

1 **Title:** Cdk5 drives formation of heterogeneous pancreatic neuroendocrine tumors.

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45

46 **Abstract (250 words max)**

47       Pancreatic neuroendocrine tumors (PanNETs) are a heterogeneous population of  
48 neoplasms that arise from hormone-secreting islet cells of the pancreas and have increased  
49 markedly in incidence over the past four decades. Non-functional PanNETs, which occur more  
50 frequently than hormone-secreting tumors, are often not diagnosed until later stages of tumor  
51 development and have poorer prognoses. Development of successful therapeutics for PanNETs  
52 has been slow, partially due to a lack of diverse animal models for pre-clinical testing. Here, we  
53 report development of an inducible, conditional mouse model of PanNETs by using a  
54 bitransgenic system for regulated expression of the aberrant activator of Cdk5, p25, specifically  
55 in  $\beta$ -islet cells. This model produces a heterogeneous population of PanNETs that includes a  
56 subgroup of well-differentiated, non-functional tumors. The utility of this model is enhanced by  
57 ability to form tumor-derived allografts. Production of these tumors demonstrates the causative  
58 potential of aberrantly active Cdk5 for generation of PanNETs. Further, we show that human  
59 PanNETs express Cdk5 pathway components, are dependent on Cdk5 for growth, and share  
60 genetic and transcriptional overlap with the INS-p25OE model. This new model of PanNETs  
61 will facilitate molecular delineation of Cdk5-dependent PanNETs and the development of new  
62 targeted therapeutics.

63 **(Text 5000 words max)**

64 **Introduction**

65       Pancreatic neuroendocrine tumors (PanNETs) are a diverse group of neoplasms that  
66 originate from islet cells of the pancreas<sup>1</sup>. These tumors have the potential to secrete a range of

67 bioactive hormones such as insulin, glucagon, and somatostatin. Tumors that secrete quantities  
68 of hormones that result in elevations in blood plasma levels are classified as functional<sup>2</sup>.  
69 Functional tumors produce hormonal syndromes commensurate with the hormone produced in  
70 excess<sup>3</sup>. Functional tumors are typically lower in grade and have good prognoses, possibly due to  
71 early detection as a result of the syndromes experienced by patients<sup>4</sup>. However, the majority of  
72 PanNETs are non-functional, which on average have comparatively worse prognoses<sup>5</sup>.  
73 Historically rare, the incidence of PanNETs in the United States increased 8-fold from 1973 to  
74 2012<sup>4</sup>. Surgical resection provides excellent outcomes and long-term survival for patients with  
75 early stage primary tumors<sup>6-8</sup>. However, many PanNETs are metastatic at diagnosis and there are  
76 no curative therapies for advanced disease<sup>9,10</sup>.

77 Multiple molecular alterations have been implicated in the development of PanNETs.  
78 Mutations in the gene *MEN1* occur in approximately 40% of PanNET patients and changes in  
79 *DAXX/ATRX* are present in another 40%. Roughly 15% of patients possess changes that target  
80 the mTOR pathway, including mutations in *TSC2*, *PIK3CA*, or *PTEN*<sup>11-13</sup>. Unfortunately, thus  
81 far, no correlation has been observed between the presence of these mutations and patient  
82 response to specific pathway-targeted therapies in NET clinical trials<sup>14</sup>. Recently, cyclin-  
83 dependent kinase 5 (Cdk5) was implicated in the growth of several types of neuroendocrine  
84 tumors including PanNETs<sup>15-17</sup>. Interestingly, the presence of a set of downstream biomarkers of  
85 Cdk5 pathway activation was predictive of tumor growth inhibition in preclinical testing of a  
86 Cdk5-targeted therapy<sup>17</sup>.

87 Cdk5 is a non-canonical member of the Cdk family of proline-directed serine/threonine  
88 kinases<sup>18</sup>. Traditional family members, such as Cdk1, 2, 4, and 6, are important cell cycle  
89 regulators that are activated by cyclins and required for cell division<sup>19</sup>. Unlike these family

90 members, Cdk5 is not activated by cyclins and is not required for normal cell division. Instead,  
91 Cdk5 is regulated through binding to cofactors p35 or p39<sup>20,21</sup>. The resulting protein complex plays  
92 a prominent role in several physiological processes in neuronal cells, such as proper migration for  
93 normal CNS development and function<sup>22,23</sup>. Interestingly, aberrant activation of Cdk5 has been  
94 implicated in several neurodegenerative diseases<sup>21</sup>. The pathological role of Cdk5 is facilitated  
95 through calpain cleavage of p35 to p25, a highly stable fragment that exhibits mislocalization in  
96 cells but retains the ability to bind and activate Cdk5<sup>24,25</sup>. Cdk5 pathway components are also  
97 expressed in neuroendocrine cells of pancreatic islets where they contribute to normal hormone  
98 secretion and  $\beta$ -cell survival<sup>26-30</sup>. New studies show that under conditions of aberrant activation in  
99 non-neuronal cells, Cdk5 can hijack signaling components traditionally involved in the cell cycle  
100 and successfully promote proliferation and/or migration<sup>15,31-36</sup>. Here, we show that Cdk5 and its  
101 activators are retained in islet cells that develop into PanNETs in humans and that aberrant  
102 activation of Cdk5 is involved in human PanNET cell growth. Furthermore, we show the potential  
103 for Cdk5 to drive development of PanNETs by demonstrating that expression of the aberrant  
104 activator, p25, in islets of mice, initiates tumor formation. Importantly, these PanNETs exhibit a  
105 heterogeneous phenotype that includes both functional and non-functional, well-differentiated  
106 tumors.

107

## 108 **Results**

109 To better understand the relevance of the Cdk5 pathway to human PanNETs, we  
110 performed immunostaining on distinct groups of grade 1 human tumors for Cdk5 pathway  
111 components. This revealed the presence of Cdk5 and its activators, p35 and/or p25 (p35/p25)  
112 (**Fig. 1A**) in both functional and non-functional tumors. To gain further insight into the

113 prevalence of these signaling proteins in the PanNET patient population, we performed  
114 immunostaining on a PanNET tissue microarray (TMA) composed of 23 grade 1 tumors, 13  
115 grade 2 tumors, 1 grade 3 tumor, and 5 different normal tissue controls. (**Fig. 1B-C** and  
116 Supplementary Table S1). Semi-quantitation revealed clear expression of Cdk5 and p35/p25  
117 throughout these grades of tumors (**Fig. 1D-E**) and elevated expression relative to a normal  
118 placenta control sample.

119 To determine if Cdk5 and its activators play a functional role in PanNETs, we next  
120 examined a set of human PanNET cell lines including the well-established BON and QGP lines,  
121 and two newly derived lines NT-18P and NT18-LM<sup>37</sup>. All cell lines expressed Cdk5 and its  
122 aberrant activator, p25 (**Fig. 2A**). We previously found that growth of the pancreatic carcinoid  
123 cell line, BON, was blocked by 4 different selective Cdk5 inhibitors and not by Cdk2 and Cdk4  
124 specific inhibitors<sup>17</sup>. Here, we show that growth of all five PanNET cell lines tested is inhibited  
125 by the Cdk5-selective inhibitor, IndoA (**Fig. 2B**). These data indicate that Cdk5 dependence is a  
126 common feature shared by many PanNETs.

127 To determine if Cdk5 has the potential to behave as a causative factor in PanNET  
128 tumorigenesis, we generated a bitransgenic mouse line in which expression of the aberrant Cdk5  
129 activator, p25, can be induced in  $\beta$ -cells of the pancreas by addition of the small molecule  
130 doxycycline (dox) to drinking water. This was achieved by crossing the Ins2-rtTA mouse line<sup>38</sup>  
131 that expresses the reverse tetracycline transactivator under the control of the insulin promoter  
132 with the tetOp-p25GFP line<sup>39</sup> that expresses p25GFP under the control of the tetOp promoter  
133 (**Fig. 3A**) to produce bitransgenic offspring (INS-p25OE). As previously observed with some  
134 doxycycline (dox) inducible systems, a low level of transgene expression was observed in the  
135 absence of dox. However, administration of 1 g/L dox to INS-p25OE animals for 4-8 weeks

136 further induced expression of the p25-GFP transgene in pancreatic islets (**Fig. 3B-C**). Formation  
137 of solid lesions in the pancreas were observed as early as 6 months post-induction of p25GFP  
138 expression (**Fig. 3D** and Supplementary Fig. S1). As confirmation that transgene expression does  
139 not occur ubiquitously throughout tissues of these animals, we examined samples of pancreatic  
140 masses along with liver and kidney tissues for p25GFP expression after 12 months of dox  
141 administration and found no evidence of p25GFP expression in non-pancreatic tissues (**Fig. 3E**).

