

## 1 Transcriptomic Changes During Stage Progression of Mycosis Fungoides 2

3 *M Xiao<sup>1</sup>, D Hennessey<sup>1</sup>, A Iyer<sup>1</sup>, S O'Keefe<sup>1</sup>, F Zhang<sup>2</sup>, A Sivanand<sup>1</sup>, R Gniadecki<sup>1\*</sup>*

4 <sup>1</sup>Division of Dermatology, University of Alberta, Edmonton, AB, Canada

5 <sup>2</sup>Faculty of Medicine, University of Ottawa, ON, Canada

6  
7 **\*Address for correspondence:** Dr. Robert Gniadecki, 8-112 Clinical Science Building, 11350-83 Ave,  
8 Edmonton, AB, T6G 2G3, Canada Tel: (780) 407-1555, Fax: (780) 407-2996, email:  
9 r.gniadecki@ualberta.ca

10 **Keywords:** cutaneous T-cell lymphoma (CTCL), mycosis fungoides (MF), transcriptome analysis,  
11 expression profiling

12 **Word count:** 3555 (excludes abstracts)

13 **Table count:** 0

14 **Figure count:** 5

15  
16 **Short title (64/70 characters):** Gene Expression Patterns in Early and Advanced Mycosis Fungoides

17  
18 **Conflict of Interest:** RG received advisory board honoraria from Kyowa Kirin, Sanofi, Recordati Rare  
19 Diseases, and Mallinckrodt and obtained research funding from Sanofi and Sun Pharma.

20 **Funding:** This study was supported by grants from the following sources: Canadian Dermatology  
21 Foundation (CDF RES0035718), University Hospital Foundation (University of Alberta), Bispebjerg  
22 Hospital (Copenhagen, Denmark), Danish Cancer Society (Kraeftens Bekæmpelse R124-A7592  
23 Rp12350), and an unrestricted research grant to R Gniadecki from the Department of Medicine,  
24 University of Alberta. M Xiao was supported by an Alberta Innovates Summer Research Studentship. A  
25 Sivanand was supported by scholarships from the Canadian Institutes of Health Research (CIHR), Alberta  
26 Innovates, and the University of Alberta.

27 These funding organizations had no role in the design or conduct of the research.

28 **What is known:**

- 29 • Mycosis fungoides (MF) is the most common cutaneous T-cell lymphoma characterized by a  
30 favourable prognosis in the early patch/plaque stage.
- 31 • Development of tumors heralds progression to the advanced stage and a significant increase in  
32 mortality.

33 **What's new:**

- 34 • Tumor progression is associated with recurrent mutations which can be linked to the upregulation  
35 of signaling pathways controlling cell proliferation, survival, mitosis, and DNA repair.
- 36 • Percolation of malignant cells between lesions and tumor self-seeding is likely to mediate stage  
37 progression in MF.

39 **Translational message:**

40 The development of cutaneous tumors in MF heralds stage progression and increased mortality.  
41 MF tumors show upregulation in several targetable signaling pathways involved in cell proliferation and  
42 survival. Ectopic expression of cancer-testis genes may explain mitotic aberrations in MF tumors. We  
43 also propose that high-grade malignant cells can spread hematogenously and seed other skin lesions  
44 (tumor self-seeding). Early, aggressive treatment of tumors may prevent tumor self-seeding and improve  
45 patient prognosis.

1    **Abstract**

2    **Background:** Mycosis fungoides (MF) is the most common cutaneous T cell lymphoma, which in the  
3    early patch/plaque stages runs an indolent course. However, ~25% of MF patients develop skin tumors, a  
4    hallmark of progression to the advanced stage and associated with high mortality. The mechanisms  
5    involved in stage progression are poorly elucidated.

6    **Methods:** We performed whole-transcriptome and whole-exome sequencing of malignant MF cells from  
7    skin biopsies obtained by laser-capture microdissection. We compared three types of MF lesions: early-  
8    stage plaques (ESP, n=12), and plaques and tumors from patients in late-stage disease (late-stage plaques,  
9    LSP, n=10, and tumors, TMR, n=15). Gene Ontology (GO) and KEGG analysis were used to determine  
10   pathway changes specific for different lesions which we linked to the recurrent somatic mutations  
11   overrepresented in MF tumors.

12   **Results:** The key upregulated pathways during stage progression were those related to cell proliferation  
13   and survival (MEK/ERK, Akt-mTOR), Th2/Th9 signaling (IL4, STAT3, STAT5, STAT6), meiomitosis  
14   (CT45A1, CT45A3, STAG3, GTSF1, and REC8) and DNA repair (PARP1, MYCN, OGG1). Principal  
15   coordinate clustering of the transcriptome revealed extensive gene expression differences between early  
16   (ESP) and advanced-stage lesions (LSP and TMR). LSP and TMR showed remarkable similarities at the  
17   level of the transcriptome, which we interpreted as evidence of cell percolation between lesions via  
18   hematogenous self-seeding.

19   **Conclusion:** Stage progression in MF is associated with Th2/Th9 polarization of malignant cells,  
20   activation of proliferation, survival, as well as increased genomic instability. Global transcriptomic  
21   changes in multiple lesions are probably caused by hematogenous cell percolation between discrete skin  
22   lesions.

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## 1      **Introduction**

2              Mycosis fungoides (MF) is the most prevalent form of primary cutaneous T-cell lymphoma  
3              (CTCL), a heterogeneous group of extranodal lymphoproliferative disorders that involve the skin.<sup>1</sup> Early-  
4              stage (IA-IIA) disease is characterized by erythematous papules, patches, and plaques, and the majority of  
5              patients (80-90%) experience an indolent disease course with slow progression over years or even  
6              decades.<sup>2-5</sup> However, ~25% of MF patients progress to advanced-stage (IIB-IV) disease, distinguished by  
7              the appearance of skin tumors, extracutaneous dissemination to lymph nodes, blood, and viscera, as well  
8              as a dramatic reduction in survival (decrease in 5-year survival from approximately 80% to 26%).<sup>2-5</sup> The  
9              molecular mechanisms underpinning stage progression in MF remain largely unknown. Moreover, it has  
10              not been explained how the emergence of even a single tumor in a relatively small area of the skin  
11              impacts the global evolution and prognosis of MF. It would be logical to propose that the more aggressive  
12              cancer cells in skin tumors spread hematogenously to other skin areas, increasing tumor load and the  
13              clinical impact of the malignancy. However, the putative hematogenous percolation of tumor cells  
14              between different lesions has not been investigated because MF cells have been considered to represent  
15              mutated tissue-resident T-cells which tend to remain arrested in the defined areas of the skin and do not  
16              recirculate via lymphatics and the lymph nodes like the transient passenger T-cells.<sup>6</sup> We reasoned that the  
17              hypothesis that MF cells traffic between skin lesions can be addressed by comparing transcriptomic  
18              profiles of skin lesions in different clinical stages of MF.

