

# Immune microenvironment subtypes and association with tumor cell mutations and antigen expression in follicular lymphoma.

3

4 Guangchun Han<sup>1\*</sup>, Qing Deng<sup>2\*</sup>, Enyu Dai<sup>1</sup>, Minghao Dang<sup>1</sup>, John Ma<sup>2</sup>, Haopeng Yang<sup>2</sup>, Olga  
5 Kudryashova<sup>3</sup>, Mark Meerson<sup>3</sup>, Sergey Isaev<sup>3</sup>, Nikita Kotlov<sup>3</sup>, Krystle Nomie<sup>3</sup>, Alexander Bagaev<sup>3</sup>,  
6 Simrit Parmar<sup>2</sup>, Fredrick Hagemeister<sup>2</sup>, Sairah Ahmed<sup>2</sup>, Swami Iyer<sup>2</sup>, Filepe Samaniego<sup>2</sup>,  
7 Raphael Steiner<sup>2</sup>, Luis Fayad<sup>2</sup>, Hun Lee<sup>2</sup>, Nathan Fowler<sup>2,3</sup>, Francisco Vega<sup>4</sup>, Christopher R.  
8 Flowers<sup>2</sup>, Paolo Strati<sup>2</sup>, Jason R. Westin<sup>2</sup>, Sattva S. Neelapu<sup>2</sup>, Loretta J. Nastoupil<sup>2</sup>, Linghua  
9 Wang<sup>1,5¥</sup>, Michael R. Green<sup>1,2,5,6¥</sup>

10 <sup>1</sup>Department of Genomic Medicine, University of Texas MD Anderson Cancer Center, Houston, TX 77030; <sup>2</sup>Department  
11 of Lymphoma & Myeloma, University of Texas MD Anderson Cancer Center, Houston, TX 77030; <sup>3</sup>BostonGene  
12 Corporation, Waltham, MA 02453; <sup>4</sup>Department of Hematopathology, University of Texas MD Anderson Cancer Center,  
13 Houston, TX 77030; <sup>5</sup>MD Anderson Cancer Center UTHealth Graduate School of Biomedical Sciences, Houston, TX,  
14 77030. <sup>6</sup>Center for Cancer Epigenetics, University of Texas MD Anderson Cancer Center, Houston, TX 77030.

15

16 \*Corresponding authors:  
17 Michael R. Green, Ph.D.  
18 Department of Lymphoma & Myeloma,  
19 University of Texas MD Anderson Cancer Center,  
20 1515 Holcombe Blvd., Unit 903,  
21 Houston, TX 77030  
22 Email: [mgreen5@mdanderson.org](mailto:mgreen5@mdanderson.org)  
23 Phone: +1-713-745-4244

24  
25 Linghua Wang, M.D., PhD  
26 Department of Genomic Medicine,  
27 University of Texas MD Anderson Cancer Center,  
28 1881 East Road, Unit 1954  
29 Houston, TX 77054  
30 Email: [lwang22@mdanderson.org](mailto:lwang22@mdanderson.org)  
31 Phone: +1-713-563-2293

2

24

35 Abstract:

36 Follicular lymphoma (FL) is a B-cell lymphoma with a complex tumor microenvironment that is  
37 rich in non-malignant immune cells. We applied single-cell RNA-sequencing to characterize the  
38 diverse tumor and immune cell populations of FL and identified major phenotypic subsets of FL  
39 T-cells including a novel cytotoxic CD4 T-cell population. Their relative proportions of T-cells  
40 defined four major FL subtypes, characterized by differential representation or relative depletion  
41 of distinct T-cell subsets. By integrating exome sequencing, we observed that somatic mutations  
42 are associated with, but not definitive for, reduced antigen presentation on FL cells. In turn,  
43 expression of MHC class II genes by FL cells was associated with significant differences in the  
44 proportions and targetable immunophenotypic characteristics. This provides a classification  
45 framework of the FL microenvironment, their association with FL genotypes and antigen  
46 presentation, and informs different potential immunotherapeutic strategies based upon tumor cell  
47 MHC class II expression.

48

49

50 Statement of significance: We have characterized the FL-infiltrating T-cells, identified cytotoxic  
51 CD4 T-cells as an important component, showed that the abundance of these T-cell populations  
52 is associated with tumor-cell-intrinsic characteristics, and identified sets of targetable immune  
53 checkpoints on T-cells that differed between FLs with normal versus low antigen presentation.

54

55

56

57

58

59

60

61 **Introduction**

62 Follicular lymphoma (FL) is an indolent lymphoma of germinal center B-cells that maintain follicle-  
63 like architecture and interact closely with T-cells and other immune cells. These immune  
64 interactions are critical to FL etiology<sup>1</sup> and can be perturbed by somatic mutations that are  
65 frequent in FLs<sup>2-4</sup>. Understanding the immune tumor microenvironment (iTME) of FL and the  
66 interplay between perturbed immune interactions and distinct tumor-infiltrating T-cell (TINT)  
67 populations will be important for building precision immunotherapeutic approaches, but these  
68 concepts have yet to be comprehensively addressed using high-throughput approaches. Single  
69 cell RNA-sequencing (scRNA-seq) is a powerful and high-throughput approach that has revealed  
70 the deregulation of normal B-cell developmental programs and allowed for the characterization of  
71 targetable immune checkpoints on TINT cells<sup>5,6</sup>. However, these studies have been limited to a  
72 few patients and has not yet been used to investigate broader iTME profiles, or the relationship  
73 between somatic mutations, tumor B-cell expression profiles and changes in the iTME. Using  
74 scRNA-seq of FL lymph node biopsies, we characterized phenotypically distinct subsets of TINT  
75 cells, including a novel cytotoxic CD4 T-cell population, and validated in a large series that the  
76 composition of these T-cell subsets defines four distinct subtypes of iTME in FLs. By integrating  
77 exome sequencing and scRNA-sequencing data, we showed that somatic mutations in chromatin  
78 modifying genes can affect the expression of immune interaction genes encoding proteins such  
79 as major histocompatibility complex (MHC) class I and class II on tumor cells, which is in turn  
80 associated with changes in the frequencies and targetable immune profiles of T-cell subsets in  
81 FL tumors.

