

# **Bortezomib inhibits lung fibrosis and fibroblast activation without proteasome inhibition**

Loka Raghu Kumar Penke, Jennifer Speth, Scott Wettlaufer, Christina Draijer and Marc Peters-Golden<sup>1\*</sup>

## **Affiliations**

Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, University of Michigan, Ann Arbor, Michigan, USA.

<sup>1</sup> Graduate Program in Immunology, University of Michigan, Ann Arbor, Michigan, USA.

## **Correspondence:**

Marc Peters-Golden, M.D.

6301 MSRBIII, 1150 W. Medical Center Drive, Ann Arbor, MI 48109-5642

Tel: 734-936-5047

Fax: 734-764-4556

Email: [petersm@umich.edu](mailto:petersm@umich.edu)

**Author Contributions:** L.R.K.P. planned and performed experiments, analyzed the data, organized data for presentation, and wrote the manuscript. J.M.S, S.H.W and C.D performed experiments. M.P.-G. planned experiments, analyzed data, and wrote the manuscript.

22 This work was supported by National Institutes of Health grants HL94311 and  
23 HL144979 (to M. P.-G.), a Galvin Pilot Grant (to L.R.K.P.) and American Cancer Society  
24 Grant PF-17-143-01-TBG3 (to J.M.S).

25

26 **Running head:** Anti-fibrotic mechanisms of bortezomib.

27

28 **Total word count: 4330**

29

30

# **Abstract**

The FDA-approved proteasomal inhibitor bortezomib (BTZ) has attracted interest for its potential anti-fibrotic actions. However, neither its *in vivo* efficacy in lung fibrosis nor its dependence on proteasome inhibition has been conclusively defined. Herein, we identify that therapeutic administration of BTZ in a mouse model of pulmonary fibrosis diminished the severity of fibrosis without reducing proteasome activity in the lung. Under conditions designed to mimic this lack of proteasome inhibition *in vitro*, it reduced fibroblast proliferation, differentiation into myofibroblasts, and collagen synthesis. It promoted de-differentiation of myofibroblasts and overcame their characteristic resistance to apoptosis. Mechanistically, BTZ inhibited kinases important for fibroblast activation while inducing expression of dual-specificity phosphatase 1 or DUSP1, and knockdown of DUSP1 abolished its anti-fibrotic actions in fibroblasts. Our findings identify a novel proteasome-independent mechanism of anti-fibrotic actions for BTZ and support its therapeutic repurposing for pulmonary fibrosis.

**Keywords:** bortezomib; proteasome; fibroblast activation; pulmonary fibrosis.

# Introduction

Fibrotic diseases are responsible for extensive morbidity and mortality worldwide. Idiopathic pulmonary fibrosis (IPF), the most common fibrotic disease of the lung, often progresses to respiratory failure and death within 2-3 years from the time of diagnosis. Two FDA-approved drugs, nintedanib and pirfenidone, delay disease progression, but neither reverses established IPF nor improves patient survival (1, 2). These realities highlight the unmet medical need for the development of new treatments for IPF. Fibroblasts (Fibs) are the principal “effector” cells of fibrotic disorders by virtue of their capacity to proliferate and to differentiate into myofibroblasts (MyoFibs). MyoFibs are marked by their expression and organization of  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) into contractile stress fibers, and their pathogenic importance derives from their capacities to elaborate excessive amounts of extracellular matrix proteins such as collagen that comprise scars and to exhibit a high degree of resistance to apoptosis (3).

MyoFib differentiation is characterized by reprogramming of the global transcriptome and proteome. Concomitant with an increase in new protein synthesis is the need to degrade unwanted proteins via the ubiquitin-proteasome system. Indeed, increased activity of this proteolytic complex has been reported in fibrotic lung (4-6) and other organs (7), and in Fibs treated with pro-fibrotic mediators (6, 8, 9). At the molecular level, increased expression of ubiquitin ligases as well as proteasome subunits and their activators has been reported in fibrotic tissue and Fibs, including those from IPF

patients (10-12). These findings provide a potential therapeutic rationale for proteasome inhibition in fibrosis.

Bortezomib (BTZ) is a reversible inhibitor of the chymotrypsin-like activity of the 20S core proteasome. The first FDA-approved proteasome inhibitor, it is indicated for the treatment of multiple myeloma. BTZ and other proteasome inhibitors have been evaluated in experimental models of fibrosis in a variety of tissues (13-18). While BTZ was found to ameliorate fibrosis in other organs, data on its efficacy in models of pulmonary fibrosis have been limited and conflicting (16, 17). Moreover, whether its potential anti-fibrotic actions actually depend on its proteasomal inhibitory capacity has never been explicitly determined.

