

## **Temporal filtering of luminance and chromaticity in macaque visual cortex**

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## Summary

The visibility of a periodic light modulation depends on its temporal frequency and spectral properties. Contrast sensitivity is highest at 8–10 Hz for modulations of luminance but is substantially lower for modulations between equiluminant lights. This difference between luminance and chromatic contrast sensitivity is rooted in retinal filtering, but additional filtering occurs in the cerebral cortex. To measure the cortical contributions to luminance and chromatic temporal contrast sensitivity, signals in the lateral geniculate nucleus (LGN) were compared to the behavioral contrast sensitivity of macaque monkeys. Long wavelength-sensitive (L) and medium wavelength-sensitive (M) cones were modulated in phase, to produce a luminance modulation (L+M), or in counterphase, to produce a chromatic modulation (L-M). The sensitivity of LGN neurons was well matched to behavioral sensitivity at low temporal frequencies but was approximately 7 times greater at high temporal frequencies. Similar results were obtained for L+M and L-M modulations. These results show that differences in the shapes of the luminance and chromatic temporal contrast sensitivity functions are due almost entirely to pre-cortical mechanisms. Simulations of cone photoreceptor currents show that temporal information loss in the retina and at the retinogeniculate synapse exceeds cortical information loss under most of the conditions tested.

Keywords: Temporal contrast, color, ideal observer, retina, cortex

1 **Introduction**

2 Signal processing in the visual system preserves of some types of information while  
3 eliminating others. If perfect knowledge of neuronal activity at one stage of the visual system  
4 (e.g. visual cortex) allows for perfect reconstruction of activity at an earlier stage (e.g. the  
5 photoreceptors), then information is perfectly preserved between them. If, instead, multiple  
6 patterns of activity at an early stage produce indistinguishable patterns at a later stage, then  
7 information has been lost. The ability of an observer to detect a stimulus—to distinguish it from  
8 a blank—reflects information loss accumulated throughout the visual system. A central goal of  
9 visual neuroscience is to understand which types of information are lost at which stage of the  
10 visual system to mediate stimulus detection.

11 A salient example of information loss in the visual system is evident in the fact that the  
12 visibility of a periodic stimulus depends on its temporal frequency. This relationship, the  
13 temporal contrast sensitivity function, plays important roles in industry (Legall 1991) and  
14 medicine (Owsley 2011, Tyler 1981), but its biological basis is incompletely understood. This  
15 uncertainty is due in part to methodological differences between neurophysiological and  
16 behavioral studies. Temporal contrast sensitivity depends on many factors including: observer  
17 species, background luminance, retinal eccentricity, stimulus size, and duration (Benardete &  
18 Kaplan 1997b, Benardete & Kaplan 1999a, Lee et al. 1990, Lindblom-Brown et al. 2014,  
19 Merigan 1980, Pokorny et al. 2001, Snowden & Hess 1992, Snowden et al. 1995, Solomon et al.  
20 2002, Swanson et al. 1987, Van der Horst 1969). In the current study, care was taken to match  
21 these factors between neurophysiological and behavioral measurements, providing a clearer  
22 picture of their relationship than has previously been available.

23 Chromatic modulations are easier to see than luminance modulations at low temporal  
24 frequencies, but at higher frequencies, the reverse is true (De Lange Dzn 1958, Kelly & van  
25 Norren 1977)(Figure 1A). The photoreceptors cannot be responsible for this difference because  
26 the same photoreceptor types that underlie luminance detection also underlie chromatic  
27 detection. Luminance stimuli modulate the long- (L) and medium wavelength-sensitive (M)  
28 cones in phase (L+M), whereas most chromatic stimuli modulate them in counterphase (L-M).  
29 Differences in the temporal filtering of these two stimulus classes must therefore be due to  
30 stages of the visual system where signals from the L- and M-cones are already mixed.

31 The purpose of this study was to quantify cortical and pre-cortical contributions to  
32 luminance and chromatic temporal contrast sensitivity. Cortical contributions were computed  
33 by comparing the behavioral sensitivity of a macaque monkey to that of a computational  
34 observer of spikes in the lateral geniculate nucleus (LGN)(Figure 1B). Pre-cortical contributions  
35 were computed by comparing two computational observers: one of LGN spikes, and one of  
36 simulated currents across the outer segments of modelled cone photoreceptors.

37 The main result of these comparisons was that information loss in the cortex was similar  
38 for L+M and L-M modulations, whereas information loss between the cones and LGN differed  
39 profoundly for L+M and L-M modulations. Differences in luminance and chromatic temporal  
40 contrast sensitivity are therefore due to processes occurring upstream of the LGN with minimal  
41 cortical involvement.

42 **Results**

43 Two monkeys (*M. mulatta*) performed a 2-alternative, forced-choice contrast detection  
44 task that required them to report on which side of a computer screen a drifting Gabor stimulus  
45 appeared. Detection thresholds were measured as a function of stimulus location, temporal  
46 frequency, and the amplitude of L- and M-cone modulations. A model was developed that  
47 predicted detection thresholds as a function of all of these parameters jointly (Gelfand &  
48 Horwitz 2018 and Figure 1A). Visual stimuli were constructed on the basis of this model and  
49 used to measure the signal-to-noise ratio (SNR) of LGN neuronal responses (Figure 1B). All  
50 stimuli were at the monkeys' behavioral detection threshold, or equivalently, matched for SNR  
51 at the output of the visual system.

52

53 *LGN single-unit responses*

54 The spatial and spectral sensitivity of each recorded LGN neuron were characterized  
55 with a white-noise stimulus (Horwitz 2020). Spike-triggered averaging was used to locate the  
56 receptive field (RF) center and to identify the physiological type of each neuron. Fifteen  
57 neurons were classified as magnocellular (8 from monkey 1 and 7 from monkey 2) and 38 as  
58 parvocellular (19 from each monkey). Each recorded neuron was then stimulated with Gabor  
59 patterns centered on the RF that varied across trials in temporal frequency and L- and M-cone  
60 modulation phase (in-phase, L+M, or counterphase, L-M). The L- and M-cone contrasts were  
61 always equal, and their maximum was set by the limits of the display (0.19 for the L-M stimulus  
62 and 0.86 for the L+M stimulus). A blank stimulus was included to measure baseline firing  
63 statistics.