142 Histological analysis of these masses showed a “nesting” pattern in cellular architecture  
143 that is characteristic of PanNETs (**Fig. 3F**). Immunoblot and immunostain confirmed the  
144 presence of p25GFP and Cdk5 in the lesions (**Fig. 3E, G**). Further, immunostain demonstrated  
145 the presence of chromogranin A (ChA), confirming the neuroendocrine phenotype of the lesions.  
146 Insulin staining verified the masses were composed of  $\beta$ -cells. In addition, pathological review  
147 diagnosed the lesions as well-differentiated PanNETs. These data demonstrate that aberrant  
148 activation of the Cdk5 pathway has the potential to directly promote the formation of PanNETs.

149 To assess growth rate of the INS-p25OE PanNETs, MRI was performed on tumor-  
150 bearing mice over a 20-week period beginning when tumors were approximately 50 mm<sup>3</sup> (**Fig.**  
151 **3H**). PanNETs in this model exhibited a multiphasic growth pattern. Initial growth was linear  
152 with tumors from males and females increasing 3.2-fold and 4.7-fold in size, respectively, over a  
153 5-week timeline (**Fig. 3I-J**). This phase was followed by deceleration and an eventual plateau  
154 around 400 mm<sup>3</sup> (**Fig. 3I**). Removal of dox, to decrease expression of p25GFP after tumor onset,  
155 greatly reduced tumor growth rate (**Fig. 3J**).

156 The presence of a linear growth phase allows detection of changes in tumor growth, in  
157 response to experimental therapeutics, in smaller cohorts of animals. To further assess the utility  
158 of this model for pre-clinical testing, we examined tumors for the presence of somatostatin

159 receptor 2 (SSTR2), a cell-surface protein commonly overexpressed in human PanNETs and  
160 targeted by various FDA-approved treatments for PanNETs. All PanNETs tested exhibited clear  
161 SSTR2 expression (**Fig. 3K**).

162 Human PanNETs present clinically as a highly heterogeneous population of tumors<sup>1,3</sup>.  
163 Subgroups of tumors secrete a variety of islet derived hormones while others exhibit no  
164 detectable hormone production. To characterize the tumors generated in the new INS-p25OE  
165 model, we stained sections of fixed tumors for insulin, glucagon, and somatostatin; three  
166 hormones commonly expressed in functional human PanNETs. All PanNETs examined  
167 expressed insulin in the tumor mass and a few also exhibited expression of glucagon and  
168 somatostatin (**Fig. 4A**).

169 For a tumor to be definitively categorized as clinically functional, in addition to the  
170 presence of the hormone in tumor tissue, circulating blood hormones must be elevated to levels  
171 capable of inducing physiological effects. Therefore, plasma samples from animals harboring  
172 PanNETs and transgene (-) littermates, as controls, were analyzed for insulin, glucagon, and  
173 somatostatin. Tumor bearing animals were not found to possess statistically higher average  
174 levels of any islet hormone analyzed when assessed collectively (**Fig. 4B-C**).

175 For higher stringency for classification as non-functional, the data was analyzed again  
176 using two standard deviations above the mean of the control group as the cut-off for normal  
177 hormones levels. The average insulin levels in normal females and males was statistically  
178 different at 0.6 and 1.1 ng/mL, respectively (**Fig. 4B-C**) (p=0.03). Elevations in insulin were  
179 present in 57% (8 of 14, red symbols) of tumor-bearing females with 10.8-fold being the highest  
180 observed increase relative to control animals. Insulin levels were elevated in 41% (7 of 17, red  
181 symbols) of males with 8.9-fold being the highest elevation observed. Normal glucagon levels

182 for females and males were 125 and 110 pg/mL, respectively. Of tumor-bearing animals, only  
183 one male exhibited a 2.3-fold elevation of plasma glucagon, less than 1% of the total population  
184 and within the natural expected Gaussian distribution. Somatostatin levels in control females and  
185 males were 15 and 18 pg/mL, respectively. Both normal and tumor-bearing populations of males  
186 contained one animal with somatostatin levels elevated greater than two SD above the mean of  
187 the control population, again falling within the natural expected Gaussian curve.

188 Additionally, we tested the plasma of seven females and seven males lacking large tumor  
189 masses but found to possess abnormal islets by histopathological evaluation (data not shown).  
190 Insulin was elevated in the plasma of 1 of the 7 additional females. This female also exhibited  
191 elevation in somatostatin. One separate female possessed elevated plasma glucagon levels. In  
192 males, 2 of the 7 exhibited elevated plasma glucagon, one exhibited elevated plasma insulin, and  
193 one exhibited elevated somatostatin. Although immunostaining evaluation identified tumors that  
194 were positive for both insulin and glucagon, no animals were found to possess elevation of serum  
195 levels of both hormones. One animal, of 45 examined, exhibited elevations in both insulin and  
196 somatostatin. Collectively these data demonstrate that 48% of PanNETs generated in the INS-  
197 p25OE model are potential insulinomas and 52% do not produce elevations in the serum  
198 hormones analyzed and are likely non-functional.

199 Expression of insulin in all tumors and elevation of circulating insulin levels in 48% of  
200 PanNET animals suggested approximately half of the tumors were functional insulinomas.  
201 However no pre-mature death was observed in the animals as would be expected from severe  
202 hypoglycemia due to overexpression of insulin. To investigate more thoroughly, we tested blood  
203 glucose levels in several female and male animals following a 4-6 h fasting window.  
204 Surprisingly, only 7% of females (1 of 14) and 23% of males (4 of 17), showed depressed

205 circulating glucose levels under these conditions compared to transgene (-) littermate controls  
206 (Supplementary Fig. S2A-B). Because mild insulinemia might take longer to affect glucose  
207 levels, we then tested both 4 and 8 h fasting windows in a small set of tumor-bearing females and  
208 found that only 17% (1 of 6) exhibited hypoglycemia even after 8 h without food  
209 (Supplementary Fig. S2C). Collectively, these data point toward 52-83% of tumors generated  
210 from this model being non-functional.

211 Mutation of the *menin* gene is the most common genetic alteration found in human  
212 PanNETs, although the prognostic implications of this mutation are a point of contention. To  
213 begin to determine if menin and Cdk5 tumorigenic pathways overlap, we analyzed the presence  
214 of menin, Cdk5, p35, and downstream components of the menin pathway in PanNETs from the  
215 MEN<sup>+/−</sup> model (MEN) and the INS-p25OE model (**Fig. 5A**). As expected, levels of menin were  
216 reduced in MEN<sup>+/−</sup> tumors. Analysis of the downstream targets of menin, p18<sup>Ink4c</sup> and p27<sup>KIP1</sup>,  
217 also revealed decreased expression in MEN tumors compared to INS-p25OE tumors. This  
218 comparison suggests that aberrant activation of the Cdk5 pathway does not lead to inhibition of  
219 genes targeted by menin.

220 Levels of Cdk5 and p35 were also reduced in MEN<sup>+/−</sup> tumors, suggesting that PanNETs  
221 arising from loss of function mutations in *menin* are not driven by aberrant activation of Cdk5.  
222 To explore this observation further, we interrogated phosphorylation levels of three proteins  
223 previously identified as downstream targets of aberrant Cdk5 in thyroid neuroendocrine tumors:  
224 phospho-Ser18 histone H1.5, Ser988 RBL1, and Ser391 SUV3H1<sup>17</sup>. Interestingly, each of these  
225 markers was highly phosphorylated in INS-p25OE tumors. In contrast, these signals were almost  
226 completely absent in normal islets as well as MEN<sup>+/−</sup> tumors, further supporting that loss of  
227 menin does not lead to aberrant activation of Cdk5 as a part of its tumorigenic process (**Fig. 5B**).

228 Together, these data indicate that menin and Cdk5 pathways constitute separate and independent  
229 tumorigenic pathways.