In this study, we demonstrate that BTZ exerts robust and potent anti-fibrotic actions both *in vitro* and *in vivo* in the absence of proteasome inhibition, and identify induction of dual-specificity phosphatase 1 (DUSP1) as a potential new mechanism for these actions.

## Methods

### Cell culture

CCL-210 (CCD-19Lu) and MRC5 (CCL171) adult human lung Fib lines were purchased from ATCC. For selected studies, we employed Fibs grown from biopsy specimens of patients at the University of Michigan determined to have either IPF or non-fibrotic lung under an IRB-approved protocol, as reported previously (5). Fibs were cultured in low glucose Dulbecco's modified Eagle's medium (DMEM) and supplemented with 10% fetal bovine serum (Hyclone) and 100 units/ml of both penicillin and streptomycin (Invitrogen), hereafter referred as complete medium.

### Reagents

Recombinant human transforming growth factor- $\beta$  (TGF- $\beta$ ) and fibroblast growth factor-2 FGF-2 were purchased from R&D Systems. BTZ, bleomycin and human activating FAS (anti-FAS) antibody were purchased from Millipore Sigma. PGE<sub>2</sub>, forskolin and DRB were purchased from Cayman Chemicals. Unless otherwise specified, the final concentrations of modulatory agents used for cell treatment were: TGF- $\beta$ , 2 ng/ml; FGF-2, 50 ng/ml; BTZ, 10 nM; PGE<sub>2</sub>, 500 nM; forskolin, 10  $\mu$ M; and DRB, 25  $\mu$ M.

### RNA isolation and quantitative real-time PCR

Cells were lysed in 700  $\mu$ l TRIzol reagent (Thermo Scientific) and total RNA was extracted using the RNeasy Mini Kit (Qiagen). RNA was estimated using Nanodrop and

converted to cDNA using the high capacity cDNA reverse transcription kit (Applied Biosystems). Gene expression was quantified using Fast SYBR green master mix (Applied Biosystems) on a StepOne Real-time PCR system (Applied Biosystems). Expression studies for human *FOXM1*, *CCNB1*, *PLK1*, *CCND1*, *Survivin*,  $\alpha$ -SMA, *COL1 $\alpha$ 2*, *APAF1*, *BID*, *FASR*, *COX2*, *DUSP1* and mouse *Col1 $\alpha$ 1*, *Ctgf* and *TGF- $\beta$ 1* were performed using specific primers listed in **Supplementary Table 1 and 2**. Relative quantification of gene expression was determined using the  $\Delta$ CT method, and GAPDH and  $\beta$ -actin were used as reference genes for human and mouse samples, respectively.

## Western blot

Samples were lysed in RIPA buffer (Cell Signaling) supplemented with protease inhibitors (Roche Diagnostics) and phosphatase inhibitor cocktail (EMD Biosciences). Sources of antibodies were as follows: ubiquitin and  $\alpha$ -SMA, Abcam; FAS and collagen 1, Thermo Scientific; MKP1 and FOXM1, Millipore; and Cyc B1, P38, phosphoP38, Akt, pAkt, PARP, and GAPDH-HRP conjugate, Cell Signaling Technologies. All antibodies were used at a dilution of 1:1,000 except GAPDH-HRP which was used at a dilution of 1:3000. Protein quantification was performed using ImageJ software.

## Cell viability assay

Cytotoxicity of BTZ in CCL-210 cells was assessed using a luciferase-coupled ATP quantitation assay (CellTiter-Glo from Promega). Briefly, cells were seeded in a 96-well plate at a density of  $5 \times 10^3$  cells/well in complete medium overnight. Cells were then

treated with different doses of BTZ (4 - 512 nM). After 72 h, culture medium was removed, washed with PBS, and 100  $\mu$ L of CellTiter-Glo reagent was added to each well and incubated for 30 min at room temperature. Luminescence intensity was measured at 450 nm on a Tecan infinity 200 PRO.

### **Fib proliferation**

Proliferation studies were performed using the CyQUANT NF Cell Proliferation Assay Kit (Life Technologies). Briefly, Fibs were plated at  $5 \times 10^3$  cells/well in a 96-well plate, allowed to adhere overnight (16 h) and then shifted to serum-free medium for 24 h. Cells were then treated with BTZ (10 nM) for 30 min and after a change of medium, stimulated with FGF-2 at 50 ng/ml in serum-free DMEM for 72 h at 37°C. After removing the medium, 100  $\mu$ L of 1X Hank's Balanced Salt Solution containing CyQuant NF dye was added to each well and incubated at 37°C for 45 min. Fluorescence was measured using a fluorescence microplate reader with excitation at 485 nm and emission at 530 nm.

