

## 1 PD-1 dependent expansion of Amphregulin<sup>+</sup>FOXP3<sup>+</sup> cells is associated with oral immune 2 dysfunction in HIV patients on therapy

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## 21 One Sentence Summary:

22 HIV-induced immune dysfunction in lymphoid and mucosal tissues

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24     **Abstract**

25           Residual systemic inflammation and mucosal immune dysfunction persist in people living  
26    with HIV (PLWH) despite treatment with combined anti-retroviral therapy (cART), but the  
27    underlying immune mechanisms are poorly understood. Here we report an altered immune  
28    landscape involving upregulation of TLR- and inflammasome signaling, localized CD4<sup>+</sup> T cell  
29    hyperactivation, and counterintuitively, an enrichment of CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup> regulatory T cells  
30    (T<sub>regs</sub>) in the oral mucosa of HIV<sup>+</sup> patients on therapy. Using human oral tonsil cultures, we found  
31    that HIV infection causes an increase in a unique population of FOXP3<sup>+</sup> cells expressing PD-1,  
32    IFN- $\gamma$ , Amphiregulin (AREG), and IL-10. These cells persisted even in the presence of the anti-  
33    retroviral drug and underwent further expansion driven by TLR-2 ligands and IL-1 $\beta$ . IL-1 $\beta$  also  
34    promoted PD-1 upregulation in AKT1 dependent manner. PD-1 stabilized FOXP3 and AREG  
35    expression in these cells through a mechanism requiring the activation of Asparaginyl  
36    Endopeptidase (AEP). Importantly, these FOXP3<sup>+</sup> cells were incapable of suppressing CD4<sup>+</sup> T  
37    cells *in vitro*. Concurrently, HIV<sup>+</sup> patients harbored higher levels of PD-1, IFN- $\gamma$ , Amphiregulin  
38    (AREG), and IL-10 expressing FOXP3<sup>+</sup> cells, which strongly correlated with CD4<sup>+</sup> T cell  
39    hyperactivation, suggesting an absence of CD4<sup>+</sup> T cell regulation in the oral mucosa. Taken  
40    together, this study provides insights into a novel mechanism of FOXP3<sup>+</sup> cell dysregulation and  
41    reveals a critical link in the positive feedback loop of oral mucosal immune activation events in  
42    HIV<sup>+</sup> patients on therapy.

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47 **Introduction**

48 Human immunodeficiency virus 1 (HIV-1) associated co-morbidities such as inflammatory  
49 disorders and cancer are important public health concerns<sup>1-6</sup>. Immune complications persist in  
50 patients despite effective combined antiretroviral therapy (cART), and have been inextricably  
51 linked to HIV latency, altered mucosal T cell functionality, and increased production of immune  
52 activation-associated cytokines in treated HIV<sup>+</sup> patients<sup>7-14</sup>. Although oral complications such as  
53 periodontitis and oropharyngeal cancer in healthy HIV-uninfected adults are usually mild, self-  
54 limited, and of short duration, they are of increased incidence and severity in HIV<sup>+</sup> individuals  
55 under suppressive HIV therapy<sup>15-18</sup>. Oral mucosa is conferred with a distinct immune  
56 compartment with a unique microbiome<sup>19</sup>, but the oral lymphoid cell population and its  
57 dysregulation in HIV<sup>+</sup> patients are not understood<sup>18, 20, 21</sup>. Acute simian immunodeficiency virus  
58 (SIV) infection has been shown to cause a loss of barrier protection as a result of CD4<sup>+</sup> T cell  
59 depletion in oral mucosa<sup>22</sup>. Although cART therapy can restore these CD4<sup>+</sup> T cells, they can  
60 contribute to oral viral reservoirs. To date, there is no information on alterations of oral mucosal  
61 CD4<sup>+</sup> T cell functionality in the context of SIV or HIV infection after treatment.  
62 CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> T<sub>reg</sub> cells, known for their immunomodulatory functions, express CXCR4 and  
63 CCR5 coreceptors and support high levels of HIV infection and replication<sup>23</sup>. Thus, the initial loss  
64 of T<sub>regs</sub> during HIV infection can contribute to a self-perpetuating loop of events leading to immune  
65 activation<sup>7, 24-28</sup>. Previous reports document varied levels of T<sub>regs</sub>, depending on the location (blood,  
66 lymphoid organ, or mucosa) and acute *versus* chronic phase of infection<sup>29-34</sup>. Nevertheless, the  
67 precise cellular and functional alterations in T<sub>regs</sub> in the context of immune activation have not  
68 been characterized in the oral mucosa. Here we show that gingival mucosa of cART-treated people  
69 living with HIV (PLWH) had an increased accrual of CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup> cells when compared

70 to healthy individuals. Counterintuitively, conventional CD4<sup>+</sup> T cells showed a hyperactivated  
71 CD38<sup>high</sup>HLA-DR<sup>+</sup> phenotype and not a “suppressed” phenotype in this environment.  
72 Transcriptomic profiling of the bulk immune population in gingival mucosa revealed an  
73 upregulation of TLR signaling and inflammasome pathway in PLWH. Examining FOXP3<sup>+</sup> cells  
74 in HIV-infected oral lymphoid tonsillar cultures, we found that HIV, TLR-2 ligands, and IL-1 $\beta$   
75 were capable of expanding a unique population of FOXP3<sup>+</sup> cells expressing PD-1, IFN- $\gamma$ , and  
76 AREG. These cells required IL-1 $\beta$  mediated AKT1 (AKT) phosphorylation and PD-1 dependent  
77 AEP activation for expansion and the expression of FOXP3 and AREG. However, these cells did  
78 not suppress CD4<sup>+</sup> T cells *in vitro*, implying that T<sub>reg</sub> mediated mucosal CD4<sup>+</sup> T cell regulation  
79 could be impaired in HIV<sup>+</sup> patients *in vivo*. Concurringly, the frequency of PD-1<sup>hi</sup>IFN- $\gamma$  expressing  
80 FOXP3<sup>+</sup> cells with an elevated expression of AREG and IL-10 was also higher in oral mucosa of  
81 HIV<sup>+</sup> patients on therapy and correlated with the dysregulated immune landscape. Taken together,  
82 our results here provide significant mechanistic insights into HIV-mediated T<sub>reg</sub> dysfunction in oral  
83 mucosa and unveil new targets to modulate immune activation.

84

## 85 **Results**

### 86 **Oral gingival tissue displays inflammatory signature and CD4 T cell alterations in HIV<sup>+</sup> 87 patients on therapy.**

88 To examine immune cell alterations in oral mucosa, we recruited 78 participants that included  
89 healthy controls and treated HIV<sup>+</sup> (HIV+ cART) individuals and collected their saliva, peripheral  
90 blood mononuclear cells (PBMC), and oral gingival mucosa biopsies (**Table 1**). Unbiased RNA  
91 sequencing analyses revealed an upregulation of 772 transcripts and downregulation of 226  
92 transcripts in gingival biopsy tissues of HIV+ cART individuals when compared to controls

93 (Fig.1A, left). However, only 54 genes were differentially regulated in their PBMC (Fig.1A,  
94 right), indicating a mucosal dysfunction persisting during therapy after significant clearance of  
95 the virus. Global pathway analysis identified that a majority of the upregulated genes in oral  
96 mucosa of HIV<sup>+</sup> patients were associated with TLR, MyD88, inflammasome, and inflammatory  
97 responses, highlighting an underlying immune activation (Fig.1B-D). Gene set enrichment  
98 analysis (GSEA)<sup>35</sup> revealed a positive enrichment of pathways of aging, head and neck cancer,  
99 and AKT1 signaling based on gene sets in GO pathways and MSigDB (Fig.S1A). The frequency  
100 of CD38 and HLA-DR co-expressing cells, the hallmark of HIV-mediated CD4<sup>+</sup>T cell activation<sup>36</sup>,  
101 was significantly higher in human oral intraepithelial and lamina propria leukocytes (HOIL) from  
102 gingival biopsies from HIV<sup>+</sup> patients on therapy (Fig.1E; Fig.S1B, S1C). As we have shown  
103 previously, there were no differences in the frequency of activated CD4<sup>+</sup> T cells between the  
104 PBMC of the groups (data not shown)<sup>36</sup>. Neither were there any differences in overall CD4<sup>+</sup> T cell  
105 proportions or the levels of IFN- $\gamma$  expressing CD4<sup>+</sup> cells between these groups (S2, A-C).  
106 Collectively, peripheral CD4<sup>+</sup> T cells appear to be largely restored by cART, but oral mucosa of  
107 HIV<sup>+</sup> patients display features of immune dysregulation with alterations in inflammasome  
108 pathway, TLR/MyD88 signaling, and localized CD4<sup>+</sup> T lymphocyte hyperactivation.

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110 **CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup> cells are enriched in oral mucosa of HIV<sup>+</sup> patients on therapy.**  
111 Based on the upregulation of transcripts in the inflammasome pathway (Fig.1D), we then assessed  
112 IL-1 $\beta$  levels in HIV<sup>+</sup> patients. We have previously shown increased IL-1 $\beta$  in lymphoid organs of  
113 HIV<sup>+</sup> patients<sup>37</sup>, but the oral mucosa has not been examined. While IL-1 $\beta$  levels appeared to be  
114 lower (Fig. S3A), IL-6 levels were significantly higher in the saliva of HIV+cART patients (Fig.  
115 S3B). We also determined their expression in the supernatants of stimulated oral gingival immune

116 cells *ex vivo*. These cells derived from HIV<sup>+</sup> patients showed significantly elevated levels of  
117 secreted IL-1 $\beta$  and IL-6, corroborating with their inflammatory signature (**Fig.1C, D, 2A**). Given  
118 the role of microbial ligands in regulating mucosal cytokines, we hypothesized that dysbiotic oral  
119 microbiome may also be linked to alterations in cytokine levels and TLR signaling in oral mucosa  
120 of HIV<sup>+</sup> patients<sup>38, 39</sup>. Although D-dimers and lipoteichoic acid were not significantly different  
121 between the groups (data not shown), we found that salivary soluble TLR-2 proteins were  
122 significantly increased in HIV<sup>+</sup> patients (**Fig.2B**). Interestingly, younger HIV<sup>+</sup> patients (< 60)  
123 showed increased levels of sCD14 in their serum compared to young healthy controls(**Fig.S3C**).  
124 These features of inflammation, *i.e.* CD4 hyperactivation (CD38 $^+$ HLA-DR $^+$ ) and alterations in  
125 TLR-2 signaling led us to hypothesize that there might be a defect in immune regulation in oral  
126 mucosa of HIV<sup>+</sup> patients<sup>40, 41</sup>. By first examining the transcriptome of gingival mucosa for the  
127 genes involved in promoting T<sub>reg</sub> development and functions, we found that some of the T<sub>reg</sub>  
128 transcripts were significantly enriched in oral mucosa of HIV<sup>+</sup> patients (**Fig.2C**). Flow cytometry  
129 analyses of CD4, CD25, and FOXP3 expression also revealed that oral mucosal T<sub>reg</sub> proportions  
130 were strikingly higher in the HIV<sup>+</sup> group compared to the HIV-negative individuals (**Fig.2D, 2E,**  
131 **top**). However, there were no differences in T<sub>reg</sub> percentages in PBMC between these two groups,  
132 showing that T<sub>reg</sub> dysregulation was specific to the mucosa(**Fig.2D, 2E, bottom**). Because CD4 $^+$   
133 T cells exhibited a hyperactivated phenotype (**Fig.1E**), we anticipated a lower frequency of  
134 FOXP3 $^+$  T cells in the HIV<sup>+</sup> group but were surprised to find increased T<sub>reg</sub> proportions in oral  
135 mucosa of HIV<sup>+</sup> individuals. Increased TLR-2 signaling that we observed in HIV<sup>+</sup>  
136 patients(**Fig.1B,1D, 2B**) can enhance FOXP3 $^+$  cell proliferation and alter the functions of T<sub>reg</sub> and  
137 non-T<sub>reg</sub> CD4 $^+$  T cells<sup>42, 43</sup>. It is known that oral complications such as periodontitis are of increased  
138 incidence and severity in HIV<sup>+</sup> individuals even after suppressive HIV therapy. A majority of the

139 HIV<sup>+</sup> patients in our cohort had previous oral lesions. Therefore it is possible that generalized  
140 inflammation such as periodontitis contributes to T<sub>reg</sub> dysregulation. To verify this possibility, we  
141 profiled FOXP3<sup>+</sup> cells in gingival mucosa from both chronic and acute periodontitis non-HIV  
142 patients comparing them with healthy individuals. Although we found increases in Th17 cells in  
143 periodontitis non-HIV patients as shown previously<sup>44</sup>, there were no significant changes in the  
144 frequency of FOXP3<sup>+</sup> cells in their gingiva (**Fig.S4**). These results show that previous  
145 inflammation does not by itself correlate or contribute to FOXP3<sup>+</sup> T<sub>reg</sub> cell enrichment in the oral  
146 mucosa. Taken together, these data raise the possibility that enrichment of FOXP3<sup>+</sup> cells might be  
147 linked to the up-regulation of inflammasome and TLR/MyD88 signaling (**Fig. 1B, D**) and localized  
148 CD4<sup>+</sup> T hyperactivation (**Fig. 1E**) specific to the oral mucosa of HIV<sup>+</sup> patients.

149

150 **HIV infection of oral MALT induces cell death and phenotypic changes in FOXP3<sup>+</sup> cells.**  
151 The oral mucosal system is composed of compartmentalized mucosa-associated lymphoid tissue  
152 (MALT) which includes palatine tonsils. The lymphoid environment of the tonsil oral MALT  
153 makes these tissues highly susceptible to infection and establishment of HIV reservoirs<sup>45, 46</sup>,  
154 however, CD4<sup>+</sup> T cell dysfunction in relation to oral residual immune activation in cART treated  
155 patients has not been studied before. To obtain mechanistic details underlying T<sub>reg</sub> alterations  
156 during HIV infection, we employed human tonsil cultures (HTC) derived from uninfected  
157 individuals. We hypothesized that this system would provide mechanistic insights into immune  
158 dysfunction in oral mucosa of HIV<sup>+</sup> patients *in vivo*. First, we performed immunophenotyping of  
159 the tonsils that were obtained from tonsillectomy surgeries in children. As expected, examining  
160 the disaggregated tonsil cells in comparison with PBMC from independent healthy donors *ex vivo*,  
161 we found that tonsils harbored modestly lower frequencies of CD3<sup>+</sup> T cells, NK and NKT cells,

162 but significantly higher proportions of CD19<sup>+</sup> B cells (**Fig.S5A, top, bottom, S5C**). Although  
163 tonsils had comparable levels of CD4<sup>+</sup> T cells, they had reduced CD8<sup>+</sup> T cells (**Fig.S5B, S5C**). As  
164 expected, 25-55% of CD4<sup>+</sup> T cells were CXCR5<sup>+</sup>PD-1<sup>high</sup> Follicular T helper cells (TFH) in tonsils  
165 (**Fig.S5D, top, S5E**). The overall proportions of CD4<sup>+</sup>FOXP3<sup>+</sup>cells were comparable to those in  
166 PBMC, but there were slightly lower proportions of CD25<sup>+</sup>FOXP3<sup>+</sup> T follicular regulatory cells  
167 (TFR) and significantly higher proportions of CD45RO<sup>+</sup> memory cells in tonsils when compared  
168 to PBMC (**Fig.S5D, middle, and bottom**). We stimulated whole tonsil cultures and infected them  
169 with the X4- tropic HIV-1 strain NLGNef<sup>47, 48</sup>. We characterized the productively infected cells  
170 by examining the GFP expressing cells (**Fig.S6A**). As shown before<sup>46</sup>, 95% of the productively  
171 infected cells were of germinal center TFH phenotype (**Fig.S6B, top**), but co-expressed FOXP3  
172 and CD45RO(**Fig.S6B, middle**). Though T<sub>regs</sub> have been previously shown to be an HIV-  
173 permissible population<sup>49</sup>, our results show that these p24 expressing cells also co-express CD45RO  
174 (**Fig.S6B, middle, bottom**). They also expressed higher levels of CXCR4, with many co-  
175 expressing CCR5, consistent with a previous report on tonsillar CD4<sup>+</sup> T cells (<sup>50</sup>, data not shown).  
176 Examining the FOXP3<sup>+</sup> cell fraction more closely, PD-1<sup>high</sup>CXCR5<sup>+</sup>CD45RO<sup>+</sup> cells, which were  
177 consistent with the memory phenotype germinal center follicular regulatory cells (TFR), displayed  
178 a significant high-permissibility to HIV infection(**Fig.S6C, D**). As shown previously<sup>45</sup>, these TFR  
179 showed higher permissibility to both X4- and R5 tropic viruses (data not shown). The expression  
180 of surface and intracellular IL-10R in jejunum lamina propria, but not jejunum intraepithelial T  
181 cells during late acute SIV infection<sup>51</sup>. Therefore we examined the expression of the IL-10R  
182 receptor but did not find changes in IL-10R expression with and without anti-retroviral inhibitor  
183 in HIV-infected CD4<sup>+</sup> T cells (**Fig.S6E**).To distinguish whether these permissible FOXP3<sup>+</sup> cells  
184 were pre-existing tonsil T<sub>regs</sub> or induced during TCR stimulation, we purified CD4<sup>+</sup> cells and

