

1                   **Immunoprofiling of HTLV-1-infected individuals shows altered innate cell**  
2                   **responsiveness in HAM/TSP patients.**

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20

21                  **Abstract**

22                  The Human T-cell Leukemia Virus-1 (HTLV-1)-Associated Myelopathy/Tropical Spastic  
23                  Paraparesis (HAM/TSP) is a devastating neurodegenerative disease with no effective  
24                  treatment, which affects an increasing number of people in Brazil. A biological blood factor  
25                  allowing the prediction of the disease occurrence is so far not available. In this study, we  
26                  analyzed innate immunity responses at steady state and after blood cell stimulation using an  
27                  agonist of the toll-like receptor (TLR)7/8-signaling pathway in blood samples from HTLV-1-  
28                  infected volunteers, including asymptomatic carriers and HAM/TSP patients. We observed a  
29                  lower responsiveness in dendritic cells to produce IFN $\alpha$ . Moreover, we found higher  
30                  production of IL-12 and Mip-1 $\alpha$  by monocytes together with higher levels of IFN $\gamma$  produced

31 by Natural Killer cells. These deregulations could represent a signature for progression  
32 towards HAM/TSP.

33

34 **Introduction**

35 The Human T-cell Leukemia Virus-1 (HTLV-1)-Associated Myelopathy/Tropical Spastic  
36 Paraparesis (HAM/TSP)<sup>1,2</sup> is a progressive neurodegenerative disease characterized by the  
37 demyelination of the middle-to-lower thoracic cord<sup>1</sup>, this illness presenting a high prevalence  
38 in Brazil<sup>3</sup>. Even though most HTLV-1 infected individuals remain asymptomatic lifelong,  
39 around 1-5% develop HAM/TSP. However, anticipating those infected asymptomatic carriers  
40 who will develop HAM/TSP remains a challenging task. Viral and immune characterization  
41 of HAM/TSP patients identify some markers of pathogenicity, such as an increased proviral  
42 load (PVL, *i.e.* the number of integrated copies of the viral genome in the host cells) or higher  
43 frequency of CD8<sup>+</sup> T-cells and higher secretion of pro-inflammatory cytokines such as TNF $\alpha$   
44 and IFN $\gamma$ <sup>4</sup>. However, those markers do not allow to anticipate the development of HAM/TSP  
45 in infected asymptomatic carriers, since some of them also present an elevated PVL without  
46 developing any myelopathy, and alterations in T-cell frequencies and cytokine secretion is  
47 only detectable in patients with disease manifestations, and not in infected asymptomatic  
48 carriers<sup>5</sup>. Thus, new markers of the disease progression remain to be identified.

49 HTLV-1 targets mainly T-cells<sup>6</sup>, altering their function and ability to induce an antiviral  
50 specific immune response, even participating in the disease evolution as mentioned  
51 previously. However, in addition to T-cells, HTLV-1 also targets innate immune cells such as  
52 classical and plasmacytoid dendritic cells (cDCs and pDCs, respectively)<sup>7-9</sup> as well as  
53 monocytes<sup>10</sup>. Yet, their role in the disease manifestation is poorly understood, besides  
54 alterations in innate cell frequencies<sup>8,10-12</sup> observed in HAM/TSP patients. Indeed, the  
55 frequencies of pDCs<sup>13</sup> were found lower while those of myeloid DCs<sup>13</sup> and of intermediate  
56 monocytes<sup>12</sup> were found higher in HAM/TSP patients compared to HTLV-1 asymptomatic  
57 carriers and healthy donors. In contrast, while higher frequencies of non-classical monocytes  
58 and lower frequencies of classical monocyte were reported in HTLV-1-infected individuals  
59 compared to healthy donors<sup>10</sup>, these frequencies were not different between infected  
60 asymptomatic carriers and HAM/TSP patients<sup>10</sup>. Strikingly, the innate cells responsiveness  
61 has not been addressed yet, although a dysfunctional immune response linked either to the  
62 infection of innate cells or to their activation upon virus sensing, might be an underlying

63 mechanism involved in HAM/TSP progression. Therefore, innate immune responsiveness and  
64 its potential deregulation after HTLV-1 infection require special attention to understand  
65 HAM/TSP pathology and disease progression.

66 The aim of this study was to investigate potential deregulations in innate cell responsiveness  
67 in HTLV-1 infected subjects that could indicate a progression towards HAM/TSP. We  
68 performed single cell immunoprofiling of freshly collected blood samples from a cohort of  
69 asymptomatic carriers and HAM/TSP patients to characterize the phenotype and  
70 responsiveness of innate cell subsets after *ex vivo* TLR7/8-stimulation, a broad way to activate  
71 most of innate cells<sup>14</sup> and because, TLR7/8 signaling impairment upon several chronic  
72 infections has been linked to diseases<sup>15,16</sup>. Up-to-now, the increase in PVL is the only factor  
73 to predict progression of asymptomatic infection to disease, but this cannot anticipate whether  
74 chronic HTLV-1-infection will evolve to HAM/TSP pathology. Thus we have evaluated the  
75 alterations in cell frequency but also the immune response of a cohort of 30 HTLV-1-infected  
76 Brazilians –considering both HAM/TSP and asymptomatic carriers– and 15 age and sex-  
77 paired individuals as controls. We have reported the presence of TNF $\alpha$ -producing clusters in  
78 asymptomatic carriers, diminished in HAM/TSP donors possibly due to corticosteroid  
79 treatment at the moment of the analysis. However, TLR7/8 stimulation evinced a pro-  
80 inflammatory signature in HAM/TSP monocytes with higher production of IL-12 and Mip-  
81 1 $\alpha$ . Moreover, the IFN $\alpha$  response driven by dendritic cells was significantly lower in  
82 HAM/TSP patients, suggesting an impaired antiviral response to the infection.

83

#### 84 Methodological approach

#### 85 **Clinical samples**

86 A Brazilian cohort (15 HAM/TSP patients, 15 HTLV-1 asymptomatic carriers, and 15 non-  
87 infected individuals) was analyzed. All individuals were followed at Institute of Infectious  
88 Diseases “Emílio Ribas” (IIER) and signed an informed consent that was approved by the  
89 local Ethical Board at the Institute of Infectious Diseases “Emílio Ribas”. Patients underwent  
90 a neurological assessment by a neurologist blinded to their HTLV status. Patients with at least  
91 two pyramidal signs, such as paresis, spasticity, hyperreflexia, clonus, diminished or absent  
92 superficial reflexes, or the presence of pathologic reflexes (e.g. Babinski sign), as defined in  
93 Castro-Costa Criteria, 2006, were defined as having HAM/TSP and all diagnosed HAM/TSP  
94 received corticosteroid treatment (prednisolone) 45 days apart. Asymptomatic carriers were

95 included based on their HTLV-1 positive status and their lack of any HTLV-1 associated  
96 clinical symptoms. They were aged and sex matched with the HAM/TSP patients enrolled.  
97 Detailed clinical information from HTLV-1 infected individuals included in the cohort is  
98 provided in Supplementary Table 1.

