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2                   **Genetic variants associated mRNA stability in lung**

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16

17 **Abstract**

18 Expression quantitative trait loci (eQTLs) analyses have been widely used to identify genetic variants associated  
19 with gene expression levels to understand what molecular mechanisms underlie genetic traits. The resultant eQTLs  
20 might affect the expression of associated genes through transcriptional or post-transcriptional regulation. In this study,  
21 we attempt to distinguish these two types of regulation by identifying genetic variants associated with mRNA stability  
22 of genes (stQTLs). Specifically, we computationally inferred mRNA stability of genes based on RNA-seq data and  
23 performed association analysis to identify stQTLs. Using the Genotype-Tissue Expression (GTEx) lung RNA-Seq data,  
24 we identified a total of 142,801 stQTLs for 3,942 genes and 186,132 eQTLs for 4,751 genes from 15,122,700 genetic  
25 variants for 13,476 genes, respectively. Interesting, our results indicated that stQTLs were enriched in the CDS and  
26 3'UTR regions, while eQTLs are enriched in the CDS, 3'UTR, 5'UTR, and upstream regions. We also found that stQTLs  
27 are more likely than eQTLs to overlap with RNA binding protein (RBP) and microRNA (miRNA) binding sites. Our  
28 analyses demonstrate that simultaneous identification of stQTLs and eQTLs can provide more mechanistic insight on  
29 the association between genetic variants and gene expression levels.

30

31 **Author Summary**

32 In the past decade, many studies have identified genetic variants associated with gene expression level (eQTLs) in  
33 different phenotypes, including tissues and diseases. Gene expression is the result of cooperation between transcriptional  
34 regulation, such as transcriptional activity, and post-transcriptional regulation, such as mRNA stability. Here, we present  
35 a computational framework that take advantage of recently developed methods to estimate mRNA stability from RNA-  
36 Seq, which is widely used to estimate gene expression, and then to identify genetic variants associated with mRNA

37 stability (stQTLs) in lung tissue. Compared to eQTLs, we found that genetic variants that affects mRNA stability are  
38 more significantly located in the CDS and 3'UTR regions, which are known to interact with RNA-binding proteins  
39 (RBPs) or microRNAs to regulate stability. In addition, stQTLs are significantly more likely to overlap the binding sites  
40 of RBPs. We show that the six RBPs that most significantly bind to stQTLs are all known to regulate mRNA stability.  
41 This pipeline of simultaneously identifying eQTLs and stQTLs using only RNA-Seq data can provide higher resolution  
42 than traditional eQTLs study to better understand the molecular mechanisms of genetic variants on the regulation of  
43 gene expression.

44

## 45 **Introduction**

46 Quantitative trait loci (QTLs) are genomic loci that explain variation of a quantitative trait [1]. The most well  
47 investigated QTLs are eQTLs, which are associated with the expression level of gene transcripts [2]. Assuming different  
48 regulatory mechanisms, eQTLs proximal to and distant from the transcription start site (TSS) of genes are called *cis*-  
49 eQTLs (< 1Mb) and *trans*-eQTLs (> 5Mb), respectively [3]. By combining high-throughput gene expression data and  
50 genetic phenotype information, eQTLs can be identified systematically using a GWAS (genome-wide association study)  
51 approach [4]. It has been shown that genetic variants (single nucleotide polymorphisms) associated with complex traits,  
52 including human diseases, are more likely to be eQTLs [5]. The genetic variants located in *cis*-regulatory elements  
53 (CREs), in particular, can influence the expression of targeted genes. In fact, eQTLs are associated with many classes  
54 of CREs that are enriched in promoters, enhancers, insulators, transcription factor (TF) binding sites, and DNase  
55 hypersensitive sites (DHSs) [6–10].

56

57 Gene expression level is regulated at both the transcriptional and post-transcriptional levels. At the transcriptional  
58 level, TFs regulate the transcription rate of genes by interacting with their promoters and enhancers [11,12]. TF binding  
59 and histone modification signals in the TSS proximal regions account for over 50% of variation of gene expression [13–  
60 15]. Genetic variants with functional impacts on TF binding motifs or promoter/enhancer accessibility are also expected  
61 to have effects on the transcription rate of related genes [16,17]. On the other hand, at the post-transcriptional level, the  
62 stability of mRNAs is under intensive regulation by microRNAs and RNA-binding proteins (RBPs) [18,19]. Genetic  
63 variants can also affect mRNA stability by interacting with microRNAs or RBPs. For example, the variant T of rs907091  
64 located in the 3'UTR of *IZKF3* confers a miR-326 binding site, which leads to decreased mRNA stability and down-  
65 regulation of the gene; however, this is not seen with the variant C [20]. Additionally, some intronic genetic variants  
66 might also affect gene expression by interacting with splicing factors or other types of RBPs [21]. Therefore, it is often  
67 difficult to precisely interpret the eQTLs identified from high-throughput analysis. Namely, for many eQTLs, it is  
68 difficult to determine whether they influence gene expression through affecting transcriptional rate or mRNA stability.  
69 This problem is further complicated by linkage disequilibrium (LD) between neighboring genetic variants. Although  
70 high-throughput technologies that measure mRNA decay rates have been developed [22–24], there are no QTL studies  
71 that identify genetic variants associated with mRNA stability due to the lack of matched stability and genotype data.

72  
73 In many eQTL studies, gene expression was determined by RNA sequencing (RNA-Seq) experiments [25–27].  
74 Despite the protocol being designed to generate cDNA fragments from mature mRNAs, there was also a significant  
75 proportion of reads captured from intronic sequences in RNA-seq data [25]. Several studies proposed that the intronic  
76 reads of RNA-Seq were related to nascent transcription and transcriptional activity [27–30]. Based on this concept,  
77 computational methods have been developed to calculate mRNA stability based on RNA-seq data [27,31,32]. Gaidatzis

78 et al [27] proposed a method called exon-intron split analysis (EISA) to discriminate transcriptional and post-  
79 transcriptional regulation of gene expression. Given the RNA-seq data in two experiment conditions, EISA calculates  
80 changes in reads mapped to exons ( $\Delta$ exon) and introns ( $\Delta$ intron) for each gene. It was shown that  $\Delta$ exon- $\Delta$ intron was  
81 significantly correlated with experimentally measured mRNA stability changes between ESCs and terminal neurons.  
82 The EISA method was then further improved and then implemented in a software package, REMBRANDTS, to measure  
83 the stability of mRNAs more correctly [32].

84

85 Motivated by these methods, we developed a framework to simultaneously identify genetic variants associated  
86 with gene expression (eQTL) or mRNA stability (stQTL). We applied this framework to the lung tissue RNA-Seq data  
87 produced by the Genotype-Tissue Expression (GTEx) project [33]. For this data, we estimated the mRNA stability using  
88 REMBRANDTS and gene expression, and then performed association analysis to 15,122,700 genetic variants for 13,476  
89 genes. We then identified a total of 186,132 eQTLs for 4,751 genes and 142,801 stQTLs for 3,942 genes. From our  
90 analysis, we found that both the stQTLs and eQTLs are enriched in the 3'UTR and CDS regions, while eQTLs are also  
91 enriched in the 5'UTR and upstream region of TSS. Compared to eQTLs, stQTLs more frequently overlapped with the  
92 binding sites of RBPs and miRNAs. To explore the role of stQTLs in mRNA stability, we took a few examples to  
93 investigate the effect of genetic variants on the binding of RBPs or TFs. Together, this study suggested that the  
94 simultaneous identification of stQTLs and eQTLs can provide a useful method to better understand the molecular  
95 mechanisms underlying genetic variants.

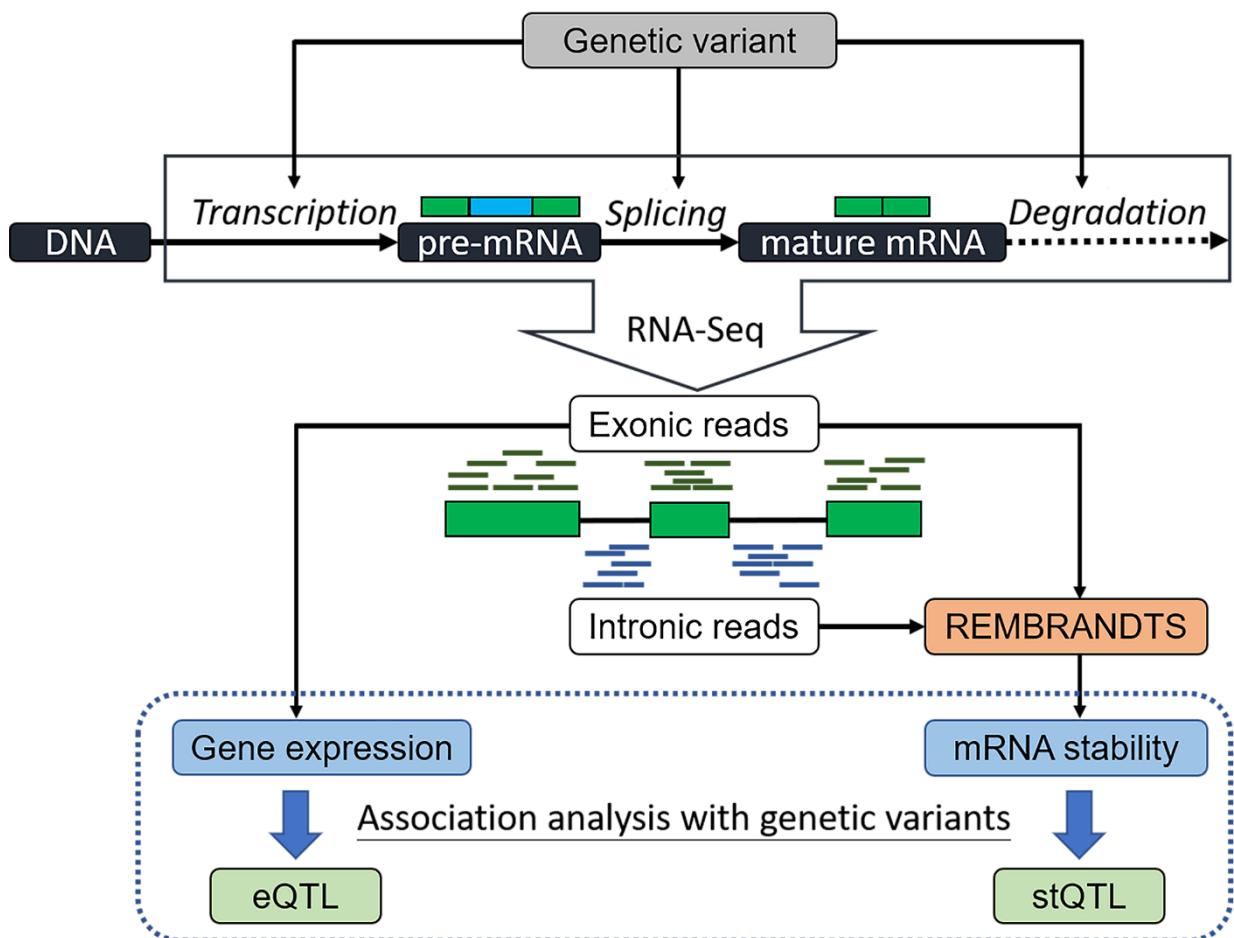
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97 **Result**

98 **Overview of this study**

99 Fig 1 shows the rationale underlying this study. During gene expression, a gene is transcribed into a pre-mRNA,  
100 after which the introns are removed while the exons are connected into the mature mRNA. The mature mRNA is under  
101 post-transcriptional regulation by miRNAs and other mechanisms. As shown, genetic variants can not only regulate  
102 mRNA splicing but also regulate gene expression-related traits by affecting transcription rate or mRNA stability  
103 (stability QTL, denoted as stQTL hereafter). From RNA-seq data, we are able to determine the reads mapped to exonic  
104 regions to obtain gene expression levels. The mRNA stability can also be calculated by combining the reads aligned to  
105 exonic and intronic regions using the REMBRANDTS [32] algorithm. Through the eQTL analysis, genetic variants  
106 associated with gene expression are identified to obtain eQTLs. In fact, eQTLs are a mixture of QTLs that affect  
107 transcription and stQTLs, as gene expression is controlled by both transcription rate and mRNA stability. Performing  
108 an association analysis of gene expression or stability on genetic variation can identify eQTLs and stQTLs, respectively.  
109 Simultaneous identification of eQTLs and stQTLs can provide a higher resolution to understand how genetic variants  
110 affect gene expression, as well as the information to infer that a genetic variant regulates gene expression by affecting  
111 transcription activity or RNA stability. As a proof-of-concept, in this study we applied this framework to GTEx data to  
112 simultaneously investigate the eQTLs and stQTLs in lung tissue.

113



114

115 **Fig 1. The workflow for identification of stQTL and eQTL using RNA-Seq.** A genetic variant may regulate gene  
116 expression by affecting transcription, splicing, or stability at different stages of the life cycle of an mRNA. Both gene  
117 expression and mRNA stability can be estimated from RNA-Seq. Therefore, both expression quantitative trait loci  
118 (eQTLs) and stability quantitative trait loci (stQTLs) can be identified with genetic variations using the association  
119 analysis. By comparing the stQTL and eQTL, it is possible to distinguish the regulatory mechanisms underlying an  
120 eQTL.