185 CD4+CD25<sup>+</sup>CD127<sup>low</sup> cells and infected them with HIV. We found that FOXP3<sup>+</sup> cells were highly  
186 permissible in both of the cultures, although purified T<sub>regs</sub> harbored a significantly higher  
187 frequency of GFP<sup>+</sup> cells (**Fig.3A**). Similar results were obtained even in the absence of TCR  
188 activation, as tonsil cells do not require exogenous stimulation prior to infection (data not shown;  
189 <sup>45</sup>). These results confirm previous studies showing high permissibility of FOXP3<sup>+</sup> cells to HIV  
190 infection<sup>45, 49</sup>. Moreover, we found that the proportions of FOXP3<sup>+</sup>, as well as FOXP3<sup>negative</sup> IL-  
191 17A<sup>+</sup> and IFN- $\gamma$ <sup>+</sup> effector CD4<sup>+</sup> populations, are decreased in HIV infected tonsils (**Fig.3B, S7 A,**  
192 **B**), showing that CD4<sup>+</sup> cells are also highly susceptible to cell death during acute HIV infection  
193 (**Fig.3C**). This is consistent with previous results on HIV-mediated apoptotic and pyroptotic CD4<sup>+</sup>  
194 T cell depletion<sup>50, 52</sup>. Interestingly, we found that the frequency of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells among the  
195 viable FOXP3<sup>+</sup> population consistently increased with HIV<sup>+</sup> infection (**Fig.3D; S8**; staining  
196 controls). Although this population was productively infected (**Fig.S9A**), it expressed high levels  
197 of BCL-2 and was more resistant to cell death compared to PD-1<sup>low</sup> cells (**Fig.3E, top**). Further  
198 characterization of this population revealed that they expressed high levels of CD25 (**Fig.S9B**), IL-  
199 1 family receptors such as IL-1R, IL-33R (Suppression of Tumorigenicity 2; ST-2), and  
200 amphiregulin (AREG) (**Fig.3E, middle and bottom**), resembling activated tissue T<sub>regs</sub>. While this  
201 population had slightly lower levels of BCL-6, they expressed IL-10 (**Fig.3E**) and B lymphocyte-  
202 induced maturation protein 1 (BLIMP1), characteristic of effector TFR cells in germinal centers  
203 and tissue T<sub>regs</sub> (**Fig.S9C**)<sup>53</sup>. Taken together, these data revealed that although HIV infection led to  
204 the loss of CD4<sup>+</sup> T cells, it resulted in an increase of a unique population of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>  
205 AREG<sup>+</sup>FOXP3<sup>+</sup> cells that survived the infection and might contribute to immune dysfunction.  
206

207 **Blocking subsequent rounds of infection and cell death increased the proliferation of PD-  
208 1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup>cells.**

209 We further characterized the conditions under which these FOXP3<sup>+</sup>cells were induced during HIV  
210 infection and tested whether mechanisms underlying HIV-induced cell death might play a role.  
211 Therefore, we aimed to block cell death by inhibiting HIV replication and caspase activation after  
212 the onset of initial cell death. To this end, we added reverse transcriptase inhibitor Efavirenz and  
213 pan-caspase inhibitor 28 hours after HIV infection. We found that both were able to increase the  
214 overall viability of CD4<sup>+</sup>T cells including FOXP3<sup>+</sup> cells (**Fig.4A, B**, data not shown). Interestingly,  
215 while the proportions of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells were partially reduced by these inhibitors,  
216 their absolute cell numbers significantly increased in the cultures (**Fig.4C**). These data show that  
217 PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells that were induced during initial HIV infection had a survival  
218 advantage and likely expanded in the presence of these inhibitors in oral MALT. Consistent with  
219 this notion, while PD-1<sup>low</sup>FOXP3<sup>+</sup> cells did not proliferate much, the percentage and absolute  
220 numbers of Ki-67<sup>+</sup> cells were higher in PD-1<sup>hi</sup>FOXP3<sup>+</sup> cells in the presence of these inhibitors (**Fig**  
221 **4D**). Collectively, these data highlight that initial HIV infection is sufficient for PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>  
222 FOXP3<sup>+</sup> cell accumulation, and these cells are not abolished with the antiviral drug treatment.  
223 Instead, blocking HIV replication and HIV induced cell death after the initial rounds of HIV  
224 infection promoted the proliferation of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells that were rescued from cell  
225 death.

226  
227 **PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup> cell accumulation is associated with the expression of IL-1 $\beta$ -dependent  
228 AKT1 signaling and enhanced by TLR-2 ligands in the context of HIV infection.**

229 We and others have previously shown that direct and indirect TLR-2 signaling in FOXP3<sup>+</sup> cells  
230 can induce proliferation impacting their functions<sup>40</sup>. Moreover, results from HIV<sup>+</sup> patients that  
231 showed TLR-2 pathway upregulation and cytokine inflammatory pathways in the oral mucosa  
232 (**Fig.1, 2**) led us to hypothesize that TLR-2 signaling is involved in PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cell  
233 induction. There is copious evidence that HIV<sup>+</sup> patients have episodes of recurring oral *Candida*  
234 infections and periodontitis despite therapy (**Table 1**), which might contribute to the enrichment  
235 of transcripts involved in TLR signaling in their oral mucosa (**Fig.1, 2**)<sup>15, 54, 55</sup>. To this end, we  
236 determined the effect of lipopolysaccharide (LPS) and TLR-2 ligands such as *Candida* (heat-killed  
237 germ tube (HKG) and *Porphyromonas gingivalis* (PG-LPS) on purified tonsil CD4<sup>+</sup> cells in the  
238 context of HIV infection. While HKGT moderately increased PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells, these  
239 ligands did not alter cell viability or expansion of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells in uninfected  
240 cultures (**Fig.5A**). However, in HIV-infected cultures, these ligands promoted a significant  
241 increase in PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells, as well as AREG expression in FOXP3<sup>+</sup> cells (**Fig.5A,**  
242 **left and right, S10**). We saw consistent results even in the absence of TCR stimulation of CD4<sup>+</sup>  
243 T cells(**Fig. S11A, B**). To determine the mechanism underlying the accumulation of PD-1<sup>hi</sup>IFN-  
244  $\gamma$ <sup>+</sup>FOXP3<sup>+</sup> cells and AREG expression in these cells, we examined the cytokine production in  
245 cultures. A previous study has shown that HIV induces the secretion of pyroptosis-related cytokine  
246 IL-1 $\beta$  in CD4<sup>+</sup> T cells<sup>50</sup>. Based on the upregulation of IL-1 $\beta$  and IL-6 in oral mucosa of HIV<sup>+</sup>  
247 patients (**Fig.2A**) and the role of IL-1 family cytokines in promoting AREG expression<sup>56, 57</sup>, we  
248 examined the effect of IL-1 $\beta$ , IL-33, and IL-6 in HIV infected tonsil CD4<sup>+</sup> T cell cultures. ELISA  
249 quantification demonstrated that HIV infection elevated the levels of mature IL-1 $\beta$  and AREG,  
250 which were further increased when CD4<sup>+</sup> T cells were stimulated with TLR-2 ligands (**Fig. 5B**).  
251 While HIV infection did not alter IL-33 and IL-6, it enhanced IL-1 $\beta$ , which was further

252 upregulated by TLR-2 ligands (**Fig. S11C, D**). Induction of mature IL-1 $\beta$  is likely caspase-1  
253 dependent, and this cytokine can function in CD4 intrinsic and phosphatidylinositol-3-OH kinase  
254 (PI-3K)/AKT1 dependent manner in effector CD4 $^+$  T cells<sup>50, 58-61</sup>. Moreover, AKT-1  
255 activation/phosphorylation and FOXO3 repression promote activated T<sub>reg</sub> cell accumulation in  
256 tissues<sup>62</sup>. Indeed, we found that HIV infection was able to activate caspase-1, as measured by its  
257 phosphorylation in FOXP3 $^+$  cells (**Fig. S12**). TLR-2 ligands further enhanced caspase-1 activation  
258 almost to the levels of Nigericin, an IL-1/inflammasome, and pyroptosis activator (**Fig. S12**).  
259

260 Next, we examined whether TLR-2 ligands or IL-1 $\beta$  can promote PD-1 $^{\text{hi}}$ IFN- $\gamma$  $^+$ FOXP3 $^+$   
261 cells and AREG expression induction from naïve T<sub>regs</sub> in the context of HIV infection. About 82-  
262 92% of FOXP3 $^+$  cells in tonsils are of CD45RO $^+$ CD62L $^{\text{low}}$  phenotype (**Fig. S13A**). As expected,  
263 CD45RO $^{\text{neg}}$  naïve T<sub>regs</sub> were CD62L $^{\text{high}}$  and PD-1 $^{\text{neg}}$  (**Fig. S13A**). To address whether PD-1 $^{\text{high}}$ T<sub>regs</sub>  
264 can be induced from naïve T<sub>regs</sub>, we isolated CD45RO $^{\text{neg}}$  naïve T<sub>reg</sub> cells from tonsils and infected  
265 them with HIV infection in the presence of TLR-2 ligand. The frequency of PD-1 $^{\text{high}}$  cells and  
266 AREG expression are much lower in these cultures when compared to non-purified CD4 T cell  
267 cultures (compare **Fig. S13B** with **Fig. 5A**). Also, pre-existing CD45RO $^+$ T<sub>reg</sub> cells have increased  
268 BCL-2 expression compared to CD45RO $^{\text{neg}}$  FOXP3 $^+$  cells (**Fig. S13C**). Additionally, we also  
269 sorted PD-1 $^+$ T<sub>reg</sub> and PD-1 $^{\text{neg}}$  T<sub>reg</sub> cells from tonsils and examined the expression of secondary  
270 markers such as IFN- $\gamma$  and AREG with and without infection (**Fig. S14**). Consistent with our  
271 hypothesis and the results in Fig.3, purified PD-1 $^+$ T<sub>reg</sub> cells showed higher IFN- $\gamma$  and AREG  
272 expression, compared to PD-1 $^{\text{neg}}$  T<sub>reg</sub> cells (**Fig. S14A, B**). They also showed higher expression of  
273 Ki-67, HIV-GFP, and BCL-2 expression than PD-1 $^{\text{neg}}$  T<sub>reg</sub> cells (**Fig. S14C, D, E**). These data  
274 support the notion that PD-1 $^+$ T<sub>regs</sub> although has high infection susceptibility, may intrinsically

275 survive and proliferate better with HIV infection, leading to the accumulation of dysfunctional  
276 T<sub>regs</sub>. Interestingly, a small proportion PD-1<sup>neg</sup>T<sub>reg</sub> population can also upregulate PD-1 and IFN- $\gamma$   
277 in the context of HIV infection (but not TLR-2 stimulation alone). These induced cells also show  
278 higher proliferation with TLR-2 and IL-1 $\beta$  stimulation in the context of HIV infection, but not as  
279 much as purified PD-1<sup>+</sup>T<sub>regs</sub>(**Fig. S14C**). However, PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>AREG<sup>high</sup>FOXP3<sup>+</sup> cells could not  
280 be induced from naïve CD4<sup>+</sup> T cells during HIV infection (data not shown). Taken together, these  
281 data show that while pre-existing PD-1<sup>+</sup>FOXP3<sup>+</sup> cells might contribute more to the accumulation  
282 of dysfunctional T<sub>regs</sub>, naïve PD-1<sup>neg</sup>FOXP3<sup>+</sup> cells can also be induced to become PD-1<sup>high</sup> cells  
283 expressing high levels of IFN- $\gamma$  and AREG.

284

285 Finally, we determined the ability of IL-1 cytokines and TLR-2 ligands to activate AKT  
286 kinase downstream in the PI-3K pathway. Because of the ability of IL-1 cytokines to upregulate  
287 AREG in tissue T<sub>regs</sub><sup>56, 57, 63</sup>, we also examined AREG expression in FOXP3<sup>+</sup> cells. While HIV  
288 was able to increase the accumulation of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells and moderately induce  
289 phosphorylation of AKT and AREG expression, TLR-2 ligands, IL-1 $\beta$ , and IL-33 significantly  
290 enhanced AKT phosphorylation and AREG expression in FOXP3<sup>+</sup> cells (**Fig.5C, D, S15**).  
291 However, there were neither alterations in STAT-3 phosphorylation nor an effect of IL-6 on  
292 inducing PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cells (data not shown). Based on these observations, we next  
293 investigated the function of IL-1 $\beta$ -induced AKT1 signaling pathway in promoting PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>  
294 FOXP3<sup>+</sup> cells and AREG expression in FOXP3<sup>+</sup> cells. Both drugs, the inhibitors of IL-1 $\beta$  signaling  
295 (Anakinra) and PI-3K/ AKT1 (LY294002), significantly reduced the percentage and absolute cell  
296 numbers of HIV-induced PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup> cells in tonsil cultures(**Fig.5E, S16**). Also, IL-1 $\beta$   
297 and AKT1 inhibition downmodulated AREG expression in FOXP3<sup>+</sup> cells (**Fig.5F**), suggesting

298 synergistic roles of HIV, TLR-2 ligands, and the IL-1 $\beta$  in altering FOXP3 $^{+}$  cells in an AKT1  
299 dependent fashion during HIV infection.