99

100 **HTLV-1 serologic test and proviral load (PVL) determination**

101 HTLV-1 serologic diagnosis was made by ELISA (Ortho Diagnostics, USA) and positive  
102 samples were confirmed by western blot (HTLV Blot 2.4 test, DBL, Singapore). All patients  
103 whose serum sample was reactive with either test was submitted to a nested-PCR using  
104 HTLV-1 generic primers and amplified products were digested with restriction enzymes<sup>17</sup>. In  
105 order to determine HTLV-1 proviral load, peripheral blood mononuclear cells (PBMC) were  
106 isolated from an acid-citrate-dextrose solution and separated by Ficoll density gradient  
107 centrifugation (Pharmacia, Uppsala, Sweden). DNA was extracted using a commercial kit  
108 (GFX Pharmacia, Uppsala, Sweden). The forward and reverse primers used for HTLV-1  
109 DNA quantitation were SK110 (5'-CCCTACAATCCAACCAGCTCAG-3', HTLV-1  
110 nucleotide 4758-4779 (GenBank accession No. J02029)), and SK111 (5'-  
111 GTGGTGAAGCTGCCATCGGGTTT-3', HTLV-1 nucleotide 4943-4920). The internal  
112 HTLV-1 TaqMan probe (5'-CTTAC TGACAAACCCGACCTACCCATGGA-3') was  
113 selected using the Oligo (version 4, National Biosciences, Plymouth, MI, USA) and Primer  
114 Express (Perkin-Elmer Applied Biosystems, Boston, MA, USA) software programs. The  
115 probe was located between positions 4829 and 4858 of the HTLV-1 genome and carried a 5'  
116 reporter dye FAM (6-carboxy fluorescein) and a 3' quencher dye TAMRA (6-carboxy  
117 tetramethyl rhodamine). Albumin DNA quantification was used to normalize variations due to  
118 differences of DNA extraction or PBMCs counts as described previously<sup>18</sup>. The normalized  
119 value of HTLV-1 proviral load was calculated as the ratio of (HTLV-1 DNA average copy  
120 number/albumin DNA average copy number) x 2 x 10<sup>6</sup> and is reported as the number of  
121 HTLV-1 copies/10<sup>5</sup> PBMC<sup>19</sup>.

122

123 **Whole blood stimulation**

124 Collected blood samples were distributed in 1.5 mL polypropylene tubes and supplemented  
125 with 200 µL of RPMI medium containing 10% of fetal bovine serum (FBS). Samples were

126 cultured in the presence of Resiquimod (R848, 1  $\mu$ g/mL, Invivogen) to simulate TLR7  
127 signaling pathway. Samples cultured in absence of stimulus were used as controls. After 1h of  
128 incubation at 37°C 5% CO<sub>2</sub>, Brefeldine A (10  $\mu$ g/mL, Sigma) was added to repress cytokine  
129 release. Four hours later, samples were incubated for 10 minutes with ammonium chloride in  
130 order to perform the lysis of red cells. Staining was performed after one wash with DPBS 1x  
131 (Gibco) on whole leukocytes.

132

### 133 **Phenotypic characterization**

134 Samples were incubated with a Live Dead Aqua Blue reagent (Thermo Fisher Scientific)  
135 according to manufacturer instructions. After one wash, cells were saturated with 1% BSA-  
136 FcR Blocking (Miltenyi) in DPBS for 15 min at 4°C, and then surface-stained for 20 min at  
137 4°C with a cocktail of coupled-antibodies (Supplementary Table 2A). Leukocytes were then  
138 fixed for 20 min at room temperature with 4% paraformaldehyde, permeabilized with 0.05%  
139 Saponine-DPBS, and stained with coupled-antibodies directed against intracellular cytokines  
140 (Supplementary Table 2B). Samples were finally analyzed with a LSR Fortessa X-20  
141 cytometer (BD Bioscience). Fluorochrome compensation was performed with compensation  
142 beads (BD Bioscience) and FMO (Fluorescence Minus One) conditions.

143

### 144 **Gating strategy**

145 All data were analyzed using FlowJo<sup>TM</sup> Software Version 10.5.3 for Mac OS X. Major  
146 lineage subsets were selected from forward and side scatter properties followed by single live  
147 cells (Supplementary Figure 1). Doublet discrimination was achieved by plotting FSC-H vs.  
148 SSC-A. For innate immunity live, single, HLA-DR<sup>+</sup> cells were selected. Hierarchical gating  
149 allows then the discrimination of the following innate cell subsets: cDC1 (CD11c<sup>+</sup>,  
150 BDCA2/3<sup>+</sup>), cDC2 (CD11c<sup>+</sup>, BDCA2/3<sup>-</sup>, BDCA1<sup>+</sup>), pDC (CD11c<sup>-</sup>, BDCA2/3<sup>+</sup>), monocytes  
151 (CD11c<sup>+</sup>, BDCA2/3<sup>-</sup>, BDCA1<sup>-</sup>). CD16 and CD14 expression further defined the following  
152 subsets of monocytes: classical (CD14<sup>+</sup>CD16<sup>-</sup>), intermediate (CD14<sup>+</sup>CD16<sup>+</sup>) and non-  
153 classical monocytes (CD14<sup>-</sup>CD16<sup>+</sup>). NK populations were defined as HLA-DR<sup>-</sup>, Lin<sup>-</sup>, and  
154 subdivided into CD56<sup>dim</sup>CD16<sup>+</sup> and CD56<sup>high</sup>CD16<sup>-</sup> NK cell subsets. For the analysis of  
155 cytokine production, positive populations were determined after gating determined using  
156 fluorescence Minus One (FMO), and the complete gating from innate cell responsiveness of  
157 one representative sample is shown in Supplemental Figure 2. Gating was applied to all

158 samples and was manually checked for consistency across all samples.

159

## 160 **Biostatistical and computational analyses**

### 161 **(i) Biostatistical analysis**

162 Biostatistical analysis and data processing were performed using the R programming  
163 language. In order to determine statistically significant differences between clinical groups, a  
164 test on the homogeneity of variances across samples was applied first (Bartlett's test). One-  
165 way ANOVA was performed when H0 (= Equal variances) was not rejected, followed by  
166 Turkey post-hoc test. Otherwise non-parametric ANOVA (*i.e.* Kruskal-Wallis test) was  
167 applied. To note, logarithmic transformation of factors not following a normal distribution did  
168 not improve statistical performances.