82

83

84

85

86

87 **Results**

88 Single cell RNA sequencing (scRNA-seq) of FL

89 We performed scRNA-seq of 20 FL and three reactive lymph nodes (RLN) using the 10X  
90 Chromium platform to profile the transcriptome in addition to T-cell receptor (TCR) and  
91 immunoglobulin (Ig) repertoires (Table S1). Additional marker genes were subjected to targeted  
92 sequencing by CapID, as previously described<sup>7</sup>. Each biopsy was analyzed fresh to retain cell  
93 types that are sensitive to cryopreservation, and included 11 previously untreated and nine  
94 relapsed FLs (median 1 line of prior therapy, range 1-6) that were grade 1-2 (n=14) or 3a (n=6).  
95 RLN (n=3) samples were included as controls. We sequenced a median of 6,138 (range; 635-  
96 11,070) cells per sample to a median of 57,933 (range; 49,833-324,873) reads per cells and  
97 detected a median of 1,115 (range; 447-2,979) genes per cell. After rigorous quality filtering,  
98 137,147 cells were retained for subsequent analyses (Figure 1A). Unsupervised clustering  
99 analysis following batch effects correction identified six major cell lineages: B-cell, T-cell,  
100 monocyte/macrophage, follicular dendritic cell (fDC), plasmacytoid dendritic cell (pDC), and  
101 erythroid cell clusters, as determined by cluster marker genes (**Figure 1B-C**; Table S2).

102

103 B-cells were re-clustered (**Fig. 1D-E**) and cells defined as either tumor or non-malignant by the  
104 presence/absence of a clonal immunoglobulin sequence (**Fig. 1F**) or DNA copy number  
105 alterations (Fig. S1). Clusters of non-malignant B-cells (C2), plasma cells (C15) and proliferating  
106 B-cells (C6) included non-malignant cells from both FL and RLN samples (**Fig. 1D-E**). A central  
107 cluster (C0) was also found to contain cells from multiple samples, but consisted exclusively of  
108 clonal malignant B-cells from FLs, suggesting that tumor cells from a subset of cases have shared  
109 transcriptional characteristics. These FLs consisted of both low and high-grade tumors, but 74%  
110 of cells originated from treatment-naïve tumors (Table S1) suggesting that tumor B-cells from  
111 relapsed FLs have a greater inter-sample divergence in transcriptional profiles compared to  
112 treatment-naïve FLs.

113

114 Tumor infiltrating T-cell composition defines iTME subtypes of FL

115 T-cells comprised of a median of 87.6% (range 73.8% to 98.9%) of the non-malignant cells within  
116 the iTME (**Fig. 2A**). We further characterized phenotypically distinct subsets of CD4 and CD8 T-  
117 cells by subclustering analysis (Fig. S2; Table S3). Clusters of CD8 T-cells included naïve (CCR7,  
118 *SELL*, and *IL7R*), effector (granzymes *GZMA/B/K* and *PRF1*) and exhausted (CD8<sub>Exh</sub>, high  
119 expression of inhibitory immune checkpoint genes such as *TIGIT* and *LAG3*, and a high  
120 exhaustion score) subsets (**Fig. 2B-C**). Trajectory analysis showed that these represent a  
121 functional continuum from naïve through to exhausted states (Fig. S3). Subclustering analysis of  
122 CD4 T-cells identified four transcriptome states (**Fig. 2D**), including naïve (high expression of  
123 *CCR7*, *SELL* and *IL7R*), T-regulatory (T<sub>REG</sub>; high expression of *FOXP3*, *CTLA4*, *IL2RA*), T  
124 follicular helper (T<sub>FH</sub>; high expression of *PDCD1*, *TOX*, *TOX2*, *CXCR5* and *CD40LG*), and  
125 cytotoxic CD4 T-cells (CD4<sub>CTL</sub>; high expression of *GZMA/K*, *NKG7*, and *EOMES*), all of which  
126 were detected in both FL and RLN samples. While naïve, T<sub>REG</sub> and T<sub>FH</sub> cells are well-described  
127 components of FL<sup>1</sup>, there are no prior reports of CD4<sub>CTL</sub> cells in FL or any other germinal center  
128 derived lymphoma. CD4<sub>CTL</sub> cells express *CD4* but not *CD8A/B*, have a high cytotoxicity score with  
129 *GZMK* expression detectable in 89.6% of cells, and high expression of the *EOMES* transcription  
130 factor that is implicated in CD4<sub>CTL</sub> development<sup>8</sup>. In addition, CD4<sub>CTL</sub> cells bear some similarities  
131 to T<sub>FH</sub> cells, including high expression of *CXCL13* and *PDCD1*, and are most closely related to  
132 T<sub>FH</sub> cells by trajectory analysis (Fig. S3). A high fraction of CD4<sub>CTL</sub> expressed co-inhibitory  
133 receptors (*LAG3*, *CTLA4*, *HAVCR2*; Table S3) that are potentially targetable. Thus, our scRNA-  
134 seq analysis revealed a novel cytotoxic CD4 T-cells component of the lymphoid and FL iTME that  
135 requires further functional exploration.

136

137 The abundance of functionally distinct tumor infiltrating T-cell (TINT) populations that we  
138 characterized by scRNA-seq were highly variable across patients (**Fig. 2A**). We therefore

139 assessed their representation across an external validation set of bulk gene expression profiling  
140 (GEP) from 1,269 FLs compiled from 15 datasets. Signatures derived from our scRNA-seq data  
141 were validated in publicly available scRNA-seq data (Fig. S4), then used to infer the abundance  
142 of each cell type by single cell gene set enrichment analysis (ssGSEA) followed by clustering the  
143 inferred frequencies to define sets of tumors with similar TINT profiles (**Fig. 2E**), as previously  
144 described<sup>9</sup>. This revealed four distinct subtypes of iTME in primary human FL based on the  
145 relative abundance of TINT cells: (TINT1) high in CD8 effector, CD8 naïve and CD4 naïve;  
146 (TINT2) high in CD8<sub>Exh</sub>, T<sub>REG</sub>, T<sub>FH</sub> and CD4<sub>CTL</sub>; (TINT3) high in malignant B-cells and depleted of  
147 T-cell subsets; (TINT4) high in malignant B-cells and depletion of CD8 effector, CD8 naïve and  
148 CD4 naïve. The landscape of TINT as defined by scRNA-seq cell composition and measured in  
149 bulk GEP data therefore defines four distinct subsets of iTME in primary human FL.