### **Fib differentiation**

Fibs were incubated with TGF- $\beta$  at 2 ng/ml for 48 h to differentiate them into myofibroblasts. Differentiation was confirmed by determining expression of  $\alpha$ -SMA and COL1 $\alpha$ 2 (by qPCR and Western blot).



152

## 153 **Apoptosis**

154 Apoptosis in Fibs, MyoFibs, and IPF Fibs was evaluated at baseline and after  
155 stimulating with anti-FAS antibody. Apoptosis was determined by measuring i) cell-  
156 surface expression of phosphatidylserine using annexin V–FITC staining as determined  
157 by flow cytometry, ii) caspase 3/7 activity, and iii) expression of pro-survival and pro-  
158 apoptotic genes and of the death receptor FAS.

159

## 160 **cAMP ELISA**

161 cAMP levels in cell lysates were determined using an ELISA kit (Enzo Life Sciences)  
162 according to the manufacturer's protocol. Briefly, cells were treated with 10 nM BTZ as  
163 detailed under the Results section and lysed by incubating with 0.1M HCl for 20 min.  
164 Lysates were then centrifuged at 1500 x *g* for 10 min, and cAMP levels measured in the  
165 supernatant.

## 166 **PGE<sub>2</sub> ELISA**

167 Supernatants from CCL-210 cells treated with or without 10 nM BTZ were harvested at  
168 various timepoints. Samples were then centrifuged at 1500 x *g* for 10 min and the levels  
169 of PGE<sub>2</sub> were quantified from the cell-free culture supernatants by a PGE<sub>2</sub> ELISA kit  
170 (Enzo Life Sciences) according to the manufacturer's instructions.

171

## 172 **Bleomycin model of pulmonary fibrosis**

173 Studies were approved by the University of Michigan Committee on Use and Care of  
 174 Animals. 6-8 week old female C57BL/6 mice (Charles River Laboratories) received a  
 175 single oropharyngeal dose of 1.5 units/kg of bleomycin or an equal volume of saline.  
 176 BTZ was administered i.p. at 0.1 or 0.25 mg/kg beginning at day 9 post-bleomycin and  
 177 every 3 days thereafter. Mice were sacrificed on day 21 and lungs were harvested to  
 178 study fibrotic end points. The left lung was analyzed for hydroxyproline content as  
 179 described previously, while the right lung lobes were assessed for the expression of  
 180 fibrotic marker genes (*Col1a1*, *Ctgf* and *TGF- $\beta$ 1*), Masson's trichrome staining, and 20S  
 181 proteasome activity.

182

## 183 **20S proteasome activity assay**

184 CCL-210 cells were seeded in 60 mm petri dishes at a density of  $5 \times 10^5$  cells/well in  
 185 complete medium. After 24 h, cells were treated with different doses of BTZ or an equal  
 186 volume of DMSO, as depicted in the experimental layout shown in Figure 2A. Samples  
 187 were harvested at designated time points, washed with 20S Proteasome Assay buffer  
 188 and lysed with 20S Proteasome Lysis Buffer (both from Cayman Chemicals) and frozen  
 189 at -80°C until assay. Samples were thawed on ice and incubated at room  
 190 temperature for 30 min on an orbital shaker. To determine the proteasome activity in  
 191 mouse lungs, lung tissue was homogenized, and samples frozen at -80°C until assay.

Samples were thawed on ice prior to assay. Sample lysates generated from *in vitro* Fib cultures or lung tissue harvested following *in vivo* experiments were centrifuged (1500 x g for 10 min at 4°C) and 90  $\mu$ l of clear supernatant from each sample was transferred into each well of a 96-well black plate and proteasome activity was measured using Suc-LLVY-AMC substrate (Millipore) according to the manufacturer's instructions.

### **CRISPR/Cas9-mediated knockdown**

MRC5 lung Fibs were used for guide RNA-based DUSP1 knockdown. Guide RNA against DUSP1 (sgDUSP1) or non-targeting control (sgCont) and Cas9 2NLS nuclease were purchased from Synthego. We mixed sgRNAs and Cas9 at a ratio of 1.3:1 in Opti-MEM (Invitrogen) according to the manufacturer's instructions to prepare ribonucleoprotein complexes. We then added Lipofectamine CRISPRMAX in Opti-MEM to generate a transfection mix, incubated for 15 min at room temperature and then added to the cells. Cells were cultured for 48 h to achieve *DUSP1* KD.