300

301 **PD-1 signaling stabilizes the expression of FOXP3 and AREG by downmodulating**  
302 **asparaginyl endopeptidase (AEP)**

303 In the above experiments, we observed that IL-1 $\beta$  was able to promote PD-1 expression in FOXP3 $^{+}$   
304 cells in a manner dependent on AKT1 activation (**Fig.S14, S16; y-axis**). This led us to interrogate  
305 whether PD-1 signaling directly regulated HIV-induced PD-1 $^{\text{hi}}$ IFN- $\gamma$  $^{+}$  FOXP3 $^{+}$  cells. PD-1 has  
306 been previously shown to modulate AEP, an endo-lysosomal protease implicated in antigen  
307 processing and FOXP3 expression<sup>64, 65</sup>. Therefore, we further characterized the PD-1 $^{\text{high}}$  and PD-  
308 1 $^{\text{low}}$  FOXP3 $^{+}$  cells in HIV-infected CD4 $^{+}$  T cell cultures in the presence of Efavirenz added 28 hrs  
309 after infection. Although PD-1 $^{\text{high}}$  FOXP3 $^{+}$  cells had slightly higher expression of AEP, levels of  
310 phosphorylated AEP (pAEP), the active form of AEP enzyme, were precipitously lower than in  
311 PD-1 $^{\text{low}}$  FOXP3 $^{+}$  cells (**Fig.6A, 1<sup>st</sup> 2 panels**). Also, these PD-1 $^{\text{high}}$ FOXP3 $^{+}$  cells had higher  
312 expression (higher MFI) of FOXP3 compared to PD-1 $^{\text{low}}$ FOXP3 $^{+}$  cells (**Fig.6A, 3<sup>rd</sup> panel**).  
313 Concurrent with their enhanced survival and proliferation, PD-1 $^{\text{high}}$  FOXP3 $^{+}$  cells had elevated  
314 expression of BCL-2 and Ki-67 (**Fig.6B**). Engaging PD-1 using PD-1 ligand-Fc (PDL-1-Fc), or  
315 inhibiting AEP using an inhibitor increased FOXP3 expression in HIV infected CD4 $^{+}$   
316 cells(**Fig.6C**), suggesting that active PD-1 signaling in the context of IL-1 $\beta$  is involved in the  
317 stability of FOXP3 expression during HIV infection. PD-L1-Fc and AEP inhibition heightened the  
318 frequency and absolute numbers of PD-1 $^{\text{hi}}$ IFN- $\gamma$  $^{+}$  FOXP3 $^{+}$  cells(**Fig.6D**) showing that the PD-1-  
319 AEP axis is critical for the survival and proliferation of PD-1 $^{\text{hi}}$ FOXP3 $^{+}$  cells. Moreover, PD-1  
320 engagement and AEP inhibition promoted the expression of AREG in PD-1 $^{+}$ FOXP3 $^{+}$  cells

321 (Fig.6E). Purified PD-1<sup>neg</sup> cells that were activated and infected as in Fig.S14, lose FOXP3, which  
322 further confirms that PD-1 is required for Foxp3 retention (Fig.S17). Altogether, these results  
323 showed that direct PD-1 signaling enhances FOXP3 and AREG expression by inhibiting AEP in  
324 the context of IL-1 $\beta$  expression during HIV infection.

325

326 **PD-1<sup>high</sup>FOXP3<sup>+</sup>IFN- $\gamma$ <sup>+</sup> cells from HIV infected cultures have little or no suppressive activity**

327 Next, we explored the function of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup>AREG<sup>high</sup> cells that were induced during  
328 HIV infection *in vitro* and compared them with purified naïve CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>low</sup>FOXP3<sup>+</sup> cells  
329 activated and infected in a similar manner. To this end, we activated and infected tonsillar CD4<sup>+</sup>  
330 T cells or purified CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>low</sup>FOXP3<sup>+</sup> T<sub>regs</sub> as before (Fig.7A, top) and analyzed the  
331 proportion of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> within the FOXP3<sup>+</sup> population. Interestingly, purified T<sub>regs</sub> harbored  
332 significantly lower proportions of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells (Fig.7A, bottom, 7B), suggesting that PD-  
333 1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup>AREG<sup>high</sup> cells are derived preferentially from conventional CD4<sup>+</sup>T cells and  
334 T<sub>regs</sub> that upregulate and maintain FOXP3 during activation. Next, we purified the PD-1<sup>hi</sup>CD25<sup>+</sup>  
335 cells from CD4<sup>+</sup> cell cultures which were HIV infected in the presence of Efavirenz and examined  
336 their suppressive activity. Cells purified from these cultures were ~76-88% FOXP3<sup>+</sup> and >50%  
337 IFN- $\gamma$ <sup>+</sup> positive (Fig.S18). We evaluated their ability to suppress the proliferation of CD4<sup>+</sup> T cells  
338 by co-culturing them with cell-trace labeled activated tonsil CD4<sup>+</sup>CD25<sup>neg</sup> responder T cells (T<sub>resp</sub>)  
339 from the same donor, as shown previously<sup>58</sup>. As controls, we had CD4<sup>+</sup>CD25<sup>neg</sup> activated alone,  
340 and in co-cultures with purified T<sub>regs</sub> that were activated and infected with HIV in the presence of  
341 Efavirenz. As expected, purified T<sub>regs</sub> reduced the frequency of proliferating T<sub>resp</sub> cells. However,  
342 at all time-points after activation, PD-1<sup>hi</sup>CD25<sup>hi</sup> FOXP3<sup>+</sup> cells did not affect the proliferation of  
343 T<sub>resp</sub> cells in the co-cultures (Fig.7C, 7D), showing that PD-1<sup>hi</sup>CD25<sup>hi</sup> FOXP3<sup>+</sup> cells induced

344 during HIV infection were dysfunctional in the context of their direct suppression of CD4<sup>+</sup> T cell  
345 survival or proliferation. Also, blocking IFN- $\gamma$  using an  $\alpha$ -IFN- $\gamma$  antibody (10  $\mu$ g/ml) in PD-1<sup>high</sup>  
346 T<sub>reg</sub> co-cultures did not restore their suppressive capacity *in vitro* (data not shown). Collectively,  
347 these data show that PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup>AREG<sup>high</sup> cells derived from HIV-infected cultures do  
348 not suppress CD4<sup>+</sup> T cells *in vitro*.

349

350 **The abundance of PD-1<sup>hi</sup>CD25<sup>hi</sup> IFN- $\gamma$ <sup>+</sup>AREG<sup>hi</sup> FOXP3<sup>+</sup> cells correlates with oral mucosal  
351 CD4 hyperactivation in oral mucosa of HIV+ patients.**

352 Although oral mucosa of HIV<sup>+</sup> patients had a significantly higher frequency of FOXP3<sup>+</sup> cells (**Fig.**  
353 **2D, E, 8A**), because of the associated inflammatory signature and elevated IL-1 $\beta$  signaling (**Fig.**  
354 **1D-F, 2A**) we hypothesized that FOXP3<sup>+</sup> cells accumulating in oral mucosa of HIV<sup>+</sup> patients may  
355 also be dysfunctional. To test this notion, we evaluated the expression of dysfunctional markers,  
356 PD-1 and IFN- $\gamma$ . Although only a small proportion (~ 6-9%) of CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup> cells from  
357 healthy controls expressed PD-1, about 14-19% of them expressed PD-1 in HIV<sup>+</sup> patients (**Fig.**  
358 **8B, y-axis, E, F**). Concurring with the results from oral MALT HIV infection *in vitro* (**Fig.3**),  
359 HIV<sup>+</sup> patients on cART also had a significantly higher percentage of PD-1<sup>high</sup>FOXP3<sup>+</sup>cells, co-  
360 expressing IFN- $\gamma$ , IL-10, and AREG in the oral mucosa (**Fig.8B, 8C, upper right quadrants, 8D,**  
361 **E, G, H; S19; FMO controls**). AREG levels in saliva were also found to be elevated in HIV<sup>+</sup>  
362 patients on cART when compared to healthy control individuals (**Fig.8I**). Although the FOXP3<sup>+</sup>  
363 cells fit the profile of dysfunctional FOXP3<sup>+</sup> cells incapable of CD4<sup>+</sup> T cell suppression (**Fig.7**),  
364 we could not directly evaluate the suppressive function of patient oral mucosal FOXP3<sup>+</sup> cells  
365 because of technical limitations. Nonetheless, the frequencies of PD-1<sup>+</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup> cells and  
366 salivary AREG levels showed a significant positive correlation with CD4<sup>+</sup> hyperactivation (CD38

367 and HLA-DR co-expression) in the oral mucosa (**Fig.8J, K**), suggesting that FOXP3<sup>+</sup> cells might  
368 indeed be impaired in their ability to suppress CD4<sup>+</sup> T cells. Taken together, these data from oral  
369 gingival mucosal cells of HIV<sup>+</sup> patients on cART substantiate the results from *in vitro* tonsil  
370 experiments and demonstrate that dysfunctional PD-1<sup>+</sup>AREG<sup>+</sup> FOXP3<sup>+</sup> cells strongly correlate  
371 with CD4 hyperactivation and contribute to the dysregulated immune landscape in treated HIV<sup>+</sup>  
372 patients.

373

374 **Discussion**

375 **A unique population of tissue T<sub>reg</sub>-like FOXP3<sup>+</sup> cells accumulates in oral MALT and mucosal  
376 tissue during HIV infection.**

377 Immunological complications in HIV<sup>+</sup> individuals on treatment appear to result from a self-  
378 perpetuating cycle of events involving microbial translocation, excessive release of pro-  
379 inflammatory cytokines, and CD4 T cell activation which, in excess, increases the cellular targets  
380 for HIV infection and subsequent immune exhaustion<sup>12</sup>. Here we show that HIV and TLR-2  
381 ligands can lead to the accumulation of non-suppressive PD-1<sup>high</sup> IFN- $\gamma$ <sup>+</sup> AREG<sup>+</sup> FOXP3<sup>+</sup> cells in  
382 an IL-1 $\beta$  dependent manner. These data support the notion that PD-1<sup>+</sup>T<sub>regs</sub> may intrinsically  
383 survive and proliferate better with HIV infection leading to the accumulation of dysfunctional T<sub>regs</sub>.  
384 Interestingly a small proportion of PD-1<sup>neg</sup> T<sub>reg</sub> or CD45RO<sup>neg</sup> naive T<sub>reg</sub> cells can also upregulate  
385 PD-1 and IFN- $\gamma$  in the context of HIV infection (and not TLR-2 stimulation alone; **Fig.5A**, see  
386 Uninfected), which also show higher proliferation with TLR-2 and IL-1 $\beta$  stimulation in the context  
387 of HIV infection (**Fig. S13, S14**). It is conceivable that in mucosal tissues and tonsils that are  
388 known to be enriched with pre-existing PD-1<sup>+</sup>FOXP3<sup>+</sup> and memory FOXP3<sup>+</sup> cells, these cells may  
389 contribute better to the accrual of dysfunctional T<sub>reg</sub> cells than naïve T cells. However, the local

390 induction from PD-1<sup>neg</sup> FOXP3<sup>+</sup> cells and recently activated FOXP3<sup>+</sup> cells cannot be ruled out in  
391 the process. Therefore we speculate that in HIV<sup>+</sup> individuals, the effects of HIV, TLR-2 activation,  
392 and IL-1 $\beta$  on FOXP3<sup>+</sup> cell accumulation are driven by complementary and synergistic processes  
393 of induction, survival, and proliferation of FOXP3<sup>+</sup> cells. By demonstrating the mechanistic details  
394 by which AKT1 and PD-1 enhance FOXP3 stability and expansion of dysfunctional FOXP3<sup>+</sup> cells,  
395 our study unveils a critical process that may contribute to HIV-mediated CD4<sup>+</sup> T cell activation  
396 that persists in oral mucosa during therapy.

397

398 Our study is consistent with previous data showing HIV-1-driven T<sub>reg</sub> accumulation in  
399 lymphoid tissues and the association of TLR-2 ligands and the NLRP3 inflammasome in immune  
400 activation and disease progression in HIV/AIDS <sup>31, 66, 67</sup>. Elevated levels of soluble salivary TLR-  
401 2 ligands and CD14 levels in conjunction with higher TLR and inflammasome activation (**Fig.2A, B**)  
402 suggest that these features might be strongly linked to the previously established dysbiosis of  
403 the oral microbiome in HIV<sup>+</sup> patients<sup>39</sup>. While the additional role of endocytosed HIV and the  
404 resultant TLR-7/8 activation cannot be ruled out <sup>68</sup>, our data show mechanistic details by which  
405 TLR-2 ligands and IL-1 $\beta$ /inflammasome might contribute to proliferation and dysfunction of  
406 FOXP3<sup>+</sup> cells and excessive immune activation in the oral mucosa. The question that remains to  
407 be addressed is whether the dysfunction of non-regulatory T cells, and perhaps other cell types, is  
408 due to HIV, altered T<sub>reg</sub> function, or both.

409

410 The human tonsil infection model that we employed here has been previously shown to  
411 support productive HIV infection, cell death, and release of cytokines such as IL-1 $\beta$  and IL-10,  
412 which is similar to an HIV-induced inflammation in humans<sup>34, 50, 69, 70</sup>. Also, being an oral MALT

413 system, it provides a preview into immune cell alterations in oral mucosal tissues. Because the  
414 rationale of the study was to determine the underlying oral mucosal dysregulation in HIV+cART  
415 patients, we wanted to mimic the effect of HIV in the presence and absence of HIV inhibitor.  
416 Considering the different anti-viral regimens that patients take, our *in vitro* experiments may not  
417 be exactly physiologically relevant, but may show similarity to HIV+cART patient samples. With  
418 this system, we show that FOXP3<sup>+</sup> cells are highly permissible to HIV infection and undergo cell  
419 death during HIV infection (**Fig.3C,4B**). However, a unique population of PD-1<sup>high</sup>IFN-  
420  $\gamma^+$ AREG<sup>high</sup>FOXP3<sup>+</sup> cells that expressed anti-apoptotic BCL-2 and are rescued from cell death  
421 appeared in cultures, thus unveiling new features of FOXP3<sup>+</sup> cell dysregulation during HIV  
422 infection. These cells expressing CD45RO, IL-1R and ST-2 have an activated/memory T<sub>reg</sub> like  
423 phenotype<sup>63, 71</sup>, and proliferated with TLR-2 agonists and IL-1 $\beta$  even in the presence of HIV  
424 reverse transcriptase inhibition (**Fig.5**). These PD-1<sup>hi</sup> T<sub>regs</sub> responding to IL-1 $\beta$  and expressing  
425 AREG resemble the tissue T<sub>regs</sub> induced by IL-33, another IL-1 superfamily cytokine. Similar to  
426 tissue T<sub>regs</sub> they may have non-suppressive roles and may function towards mucosal tissue repair  
427 during inflammation. They might also differentially govern non-immunological processes in oral  
428 mucosa of HIV<sup>+</sup> patients, compatible with previously described functions of tissue T<sub>regs</sub><sup>57</sup>. PD-1<sup>high</sup>  
429 FOXP3<sup>+</sup> cells appeared to have low MFI of IFN- $\gamma$  expression (**Fig.3D, 4C, S16**), consistent with  
430 FOXP3 and PD-1 mediated inhibition of IFN- $\gamma$ <sup>59</sup>. However, these cells from few other  
431 experiments showed higher MFI of IFN- $\gamma$  expression (**Fig.S15**). The reason behind these  
432 discordant results is unclear but is likely linked to differences in donors and their underlying tonsil  
433 infections. IL-1 $\beta$  enhanced PD-1 upregulation as well as the proliferation of PD-1<sup>high</sup>IFN-  
434  $\gamma^+$ AREG<sup>high</sup> FOXP3<sup>+</sup> cells in a PI-3K/AKT dependent manner(**Fig.5E, S16**). Despite the  
435 proliferation and enhanced FOXP3 protein stability conferred by PD-1, these PD-1<sup>high</sup>IFN-

436  $\gamma^+$ AREG<sup>high</sup> FOXP3<sup>+</sup> cells that are generated during HIV infection lack suppressive ability in  
437 blocking CD4 T cell proliferation. This finding concurs with previous data showing cytokines that  
438 can activate PI-3K/AKT function or maintain T cell responsiveness to IL-2 in CD4<sup>+</sup> cells can also  
439 abrogate T<sub>reg</sub> suppression<sup>58-60, 72</sup>. These data are also in accordance with previous studies  
440 implicating heightened PD-1 and IFN- $\gamma$  expression in T<sub>reg</sub> dysfunction<sup>73-75</sup>.