121

## 122 Expression QTLs and Stability QTLs of human lung tissue

123 To identify and explore stQTLs and eQTLs, we processed the raw RNA-seq data for lung tissues generated by the  
124 GTEx project. After performing quality trimming, alignment, and replicate merging from the same donors, we obtained

125 the expression profiles of genes for a total of 289 subjects with matched genetic variation data. With REMBRANDTS,  
126 for each subject, we calculated the relative mRNA stability for 13,476 genes with intronic regions and constitutive  
127 exons. For QTL identification, we performed the association analysis on 15,122,700 genetic variants located within  
128 100Kb upstream of TSS and 100 Kb downstream of TTS for 13,476 genes using gene expression or mRNA stability as  
129 the traits. We identified a total of 142,801 stQTLs and 186,132 eQTLs at the significance level of FDR < 5%. The  
130 numbers of QTLs were summarized in Table 1 according to the location of genetic variants on each QTL's  
131 corresponding genes.

132

133 Ideally, we would expect that all stQTLs are also eQTLs since a genetic variant that regulates RNA stability should  
134 also affect gene expression. However, in practice, identification of different QTL types is complicated by multiple  
135 factors, including differential statistical power and LD between genetic variants. Nevertheless, we still observed that  
136 there is a very high proportion (70,105) of overlap between stQTLs and eQTLs (Fig 2A). We also found that 49% of  
137 stQTLs were also eQTLs (Fig 2B), suggesting that nearly half of stQTLs do also significantly affect gene expression.  
138 On the contrary, only 37% of eQTLs were also stQTLs. This indicated that although a considerable part of eQTLs were  
139 derived from genetic variants that significantly affect stability, more of them were regulated by genetic variants that  
140 affect other factors related to gene expression.

141

142 **Table 1. The summary of the stQTLs and eQTLs identification in GTEx lung tissue samples.** The location indicates  
143 the genomic position of the genetic variant in its corresponding gene in a QTL. The percentage was calculated from the  
144 number of QTLs at each location divided by the total number of QTLs.

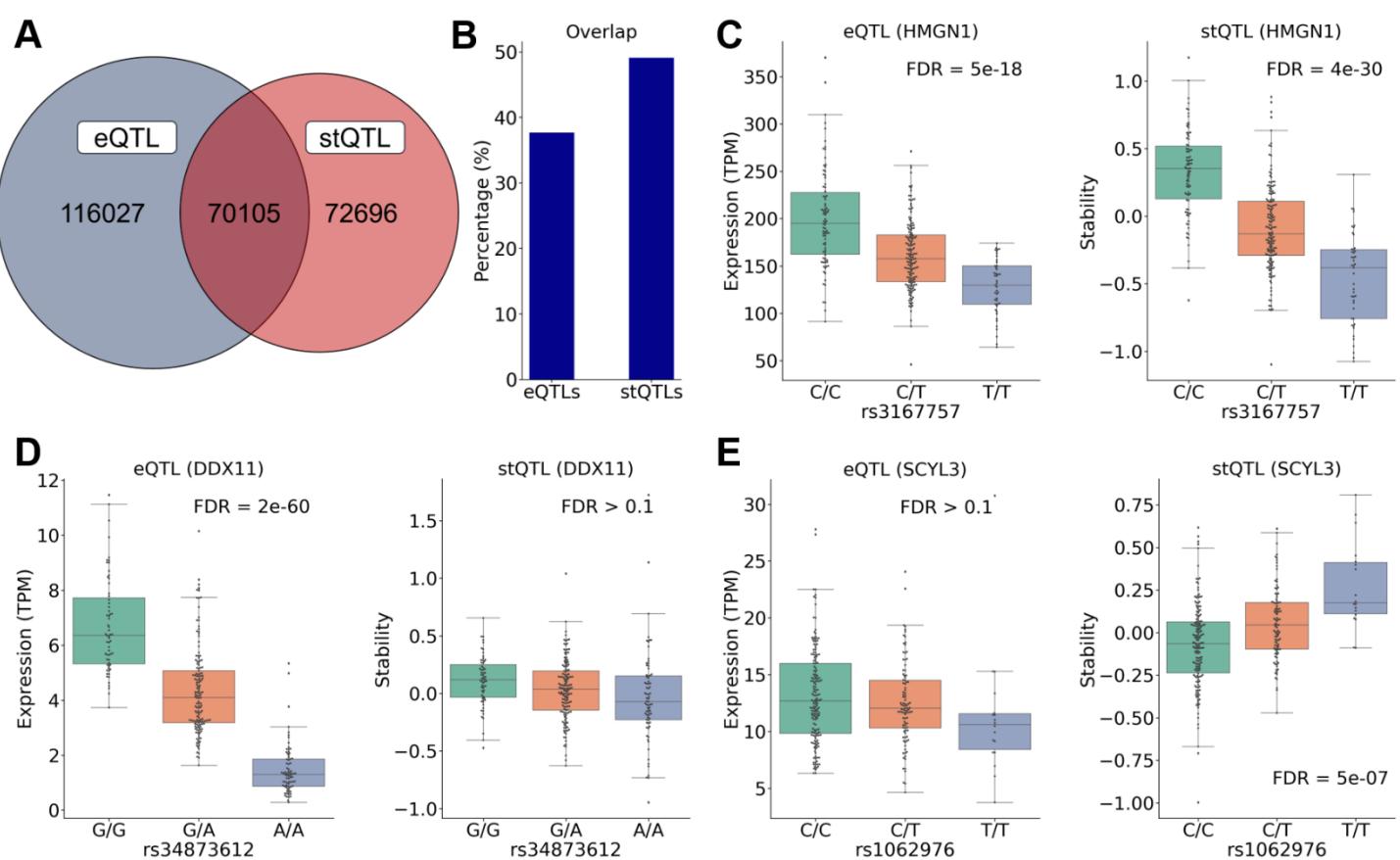
Location	Number of stQTL (%)	Number of eQTL (%)
<b>Upstream</b>	50,109 (35.09%)	73,647 (39.57%)
<b>5'UTR</b>	3,877 (2.71%)	5,802 (3.12%)
<b>CDS</b>	3,949 (2.77%)	4,404 (2.37%)
<b>Intron</b>	32,675 (22.88%)	37,232 (20.00%)
<b>3'UTR</b>	2,506 (1.75%)	2,411 (1.30%)
<b>Downstream</b>	49,685 (34.79%)	62,636 (33.65%)
<b>Total</b>	142,801 (100.00%)	186,132 (100.00%)

145

146 By investigating stQTL and eQTL together, it is possible to determine the regulatory mechanisms underlying an  
147 eQTL. For example, genetic variant rs3167757 is significantly associated with the *HMGNI* expression level (eQTL,  
148 FDR = 5e-18) with CC>CT/TT (Fig 2C). As shown, this genetic variant is also associated with *HMGNI*'s mRNA  
149 stability (stQTL, FDR=3.7e-30). This result indicated that rs3167757 might regulate the expression level of *HMGNI* by  
150 affecting its mRNA stability. Indeed, *HMGNI*-rs3167757 has also been reported as an eQTL in lymphoblastoid cell  
151 lines (LCLs) [34,35]. The rs3167757 is located at the 3'UTR of the *HMGNI* gene and overlaps with binding sites of 20  
152 different RBPs [36]. According to the analysis using RBPmap [37] (S1 Table), while the variant C of rs3167757 confers  
153 a motif for eight RBPs (CUG-BP, HNRNPF, MBNL1, SFPQ, TRA2B, HNRNPL, SRSF3, and YBX2), the variant T  
154 disrupts the binding motifs of five of the RBPs (HNRNPF, MBNL1, SFPQ, TRA2B, and YBX2). Notably, among them,  
155 HNRNPF [38,39], MBNL1 [40,41], and YBX2 [42] are known to contribute to mRNA stabilization. This is consistent  
156 with the observation that genotype CC is associated with higher stability of *HMGNI* mRNA than CT and TT. As another  
157 example, genetic variant rs34873612 is significantly associated with *DDX11* expression level (eQTL, FDR = 2e-60) but  
158 not with *DDX11* mRNA stability (FDR > 0.1) with GG>GA/AA (Fig 2D). This result suggested that rs34873612 might  
159 regulate the expression level of *DDX11* by affecting the transcription rate rather than its mRNA stability. According to  
160 the PROMO prediction [43], the rs34873612 is located at the 5'UTR of the *DDX11* gene and overlaps with the binding  
161 site of three TFs: GR-alpha, GATA2, and GATA3. While the variant G contributes to the binding motifs of these TFs,

162 the variant A disrupts the binding motif of GATA3, which potentially contributes to the decreased *DDX11* expression  
163 seen in the GA and AA genotypes (Fig 2D). mRNA stability only contributes partially to gene expression level;  
164 consistently, many genetic variants are found to be stQTLs but not eQTLs. For example, rs1062976 is significantly  
165 associated with the mRNA stability of *SCYL3* (stQTL, FDR = 5e-07) but not its expression level (not an eQTL, FDR >  
166 0.1) with CC>CT/TT (Fig 2E). Overall, our results indicated that simultaneous identification of stQTLs and eQTLs can  
167 provide us with more detailed biological insights on the regulatory effects of genetic variants on a large scale.

168



169

170 **Fig 2. The simultaneous identification of stQTLs and eQTLs using GTEx lung tissue samples shows highly**  
171 **overlapped QTLs and provides additional information for investigating regulatory effects of genetic variants.**  
172 (A) The Venn diagram between eQTLs and stQTLs shows that 70,105 genetic variants are both eQTLs and stQTLs. (B)  
173 The box plot shows the percentage of the overlapped QTLs in stQTLs and eQTLs, respectively. (C) *HMGN1*-rs3167757

174 is an eQTL and a stQTL. The expression level and RNA stability of *HMGNI* will decrease as rs3167757 changes with  
175 CC>CT/TT. The rs3167757 is located on the binding sites of several RBPs in the 3'UTR region of *HMGNI*. (D) *DDX11*-  
176 rs34873612 is an eQTL but not a stQTL. The expression level, but not RNA stability, of the *DDX11* will decrease as  
177 rs34873612 changes with GG>GA/AA. The rs34873612 overlaps the binding sites of several TFs in 5'UTR of *DDX11*.  
178 (E) *SCYL3*-rs1062976 is an stQTL but not an eQTL. The RNA stability of the *SCYL3* will be affected by rs1062976,  
179 which is located in the 3'UTR region of *SCYL3*. The variant T of rs1062976 disrupts the binding motif of PTBP1  
180 (destabilizer) but confers the binding motif of YBX1 (stabilizer).  
181

## 182 **Distributions of eQTLs and stQTLs across genic regions**

183 stQTLs are associated with mRNA stability while eQTLs are associated with gene expression by affecting either  
184 mRNA stability or gene transcription. Therefore, we expect that their distributions in genes would be different. To  
185 examine this, we looked at the distribution of eQTLs and stQTLs in the DNA regions surrounding TSS and TTS of  
186 genes. We found that eQTLs are more likely to be located upstream of TSS of their corresponding genes while stQTLs  
187 tend to be located downstream of TSSs (Fig 3A). On the other hand, stQTLs are more likely to be located in the region  
188 from TTS to its 10Kb upstream than eQTLs. Both stQTLs and eQTLs are more likely to be located in the upstream  
189 region of TTS rather than the genes' downstream regions (Fig 3B).  
190

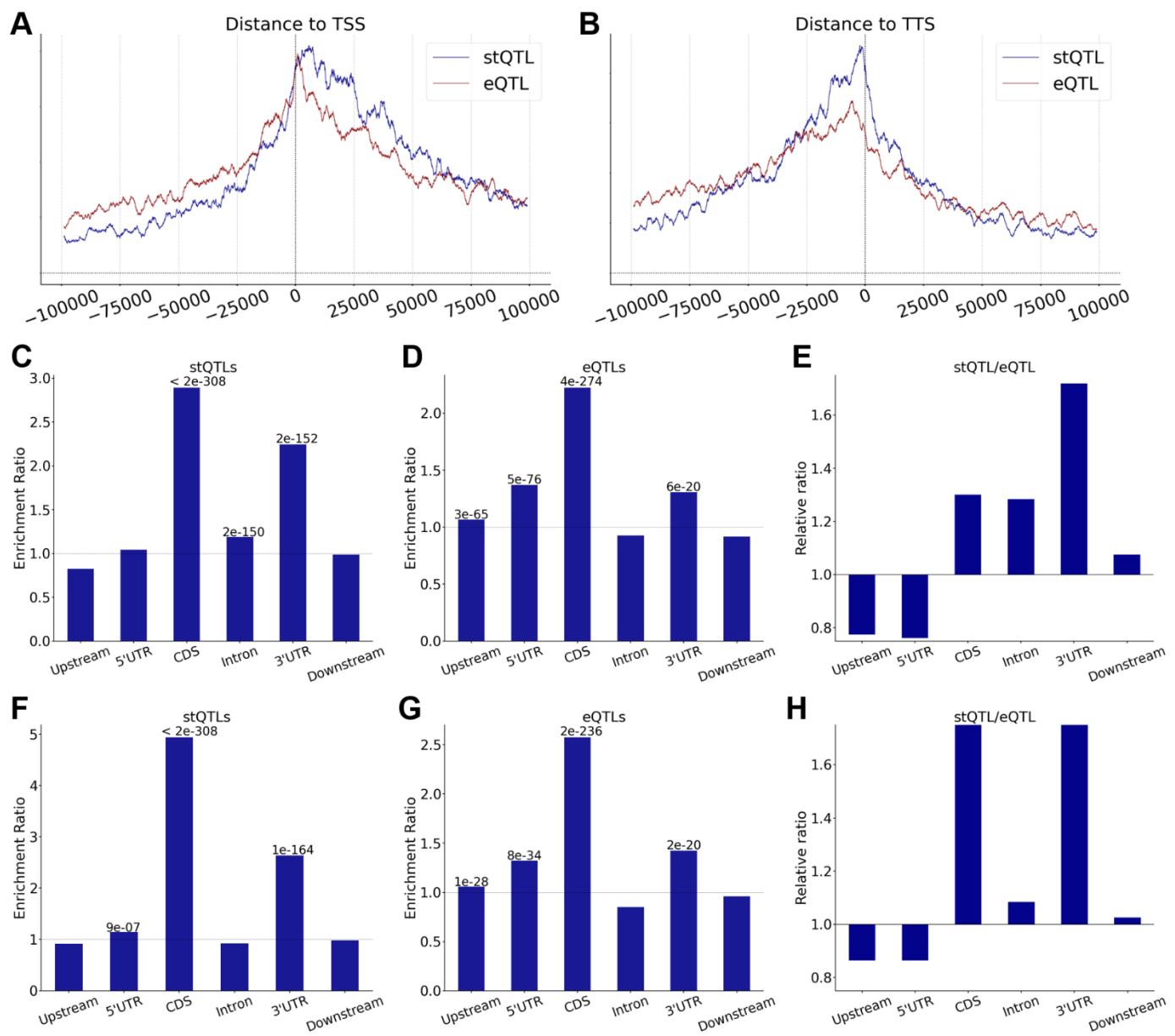
191 Subsequently, we divided genomic regions associated with genes into upstream, 5'UTR, CDS, Intron, 3'UTR, and  
192 downstream regions and then examined the distributions of eQTLs and stQTLs in these regions. Using the distributions  
193 of all genetic variants as the background, we calculated the enrichment ratio of stQTLs and eQTLs by using a