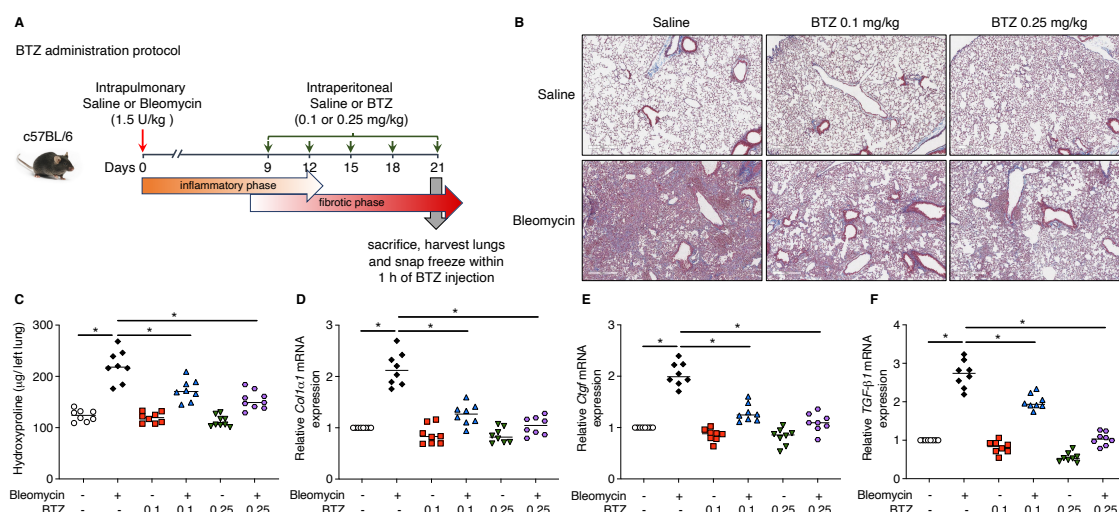
### **Statistics**

Unless specified otherwise, all data were from a minimum of 3 independent experiments. Data were reported as mean  $\pm$  s.e.m. Group differences were compared using the unpaired two-sided Student's *t*-test or two-way ANNOVA with post hoc Tukey's correction for multiple comparisons, as appropriate. A *P* value < 0.05 was considered statistically significant.

# Results

## BTZ attenuates bleomycin-induced lung fibrosis in mice

BTZ was administered at 0.1 and 0.25 mg/kg i.p. every third day beginning at day 9 post-bleomycin, and lungs were harvested on day 21 and samples were snap-frozen in liquid nitrogen within 1 h after the last BTZ injection (**Figure 1A**) for biochemical studies. As expected, bleomycin challenge resulted in collapse of alveoli with marked deposition of interstitial collagen as revealed by trichrome staining demonstrated in both low-magnification images (**Supplementary Figure 1**) and high-magnification images (**Figure 1B**). The increased collagen deposition was confirmed biochemically by increased levels of hydroxyproline (**Figure 1C**). Expression of fibrotic marker genes *Ctgf*, *Col1 $\alpha$ 1*, and *Tgf- $\beta$ 1* (**Figures 1D-F**) was also increased. In the absence of bleomycin, neither dose of BTZ alone had any impact on any of the endpoints examined. However, both doses of BTZ significantly and substantially reduced all of the fibrotic endpoints, with the dose of 0.1 mg/kg achieving a near-maximal effect (**Supplemental Figure 1** and **Figures 1B-F**).