19              Previous analysis of the mutational landscape of CTCL revealed the emergence of numerous  
20              subclones and very complex patterns of clonal driver mutations, with little consistency among patients.<sup>7-11</sup>  
21              Over the past decade, studies have focused on the analysis of the transcriptome in an attempt to  
22              characterize cancer driver genes and signaling pathways, but the results have been discordant.<sup>12</sup> This is in  
23              part due to the high degree of intratumoral heterogeneity as well as the lack of standard approaches in  
24              both sample selection and experimental design that hinders direct comparison between different  
25              transcriptome studies.<sup>12</sup> Many studies pooled samples from MF and the leukemic CTCL (Sézary  
26              syndrome, SS) in spite of the data indicating that these entities may represent separate diseases<sup>6,13</sup> and few  
27              transcriptome studies account for the stage of disease and the morphology of skin lesions (e.g., patch,  
28              plaque, or tumor). Moreover, the samples used for transcriptomics were usually crude skin biopsies, in  
29              which the proportion of neoplastic cells is quite low, often below 30%.<sup>7</sup>

30              In this study, we compared transcriptome profiles of early and advanced MF to reveal potential  
31              mechanisms of disease progression. To capture stage-dependent expression changes, we classified MF  
32              skin lesions according to the patient's clinical disease stage (early [stage I] vs advanced [stage  $\geq$  IIB]) and

1 the morphology of the lesion (plaque vs tumor). To circumvent earlier limitations, we used laser capture  
2 microdissection of neoplastic cell clusters to eliminate signal from normal cells. We were able to confirm  
3 some of the previously reported pathways associated with advanced-stage MF and identified new  
4 transcriptomic changes, such as upregulation of pseudo-meiotic processes (meiomitosis) that may be  
5 involved in tumor progression. Moreover, we found that stage progression is associated with global  
6 changes in transcriptomic profiles affecting multiple MF lesions which we interpret as evidence of cancer  
7 cell percolation proposed by us previously<sup>14</sup> and analogous to tumor self-seeding.<sup>15,16</sup>

## 8 **Methods**

### 9 *Samples, whole transcriptome, and whole-exome sequencing*

10 Ethics approval was obtained under the application HREBA.CC-19-0435 from the Institutional  
11 Review Board at the University of Alberta. 37 laser-microdissected skin lesions were collected from 23  
12 adult patients with a diagnosis of MF in stages IA to IVB (**Supplementary Table S1**), as previously  
13 described<sup>7</sup>. RNA was isolated from the microdissected tissues using AllPrep DNA/RNA Micro kit from  
14 Qiagen (Hilden, Germany). For samples MF4\_2, MF4\_3, MF5\_1, MF7\_1, MF7\_2, MF11, MF11\_1,  
15 MF19\_1, MF19\_2, and MF19\_3 rRNA was inhibited using NEBNext rRNA Depletion kit (NEB,  
16 Ipswich, Massachusetts, USA) and later processed using NEBNext Ultra II Directional RNA Library Prep  
17 kit for Illumina (Ipswich, Massachusetts, USA). The rest of the samples in the study were processed using  
18 Ovation Solo RNA-seq system (Nugen, Redwood city, CA, USA). The manufacturer's instructions were  
19 followed and no modifications were made to the protocols. The RNA libraries were sequenced on an  
20 Illumina HiSeq 1500 sequencer using paired-end 150 kits (cat# PE-402-4002) (Hiseq PE rapid cluster kit  
21 V2) or NovaSeq 6000 S4 reagent kit 300 cycles (cat# 20012866). Previously published whole-exome  
22 sequencing from the same samples was used for the analysis of single nucleotide variants, as described.<sup>17</sup>

23 Skin biopsies were categorized into three lesion types according to the clinical stage and  
24 morphology of the lesion at the time of collection. Plaque lesions sampled from patients in early-stage  
25 MF (IA-IIA) were designated as ESP (early-stage plaque, n=12). Patients with advanced-stage disease  
26 ( $\geq$ IIB) have two types of skin lesions, the TMR (tumors, n=15) and the plaques which either persist from  
27 the early stages (late-stage plaques, LSP, n=10). There was no significant difference in tumor cell fraction  
28 between microdissected samples from different lesion types.

1 ***Bioinformatic analysis of gene expression data***

2 The bioinformatics pipeline involved a series of aligners and statistical methods shown in **Figure**

3 **1.** All programs were used with default or developer-supplied settings unless otherwise specified. Code is  
4 available at [https://github.com/d-henness/deseq2\\_project](https://github.com/d-henness/deseq2_project). Paired fastq files were preprocessed using fastp  
5 (v 0.19.7) with the --detect\_adapter\_for\_pe flag enabled.<sup>18</sup> The resulting fastq files were then aligned and  
6 quantified using RSEM (v. 1.3.3).<sup>19</sup> RSEM was used with Bowtie 2 (v. 2.4.1)<sup>20</sup> as its backend aligner and  
7 an index constructed using Ensembl's 98 human genome annotation.<sup>21</sup> This yielded gene expression levels  
8 in units of transcripts per million (TPM).

9 Unsupervised Principal Coordinates Analysis (PCoA) was performed using edgeR (R package, v.  
10 3.30.3)<sup>22</sup> to obtain an overview of the overall structure of the RNA-Seq data in a low-dimensional  
11 subspace. PCoA provides insights into the association between samples based on gene expression patterns  
12 and detects the formation of clusters among individual specimens. The DESeq2 program, implemented as  
13 a package in R (v. 1.28.1)<sup>23</sup> was used to identify differentially expressed genes (DEGs) that differed  
14 significantly between pairwise disease stages (log2 fold change (log2FC)>|1|). Benjamini-Hochberg  
15 correction was used to correct for multiple comparisons, with a standard false discovery cut-off (FDR) of  
16 <0.05.

17 To gain further insight into the functional processes differing between lesions, we used all of the  
18 available gene expression data (cutoff-free) and performed gene set analysis using the Generally  
19 Applicable Gene Set Enrichment (GAGE) method implemented as a package in R (v. 2.37.0).<sup>24</sup> We  
20 focused on Gene Ontology (GO) Biological Process (BP) terms<sup>25</sup> and Kyoto Encyclopedia of Genes and  
21 Genomes (KEGG) pathways.<sup>26</sup> In both databases, Benjamini-Hochberg correction was used to correct for  
22 multiple comparisons (FDR <0.05). Visual data representations were created using the R packages  
23 "ggplot2" (v. 3.3.1), "pheatmap" (v. 1.0.12), and 'EnhancedVolcano' (v. 1.6.0).<sup>27</sup>

24 ***Data availability***

25 The exome sequencing data is available on dbGaP under accession number phs001877.v1.p1. The RNA  
26 sequencing fastq files are available on Sequence Read Archive (SRA) under accession number....<sup>1</sup>

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<sup>1</sup> The accession number will be provided upon acceptance of the paper. For review purposes the RNA sequencing data can be requested via contacting the corresponding author.

## 1 **Results**

### 2 ***Changes in transcriptome signatures during stage progression of MF***

3 Principal coordinates analysis (PCoA) was used to examine the associations between our samples  
4 (12 ESP, 10 LSP, 15 TMR) and revealed three clusters (**Figure 2A**). Cluster A contained the majority of  
5 ESP samples (11/12, or 91.7%) whereas the majority of advanced-stage samples (LSP and TMR) were  
6 distributed across clusters B1 and B2 (18/25, or 72% consisting of 8/10 LSP samples and 10/15 TMR  
7 samples). This suggests the transcriptome profile of ESP is distinct from the late-stage disease whereas  
8 the expression patterns among advanced lesions (LSP and TMR) are similar. Thus, the MF transcriptomic  
9 profile reflects the stage of the disease (advanced vs early) rather than the morphology of the lesion  
10 (plaque vs tumor) and indicates that significant global alterations in gene expression occur with  
11 progression to advanced-stage disease.

12 Next, we performed pairwise comparative analyses of the expression profiles between ESP, LSP,  
13 and TMR samples to identify differentially expressed genes (DEGs) between different lesion types.  
14 Differential expression analysis of TMR vs ESP (reference) lesions identified 1,154 DEGs (fold  
15 change>2; adjusted P<0.05), of which 908 DEGs were significantly up-regulated and 246 DEGs were  
16 downregulated in TMR compared to ESP (**Figure 2B**). Fewer DEGs were detected for the comparisons of  
17 LSP to ESP (26 DEGs, 18 upregulated in LSP, **Figure 2C**) and TMR to LSP (29 DEGs, 11 upregulated in  
18 TMR, Figure 2D). A list of all differentially regulated genes across all comparisons is presented in  
19 **Supplementary Tables S2-S4**.