230 While these studies show that tumors retain dependence upon Cdk5 activity for sustained  
231 growth, the variability in age of onset combined with 75% penetrance by 12 months of age  
232 (Supplementary Fig. S1) raises the possibility that additional alterations occur and facilitate  
233 tumor formation. To investigate this further, we performed whole exome sequencing on five  
234 INS-p25OE PanNETs; three functional and two non-functional tumors. Interestingly, high  
235 heterogeneity was observed in the genetic landscape of these tumors as is also found in  
236 human tumors (**Fig. 6**). Several classes of mutations were observed throughout multiple  
237 chromosomes including alterations in introns, exons, 3' UTRs, and 5' UTRs (**Fig. 6A**). Single  
238 nucleotide polymorphisms (SNPs) were the most common type of alteration detected (**Fig. 6B**).  
239 Examination of mutations from translated regions revealed very little overlap among samples  
240 (**Fig. 6C**). Although mutations in identical genes among INS-p25OE tumors were rare,  
241 alterations in genes encoding regulatory subunits of the PIK3 pathway were found in three of the  
242 five samples. Mutations in the catalytic subunit of PIK3 are known to be enriched in human  
243 PanNETs<sup>13</sup>. This finding prompted a full comparison with sequencing datasets from human  
244 PanNETs, which revealed that 48 genes with mutations in INS-p25OE tumors are also mutated  
245 in a published set of 98 human PanNETs<sup>40</sup> (**Fig. 6D** and Supplemental Table S2). Together, the  
246 analyses indicate that the INS-p25OE model shares appreciable genetic overlap with human  
247 PanNETs.

248 To further understand the molecular changes that lead to tumor development in the INS-  
249 p25OE model, we performed mRNA sequencing on six INS-p25OE PanNETs, three functional  
250 and three non-functional tumors, and compared levels of gene expression to that observed in

251 normal mouse islets (**Fig. 7A**). Interestingly, higher heterogeneity was observed in the non-  
252 functional group than in the functional group (**Fig. 7B**). Comparing the total tumor group to  
253 normal islets, we found that 796 genes were upregulated while 533 genes were downregulated  
254 (**Fig. 7C**). Of note, genes such as BRCA2, STAT4 and TOP2A were dysregulated, similar to  
255 previous observations from human PanNETs (**Fig. 7D**)<sup>41,42</sup>. Ingenuity Pathway Analysis revealed  
256 upregulation of four pathways that relate to cell cycle regulation, one pathway that involves  
257 DNA repair, one that is important for vascularization, and three that are linked to collagen and  
258 extracellular matrix regulation (**Fig. 7E**).

259 Although the INS-p25OE model generates genetically (**Fig. 6**) and phenotypically (**Fig.**  
260 **4**) heterogenous tumors as is observed in human patients, heterogenous models require large  
261 cohort sizes to identify responses in pre-clinical trials. In addition, the primary model requires 6-  
262 12 months to form tumors. Therefore, we established tumor-derived allografts from INS-p25OE  
263 primary PanNETs as second tool that could be utilized for quick screening in a large,  
264 homogenous cohort of animals. We implanted 2 mm x 2 mm sections of tissue from a primary  
265 tumor (P0) into five recipient BL/6 male mice. Allograft tissue established new tumors (P1) with  
266 100% penetrance and, on average, within 17 weeks, reducing the timeframe for development  
267 from 45 weeks in P0 mice to 17 weeks in P1 animals (**Fig. 8A-D**). Further, allografts can be  
268 serially passaged with 100% penetrance and establish 3<sup>rd</sup> generation tumors (P2), on average,  
269 within 8 weeks (**Fig. 8C-D**). Allografts retain expression of the p25-GFP transgene and tumors  
270 grow 4.3 fold in a 5 week period, very similar to growth rates of primary PanNETs (**Fig. 8B and**  
271 **E, Fig. 3J**). Allografts retain the well-differentiated neuroendocrine phenotype of the primary  
272 tumors, including tumor architecture and positivity for ChA and insulin staining. (**Fig. 8F**).

273 **Discussion**

274 Progress in the development of therapeutics that specifically target NETs has been  
275 hampered in part by an insufficient number of animal models in which to perform preclinical  
276 experimentation. While PanNETs co-occur with multiple other tumor types in diverse genetically  
277 engineered mouse models, only two main types of transgenic mouse models have been generated  
278 and utilized for pre-clinical PanNET studies prior to the development of the INS-p25OE model  
279 reported here<sup>43,44</sup>. The MEN<sup>+/−</sup> conventional knockout model develops PanNETs, as well as  
280 parathyroid and pituitary NETs, and has been utilized to explore new therapeutics such as anti-  
281 VEGF-A monoclonal antibody therapy and Pasireotide for efficacy toward PanNETs<sup>43,45,46</sup>. This  
282 model is expected to be especially relevant to the approximately 40% of PanNET patients that  
283 possess a mutation in the gene menin. Both pan-pancreas and islet-specific conditional  
284 homozygous knockouts of the menin gene also produce PanNETs<sup>43</sup>. Of note, all of the PanNETs  
285 from these menin knockout models are insulinomas or gastrinomas while approximately 85% of  
286 human PanNETs are classified as non-functional. Therefore, additional models would be highly  
287 beneficial.

288 A second conditional transgenic mouse model of PanNETs is the RIP1-Tag2 line<sup>47</sup>. This  
289 model was generated by cloning the large T-antigen of SV40, a known oncogenic driver,  
290 downstream of the rat insulin promoter for expression in β-islet cells. This model develops  
291 aggressive insulinomas, including both well- and poorly-differentiated subsets, and has been  
292 successfully utilized to explore new therapeutics such as sunitinib and mTOR inhibitors<sup>48-50</sup>.  
293 Interestingly, crossing the RIP1-Tag2 mouse model into the A/J background leads to formation  
294 of tumors that do not express insulin<sup>51</sup>. The A/J background has a known SNP, relative to the  
295 C57BL/6 background, in the *Insm1* gene. *Insm1*, which encodes a transcription factor that  
296 promotes neuroendocrine differentiation and is required for insulin expression in β cells, was

297 implicated in the loss of insulin expression observed in the model<sup>52</sup>. Development of this model  
298 will undoubtedly provide insight into non-functional tumor physiology. However, these tumors  
299 are more poorly differentiated than tumors from the parent C57BL/6 background and the  
300 population of human tumors to which it is relevant will need to be carefully investigated as rare,  
301 poorly-differentiated G3 neuroendocrine carcinomas, and relatively more abundant, well-  
302 differentiated G3 NETs, are molecularly distinct tumor types<sup>51,53</sup>.

303 Here, we present development of a novel, dox-inducible, conditional mouse model of  
304 PanNETs in which activation of the Cdk5 pathway in  $\beta$ -islet cells leads to slow growing islet  
305 tumors with heterogeneous hormone production profiles, including a large subset of non-  
306 functioning, well-differentiated tumors. The utility of this model is further extended by the  
307 ability to generate multiple allograft animals from each primary PanNET. As these second  
308 generation animals also possess a fully functional immune system, this method for generating  
309 large homogenous cohorts of immunocompetent PanNET models will be especially useful for  
310 exploration of immunotherapies, a modality whose implementation has lagged for  
311 neuroendocrine cancers.

312 Male and female cohorts were interrogated as separate groups when characterizing the  
313 INS-p25OE primary PanNET model so that differences linked to sex could be uncovered.  
314 Surprisingly, although females exhibited a higher propensity for elevation of plasma insulin  
315 levels compared to males, fewer females developed hypoglycemia in response to fasting. This  
316 may be due to the fact that total insulin levels were higher in “elevated” males than “elevated”  
317 females. We have found no clinical analysis of human populations that indicate that non-  
318 functioning PanNETs are more common in one sex versus the other, although NETs in general  
319 are slightly more common in females<sup>4</sup>.

320 The INS-p25OE model reported here is molecularly distinct from the MEN<sup>+/−</sup> model and  
321 likely represents a group of human PanNETs in which mutation of the gene menin is not the key  
322 driving factor. Although causative events that lead to Cdk5 pathway activation in humans are  
323 unclear, Cdk5 misregulation has been demonstrated in multiple types of human NETs<sup>17,18</sup>. In  
324 addition, genetic and transcriptional data point to multiple overlaps between human tumors and  
325 the INS-p25OE model. Significant overlap was also observed at the functional level, as ~85% of  
326 human tumors are non-functional and we observed a similar distribution of functional and non-  
327 functional tumors in the INS-p25OE model. This newly developed model will serve as a useful  
328 platform for molecular characterization of the population of human PanNETs in which aberrant  
329 activation of Cdk5 is present as well as the development and testing of new therapeutics that  
330 target those pathways. Moreover, because this model more faithfully reflects human PanNET  
331 biology, it will facilitate development of a variety of therapeutic strategies, not limited to  
332 targeting of Cdk5.