194 hypergeometric test [44]. As shown in Fig 3C, stQTLs are enriched by 2.89-fold in the CDS ( $P < 2e-308$ ) and by 2.25-  
195 fold in 3'UTR ( $P = 2e-152$ ). This result is consistent with the fact that genetic variants located in these regions might  
196 have functional impacts on mRNA stability by affecting RNA secondary/tertiary structure or RBP/microRNA binding.  
197 stQTLs are also slightly enriched in intron regions ( $ER = 1.19$  and  $P = 2e-150$ ). In contrast, eQTLs are enriched in the  
198 CDS ( $ER = 2.22$ ,  $P = 4e-274$ ), upstream ( $ER = 1.10$ ,  $P = 3e-65$ ), 5'UTR ( $ER = 1.37$ ,  $P = 5e-76$ ), and 3'UTR ( $ER = 1.30$ ,  
199  $P = 6e-20$ , Fig 3D), respectively. The enriched eQTLs in these regions may be due to the fact that gene expression can  
200 be determined not only by transcriptional activity (genetic variants in upstream, 5'UTR, or CDS regions) but also by  
201 RNA stability (genetic variants in CDS or 3'UTR regions). We compared the enrichment ratios of stQTLs and eQTLs  
202 and found that stQTLs are more likely to be located in the CDS, intron, and 3'UTR regions, while eQTLs are enriched  
203 in the upstream and 5'UTR regions (Fig 3E).

204

205 It should be noted that the resolution of QTL analysis is affected by linkage disequilibrium (LD) between  
206 neighboring genetic variants. Based on the genotype data for lung samples used in this study, we performed LD analysis  
207 and observed that many eQTL/stQTL loci were in high LD ( $r^2 > 0.9$ ) with each other (S1 Fig). To best exclude the  
208 influence of LD, we determined all LD blocks ( $r^2 > 0.9$ ) and within each block selected the most significant genetic  
209 variant as the representative stQTL/eQTL. Following that, we re-evaluated the distribution of stQTLs and eQTLs. After  
210 LD filtering, we found that the stQTLs are more enriched in CDS ( $ER = 4.93$  and  $P < 2e-308$ ), 3'UTR ( $ER = 2.64$  and  
211  $P = 1e-164$ ), and slightly enriched at 5'UTR ( $ER = 1.14$  and  $P = 9e-07$ ). Of note, we no longer observed the enrichment  
212 of stQTLs in intron regions (Fig 3F). In contrast, the distribution of eQTLs in each gene region is similar to that before  
213 LD filtering (Fig 3G). When the distributions of stQTLs and eQTLs were directly compared, eQTLs were more likely

214 than stQTLs to locate in the 5'UTR and upstream of genes (Fig 3H), while stQTLs are more likely to locate in the CDS  
215 and 3'UTR. No obvious difference was observed between stQTLs and eQTLs in the intron and downstream regions.



216  
217 **Fig 3. There are biased distributions in different genic regions of eQTLs and stQTLs.** (A) The distribution from  
218 the enrichment ratio of stQTLs and eQTLs to TSS. Plot with the bin size of 2000 bp and the sliding window of 50 bp.  
219 (B) The distribution from the enrichment ratio of stQTLs and eQTLs to TTS. Plot with the bin size of 2000 bp and the  
220 sliding window of 50 bp. (C) The enrichment ratio in different genomic locations of stQTLs before LD filtering. The  
221 upstream indicates the region of 100 Kb upstream from TSS, and the downstream indicates the region of 100 Kb  
222 downstream from TTS. (The following figs are the same) (D) The enrichment ratio in different genomic locations of

223 eQTLs before LD filtering. (E) The relative proportion of enrichment ratio in different genomic locations between  
224 stQTLs and eQTLs before LD filtering. (F) The enrichment ratio in different genomic locations of stQTLs after LD  
225 filtering. (G) The enrichment ratio in different genomic locations of eQTLs after LD filtering. (H) The relative  
226 proportion of enrichment ratio in different genomic locations between stQTLs and eQTLs after LD filtering.

227

228 **stQTLs are significantly enriched in RBP binding sites**

229 Having shown the enrichment of stQTLs in the 3'UTR and CDS regions, we then examined whether stQTLs tend  
230 to locate in the binding sites of RBPs or miRNAs, many of which are known to be involved in post-transcriptional  
231 regulation of mRNAs. To this end, we investigated the binding sites of RBPs and miRNAs provided by Postar2 [36]  
232 and TargetScan [45], respectively, to annotate the stQTLs identified in our analysis. Our results indicated that stQTLs  
233 ( $P = 3e-18$ , Fisher's exact test) but not eQTLs ( $P > 0.1$ , Fisher's exact test) are enriched in RBP binding sites. In fact,  
234 we found that 26.81% (2,770/10,332) of stQTLs overlap with the binding sites of at least one RBP, which is significantly  
235 higher ( $P = 7e-17$ , Fisher's exact test) than 22.10% (2,788/12,617) for eQTLs (Fig 4A). In addition, we have also  
236 examined the overlap with miRNA binding sites and observed a higher proportion of stQTLs (0.19%, 20/10,332) than  
237 eQTLs (0.15%, 19/12,617) in the miRNA binding sites, although no statistical significance was detected due to very  
238 small genomic regions covered by miRNA binding sites (Fig 4B).

239

240 Then we performed Fisher's exact test to identify RBPs whose binding sites were enriched for stQTLs (S2 Table)  
241 or eQTLs (S3 Table). We identified a total of six significant RBPs ( $P < 1e-04$ ) including SND1, YTHDC1, DDX3X,  
242 ATXN2, RPS3, and UPF1 (as shown in Table 2). Interestingly, SND1 [46–48], DDX3X [49,50], ATXN2 [51,52], and

243 RPS3 [53] were known to stabilize their bound mRNAs, while UPF1 is the key factor of nonsense-mediated mRNA  
244 decay pathway [54–56]. Moreover, YTHDC1 is a well-known m<sup>6</sup>A (*N*<sup>6</sup>-Methyladenosine) reader [57], which has been  
245 found to regulate mRNA splicing [58,59], alternative polyadenylation [59], and stability [60,61] through recognizing  
246 m<sup>6</sup>A. Similarly, we identified four RBPs whose binding sites were significantly enriched for eQTLs (P < 1e-04, Fig 4D  
247 and Table 3), among which the two most significant RBPs, DDX3X and SND1, were also enriched for stQTLs. NCBP3  
248 can regulate gene expression by forming a cap binding complex that binds to the 5'cap of pre-mRNA to promote splicing,  
249 3'-end processing, and mRNA exporting [62–64], and AGGF1 was found to repress the expression of pro-inflammatory  
250 molecules [65]. These results indicate that stQTLs or eQTLs located in the binding sites of RBPs in lung tissue are  
251 indeed likely to have significant regulation on gene stability or expression by affecting the binding of the RBPs.

252

253 **Table 2. The RBPs whose binding sites were enriched for stQTLs.** Six RBPs significantly overlap (Log2 Enrichment-  
254 ratio > 0.3 and p-value < 1e-04, Fisher's exact test) with stQTLs in mature mRNAs in lung. ER: Enrichment ratio.

RBPs	stQTL	non-stQTL	ER	p-value	FDR
<b>SND1</b>	64	1,504	2.17	4E-08	5E-06
<b>YTHDC1</b>	100	2,850	1.80	1E-07	7E-06
<b>DDX3X</b>	226	8,348	1.39	3E-06	1E-04
<b>ATXN2</b>	436	17,911	1.25	7E-06	3E-04
<b>RPS3</b>	91	2,923	1.59	3E-05	0.001
<b>UPF1</b>	198	7,533	1.35	5E-05	0.002

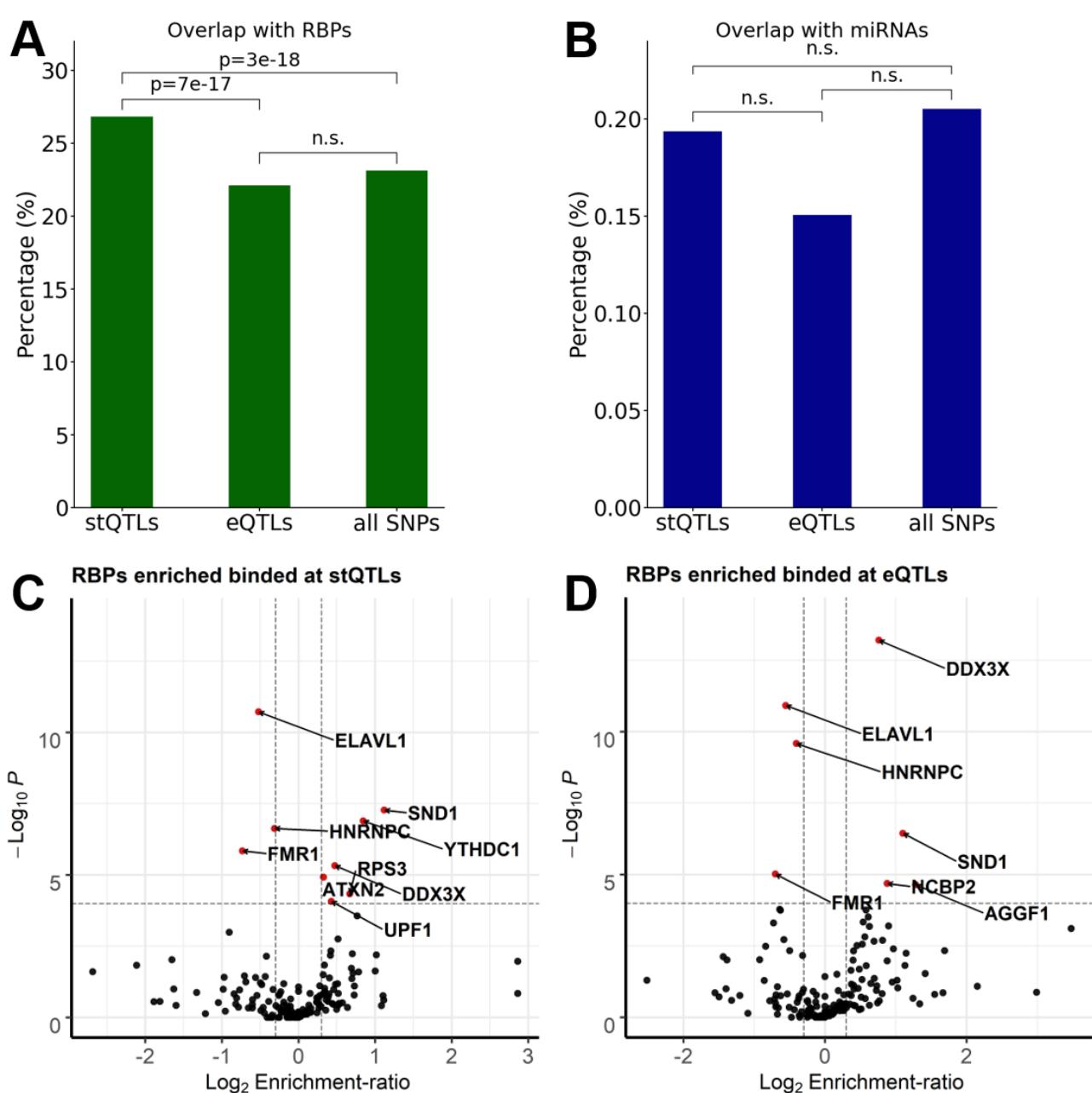
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256 **Table 3. The RBPs whose binding sites were enriched for eQTLs.** Four RBPs significantly overlap (Log2 Enrichment  
257 ratio > 0.3 and p-value < 1e-04, Fisher's exact test) with eQTLs in mature mRNAs in lung. ER: Enrichment ratio.