441

442 BLIMP1 is a transcriptional repressor that is critical for IL-10 expression in TFR cells.  
443 TFR cells regulate B cells and TFH cells, thereby controlling the germinal center response,  
444 autoantibody production, and autoimmune destruction<sup>53</sup>. Whereas BLIMP1 is expressed in a  
445 proportion of lymphoid FOXP3<sup>+</sup> cells, it is expressed in a majority of FOXP3<sup>+</sup> cells found in gut  
446 mucosa and tissues and is likely crucial for their IL-10 expression in environmental interfaces<sup>76</sup>.  
447 Whether the PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup>AREG<sup>high</sup>FOXP3<sup>+</sup> cells in HIV<sup>+</sup> oral mucosa that express IL-10 also  
448 co-express BLIMP-1 is an important question that remains to be investigated in the future.  
449 Although AREG was originally described as an epithelial cell-derived factor and is a member of  
450 the epidermal growth factor receptor family, it is now clear that this protein can be expressed by  
451 activated immune cells during inflammatory conditions<sup>56</sup>. AREG produced by T cells is critical  
452 for type 2 adaptive immune responses and gut epithelial cell proliferation that facilitates helminth  
453 parasite clearance. Tissue T<sub>regs</sub> are shown to express this cytokine and are critical for non-  
454 immunological tissue repair functions<sup>57</sup>. Here we show that AREG expression is high in PD-1<sup>high</sup>  
455 FOXP3<sup>+</sup> cells and is further upregulated by IL-1 $\beta$  in PI-3K/AKT dependent manner. Alarmsins  
456 such as IL-18 and IL-33 have been previously shown to up-regulate this cytokine in FOXP3<sup>+</sup>  
457 cells<sup>57</sup>. In a tonsillar CD4<sup>+</sup> T cell environment, although HIV did not induce IL-33 expression  
458 (**Fig.S11**), the exogenous addition of both IL-1 $\beta$  and IL-33 upregulated AREG expression in

459 FOXP3<sup>+</sup>cells (**Fig.5D**). However, in the context of HIV infection, only the endogenous IL-1 $\beta$   
460 released due to caspase-1 activity upregulated AREG *in vitro* (**Fig.5B**). PD-1 enhancement and  
461 AEP inhibition due to IL-1 $\beta$  upregulates AREG expression in PD-1<sup>high</sup> FOXP3<sup>+</sup> cells. The  
462 mechanism underlying the inverse relationship between AEP activity and AREG expression  
463 remains to be explored in the future.

464

465 ***In vivo* evidence for the enrichment of PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup>AREG<sup>high</sup>FOXP3<sup>+</sup> cells in oral mucosa  
466 of HIV+ individuals on therapy.**

467 Our results from *in vitro* HIV infection experiments support transcriptomic and flow cytometric  
468 profiling results from HIV<sup>+</sup> patients undergoing suppressive antiviral therapy, whose oral mucosa  
469 also revealed TLR signaling pathway upregulation and an inflammasome gene signature that  
470 paralleled excessive CD4<sup>+</sup>T cell activation and enrichment of PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup>  
471 AREG<sup>high</sup>FOXP3<sup>+</sup>cells (**Fig.1, 10**). We found distinct populations of CD38<sup>+</sup>HLA-DR<sup>+</sup> in CD4<sup>+</sup> T  
472 cells only in oral mucosa and not in PBMC (**Fig. 2D**; data not shown). It may be related to the  
473 downregulation of HLA-DR (MHC) in CD4<sup>+</sup> T cells. While HLA-DR downregulation in  
474 monocytes is associated with immune-suppression, it remains to be seen if this is the case for CD4<sup>+</sup>  
475 T cells. PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup> AREG<sup>high</sup>FOXP3<sup>+</sup>cells we describe here resemble the dysfunctional Th1-  
476 T<sub>reg</sub>s which also display constitutive activation of PI3K/AKT/Foxo1/3 signaling cascade in  
477 multiple sclerosis patients<sup>77</sup>. However, we also show that CD4<sup>+</sup> T cell hyperactivation and  
478 enrichment of PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup>AREG<sup>high</sup>FOXP3<sup>+</sup>cells in oral mucosa of HIV<sup>+</sup> patients coincide with  
479 increased TLR-2 signaling and salivary s-TLR-2 ligands. (**Fig.1B,1D,2B**). We speculate that  
480 increased s-TLR-2 ligands we observed in HIV<sup>+</sup> patients (**Fig.2B**), maybe due to TLR-2 shedding  
481 as a consequence of increased pro-inflammatory signaling downstream to the TLR-2 signaling<sup>78</sup>.

482 PD-1 expression on CD4<sup>+</sup> T cells and T<sub>regs</sub> is known to be associated with immune activation as  
483 well as HIV<sup>+</sup> reservoirs, and thus this molecule is targeted for therapy in HIV<sup>+</sup> patients<sup>74, 79, 80</sup>. Gut  
484 mucosa, a tissue enriched with lymphoid structures and bombarded by microbial products as a  
485 result of microbial translocation, serves as the largest reservoir<sup>81</sup>. Survival advantage and  
486 proliferation of CD4<sup>+</sup> T cells by homeostatic cytokines and chronic exposure to antigens or other  
487 stimulants contribute to the expansion of latently infected cells and consequent viral persistence  
488 and establishment of reservoirs<sup>81</sup>. Therefore, considering that HIV<sup>+</sup> patients on therapy show oral  
489 microbiome dysbiosis<sup>38, 39</sup>, the tissue T<sub>reg</sub>-like PD-1<sup>high</sup>FOXP3<sup>+</sup> cells appear to fit these criteria and  
490 might provide a supportive environment for the maintenance of HIV reservoirs in the oral mucosa.  
491 This tenet is consistent with previous reports showing that FOXP3<sup>+</sup> cells are highly permissible  
492 and contribute to latent reservoir compartments<sup>82, 83</sup>. Future studies are required to conclusively  
493 verify this possibility in the oral mucosal environment. PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup> AREG<sup>high</sup>FOXP3<sup>+</sup> cells  
494 could not suppress CD4<sup>+</sup> T cells *in vitro*. These T<sub>reg</sub> cells with high expression of BCL-2 and KI-  
495 67, and resistance to apoptosis (Fig.3E, 4D), suggest that they may be long-lived and may undergo  
496 continuous cycling. This is consistent to previous reports showing T<sub>regs</sub>' resistance to apoptosis<sup>84</sup>,  
497<sup>85</sup>. Here we also show that these FOXP3<sup>+</sup> cells are dysfunctional and have a unique phenotype.  
498 Moreover, increases in PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup>AREG<sup>high</sup>FOXP3<sup>+</sup> cells correlating with CD4  
499 hyperactivation in the oral mucosa of HIV+cART patients (Fig.8), would imply that these may be  
500 long-lived and dysfunctional in these patients. However, we cannot rule out that these cells co-  
501 expressing IL-10 may still inhibit myeloid populations, neutrophils, and resident macrophages,  
502 providing an immune-suppressive environment. Taken together, these results show that persistent  
503 microbial stimulants and excessive IL-1 $\beta$  signaling perturb FOXP3<sup>+</sup> T cell homeostasis and

504 function, and underlie the processes of residual oral mucosal immune dysfunction in HIV<sup>+</sup> patients  
505 on therapy.

506

507 **Author contributions**

508 PP designed the study, performed experiments, analyzed data, supervised the project, and wrote  
509 the manuscript. FF and AP provided gingival biopsies from human participants, and RA referred  
510 the patients to the study. NB and ES performed the experiments, analyzed ELISA data, and  
511 contributed to discussions. ES obtained consents from patients, collected the saliva and blood, and  
512 performed ELISA. AT provided statistical analysis consultation for bioinformatics data. ADL, NG,  
513 JK, and ML read the manuscript and contributed to discussions.

514

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522

523 **Declaration of interests**

524 The authors declare no competing interests.

525

526

527 **Methods**

528 **Human PBMC, gingival biopsies, and tonsils**

529 Human blood, gingival biopsies, and saliva were obtained with informed consents from healthy  
530 individuals and Cleveland HIV<sup>+</sup> cohort under a protocol approved by the University Hospitals the  
531 Cleveland Medical Center Institutional Review Board. Healthy control subjects were at least 18  
532 years of age and in good general health (**Table.1**). Exclusion criteria were oral inflammatory  
533 lesions (including gingivitis and periodontitis), oral cancer diagnosis, soft tissue lesions, and the  
534 use of tobacco in the past month. HIV<sup>+</sup> participants were 18 years or older, and were HIV positive  
535 with cART treatment for at least 1 year. > 75 % of HIV+ patients reported prior and current soft  
536 tissue lesions, gingivitis, and periodontitis. Exclusion criteria were oral cancer diagnosis and the  
537 use of tobacco in the past month. The absence of tobacco use was confirmed by Cotinine ELISA  
538 in saliva. CD4<sup>+</sup> counts were at least 350 - 700/ $\mu$ l for the control and HIV<sup>+</sup> patients. Palatine tonsils  
539 were obtained as discards from tonsillectomy surgeries performed at University Hospitals  
540 Cleveland Medical Center through the Histology Tissue Procurement Facility following an IRB-  
541 approved protocol. PBMC were collected from blood using Ficoll-Paque PLUS centrifugation  
542 and subsequent washing with PBS. A single-cell suspension of gingival tissues and tonsils were  
543 prepared by Collagenase 1A digestion and processed for flow cytometry or cell culture.

544

545 **HIV infection *in vitro***

546 HIV infections in tonsil cultures were performed using X4-tropic NL43-GFP-IRES-Nef or HIV-  
547 NLGNef, a recombinant virus with NL4-3 backbone expressing Green Fluorescent Protein (GFP)  
548 and Nef on a bicistronic transcript<sup>47, 86</sup>. Viral constructs were obtained through NIH AIDS Reagent  
549 Program and the viruses were generated by transfecting 293 T cells with proviral DNA. The R5-

550 tropic virus was created replacing the Env in NL43-GFP-IRES-Nef with the EcoR1-Bam fragment  
551 from NLAD8, an NL43 construct containing CCR5-tropic HIV-1 ADA envelope <sup>47, 48</sup>.  
552 Concentrated virus stock titers were determined by p24 enzyme-linked immunosorbent assay  
553 (ELISA). For infections, tonsil tissues were digested using collagenase, and a single-cell  
554 suspension of the human tonsillar culture (HTC) (1 million cells/ well) was plated with  $\alpha$ -CD3 (1  
555  $\mu$ g/ml) and  $\alpha$ -CD28 (1  $\mu$ g/ml) TCR activating antibodies in U-bottom 96 well plates at least in  
556 triplicate wells. After 48 hours, the bulk HTC were spinoculated with replication-competent HIV-  
557 1 NLAD8-GFP virus stock (70 ng of p24/10<sup>6</sup> cells). Cells were rested for 48 hours in medium  
558 without TCR activation in select experiments. As indicated in some experiments, purified CD4<sup>+</sup>  
559 T cells and T<sub>regs</sub> were used instead of whole HTC. 24- 36 hours post-infection, 50% of the cells  
560 and media were removed and replaced with media containing fresh media, Efavirenz, and indicated  
561 cytokines or reagents. This allowed an initial round of infection and cell death to occur before the  
562 addition of the indicated reagents. When indicated, TGF- $\beta$ 1 (10 ng/ml) and IL-2 (100 U/ml) were  
563 also added during this time to induce and maintain FOXP3<sup>+</sup> cells. Confirmatory experiments were  
564 performed using both X4- and R5-tropic viruses<sup>86</sup>. Cells were cultured in complete RPMI-1640  
565 (Hyclone) supplemented with 10% human serum, 100 U/ml penicillin, 100  $\mu$ g/ml streptomycin, 2  
566 mM glutamine, 10 mM HEPES and 1 mM sodium pyruvate. To determine productive HIV  
567 infection (GFP) and regulation of protein expression, cells were analyzed by flow cytometry on  
568 day 2 – day 8 post-infection. Flow cytometry analyses and ELISAs were performed in triplicates  
569 using tonsils from at least three independent donors.  
570  
571  
572

573 **Antibodies and reagents**

574 Unconjugated or fluorochrome-conjugated antibodies for human CD28(CD28.2), CD25 (M-  
575 A251), CD4 (OKT4), CD45(HI30), CD8 (RPA-T8), HLA-DR(LN3), IFN- $\gamma$  (4S.B3), IL-  
576 17A(eBio64DEC17), FOXP3(236A/E7), Phospho-AKT 1 (Ser473)(SDRNR), BCL-6 (BCL-UP),  
577 CXCR5(MU5UBEE), Ki-67 (SolA15), IL-10 (JES3-9D7), AREG (AREG559), IL-6(MQ2-  
578 13A5), ST2 (goat polyclonal), phospho-caspase 1 (polyclonal), LY294002, and Cell-trace violet  
579 were all purchased from Thermo Fisher Scientific. CD279 (PD-1)(EH12.1), CXCR4(12g5), CCR5  
580 (2D7/CCR5), BCL-2(Bcl-2/100), CD19 (SJ25C1), CD38 (HIT2), CD3 (HIT3a), and IL-  
581 1R1(hIL1R-M1) were from BD Biosciences. Phospho-AEP(SER 226) antibody, Efavirenz  
582 (SML1284-1ML), and AEP inhibitor were from Millipore Sigma. Biotinylated antibody for AEP,  
583 BLIMP1 antibody(646702), Human TGF- $\beta$ 1, and the chimeric PDL-1-Fc were purchased from  
584 R&D systems. Appropriate secondary antibodies such as secondary donkey anti-mouse IgG-  
585 BV421 (for IL1-RI staining), anti-goat IgG (H+L) superclonal<sup>TM</sup>-Alexa Fluor 647 (for ST2  
586 staining) streptavidin-APC, and anti-rabbit PE or APC antibodies were purchased from Jackson  
587 Immunoresearch. Anti-Biotin multi-sort and human CD4+CD45RO+ isolation kits were  
588 purchased from Miltenyi Biotec (Auburn, CA). PE+ cell, CD4+ T cell, and T<sub>reg</sub> isolation kits were  
589 purchased from Stem Cell Technologies (Vancouver, Canada). Recombinant IL-2, IL-1 $\beta$ , and IL-  
590 33 cytokines were purchased from BioBasic Inc. (Amherst, NY). s-CD14, s-TLR-2, Cotinine,  
591 AREG, IL-1 $\beta$ , and IL-6 ELISA kits were from Boster Bio (Pleasanton, CA). IL-1 receptor  
592 antagonist Anakinra was a kind gift from Dr. Su at NIAID, NIH. Nigericin and PG-LPS were  
593 purchased from Invivogen. Heat killed *Candida albicans* germ tubes (HKGT) were prepared in  
594 the laboratory by growing the blastospores (10<sup>9</sup> / ml) into germ-tubes in complete RPMI at 37°C  
595 for 4-6 hours, and heat killing the germ tubes at 75°C for 60 minutes.

596

597 **Fluorochrome antibody staining and flow cytometry**

598 For single-cell flow cytometry analyses, surface receptors were first stained using the antibodies  
599 in PBS/BSA. Live-Dead viability staining was performed to detect and remove dead cells in the  
600 analyses. For FOXP3 and other intracellular protein stainings, the cells were fixed with a FOXP3  
601 fixation-permeabilization set (Thermofisher Scientific) after the surface staining. Unstimulated-,  
602 un-stain-, isotype-, secondary antibody alone-, single stain-, and FMO- controls were included in  
603 all the preliminary and confirmatory experiments, and appropriate controls were chosen. Before  
604 intracellular cytokine staining, cultures were re-stimulated with PMA (50 ng/ml) and Ionomycin  
605 (500 ng/ml) for 4 hours, with brefeldin-A (10 µg/ml) added in the last 2 hours. For p-AKT1  
606 staining, the cells were washed, fixed, and stained with a Phosflow staining kit (BD Biosciences)  
607 using the manufacturer's protocol. Data were acquired using BD Fortessa cytometers and analyzed  
608 using FlowJo 9.8 or 10.5.3 software. Populations were pre-gated for lymphocyte, singlet, viable,  
609 CD3<sup>+</sup>, and CD8<sup>-</sup> or CD4<sup>+</sup> cells during flow cytometry analyses, unless otherwise specified.