169

### 170 **(ii) tSNE analysis**

171 T-distributed stochastic neighborhood embedding (tSNE) analysis was performed with  
172 FlowJo<sup>TM</sup> Software Version 10.5.3 for Mac OS X. cDC11<sup>+</sup> and BDCA2-3<sup>+</sup> cells were  
173 selected for the analysis and a downsampling of 3000 cells was performed to have the same  
174 number of cells per subject. Only surface markers were selected to perform tSNE analysis  
175 with 1000 iterations and a perplexity of 20.

176

177

## 178 **Results**

### 179 **Sociodemographic factors or PVL do not predict a HAM/TSP progression.**

180 A total of 45 age-matched individuals were enrolled in the study. In order to match the  
181 reported higher prevalence of HTLV-1 infection among women<sup>20</sup>, we included twice/three  
182 times more women than men. The age, sex, PVL, the clinical motor score and some other  
183 information about risk factors such as prolonged breastfeeding is detailed in Supplementary  
184 Table 1. The average age was 52 years ( $\pm 6.35$ ) for men and 49.15 years ( $\pm 10.84$ ) for women.  
185 Age means were 49.8 years for healthy donors (HD), 46.93 years for asymptomatic carriers  
186 (AC) and 53.8 years for HAM/TSP. Although an increased PVL was considered the only  
187 hallmark of HAM/TSP<sup>21</sup>, we found no significance differences between AC and HAM/TSP  
188 subjects (Figure 1A).

189 We then wondered whether there was a correlation between the proviral charge and motor  
190 dysfunction indicators. However, we found no direct correlation between the PVL and either  
191 IPEC or Osame score of the HAM/TSP patients (Figure 1B), suggesting that PVL is not a fair  
192 indicator of the disability/disease degree. Interestingly, a correlation was found between the  
193 PVL and the age of the patients (Figure 1C). Suggesting that increased PVL would be a result  
194 of accumulative viral exposition or aged-related factors rather than an indicator of the disease  
195 onset.

196

### 197 **Dendritic cells from HAM/TSP patients show lower IFN $\alpha$ responsiveness**

198 Several studies have reported alterations in cell frequencies between asymptomatic carriers  
199 and HAM/TSP subjects and increase of pro-inflammatory cytokines<sup>10,12</sup>. However, none of  
200 these studies have addressed alteration in cell responsiveness as a potential signature of the  
201 disease progression. Aiming at investigating this question we stimulated the blood collected  
202 from HTLV-1-asymtomatic subjects with the TLR7/8 agonist R848, also known as  
203 Resiquimod and performed immunophenotypical analysis of the innate cell populations by  
204 flow cytometry. Dendritic cells were gated from HLA-DR<sup>+</sup> subset and classified as cDC1,  
205 cDC2 and pDC based on differential expression of CD11c, BDCA2/3 and BDCA1 (see  
206 Supplementary Figure 1). We found no differences in cDC1 and pDC cell frequencies  
207 between the clinical groups and controls. However, we found higher frequencies of cDC2  
208 subset in HAM/TSP patients compared to AC (Figure 2A). Next, we investigated the cellular  
209 heterogeneity of innate cells using an unbiased high-dimensional analysis, with the aim to  
210 reveal subtle differences in multiple cell populations that may have been missed by the use of  
211 biaxial gating. tSNE analysis was applied to similar number of 15000 cells from all  
212 individuals in HD, AC and HAM/TSP groups (Figure 2B). This approach generates a two-  
213 dimensional map where similar cells are placed at adjacent points, while cells with different  
214 characteristics are separated in space. tSNE analysis showed differences in cDC1 population  
215 between clinical groups (Figure 2B). In fact, a small population of cDC1 was identified by  
216 tSNE analysis in healthy donors and AC at steady state. However, this population was  
217 practically disappeared in HAM/TSP patients. Interestingly, only HTLV-1-asymptomatic  
218 subjects (AC) maintained this population after TLR7 stimulation with R848. Intracellular  
219 readout of for IFN $\alpha$ , IL-12, Mip-1 $\alpha$  and TNF $\alpha$  was performed in the different DC subsets.  
220 The frequency of cells producing these cytokines in each DC subset was represented in Figure  
221 2C for both untreated and whole blood treated with R848 in HTLV-1-asymptomatic carriers

222 (AC) and HAM/TSP patients. Resiquimod or R848 is an agonist of the TLR7/8 signaling  
223 pathway inducing the activation of dendritic cells and monocytes. Overall, we found a correct  
224 activation of all DC subsets. However, we found an impaired responsiveness of HAM/TSP  
225 blood samples (Figure 2C). Notably, IFN $\alpha$  production was significantly decreased in both  
226 cDC1 and cDC2. cDC1 had also lower production of TNF $\alpha$  and Mip-1 $\alpha$  production was  
227 impaired in cDC2. In contrast, higher responsiveness to produce IL-12 was observed in pDC  
228 subset f HAM/TSP subjects.

229 Boolean analysis of the cytokine co-production showed an impaired responsiveness of the  
230 TNF $\alpha$  production by cDC1 and cDC2, as previously reported, as well as co-production of  
231 IFN $\alpha$ -TNF $\alpha$  by pDC (Figure 2D). In contrast, co-production of IL12-TNF $\alpha$  by cDC2 was  
232 significantly decreased in HAM/TSP compare to asymptomatic controls. Taken altogether,  
233 these data suggest that HAM/TSP patients present an impaired responsiveness of IFN $\alpha$   
234 producing subsets, important for the antiviral response, while responsiveness of IL-12 subsets  
235 is increased.

236

### 237 **Monocytes from HAM/TSP patients show higher IL-12 and Mip-1 $\alpha$ responsiveness**

238 Monocytes were gated from HLADR $^+$ CD11c $^+$  subset excluding BDCA1 expression and  
239 subsequently divided in three subpopulations based on the expression of CD16 and CD14  
240 markers as: classical monocytes (cMono; CD14 $^+$ CD16 $^-$ ), intermediate monocytes (intMono;  
241 CD14 $^+$ CD16 $^+$ ) and non-classical monocytes (ncMono; CD14 $^-$ CD16 $^+$ ) (Supplementary Figure  
242 1 and Figure 3A). Consistent with a previous report<sup>12</sup>, a higher frequency of intermediate  
243 monocytes was detected in HAM/TSP patients compared to asymptomatic carriers (Figure  
244 3B). tSNE distribution revealed subtle differences were found in the distribution of classical  
245 and intermediate monocytes between the three clinical groups (Figure 3C). Two clusters of  
246 intermediate monocytes were identified based on t-SNE pots, unequally distributed between  
247 AC and HAM/TSP especially after TLR7 stimulation.