# **Figure 1. BTZ administration improves bleomycin-induced fibrosis in mice.**

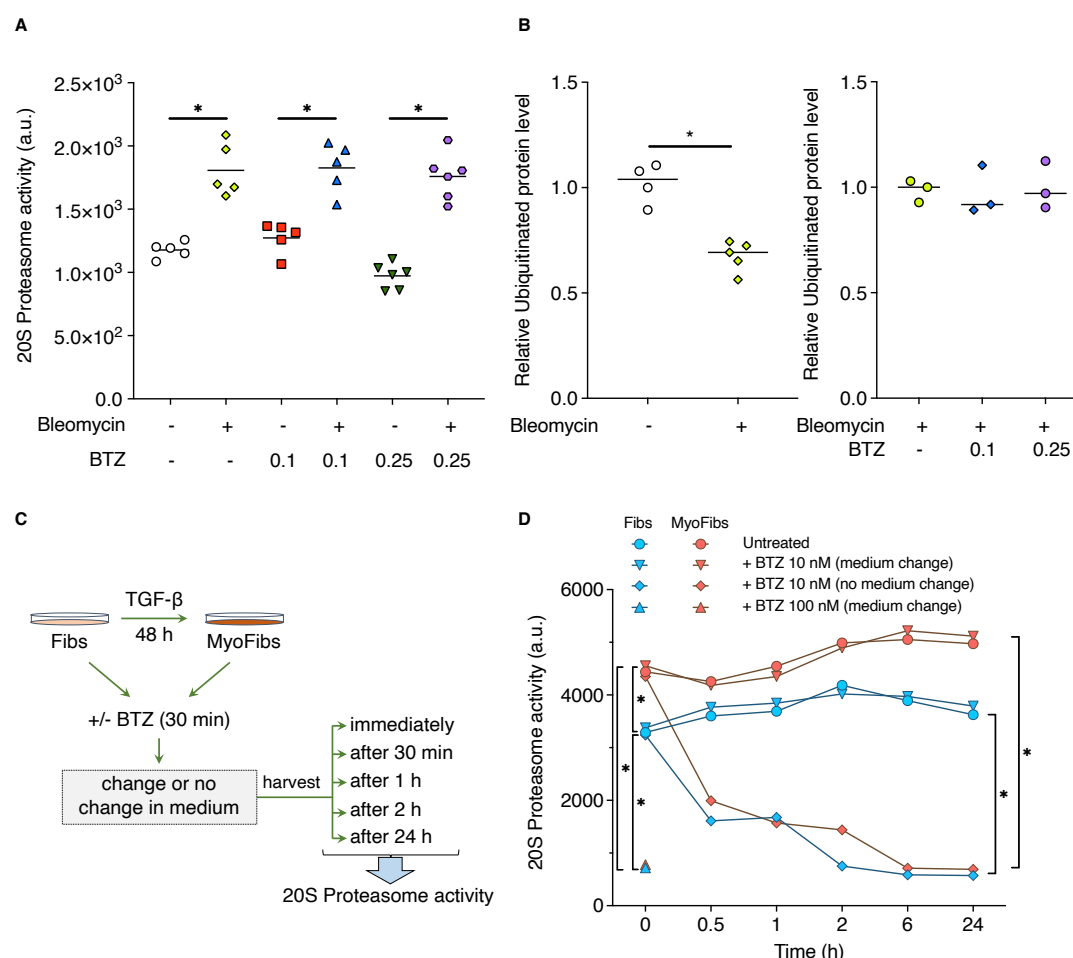
**(A)** Scheme illustrating the timelines for *in vivo* administration of bleomycin and BTZ, for determination of experimental endpoints at day 21, and for the pertinent phases of the pulmonary response in the bleomycin model of pulmonary fibrosis. **(B)** Digital images of Masson's trichrome staining for collagen deposition (blue) at day 21 in mice treated  $\pm$  bleomycin and BTZ. Original magnification,  $\times 200$ . Scale bars: 500  $\mu\text{m}$ . **(C-F)** Effect of BTZ treatment in mice treated  $\pm$  bleomycin as reflected by changes in lung hydroxyproline content **(C)** and the mRNA expression of fibrotic markers *Col1 $\alpha$ 1*, *Ctgf*, and *Tgf- $\beta$ 1* **(D-F)**; in **(C-F)**, each symbol represents an individual mouse and horizontal lines represent mean values. Values in each group represent results from two pooled independent experiments with a total of 8-9 mice per group.  $*P < 0.05$ ; two-way ANOVA.