610

611 **PD-1 engagement and T<sub>reg</sub> suppression assay *in vitro***

612 Tonsil cells were stimulated and infected in U-bottom 96 well plates as above. 36 hours after  
613 infection, the cells were moved to the plate coated with PDL-1-Fc for PD-1 engagement. The  
614 plates were previously coated with PDL-1-Fc for 12-16 hours. Appropriate isotype control  
615 (IgG2a) was used in control wells. Flow cytometry was performed on day 4 or 5 after PD-1  
616 engagement. For the suppression assay, three groups of magnetic sorted cells purified *ex vivo*  
617 from tonsils were activated with CD3/CD28 antibodies with added TGF-β1 and IL-2 for 96  
618 hours; I) CD45RO<sup>neg</sup> naïve CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>low</sup>T<sub>regs</sub> (>90% FOXP3<sup>+</sup>), II) Purified

619 CD4<sup>+</sup>CD25<sup>-</sup>T cells that were subsequently used as responder T cells (T<sub>resp</sub>) in the co-culture  
620 assay and III) Purified CD4<sup>+</sup> T cells infected with HIV. PD-1<sup>high</sup>CD25<sup>+</sup> cells purified from these  
621 cultures were 80-88% FOXP3<sup>+</sup>, IFN- $\gamma$ <sup>+</sup>(52%) (Fig.S18), AREG<sup>high</sup>, and were used as PD-1<sup>high</sup>  
622 CD25<sup>+</sup>FOXP3<sup>+</sup> cells. For co-culture T<sub>reg</sub> suppression assay, 3 x 10<sup>4</sup> T<sub>resp</sub> cells were labeled with  
623 cell-trace violet, and co-cultured with 3 x 10<sup>4</sup> CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>low</sup>T<sub>regs</sub>, or 3 x 10<sup>4</sup> PD-1<sup>high</sup>  
624 CD25<sup>+</sup> cells in triplicate wells of U bottomed 96-well plates in the presence of soluble 1  $\mu$ g/ml  
625  $\alpha$ -CD3 and 1  $\mu$ g/ml  $\alpha$ -CD28 antibodies for the indicated duration<sup>58</sup>.

626

## 627 **RNA sequencing**

628 Sample preparation, sequencing, alignment, and data analyses were performed by Novogene  
629 genomic services. Strand-specific whole transcriptome sequencing libraries were prepared using  
630 NEB Next® Ultra™ RNA Library Prep Kit. The sequencing used a paired-end protocol (PE150).  
631 Indexed RNA-seq libraries were sequenced on a HiSeq2500 with Illumina TruSeq V4 chemistry  
632 (Illumina, San Diego, CA, USA). The FASTQ files with 125bp paired-end reads were processed  
633 using Trimmomatic (version 0.30) to remove adaptor sequences. The trimmed FASTQ data were  
634 aligned to the human genome with STAR (version 2.4.2a), which used GENCODE gtf file version  
635 4 (Ensembl 78). Differential expression analysis: The gene reads count data from HOIL and PBMC  
636 samples, each derived from three independent human individuals were normalized with R Package  
637 limma (version 3.26.8) and analyzed with an unpaired t-test. HOIL samples from three control  
638 individuals were pooled and compared with three independent HIV<sup>+</sup> individuals. The normalized  
639 reads count data were used to generate RPKM values for the heatmap display. Pathway analysis  
640 and heat maps: The Differential expressed gene list (DEG) was generated using unbiased  
641 molecular and cellular functional analyses. Heatmaps for different cytokine signatures were

642 created in R using the heatmap.2 function in g plots (version 2.17.0). Gene set enrich analysis  
643 (GSEA) was performed using the GSEA software obtained from the Broad Institute  
644 (<http://www.broad.mit.edu/GSEA>). REACTOME, GO and MSigDB gene sets and reference  
645 pathways were employed when relevant. The whole gene list was ranked before uploading to the  
646 GSEA software for pathway analysis. Normalized maximum deviation from zero was recorded as  
647 the enrichment score and normalized for obtaining normalized enrichment score (NES).

648

#### 649 **Statistical analyses**

650 P values were calculated by Mann-Whitney test in Prism 8 (GraphPad Software, Inc.) assuming  
651 random distribution unless otherwise noted. For some multiple comparisons within *in vitro* culture  
652 groups, one-way ANOVA was used. Unpaired t-test and two-way ANOVA were used for multiple  
653 comparisons between two or more groups. Bonferroni t-test was the post hoc test used for multiple  
654 comparisons. \*P < 0.05 were considered significant. To measure the strength of the association,  
655 correlation plots, spearman (r), simple linear regression analyses ( $R^2$ ) were used, and an alpha  
656 value of \*<0.05 was considered significant.

657

#### 658 **Supplementary materials**

659 Table and supplementary figures are provided as supplementary material.

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666 **Figure legends**

667 **Figure 1**

668 **Fig.1: Transcriptomic profiling and flow cytometry analysis of oral mucosa in HIV+ patients.** 46 HIV<sup>+</sup> patients  
669 on cART treatment and 32 uninfected healthy controls were recruited (**Table 1**). RNA sequencing was performed in  
670 gingival tissues and PBMC collected from six randomly chosen age matched participants; Healthy uninfected control  
671 (n=3) and HIV+ cART (n=3), 2 males and 1 female in each group. Gingival cells were enriched for immune cells by  
672 reducing the epithelial cells through gradient centrifugation before transcriptome analyses. Volcano plots showing  
673 differential RNA expression in HIV+ cART *versus* healthy uninfected control groups in gingival mucosa (**A, left**) and  
674 PBMC (**A, right**). **B**) REACTOME pathway analysis of the genes upregulated in HIV+ cART gingival mucosa. **C**)  
675 Gene set enrich analysis (GSEA) was performed using the GSEA software (Broad Institute;  
676 <http://www.broad.mit.edu/GSEA>) employing the entire gene list generated from transcriptome analyses. This whole  
677 gene list was pre-ranked based on T-Score then uploaded to GSEA software. Inflammatory response signature genes  
678 were defined based on the gene sets in MSigDB. **D**) Heatmaps showing upregulation of inflammasome signature  
679 genes that were defined based on the published literature. Human oral intraepithelial and lamina propria leukocytes  
680 (HOIL) from gingival biopsies were processed for flow cytometry. **E**) Effector CD4 cells were gated as shown in  
681 **Fig.S1B**, and further on FOXP3 negative population. Contour plots (left) and statistics (right) showing the percentage  
682 of activated (CD38<sup>+</sup> and HLADR<sup>+</sup>) effector CD4<sup>+</sup> cells (n=20); (\* P<0.05; Mann Whitney test).  
683

684 **Figure 2**

685 **Fig.2: Inflammatory cytokines, sTLR-2 and CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup>cells are enriched in gingival mucosa of HIV<sup>+</sup>  
686 patients on therapy.** **A**) Cells from gingival mucosa were re-stimulated with PMA/Ionomycin for 4 hours and  
687 supernatants were collected for ELISA analyses of IL-1 $\beta$  (left) and IL-6 (right) (n=78). **B**) ELISA quantification of  
688 s-TLR-2 (left) and s-CD14 (right) levels in saliva. **C**) Transcriptome profiling was performed as in **Fig.1**. Heat maps  
689 of genes encoding literature curated T<sub>reg</sub> signature proteins differentially regulated in gingival mucosa. Flow  
690 cytometric analyses of CD45<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> gated HOIL cells for CD25<sup>+</sup>FOXP3<sup>+</sup> cell proportions, showing  
691 representative contour plots (**D**), and statistical analysis of T<sub>reg</sub> proportions (**E**) in HOIL (above) and PBMC (below).  
692 Mean values  $\pm$  SEM are plotted. (\* P<0.05; Mann Whitney test).  
693

694 **Figure 3**

695 **Fig. 3. HIV infection reduces FOXP3<sup>+</sup> cells but increases the proportions of PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup> cells among FOXP3<sup>+</sup>  
696 in vitro.** **A**) Purified tonsil CD4<sup>+</sup> T cells (~91% purity) or CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>low</sup> T<sub>reg</sub> cells (> 88% FOXP3<sup>+</sup>) were  
697 TCR activated and infected with HIV as described in methods. GFP was assessed in FOXP3<sup>+</sup> (left) or FOXP3<sup>-</sup> (right;  
698 gated on FoXP3<sup>neg</sup> cells) fractions 48 hours post-infection. Representative flow cytometric data (left) and statistical  
699 analyses from 3 independent tonsil donors (right) are shown. **B-F**) TCR activated whole human tonsil cultures (HTC)

700 were infected with HIV and allowed to expand with IL-2 for 6 days. Flow cytometric analyses of CD3<sup>+</sup>FOXP3<sup>+</sup> cells  
701 pre-gated on CD8 negative cells (**B**), viability of CD3<sup>+</sup> CD8 negative FOXP3<sup>+</sup> cells (**C**), PD-1 and IFN- $\gamma$  expression  
702 in viable CD3<sup>+</sup>FOXP3<sup>+</sup>CD8 negative cells (**D**), with respective statistical analyses from 5 experiments (right) are  
703 shown. Mean values  $\pm$  SEM are plotted. **E,F**) Flow cytometric plots showing the expression of indicated proteins in  
704 PD-1<sup>high</sup> and PD-1<sup>low</sup> populations gated in (**D**) in HIV infected HTC. At least 5 independent experiments showed  
705 similar results.

706

#### 707 **Figure 4**

708 **Fig.4. Blocking subsequent rounds of infection and cell death increased the proliferation of PD-1<sup>hi</sup>IFN-  
709  $\gamma$ <sup>+</sup>FOXP3<sup>+</sup>cells.**

710 Whole HTC was activated with TCR stimulation, infected with HIV and allowed to expand in the presence of TGF-  
711  $\beta$ 1 (10 ng/ml) and IL-2 (100 U/ml) for 6 days. Viral inhibitor Efavirenz (50 nM) or cell death/ pan-caspase inhibitor  
712 z-VAD (10  $\mu$ M) was added 28 hours post-infection as described in methods. Flow cytometry acquisition was done  
713 with constant time for all the samples. Percentage of CD3<sup>+</sup>FOXP3<sup>+</sup> cells pre-gated on CD8 negative cells (**A**),  
714 Viability of FOXP3<sup>+</sup> cells pre-gated on CD3<sup>+</sup>CD8 negative cells (**B**), PD-1 and IFN- $\gamma$  expression in viable CD3<sup>+</sup> CD8  
715 negative FOXP3<sup>+</sup> cells(**C**), Ki-67 expression in viable PD-1<sup>high</sup> and PD-1<sup>low</sup> FOXP3<sup>+</sup> populations (**D**) are shown.  
716 Representative contour plots (left), statistical analyses of proportions of the cells (middle) and statistical analyses of  
717 the absolute cell counts (right) are shown (2way ANOVA, multiple comparison; alpha= 0.05\*).

718

#### 719 **Figure 5**

720 **Fig.5. PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> FOXP3<sup>+</sup> cell induction is associated with expression of IL-1 $\beta$ -dependent AKT1 signaling  
721 and enhanced by TLR-2 ligands in the context of HIV infection.** Purified tonsil CD4<sup>+</sup> T cells (~93% purity) were  
722 TCR activated, infected with HIV and allowed to expand in the presence of TGF- $\beta$ 1 (10 ng/ml) and IL-2 (100 U/ml)  
723 for 6 days post-infection unless otherwise noted. Efavirenz (50 nM), LPS(10 $\mu$ g/ml), PG-LPS (5  $\mu$ g/ml), HKGT (10 $^6$ /ml), IL-1 $\beta$  (20 ng/ml), IL-33 (20 ng/ml), Anakinra (10  $\mu$ g/ml), LY294002 (10  $\mu$ M) and Nigericin (10nM) were  
724 added as indicated, 36 hours post infection. **A**) PD-1 and IFN- $\gamma$  (left) and AREG (right) expression in FOXP3<sup>+</sup> cells.  
725 **B**) ELISA quantification of IL-1 $\beta$  (left) and AREG (right) in cell culture supernatants collected on day 3 post infection.  
726 p- Akt (**C**) and AREG (**D**) expression in FOXP3<sup>+</sup> cells. (**E**) Percentage and absolute cell numbers of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>  
727 FOXP3<sup>+</sup> cells in CD4<sup>+</sup> population. (**F**) AREG expression in FOXP3<sup>+</sup> cells (left) and ELISA quantification of AREG  
728 (right), 6 days post infection. Data are representative of at least 3 independent experiments.

730

#### 731 **Figure 6**

732 **Fig.6. PD-1 ligation downmodulates asparaginyl endopeptidase (AEP) and stabilizes the expression of FOXP3  
733 and AREG.**

734 CD4+ T cells were stimulated as in **Fig.5. A,B)** AEP, pAEP, FOXP3, BCL-2 and Ki-67 staining in PD-1<sup>high</sup>FOXP3<sup>+</sup>  
735 (blue) and PD-1<sup>low</sup>FOXP3<sup>+</sup> cells 6 days post-infection. Some CD4<sup>+</sup> T cells stimulated and infected as above were  
736 moved to a plate coated with recombinant human PD-L1/B7-H1 Fc chimera (5 µg/ml), or treated with AEP inhibitor  
737 (10 µM) 36 hours after infection. Percentage of FOXP3<sup>+</sup> cells in CD4<sup>+</sup> population and FOXP3 MFI on FOXP3<sup>+</sup> gated  
738 cells (**C**), Percentage and absolute cell numbers of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells in FOXP3<sup>+</sup> population (**D**), and AREG  
739 expression in FOXP3<sup>+</sup> cells (**E**), as determined by flow cytometry analyses. Results represent triplicate experiments  
740 with similar results.

741

## 742 **Figure 7**

743 **Fig.7: PD-1<sup>+</sup> FOXP3<sup>+</sup> cells from HIV infected cultures have little or no suppressive activity.** Purified CD4<sup>+</sup> T  
744 cells and T<sub>regs</sub> were stimulated and infected as in methods. (**A**) CD25 and FOXP3 expression in all cells in the cultures  
745 (above) and PD-1 and IFN- $\gamma$  expression in CD25<sup>+</sup>FOXP3<sup>+</sup> cells (below) at 96 hours post infection (**B**) Statistical  
746 analyses of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells in FOXP3<sup>+</sup> population from these two cultures. (**C**) PD-1<sup>hi</sup>CD25<sup>+</sup> cells were purified  
747 from HIV-infected CD4 cultures using sequential sorting of PD-1-PE<sup>+</sup> cells and CD25<sup>high</sup> T<sub>reg</sub> cells using STEMCELL  
748 technology PE isolation and CD25<sup>+</sup>T<sub>reg</sub> isolation kits, and were used in co-cultures with cell-trace violet labelled  
749 responder T cells (T<sub>resp</sub>) at ratio 1:1. As controls, T<sub>resp</sub> cells were cultured alone or co-cultured with purified naïve  
750 CD127<sup>low</sup>CD25<sup>+</sup>T<sub>regs</sub> that were sequentially sorted to remove CD45RO<sup>+</sup>CD4<sup>+</sup> cells using human CD45RO kit  
751 (Miltenyi) and purify CD25<sup>high</sup>T<sub>reg</sub> cells using STEMCELL technology CD25<sup>+</sup>T<sub>reg</sub> isolation kits. These control T<sub>regs</sub>  
752 were also previously stimulated and infected the same manner (purple) before co-culture with T<sub>resp</sub>. T<sub>resp</sub> proliferation  
753 was determined by cell-trace dye dilution in PD-1<sup>hi</sup>CD25<sup>+</sup>co-culture (blue), control T<sub>reg</sub> co-cultures (purple), or those  
754 cultured alone (green) (**D**) Statistical analyses of % T<sub>resp</sub> suppression mean values from three independent experiments  
755 showing similar results (\* P<0.05; Mann Whitney test).