64 A representative magnocellular neuron responded to L+M modulations vigorously at  
65 high temporal frequencies and more weakly as temporal frequency was reduced (Figure 2A)  
66 (for similar data from a second magnocellular neuron see Horwitz 2020). This neuron also  
67 responded to L-M modulations but only at the highest frequencies tested and then only  
68 transiently (Figure 2B). An example parvocellular neuron responded more vigorously to L-M  
69 modulations than to L+M modulations (Figures 2C and 2D), although, as expected from their  
70 low contrast, none of the stimuli used in this study drove parvocellular neurons strongly (the  
71 example in Figure 2C & 2D is among the most responsive parvocellular neurons in the data set).

72 The SNR of each response was calculated by comparing it to baseline activity. This  
73 analysis assumes that the signal in the spike trains is at the fundamental temporal frequency of  
74 the stimulus (see Methods), but this assumption was not critical to the main results (see  
75 Supplemental Information, Supplemental Figures 1 & 2). The example magnocellular neuron  
76 had greater SNR for L+M than for L-M modulations at all frequencies tested (Figure 2E). The  
77 example parvocellular neuron had greater SNR for L-M modulations than for L+M modulations  
78 above 6 Hz (Figure 2F).

79 The relationships among spiking responses, temporal frequency, L- and M-cone  
80 modulation, and cell type become clearer when data are averaged across neurons (Figure 3). As  
81 expected, magnocellular neurons were more sensitive to L+M modulations, and parvocellular  
82 neurons were more sensitive to L-M modulations (Wiesel & Hubel 1966). The SNR of  
83 magnocellular and parvocellular responses increased smoothly from 1 to 20 Hz despite the fact  
84 that contrast changed with in temporal frequency in different ways for L+M and L-M  
85 modulations over this range to keep each stimulus at detection threshold.

86 The SNR of the average neuron (Figure 3) is lower than the SNR of neuronal populations.

87 To estimate the SNR of a population of LGN neurons, the SNR of individual LGN neurons was

88 inflated by an estimate of how many LGN neurons were modulated by the stimulus, as

89 described in the next section.

90

91 *Population SNR analysis*

92 Magnocellular neurons have greater contrast sensitivity than parvocellular neurons do

93 at matched eccentricity, but they are less numerous, raising the possibility that, as populations,

94 parvocellular neurons might have greater SNR (Croner & Kaplan 1995). To estimate the SNR of

95 neuronal populations, a model was constructed using parameters taken from the literature,

96 without fitting to data (Horwitz 2020). The model provided a scale factor for each neuron that

97 reflects how many times greater the SNR of a population of similarly sensitive neurons is

98 expected to be. Scale factors were 2.1-fold greater ( $\pm 0.4$  SD) for parvocellular neurons than

99 magnocellular neurons at matched eccentricity.

100 Parvocellular population SNR rose steeply with the temporal frequency of L-M

101 modulations, and magnocellular population SNR rose similarly with the temporal frequency of

102 L+M modulations (Figure 4A). Magnocellular and parvocellular populations were also weakly

103 and similarly responsive to their non-preferred modulations, L-M and L+M, respectively. The

104 similarity of these patterns is striking considering that these data were derived from recordings

105 from two distinct populations of neurons responding to two sets of stimuli that varied in

106 temporal frequency and L- and M-cone contrast in different ways.

107 To quantify how much information was lost in the cortex, SNR in the LGN was compared  
108 to behavioral sensitivity. For this purpose, the monkeys' performance at threshold, 82% correct,  
109 was converted to an SNR of 1.27 (Green & Swets 1966, see Methods). At high frequencies, the  
110 SNR of magnocellular and parvocellular neurons exceeded this level by approximately 7-fold in  
111 response to L+M and L-M modulations, respectively. At lower frequencies, SNR in the LGN was  
112 lower. In fact, at the lowest frequencies tested, parvocellular population SNR fell below  
113 behavioral SNR for both L+M and L-M modulations (Figure 4B and Methods). Parvocellular  
114 neurons are the sole conduit by which low temporal-frequency L-M modulations are  
115 transmitted from the eye to the cortex, so parvocellular SNR was underestimated.

116 The analysis in Figure 4A & 4B was based on spikes recorded between stimulus onset  
117 and disappearance, including the slow (166 ms) contrast ramps at the beginning and end of  
118 each stimulus presentation. No adjustment was made for response latency, which biased SNR  
119 downward. To examine the effects of spike counting window on SNR, the start and stop times  
120 for spike inclusion were varied independently over a 200-ms range (Figure 4C–4G). This analysis  
121 showed that delaying the spike counting window relative to the stimulus presentation by ~120  
122 ms boosted parvocellular population SNR sufficiently to mediate behavior at even the lowest  
123 temporal frequencies tested. This delay presumably reflects the low contrast sensitivity of  
124 parvocellular neurons combined with the slow contrast increase at the beginning of each  
125 stimulus presentation.

126 Across cell types and stimulus conditions, delaying the spike counting window by 120 ms  
127 affected SNR only subtly (compare Figure 4A to 4H and Figure 4B to 4I). Over a broader range of  
128 spike counting windows, none was found that rendered parvocellular populations significantly

129 more sensitive to low-frequency L-M modulations than the monkey (Supplementary Figure 3).  
130 Over the same range of windows, magnocellular and parvocellular population SNR were similar  
131 for L+M and L-M modulations, respectively (Supplementary Figure 3).

132 Three conclusions can be drawn from these analyses: low-frequency information is  
133 preserved with near-perfect fidelity downstream of the LGN, the amount of information loss  
134 downstream of the LGN changes smoothly with temporal frequency, and the amount of  
135 information lost downstream of the LGN is nearly independent of whether L- and M-cone  
136 modulations are in-phase or counterphase. The difference between the luminance and  
137 chromatic temporal contrast sensitivity functions is therefore due primarily to information loss  
138 upstream of the LGN, which is quantified next.