## **The anti-fibrotic actions of BTZ are independent of proteasome inhibition**

To evaluate proteasome activity in the lung tissues harvested at day 21, we utilized snap-frozen lung tissue prepared within 1 h after the last BTZ or saline injection. We chose this 1 h interval based on pharmacokinetic data demonstrating the transient nature of enzymatic inhibition by BTZ, with ~ 75% inhibition observed at 1 h after dosing (19-21). Prior studies revealed that BTZ selectively inhibits the chymotrypsin-like peptidase activity of the  $\beta 5$  subunit of the 20S proteasome catalytic core (22-24). Therefore, we chose to evaluate lung tissue proteasome activity using a commercially available 20S proteasome assay kit sensitive to chymotrypsin activity. Consistent with prior findings (5, 6), fibrotic lungs following bleomycin challenge exhibited increased proteasome activity as compared to saline-challenged controls. However, treatment with BTZ at either dose had no effect on proteasome activity in the lungs of either bleomycin- or saline-challenged mice (**Figure 2A**). Proteasome inhibition is anticipated to lead to increased accumulation of ubiquitinated proteins, but no such increase was observed by immunoblotting with an anti-ubiquitin antibody in lungs from mice treated with BTZ at either dose in the lungs of bleomycin-challenged mice (**Figure 2B**, right and **Supplementary Figure 2B**). However, the significant reduction in global ubiquitinated protein content in lungs from bleomycin-treated mice as compared to control mice (**Figure 2B**, left) corroborates the increased proteasomal activity observed in the former group (**Figure 2A** and **Supplementary Figure 2A**). Thus, our data disassociate the anti-fibrotic actions of BTZ seen in **Figure 1** from its inhibition of proteasome function.

A number of reports of BTZ actions in Fibs employed doses of 10 nM-1  $\mu$ M along with continuous exposure for prolonged time intervals of 24-48 h (16, 17, 25). To define conditions in Fibs (or MyoFibs established by 48 h pretreatment with TGF- $\beta$ ) in which BTZ does and does not inhibit the proteasome, we examined a range of doses and also took advantage of the reversibility of its known proteasome inhibitory actions. This entailed measuring 20S proteasome activity at various time points in lysates of Fibs or MyoFibs treated either continuously or for only 30 min with BTZ before replacing the culture medium, as illustrated in **Figure 2C**. Consistent with an increased proteasome activity observed in lung from bleomycin-treated mice (**Figure 2A**), MyoFibs elicited *in vitro* by TGF- $\beta$  treatment likewise showed a significant increase in proteasome activity as compared to that of Fibs (**Figure 2D**). We observed substantial inhibition of proteasome activity at 30 min with a BTZ concentration of 100 nM, and a time-dependent inhibition with 10 nM BTZ in cells exposed without a medium change. In contrast, when incubated with cells at 10 nM for only 30 min before washing and medium change, BTZ exerted no effect on proteasome activity at time points ranging from 30 min to 24 h. Notably, the effects of BTZ on proteasome activity were qualitatively the same in Fibs and MyoFibs. In separate studies we evaluated the effects of a 30-min treatment with BTZ on Fib cytotoxicity and found that BTZ concentrations <64 nM had no effect on Fib viability whereas those  $\geq$ 64 nM reduced viability in a concentration-dependent manner (**Supplementary Figure 3**). We therefore chose a 30-min exposure with 10 nM BTZ and subsequent medium change to obtain conditions that neither inhibited the proteasome nor elicited cytotoxicity – thereby mimicking the BTZ

286 effects observed in lung tissue *in vivo* – in order to assess various Fib activation  
287 properties *in vitro*.



288

289 **Figure 2. Influence of BTZ on proteasome activity *in vivo* and *in vitro*.**

290 (A) Lung tissues from *in vivo* groups in Figure 1 were assessed for proteasome activity

291 via 20S proteasome activity assay 1 h after harvest. (B) Densitometric analysis of

292 ubiquitinated protein bands in lung tissue harvested at day 21 from mice treated with

293 saline or bleomycin (left) or bleomycin alone or bleomycin followed by treatment with

294 BTZ 0.1 mg/kg or 0.25 mg/kg (right); total density of the lane for each mouse is

295 expressed relative to the density of the GAPDH band for that lane. (C) Design of