333 **Methods**

334 **Human tissue collection.** Samples were collected in accordance with institutional review board  
335 (IRB) regulations under Louisiana State University IRB 5774 and University of Alabama at  
336 Birmingham IRB 300002147.

337 **Histology.** Tissues were fixed in formalin, embedded in paraffin, and sliced into 5  $\mu\text{m}$  sections  
338 for placement on glass slides. Samples were deparaffinized and subjected to high temperature  
339 antigen retrieval in citrate buffer (pH 6.0). For immunostaining, samples were permeabilized in  
340 0.3% Triton X-100, incubated in 0.3% hydrogen peroxide, blocked with 3% normal goat serum,  
341 and then incubated overnight at 4°C in primary antibodies. Human and mouse tissue was  
342 immunostained for Cdk5 (PhosphoSolutions 308-Cdk5; 1:50) and p35/p25 (Santa Cruz sc-820;

343 1:50). Mouse tissue was immunostained for GFP (Cell Signaling Technology 2956; 1:200), ChA  
344 (Abcam ab15160; 1:500), insulin (Abcam ab63820; 1:2000), glucagon (Santa Cruz sc7779;  
345 1:200), somatostatin (Abcam ab108456; 1:450). Biotinylated secondary antibodies (Pierce  
346 31820 or 31800; 1:500) were applied to slides for 1 h at room temperature followed by 30 min of  
347 HRP streptavidin. Slides were then incubated with DAB Chromogen (Dako Liquid DAB+  
348 substrate K3468) and counter stained with hematoxylin. Standard procedures were used for H&E  
349 staining. The human PanNET TMA was prepared by the UAB Research Pathology Core. Slides  
350 were immunostained as stated above. Images were deconvoluted using Fiji ImageJ. The mean  
351 intensity of a fixed region of interest for each core in the resulting DAB channel was measured  
352 and then converted to optical density using the formula: OD = Log (Max intensity/mean  
353 intensity) for semi-quantitative analysis.

354 **Cell Culture.** All cells were cultured in a humidified incubator at 37°C under 5% CO<sub>2</sub>.  
355 Fibroblasts were grown in DMEM plus 10% FBS. BON and QGP cells were grown in RPMI  
356 plus 10% FBS, 100 µg/ml penicillin, and 100 µg/ml streptomycin. NT3 and NT18 cells were  
357 cultured in RPMI 1640 GlutMAX plus 10% FCS, 20 ng/ml EGF, 10 ng/ml FGF2, 100 µg/ml  
358 penicillin, and 100 µg/ml streptomycin.

359 **Cell growth assay.** Cells were seeded onto 96-well plates and allowed to adhere for 24 h. Cells  
360 were then treated twice (day 1 and day 3) with various concentrations of inhibitor, as shown, and  
361 viability measured after 5 days by MTT assay. IC<sub>50</sub> values were determined by 4-parameter  
362 logistic regression.

363 **INS-p25OE animal model.** All animal work was performed in accordance with the Animal  
364 Welfare Act and the Guide for the Care and Use of Laboratory Animals under UTSW and UAB  
365 Institutional Animal Care and Use Committee approved protocols. Bi-transgenic INS-p25OE

366 animals were generated from crossing of the tetOp-p25GFP strain (The Jackson Laboratory stock  
367 # 005706) with the Ins2-rtTA strain (Provided by Dr. Alvin C. Powers at Vanderbilt; available  
368 from The Jackson Laboratory stock # 008250). Breeders and pups were maintained in the  
369 absence of doxycycline to allow for normal development of offspring prior to transgene  
370 induction. Upon weaning, at 3-4 weeks of age, offspring were administered 1 mg/L doxycycline  
371 via drinking water to induce transgene expression in bi-transgenic animals. Bi-transgenic animals  
372 were co-housed with transgene negative littermates. Transgene negative littermates were used as  
373 normal controls. All mice were maintained in the C57BL/6 background. Animals were  
374 euthanized by CO<sub>2</sub> administration and cardiac perfusion.

375 **MRI.** MRI was performed with a Bruker Biospec 9.4 Tesla instrument using Paravision 5.1  
376 software (Bruker Biospin, Billerica, MA). A Bruker 72 mm ID volume coil was used for  
377 excitation and a custom 24 mm surface coil for signal reception (Doty Scientific Inc., Columbia,  
378 SC). Mice were anesthetized with isoflurane gas and respiration observed with a MRI-  
379 compatible physiological monitoring system (SA Instruments Inc., Stony Brook, NY). Animals  
380 were imaged in supine position on a Bruker animal bed system with circulating heated water to  
381 maintain body temperature. A 2D T2-weighted RARE sequence was used for imaging of the  
382 abdomen. The following imaging parameters were used: TR/TE = 2000/25 ms, echo spacing =  
383 12.5 ms, ETL = 4, 2 averages, 29 contiguous axial slices with 1 mm thickness, FOV = 30x30  
384 mm and matrix = 300x300 for an in-plane resolution of 100  $\mu$ m. Prospective respiratory gating  
385 was used to minimize motion artifacts. Tumors volumes were quantitated using ImageJ software.  
386 **Immunoblot.** Cells were lysed in 1% SDS plus 50 mM NaF. Samples were sonicated briefly,  
387 spun at 20,000 g for 5 min, and supernatant combined with Laemmli buffer for analysis by SDS-  
388 PAGE followed by transfer to PVDF for immunoblotting. Tumors were crushed while frozen

389 and then processed using the same protocol. Immunoblotting was performed using antibodies for  
390 Cdk5 (Rockland 200-301-163; 1:1000), p35 (Santa Cruz sc-820; 1:300), GFP (Cell Signaling  
391 Technology 2956; 1:2000), SSTR2 (Santa Cruz sc-365502; 1:500), Menin (Santa Cruz sc-  
392 374371; 1:250), p18Ink4c (Invitrogen 393400; 1:500), and p27Kip1 (Cell Signaling Technology  
393 2552; 1:1000), pS18H1.5 (Bibb Lab; 1:1000), H1.5 (Santa Cruz sc-247158; 1:1000),  
394 pS988RBL1 (Bibb Lab; 1:1000), RBL1 (Santa Cruz sc-318; 1:500), pS392-SUV39H1 (Bibb  
395 Lab; 1:300), SUV39H1 (Sigma S8316; 1:500), and actin (Invitrogen AM4302; 1:5000). Revert  
396 700 Total Protein Stain (LICOR 926-11011) was used per manufacturer's protocol.

397 **Whole Exome Sequencing.** The analysis of raw WES data was performed using MoCaSeq  
398 pipeline (source code: <https://github.com/roland-rad-lab/MoCaSeq>). The pipeline was set up  
399 using the docker container and Ubuntu Linux. Specifically, the raw reads were trimmed aligned  
400 to the mouse reference genome GRCm38.p6 using Trimmomatic 0.38 and BWA-MEM 0.7.17,  
401 respectively. For further post-processing, Picard 2.20.0 and GATK 4.1.0.0 were used. For the  
402 loss of heterozygosity (LOH) analyses from WES data, somatic SNP calling was performed  
403 using Mutect2. To avoid ambiguous SNP positions resulting from mis-mapping, only reads with  
404 a mapping quality of 60 were kept in LOH analyses. For CNV calling, CopywriteR 2.6.1.216  
405 was used, which extracts DNA copy number information from targeted sequencing by utilizing  
406 off-target reads. Finally, the downstream analysis and visualization were done using custom  
407 Python (v.3.8) and Shell scripting. Data from mice were compared to human data deposited with  
408 the European Genome-Phenome Archive under EGAD00001002684.

409 **RNASeq Analysis.** RNA was isolated from tissue using RNeasy Plus Mini Kit (Qiagen 74134).  
410 RNA was transcribed to cDNA using NEBNext Ultra<sup>TM</sup> RNA Library Prep Kit for Illumina

411 (NEB E7530). RNA sequencing was performed using single-end 75 bp reads on an Illumina  
412 NextSeq500. The RAW sequences were trimmed using Trimmomatic 0.38 and low-quality reads  
413 were removed. The quantification of the expression of transcripts of preprocessed sequences was  
414 using salmon 1.4.0 and mm10 mouse reference genome. The resulting quant (transcript  
415 abundance estimates) values were utilized for the differential expression analysis. Differential  
416 gene expression analysis was done using DESeq2 and for downstream analysis and visualization  
417 python (v.3.8) and Bash scripting were used.