248 In contrast to what observed in dendritic cells, we found overall greater responsiveness of  
249 HAM/TSP monocytes to TLR7/8 stimulation (Figure 3D). Frequencies of IL-12-producing  
250 classical and non-classical monocytes and also Mip-1 $\alpha$  intermediate monocytes were  
251 significantly higher in HAM/TSP subjects than in asymptomatic carriers. In contrast, the  
252 frequency of Mip-1 $\alpha$  classical monocytes was lower in HAM/TSP compared to AC. The  
253 spontaneous production of TNF $\alpha$  was significantly decreased in HAM/TSP patients compared

254 to AC (Figure 3E). After R848 stimulation the co-production of IL-12-TNF $\alpha$  was higher in  
255 HAM/TSP compared to AC.

256 Increased released of Mip-1 $\alpha$  and IL-12 together with increased number of intermediate  
257 monocytes could mean a significant increase in the overall production of both cytokines.  
258 Increased IL-12 was linked with autoimmunity and can induce the expression of IFN $\gamma$  and  
259 TNF $\alpha$  by NK and T-cells<sup>22</sup>.

260

## 261 **Higher IFN $\gamma$ production found in NK cells from HAM/TSP**

262 Natural Killer cells are thought to make the bond between the innate and the adaptive immune  
263 response<sup>23</sup>, especially in autoimmune disease<sup>24</sup>. Furthermore, NK cell population of HTLV-1  
264 infected subjects showed spontaneous proliferation capacity<sup>25</sup>. In this line, we aimed at  
265 investigating potential frequency alterations in our cohort of HTLV-1 infected donors. NK  
266 cells subsets were gated from HLA-DR $^-$  subset (see Supplementary Figure 1) and divided into  
267 CD56 $^{\text{dim}}$ CD16 $^+$  and CD56 $^{\text{high}}$ CD16 $^-$  (Figure 4A). We observed a decreased in asymptomatic  
268 carriers of both NK subsets cell frequency compared to healthy donors and HAM/TSP  
269 patients presented lower cell frequencies of CD56 $^{\text{high}}$ CD16 $^-$  NK cells compared to  
270 asymptomatic carriers (Figure 4B). tSNE distribution evinced an extra subpopulation of  
271 CD56 $^{\text{dim}}$ CD16 $^+$  NK cells in asymptomatic carriers (Pop1) (Figure 4C), presenting high  
272 production of TNF $\alpha$  (Figure 4D). A small subpopulation of CD56 $^{\text{dim}}$ CD16 $^-$  NK cells  
273 disappeared in asymptomatic carriers after TLR7 stimulation.

274 The interaction with macrophages, lymphocytes and dendritic cells through response and  
275 secretion a variety of cytokines mediates the susceptibility and/or protective role of NK from  
276 disease progression<sup>24</sup>. In view of this fact, we wondered if the observed alteration in dendritic  
277 cells and monocytes immune response could trigger an NK dysfunctional response. While we  
278 found no significant differences in CD56 $^{\text{dim}}$ CD16 $^+$  NK cells subset responsiveness, we  
279 observed that CD56 $^{\text{dim}}$ CD16 $^-$  NK cells from HAM/TSP patients presented higher IFN $\gamma$   
280 production after TLR7 stimulation (Figure 4E). In contrast, the production of TNF $\alpha$  was  
281 significantly decreased in HAM/TSP compared to asymptomatic carriers. However the  
282 production of TNF $\alpha$ , especially by CD56 $^{\text{dim}}$ CD16 $^+$  NK cells was already high in HTLV-1-  
283 asymptomatic carriers (Figure 4F). The low TNF $\alpha$  spontaneous production in HAM/TSP  
284 patients could be as a result of corticosteroid treatment.

285

286 **Discussion**

287 HAM/TSP only occurs in 1-5% of HTLV-1-infected subjects but it represents a devastating  
288 neurodegenerative disease. To date, predictive markers of the evolution towards HAM/TSP  
289 pathology in HTLV-1 asymptomatic carriers is still a challenging task. We provided here that  
290 neither an elevated PVL –an average hallmark of HAM/TSP patients compared to  
291 asymptomatic carriers<sup>21</sup>– nor immune cell frequency alterations are sufficient to anticipate the  
292 disease progression. However, since innate compartment is also targeted by HTLV-1  
293 infection –potentially contributing to functional deregulations– the unexplored innate  
294 response alterations could shed some light on the HAM/TSP pathology.

295

296 Due the rare frequency of HAM/TSP disease and the logistical difficulties of collecting and  
297 analyzing freshly blood samples, most of the up-to-date performed studies departed from  
298 cryopreserved samples, potentially affecting blood cellular components. However, even the  
299 detection of cell surface markers look unaffected<sup>13</sup>, we have observed an impaired immune  
300 response notably by dendritic cells and monocytes upon TLR7/8 signaling pathway  
301 stimulation. In addition, working with scarce frequencies such as those of dendritic cells  
302 could significantly affect cell counts if cell survival would be affected upon cryopreservation.  
303 In regard of these facts, our work has been performed on freshly collected blood samples of a  
304 cohort of 45 Brazilian volunteers. Aiming at identifying potential immune biomarkers that  
305 could anticipate symptomatic manifestations, we performed an immunoprofiling of innate  
306 cells functionality both at steady state and after stimulation of fresh blood samples collected  
307 from a cohort of 30 HTLV-1-infected subjects.

308

309 Our results suggest that HAM/TSP patients presented a diminished antiviral response by  
310 dendritic cells as IFN $\alpha$  levels produced after TLR7/8 stimulation were lower compared to  
311 asymptomatic carriers. This decreased antiviral response could be linked with increased PVL  
312 accumulating across time. The consistent decreased TNF $\alpha$  production found at steady state in  
313 HAM/TSP samples might be related to masked inflammatory profile as a result of  
314 corticosteroid treatment. However, by addressing responsiveness through TLR7/8 stimulation  
315 we observed greater responsiveness of HAM/TSP monocytes to produce IL-12 and Mip-1 $\alpha$ .  
316 Mip-1 $\alpha$  is an inflammatory chemokine, also named CCL3, has been associated with multiple  
317 sclerosis<sup>26</sup>, an autoimmune and inflammatory disease that affects brain and spinal cord  
318 functions, through demyelination of nerves, causing irreversible damages of the CNS. Mip-1 $\alpha$

319 stimulates T-cell chemotaxis from periphery to inflamed tissues and regulates the  
320 transendothelial migration of monocytes, dendritic cells and NK cells<sup>27</sup>. Thus, the increased  
321 responsiveness of monocytes towards the production of Mip-1 $\alpha$  in HAM/TSP individuals  
322 could be linked with neuronal inflammation through a favored invasion of immune cells in the  
323 CNS. Interestingly, potent antagonists of CCL3 receptors, CCR1 and CCR5, have been  
324 developed<sup>28</sup> and their efficacy evaluated in clinical trials against multiple sclerosis among  
325 other inflammatory diseases. Thus use of such CCR1 antagonists might also be considered in  
326 the treatment of HTLV-1 infected individuals at risk of HAM/TSP.