150

151 Multiple mechanisms of MHC class II loss on FL tumor B cells

152 Mutations in chromatin modifying genes (CMGs) are a hallmark of FL<sup>10</sup>, and affect the expression  
153 of genes in tumor B-cells through epigenetic dysregulation. The most frequently mutated CMGs  
154 (*KMT2D*, *CREBBP* and *EZH2*) have each been implicated in deregulating interactions between  
155 tumor cells and T-cells<sup>3,4,11</sup>, leading us to hypothesize that these mutations may underlie tumor-  
156 cell-intrinsic gene expression changes that drive differential TINT profiles. Using whole exome  
157 sequencing of tumors with available DNA (n=19; Table S5; **Fig. 3A**), we applied single cell  
158 differential gene expression profiling to identify genes that were significantly altered in association  
159 with these mutations (**Fig. 3B**; Tables S6). Collectively, the union of genes with significantly  
160 reduced expression (FDR q-value<0.05, fold change>1.2; n=355; Table S6) in association with  
161 one or more of these mutations was significantly enriched for genes involved in immune cell  
162 interactions ( $p = 1.4 \times 10^{-7}$ ) including those with a role in antigen processing and presentation ( $p =$   
163  $2.2 \times 10^{-29}$ ), confirming that these mutations alter genes involved in immune cell interactions (**Fig.**  
164 **3C**). In line with prior reports, *CREBBP* and *EZH2* mutations were both associated with reduced

165 expression of multiple genes involved in antigen presentation through the MHC molecule<sup>3,11</sup>,  
166 which present antigens that are recognized by T-cell receptors and therefore affect T-cell  
167 activation. Mutations of *CREBBP* co-occurred with *EZH2* mutations in three out of four cases and  
168 were predominantly associated with lower MHC class II (MHCII) expression (**Fig. 3D**), while *EZH2*  
169 mutations were selectively associated with lower MHC class I (MHCI) expression. *KMT2D*  
170 mutations were also associated with reduced expression of a subset of MHCI genes, and co-  
171 occurred with *EZH2* mutations in three out of four tumors. Using non-malignant B-cells from RLNs  
172 as reference to define normal *MHCI* and *MHCII* expression levels, we observed that loss of MHCI  
173 and/or MHCII was not restricted to *EZH2* and/or *CREBBP* mutant tumors (**Fig. 3E**). Specifically,  
174 MHCII loss was most prevalent and observed in 58% (11/19) of tumors, but 27% (3/11) of MHCII-  
175 low tumors lacked *CREBBP* or *EZH2* mutations. Further, one *CREBBP* mutant tumor did not  
176 show MHCII loss at mRNA level. CMG mutations in FL are therefore associated with perturbed  
177 expression of immune interaction genes on tumor B-cells, but additional mechanisms exist for  
178 MHCI and MHCII loss that are likely to have an equal impact on tumor infiltrating immune cells  
179 via deregulation of immune synapse formation.

180

181 Frequencies and targetable features of TINT are associated with tumor B-cell MHCII expression  
182 Having observed different patterns of TINT in FL, and mutation-associated changes in MHCI and  
183 MHCII expression on tumor B-cells, we next evaluated whether these features were associated.  
184 Tumor MHCII loss was more significantly associated with TINT frequencies than somatic  
185 mutations of *CREBBP*, *EZH2* or *KMT2D* (Table S7), and was more frequent than MHCI loss, so  
186 we focused on this feature. MHCII-low tumors had significantly reduced levels of CD8<sub>Exh</sub> and  
187 CD4<sub>CTL</sub> (**Fig. 4A**) – features of the TINT2 microenvironment subtype (**Fig. 2E**). Despite a relatively  
188 modest sample size, we observed both a quantitative and qualitative relationship between MHCII  
189 expression/status and the frequencies of these TINT subsets (**Fig. 4B-D**). In mantle cell  
190 lymphoma, tumor cell immunopeptidome profiling revealed presentation of tumor idiotype

191 peptides in MHCII that were recognized by CD4<sub>CTL</sub> in the peripheral blood<sup>12</sup>. We therefore  
192 reasoned that loss of MHCII may be selectively acquired in cells that have accumulated  
193 immunogenic mutations in their idioype sequences, and thus may be restricted to immunogenic  
194 clades of the immunoglobulin hierarchy. By evaluating paired single cell BCR sequencing data,  
195 we found anecdotal evidence of this in three FL tumors (Fig. S5), but this trend was not  
196 widespread in this cohort.

197

198 In addition to changes in the frequencies of CD4 T-cells, we explored differences in gene  
199 expression of tumor infiltrating CD4 and CD8 T-cells using single cell differential gene expression  
200 analysis (Table S8-S9; **Fig. 4E-J**). Cells were clustered within the space of the differentially  
201 expressed genes (DEGs), which revealed three clusters for both CD4 and CD8 T-cells that had  
202 significantly different representation of cells from MHCII-high vs MHCII-low tumors (**Fig. 4E**, CD4,  
203 p=3.8x10<sup>-67</sup>; **Fig. 4H**, CD8, p=2.1x10<sup>-61</sup>). The DEGs includes markers of activation, transcription  
204 factors and multiple targetable cell surface immune checkpoint molecules. C1 clusters which have  
205 the lowest frequency of cells from MHCII-low tumors expressed the highest level of these genes,  
206 and C3 clusters which have the greatest frequency of cells from MHCII-low tumors express low  
207 levels of these genes. This is suggestive of higher levels of T-cell activation and exhaustion in  
208 tumors that have retained MHCII expression, as supported by GSVA analysis of a previously  
209 described exhaustion score (**Fig. 4G & J**), and in line with prior associations between MHCII  
210 expression and superior response to immune checkpoint blockade<sup>13</sup>. We therefore aimed to  
211 assess the most dynamic pairs of immune checkpoints that may serve as therapeutic targets in  
212 FL tumors with high MHCII (**Fig. 4K**). Within the CD8 T-cell compartment, the most significant  
213 change was increased frequencies of *LAG3* and *TIGIT* dual-expressing cells (fold-change = 4.3;  
214 FDR q-value = 1.6x10<sup>-3</sup>; **Fig. 4K & L**), which have yet to be explored as combination therapeutic  
215 targets in lymphoma. The most significant change in the CD4 T-cell compartment was the  
216 increased prevalence of *TNFRSF4* (aka. *OX40*) and *CTLA4* dual-expressing CD4 T-cells (fold-

217 change = 3.9; FDR q-value = 0.01; **Fig. 4K & M**), combined targeting of which has been shown  
218 to be highly efficacious in preclinical models of lymphoma<sup>14</sup>. Thus, tumor cell MHCII expression  
219 correlates with the frequency and targetable immune profile of TINT cells in FL, highlighting  
220 subsets of FL that are likely to have differential responses to specific immune checkpoint  
221 blockade.

222

### 223 Discussion

224 Follicular lymphoma is an indolent disease, with some patients having equivalent overall survival  
225 to age-matched controls<sup>15,16</sup>. Decreasing the use of cytotoxic chemotherapy in the treatment of  
226 FL is therefore a priority. The iTME of FL is a complex ecosystem that includes large numbers of  
227 T-cells that provide survival signals that are integral to disease etiology, offering an attractive  
228 opportunity for immunotherapeutics that target critical nexuses. However, single agent checkpoint  
229 blockers such as anti-PD1/PD-L1 are largely ineffective in FL<sup>17</sup>. Understanding the characteristics  
230 of the FL iTME and how it is modulated by tumor-cell-intrinsic characteristics is therefore an  
231 important step towards the rational design of combination immunotherapeutic strategies that may  
232 have increased efficacy.