418 **Allograft models.** Primary tumors were removed from INS-p25OE mice and diced into ~2 mm  
419 x 2 mm sections. These sections were implanted into both the right and left flanks of C57BL/6  
420 P1 (passage 1) recipient mice by trocar. Tumor size was monitored by measurement with  
421 calipers. P2 mice were generated by passaging P1 tumors into a second generation of C57BL/6  
422 recipient mice.

423 **Statistical Analysis.** Comparisons between two groups were performed using two-tailed  
424 Student's *t*-test. Comparisons between three groups were performed using one-way ANOVA.  
425 Sample sizes are provided within figure legends or in results. (\*p<0.05).

426

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435

#### 436 **Author Contributions**

437 A.M.C. and J.A.B. conceptualized the study. A.M.C., B.H., C.T., R.G., and W.H. performed  
438 immunostaining. A.M.C. performed biochemistry, immunoblots, ELISA assays, analysis of  
439 MRIs, quantitation of immunostaining, and data interpretation. F.V. performed cell growth  
440 assays. R.T. maintained the mouse colony, assisted with tissue harvesting and molecular biology.  
441 A.M.C., T.M., C.G., and J.B.R. generated allografts. H.B. harvested islets from mice. C.T. and  
442 E.K. performed pathological assessment of tumors. J.T. developed the MRI protocol. N.K. and  
443 M.S.M. performed bioinformatics analyses. A.M.C. and J.A.B. assembled figures and wrote the  
444 manuscript. R.B., H.C., J.S., J.B.R., M.S.M., and J.A.B. supervised the study. All authors  
445 reviewed and edited the manuscript.

446

#### 447 **Figures**

448

#### 449 **Supplemental**

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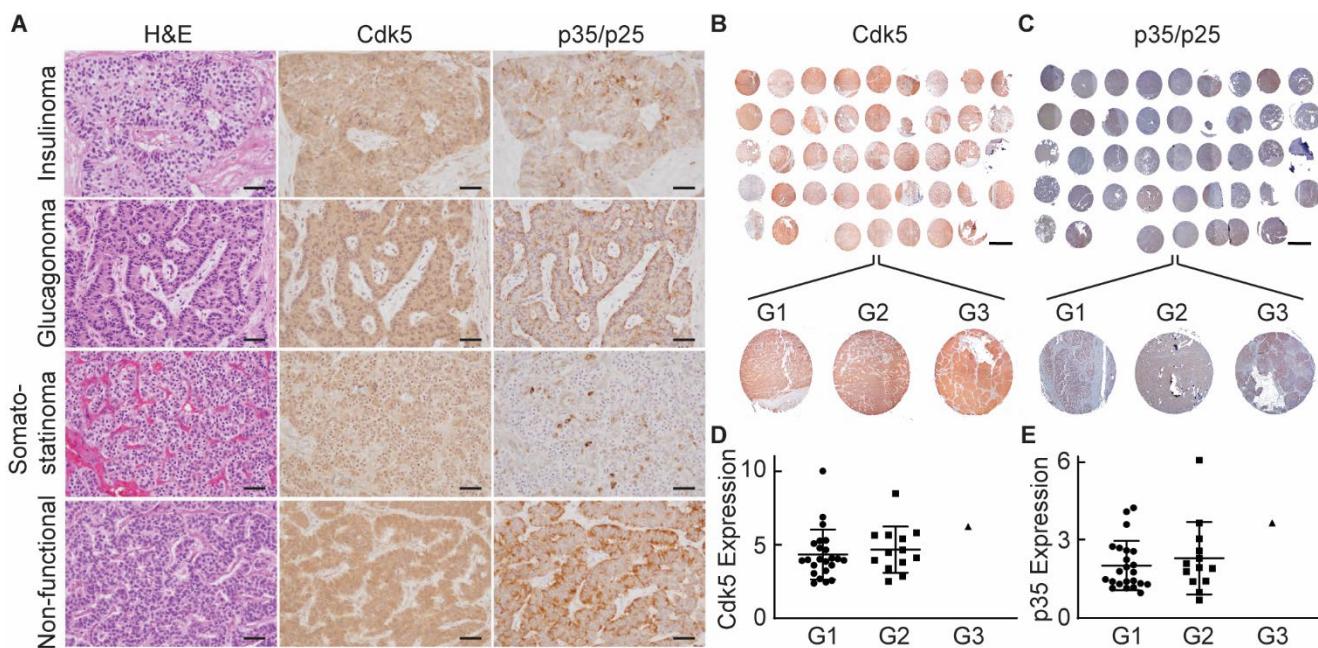
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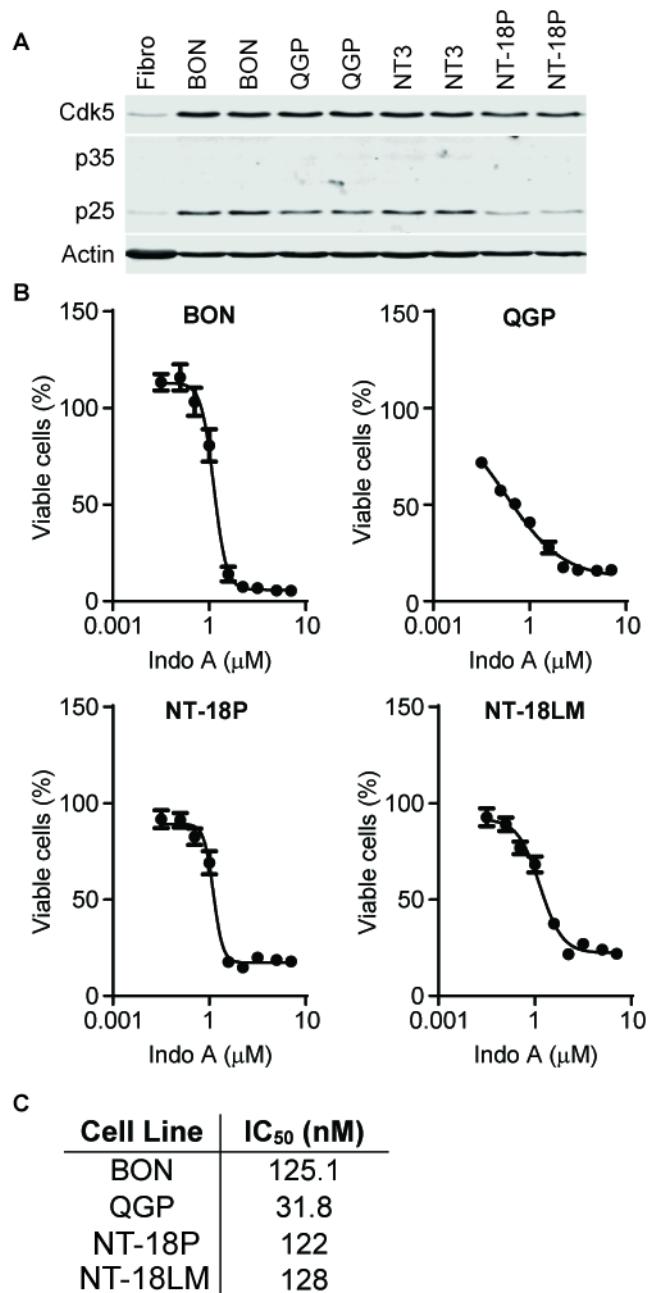
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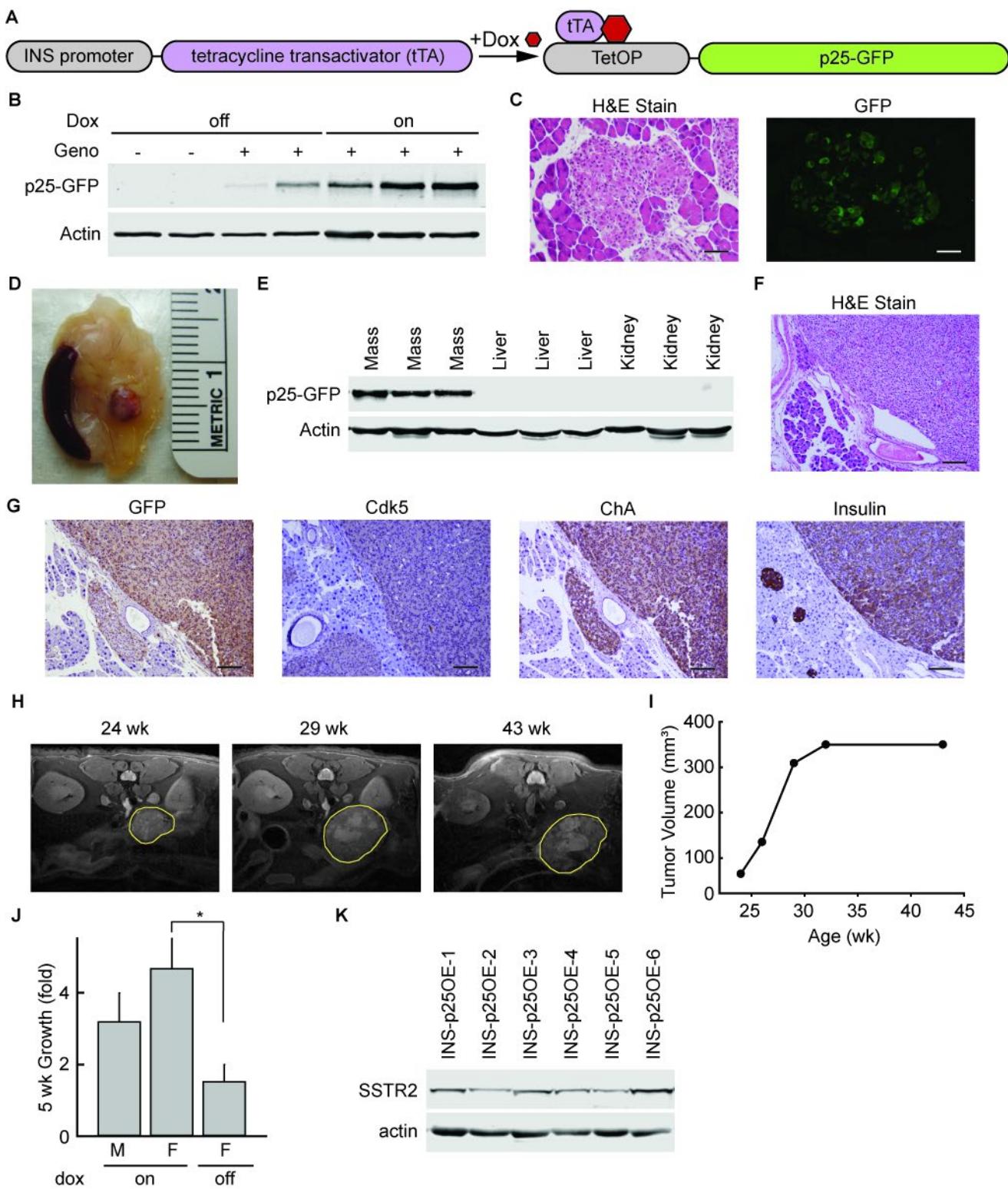
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**Figure 1. Cdk5 pathway components are present in human PanNETs.** A. H&E stain and immunostains for Cdk5 and p35/p25 in G1 PanNETs. Scale bars = 50  $\mu$ m. B-C. Immunostains for Cdk5 (B) and p35/p25 (C) from human PanNET TMA. Scale bar = 2 mm. D-E. Semi-quantitation of Cdk5 expression from B (D) and p35/p25 expression from C (E) normalized to expression levels of each in a normal placenta core; column 1 row 4 of the TMA. Map of TMA in Supplementary Table S1.