756

## 757 **Figure 8**

758 **Fig.8. HIV<sup>+</sup> patients have an increased abundance of PD-1<sup>hi</sup>CD25<sup>hi</sup> IFN- $\gamma$ <sup>+</sup>AREG<sup>hi</sup> FOXP3<sup>+</sup> cells**  
759 **correlating with CD4<sup>+</sup>T cell hyperactivation in the oral mucosa.** HOILs from gingival mucosa from healthy  
760 controls and HIV<sup>+</sup> patients on cART were processed for flow cytometry *ex vivo*. (**A**) FOXP3 expression in CD3<sup>+</sup>CD4<sup>+</sup>  
761 gated HOIL cells. PD-1 and IFN- $\gamma$  (**B**), IL-10 (**C**), AREG (**D**), expression in FOXP3<sup>+</sup> population. Statistical analyses  
762 and comparison between the groups for % PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells (**E**), % PD-1<sup>hi</sup> cells (**F**), % IL-10<sup>+</sup> cells (**G**), and AREG  
763 expression (**H**) in FOXP3<sup>+</sup> population. **I**) ELISA quantification of AREG levels in saliva (\* P<0.05; Mann Whitney  
764 test). **J, K**) Correlation of % PD-1<sup>hi</sup>CD25<sup>+</sup> cells in FOXP3<sup>+</sup> population (**J**) and salivary AREG (**K**), with effector CD4  
765 hyperactivation (% CD38<sup>+</sup>HLADR<sup>+</sup> in FOXP3<sup>neg</sup>CD4<sup>+</sup> T cells in gingival mucosa; **Fig.1E**; n = 20).

766

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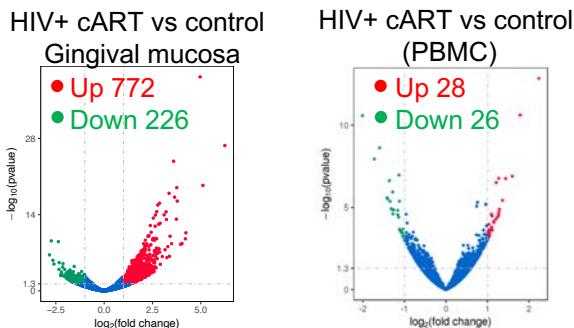
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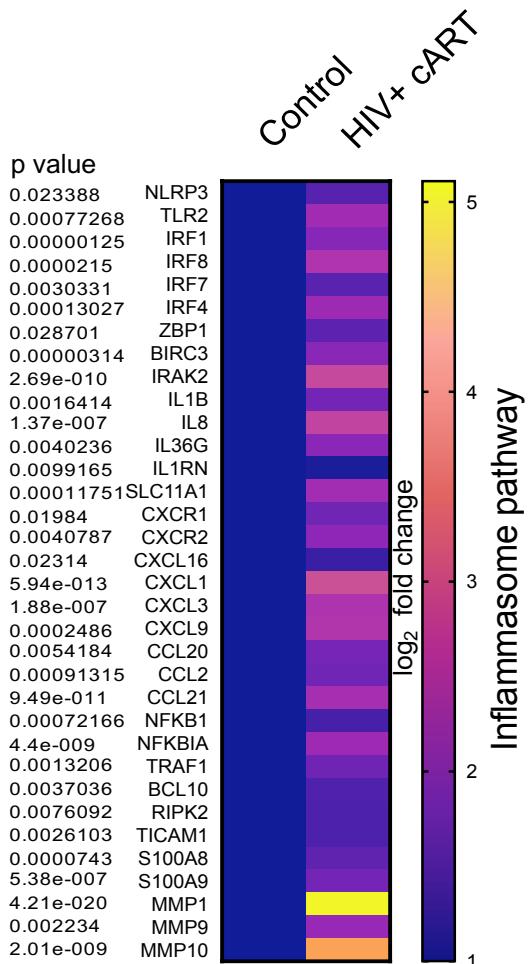
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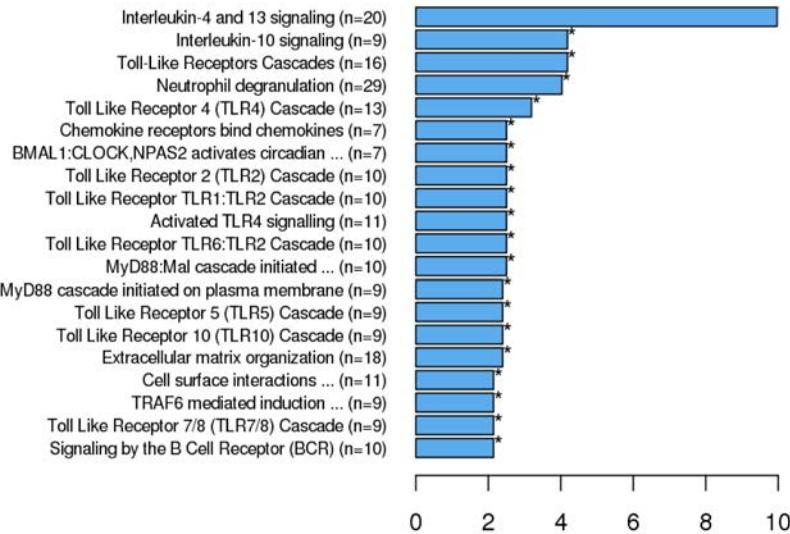


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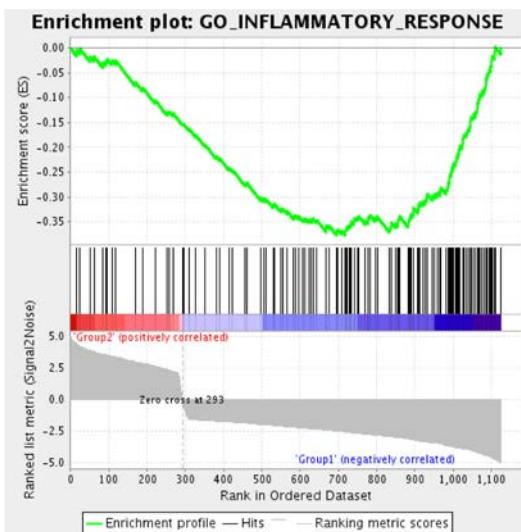


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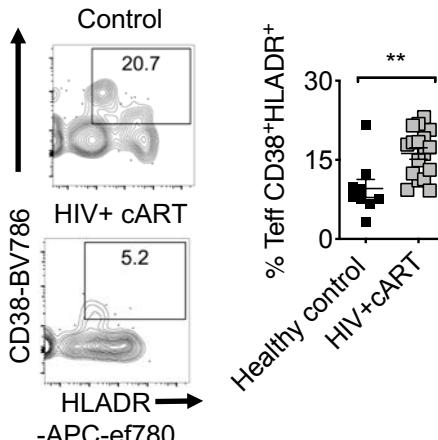
### HIV+ cART upregulated (Gingival mucosa)



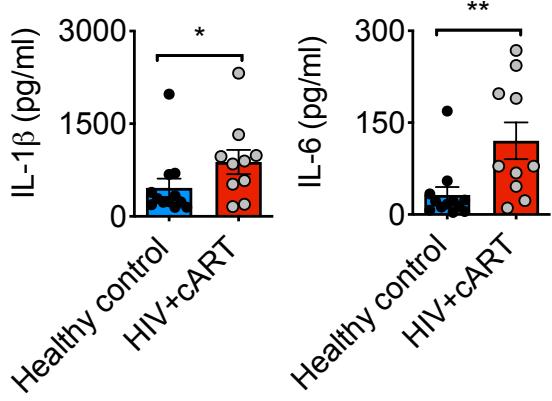
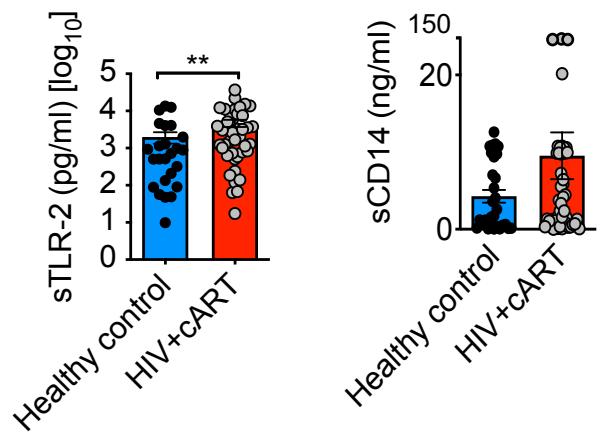
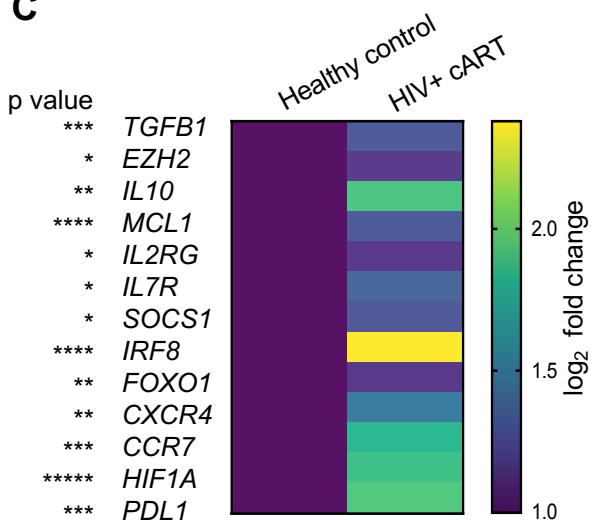
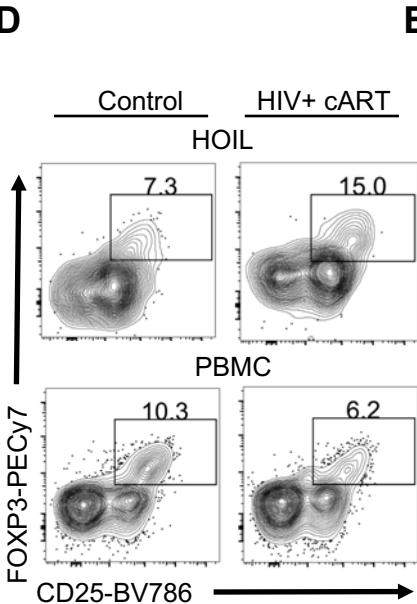
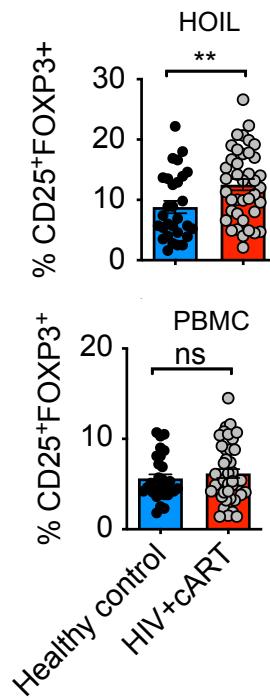
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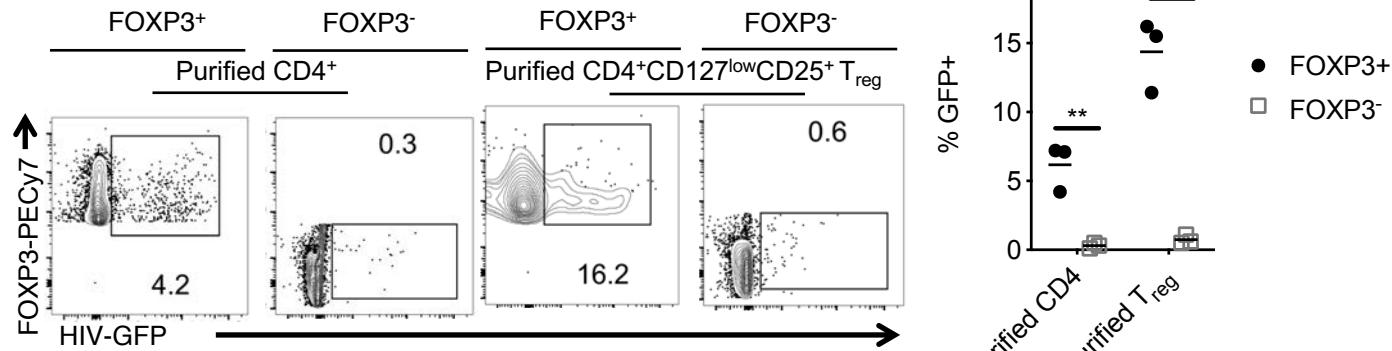
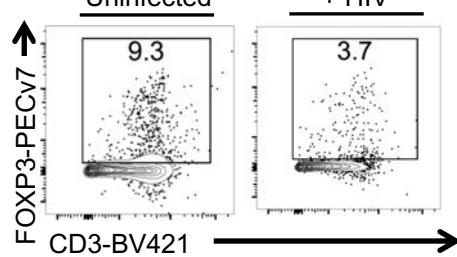
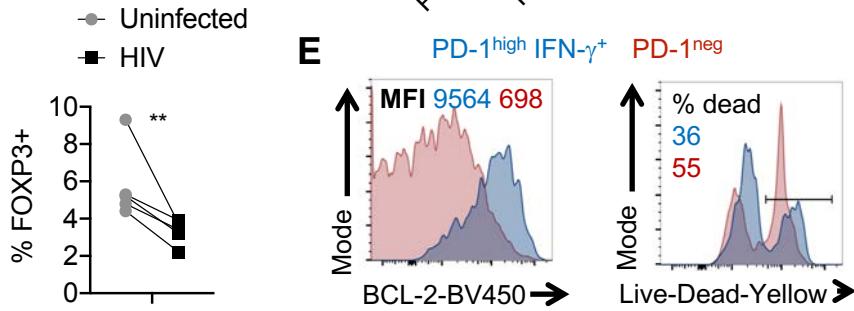
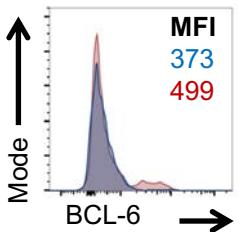
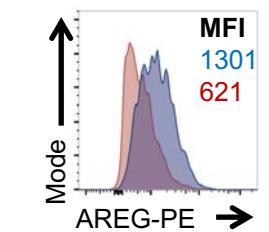
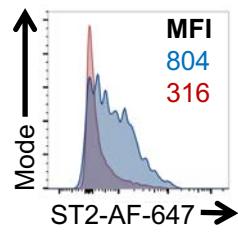
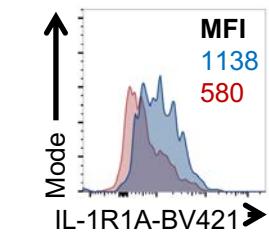
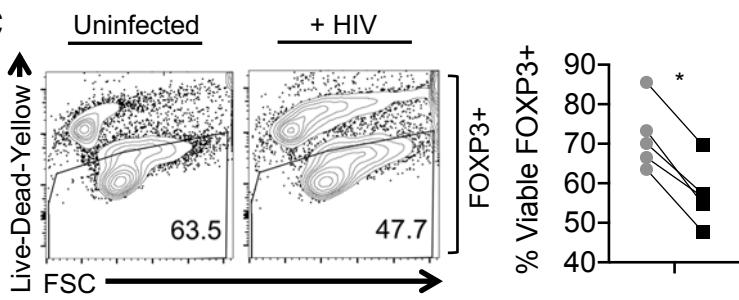
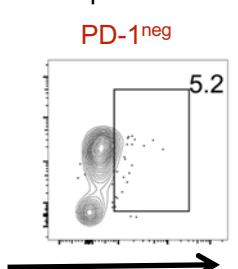
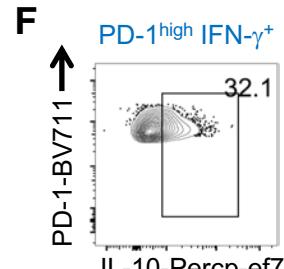
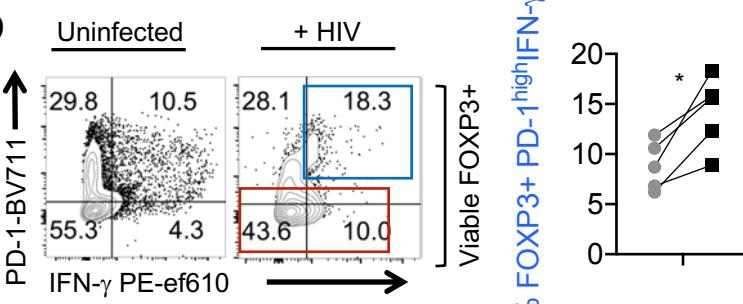
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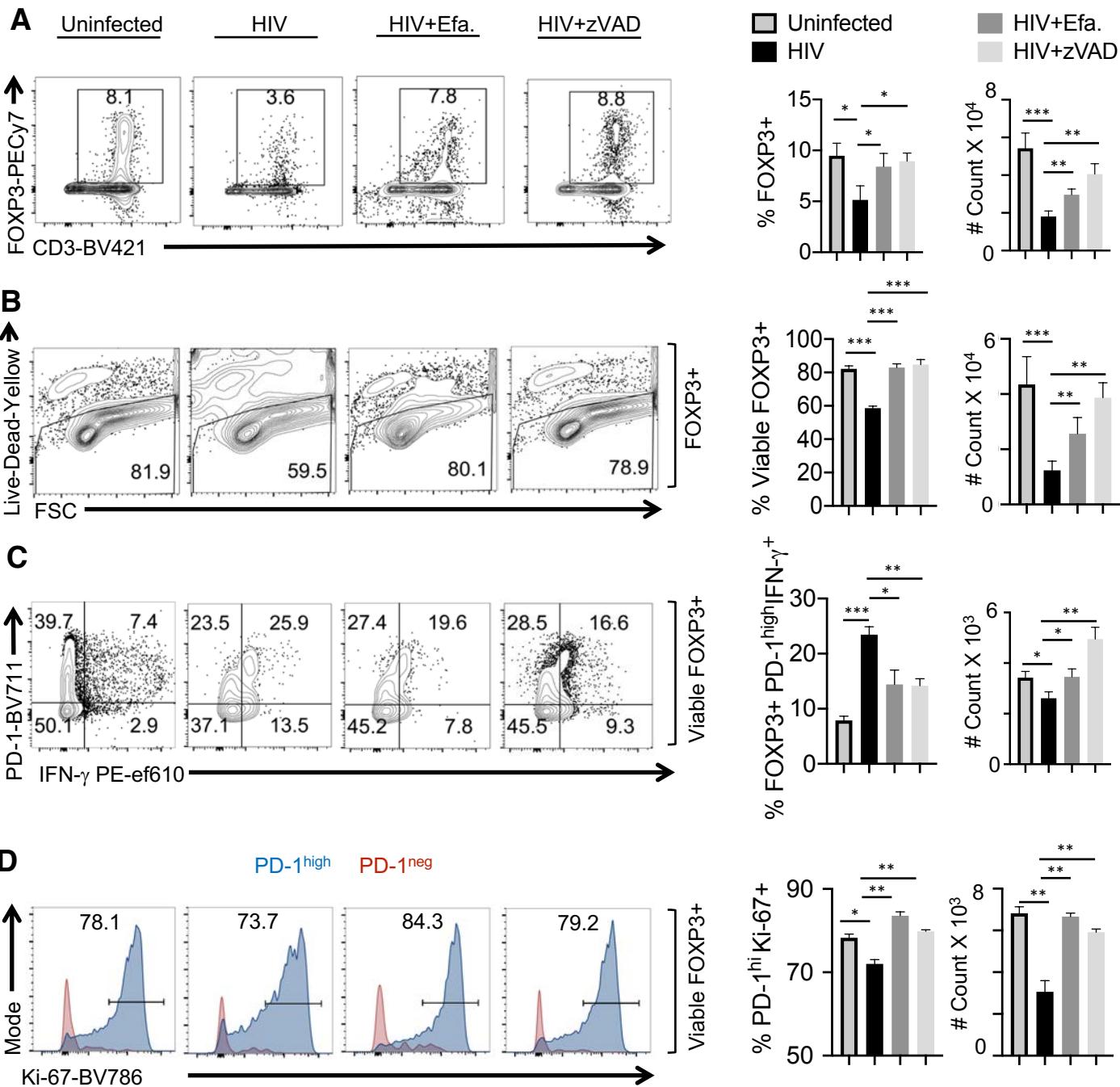
**Fig.1: Transcriptomic profiling and flow cytometry analysis of oral mucosa in HIV+ patients.** 46 HIV+ patients on cART treatment and 32 uninfected healthy controls were recruited (Table 1). RNA sequencing was performed in gingival tissues and PBMC collected from six randomly chosen age matched participants; Healthy uninfected control (n=3) and HIV+ cART (n=3), 2 males and 1 female in each group. Gingival cells were enriched for immune cells by reducing the epithelial cells through gradient centrifugation before transcriptome analyses. Volcano plots showing differential RNA expression in HIV+ cART versus healthy uninfected control groups in gingival mucosa (A, left) and PBMC (A, right). B) REACTOME pathway analysis of the genes upregulated in HIV+ cART gingival mucosa. C) Gene set enrichment analysis (GSEA) was performed using the GSEA software (Broad Institute; <http://www.broad.mit.edu/GSEA>) employing the entire gene list generated from transcriptome analyses. This whole gene list was pre-ranked based on T-Score then uploaded to GSEA software. Inflammatory response signature genes were defined based on the gene sets in MSigDB. D) Heatmaps showing upregulation of inflammasome signature genes that were defined based on the published literature. Human oral intraepithelial and lamina propria leukocytes (HOIL) from gingival biopsies were processed for flow cytometry. E) Effector CD4 cells were gated as shown in Fig.S1B, and further on FOXP3 negative population. Contour plots (left) and statistics (right) showing the percentage of activated (CD38<sup>+</sup> and HLADR<sup>+</sup>) effector CD4<sup>+</sup> cells (n=20); (\* P<0.05; Mann Whitney test).