233

234 The large number of cells that we sequenced afforded us the power to identify functionally distinct  
235 subsets of T-cells. Among these was a subset of CD4<sub>CTL</sub> that have not been previously  
236 appreciated as a component of the FL iTME, and have been infrequently described in other  
237 cancers such as bladder cancer<sup>18</sup> and in the peripheral blood of mantle cell lymphoma patients<sup>12</sup>.  
238 In the latter, these cells were shown to recognize tumor idiotype peptides presented in MHCII.  
239 However, we did not find strong evidence in support of this in FL. CD4<sub>CTL</sub> play an important role  
240 in antiviral immune responses<sup>8</sup>, and their development in this context has been shown to be  
241 mediated by the transcription factors T-bet or EOMES<sup>8</sup>. Consistent with this, we observed high  
242 expression of *EOMES* in the CD4<sub>CTL</sub> that we defined. Interestingly, CD4<sub>CTL</sub> were also detected

243 within RLN samples suggesting that these cells may be a normal component of the lymphoid  
244 microenvironment. However, there were significant differences in gene expression between  
245 CD4<sub>CTL</sub> from FLs compared to RLN such as the downregulation of costimulatory receptors and  
246 IL6 signaling genes that are suggestive of dysfunction in FL. In addition, we identified multiple  
247 potential therapeutic targets on CD4<sub>CTL</sub>, including exhaustion markers *CTLA4*, *LAG3* and  
248 *HAVCR2* (aka. *TIM-3*). Future studies are needed to characterize the role of CD4<sub>CTL</sub> in normal  
249 and malignant lymphoid tissues, and whether these cells can be targeted to induce anti-lymphoma  
250 immunity.

251

252 Loss of antigen presentation is common in FL and has been linked to recurrent mutations in  
253 *CREBBP* and *EZH2*<sup>3,11</sup>. We confirmed this association but also identified multiple cases of FL with  
254 mutation-independent loss of antigen presentation and showed that the antigen presentation  
255 status is more significantly associated with TINT characteristics than somatic mutations.  
256 Specifically, we observed an association between normal MHCII expression on tumor B-cells and  
257 higher frequencies of CD4<sub>CTL</sub> and CD8<sub>Exh</sub> T-cells. The high expression of exhaustion markers on  
258 both CD4<sub>CTL</sub> and CD8<sub>Exh</sub> suggests that FL tumors with normal MHCII expression may have an  
259 inflammatory microenvironment that promotes adaptive immune suppression and T-cell  
260 exhaustion. In other cancers, ‘warm’ microenvironments such as this show greater response to  
261 immune checkpoint blockade<sup>19</sup>. We explored potential therapeutic targets on the T-cells from FL  
262 tumors with retained MHCII expression and identified *LAG3+TIGIT* and *CTLA4+TNFRSF4* as  
263 potential combination immunotherapy targets for CD8 and CD4 T-cells, respectively. Our data  
264 also suggest that tumors with MHCII loss may have ‘cold’ microenvironments and be less  
265 responsive to immune checkpoint blockade. Therefore, tumor cell MHCII expression status should  
266 be prospectively explored as a potential biomarker for selection of, and response to, immune  
267 checkpoint therapies in FL.

268

269 CD19 chimeric antigen receptor (CAR) T-cell therapy is highly efficacious in relapsed/refractory  
270 FL and has recently been FDA approved in this setting. Responses are likely to be impacted by  
271 the tumor microenvironment characteristics of FL, but these characteristics have not been  
272 thoroughly explored in a large series of tumors. We therefore leveraged our signatures from  
273 scRNA-seq data to explore the relative representation of T-cell subsets in a large number of  
274 tumors using bulk GEP data. This identified four major subtypes of FLs characterized by different  
275 patterns of TINT cells, including 'warm' (TINT1, TINT2), 'cold' (TINT3) and intermediate (TINT4)  
276 subtypes, consistent with prior observations using NanoString GEP<sup>20</sup>. Mutation data were not  
277 available for these tumors to evaluate the relationship between tumor microenvironment subtype  
278 and mutations of *CREBBP* or *EZH2*, and tumor MHCII status cannot be predicted due to highly  
279 variable frequencies of tumor infiltrating T-cells and other antigen presenting cells. This will  
280 therefore require prospective validation using orthogonal approaches. However, consistent with  
281 our scRNA-seq data, T-cell subsets that express high levels of exhaustion markers (CD4<sub>CTL</sub> and  
282 CD8<sub>Exh</sub>) were correlated in their relative representation across these microenvironment subtypes.  
283 We therefore suggest that evaluation of these tumor infiltrating T-cell subtypes may be important  
284 to prospectively evaluate in FL patients being treated with CD19 CAR T-cells and other cellular  
285 therapies or immunotherapies.

286

287 In conclusion, the FL tumor microenvironment is highly variable across patients and influenced  
288 by tumor-cell-intrinsic characteristics such as somatic mutations and antigen presentation status.  
289 The characteristics of tumor infiltrating T-cells allow for data-driven selection of combination  
290 immunotherapy targets, and highlight 'warm' and 'cold' microenvironments that are important to  
291 prospectively consider as potential determinants of immunotherapeutic and cellular therapy  
292 responses in FL patients.

293

294

295 **Methods**

296 For detailed methods, please refer to the supplementary information. FL and RLN biopsies were  
297 obtained following informed consent under protocols approved by the Institutional Review Board  
298 of MD Anderson Cancer Center (Protocols 2005-0656 and PA19-0420). Tissues were processed  
299 fresh by physical disaggregation through a metal screen followed by a 40 $\mu$ M filter and loaded  
300 onto a 10X Chromium with 5'GEX chemistry to obtain a goal of 10,000 cells per sample.  
301 Transcriptome, BCR and TCR libraries were prepared and sequenced according to the  
302 manufacturer's protocol. CapID hybrid-capture sequencing of transcriptome libraries was  
303 performed as previously described<sup>7</sup>. Single cell RNA-sequencing analysis was performed  
304 following quality filtering and batch correction using Seurat<sup>21</sup>. Genomic DNA from residual cells  
305 and interrogated by whole exome sequencing using Nimblegen SeqCap Exome v3. Somatic  
306 mutations were identified and annotated as previously described<sup>22</sup>.