139

140 *SNR loss upstream of the LGN*

141 To measure how much information was lost between the cone photoreceptors and the  
142 LGN, cone photocurrent responses to the stimuli used in the LGN recordings were simulated  
143 using the model of Angueyra and Rieke (2013). SNR loss between the cones and the LGN  
144 exceeded SNR loss in the cortex and was particularly severe at low temporal frequencies (Figure  
145 5, diagonal cross hatches). Only 5% of the SNR available in cone outer segment currents in  
146 response to low-frequency L+M modulations reached the LGN (Figures 5A & 5B). In response to  
147 L-M modulations, information transmission efficiency was more than doubled (Figures 5C & 5D)  
148 (see also Chaparro et al. 1993). Above 5 Hz, the situation reversed; SNR loss for L-M  
149 modulations exceeded SNR loss for L+M modulations. This analysis confirms differential retinal

150 filtering of L+M and L-M modulations and shows that most of the information loss under the  
151 conditions tested occurred upstream of the LGN.

152

153 **Summary and Discussion**

154 Much of the information in the light absorbed by photoreceptors fails to reach  
155 perception (Barlow 1957, Geisler 1989, Geisler 2011). Identifying where and how this  
156 information is lost is a key step towards understanding the biological basis of vision. The  
157 distinctive temporal properties of luminance and chromatic vision offer insight into this broader  
158 issue. The fact that information loss is temporal, not spatial, indicates a neural basis as opposed  
159 to an optical one. The fact that the same photoreceptor types mediate both aspects of vision  
160 indicates that the information loss is downstream of the photoreceptors. Previous studies have  
161 shown that low-frequency L+M modulations are selectively filtered in the retina, and that high-  
162 frequency modulations are filtered in the cortex (Kaplan & Benardete 2001, Kaplan et al. 1990).  
163 The new contributions of the current study are the quantitative comparison of information loss  
164 upstream and downstream of the LGN and the demonstration that cortical filtering of L+M and  
165 L-M modulations is similar across temporal frequencies.

166

167 *Mechanisms of SNR loss in the retina and LGN*

168 The stimuli used in this study had little spatial structure and were approximately  
169 uniform within the RF of each LGN neuron studied. Consequently, center-surround antagonism  
170 reduced SNR in response to L+M modulations at low temporal frequencies (Figure 6A & 6B,  
171 top). At higher temporal frequencies, the delay of the surround became an appreciable fraction

172 of the stimulus period, causing excitation from the center to move closer in time to the release  
173 of surround inhibition (Enroth-Cugell et al. 1983, Robson 1966)(Figure 6B, bottom). This change  
174 in the relative timing of excitation and inhibition largely explains the weaker response of LGN  
175 neurons to low frequency L+M modulations than to higher frequency (5–10 Hz) L+M  
176 modulations (Benardete & Kaplan 1997a, Benardete & Kaplan 1999a) (Figure 6C).

177 Most parvocellular neurons with parafoveal RFs receive input from a single cone type to  
178 the center of their RFs and a mixture of L- and M-cones to the surround. For these neurons, L-M  
179 modulations invert the influence of the surround relative to the center. A parvocellular L-ON  
180 neuron, for example, is excited by an increase in L-cone contrast at the center and disinhibited  
181 by a decrease in M-cone contrast in the surround (Figure 6D). When close together in time,  
182 these influences combine to drive a strong response (Figure 6E, top). When the temporal  
183 frequency of the modulation is sufficiently high that excitation from the center and inhibition  
184 from the surround coincide, the response is reduced (Figure 6E, bottom & 6F). This explains the  
185 low-pass temporal frequency tuning of parvocellular neurons to L-M modulations (Benardete &  
186 Kaplan 1999b, Lankheet et al. 1998).

187 The high-frequency roll-off of magnocellular and parvocellular responses is due largely  
188 to phototransduction, the dynamics of which depend on mean light intensity. Across a broad  
189 range of light levels, increasing the mean intensity of a modulated light increases the speed of  
190 cone responses (Baudin et al. 2019), retinal ganglion cell (RGC) responses (Purpura et al. 1990),  
191 and shifts the peak of the psychophysical temporal contrast sensitivity function to higher  
192 frequencies (De Lange Dzn 1958). The ability to predict the shape of the high-frequency limb of  
193 the temporal contrast sensitivity function on the basis of the cone temporal impulse response

194 across light levels suggests that that cortical filtering is independent of light level (Lee et al.

195 1990, Rider et al. 2019, Stockman et al. 2006).

196 Temporal filtering at the retinogeniculate synapse appears to be modest under most

197 conditions (Alitto & Usrey 2008, Benardete & Kaplan 1997a, Benardete & Kaplan 1999b, Kaplan

198 et al. 1987, Kaplan & Shapley 1986). Many of the stimuli used in the current study had low

199 contrast, making retinogeniculate transmission particularly efficient (Kaplan et al. 1987). High-

200 frequency stimuli had higher contrasts, but the similarity in SNR of cone currents and LGN

201 neurons at these frequencies suggests that information loss at the retinogeniculate synapse

202 was minimal.

203

204 *Mechanisms of SNR loss in the cortex*

205 The SNR gap between the LGN and behavior is due, at least in part, to processes

206 occurring in area V1 (Hawken et al. 1996). One mechanism that may contribute to high-

207 frequency filtering in V1 is push-pull excitation-inhibition (Tolhurst & Dean 1990). Simple cells in

208 V1 receive spatially coincident excitation and inhibition that prevent high-contrast, non-

209 preferred stimuli from driving a response (Troyer et al. 1998) and reduce sensitivity to high

210 temporal frequency modulations (Krukowski & Miller 2001, Krukowski et al. 2001). An intuition

211 for the latter effect is that excitation and inhibition cancel when triggered simultaneously. The

212 dominant inhibition required by the push-pull model ensures that cancellation is complete. The

213 slow kinetics of NMDA-sensitive channels in V1 neurons broaden the window of effective

214 simultaneity (Eickhoff et al. 2007, Lester et al. 1990).