RBPs	eQTL	non-eQTL	ER	p-value	FDR
<b>DDX3X</b>	250	8,331	1.69	5E-14	1E-11
<b>SND1</b>	58	1,509	2.15	2E-07	2E-05

NCBP2	60	1,819	1.84	1E-05	6E-04
AGGF1	30	689	2.43	2E-05	6E-04

258



259

260 **Fig 4. Enrichment of stQTLs and eQTLs in the binding sites of RBPs and miRNAs.** (A) Proportion of overlap  
261 between stQTLs, eQTLs, and all genetic variants and RBP binding sites in mature mRNA. The statistical significance  
262 was calculated using Fisher's exact test. The n.s. indicates not significant. (B) Proportion of overlap between stQTLs,  
263 eQTLs, and all genetic variants and miRNA binding sites in mature mRNA. The statistical significance was calculated  
264 using Fisher's exact test. The n.s. indicates not significant. (C) The volcano plot shows that nine RBPs (red points)

265 whose binding sites were significantly ( $-\text{Log}_{10}$  p-value  $> 4$ , 2-sides Fisher's exact test) enriched (six RBPs,  $\text{Log}_2$   
266 Enrichment-ratio  $> 0.3$ ) or depleted (three RBPs,  $\text{Log}_2$  Enrichment-ratio  $< -0.3$ ) in stQTLs. (D) The volcano plot shows  
267 that seven RBPs (red points) whose binding sites were significantly ( $-\text{Log}_{10}$  p-value  $> 4$ , 2-sides Fisher's exact test)  
268 enriched (four RBPs,  $\text{Log}_2$  Enrichment-ratio  $> 0.3$ ) or depleted (three RBPs,  $\text{Log}_2$  Enrichment-ratio  $< -0.3$ ) in eQTLs.

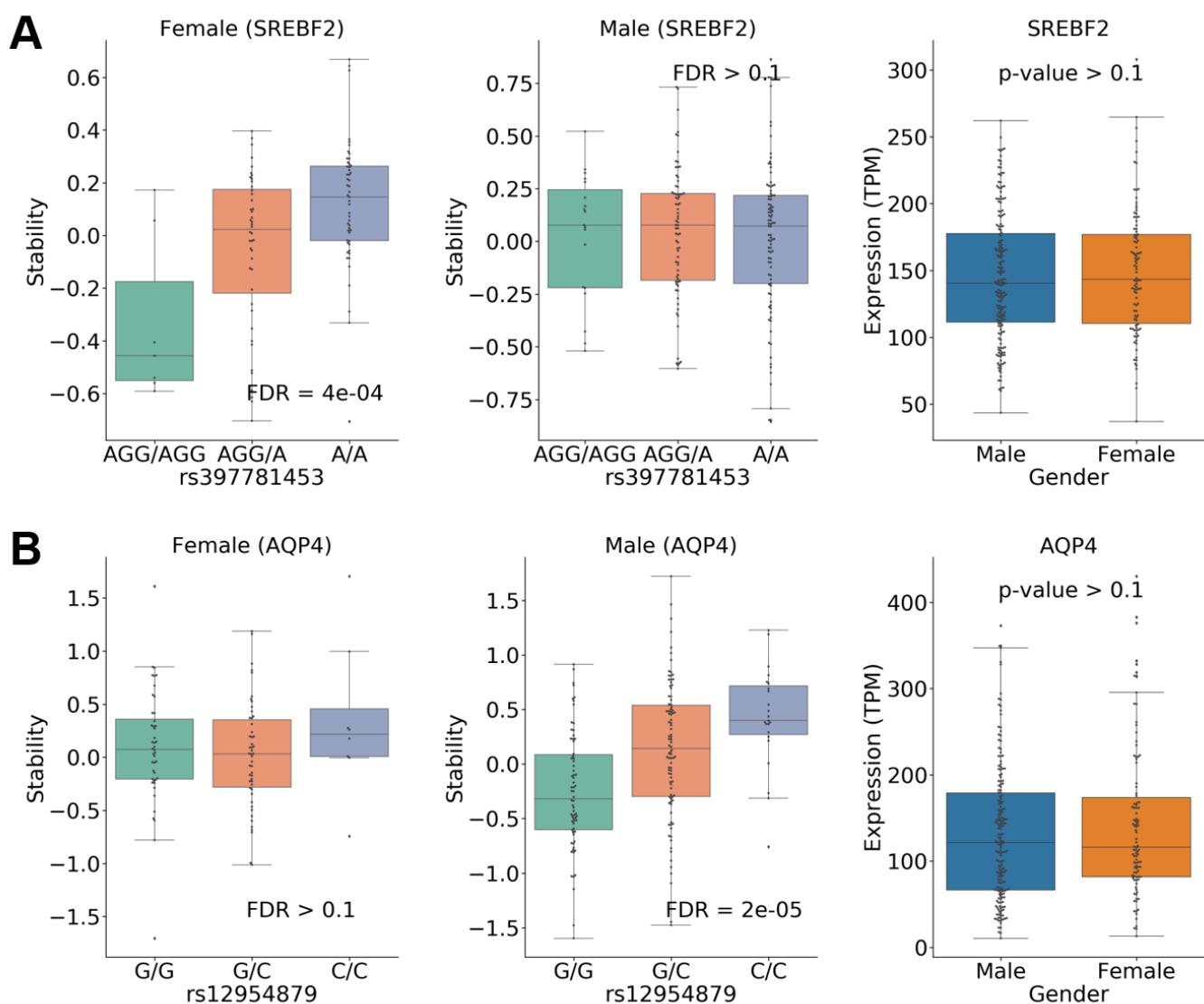
269

270 **Gender-specific stQTLs**

271 We then examined whether some genetic variants were associated with mRNA stability in a gender-specific  
272 manner and denoted them as gender-specific stQTLs. We divided 289 samples into 187 males and 102 females, and  
273 then performed association analysis with covariates to implement the gender-specific stQTL classification. If a gene is  
274 specifically expressed in males or females, then an stQTL/eQTL association can only be performed in the corresponding  
275 gender. Therefore, we focused our analysis on 13,116 genes that are not differentially expressed (FDR  $> 0.05$ , t-test)  
276 between both genders and then investigated a total of 14,987,511 genetic variants located from 100Kb upstream to  
277 100Kb downstream of a gene. Out of these gene/genetic variants, we identified 71,694 stQTLs in males and 22,841  
278 stQTLs in females (FDR  $< 0.05$ ), as well as 117,065 eQTLs in males and 48,516 eQTLs in females (FDR  $< 0.05$ ),  
279 respectively. Then we defined male-specific QTLs as those that are significant in males (FDR  $< 0.05$ ) but not significant  
280 in females ( $P > 0.1$ ), and similarly for female-specific QTLs. In total, we identified 18,893 male-specific and 2,879  
281 female-specific stQTLs, and 32,716 male-specific and 7,484 female-specific eQTLs. After excluding intersection with  
282 gender-specific eQTLs, we finally identified 14,683 male-specific and 2,279 female-specific stQTLs. As an example,  
283 the association between genetic variant rs397781453 and the RNA stability of *SREBP2* is female-specific (Fig 5A). As  
284 shown, we detected a significant association in females with FDR = 4e-04 but not in males (FDR  $\geq 0.1$ ). On the other  
285 hand, the association between *AQP4* and genetic variant rs12954879 is male-specific (Fig 5B). The RNA stability of

286 *AQP4* is significantly associated (FDR = 2e-05) with genetic variant rs12954879 in males but not in females (FDR >  
287 0.1). Of note, both *SREBP2* or *AQP4* have similar expression levels between males and females (the right panel of Fig  
288 5A and 5B).

289



290

291 **Fig 5. Gender-specific stQTLs identification.** (A) The association between genetic variant rs397781453 and the RNA  
292 stability of *SREBP2* is female-specific (stQTL, FDR = 4e-04), but this pattern does not occur in males (FDR  $\geq 0.1$ ). In  
293 the right panel, the expression of *SREBP2* is not significantly different ( $P \geq 0.1$ ) between male and female samples. (B)  
294 The association between genetic variant rs12954879 and the RNA stability of *AQP4* is male-specific (stQTL, FDR =

295 2e-05), but this pattern does not occur in females (FDR  $\geq 0.1$ ). In the right panel, the expression of *AQP4* is not  
296 significantly different ( $P \geq 0.1$ ) between male and female samples.

297

298 **Discussion**

299 In this study, we systematically identified stQTLs that are associated with mRNA stability in lung tissues and  
300 compared them with eQTLs using GTEx RNA-Seq data. Out of the 151,227,000 genetic variants within 100 Kb  
301 upstream from TSS to 100 Kb downstream from TTS of 13,476 corresponding genes, we identified a total of 186,132  
302 eQTLs and 142,801 stQTLs. We found that stQTLs are mainly enriched in the 3'UTR and CDS regions, while eQTLs  
303 are enriched in the CDS, 5'UTR, 3'UTR, and upstream regions (Fig 3F and 3G). We also found that stQTLs are  
304 significantly located in the binding sites of RBPs (Fig 4A). Moreover, the different stQTL/eQTL variants will indeed  
305 change the motif to affect the bound RBPs, which then regulate RNA stability or gene expression (Fig 2C-2E). Our  
306 results suggest that stQTLs may significantly affect RNA stability, mostly because they are located in the 3'UTR [66,67]  
307 and CDS [68,69] regions that most often interact with other molecules. These results are consistent with previous studies,  
308 which have found that the codon usage and changes on CDS could affect its stability [68,70–72], and the sequence in  
309 3'UTR affected mRNA stability since it includes binding sites of RBPs [73,74]. On the contrary, eQTLs are a group of  
310 complex mechanisms and may regulate expression levels by affecting stability [75,76], transcriptional activity [77–79],  
311 and even the addition of 5'cap or polyA tail [62]. Therefore, while eQTLs largely resemble stQTLs but are less enriched  
312 at the 3' UTR and CDS regions, eQTLs are also enriched in the 5'UTR and upstream regions where the enhancers and  
313 promoters that regulate transcriptional activity are located [80–82].

314

315     Although we have shown that identifying stQTLs provides additional insights, it is worthwhile to note that  
316     determining regulatory mechanisms is largely limited by the LD between proximal genetic variants. Due to LD, it is  
317     sometimes difficult to identify the exact genetic variants that regulate gene expression. For the same reason, it is also  
318     hard to clearly distinguish genetic variants controlling gene transcription from those controlling mRNA stabilities solely  
319     based on association analysis. This analysis is expected to improve with further consideration of the location and  
320     function impact of genetic variants. In addition, the power of stQTL analysis is also limited by the computational  
321     methods used for mRNA stability inference. Although previous studies have demonstrated that the EISA algorithm [27]  
322     and its improved REMBRANDTS package [32,83,84] used in this study achieve fairly high accuracy for mRNA stability  
323     evaluation, the accuracy of inferred mRNA stability may vary significantly between different genes. First, the  
324     differential expressed long noncoding RNAs (lncRNAs) [85,86] or perturbated factors involved in intron degradation  
325     [27,87] could cause the changes of difference intronic read counts ( $\Delta$ intron) to affect the stability estimate. Adding the  
326     annotation of non-coding RNAs in the alignment of RNA-Seq may improve the accuracy of the mRNA stability  
327     inference. Secondly, it is difficult to accurately calculate stability for the genes with low aligned read counts because  
328     the stability inference is based on the relative change of exonic and intronic reads ( $\Delta$ exon– $\Delta$ intron) [32]. Of note, the  
329     REMBRANDTS provides a stringency parameter to filter genes with low read counts. In our study, we set the stringency  
330     to 0.01 to include 13,429 genes for comprehensive stQTLs identification since the stability of only 2,593 genes can be  
331     calculated when the stringency is 0.9. Interestingly, we found that 41.88% (634/1,514) of stQTLs with stringency  $\geq 0.9$   
332     overlap with the RBP binding sites, which is significantly higher ( $P = 6e-44$ , Fisher's exact test) than 23.69%  
333     (1,546/6,527) of stQTLs with stringency  $\leq 0.5$ . This result demonstrates that the stability of genes with low read counts  
334     is less accurate and can lead to potential false positives stQTLs. Finally, it should be of note that the mRNA stability  
335     calculated from RNA-Seq using REMBRANDTS is not an actual absolute value, but a differential mRNA stability

336 relative to the average of all samples for a given gene [32,87,88]. Therefore, we suggest that it is necessary to keep these  
337 limitations in mind before evaluating mRNA stability using RNA-Seq data, and to record the stringency of the gene as  
338 a reference for the reliability of stQTLs identification.

339

340 The identification of stQTLs provides a higher resolution to better understand the molecular mechanism of genetic  
341 variants regulating gene expression, and accurate estimation of mRNA stability is very important for the identification  
342 of stQTLs. Although some high-throughput technologies, such as BRIC-Seq [24,89], have been developed to determine  
343 the decay rate of mRNA, these methods are often limited to only being used in cell culture conditions [32] and there are  
344 not enough samples available for QTLs research. Therefore, despite the limitations of computational approaches, such  
345 as SnapShot-Seq [29], EISA, and REMBRANDTS, our analysis for mRNA stability inference using RNA-seq by  
346 REMBRANDTS shows that the stQTL genic distribution and overlap with RBP binding sites is indeed consistent with  
347 biological theories. Furthermore, computer algorithms based on RNA-Seq are still under continuous development. For  
348 example, INSPEcT [87] was recently designed to calculate RNA kinetic rates based on time course RNA-seq data, or  
349 to estimate stability by calculating the difference between premature and mature RNA expression [90]. Going forward,  
350 the stQTLs which are identified with more accurate mRNA stability profiles estimation may further our understanding  
351 of how genetic variants regulate gene expression.