307

308 **Acknowledgements**

309 This work was supported by R01 CA201380 (MRG), the MD Anderson Cancer Center Support  
310 Grant (P30 CA016672), the Jaime Erin Follicular Lymphoma Research Consortium (MRG, SN),  
311 the Futch Foundation (LN, MRG), and an MD Anderson Institutional Research Grant (LW).  
312 MRG is a Scholar of the Leukemia and Lymphoma Society. HY is a fellow of the Leukemia and  
313 Lymphoma Society. PS is supported by a Lymphoma Research Foundation Career Development  
314 Award.

315

316 **Disclosures**

317 OK, MM, SI, NK, KN, AB and NF report employment by BostonGene Corporation. SA reports  
318 consultancy for Tessa Therapeutics and research funding from Seattle Genetics. SI reports  
319 research funding from Merck, Seattle Genetics, Rhizen, Affimed, Spectrum, Trillium, CrisprRx,  
320 Novartis and honoraria from Target Oncology, Curio Biosciences outside the submitted work. FV

321 reports research funding from CRISP Therapeutics and Geron Corporation, and honoraria from  
322 i3Health, Elsevier, America Registry of Pathology, and Society of Hematology Oncology. PS  
323 reports consultancy for Roche-Genentech and research support from Astrazeneca-Acerta. SSN  
324 reports honoraria from Kite/Gilead, Merck, Bristol Myers Squibb, Novartis, Celgene, Pfizer,  
325 Allogene Therapeutics, Cell Medica/Kuur, Incyte, Precision Biosciences, Legend Biotech, Adicet  
326 Bio, Calibr, and Unum Therapeutics, research support from Kite/Gilead, Bristol Myers Squibb,  
327 Merck, Poseida, Cellectis, Celgene, Karus Therapeutics, Unum Therapeutics, Allogene  
328 Therapeutics, Precision Biosciences, and Acerta, and royalties from Takeda Pharmaceuticals.  
329 LJN reports honorarium from ADC Therapeutics, Bayer, BMS/Celgene, Epizyme Genentech,  
330 Gilead/Kite, Janssen, Morphosys, Novartis, Pfizer, TG Therapeutics and research support from  
331 BMS/Celgene, Caribou Biosciences, Epizyme Genentech, IgM Biosciences, Janssen, Merck,  
332 Novartis, Pfizer, and TG Therapeutics. MRG reports research funding from Sanofi, Kite/Gilead,  
333 Abbvie and Allogene, honoraria from Tessa Therapeutics and Daiichi Sankyo, and stock  
334 ownership of KDAc Therapeutics.

335

336 References:

- 337 1. Kridel R, Sehn LH, Gascoyne RD. Pathogenesis of follicular lymphoma. *J Clin Invest.* 2012;122(10):3424-3431.
- 338 2. Green MR, Alizadeh AA. Common progenitor cells in mature B-cell malignancies: 339 implications for therapy. *Curr Opin Hematol.* 2014;21(4):333-340.
- 340 3. Ennishi D, Takata K, Beguelin W, et al. Molecular and Genetic Characterization of MHC 341 Deficiency Identifies EZH2 as Therapeutic Target for Enhancing Immune Recognition. *Cancer 342 Discov.* 2019.
- 343 4. Wang G, Chow RD, Zhu L, et al. CRISPR-GEMM pooled mutagenic screening identifies 344 KMT2D as a major modulator of immune checkpoint blockade. *Cancer Discov.* 2020.
- 345 5. Milpied P, Cervera-Marzal I, Mollichella ML, et al. Human germinal center transcriptional 346 programs are de-synchronized in B cell lymphoma. *Nat Immunol.* 2018;19(9):1013-1024.
- 347 6. Andor N, Simonds EF, Czerwinski DK, et al. Single-cell RNA-Seq of follicular lymphoma 348 reveals malignant B-cell types and coexpression of T-cell immune checkpoints. *Blood.* 349 2019;133(10):1119-1129.
- 350 7. Deng Q, Han G, Puebla-Osorio P, et al. Characteristics of anti-CD19 CAR T-cell infusion 351 products associated with efficacy and toxicity in patients with large B-cell lymphomas. *Nat Med.* 352 2020;26(12):1878-1887.
- 353 8. Juno JA, van Bockel D, Kent SJ, Kelleher AD, Zaunders JJ, Munier CM. Cytotoxic CD4 T 354 Cells-Friend or Foe during Viral Infection? *Front Immunol.* 2017;8:19.

356 9. Kotlov N, Bagaev A, Revuelta MV, et al. Clinical and biological subtypes of B-cell  
357 lymphoma revealed by microenvironmental signatures. *Cancer Discov.* 2021.

358 10. Green MR. Chromatin modifying gene mutations in follicular lymphoma. *Blood.*  
359 2018;131(6):595-604.

360 11. Green MR, Kihira S, Liu CL, et al. Mutations in early follicular lymphoma progenitors are  
361 associated with suppressed antigen presentation. *Proc Natl Acad Sci U S A.*  
362 2015;112(10):E1116-1125.

363 12. Khodadoust MS, Olsson N, Wagar LE, et al. Antigen presentation profiling reveals  
364 recognition of lymphoma immunoglobulin neoantigens. *Nature.* 2017;543(7647):723-727.

365 13. Rodig SJ, Gusenleitner D, Jackson DG, et al. MHC proteins confer differential sensitivity  
366 to CTLA-4 and PD-1 blockade in untreated metastatic melanoma. *Sci Transl Med.*  
367 2018;10(450):eaar3342.

368 14. Marabelle A, Kohrt H, Sagiv-Barfi I, et al. Depleting tumor-specific Tregs at a single site  
369 eradicates disseminated tumors. *J Clin Invest.* 2013;123(6):2447-2463.

370 15. Rivas-Delgado A, Magnano L, Moreno-Velazquez M, et al. Response duration and  
371 survival shorten after each relapse in patients with follicular lymphoma treated in the rituximab  
372 era. *Br J Haematol.* 2018.

373 16. Magnano L, Alonso-Alvarez S, Alcoceba M, et al. Life expectancy of follicular lymphoma  
374 patients in complete response at 30 months is similar to that of the Spanish general population.  
375 *Br J Haematol.* 2019;185(3):480-491.

376 17. Flowers CR, Leonard JP, Nastoupil LJ. Novel immunotherapy approaches to follicular  
377 lymphoma. *Hematology Am Soc Hematol Educ Program.* 2018;2018(1):194-199.

378 18. Oh DY, Kwek SS, Raju SS, et al. Intratumoral CD4(+) T Cells Mediate Anti-tumor  
379 Cytotoxicity in Human Bladder Cancer. *Cell.* 2020;181(7):1612-1625 e1613.