20 To further illustrate the relationship of genes found to be differentially expressed in each pairwise  
21 comparison, we used Venn diagram analysis and overlapped the 1,154 DEGs in TMR vs. ESP with the 26  
22 DEGs in LSP vs. ESP (**Figure 3**). This revealed 1,137 DEGs unique to TMR (895 upregulated, 242  
23 downregulated), 9 DEGs unique to LSP (5 upregulated, 4 downregulated), and a signature of 17  
24 intersecting DEGs common to both LSP and TMR samples (13 upregulated, 4 downregulated), all  
25 concordantly altered (i.e., increased in both, or decreased in both). The large overlap in DEGs shared  
26 between LSP and TMR (both advanced lesions) compared with ESP corroborates the PCoA results and  
27 suggests that progression to advanced-stage affected the global transcriptomic profile of MF skin lesions.

### 28 ***GO and KEGG Functional Enrichment Analysis***

29 We performed pairwise gene set analysis (GSA) using the Gene Ontology (GO) and Kyoto  
30 Encyclopedia of Genes and Genomes (KEGG) databases to identify enriched terms and pathways at

1 different MF stages. We used all of the available gene expression data instead of prefiltering for a list of  
2 strong DEGs in order to capture coordinated, low-level changes across genes that may belong to common  
3 pathways and are regulated by the same transcriptional network.

4 Our analyses identified 58 enriched GO biological processes and 21 KEGG pathways in TMR  
5 compared to ESP (**Supplementary Figure S1**). The results of GO analyses revealed that TMR lesions  
6 were significantly enriched in proliferative signaling ('Ras protein signal transduction', 'activation of  
7 MAPK kinase kinase activity', 'interleukin-2 mediated signaling') and immunosuppression processes  
8 ('response to interleukin-4' and 'TOR signaling') (**Figure 4A**). The KEGG pathway analysis results  
9 revealed TMR was highly enriched in 'Notch signaling pathway', 'base excision repair', and 'DNA  
10 replication'. (**Figure 4B**). While the heatmaps show a degree of heterogeneity in gene set enrichment  
11 scores across the TMR samples, the overall trend in expression of these annotations is upregulated in  
12 TMR. The significantly upregulated and downregulated members of each enriched gene set are presented  
13 in **Supplementary Table S5-S6**.

14 Next, we conducted functional enrichment analyses on LSP vs ESP (reference, **Figure 4B**).  
15 Although only a few individual genes were statistically significant between LSP and ESP, GSA  
16 identified 29 GO Biological Processes and 14 KEGG pathways overrepresented in LSP (**Supplementary**  
17 **Figure 2**). Among the GO and KEGG hits were five overlapping annotations also captured in the  
18 comparison between TMR and ESP (**Figure 4C**). These include three GO terms: 'respiratory burst'  
19 (inflammatory response), 'response to interleukin-4' (Th2 response), and 'TOR signaling' (growth and  
20 metabolism) as well as two KEGG pathways related to chromatin regulation: 'DNA replication' and 'base  
21 excision repair'.

22 We also identified GO terms and KEGG pathways uniquely enriched in the LSP group compared  
23 with ESP (**Figure 4C**), including three DNA damage removal annotations ('nucleotide-excision repair'  
24 [GO], 'mismatch repair' [GO], 'interstrand cross-link repair' [GO]), two T-cell function annotations ('T-  
25 cell receptor signaling' [KEGG], 'Activation of JUN kinase activity' [GO]), as well as hits in 'cell cycle'  
26 [KEGG] and 'p38MAPK cascade' [GO]. Enrichment of major DNA repair mechanisms in LSP is  
27 consistent with the widespread structural genomic alterations including fusion transcripts, insertions,  
28 deletions, and copy number variation described in advanced MF.<sup>10</sup>

29 Lastly, we performed GSA between TMR and LSP (reference). As expected, expression  
30 differences were smaller between LSP and TMR than in any other pairwise comparison, with only four  
31 enriched KEGG pathways and seven GO terms (**Supplementary Figure S3**). Compared with LSP, TMR

1 lesions were significantly upregulated in primary metabolic processes including ‘ribosome biogenesis’  
2 ‘biosynthesis of amino acids’, and ‘hexose metabolic process’.

3 ***Other Significant DEGs***

4 Annotation databases like KEGG and GO allow us to collapse complex gene expression data into  
5 more manageable biological pathways and functional annotations, but the mapping of genes to pathways  
6 is limited by current knowledge of known molecular interaction networks and how gene products interact.  
7 To identify potential DEGs that could have been overlooked by functional analysis methods, we  
8 manually searched for individual genes that were significantly over-represented in each lesion type to  
9 provide a more nuanced understanding of transcriptional networks.

10 We confirmed overexpression of several genes reported previously in CTCL, such as *KIR3DL2*  
11 (>14 fold) and *KIR3DL4* (>6 fold), the members of the killer cell Ig-like receptor family that inhibit  
12 natural killer cell-mediated antitumor cytotoxicity. *KIR3DL2* has been implicated in SS<sup>28</sup> and  
13 transformed MF<sup>29</sup> and the anti-*KIR3DL2* monoclonal antibody IPH4102 has recently demonstrated  
14 promising clinical activity in patients with refractory CTCL.<sup>30</sup> LSP and TMR had increased levels of  
15 *GNLY* (granulysin, an immune alarmin) and *NCR1* (natural cytotoxicity triggering receptor 1) whose  
16 expression was previously found to increase with the progression of MF<sup>31</sup> and in SS patients with high  
17 tumor burden<sup>32</sup>.

18 Among the 29 DEGs between TMR and LSP, we found a >6 fold decrease in fractalkine  
19 Receptor (CX3CR1). CX3CL1 was shown to control effector T cell retention in inflamed skin and in the  
20 lungs in atopic dermatitis and asthma<sup>33,34</sup> and the decrease in its expression may facilitate escapement of  
21 malignant cells from the skin to the circulation in late stages MF.

22 We found ectopic expression of several meiosis-related cancer-testis antigens (meiCT) in TMR  
23 lesions. MeiCT antigens are normally present only in germ cells during oocyte development and  
24 spermatogenesis and become transcriptionally silent in normal somatic tissues. Ectopic activation of  
25 meiCT is a frequent phenomenon in cancer because global deregulation of epigenetic signaling causes  
26 unprogrammed gene activation.<sup>35</sup> While our results confirm upregulated expression of two previously  
27 described meiCT antigens (GTSF1 [gametocyte specific factor 1]<sup>36,37</sup> and STAG3 [Stromal Antigen 3])<sup>38</sup>  
28 we also identified increased expression of seven putative CT antigens previously unreported in CTCL,  
29 including CT45A1 (Cancer/Testis Antigen Family 45 Member A1), its parologue CT45A3 (Cancer/Testis  
30 Antigen Family 45 Member A3), BRDT (Cancer/Testis Antigen 9), PRAME (Cancer/Testis Antigen  
31 130), PAGE5 (Cancer/Testis Antigen Family 16 Member 1), SPAG4 (Sperm Associated Antigen 4), and

1 PNMA5 (Paraneoplastic antigen-like 5). These results are in line with the notion that re-expression of a  
2 variety of CT antigens in malignant lymphocytes may contribute to increased genomic instability.