352

353 In conclusion, we present a large-scale identification for eQTLs and stQTLs using RNA-Seq data in lung tissues.  
354 Our results demonstrate that there are differential genic distributions as well as interactions with RBPs or TFs between  
355 eQTLs and stQTLs. We show in this study that simultaneous identification of eQTLs and stQTLs provides more

356 biological insights for better understanding the regulatory mechanisms underlying genetic variants associated with gene  
357 expression.

358

359 **Methods**

360 **Collection of datasets**

361 The genotype data and RNA-Seq data of lung tissues produced by the Genotype-Tissue Expression project [33]  
362 (release 7) were used in this study. RNA-Seq SRA files and genetic variants data were downloaded from NCBI dbGaP  
363 [91] (Study Accession: phs000424.v7.p2). Subject phenotypes were collected from the GTExPortal  
364 (<https://www.gtexportal.org/home/datasets>). The data contains a total of 318 RNA-Seq runs and 404 genetic variant  
365 samples from 289 different subjects. We calculated the average dosages for the genetic variants data from the same  
366 subjects to represent the genotype data of subjects. For RNA-Seq analysis, the human reference genome and annotation  
367 were collected from Ensembl [92], version GRCh37.87. For RNA stability analysis, the annotation GTF files recording  
368 the coordinates of intronic and constitutive exonic segments of genes was generated using the shell script modified from  
369 the first step of the <https://github.com/csglab/CRIES> [32].

370

371 **Processing of RNA-Seq data**

372 The 318 RNA-Seq SRAs were dumped into FASTQ files using SRA Toolkit (<http://ncbi.github.io/sra-tools>). The  
373 read quality and retained adapters were checked with FastQC [93]; then, the adapters and low-quality reads were  
374 trimmed using Trimmomatic v0.39 [94]. The alignment was performed using HISAT2 v2.1.0 [95] with default  
375 parameters. Alignment files from the same subjects were merged. Read counts of introns or exons were extracted

376 separately using the HTSeq-count script of the HTSeq v0.12.4 [96] with the parameter --stranded=no. The RNA stability  
377 profiles for 289 subjects were estimated using the REMBRANDTS [32] with the parameter of linear method and stability  
378 stringency of 0.01. The TPM (transcripts per million) [97] was used as the expression unit to measure the expression  
379 level of 13,476 genes which have stability profiles.

380

381 **Identifying QTLs by associating genetic variants with traits derived from RNA-seq data**

382 For covariates construction, the plink [98] (version 1.90 beta, <https://www.cog-genomics.org/plink/1.9/>) was  
383 performed with the parameter: --indep-pairwise 200 100 0.2 to prune a subset of genetic variants. The PCA analysis  
384 was performed after removing strand ambiguous variants (AT/CG) and genetic variants located in the MHC region. The  
385 first three PCs were selected as covariates with gender and age. For *cis*-QTL identification, genetic variants that were  
386 located within 100Kb upstream from the TSS (transcription start site) to 100Kb downstream from the TTS (transcription  
387 termination site) of Ensembl annotated genes (GRCh37.87) were selected. The expression profile was then converted  
388 with  $\log_{10}(\text{TPM} * 100 + 1)$ , and the linear association analysis was performed between the dosage of each genetic variant  
389 and the value of expression or stability of each gene. The Benjamini-Hochberg Procedure [99] was implemented to  
390 calculate the false discovery rate (FDR), and the genetic variant with the association of FDR less than 0.05 was regarded  
391 as a QTL.

392

393 **Enrichment analysis of QTLs in different genic regions**

394 To determine whether eQTLs and stQTLs were evenly distributed in different genic regions, we performed the  
395 following analyses. Here we use the stQTL as an example. First, we counted the number of all genetic variants in the

396 TSS-upstream (from TSS to 100Kb upstream), 5'UTR, CDS, 3'UTR, intronic and TSS-downstream (from TTS to  
397 100Kb downstream) regions. Let us use  $N^k$  to denote the number of all genetic variants in the  $k$ th region ( $k=1, \dots, 6$ ).  
398 We then counted the number of stQTLs in each of these regions and used  $Q^k$  to denote the number in the  $k$ th region.  
399 Third, to determine whether stQTLs are enriched in region  $k$ , we consider the following numbers:  $Q^k$ ,  $Q^{(-k)}$ ,  $N^k-Q^k$ , and  
400  $N^{(-k)}-Q^{(-k)}$ , which  $(-k)$  indicate all regions other than  $k$ . Fisher's exact test was then used to calculate the significance of  
401 enrichment. The enrichment was performed separately for stQTLs and eQTLs.  
402

#### 403 **Estimation of linkage disequilibrium effect**

404 We performed the plink [98] to all genetic variants of 289 subjects with the parameter: (`--r2 --ld-window 50 --ld-`  
405 `window-kb 100000 --ld-window-r2 0.9`) to estimate the linkage disequilibrium (LD) between each genetic variant. We  
406 then constructed LD blocks, in which  $r^2$  of LD between each genetic variant must be greater than 0.9. To reduce the  
407 influence of LD on the gene distribution of QTLs, we selected the QTLs with the lowest FDR of the association analysis  
408 in each LD block and then performed the enrichment analysis in different genic regions as the previous section.  
409

#### 410 **Identification of QTLs located at binding sites of miRNAs or RBPs**

411 stQTLs and eQTLs were mapped to the binding sites of RNA binding proteins (RBPs) and microRNAs (miRNAs).  
412 RBP binding site data were retrieved from Postar2 [36] (<http://lulab.life.tsinghua.edu.cn/postar/>). miRNA binding site  
413 data were downloaded from targetScanHuman [45] ([http://www.targetscan.org/vert\\_72/](http://www.targetscan.org/vert_72/)). Both databases are based on  
414 the human genome reference version GRCh38. To match with our analysis, we performed LiftOver [100]  
415 (<https://genome-store.ucsc.edu/>) to convert genome coordinates into GRCh37. To evaluate QTLs that locate on the

416 binding sites of RBPs or miRNAs, we selected stQTLs or eQTLs on mature mRNA to align the binding sites data, and  
417 then used the Fisher's exact test [101] to identify RBPs whose binding sites were enriched located.

418

419 **Acknowledgment**

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425

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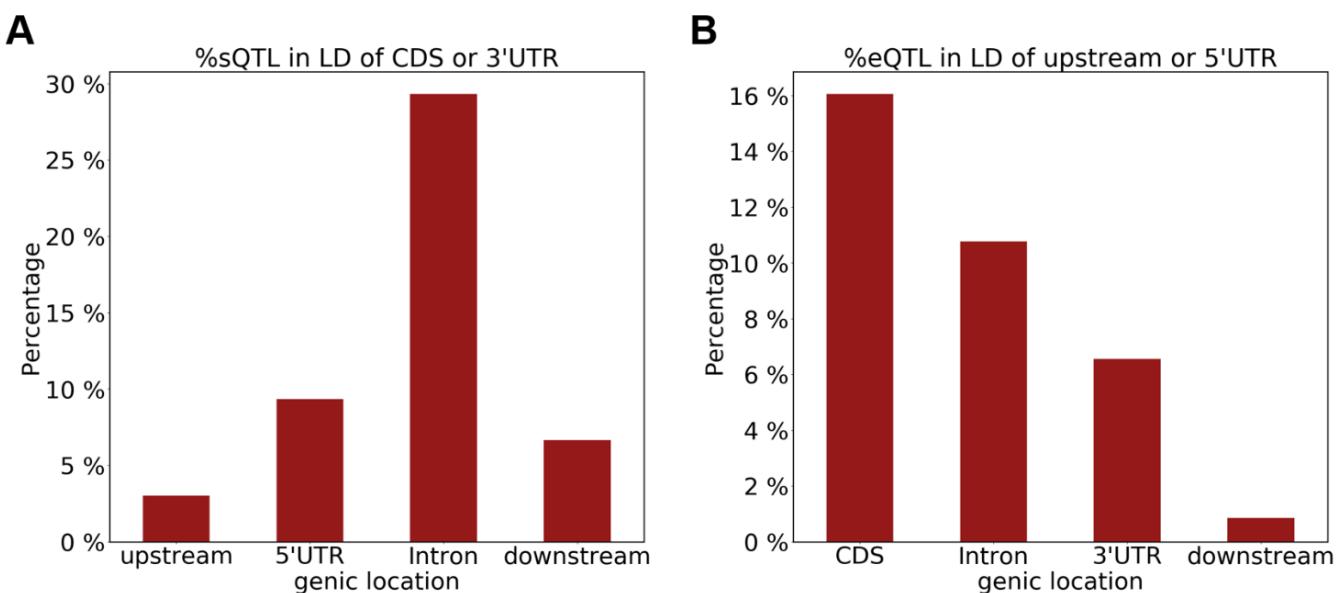
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651

652 **Supporting information**



653

654 **S1 Fig. There is a considerable part of stQTLs/eQTLs have strong LD ( $r^2 > 0.9$ ) with stQTLs/eQTLs in other**

655 **regions.** (A) The proportion of stQTLs in intron, 5'UTR, downstream, and upstream regions that have a strong LD with

656 stQTLs in CDS or 3'UTR. (B) The proportion of eQTLs in CDS, intron, 3'UTR, and downstream regions that have a

657 strong LD with eQTLs in 5'UTR or upstream regions.

658 **S1 Table. RBP motifs of rs3167757 variant C.**

659 **S2 Table. Fisher's exact test between stQTL and not stQTL on mature mRNA (two-sides).**

660 **S3 Table. Fisher's exact test between eQTL and not eQTL on mature mRNA (two-sides).**