380 19. Duan Q, Zhang H, Zheng J, Zhang L. Turning Cold into Hot: Firing up the Tumor  
381 Microenvironment. *Trends Cancer.* 2020;6(7):605-618.

382 20. Tobin JWD, Keane C, Gunawardana J, et al. Progression of Disease Within 24 Months in  
383 Follicular Lymphoma Is Associated With Reduced Intratumoral Immune Infiltration. *J Clin Oncol.*  
384 2019;37(34):3300-3309.

385 21. Butler A, Hoffman P, Smibert P, Papalex E, Satija R. Integrating single-cell transcriptomic  
386 data across different conditions, technologies, and species. *Nat Biotechnol.* 2018;36(5):411-420.

387 22. Ma MCJ, Tadros S, Bouska A, et al. Subtype-specific and co-occurring genetic alterations  
388 in B-cell non-Hodgkin lymphoma. *Haematologica.* 2021.

389

390

391

392

393

394

395

396

397

398 Figure Legends

399 **Figure 1: Overview of major cell types and clusters from single cell RNA sequencing of 20**  
400 **FL tumors. A-B)** UMAP plots show 137,147 cells from 20 FL tumors and 3 RLT controls by  
401 sample ID (A) and cluster ID (B). Major cell types are annotated in B. **C)** Bubble plot of cell lineage  
402 marker genes are shown for B-cell, T-cell, natural killer cell (NK), erythroid,  
403 monocyte/macrophage (MM), plasmacytoid dendritic cell (pDC) and follicular dendritic cell (fDC)  
404 clusters. **D-F)** UMAP plots show re-clustering of 99,610 B-cells by cluster ID (D), sample ID (E),  
405 and immunoglobulin clonotype (F). Among B-cell clusters, we identified those corresponding to  
406 non-malignant B-cells (C2), proliferating cells (C6), plasma cells (C15). A malignant B-cell cluster  
407 bearing cells from multiple samples was identified (C0). The contribution of each sample to each  
408 cluster is shown in the bar graph in E, with many clusters consisting of tumor B-cells from a single  
409 sample as determined by immunoglobulin clonotype (F) or patterns of inferred copy number  
410 variation (Figure S1).

411

412

413

414

415

416

417

418

419

420

421

422

423 **Figure 2: Tumor infiltrating T-cell populations in follicular lymphoma. A)** A bar graph shows  
424 the frequency of non-malignant immune cell populations within FL, with the majority of cells  
425 belonging to the T-cell lineages. **B)** UMAP plots from re-clustering of 6,700 CD8 T-cells shows 3  
426 major populations aligning with naïve, effector (eff) and exhausted (exh) states. Single cell GSVA  
427 of a CD8 T-cell exhaustion signature shows the highest expression in the CD8<sub>Exh</sub> cluster, which  
428 is also characterized by high expression of *TIGIT* and *LAG3*. **C)** A bubble plot shows the  
429 proportion of cells of CD8 and CD4 T-cell clusters expressing known phenotypic marker genes  
430 (size of circles) and their average expression levels (color of circles). D) UMAP plots from re-  
431 clustering of 22,782 CD4 T-cells shows 4 major subpopulations aligning with naïve, regulatory  
432 (T<sub>REG</sub>), T follicular helper (T<sub>FH</sub>) and CD4 cytotoxic (CD4<sub>CTL</sub>) states. Single cell GSVA of a cytotoxic  
433 score including immune effector molecules shows high expression in the CD4<sub>CTL</sub> cluster, which is  
434 also characterized by high expression of *GZMK* and *EOMES*. **E)** A heatmap shows the relative  
435 proportions of CD8 and CD4 tumor infiltrating T-cell (TINT) populations calculated by  
436 deconvolution from publicly available bulk gene expression microarray or RNA-sequencing  
437 datasets (n=1,269 FL tumors from 15 datasets, see Supplementary Methods). Unsupervised  
438 clustering identified 4 characteristics patterns (TINT1-4) with different relative abundance of  
439 tumor-infiltrating T-cell populations.

440

441

442

443

444

445

446

447

448 **Figure 3: Effect of somatic mutations on tumor B-cell expression profiles. A)** An oncoplot  
449 shows recurrently mutated genes in the 19 FL tumors with available DNA. **B)** Volcano plots  
450 displaying differentially expressed genes between tumor B-cells from *KMT2D* (left), *CREBBP*  
451 (middle) or *EZH2* (right) wild-type and mutant tumors. Examples are annotated and the full list  
452 provided in Table S6. **C)** Venn diagrams display the overlap of genes with increased (left) or  
453 decreased (right) expression associated with each mutation, with genes encoding cell surface  
454 proteins annotated. **D)** Odds ratio (+/- 95% CI) are shown for association between individual  
455 mutations and MHCII status (two-tailed Fisher's exact test  $p=0.028$ ). **E)** The expression of MHCII  
456 (brown, above) and MHCI genes (green, below) are shown for individual tumor B-cells from each  
457 tumor with available mutation data. Mutations of *CREBBP*, *EZH2* and *KMT2D* are annotated at  
458 the top. Sample IDs are colored according to Figure 1A and tumors with additional mutations in  
459 \**CITA* and \*\**B2M* that may also affect MHCII and MHCI expression, respectively, are annotated  
460 by asterisk.