327

328 On the other hand, higher responsiveness detected in some DC subsets and monocytes to  
329 produce IL-12 in HAM/TSP patients could contribute to the maintenance of an adaptive  
330 inflammatory response, despite anti-inflammatory treatment, as IL-12 strongly synergizes  
331 with other stimuli to induce a maximal production of IFN $\gamma$ <sup>29,30</sup> in T-cells and enhance NK  
332 cells cytotoxic activity<sup>31</sup>. In fact, we detected greater IFN $\gamma$  production by NK cells in  
333 HAM/TSP patients after stimulation. Higher levels of spontaneous IFN $\gamma$  produced by NK  
334 cells were detected in HAM/TSP patients correlating with a continuous activation state  
335 (Queiroz 2019). However, the pro-inflammatory profile seems to be extensive to all HTLV-1-  
336 infected individuals, deregulations in innate subsets observed here could be indicative of  
337 evolution towards HAM/TSP.

338

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345

### 346 **Authorship Contributions**

347 NF performed the experiments with the help of NO. BR analyzed the results, interpreted the  
348 data and wrote the first drafts of the manuscript. OA performed biostatistical analysis. JC and  
349 ACPO created the cohort, followed the patients in clinic and recruited the individuals

350 analyzed in this study. RM supervised the study. HD conceptualized, supervised and directed  
351 the study. All authors discussed the results and commented on the manuscript.

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362

363 **Conflict of Interests Disclosures**

364 The authors declare no conflict of interest.

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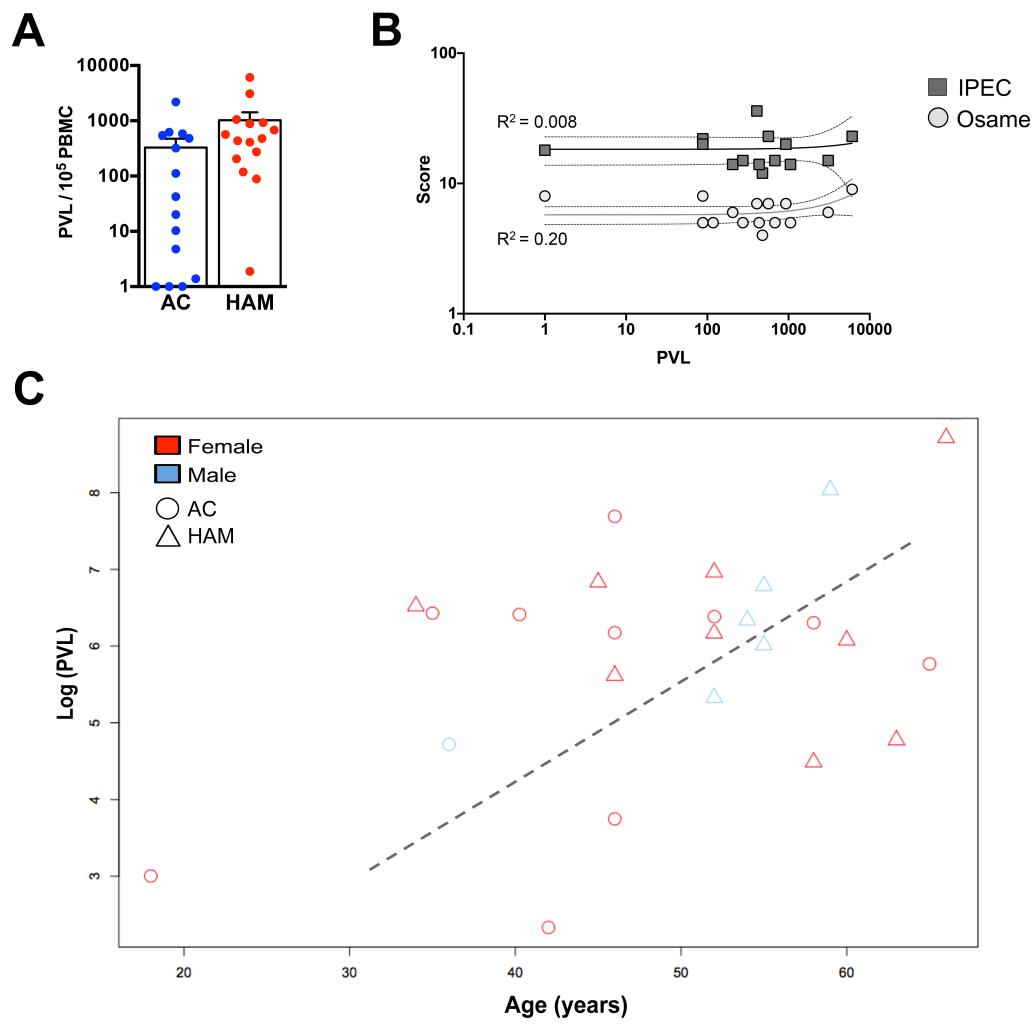
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482 **Figures and figure legends**