3 ***Linking gene mutations to transcriptomic changes***

4 We examined whether the gene mutation pattern in MF could explain some of the observed  
5 alterations in the transcriptome. From the previously published catalogue of mutated genes obtained by  
6 whole-exome sequencing<sup>17</sup> we selected the genes which are frequently mutated in TMR and LSP and  
7 more expressed in those advanced-stage lesions compared to ESP. We assumed that those genes would be  
8 particularly relevant because mutations (especially damaging mutations) cause a compensatory  
9 overexpression of the gene.<sup>39</sup> We found that TMR/LSP lesions showed overexpression of mutated genes  
10 representing transcription factors and regulators (*MED12*, *MYCN*, *MYC*, *EGR3*, *CIC*), genes involved in  
11 genome integrity (*ERCC2*, *POLE*), the elements of the membrane receptor signalling network of  
12 integrated JAK-STAT, MEK/ERK, and PI3K/AKT/mTOR pathway (*PLCG1*, *INPPL1*, *VAV1*, *AKT1*,  
13 *PPP2RIA*, *NFKB2*, *CARD11*, *TRAF2*, *JAK3*, *STAT3*). Mutations in those genes have been linked to the  
14 signaling pathways in different cancers as summarized in **Figure 5A**.

15 **Discussion**

16 Our study addresses the poorly elucidated question of the mechanisms responsible for the  
17 development of tumors in MF, an event that defines the progression from the early to the advanced stage  
18 of the disease and which is associated with a dramatic increase in mortality. Among the studies where  
19 transcriptomic changes during stage progression were captured<sup>37,40-42</sup> only two reports<sup>36,43</sup> and a meta-  
20 analysis<sup>41</sup> compared DEGs directly between early and advanced lesions. The novel aspects of this study is  
21 that we not only compared skin lesions in early and late-stage patients, but we included different  
22 morphological types of MF lesions (the plaques and the tumors: ESP, LSP, TMR) and used cancer cell  
23 enriched, microdissected tissue of the high tumor cell fraction (mean purity [SD] 74.6 [20.4]%) which  
24 allowed us to largely limit the contribution of transcripts originating from non-neoplastic cells in the skin  
25 (epidermis, inflammatory cells, fibroblasts, and other stromal cells).

26 Compared with early-stage plaques (ESP), the tumor (TMR) samples showed an increased  
27 frequency of mutation in genes representing transcription factors and regulators, genes involved in  
28 genome integrity, JAK-STAT, MAPK/ERK, and PI3K/AKT/mTOR pathways (**Figure 5A**). Although  
29 detailed mechanistic studies are needed to determine the relative importance of those mutations,  
30 overlaying the mutated genes on the known signaling pathway elements reveals their likely relevance in  
31 cancer progression. It was shown previously that mutated *PLCG1* increases the survival signaling via NF-

1 kB, NFAT and AP-1.<sup>11,44</sup> Mutated *STAT3* was also shown to have a pathogenic role in CTCL.<sup>45,46</sup>  
2 Mutations in *CARD11*<sup>9,11</sup> and *JAK3*<sup>2,47</sup> are also supposed to increase the growth and survival of malignant  
3 T-cells in CTCL and are well-known driver mutations in other hematological malignancies.

4 Components of MEK/ERK pathway are reproducibly overexpressed in TMR and our mutation  
5 data revealed two potentially novel and previously unreported mechanisms of its activation (**Figure 5A**).  
6 *MED12* is a tumor suppressor gene which in normal cells inhibits the transforming growth factor  $\beta$   
7 (TGF $\beta$ ), Akt/mTOR and MAPK/ERK signaling.<sup>48-50</sup> Interestingly, loss of function of mutated *MED12*  
8 was shown to be the main driver in certain tumors by activating RAS/MAPK/ERK<sup>49-51</sup>. *MED12* was also  
9 linked to drug resistance, which might be relevant in CTCL which is notoriously resistant to  
10 chemotherapy. Mutations in *Capicua* (*CIC*) may amplify MAPK/ERK signaling because *CIC* is a  
11 transcriptional repressor counteracting activation of genes downstream of ERK and contributes to the  
12 tumorigenesis of different solid tumors.<sup>52-54</sup>

13 VAV1 is a hematopoietic-specific RHO guanine exchange factor and its loss of function causes  
14 T-cell leukemias by increasing the Notch1-dependent ICN1 signaling due to inhibition of ICN1  
15 ubiquitylation and degradation.<sup>55</sup> Mutations in VAV1 were reported previously<sup>56</sup> but were linked to PI-  
16 3-kinase signaling rather than Notch signaling. However, previous reports from our lab documenting an  
17 increase in Notch1 and its signaling in advanced MF<sup>57</sup> confirmed by the Notch1 overexpression in TMR  
18 seen here, seem to indicate that VAV1-Notch1 axis may play in MF progression.

19 The notion that MF is a genetically stable tumor and does not hypermutate<sup>58</sup> has recently been  
20 refuted by genome and exome sequencing data showing numerous mutations and subclonal  
21 diversification even in early stages.<sup>10,17,59</sup> The high rate of mutations can be explained in part by our  
22 current findings showing dysregulation of major pathways controlling DNA repair mechanisms  
23 (nucleotide excision repair, mismatch repair and base excision repair). Although DNA repair mechanisms  
24 have not been studied in MF in detail, it is known that mutations affecting DNA repair genes such as  
25 *POLE*, *MYCN*, or *ATM* may contribute to genomic instability in CTCL.<sup>17,60-62</sup>

26 Another, novel mechanism of genomic instability might be related to abnormal regulation of  
27 mitosis. We identified ectopic expressions of five testis-specific genes (*CT45A1*, *CT45A3*, *STAG3*,  
28 *GTSF1*, and *REC8*) which are only expressed in testicular germ cells. Ectopic expression of cancer-testis  
29 (CT) antigens was previously found in carcinomas of the bladder,<sup>63</sup> lung,<sup>64</sup> liver<sup>65</sup> and suspected to play  
30 an important role in maintaining cell survival through inhibition of apoptosis (i.e. down regulating p53  
31 and p21 tumor suppressor genes) and promotion of chromosomal instability (i.e. generation of double-  
32 strand breaks leading to loss of heterozygosity and chromosomal arrangements). CT genes (*SYCPI*,

1 *SYCP3, REC8, SPO11, STAG3, GTSF1*) have previously been reported in advanced CTCL and proposed  
2 to reflect the reactivation of meiotic and gametogenic programs in mitotic cells.<sup>37,38</sup> Our data documenting  
3 a dramatic increase in CT expression (10-30 fold up-regulation in MF tumors) support the putative role of  
4 meiomitosis<sup>66,67</sup> as a mechanism of genomic instability in MF.

5 MF is a spatially discontinuous neoplasm composed of circumscribed lesions of the skin. This  
6 calls for a question of whether those lesions evolve in isolation or whether malignant cells percolate  
7 between the lesions. Clinical observations support the latter notion; it is known that the development of  
8 the tumor in one area of the skin changes the clinical course to be more unfavourable and that those  
9 patients are more likely to develop additional tumors. We have therefore hypothesized that high-grade  
10 malignant cells in the tumors escape to the circulation and may metastatically seed the skin - either to the  
11 already existing lesions or to the new, previously uninvolved areas. The comparisons of global expression  
12 profiles of ESP, LSP and TMR seem to confirm our hypothesis. The principal component clustering of  
13 transcriptomes showed that LSP and TMR overlapped in two clusters (clusters B1 and B2, **Figure 2**) and  
14 separated well from ESP. The same was previously seen on the mutational level: LSP resembled tumors  
15 and differed from the ESP.<sup>17,68</sup> This shows that stage progression is associated with global genomic and  
16 transcriptomic changes in all skin lesions, in spite of the fact that the tumor formation is only present in a  
17 discrete skin area. Thus, cancer cells in MF are likely to percolate through the skin, occasionally seeding  
18 new areas and the existing lesions, the latter case resembling tumor self-seeding or cell exchange between  
19 distant metastases (**Figure 5B**).<sup>15,16,69,70</sup> It is conceivable that early eradication of emerging tumors (e.g. by  
20 aggressive radiotherapy) would limit hematogenous percolation of malignant cells and retard the  
21 colonization of the skin with high-grade mutated cells.