461

462

463

464

465

466

467

468

469

470

471

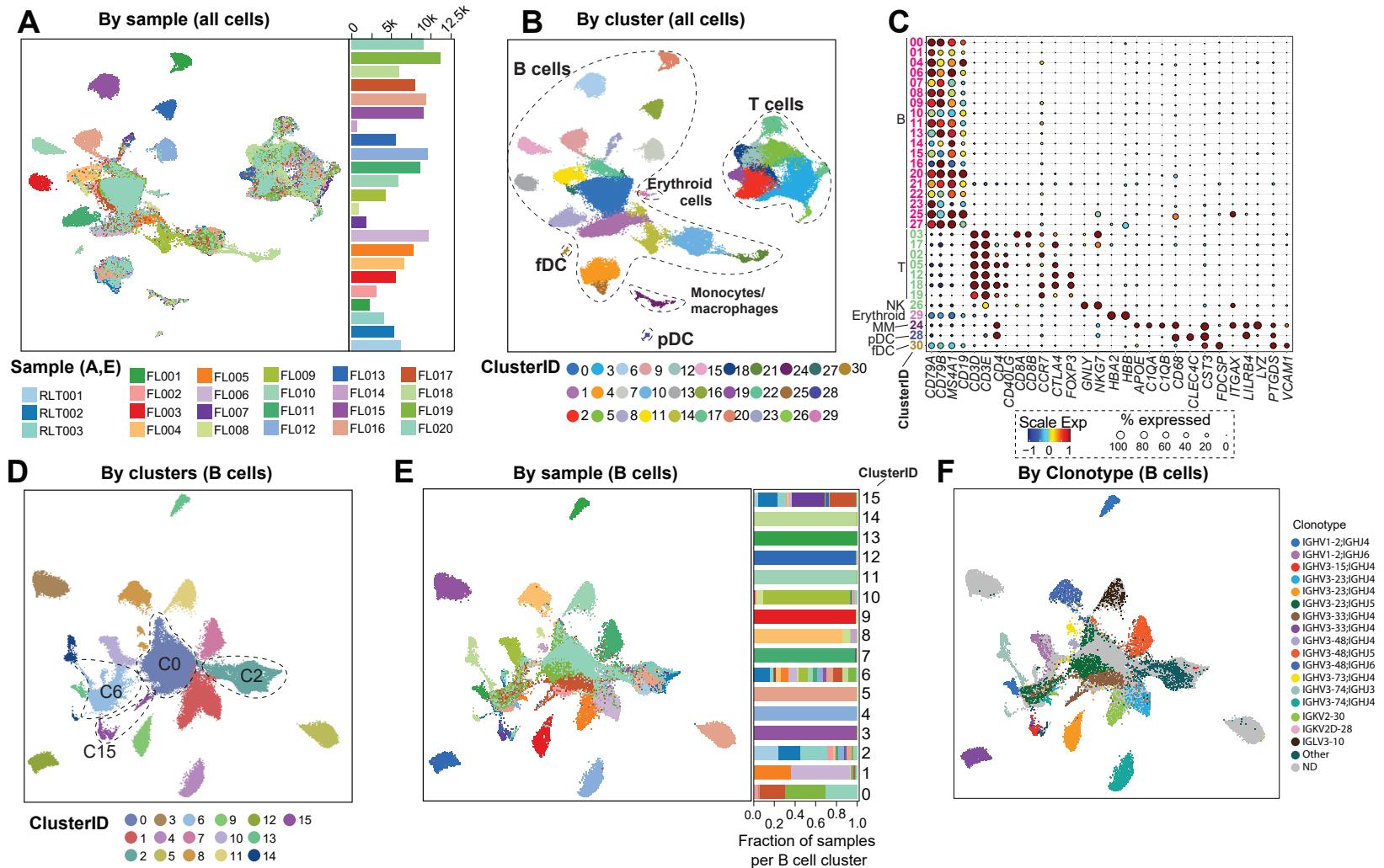
472

473

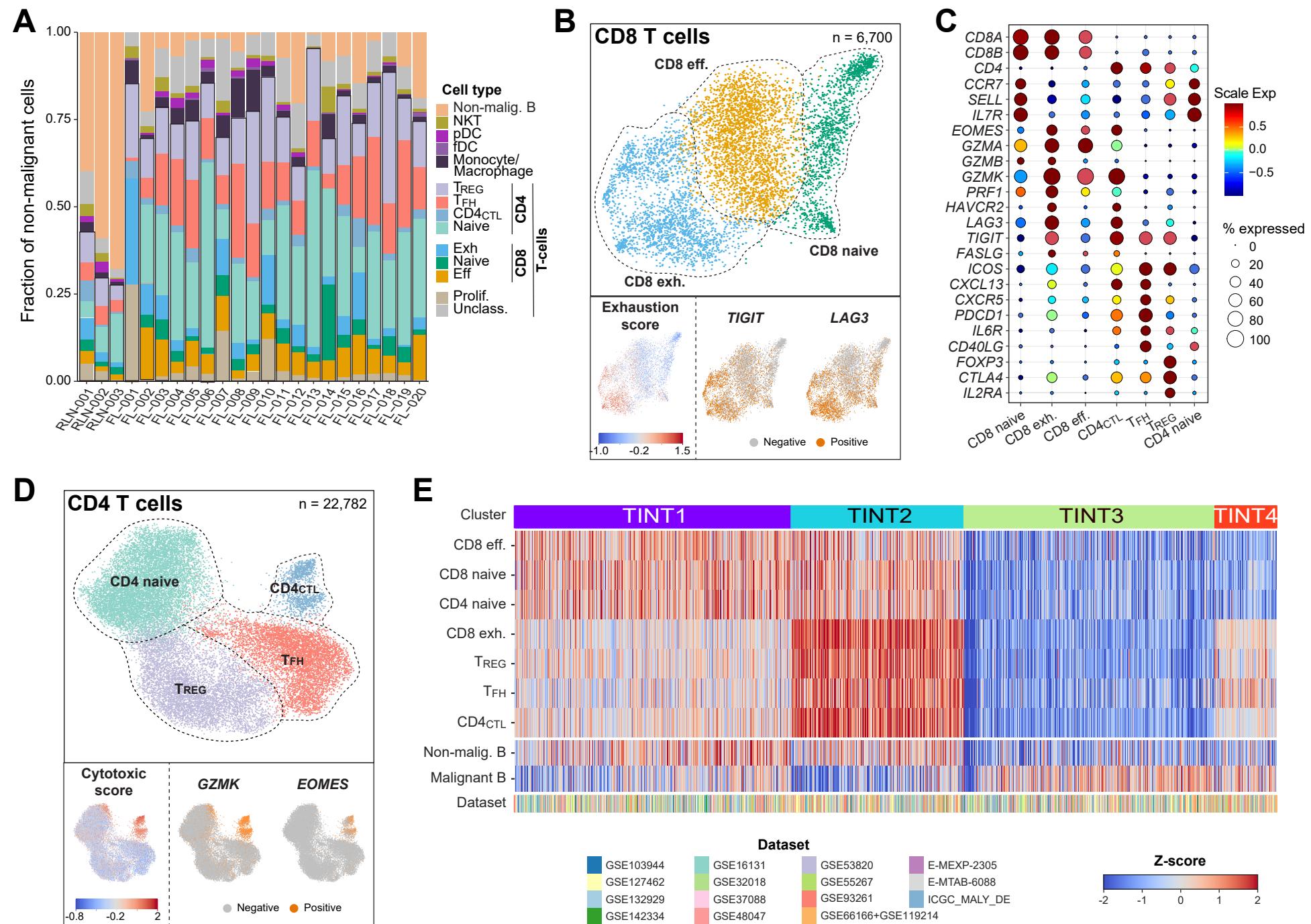
474 **Figure 4: Association between tumor MHCII status and tumor infiltrating T-cell**  
475 **populations. A)** A bar graph shows the fold change of CD8 and CD4 T-cell populations between  
476 MHCII low and MHCII high tumors, colored by Fisher Exact FDR q-value. The CD8 exhausted  
477 (exh; fold-change 3.43, Fisher exact q=0.05) and CD4<sub>CTL</sub> (fold-change 2.11; Fisher exact q=0.07)  
478 populations are significantly higher in MHCII high tumors compared to MHCII low tumors. **B-C)**  
479 Scatter plots and bar plots show the quantitative and qualitative association between CD8  
480 exhausted (B) and CD4<sub>CTL</sub> (C) populations and either the expression or status of MHCII on tumor  
481 B-cells, respectively. **D)** UMAP density plots show the relative representation of CD8 exhausted  
482 (exh; above) and CD4<sub>CTL</sub> (below) populations between MHCII low (left) or MHCII high (right)  
483 tumors. **E)** Differentially expressed genes (DEG) between CD8 T-cells from MHCII low vs MHCII  
484 high tumors were subjected to unsupervised hierarchical clustering identifying 3 clusters with  
485 significantly different proportions of cells from MHCII low/high tumors (top track,  $P=3.8\times10^{-67}$ ). **F)**  
486 A bar graph of cell states in each DEG cluster from E, which shows higher fractions of CD8  
487 exhausted (exh) cells in C1 and a higher fraction of CD8 effector cells (eff) cells in C3. **G)** GSVA  
488 showed higher expression of exhaustion signature genes in cells within C1 compared to either  
489 C2 or C3. **H)** Differentially expressed genes (DEG) between CD4 T-cells from MHCII low vs MHCII  
490 high tumors were subjected to unsupervised hierarchical clustering identifying 3 clusters with  
491 significantly different proportions of cells from MHCII low/high tumors (top track,  $P=2.1\times10^{-61}$ ). **I)**  
492 A bar graph of cell states in each DEG cluster from H, which shows higher fractions of CD4<sub>CTL</sub>,  
493 T<sub>FH</sub> and T<sub>REG</sub> cells in C1 and a higher fraction of CD4 naïve cell in C3. **J)** GSVA showed higher  
494 expression of exhaustion signature genes in cells within C1 compared to either C2 or C3. **K)** A  
495 bubble plot shows the average expression of immune-modulatory genes on CD4 (above) or CD8  
496 (below) T-cells in MHCII low or high tumors. The center grid shows the fold-change and  
497 significance of pairs of immune-modulatory genes between MHCII low and high tumors for CD4  
498 (top left) and CD8 (bottom right) T-cells. **L-M)** Scatter plots show the co-expression of significant