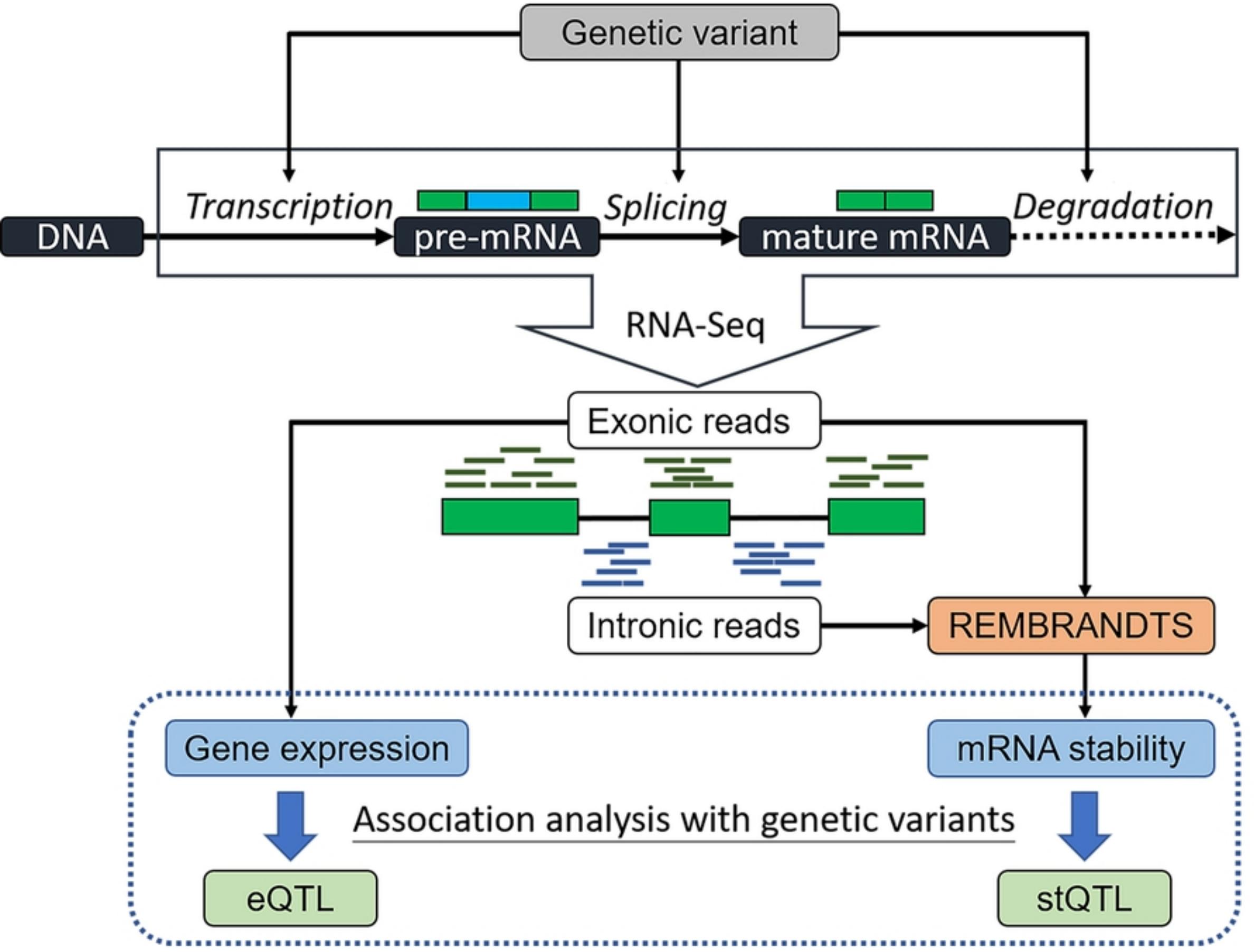


Figure 1

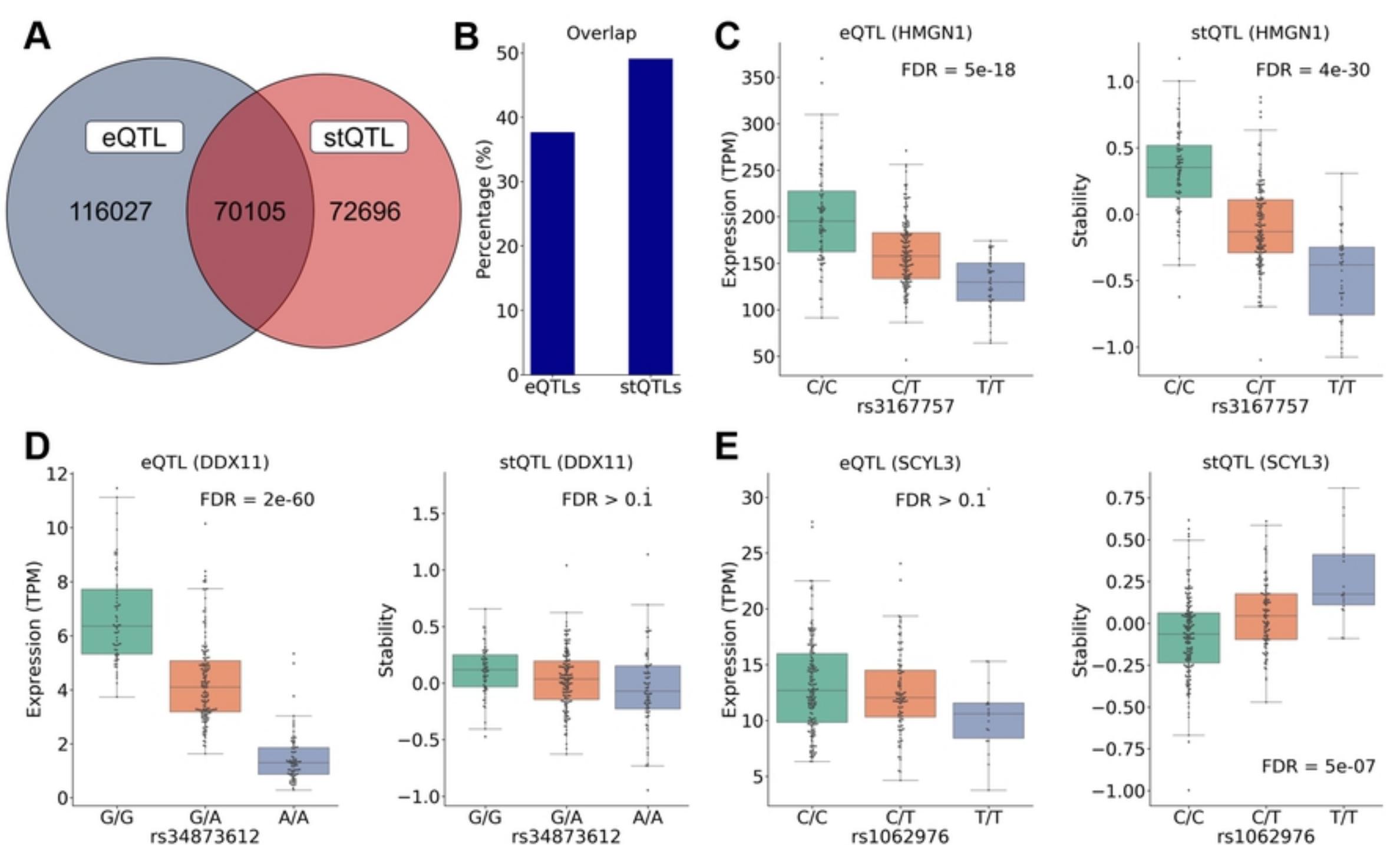


Figure 2

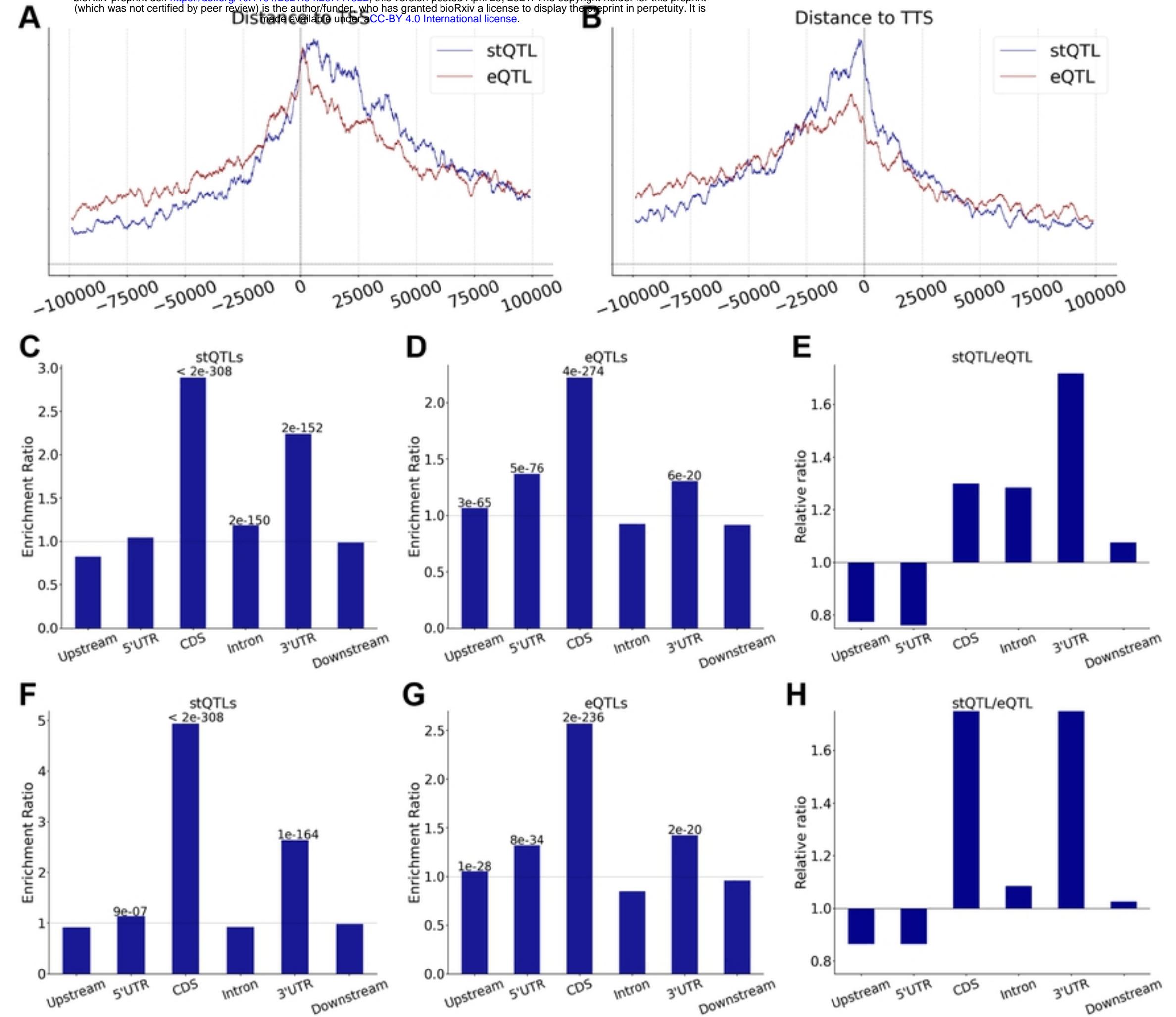
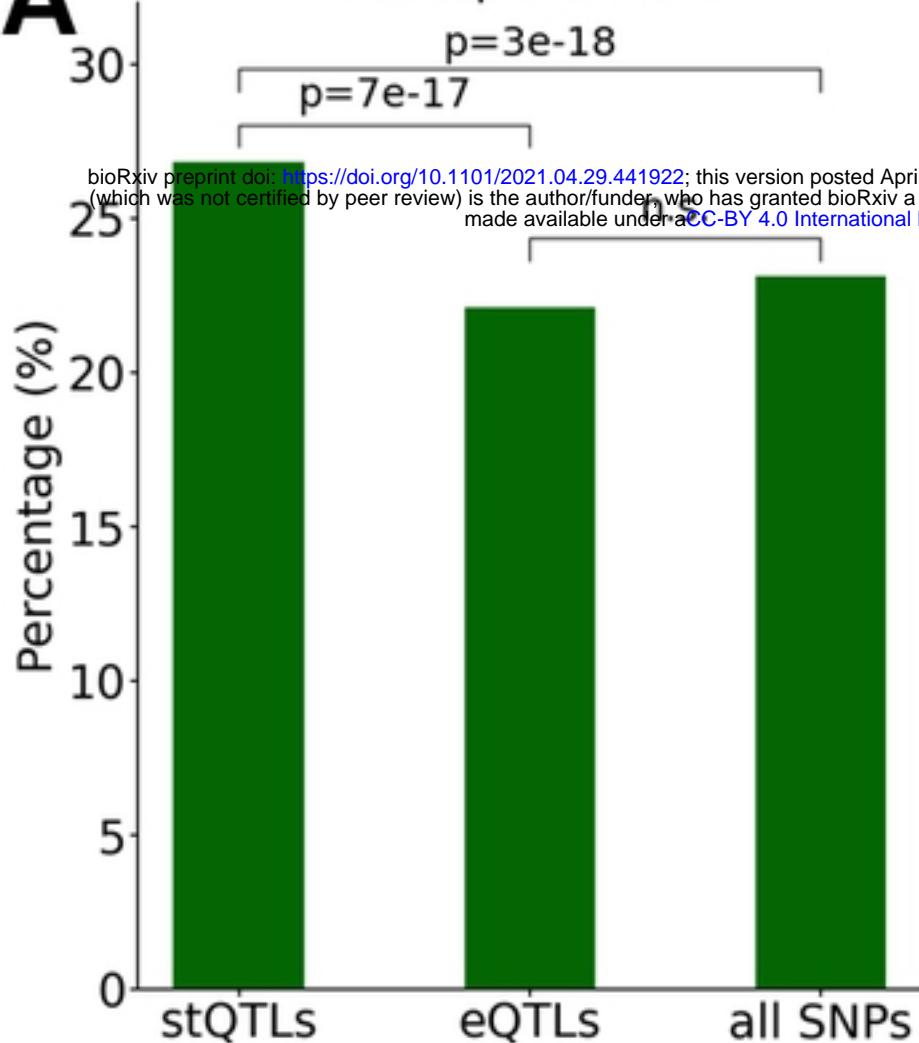