215                   Most of the data supporting the push-pull model are from cat, but the same principles  
216                   are likely at work in primates (Conway & Livingstone 2006, Kremkow & Alonso 2018). Monkeys  
217                   have luminance-tuned simple cells, like cats do, but unlike cats, monkeys have a large  
218                   population of cone-opponent V1 neurons. Some of these cone-opponent neurons combine  
219                   visual signals antagonistically and roughly linearly across their RFs, consistent with the push-pull  
220                   model (Conway & Livingstone 2006, De & Horwitz 2021). One possibility that is consistent with  
221                   the results of this study is that push-pull excitation-inhibition reduces the SNR of high-  
222                   frequency cone-opponent and non-opponent modulations similarly in V1.

223                   Some V1 neurons respond to high-frequency signals that cannot be detected, implying a  
224                   high-frequency filter within or downstream of V1 (Engel et al. 1997, Gur & Snodderly 1997,  
225                   Hawken et al. 1996, Jiang et al. 2007, Krolak-Salmon et al. 2003, Shady et al. 2004, Vul &  
226                   MacLeod 2006, Williams et al. 2004, Zhigalov et al. 2019). The possibility therefore remains that  
227                   high-frequency modulations are conducted from the output of V1 to decision-making circuitry  
228                   efficiently. Laminar V1 recordings could be used to test this hypothesis.

229

230                   *Relationship to previous work*

231                   Two innovations set the current study apart from those previous. The first was holding  
232                   fixed several factors between neurophysiological and behavioral measurements: the species  
233                   and identities of the subjects, the intensity of the display background, the retinal eccentricity of  
234                   the stimulus, and the stimulus size. Two previous primate studies matched these parameters,  
235                   but neither of them varied temporal frequency, and the one that varied color reported data  
236                   from few neurons (Jiang et al. 2015a, Jiang et al. 2015b, Sperling et al. 1978). A second

237 innovation was the use a cone current model to quantify information loss through the retina  
238 and retinogeniculate synapse (Angueyra & Rieke 2013, Hass et al. 2015, Horwitz 2020).

239 Results from this study are broadly consistent with those of Lee et al. (1990) who  
240 compared contrast detection thresholds of human observers to the responses of individual  
241 magnocellular-projecting (M) and parvocellular-projecting (P) RGCs. M RGCs responded  
242 strongly to luminance modulations and weakly to chromatic modulations. The reverse was true  
243 for P RGCs. Individual RGCs of both types were less sensitive than human observers at low  
244 frequencies and more sensitive at high frequencies. Results of the current study extend these  
245 observations by showing that the sensitivity of LGN populations and observers match at low  
246 temporal frequencies, that the SNR of M and P populations are similar across temporal  
247 frequencies at contrast detection threshold, and that retinal circuitry is lossier than cortical  
248 circuitry except at high frequencies.

249 The idea that L-M and L+M temporal contrast sensitivity functions can be directly  
250 related to the activity in the M and P pathways has been the subject of much debate. Single  
251 unit recordings are ill-suited for settling this debate because, as shown in this study, many  
252 stimuli activate both pathways even at detection threshold. The only stimulus that achieved  
253 decisive pathway-specificity in this study was the low temporal frequency, L-M stimulus, which  
254 modulated parvocellular neurons weakly but exclusively. Low-frequency L+M stimuli modulated  
255 magnocellular neurons more strongly than parvocellular neurons, but both populations carried  
256 measureable signal. At high frequencies, both magnocellular and parvocellular neurons  
257 responded briskly to L+M and L-M stimuli. In lesioned animals, high temporal frequency

258 modulations are detected via the magnocellular pathway, at least at low spatial frequencies  
259 (Merigan & Eskin 1986, Merigan & Maunsell 1990, Schiller et al. 1990).

260

261 *Spatial contrast sensitivity*

262 Visual sensitivity under a range of conditions is bandpass for luminance contrast and low  
263 pass for chromatic contrast. Interestingly, this pattern is consistent whether modulations are  
264 temporal or spatial. A normative explanation is that L-M signals in natural scenes are small  
265 (Ruderman et al. 1998) but important (Carvalho et al. 2017, Rosenthal et al. 2018). Detecting  
266 these signals is facilitated by integration (low pass filtering), a strategy that works over space or  
267 time due to the large, stationary nature of objects. L+M signals in natural scenes have greater  
268 amplitude, so they can be detected with less integration, permitting finer spatial and temporal  
269 resolution and the consequent benefits for visually guided action.

270 Some mechanisms underlying spatial and temporal visual filtering are shared. For  
271 example, low-frequency spatial and temporal modulations are filtered via center-surround RF  
272 antagonism (Robson 1966), and high-frequency modulations are filtered via phototransduction  
273 (Cottaris et al. 2020). The spatial effects of phototransduction are linked to small eye  
274 movements produced during fixation. A small displacement of a high spatial-frequency grating  
275 can stimulate individual cone photoreceptors with contrast increments and decrements close  
276 together in time, causing cancellation.

277 Other mechanisms of spatial and temporal filtering differ, one of which is highlighted by  
278 the current results. This study showed that temporal filtering of luminance and chromatic  
279 modulations is similar in the cortex. In contrast, spatial filtering of luminance and chromatic

280 modulations differs substantially. High spatial-frequency luminance sensitivity is limited by  
281 midget ganglion cell density, implying near-perfect fidelity of cortical information transmission  
282 (Anderson et al. 1991, Banks et al. 1987, Banks et al. 1991, Dacey 1993). Chromatic spatial  
283 sensitivity, on the other hand, is subject to substantial additional filtering in the cortex (Martin  
284 et al. 2001, Mullen & Kingdom 2002, Mullen et al. 2005, Solomon et al. 2005).

285

286 *Caveats*

287 Several disparate data sets were converted to a common SNR metric to facilitate  
288 comparison across stages of the visual system. This conversion required mathematical models  
289 that could lead to erroneous conclusions if based on erroneous assumptions. The basis of each  
290 model, the approximations and assumptions made in their construction, and probable sources  
291 of error are discussed below.

292

293 *The cone current model*

294 The cone current model was based on patch clamp recordings from *ex vivo* macaque  
295 cones under light levels similar to those used in the current study (Angueyra & Rieke 2013). The  
296 model approximates current noise as being independent of the signal, which is reasonable at  
297 the moderate light levels used in this study (Figure 1 of Angueyra & Rieke 2013). Cone signaling  
298 dynamics were approximated as independent of eccentricity, which is reasonable over the  
299 range investigated in this study (2–14°) (Sinha et al. 2017). Absolute detection thresholds  
300 predicted by this model are close to those measured behaviorally (Angueyra & Rieke 2013,  
301 Koenig & Hofer 2011).