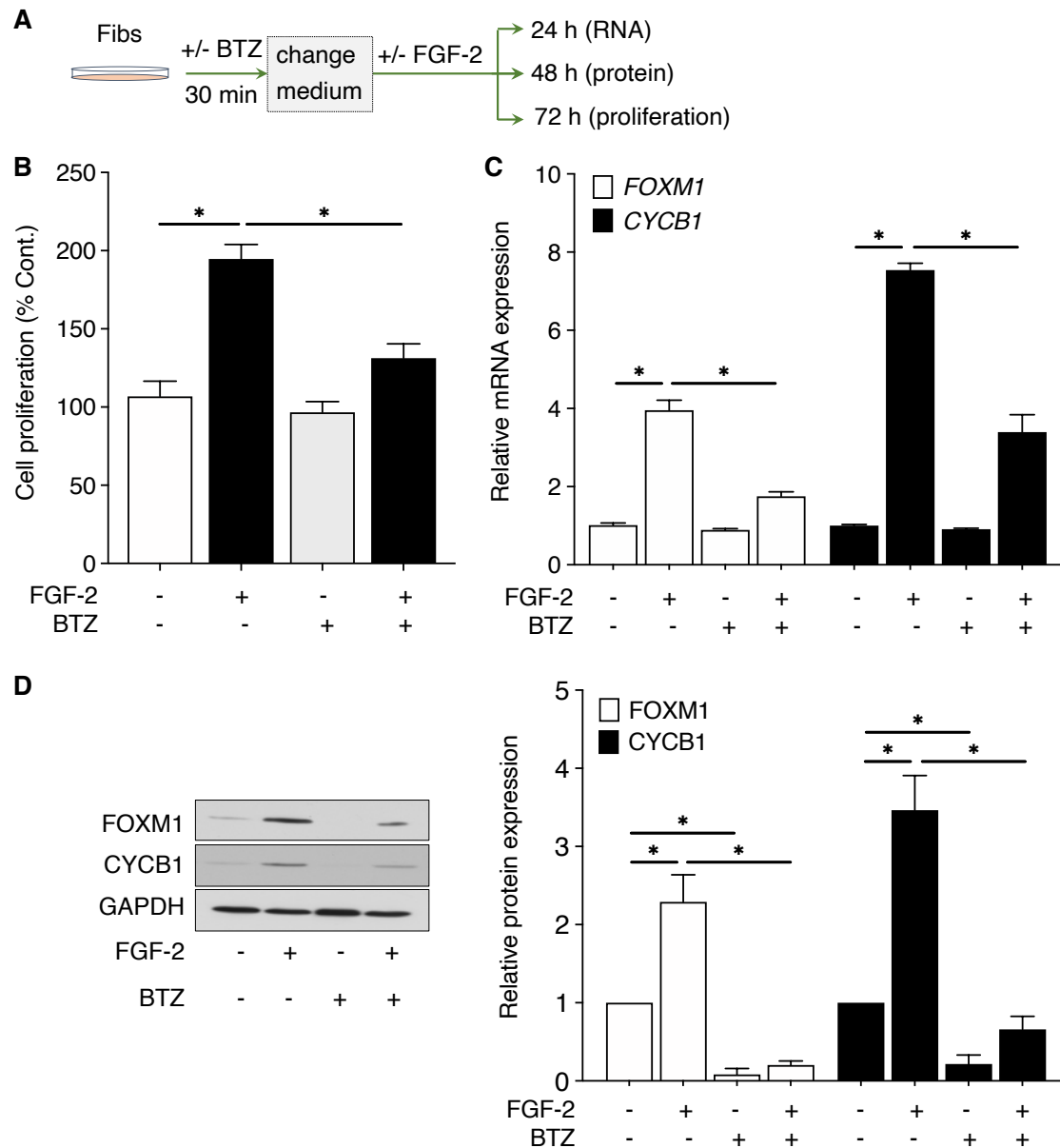


296 experiments to assess effect of BTZ dose and incubation protocol on proteasome  
 297 activity in Fibs and in MyoFibs. **(D)** Fibs and MyoFibs were treated with BTZ at 10 or  
 298 100 nM and medium was either changed at 30 min or not changed, and 20S  
 299 proteasome activity was assessed immediately or at 30 min, 1 h, 2 h, 6 h, or 24 h later.  
 300 In **(A)**, each symbol represents an individual mouse and horizontal lines represent mean  
 301 values. Each symbol in **(B)** represents individual mice with mean values. Values in **(D)**  
 302 represent mean values ( $\pm$  S.E.) from 3 independent experiments. For **(A)** and **(D)**,  $*P <$   
 303 0.05; two-way ANOVA and for **(B)**  $**P < 0.01$ ; Student's t test, unpaired.

304

## BTZ inhibits FGF-2-induced Fib proliferation

We used these conditions to assess the effects of BTZ on cell proliferation and expression of relevant cell cycle-related transcripts and proteins in Fibs treated  $\pm$  the mitogen FGF-2 (**Figure 3A**). Consistent with our prior studies (5), stimulation with FGF-2 increased cell proliferation (**Figure 3B**), as well as mRNA and protein expression of the key proliferation-regulatory transcription factor FOXM1 and the FOXM1-dependent cell cycle gene *CYCB1*. All of these parameters were significantly inhibited by pretreatment with BTZ (**Figure 3C-3D**).