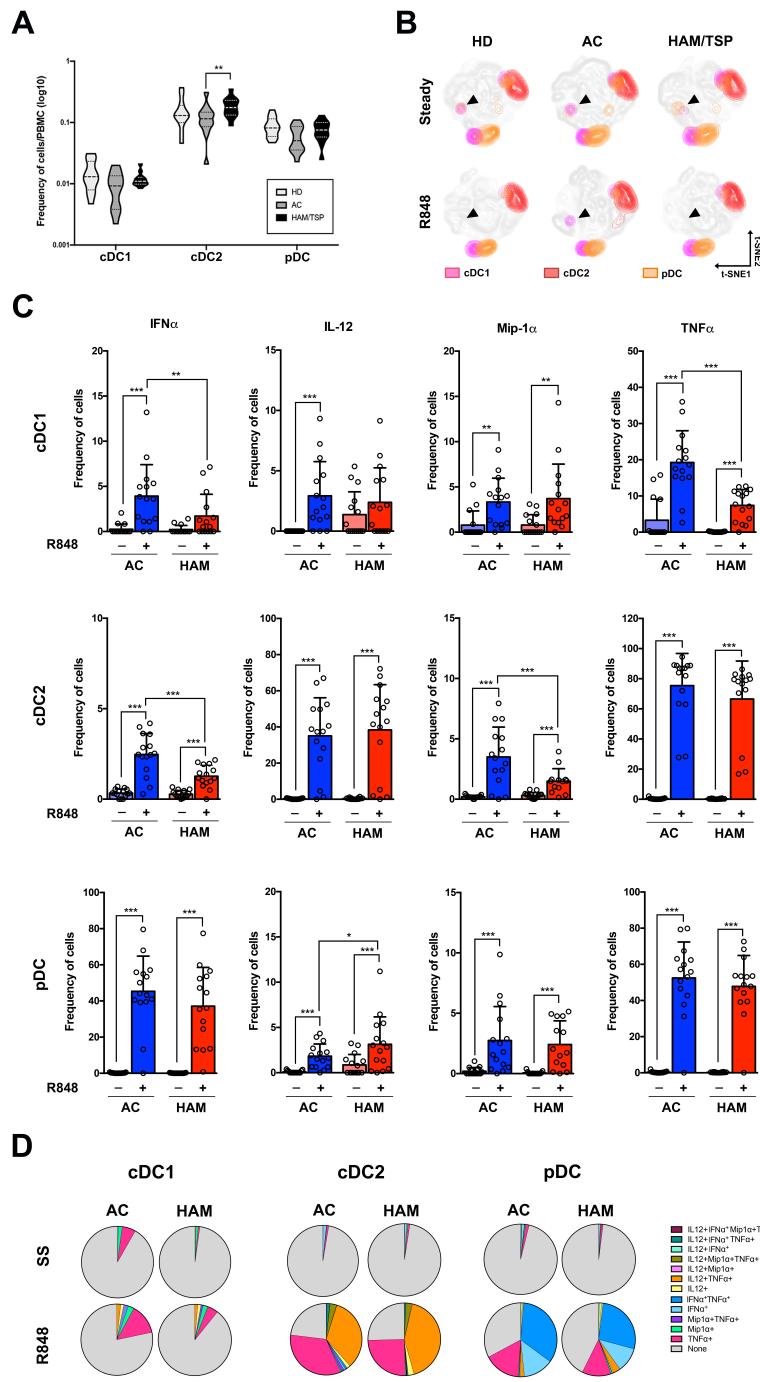


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484 **Figure 1.** (A) Proviral load (PVL) of the HTLV-1 asymptomatic carriers (AC) and  
485 HAM/TSP patients. (B) Motor score (IPEC and Osame) do not correlate with higher PVL in  
486 HAM/TSP patients. (C) PVL of HTLV-1-infected individuals shows a correlation between  
487 the PVL and the age.

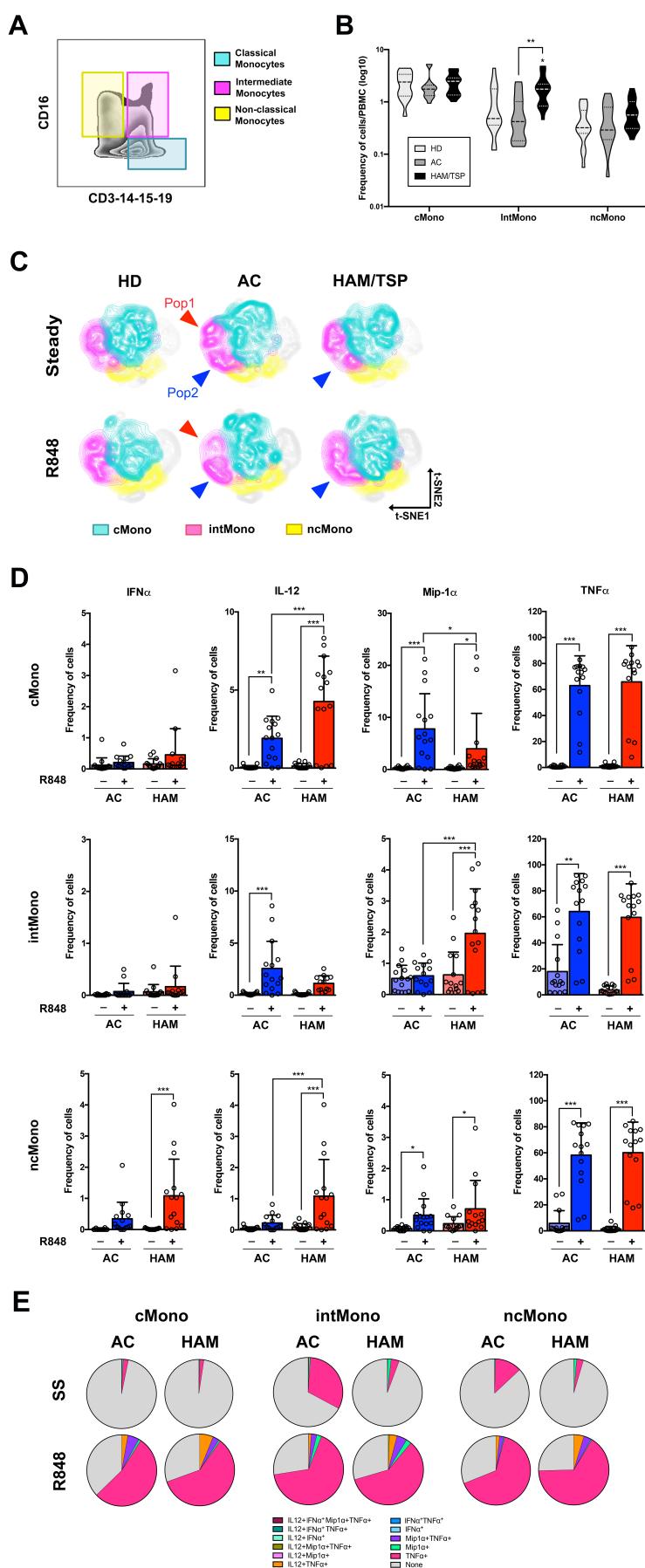
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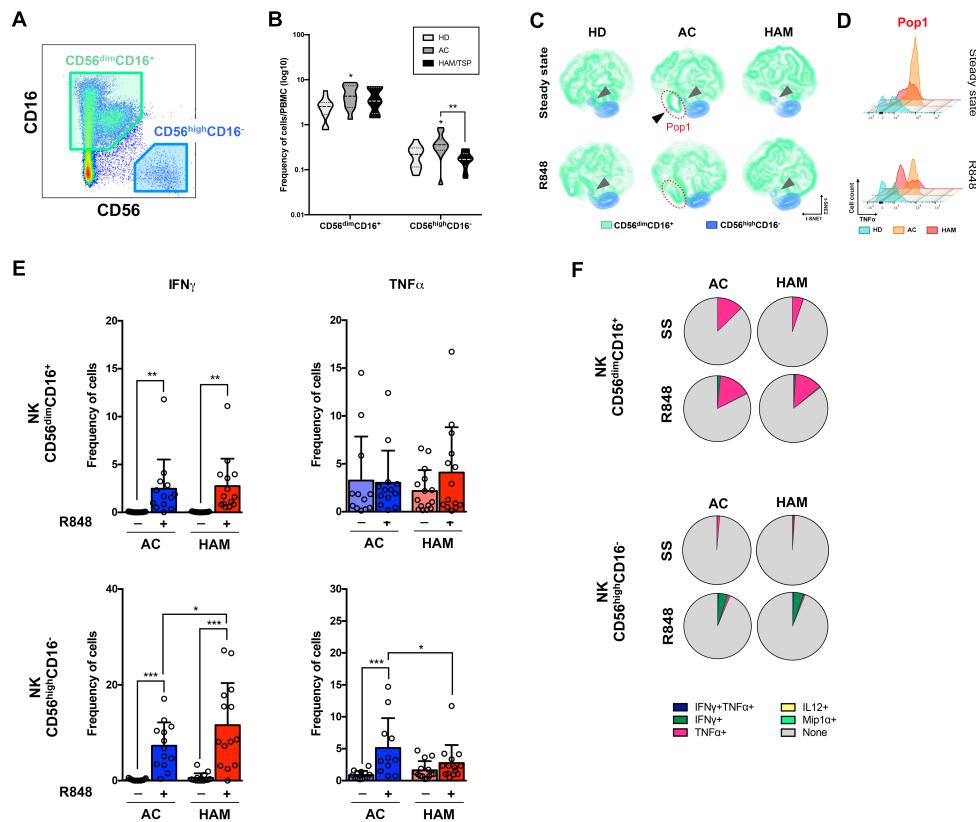
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491 **Figure 2. (A)** Frequency of innate subsets in the clinical groups evaluated in dendritic cell  
492 subsets (cDC1, cDC2 and pDC). **(B)** tSNE analysis of DC subset frequencies in whole blood  
493 samples at steady state and after TLR7-stimulation with R848. **(C)** Frequency of the cells  
494 producing IFN $\alpha$ , IL-12, Mip-1 $\alpha$  and TNF $\alpha$  at steady state and after R848 treatment in the  
495 different DC subsets. HD: healthy donor; AC: asymptomatic carriers; and HAM/TSP: HTLV-  
496 1 associated myelopathy/Tropical spastic paraparesis. \* p-value  $\leq 0.05$ ; \*\* p-value  $\leq 0.01$ ;  
497 \*\*\* p-value  $\leq 0.001$ . **(D)** Pie-chart of the Boolean analysis for the cytokine production in DC  
498 subsets at steady state (SS) and after TLR7 stimulation (R848).