302           Weaknesses of the model include the fact that it is based on a single, canonical  
303   temporal impulse response, noise spectrum, and cone density, all of which presumably vary  
304   across observers (density does; see Curcio et al. 1987). Indeed, results of this study provide  
305   indirect evidence for individual differences. LGN neurons in monkey 2 were more sensitive than  
306   those in monkey 1, relative to the cone model (Figure 5). This this was true for both  
307   magnocellular and parvocellular neurons, consistent with a systematic underestimate of cone  
308   sensitivity in monkey 2.

309           At the highest frequencies tested, magnocellular SNR slightly exceeded the SNR of  
310   simulated cone currents in monkey 2 (Figure 5B). This is unrealistic; SNR cannot increase  
311   between the cones and the LGN. The population model is not responsible for this discrepancy.  
312   The SNR of individual magnocellular neurons from monkey 2 exceeded the SNR of the  
313   simulated cones inside their RFs (Supplemental Figure 4). One explanation is that the number of  
314   cones in monkey 2 was underestimated (Packer et al. 1989). Alternatively, the high-frequency  
315   sensitivity of the simulated cones may have been underestimated due to the *ex vivo*  
316   preparation or the fact that cone current simulations were based on recordings made at 4,000-  
317   6,500 photoisomerizations per second whereas cones in the monkeys' eyes absorbed  
318   approximately 7,400–8,800 photoisomerizations per second during the LGN recording  
319   experiments.

320

321   *The LGN model*

322           The LGN population model included correlations between neurons of a common type  
323   (magnocellular or parvocellular) but not between populations. Consequently, population SNR

324 was computed for magnocellular and parvocellular populations separately. SNR could not be  
325 computed for both populations jointly without additional assumptions that are ill-constrained  
326 by data.

327 The SNR of each LGN population is a lower bound on the SNR of both of them together.  
328 Note that this lower bound approached the theoretical *upper* bound imposed by SNR of cone  
329 outer segments at high temporal frequencies (Figure 5). This leads to a prediction: the signals  
330 carried by magnocellular and parvocellular neurons with overlapping RFs are largely redundant  
331 in response to high temporal-frequency modulations. This conclusion is consistent with the idea  
332 that the L-M signals carried by magnocellular neurons derive from the same circuits that  
333 mediate cone-opponency in midget RGCs (Lee & Sun 2009, Stockman et al. 2018). It is also  
334 consistent with the fact that the responses of midget and parasol RGCs with overlapping RFs  
335 share noise that is inherited from the photoreceptors (Ala-Laurila et al. 2011).

336

337 *The behavioral model*

338 The behavioral model was based on 13,760 detection trials from monkey 1 and 28,960  
339 from monkey 2. Contrast sensitivity functions predicted from the model (Figure 1A) were  
340 similar to those from the literature and to those measured from human subjects performing  
341 the same task in the same testing apparatus (Gelfand & Horwitz 2018, Merigan 1980, Stavros &  
342 Kiorpis 2008). The model accurately predicted contrast detection thresholds collected after the  
343 electrophysiological experiments (Horwitz 2020). Probable error in estimated behavioral SNR  
344 was approximately 30% (see Methods).

345

346 *Conclusion*

347 By comparing signal loss upstream and downstream of the LGN quantitatively and under  
348 identical conditions, this study showed that the differences between the luminance and  
349 chromatic temporal contrast sensitivity functions are due to processes upstream of the LGN  
350 with little if any cortical involvement.

351

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357

358 **Declaration of Interests**

359 The author declares no competing interests.

360

361 **Figure legends**

362 **Figure 1. A:** Temporal contrast sensitivity functions from monkey 1 (dashed) and monkey 2  
363 (solid) for L+M modulations (black) and L-M modulations (magenta). Curves represent the  
364 means across receptive field locations of recorded LGN neurons, and bands represent  $\pm 1$   
365 standard deviation. **B:** Schematic of the experimental logic. A set of stimuli that varied in  
366 relative L- and M-cone phase (L+M or L-M) and temporal frequency was presented at the RF of  
367 each neuron studied. The contrast of each stimulus was adjusted (left) so that the signal-to-

368 noise ratio at the level of behavior (right) was fixed. Predictions in the middle panel are fuzzy to  
369 depict uncertainty in the signal-to-noise ratio of responses in the LGN.

370

371 **Figure 2.** Responses of two LGN neurons to Gabor stimuli near behavioral detection threshold.  
372 **A:** Raster plot of magnocellular responses to L+M modulations. Trials have been sorted by  
373 temporal frequency (left ordinate) which covaries with cone contrast (right ordinate, identical  
374 for L- and M-cones) to maintain a constant level of stimulus detectability. The temporal  
375 envelope of the Gabor stimulus is shown above the rasters. **B:** Identical to A but showing  
376 responses to L-M modulations. **C & D:** Identical to A & B but for a parvocellular neuron. **E:**  
377 Signal-to-noise ratio ( $d'$ ) calculated from responses in panel A (black) and from responses in B  
378 (magenta). Points represent means, and shaded bands represent  $\pm 1$  standard error estimated  
379 by non-parametric bootstrap. **F:** Identical to E but for the parvocellular neuron.

380

381 **Figure 3. A:** Signal-to-noise ratio ( $d'$ ) averaged across magnocellular neurons (A) and  
382 parvocellular neurons (B). Shaded bands represent  $\pm 1$  standard error of the mean.