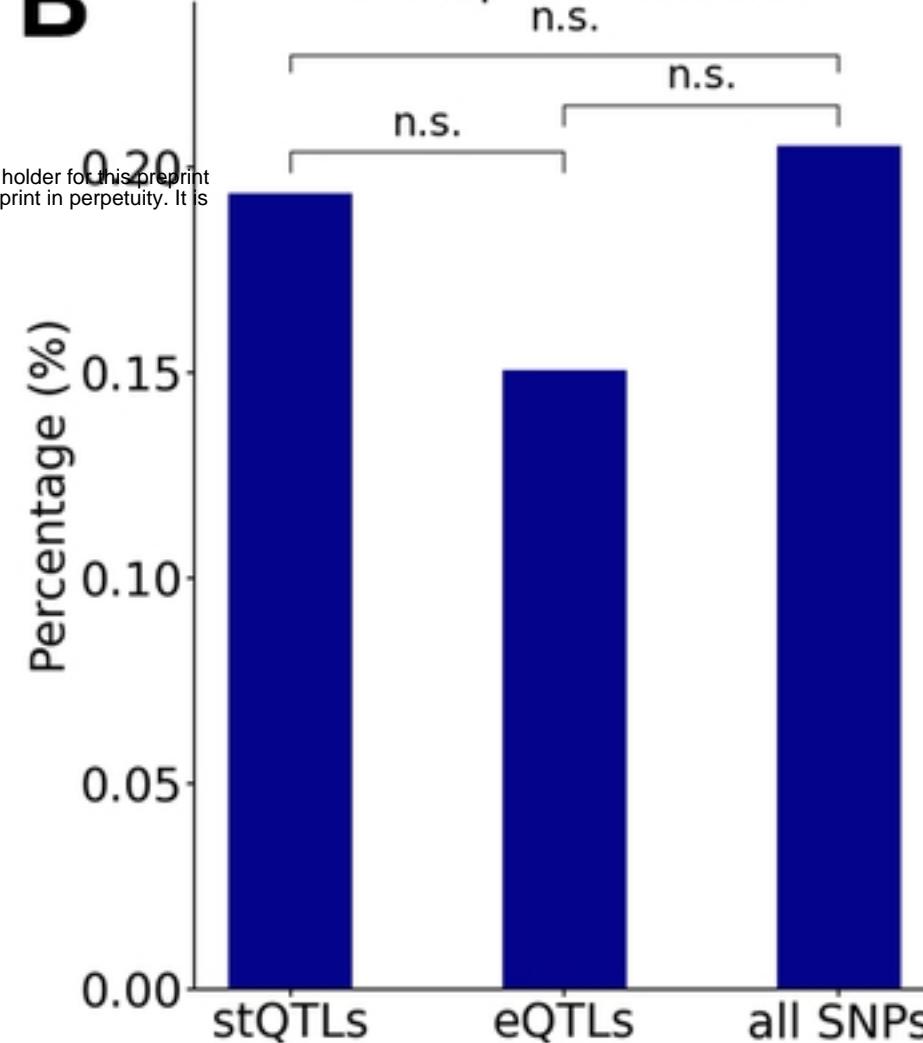
Figure 3

**A**

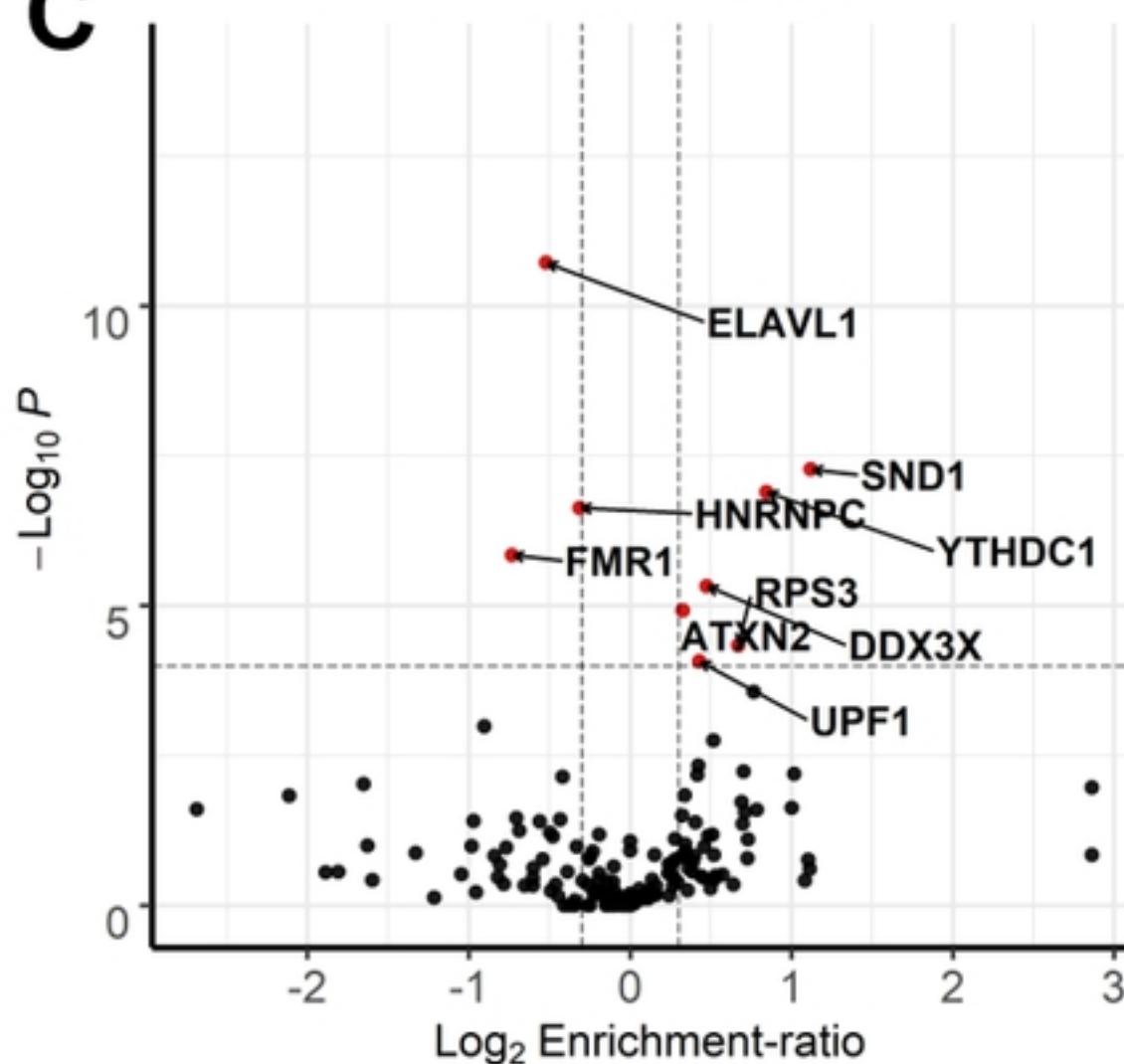
## Overlap with RBPs

**B**

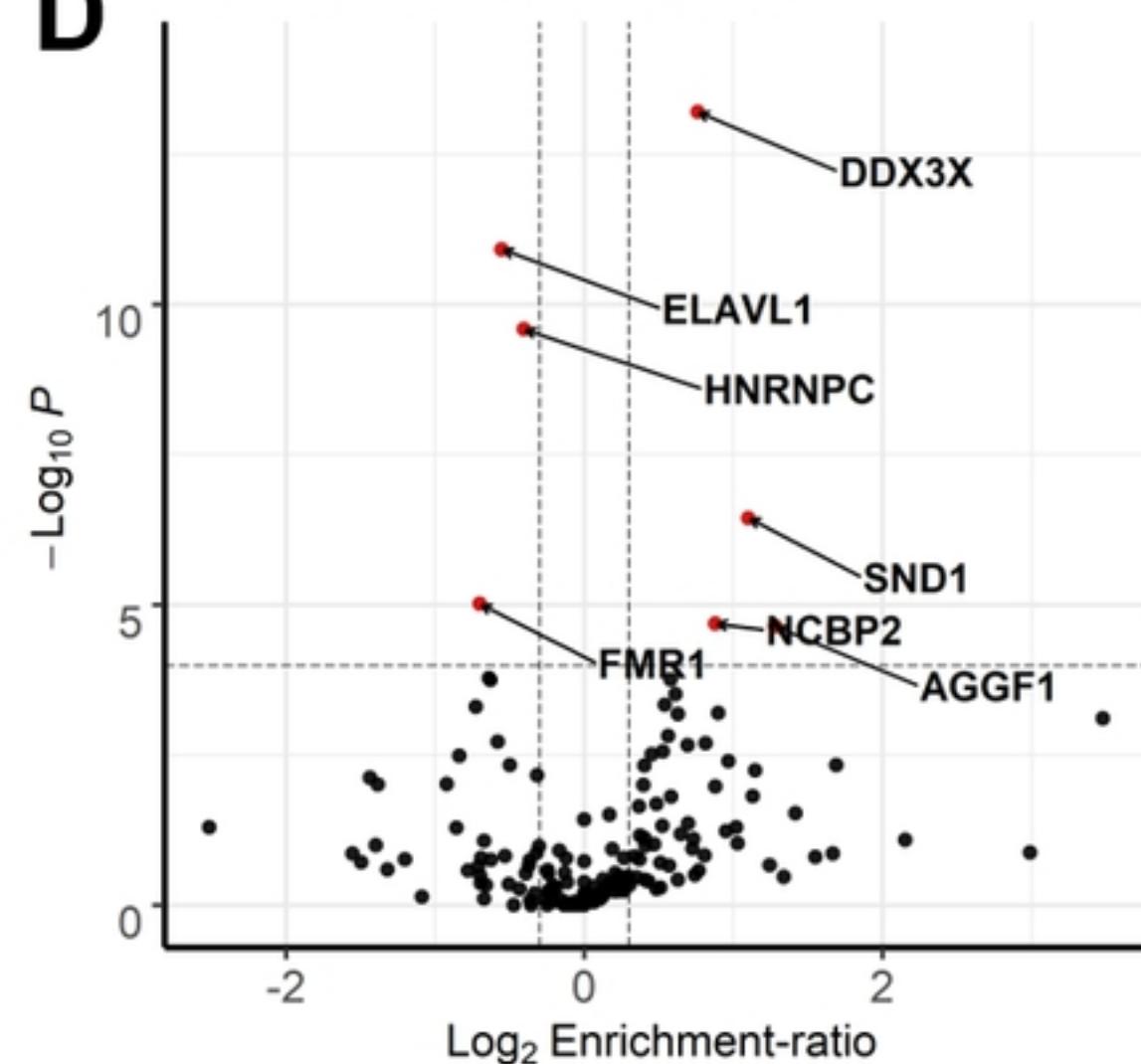
## Overlap with miRNAs

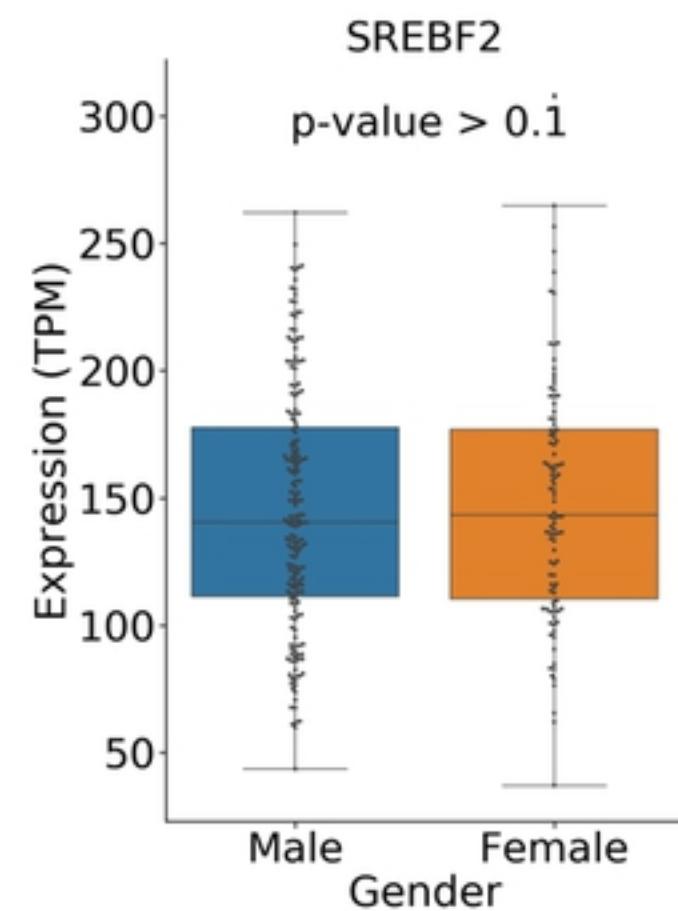
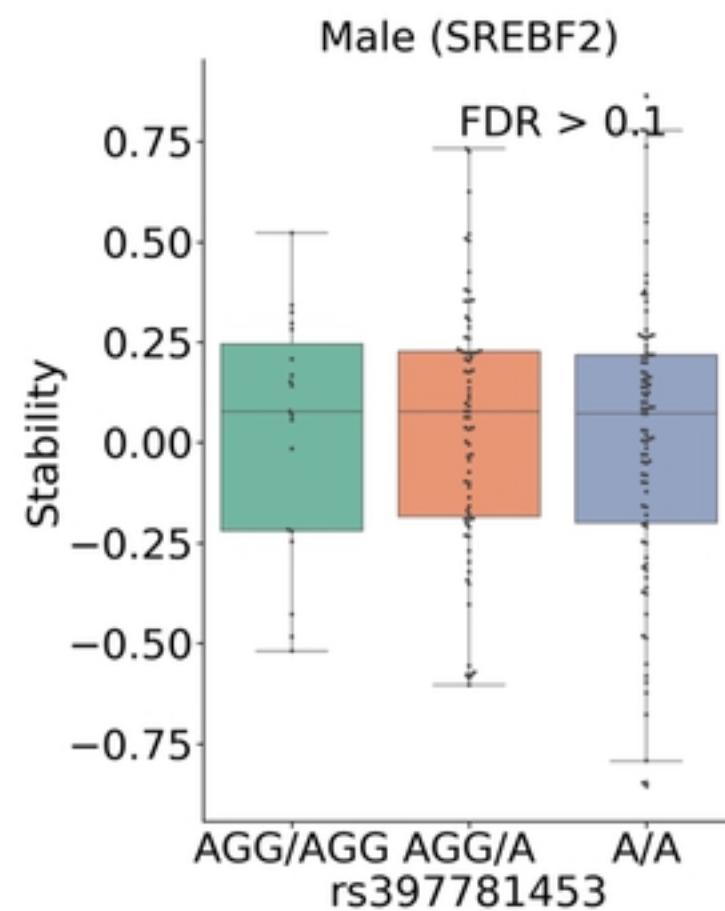
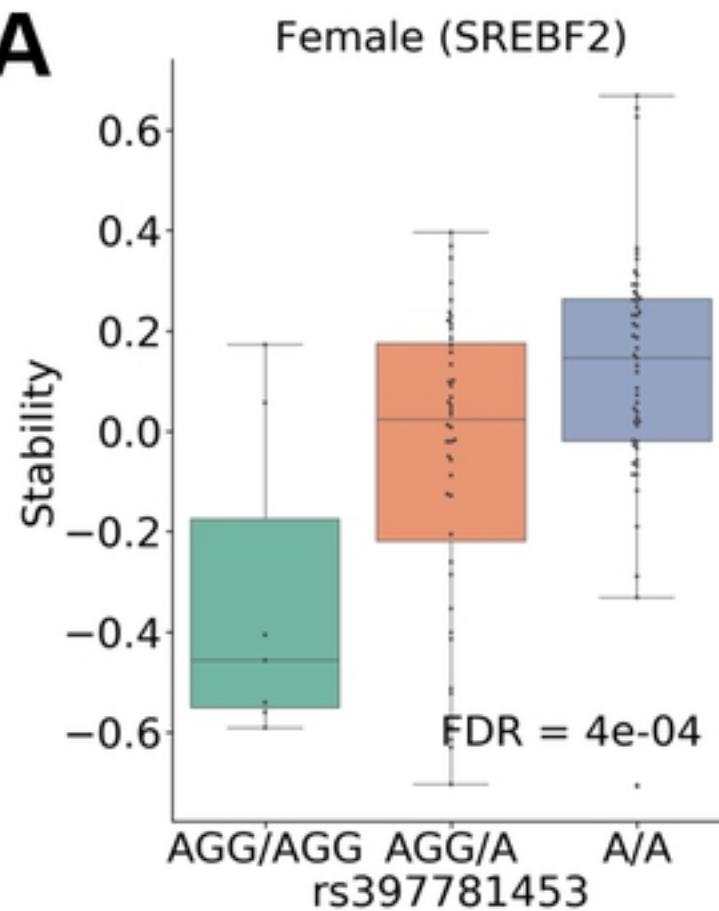