500 **Figure 3. (A)** Gating strategy for identification of the three monocyte subpopulation using  
 501 CD16 and CD3-14-15-19 antibodies. **(B)** Frequency of innate subsets in the clinical groups  
 502 evaluated in monocytes subsets (classical monocytes, intermediate monocytes and non-  
 503 classical monocytes). **(C)** tSNE analysis of monocyte subset frequencies in whole blood  
 504 samples at steady state and after TLR7-stimulation with R848. **(D)** Frequency of the cells  
 505 producing IFN $\alpha$ , IL-12, Mip-1 $\alpha$  and TNF $\alpha$  at steady state and after R848 treatment in the  
 506 different monocyte subsets. HD: healthy donor; AC: asymptomatic carriers; and HAM/TSP:  
 507 HTLV-1 associated myelopathy/Tropical spastic paraparesis. \* p-value  $\leq 0.05$ ; \*\* p-value  $\leq$   
 508 0.01; \*\*\* p-value  $\leq 0.001$ . **(D)** Pie-chart of the Boolean analysis for the cytokine production  
 509 in monocyte subsets at steady state (SS) and after TLR7 stimulation (R848).

510



511

512 **Figure 4. (A)** Gating strategy followed to isolate NK cell populations according to CD16 and  
 513 CD56 expression. **(B)** Violin-plot representation of the NK subpopulations cell frequency in  
 514 the 3 clinical groups. **(C)** t-SNE clustering of the NK cells reveals different subpopulations  
 515 and **(D)** the histogram represents TNF $\alpha$  expression of the identified population (Pop1). **(E)**  
 516 Bar-plot representing the frequency of cytokine producing cells by the gated NK  
 517 subpopulations at steady state and upon R848 stimulation. **(F)** Boolean analysis of the

518 multiple-cytokine production by the NK subtypes at steady state (NS) and after TLR7/8  
 519 stimulation (R848).

520

521

522 **Supplementary Tables and Figures**

523 **Supplementary Table 1. Clinical information of the cohort.** Table containing information  
 524 about the HTLV-1-infected subjects enroller in the study. Clinical status, sex, age, PVL,  
 525 motors score, treatment and breastfeeding information is detailed for each subject.

Number	Clinical status	Age	Sex	PVL	Osame Score	IPEC Score	Treatment	Breastfeeding	Familiar situation
1	HAM/TSP	52	M	205.81	6	14	Yes	12 months	Partner HTLV1+
2	HAM/TSP	52	F	477.26	4	12	Yes	n/a	Divorced
3	HAM/TSP	55	M	408.99	7	36	Yes	Yes	Partner HTLV1+
4	HAM/TSP	55	M	88.98	8	22	Yes	n/a	Married
5	HAM/TSP	66	F	6084.07	9	23	Yes	n/a	Widow
6	AC	52	F	585.67	n/a	n/a	No	12 months	Partner HTLV1 Neg
7	AC	35	F	619.39	n/a	n/a	No	6 months	Partner HTLV1 Neg
8	AC	70	F	4.77	n/a	n/a	No	Yes	Single
9	AC	58	F	546.49	n/a	n/a	No	n/a	Widow
10	AC	46	F	480.33	n/a	n/a	No	Yes	Divorced
11	AC	41	F	1.39	n/a	n/a	No	n/a	Single
12	AC	18	F	20.14	n/a	n/a	No	24 months	Single
13	AC	52	M	1.00	n/a	n/a	No	n/a	Single
15	HAM/TSP	45	F	927.13	7	20	Yes	Yes	Partner HTLV1 Neg
16	HAM/TSP	58	F	88.97	5	20	Yes	n/a	Single
17	AC	42	F	10.3	n/a	n/a	No	36 months	Partner HTLV1 Neg
18	AC	46	F	2186.64	n/a	n/a	No	n/a	n/a
19	HAM/TSP	46	F	274.94	5	15	Yes	24 months	Partner HTLV1 Neg
21	AC	44	F	1.00	n/a	n/a	No	Yes	Widow
28	AC	36	M	112.04	n/a	n/a	No	n/a	Divorced
29	HAM/TSP	57	F	1.88	8	18	Yes	n/a	Single
30	HAM/TSP	60	F	434.89	5	14	Yes	Yes	Divorced
31	HAM/TSP	54	M	565.15	7	23	Yes	n/a	Partner HTLV1 Neg
32	HAM/TSP	52	F	1057.04	5	14	Yes	n/a	Partner HTLV1 Neg
33	HAM/TSP	59	M	3085.02	6	15	Yes	96 months	Partner HTLV1 Neg
35	AC	53	F	1.0	n/a	n/a	No	n/a	Partner HTLV1 Neg
43	HAM/TSP	63	F	118.53	5	n/a	Yes	n/a	Divorced
44	HAM/TSP	34	F	679.99	5	15	Yes	Yes	Single
48	AC	46	F	42.41	n/a	n/a	No	48 months	Partner HTLV1+
49	AC	65	F	319.77	n/a	n/a	No	Yes	Partner HTLV1 Neg