383

384 **Figure 4.** Population signal-to-noise analysis. **A:** Population signal-to-noise ratio ( $d'$ ) as a  
385 function of temporal frequency for magnocellular neurons (triangles) and parvocellular neurons  
386 (circles) in response to L+M modulations (black) and L-M modulations (magenta). Bands  
387 represent  $\pm 1$  standard error of the mean across neurons. Dashed line at 1.27 indicates the  $d'$  at  
388 the level of perceptual decision-making inferred from behavioral sensitivity. Dashed rectangle  
389 represents region magnified in **B**. **C:** Population  $d'$  for parvocellular neurons in response to 1 Hz,

390 L-M modulations as a function of the start time (ordinate) and end time (abcsissa) of the spike  
391 counting window. Contour is drawn at  $d' = 1.27$ . A spike counting window delayed by 120 ms  
392 from the stimulus presentation epoch (marked by an "X") produced a greater  $d'$  value than the  
393 window used in A & B, which did not take response latency into account (lower left corner). **D**–  
394 **G**: identical to C but for 1.3, 1.8, 2.4, and 3.2 Hz modulations, respectively. **H & I**: identical to A  
395 & B but counting spikes from 120 ms after the stimulus appeared until 120 ms after the  
396 stimulus disappeared.

397

398 **Figure 5.** Population signal-to-noise ratio ( $d'$ ) for monkey 1 (**A & C**) and monkey 2 (**B & D**).

399 Symbols represent means across neurons, and shaded bands represent  $\pm 1$  standard error of  
400 the mean. Population  $d'$  was calculated from simulated cone currents (triangles) and recorded  
401 LGN spikes (circles) in response to L+M modulations (black) and L-M modulations (magenta).  
402 Diagonal cross-hatching shows the difference in  $d'$  between cone currents and LGN spikes.  
403 Horizontal and vertical cross-hatching shows the difference in  $d'$  between LGN spikes and  
404 behavior.

405

406 **Figure 6.** Temporal filtering by center-surround receptive field antagonism. **A:** Schematic  
407 receptive field profile of an ON-center cell. Center (narrow upright Gaussian) and surround  
408 (broad upside-down Gaussian) are sensitive to a sum of L- and M-cone modulations. **B:**  
409 Modulations of the center (black) and surround (green) in responses to L+M modulations (left)  
410 are subtracted (right) to represent the net response to a stimulus that modulates both center  
411 and surround together. **C:** Temporal frequency tuning of the neuron in **A**. **D–F:** Similar to A–C

412 but for an L-ON cell. Traces in E represent responses to L-M modulations. Dashed curve in F  
413 represents temporal frequency tuning for L-M modulations. a, b, c, and d in B & E denote  
414 stimuli that correspond to points on the temporal frequency tuning curves in C & F.

415

416 **STAR Methods**

417 **Resource Availability**

418 Data and code are available at <https://github.com/horwitzlab/LGN-temporal-contrast-sensitivity>. Requests for additional information not available in that repository will be fulfilled by Lead Contact Greg Horwitz.

421

422 **Experimental Model and Subject Details**

423 Two macaque monkeys (M. mulatta, both male) were used in these experiments. Monkeys 1 and 2 were 13 and 7 years old, respectively, at the time of data collection. All procedures were approved by the University of Washington Institutional Animal Care and Use Committee. Monkeys 1 and 2 in the current study are monkeys 1 and 2 from (Gelfand & Horwitz 2018) and from (Horwitz 2020). The data analyzed in this report were collected contemporaneously with the data reported in (Horwitz 2020) and partially overlap with this previous data set.

430

431 **Method Details**

432 *Behavioral task*

433 Behavioral detection thresholds were measured using a two-alternative, forced-choice contrast detection task (Gelfand & Horwitz 2018). Monkeys sat in a dark room, 61 cm away from a rear-projection screen that was illuminated by a digital light projector (Propixx, VPixx, Inc.) updating at 240 Hz. Stimuli were generated using routines from the Psychophysics Toolbox (Brainard 1997, Kleiner et al. 2007, Pelli 1997). The spectral power distribution of each display

438 primary was characterized with a PR650 spectroradiometer (PhotoResearch, Inc.). The  
439 background was an equal-energy white metamer at 130 cd/m<sup>2</sup>, producing approximate  
440 isomerizations per cone per second of L: 8900, M: 7400, and S: 2300.

441 The monkey initiated each trial by fixating a 0.2 x 0.2° spot at the center of the screen.  
442 An upward-drifting, horizontally oriented Gabor stimulus (1 cycle/° in a 0.15° standard  
443 deviation envelope) appeared in either the right or left hemifield. Stimulus contrast increased  
444 linearly over 166 ms, remained constant for 334 ms, and then ramped down over 166 ms. After  
445 a 100–600 ms delay, the fixation point vanished, and saccade targets appeared 2° to the right  
446 and left of fixation. The monkey received a liquid reward for making a saccade to the target in  
447 the hemifield in which the Gabor stimulus had appeared. Stimulus contrast, color direction in  
448 the LM plane, and temporal frequency varied across randomly interleaved trials, and stimulus  
449 location varied across days.

450 The duration of the stimuli used in this study exceeded psychophysical integration  
451 times, which are color and temporal frequency-dependent (King-Smith & Carden 1976, Rovamo  
452 et al. 2003, Rovamo et al. 1996, Smith et al. 1984). A protracted stimulus was necessary to  
453 probe low temporal frequencies; low-frequency stimuli cannot be brief.

454 The data were fit with a model that predicts detection threshold as a function of  
455 temporal frequency, color direction in the LM plane, and location in the visual field. This model  
456 was used to construct stimuli that were adjusted in contrast to be near the monkeys' detection  
457 threshold (Gelfand & Horwitz 2018).

458

459 *Electrophysiology*

460            Responses of single LGN units were measured with extracellular tungsten electrodes  
461            (Fredrick Haer, Inc.) and recorded with a Multichannel Acquisition Processor system (Plexon,  
462            Inc.). Spike isolation was performed online with SortClient software and refined offline with  
463            OfflineSorter software. Visual fixation was tracked with a scleral search coil (Riverbend  
464            Instruments, Inc.) and was required to remain in a  $1 \times 1^\circ$  window. Liquid rewards were given for  
465            successful fixation. The visual display used in the electrophysiological experiments was identical  
466            to that used in the behavioral experiments.

467

468            *White-noise stimulation*

469            Each recorded LGN neuron was characterized with a white-noise stimulus that consisted  
470            of a  $10 \times 10$  grid of  $0.2^\circ$  pixels. The light at each of these pixels was determined by independent,  
471            random draws from red, green, and blue Gaussian intensity distributions. The stimulus updated  
472            at 60 Hz (every four frames). Spike-triggered averaging was performed online to locate the  
473            receptive field of each recorded neuron and offline to classify it as magnocellular or  
474            parvocellular.