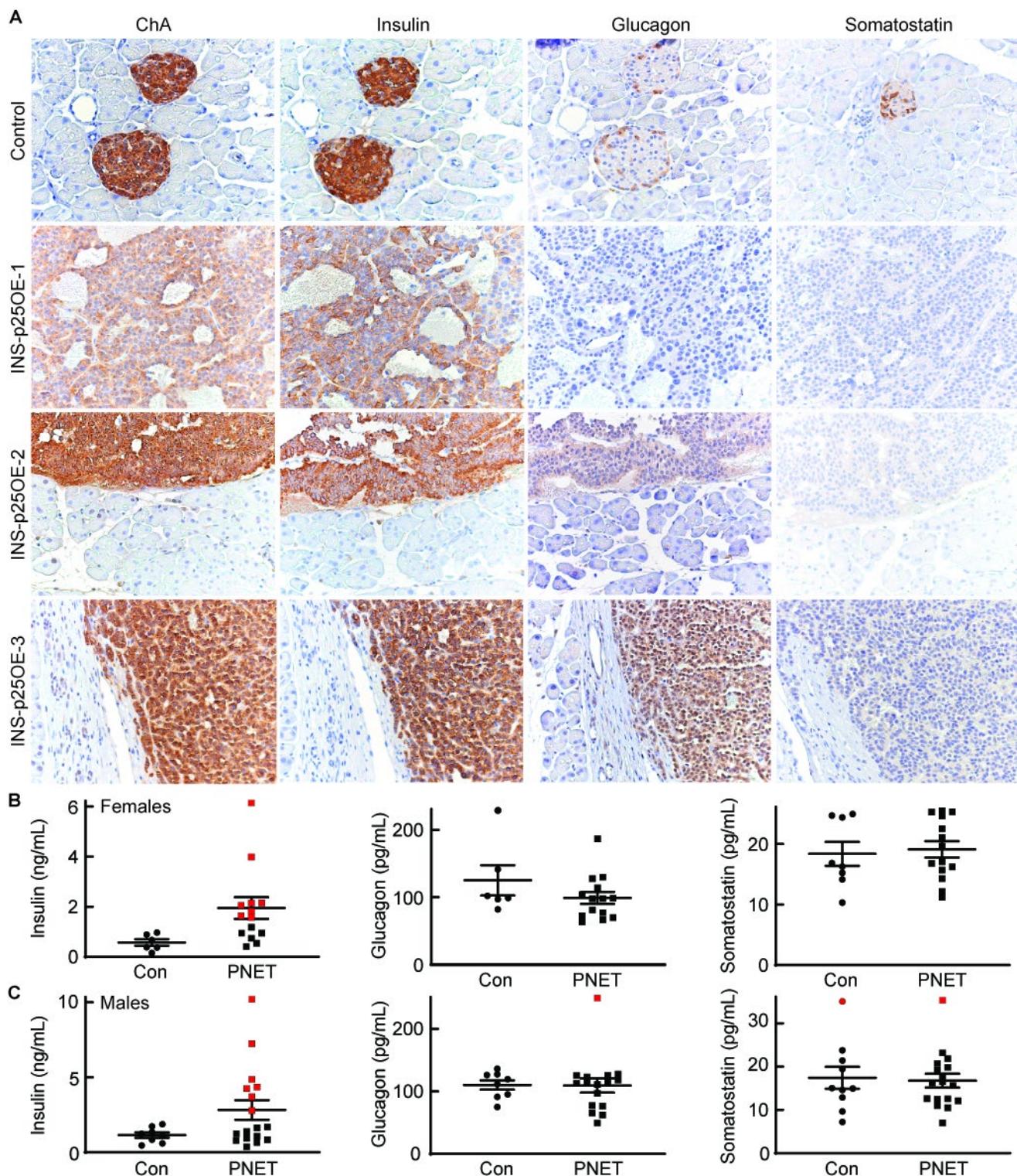
**Figure 2. Human PanNET cells are dependent on Cdk5 for growth.** A. Immunoblot of Cdk5 pathway components in fibroblasts and PanNET cells. B. PanNET cell lines were treated with increasing concentrations of Indo A and monitored for effects on cell viability. Error bars represent SEM. C. IC<sub>50</sub> values obtained from viability assays in B.