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1 **Acknowledgements**

2 We extend our gratitude to Dr. Thomas Salopek, Mrs. Rachel Doucet, and the nursing staff of  
3 Kaye Edmonton Clinic for their assistance with sample collection. This study was supported by grants  
4 from the following sources: Canadian Dermatology Foundation (CDF RES0035718), University Hospital  
5 Foundation (University of Alberta), Bispebjerg Hospital (Copenhagen, Denmark), Danish Cancer Society  
6 (Kræftens Bekæmpelse R124-A7592 Rp12350) and an unrestricted research grant to R.G. from the  
7 Department of Medicine, University of Alberta. M.X. was supported by an Alberta Innovates Summer  
8 Research Studentship.

9

10 **Author's contributions**

11 M.X. contributed to study design, conducted bioinformatics, analyzed the data, prepared the  
12 figures, and wrote the manuscript. RG designed the experiments, supervised data analysis, prepared the  
13 figures, and wrote the manuscript. DH and FZ conducted bioinformatics analysis. AI and SO performed  
14 wet-lab experiments. AS contributed to writing and editing the manuscript. All authors reviewed all  
15 versions of the paper and approved the final version of this manuscript.

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1 **Figure legends**

2

3 **Figure 1. Summary of methods and study design.** 32 biopsies of lesional skin were obtained from 23  
4 patients, consisting of 14 patients that contributed single biopsies and 8 patients where multiple samples  
5 were taken. The lesions were categorized according to the both the clinical stage (advanced vs. early) as  
6 well as the morphological feature (plaque vs lesion): ESP (n=12 early stage plaque biopsies from patients  
7 in stage IA-IIA), LSP and TMR (n=10 late-stage plaques and 15 tumors biopsies from patients in stage  
8  $\geq$ IIB, respectively). After RNA-Seq, reads were aligned to the reference sequence after removal of  
9 adapter sequences using Bowtie2. Transcript abundance was measured using RSEM. Two statistical  
10 methods were used for differential expression analysis and principal coordinate analysis (DESeq2 and  
11 edgeR, respectively). Generally applicable gene set enrichment (GAGE) analysis was performed to  
12 provide more information about the biological functions and pathways significantly enriched in each  
13 disease stage.

14

15 **Figure 2. Comparative transcriptome analysis of ESP, LSP, and TMR lesions.** **A.** Unsupervised  
16 Principal coordinate analysis (PoCA) showing the variation and clustering among ESP, LSP, and TMR  
17 lesion types, with ellipses drawn manually. LSP resembles TMR (clusters B1, B2), rather than ESP  
18 (cluster A). **B.** Volcano plot of differential expressed genes (DEGs) between TMR (comparison) and ESP  
19 (reference) group, generated using the EnhancedVolcano R package. The vertical y-axis corresponds to  
20 the mean expression value of  $\log_{10}$  (adjusted P value) after correction for multiple testing, and the  
21 horizontal x-axis displays the  $\log_2$  fold change value. 1,154 transcripts with an adjusted p-value  $< 0.05$   
22 and  $|\log_2(\text{fold change})| \geq 1$  were mapped as DEGs (depicted as red dots). Genes that failed to reach  
23 statistical significance are present in green, while transcripts below fold-change cutoffs are presented in  
24 blue. Genes upregulated or downregulated in TMR compared to ESP are in the upper right and upper left  
25 quadrants, respectively. **C.** Volcano plot for the distribution of gene expression between LSP  
26 (comparison) and ESP (reference), mapping 26 DEGs (red dots). **D.** Volcano plot for the distribution of  
27 gene expression between TMR (comparison) and LSP (reference), mapping 29 DEGs (red dots).

28

29 **Figure 3. Venn diagrams analysis of differentially expressed genes.** The yellow, red and green circles  
30 represent the number of up or down-regulated DEGs between each pairwise comparison (TMR vs. ESP,  
31 TMR vs. LSP, and LSP vs. ESP). The overlapping number represents mutual, concordantly changed  
32 DEGs common to each comparison and the non-overlapping number represents genes unique to each  
33 comparison.

1 **Figure 4. KEGG Pathway and GO enrichment analysis of early (ESP) and advanced staged MF**  
2 **(LSP or TMR) using all available gene expression data. A.** Heatmaps of select enriched KEGG  
3 pathways and GO biological process terms (rows) that are significantly over-represented in TMR vs ESP.  
4 **B.** Heatmaps of select enriched KEGG pathways and GO biological process terms (rows) that are  
5 significantly over-represented in LSP vs ESP. **C.** Venn diagram showing GO and KEGG annotations  
6 common to both LSP and TMR relative to ESP, notably ‘response to interleukin-4’, ‘respiratory burst’,  
7 ‘TOR signaling’, ‘DNA replication’ and ‘base excision repair’. KEGG, Kyoto Encyclopedia of Genes and  
8 Genomes; GO, gene ontology.

9

10 **Figure 5. Proposed mechanism of stage progression in MF. A.** Frequently mutated genes in advanced  
11 lesions of MF. The frequencies of non-synonymous mutations (missense or damaging: frameshift  
12 mutations, short read insertions and deletions (<6pm), stop gain or stop loss) are shown. Only genes  
13 showing compensatory overexpression (significantly increased Log2FC >1, adjusted P <0.05 mRNA  
14 levels in TMR vs ESP) are shown. **B.** Possible involvement mutated genes and upregulated signaling  
15 pathways during stage progression. The pathways are marked by red ellipses, mutated genes affecting the  
16 pathway are plotted in yellow rectangles. **C.** The proposed mechanism of propagation of mutated cells to  
17 skin lesions. Early stage plaques contain cells characterized by a low mutation burden<sup>17</sup> and a  
18 characteristic transcriptional signature as shown in *Figure 2*. Accumulation of mutations (colored dots in  
19 the “nuclei”) changes the transcriptional signature which enables higher rate of proliferation and  
20 enhanced cell survival which lead to the formation of a tumor (high-grade malignant cells marked in red).  
21 Those cells can enter the circulation, e.g. due to loss of expression of CX3CR1 and can colonize the  
22 already existing plaques (cancer self-seeding) or new areas of the skin in a quasi-metastatic process as  
23 proposed by us previously<sup>14</sup>.