475

476            *Near-threshold Gabor stimulation of LGN neurons*

477            Following white noise characterization, each neuron was stimulated with a sequence of  
478            Gabor patterns centered on its receptive field. All stimuli were equated for detectability using  
479            the model of Gelfand and Horwitz (2018). Each neuron had a unique receptive field location  
480            and was therefore probed with a unique set of contrasts. Every stimulus modulated the L- and  
481            M-cones of the Stockman, MacLeod, and Johnson  $10^\circ$  standard observer with identical

482 contrasts and did not modulate the S-cones. In randomly interleaved trials, L- and M-cone  
483 modulations were in phase, to create an L+M stimulus, and in counterphase, to create an L-M  
484 stimulus.

485

#### 486 **Quantification and Statistical Analysis**

487 *LGN SNR calculation*

488 Firing rate modulations of LGN neurons in response to the stimulus were nearly  
489 symmetric around the baseline rate. To quantify the neural response, the modulation  
490 amplitude of LGN spike trains at the fundamental frequency of the stimulus was extracted from  
491 stimulus-present and -absent trials and compared. Both distributions of modulation amplitudes  
492 were standardized to make them approximately normal and to reduce their dependence on  
493 firing rate (Horwitz 2020).  $d'$  was defined as the difference between the means of these two  
494 distributions, divided by their pooled standard deviation. Neurometric sensitivity, the contrast  
495 at which  $d' = 1.27$ , was not measured.

496 Population  $d'$  was defined as the  $d'$  for an individual neuron multiplied by a population  
497 scale factor (Horwitz 2020). The population scale factor depends on the number of neurons of a  
498 given type, magnocellular or parvocellular, that are modulated by the stimulus and is defined  
499 as:

$$500 \text{ Population scale factor} = \frac{4\bar{w}^T \bar{\mu}}{\sqrt{4.2\bar{w}^T \Sigma_{pop}^{-1} \bar{w}}}. \quad \text{eq. 1}$$

501 where  $\bar{\mu}$  is a vector of  $n$  signals, with one element per neuron in the population,  $\Sigma_{pop}$  is an  $n \times n$   
502 covariance matrix representing noise in the population, and  $\bar{w} = \Sigma_{pop}^{-1} \bar{\mu}$  is the vector of optimal

503 weights for population read-out. The 4 in the numerator represents the increase in signal  
504 obtained by pooling over ON and OFF mosaics in the two eyes. The 4.2 in the denominator  
505 represents the increase in noise incurred through this same pooling and includes a 0.2 that  
506 represents additional noise due to anticorrelation between ON and OFF mosaics within each  
507 eye (Ala-Laurila et al. 2011, Greschner et al. 2011, Mastronarde 1989).

508 To calculate  $\bar{\mu}$ , RFs were modeled as 2-dimensional Gaussian functions truncated at 2  
509 standard deviations. A hexagonal mosaic of RFs was constructed so that each RF touched its six  
510 neighbors at the 1 standard deviation boundary (Gauthier et al. 2009). The signal carried by the  
511  $i^{th}$  neuron,  $\mu_i$ , was defined as the integrated product of the stimulus envelope and the  $i^{th}$  RF.  
512 The RF in the center of the hexagonal array was assumed to correspond to the neuron that was  
513 actually recorded.  $\Sigma_{pop}$ , the noise covariance matrix, was constructed by assuming that every  
514 neuron was equally noisy and that correlations between neurons were equal to their RF overlap  
515 (Ala-Laurila et al. 2011, Trong & Rieke 2008). RF sizes of magnocellular neurons were taken  
516 from Derrington & Lennie (1984). Parvocellular RF sizes were taken from Watson (2014) with a  
517 20% reduction in diameter to convert from human to macaque (Dacey & Petersen 1992).

518

519 *Behavioral SNR calculation*

520 Threshold was defined as the contrast needed to support 82% correct choices in the  
521 contrast detection task (Gelfand & Horwitz 2018). Decisions in this task can be modeled as a  
522 comparison between draws from two independent, homoscedastic, Gaussian distributions. If  
523 the draw from the signal distribution exceeds the draw from the noise distribution, the trial is

524 answered correctly. 82% correct is achieved when the means of the two distributions are 1.27  
525 standard deviations apart.

526 Noisy estimates of detection thresholds, on which this model was based, produce noisy  
527 SNR estimates. The average cross-validated prediction error of contrast detection thresholds  
528 was 14%. A 14% change in contrast around threshold corresponds to a change from 82%  
529 correct to 79–84%, assuming a Weibull psychometric function with a slope of 3 (Wallis et al.  
530 2013). This range corresponds to  $d'$  values from 0.89–1.7.

531

532 *Cone current SNR calculation*

533 The cone current model was developed by Angueyra and Rieke (2013). The  
534 implementation used in this study is identical to the one used in (Hass et al. 2015, Horwitz  
535 2020) and is available on GitHub (<https://github.com/horwitzlab/LGN-temporal-contrast-sensitivity>). Each cone is modeled as a linear temporal filter, the output of which (the signal), is  
536 corrupted by additive Gaussian noise. Simulated cone currents were weighted over time and  
537 space using a filter that is identical to the signal. This resulted in two univariate, homoscedastic  
538 Gaussian distributions from which  $d'$  was calculated (difference in means divided by standard  
539 deviation).

541

542 **Supplemental Information**

543

544 *Spike train distance-based SNR analysis*

545 The analysis of SNR presented in the main text assumes that LGN signals are at the  
546 fundamental frequency of the stimulus (F1). To examine the validity of this assumption, spike  
547 train power spectra were computed by discrete Fourier transform (Supplemental Figure 1).  
548 Parvocellular neurons, as expected, responded dominantly with an F1-modulated response  
549 component, whether the stimulus modulated the L- and M-cones in-phase (Supplemental  
550 Figure 1A) or in counterphase (Supplemental Figure 1B) (Kaplan et al. 1990). The dip in power at  
551 approximately 5 Hz is a consistent aspect of parvocellular spike trains even in the absence of  
552 contrast in the receptive field (Horwitz 2020).