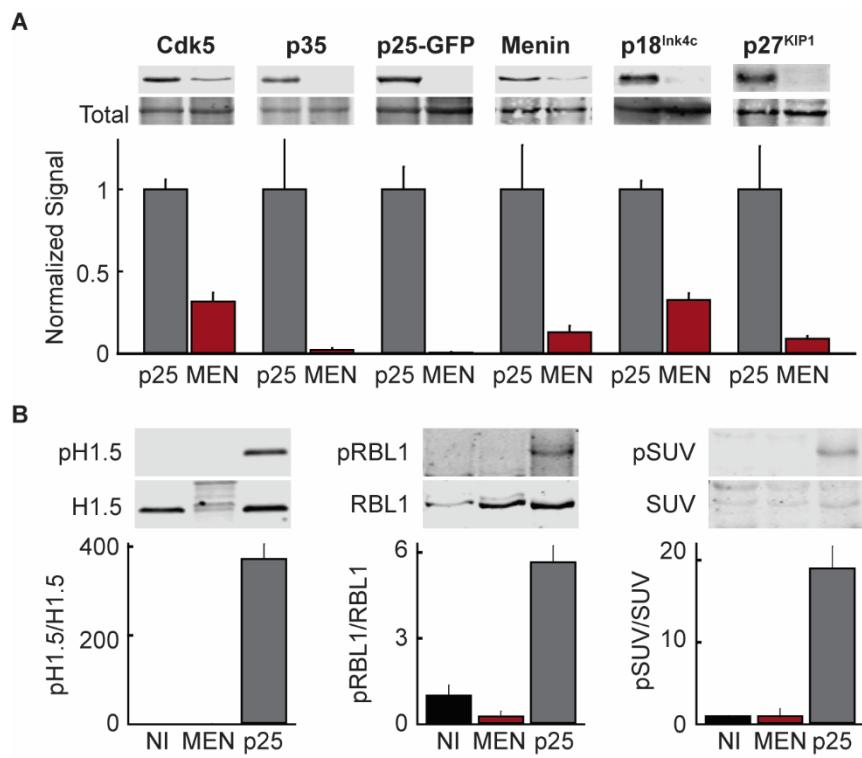
**Figure 3. Aberrant activation of Cdk5 generates PanNETs in an inducible bi-transgenic mouse model.**

A. Schematic of genetic system for regulated tissue-specific expression of p25-GFP. B. Immunoblot for expression of p25GFP in islets isolated from transgene negative (-) and INS-p25OE (+) mice with (on) or without (off) administration of 1 g/L Dox for 4-5 weeks. C. H&E stain and immunofluorescence of sections of pancreas from INS-p25OE mice at 4 weeks post-p25-GFP induction. D. Representative gross image of a pancreatic mass from INS-p25OE mice. E. Immunoblot for expression of p25-GFP in pancreatic mass, liver, and kidney at 12 months induction. F-G. H&E stain (F), and immunostains (G) of primary PanNET from an INS-25OE animal. Scale bars = 100  $\mu$ m. H. Axial MRI sections from a representative INS-p25OE mouse. PanNET circumscribed in yellow. I. Quantitation of tumor volume over time from a representative INS-p25OE

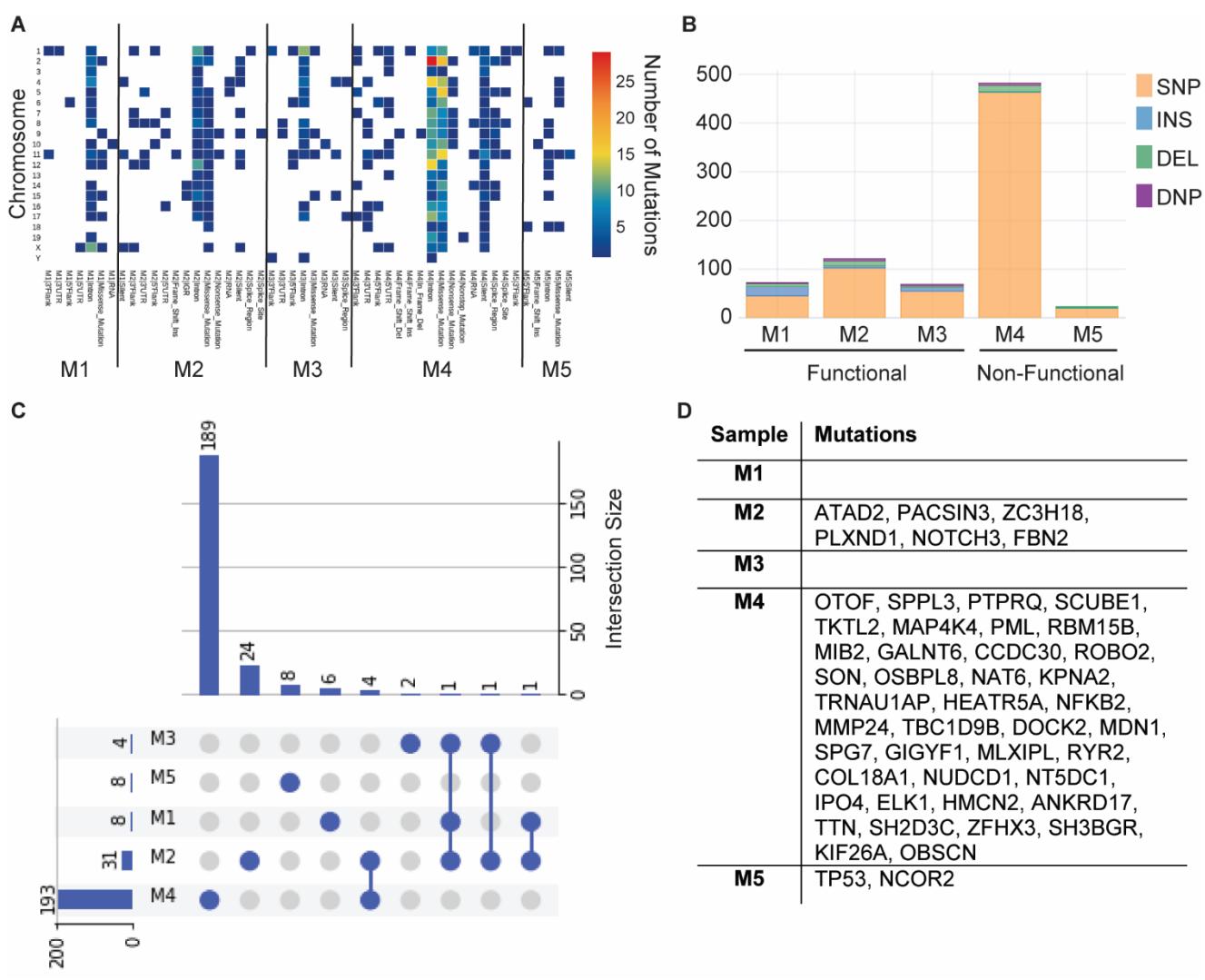
mouse. J. Tumor growth, normalized to initial volume, during the linear growth phase; males (M; n=4) and females (F; n=3 for each group) administered dox since weaning (on) or Dox since weaning followed by discontinuation for 5 weeks at initial tumor detection (off). K. Immunoblot for expression of SSTR2 and actin in INS-p25OE tumors.