**A****B****C****D****E**

**Fig.2: Inflammatory cytokines, sTLR-2 and CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup>cells are enriched in gingival mucosa of HIV<sup>+</sup> patients on therapy.** **A)** Cells from gingival mucosa were re-stimulated with PMA/Ionomycin for 4 hours and supernatants were collected for ELISA analyses of IL-1 $\beta$  (left) and IL-6 (right) (n=78). **B)** ELISA quantification of s-TLR-2 (left) and s-CD14 (right) levels in saliva. **C)** Transcriptome profiling was performed as in **Fig.1**. Heat maps of genes encoding literature curated T<sub>reg</sub> signature proteins differentially regulated in gingival mucosa. Flow cytometric analyses of CD45<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> gated HOIL cells for CD25<sup>+</sup>FOXP3<sup>+</sup> cell proportions, showing representative contour plots (**D**), and statistical analysis of T<sub>reg</sub> proportions (**E**) in HOIL (above) and PBMC (below). Mean values  $\pm$  SEM are plotted. (\* P < 0.05; Mann Whitney test).

**A****B****E****C****D**

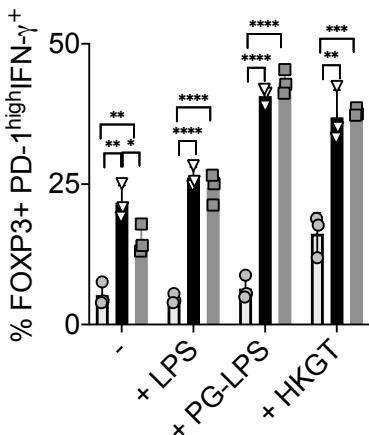
**Fig. 3. HIV infection reduces FOXP3<sup>+</sup> cells but increases the proportions of PD-1<sup>high</sup>IFN- $\gamma$ <sup>+</sup> cells among FOXP3<sup>+</sup> *in vitro*.** **A**) Purified tonsil CD4<sup>+</sup> T cells (~91% purity) or CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>low</sup> T<sub>reg</sub> cells (> 88% FOXP3<sup>+</sup>) were TCR activated and infected with HIV as described in methods. GFP was assessed in FOXP3<sup>+</sup> (left) or FOXP3<sup>-</sup> (right; gated on Foxp3<sup>neg</sup> cells) fractions 48 hours post-infection. Representative flow cytometric data (left) and statistical analyses from 3 independent tonsil donors (right) are shown. **B-F**) TCR activated whole human tonsil cultures (HTC) were infected with HIV and allowed to expand with IL-2 for 6 days. Flow cytometric analyses of CD3<sup>+</sup>FOXP3<sup>+</sup> cells pre-gated on CD8 negative cells (**B**), viability of CD3<sup>+</sup> CD8 negative FOXP3<sup>+</sup> cells (**C**), PD-1 and IFN- $\gamma$  expression in viable CD3<sup>+</sup>FOXP3<sup>+</sup>CD8 negative cells (**D**), with respective statistical analyses from 5 experiments (right) are shown. Mean values  $\pm$  SEM are plotted. **E,F**) Flow cytometric plots showing the expression of indicated proteins in PD-1<sup>high</sup> and PD-1<sup>low</sup> populations gated in (**D**) in HIV infected HTC. At least 5 independent experiments showed similar results.



**Fig.4. Blocking subsequent rounds of infection and cell death increased the proliferation of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup>FOXP3<sup>+</sup>cells.** Whole HTC was activated with TCR stimulation, infected with HIV and allowed to expand in the presence of TGF- $\beta$ 1 (10 ng/ml) and IL-2 (100 U/ml) for 6 days. Viral inhibitor Efavirenz (50 nM) or cell death/ pan-caspase inhibitor z-VAD (10  $\mu$ M) was added 28 hours post-infection as described in methods. Flow cytometry acquisition was done with constant time for all the samples. Percentage of CD3<sup>+</sup>FOXP3<sup>+</sup> cells pre-gated on CD8 negative cells (**A**), Viability of FOXP3<sup>+</sup> cells pre-gated on CD3<sup>+</sup>CD8 negative cells (**B**), PD-1 and IFN- $\gamma$  expression in viable CD3<sup>+</sup> CD8 negative FOXP3<sup>+</sup> cells(**C**), Ki-67 expression in viable PD-1<sup>high</sup> and PD-1<sup>low</sup> FOXP3<sup>+</sup> populations (**D**) are shown. Representative contour plots (left), statistical analyses of proportions of the cells (middle) and statistical analyses of the absolute cell counts (right) are shown (2way ANOVA, multiple comparison; alpha= 0.05\*).

**A**

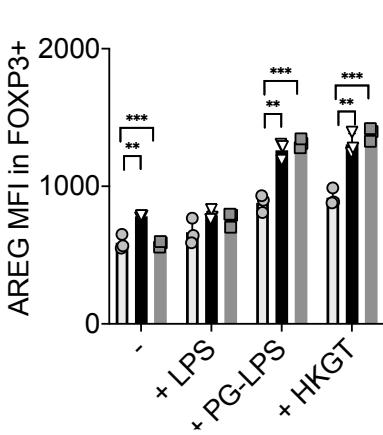
○ Uninfected ▽ HIV □ HIV+Efa.



Uninfected

HIV

HIV+Efa.



HIV+Efa. + PG-LPS

HIV+Efa. + HKGT

**B**

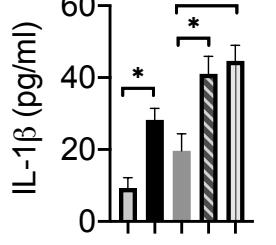
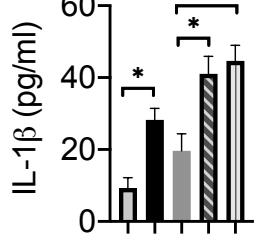
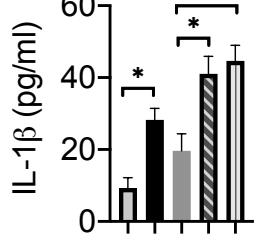
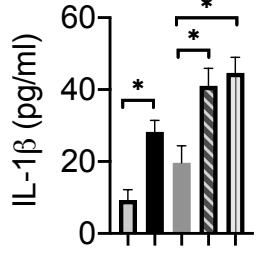
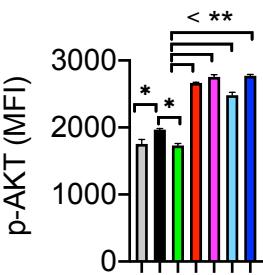
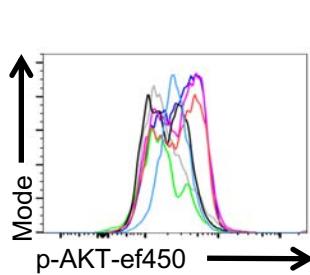
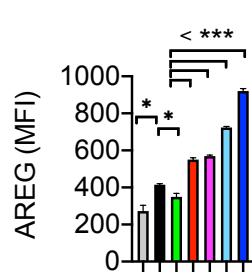
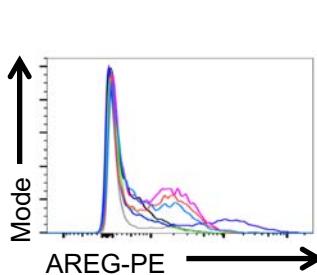
□ Uninfected

■ HIV

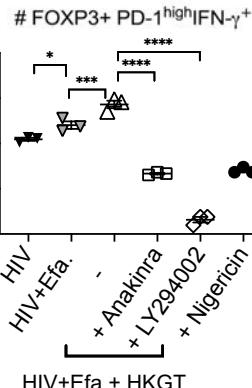
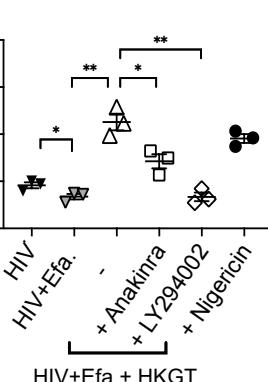
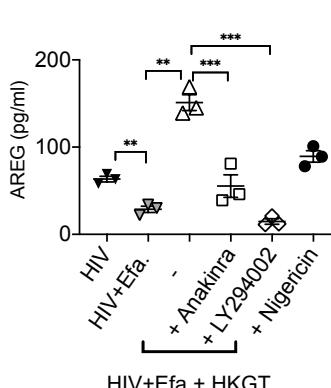
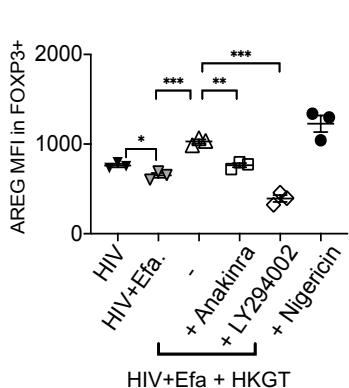
■ HIV+Efa.