553 Magnocellular neurons carry signatures of stimulus frequency in components of their  
554 response besides the F1. Frequency-doubled responses (F2) to high-frequency L+M stimuli  
555 were pronounced (Supplemental Figure 1C). The magnitude of the F2 component was tightly  
556 correlated with the magnitude of the F1 component across neurons within temporal frequency  
557 (mean  $r = 0.88$ ,  $p < 0.0001$ , paired t-test) and across temporal frequencies within neuron (mean  
558  $r = 0.81$ ,  $p < 0.0001$ , paired t-test). The information carried by the F1 and F2 components is  
559 therefore largely redundant. A broadband increase in power at high temporal frequencies is an  
560 expected consequence of the rectangular spike counting window and the increase in spike  
561 modulation amplitude with temporal frequency (Supplemental Figure 1C & 1D)(Harris 1978).

562 To ask whether magnocellular spike trains carried stimulus-related signals that were  
563 missed by the analysis of F1 modulation, an auxiliary analysis was performed. Each spike train  
564 was represented as a point in a high-dimensional space, and the distance between each pair of  
565 spike trains was defined on the basis of how many spikes must be added, deleted, or moved to  
566 transform one to the other (Victor & Purpura 1997). For each stimulus condition, individual

567 spike trains were extracted and the nine nearest neighbors identified. If five or more of these  
568 neighbors were responses to the stimulus, then the extracted spike train was classified as  
569 stimulus-present; otherwise, it was classified as stimulus-absent. These classifications were  
570 compared to ground truth to quantify correctly classified stimulus responses (hits) and  
571 incorrectly classified responses to the blank (false alarms). The signal-to-noise ratio ( $d'$ ) was  
572 calculated as  $\Phi^{-1}(\text{hit rate}) - \Phi^{-1}(\text{false alarm rate})$ , where  $\Phi^{-1}$  is the inverse cumulative standard  
573 normal probability density function, hit rate is the number of hits divided by the number of  
574 stimulus-present trials, and false alarm rate is the number of false alarm divided by the number  
575 of stimulus-absent trials.

576 The results of this analysis agreed closely with the results of the F1-based SNR analysis  
577 under most of the conditions tested (Supplemental Figure 2). The one exception was that SNR  
578 in response to high-frequency, L-M modulations in monkey 1 was considerably higher under the  
579 spike train distance-based analysis than under the F1-based analysis. In this animal, high-  
580 frequency L-M modulations often produced transient, weakly entrained responses (e.g. Figure  
581 2B). For the comparisons made in this report, however, the assumption that signal is carried in  
582 the F1 response component is justified.

583

584 *Spike train distance-based SNR analysis methods*

585 There are two free parameters in the calculation of SNR based on spike train distances.  
586 The first is the number of nearest neighbors used in the classification, which was set to 9. The  
587 second is the penalty associated with moving a spike 1 by second, which was set to 6 (the

588 penalty of adding or subtracting a spike was 1). The values of these two parameters were found  
589 via a grid search that maximized decoding accuracy.

590 Two minor adjustments were made to  $d'$  values calculated by this procedure to reduce  
591 variability and bias. The first adjustment avoids infinite values that would otherwise occur when  
592 a hit rate or a false alarm rate is 0 or 1. Zeros were replaced with  $0.5/n$  and ones were replaced  
593 with  $(n-0.5)/n$ , respectively, where  $n$  is the number trials, either stimulus-present or -absent  
594 (Stanislaw & Todorov 1999). The second correction compensates for a small downward bias  
595 caused by the fact that each trial was classified on the basis of other trials, which are likely to be  
596 of the opposite type. Consider an urn containing equal numbers of red and black balls: the  
597 nearest neighbors of a red ball, not including itself, are more likely to be black than red. To  
598 correct for this fact,  $\Phi^{-1}(E(\text{hit rate})) - \Phi^{-1}(E(\text{false alarm rate}))$  was subtracted from  $d'$ , where  
599  $E(\text{hit rate})$  and  $E(\text{false alarm rate})$  are the expected values of the hit rate and false alarm rate  
600 under the ball and urn model.

601

602 **Supplemental Figure legends**

603

604 **Supplemental Figure 1.** Spectral analysis of LGN spike trains. Power spectra are shown for  
605 parvocellular responses to L+M stimuli (**A**), parvocellular responses to L-M stimuli (**B**),  
606 magnocellular responses to L+M stimuli (**C**), and magnocellular responses to L-M stimuli (**D**).  
607 The fundamental frequency of each stimulus is shown along the abscissa (triangles). Frequency-  
608 doubled responses in (C) are indicated by black arrows.

609

610 **Supplemental Figure 2.** Magnocellular signal-to-noise ratio ( $d'$ ) in response to L+M modulations  
611 (black) and L-M modulations (magenta).  $d'$  was computed from responses at the fundamental  
612 frequency of the stimulus (closed symbols) and from the performance of a k-nearest neighbors  
613 spike train classifier (open symbols). Symbols represent means across neurons, and shaded  
614 bands represent  $\pm 1$  standard error of the mean. Data are from monkey 1 (**A**) and monkey 2 (**B**).

615

616 **Supplemental Figure 3.** Analysis of spike counting window on population signal-to-noise ratio.  
617 Population signal-to-noise ratio was calculated from parvocellular responses to L-M  
618 modulations (magenta) and magnocellular responses to L+M modulations (black). Spikes were  
619 counted for 0.05, 0.1, 0.2, 0.4, or 0.66 s (columns), starting 0, 0.05, 0.1, 0.15, 0.2, 0.25, or 0.3 s  
620 after stimulus onset (rows). Symbols represent means across neurons, and shaded bands  
621 represent  $\pm 1$  standard error of the mean. Horizontal lines indicate signal-to-noise ratios of 0  
622 (gray) and 1.27 (dashed).

623

624 **Supplemental Figure 4.** Signal-to-noise ratio of individual LGN neurons and the cones assumed  
625 to be inside their receptive fields. Plotting conventions are as in Figure 5, but population scaling  
626 has not been performed on the individual neuronal  $d'$  values, and only the cones inside the  
627 receptive field of each recorded LGN neuron were simulated.

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