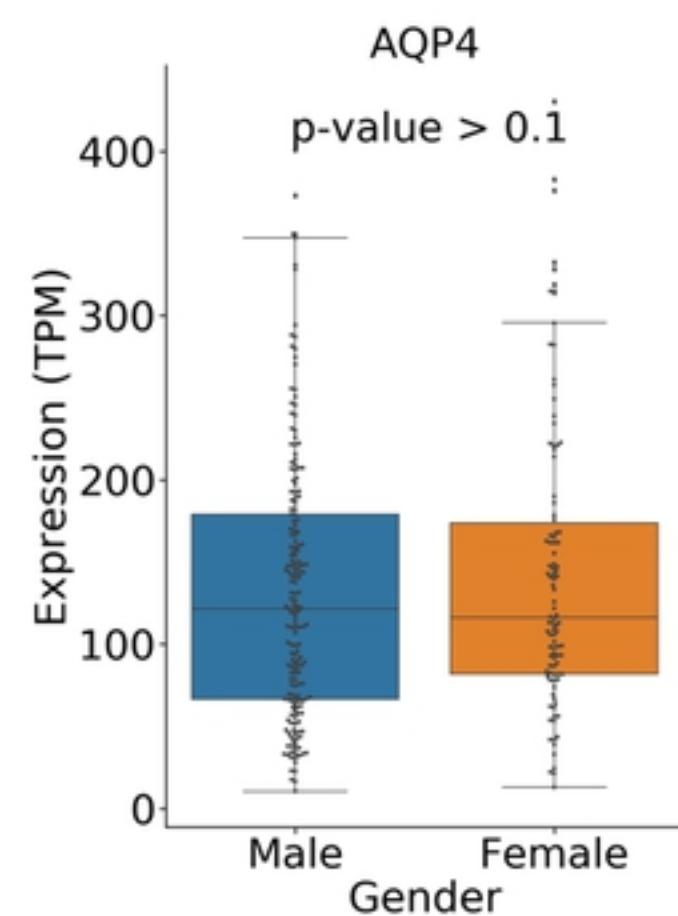
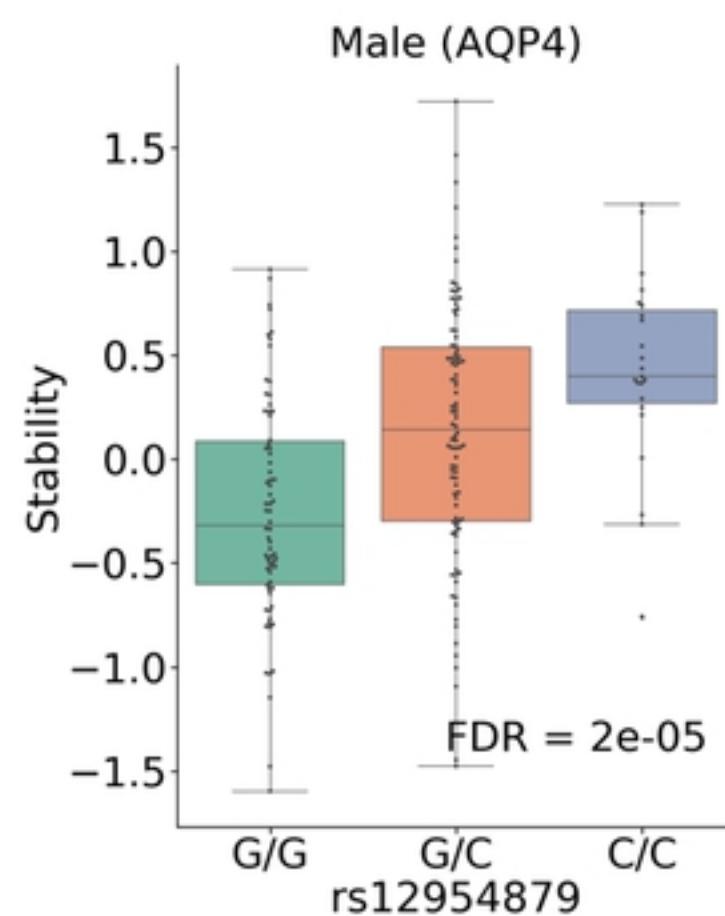
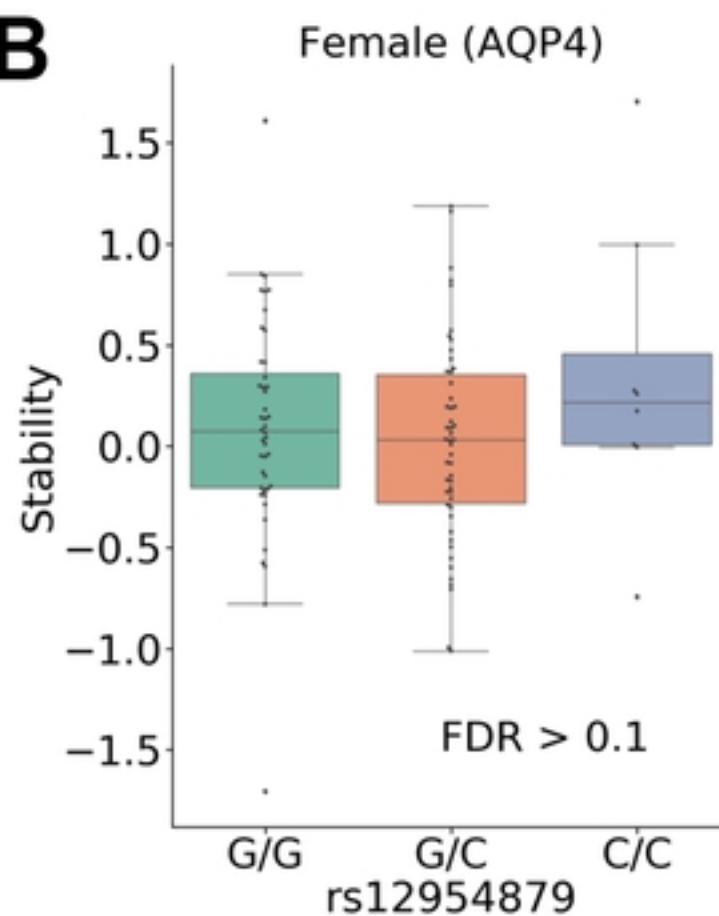
**C**

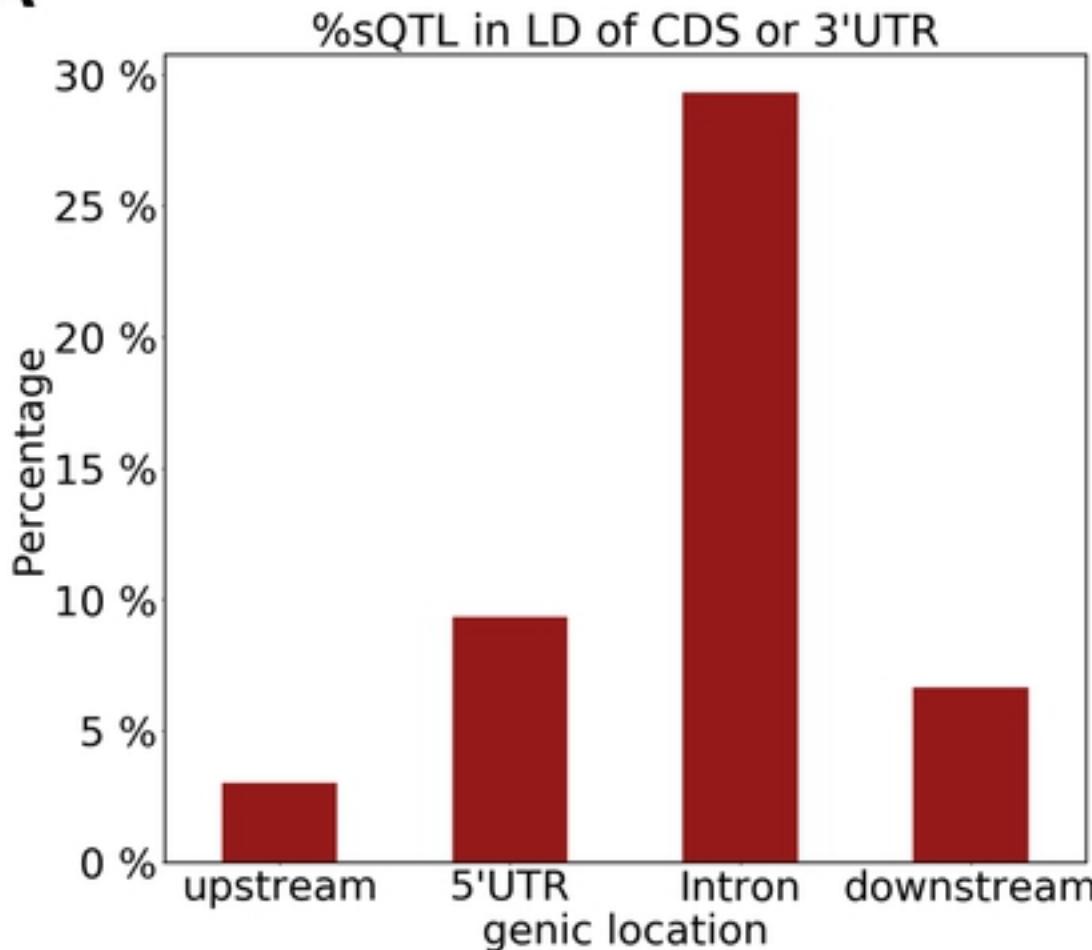
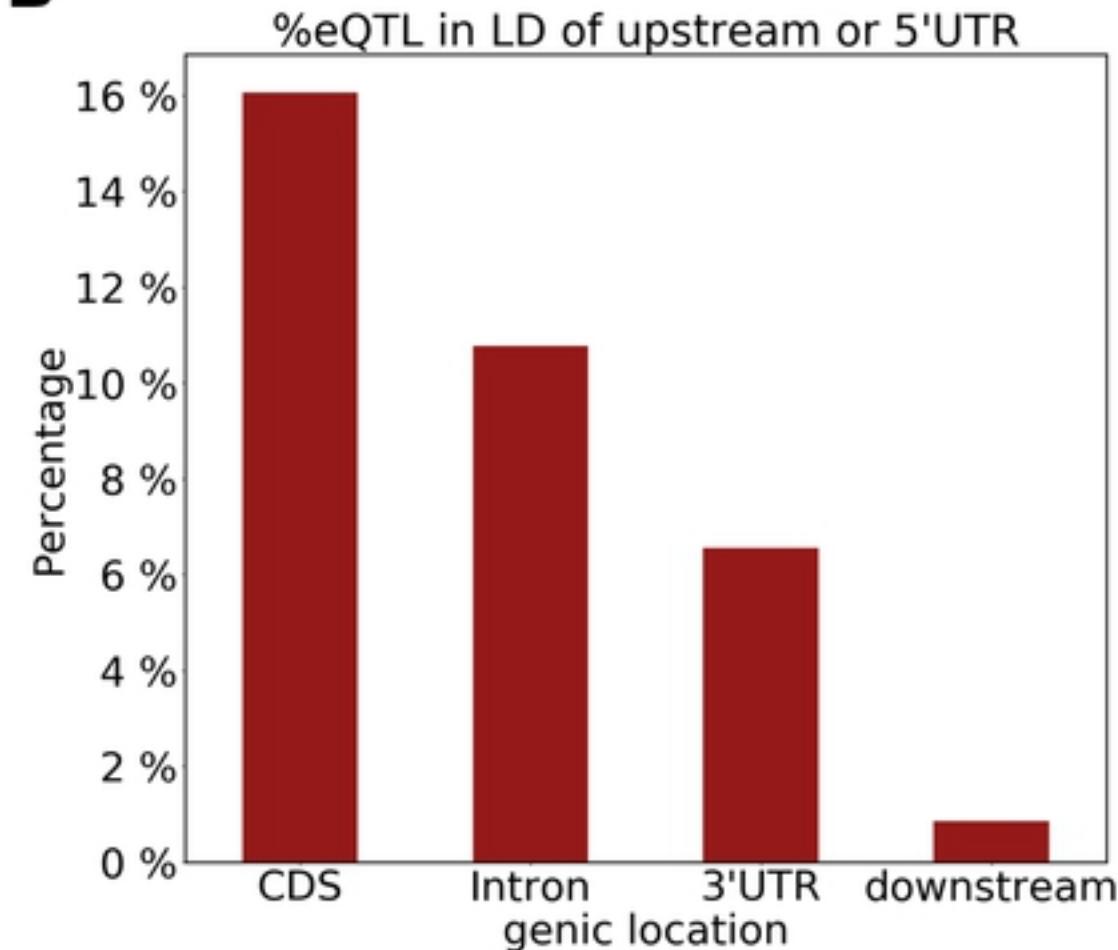
## RBPs enriched binded at stQTLs

**D**

## RBPs enriched binded at eQTLs

**Figure 4**

**A****B****Figure 5**

**A****B****S1 Fig**