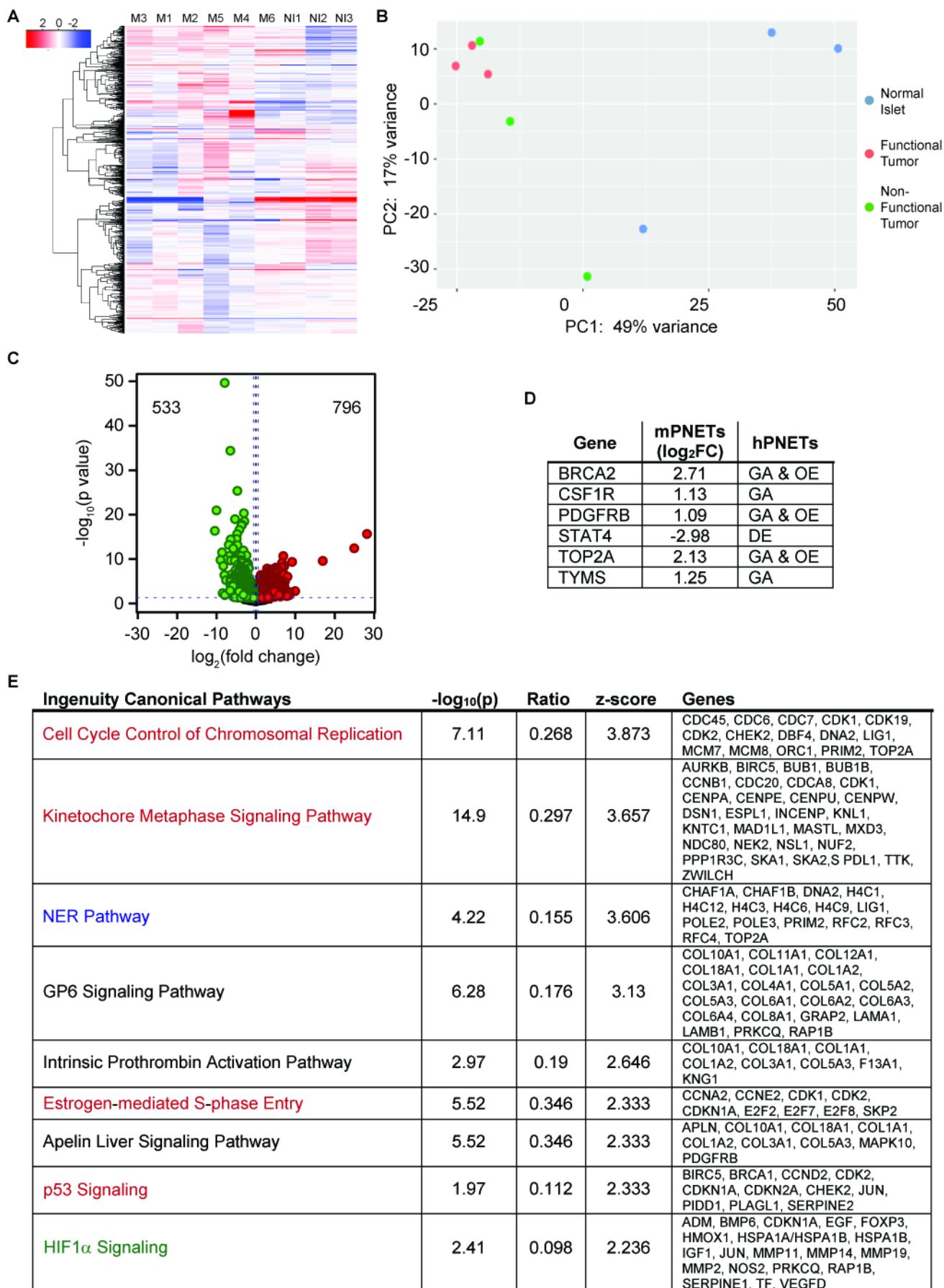
**Figure 4. Cdk5 induces both functional and non-functional PanNETs.** A. Immunostains of pancreas from control and three representative INS-p25OE animals. B-C. ELISA assays of hormone levels in blood plasma of female (B) and male (C) control (Con; females n=6, males n=8) and tumor-bearing (PNET; females n=14, males n=21) mice. Error bars are SEM; red points illustrate samples with levels that are two SD above the average for controls.



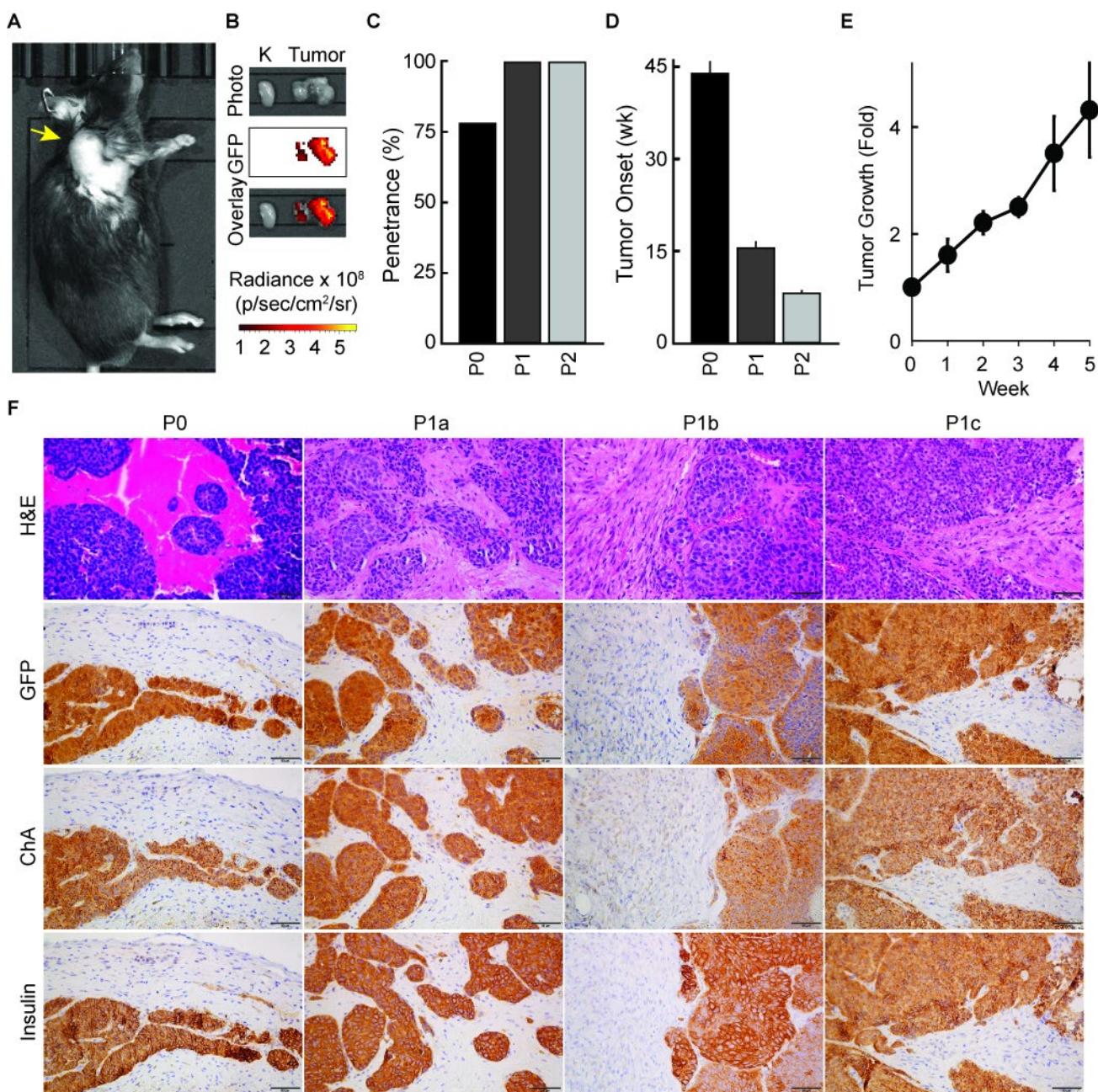
**Figure 5. Cdk5 and menin pathways are distinct drivers of mPanNETs.** A. Quantitative immunoblot of Cdk5 pathway components, menin, and downstream targets of menin in  $\text{MEN}^{-/-}$  tumors (MEN; n=4) and INS-p25OE tumors (p25; n=5). B. Quantitative immunoblot of downstream targets of Cdk5 in normal mouse islets (NI; n=3),  $\text{MEN}^{-/-}$  tumors (MEN; n=4), and INS-p25OE tumors (p25; n=7); phosphorylated Ser18-H1.5 (pH1.5), phosphorylated Ser988-RBL1 (pRBL1), phosphorylated Ser391-SUV39H1 (pSUV).



**Figure 6. INS-p25OE tumors possess mutations found in human PanNETs.** A. Heat map of number of total mutations in each INS-p25OE PanNET by classification per chromosome. B. Type of mutations present in each INS-p25OE PanNET. C. Waterfall plot of overlapping exonal mutations among INS-p25OE PanNETs. D. Table of mutations in INS-p25OE PanNETs found to overlap with mutations in human PanNETs.



**Figure 7. RNA-seq.** A. Heatmap of differentially expressed genes in normal mouse islets (NI1-3), functional (M1-3) and non-functional (M4-6) INS-p25OE PanNETs . B. Principal component analysis of expression data. C. Volcano plot of annotated genes upregulated and downregulated compared to normal mouse islets. D. Table of differentially expressed genes that overlap with alterations in human PanNETs; gene amplification (GA), overexpression (OE), decreased expression (DE). E. Ingenuity Pathway Analysis of differentially expressed genes. Pathways are related to cell cycle (red), DNA repair (blue), vascularization (green), and extracellular matrix (black).



**Figure 8. INS-p25OE PanNETs form successful allografts.** A. Photograph from IVIS imagine of representative allograft tumor model; tumor marked with yellow arrow. B. Photograph and fluorescence imaging from IVIS, ex vivo, of kidney (left) and tumor (right) from representative allograft model. C. Penetrance in primary INS-p25OE model (P0), passage 1 models (P1), and passage 2 models (P2). D. Tumor onset in the same groups as C. E. Fold growth of passage 1 tumors over a 5 week period starting at approximately 100 mm<sup>3</sup> (week 0). F. H&E stains and immunostains of primary INS-p25OE model (P0) and three passage 1 models (P1a-c).