■ HIV+Efa. + PG-LPS

■ HIV+Efa. + HKGT

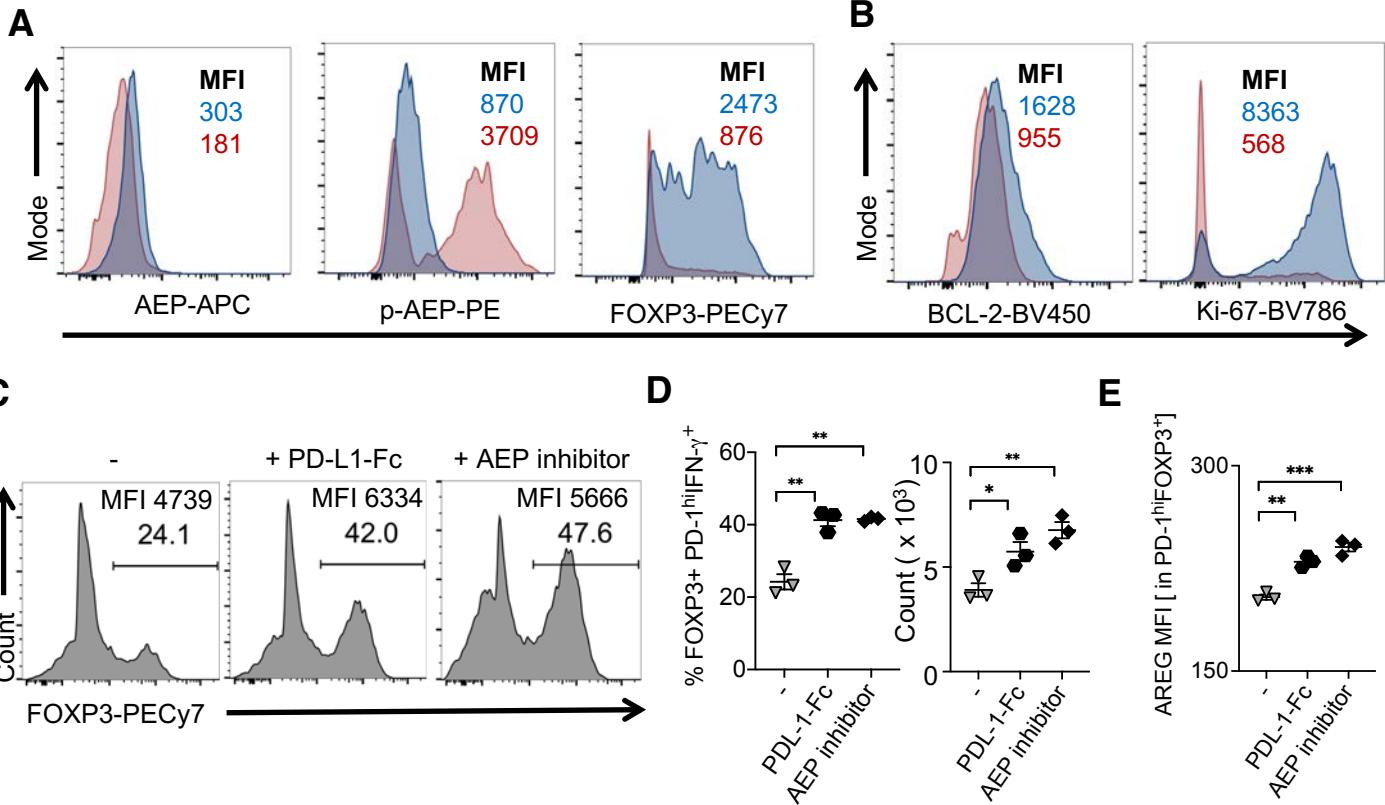
**C****D****E**

% FOXP3+ PD-1hiIFN-γ+

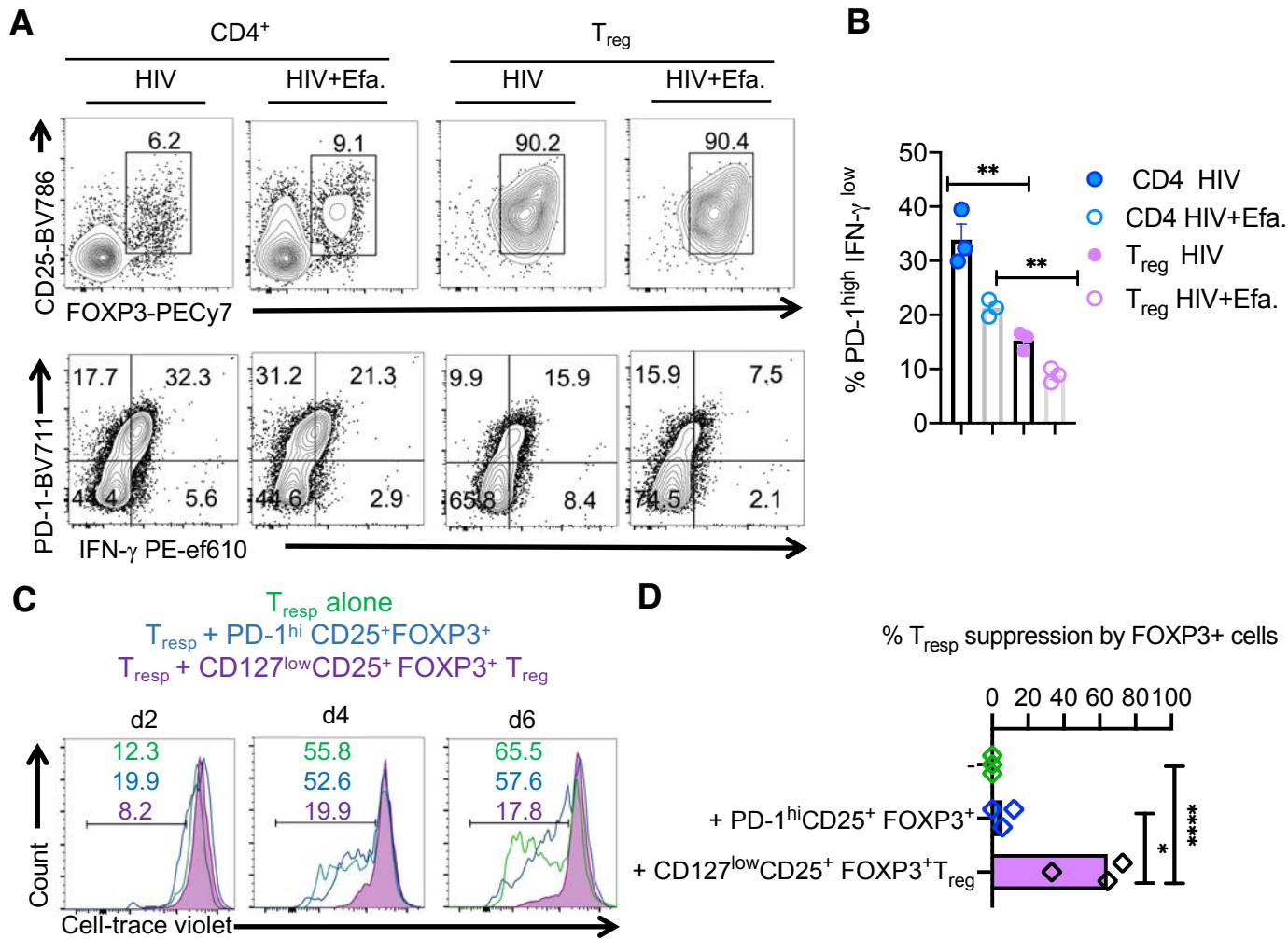
**F**

**Fig.5. PD-1<sup>hi</sup>IFN-γ<sup>+</sup> FOXP3<sup>+</sup> cell induction is associated with expression of IL-1β-dependent AKT1 signaling and enhanced by TLR-2 ligands in the context of HIV infection.** Purified tonsil CD4<sup>+</sup> T cells (~93% purity) were TCR activated, infected with HIV and allowed to expand in the presence of TGF-β1 (10 ng/ml) and IL-2 (100 U/ml) for 6 days post-infection unless otherwise noted. Efavirenz (50 nM), LPS(10μg/ml), PG-LPS (5 μg/ml), HKGT ( 10<sup>6</sup>/ml), IL-1β (20 ng/ml), IL-33 (20 ng/ml), Anakinra (10 μg/ml), LY294002 (10 μM) and Nigericin (10nM) were added as indicated, 36 hours post infection. **A)** PD-1 and IFN-γ (left) and AREG (right) expression in FOXP3<sup>+</sup> cells. **B)** ELISA quantification of IL-1β (left) and AREG (right) in cell culture supernatants collected on day 3 post infection. p- Akt (**C**) and AREG (**D**) expression in FOXP3<sup>+</sup> cells. (**E**) Percentage and absolute cell numbers of PD-1<sup>hi</sup>IFN-γ<sup>+</sup> FOXP3<sup>+</sup> cells in CD4<sup>+</sup> population. (**F**) AREG expression in FOXP3<sup>+</sup> cells (left) and ELISA quantification of AREG (right), 6 days post infection. Data are representative of at least 3 independent experiments.

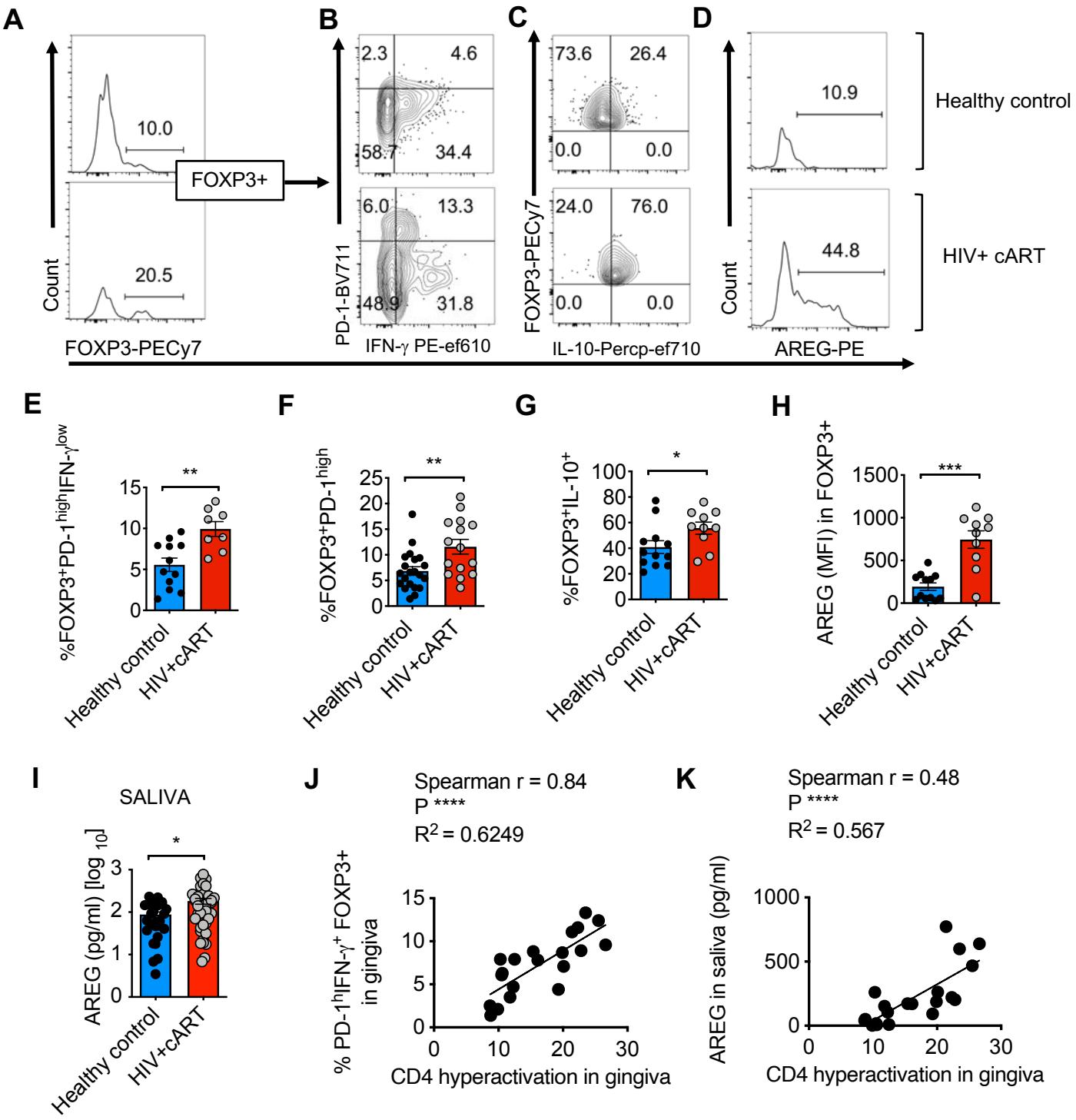
PD-1<sup>high</sup>FOXP3<sup>+</sup> PD-1<sup>low</sup>FOXP3<sup>+</sup>



**Fig.6. PD-1 ligation downmodulates asparaginyl endopeptidase (AEP) and stabilizes the expression of FOXP3 and AREG. CD4<sup>+</sup> T cells were stimulated as in Fig.5. A,B) AEP, pAEP, FOXP3, BCL-2 and Ki-67 staining in PD-1<sup>high</sup>FOXP3<sup>+</sup> (blue) and PD-1<sup>low</sup>FOXP3<sup>+</sup> cells 6 days post-infection. Some CD4<sup>+</sup> T cells stimulated and infected as above were moved to a plate coated with recombinant human PD-L1/B7-H1 Fc chimera (5  $\mu$ g/ml), or treated with AEP inhibitor (10  $\mu$ M) 36 hours after infection. Percentage of FOXP3<sup>+</sup> cells in CD4<sup>+</sup> population and FOXP3 MFI on FOXP3<sup>+</sup> gated cells (C), Percentage and absolute cell numbers of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells in FOXP3<sup>+</sup> population (D), and AREG expression in FOXP3<sup>+</sup> cells (E), as determined by flow cytometry analyses. Results represent triplicate experiments with similar results.**



**Fig.7: PD-1<sup>+</sup> FOXP3<sup>+</sup> cells from HIV infected cultures have little or no suppressive activity.** Purified CD4<sup>+</sup> T cells and T<sub>reg</sub>s were stimulated and infected as in methods. (A) CD25 and FOXP3 expression in all cells in the cultures (above) and PD-1 and IFN- $\gamma$  expression in CD25<sup>+</sup>FOXP3<sup>+</sup> cells (below) at 96 hours post infection (B) Statistical analyses of PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells in FOXP3<sup>+</sup> population from these two cultures. (C) PD-1<sup>hi</sup>CD25<sup>+</sup> cells were purified from HIV-infected CD4 cultures using sequential sorting of PD-1-PE<sup>+</sup> cells and CD25<sup>high</sup> T<sub>reg</sub> cells using STEMCELL technology PE isolation and CD25<sup>+</sup>T<sub>reg</sub> isolation kits, and were used in co-cultures with cell-trace violet labelled responder T cells (T<sub>resp</sub>) at ratio 1:1. As controls, T<sub>resp</sub> cells were cultured alone or co-cultured with purified naïve CD127<sup>low</sup>CD25<sup>+</sup>T<sub>reg</sub>s that were sequentially sorted to remove CD45RO<sup>+</sup>CD4<sup>+</sup>cells using human CD45RO kit (Miltenyi) and purify CD25<sup>high</sup>T<sub>reg</sub> cells using STEMCELL technology CD25<sup>+</sup>T<sub>reg</sub> isolation kits. These control T<sub>reg</sub>s were also previously stimulated and infected the same manner (purple) before co-culture with T<sub>resp</sub>. T<sub>resp</sub> proliferation was determined by cell-trace dye dilution in PD-1<sup>hi</sup>CD25<sup>+</sup>co-culture (blue), control T<sub>reg</sub> co-cultures (purple), or those cultured alone (green) (D) Statistical analyses of % T<sub>resp</sub> suppression mean values from three independent experiments showing similar results (\* P<0.05; Mann Whitney test).



**Fig.8. HIV<sup>+</sup> patients have an increased abundance of PD-1<sup>hi</sup>CD25<sup>hi</sup> IFN- $\gamma$ <sup>+</sup>AREG<sup>hi</sup> FOXP3<sup>+</sup> cells correlating with CD4<sup>+</sup> T cell hyperactivation in the oral mucosa.** HOILs from gingival mucosa from healthy controls and HIV<sup>+</sup> patients on cART were processed for flow cytometry ex vivo. (A) FOXP3 expression in CD3<sup>+</sup>CD4<sup>+</sup> gated HOIL cells. PD-1 and IFN- $\gamma$  (B), IL-10(C), AREG (D), expression in FOXP3<sup>+</sup> population. Statistical analyses and comparison between the groups for % PD-1<sup>hi</sup>IFN- $\gamma$ <sup>+</sup> cells (E), % PD-1<sup>hi</sup> cells (F), % IL-10<sup>+</sup> cells (G), and AREG expression (H) in FOXP3<sup>+</sup> population. I) ELISA quantification of AREG levels in saliva (\* P<0.05; Mann Whitney test). J, K) Correlation of % PD-1<sup>hi</sup>CD25<sup>hi</sup> cells in FOXP3<sup>+</sup> population (J) and salivary AREG (K), with effector CD4 hyperactivation (% CD38<sup>+</sup>HLADR<sup>+</sup> in FOXP3<sup>neg</sup>CD4<sup>+</sup> T cells in gingival mucosa; Fig.1E; n = 20).