24

25

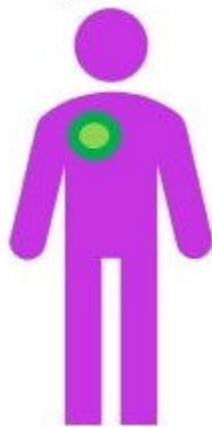
26

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28

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14 patients



Single samples



10 ESP  
2 LSP  
2 TMR

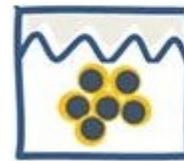
8 patients



Multiple samples



2 ESP  
8 LSP  
13 TMR



Microdissected lymphoma cells



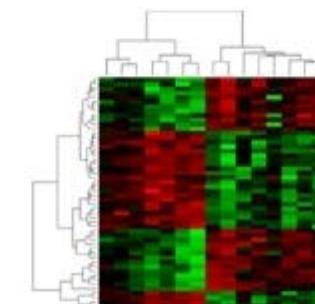
RNA-Seq



Bowtie2

Read Mapping

RSEM  
Abundance Estimation

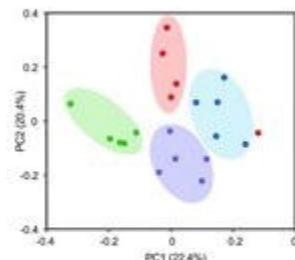


DESeq2

Differential Expression Analysis

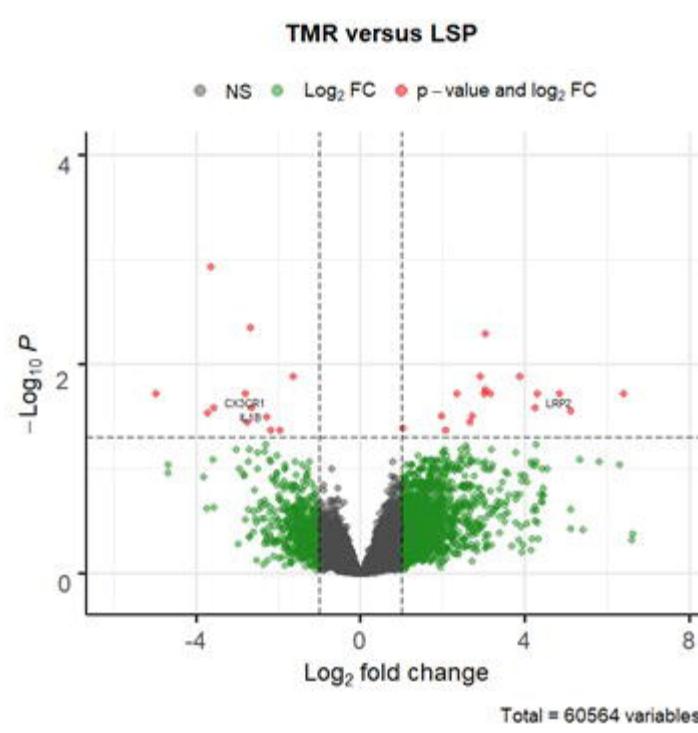
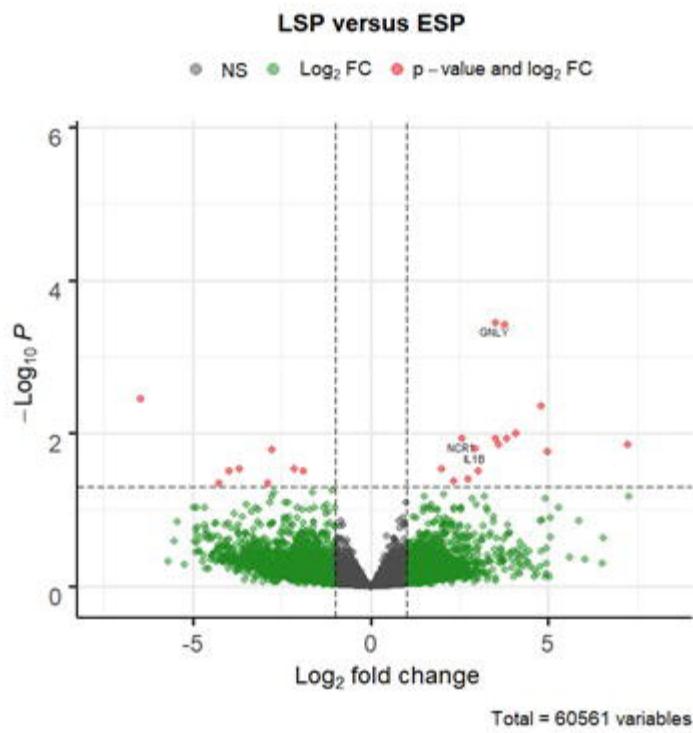
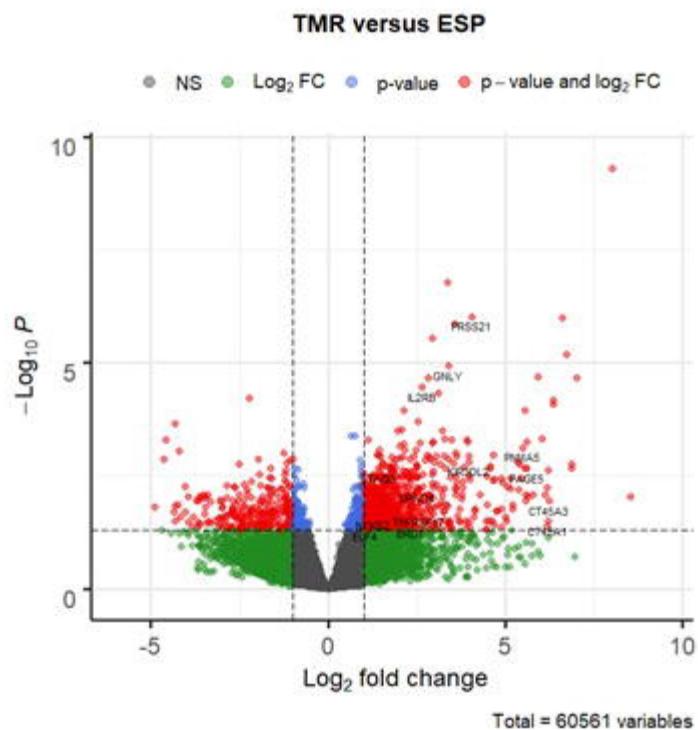
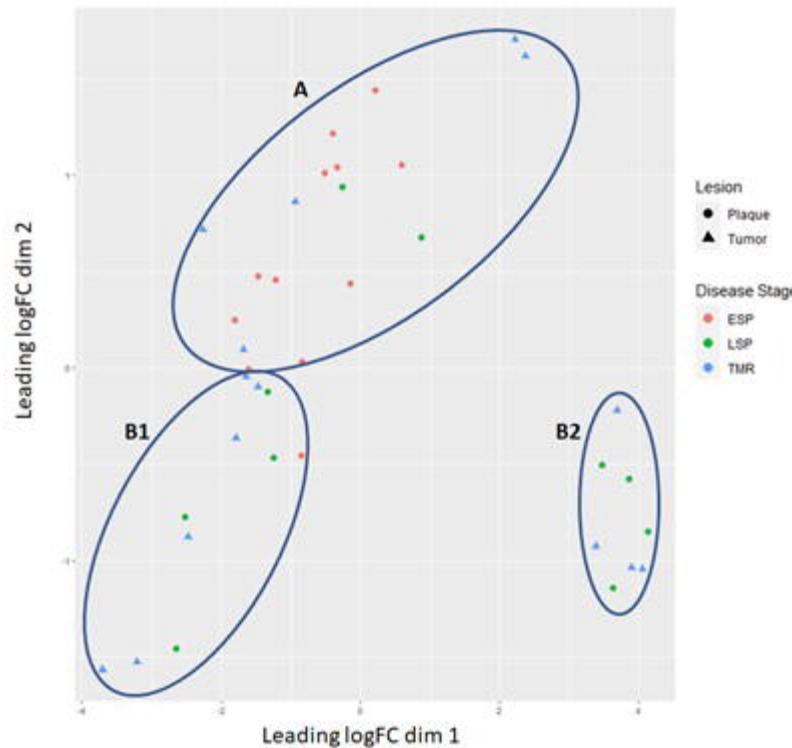
GAGE