499 pairs of targetable immune modulatory genes in CD8 (L, *LAG3* and *TIGIT*) and CD4 (M, *TNFRSF4*  
500 and *CTLA4*) T-cells from MHCII low (left) or MHCII high (right) tumors.

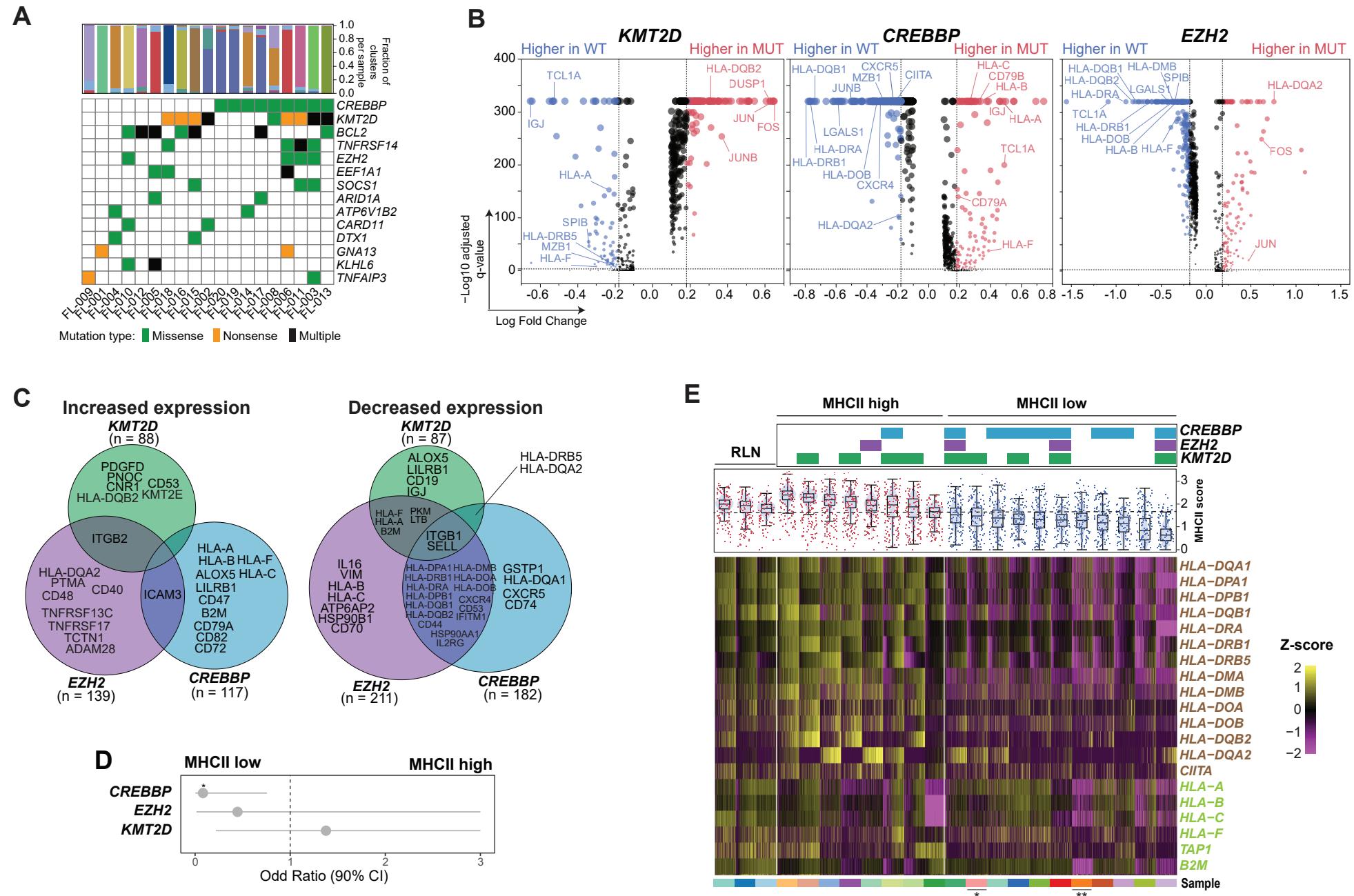
# Figure 1



# Figure 2



# Figure 3



# Figure 4

