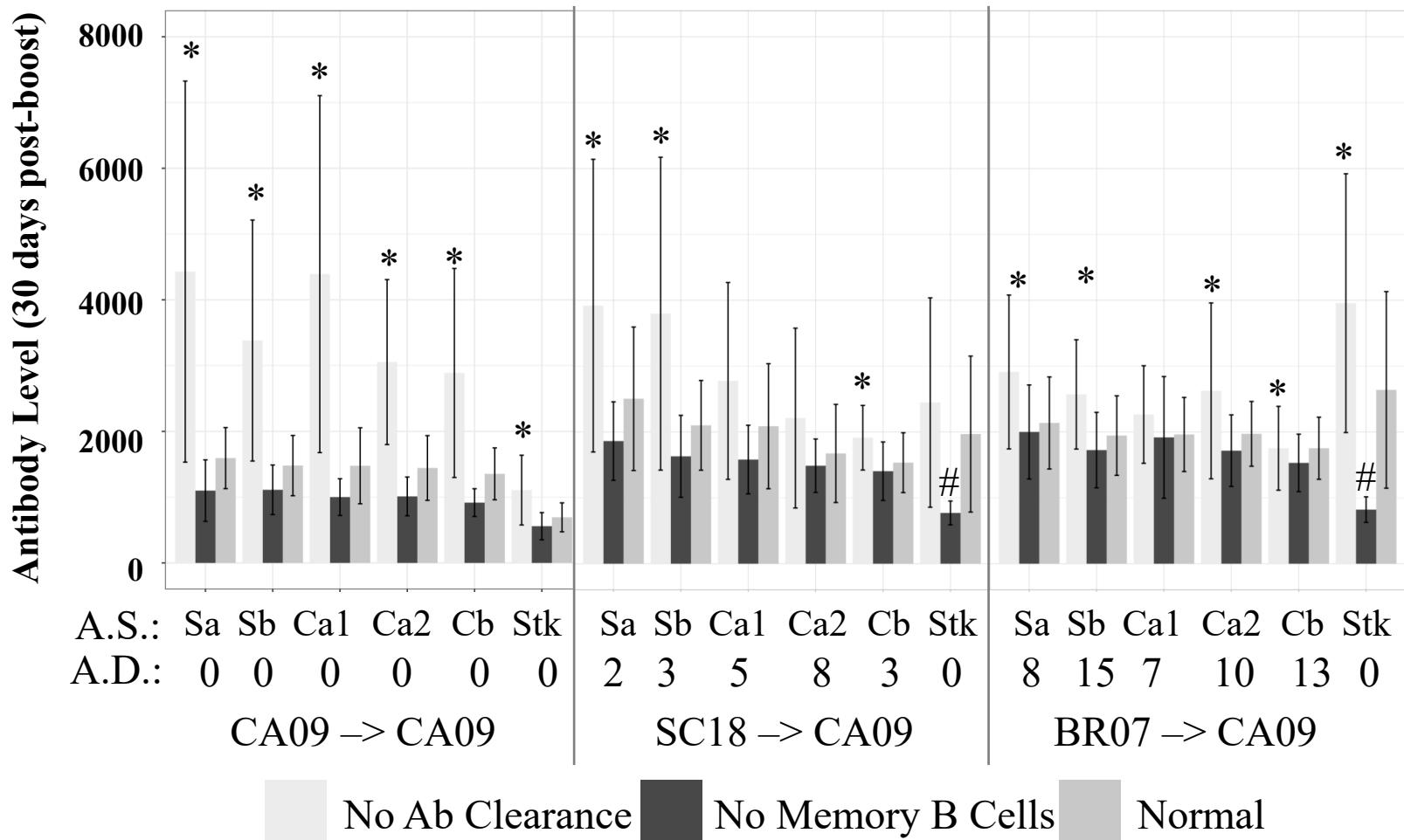


Figure 5