KEGG Pathway & GO Analysis



edgeR

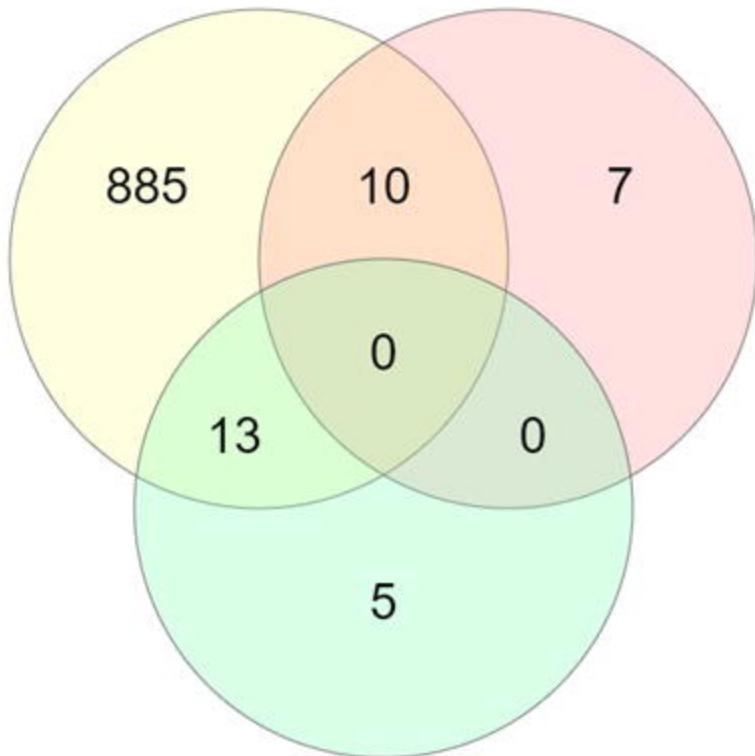
Principal Coordinate Analysis



# UP

TMR vs. ESP

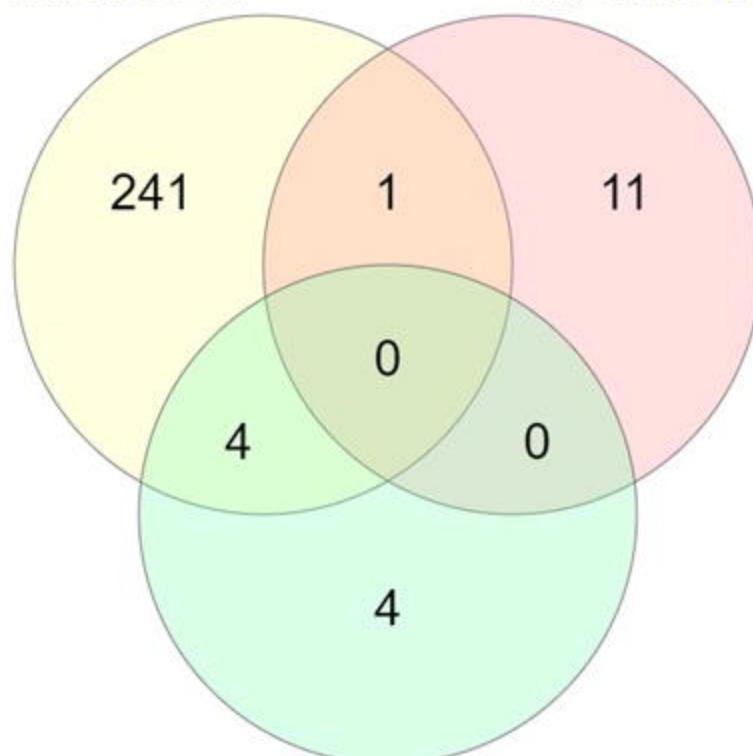
TMR vs. LSP

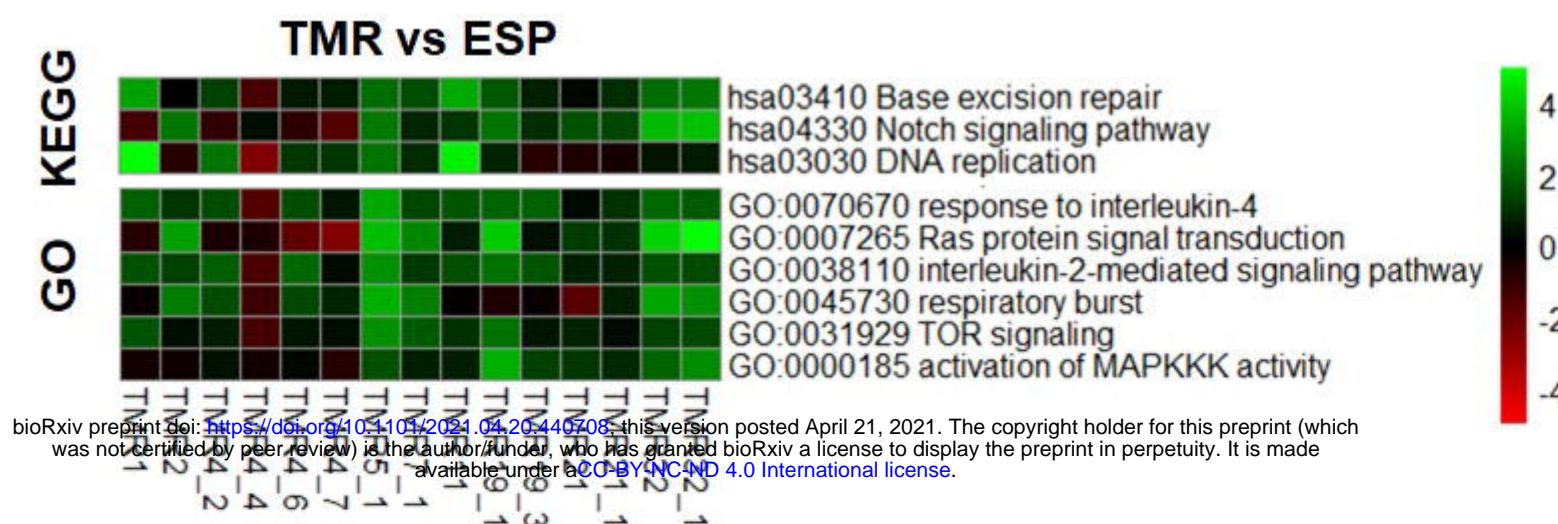
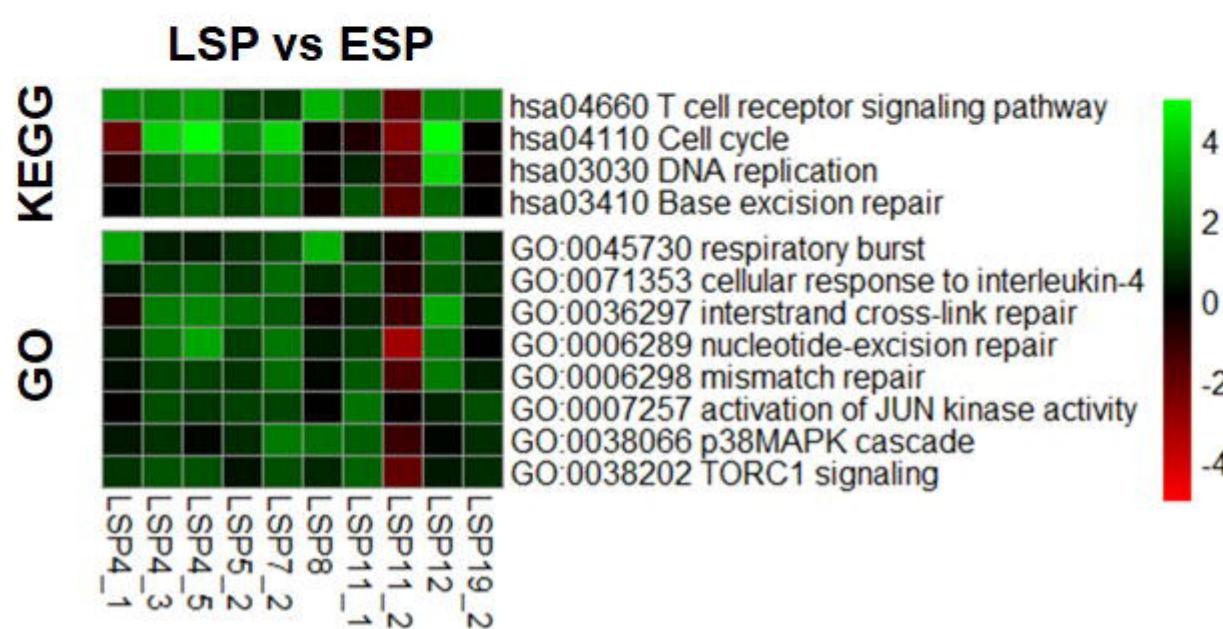
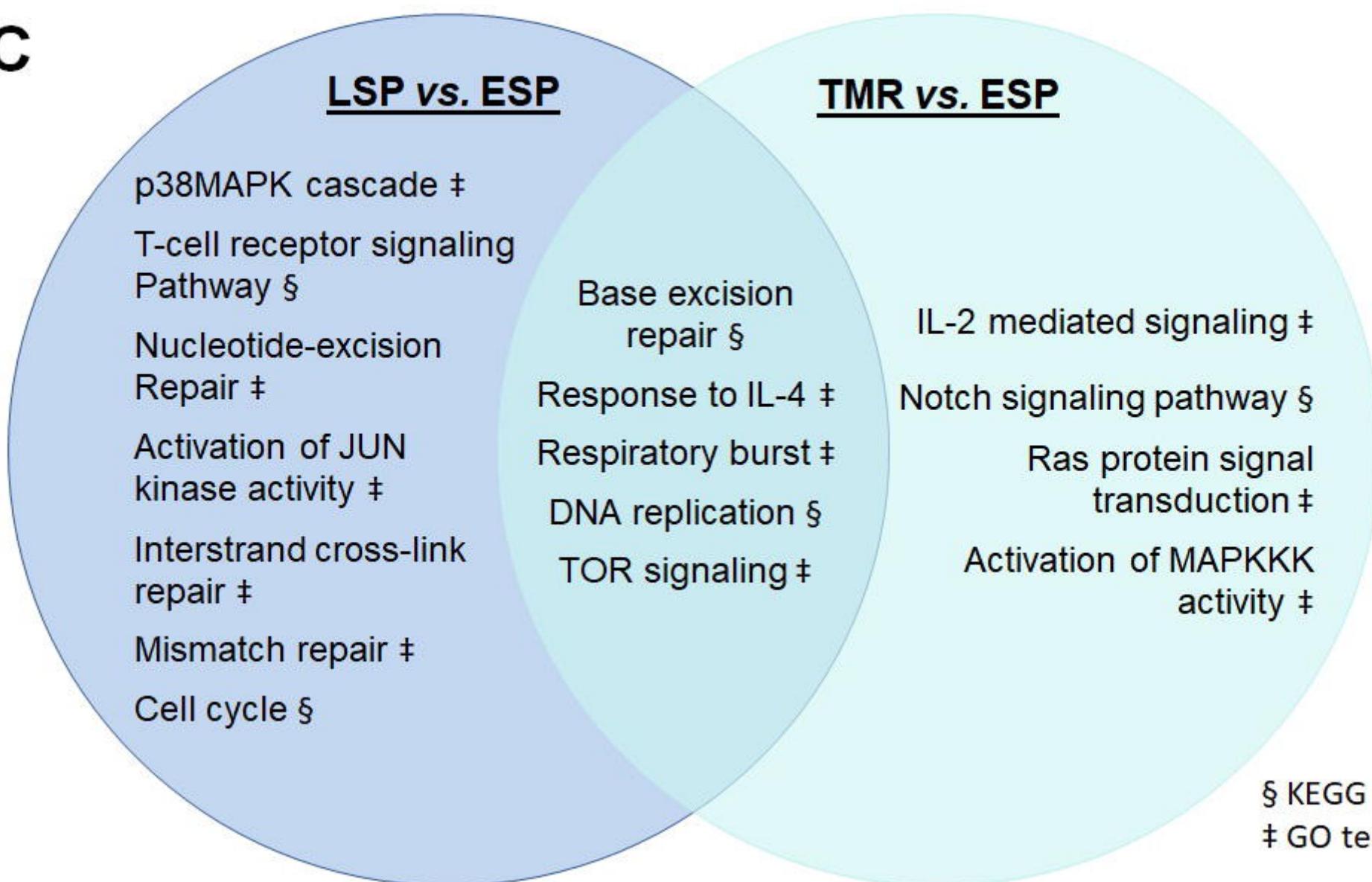


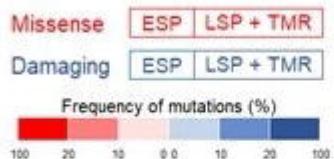