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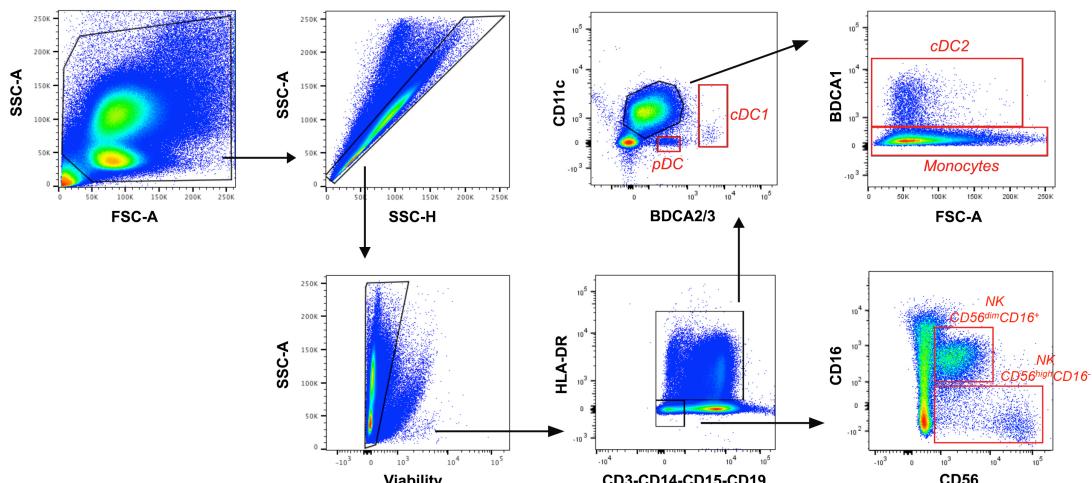
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528 **Supplementary Table 2. List of antibodies.** Recapitulative list of the (A) membrane markers  
 529 antibodies and (B) intracellular markers antibodies used for the analysis of the innate immune  
 530 response by flow cytometry.

Antibody	Clone	Fluorochrome	Supplier
CD3	UCHT1		
CD14	M5E2		
CD15	HI98	BV510	BD Bioscience
CD19	HIB19		
HLA-DR	G46-6	APC-H7	
CD11c	Bu15	PerCP-Cy5.5	Biolegend
BDCA-2	AC144		
BDCA-3	AD5-14H12	BV421	Miltenyi
BDCA-1	L161	PE-Cy7	Biolegend
CD56	HCD56	BV605	BD Bioscience

Antibody	Clone	Fluorochrome	Supplier
INF $\alpha$	LT27:295	FITC	Miltenyi
INF $\gamma$	4S.B3	BV786	Biolegend
IL-12p40/70	C11.5	PE	
Mip-1 $\alpha$	11A3	APC	BD Bioscience
TNF $\alpha$	Mab11	AF700	

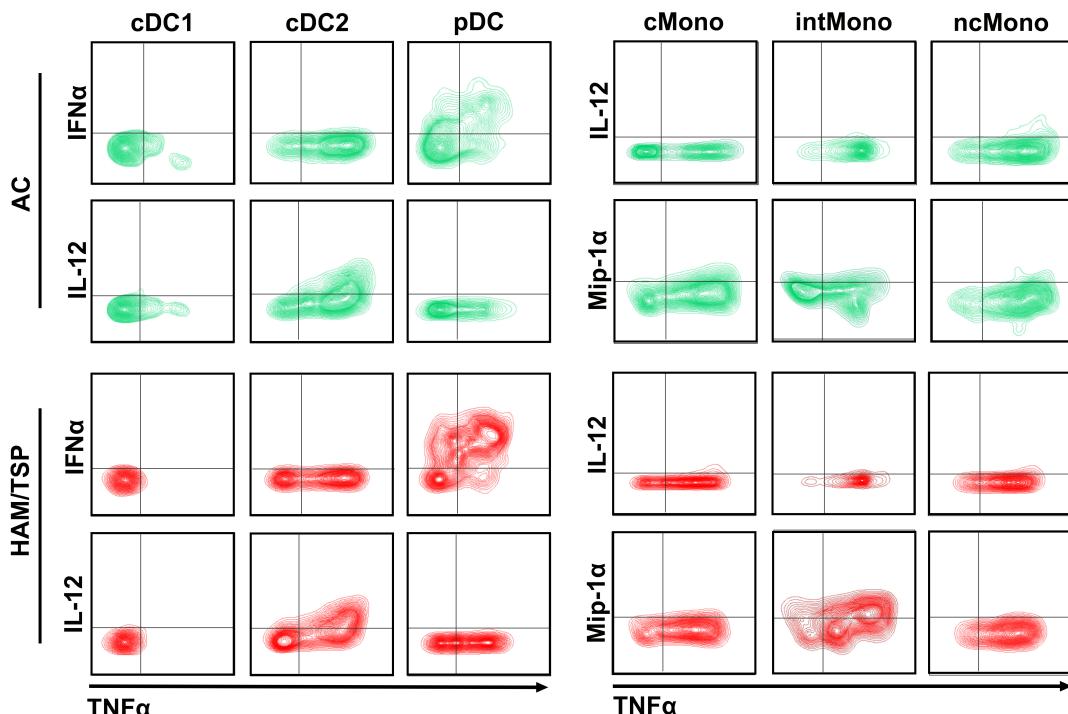
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533 **Supplementary Figure 1. Hierarchical gating strategy of the different immune cell**  
534 **subpopulations.** Flow cytometry collected datasets were analyzed with FlowJo software. A  
535 total of  $2 \times 10^6$  cells were registered and selected by cell size and granularity. After selection of  
536 single cells, viable cells were gated and innate immune cell populations were identified as  
537 indicated.

538



539

540 **Supplementary Figure 2. Gating strategy for cytokines.** Example of the gating strategy for  
541 cytokine determination in AC and HAM/TSP group for IFN $\alpha$ , IL-12 Mip-1 $\alpha$  and TNF $\alpha$  in the  
542 different cell subsets.