# DOWN

TMR vs. ESP

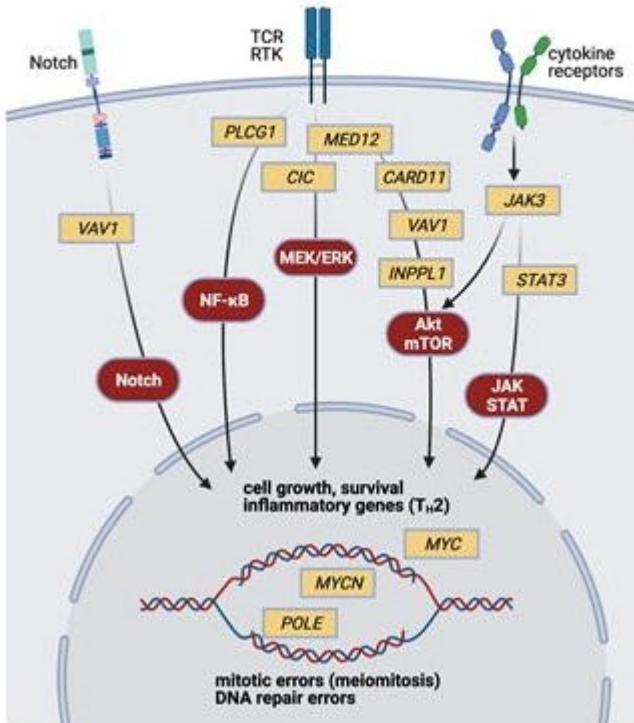
TMR vs. LSP



**A****B****C**

**A**

	<b>MED12</b>	<b>MYCN</b>	<b>MYC</b>
8%	27%	17%	0%
0%	9%	0%	5%
	<b>C/C</b>	<b>VAV1</b>	<b>PLCG1</b>
17%	5%	0%	14%
25%	41%	0%	0%
	<b>CARD11</b>	<b>POLE</b>	<b>STAT3</b>
8%	9%	0%	25%
33%	27%	17%	5%
8%	14%	23%	0%
	<b>JAK3</b>		
0%	5%		
8%	14%		

**B****C**