**Figure 3. BTZ inhibits FGF-2-induced Fib proliferation.**

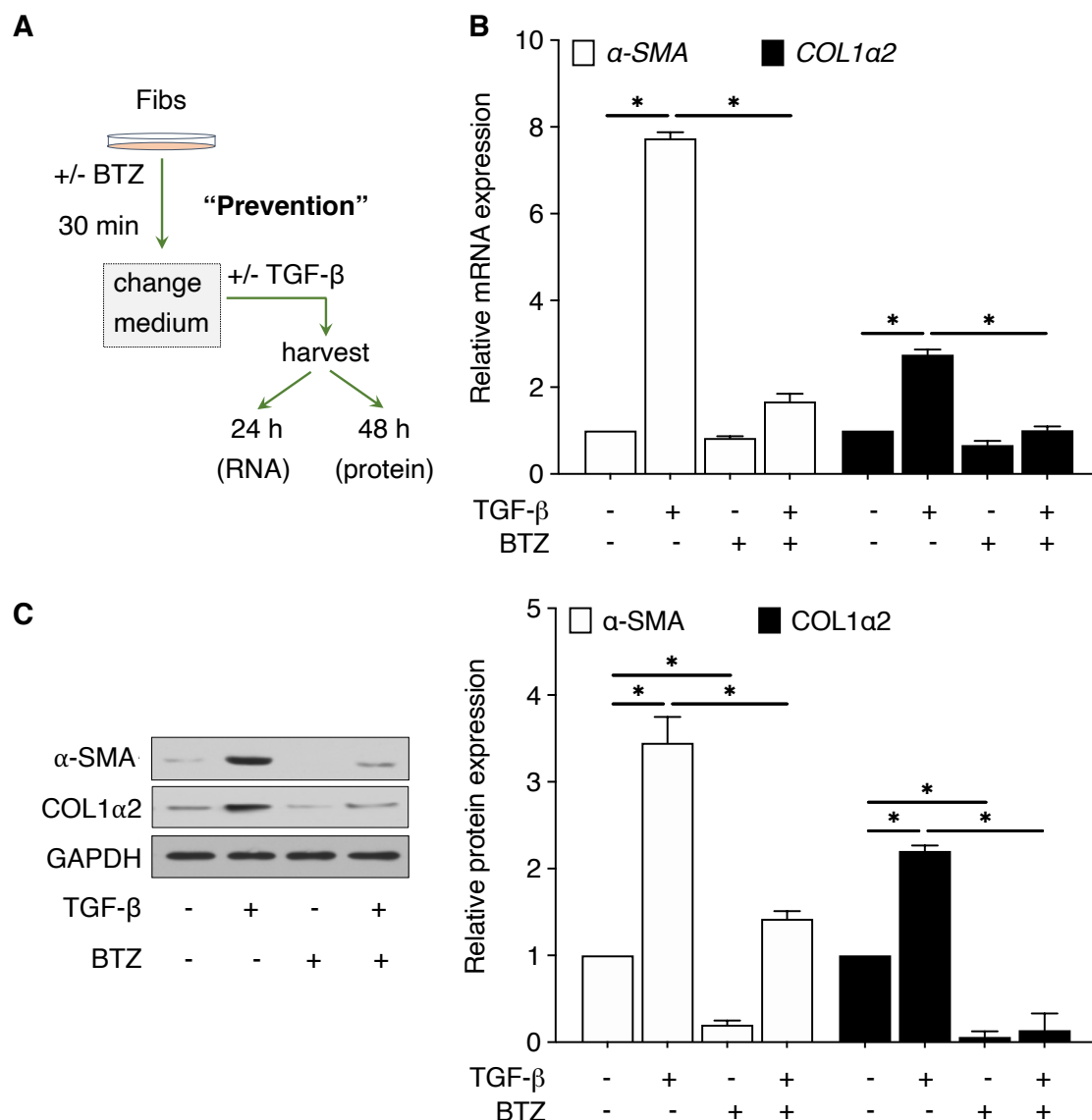
(A) Design of experiments to evaluate BTZ effects on FGF-2-induced Fib proliferation endpoints depicted in (B-D). (B) Cells were pretreated +/- BTZ (10 nM) for 30 min, after which the medium was replaced, and they were stimulated +/- FGF-2. Cells were harvested and proliferation was quantified at 72 h. Control value represents fluorescence of DMSO-treated Fibs. (C-D) Cells were pretreated +/- BTZ (10 nM) for 30

320 min, after which the medium was replaced, and they were stimulated +/- FGF-2. Cells  
 321 were harvested and assessed for the expression of proliferation-associated genes  
 322 *FOXM1* and *CYCB1* at 24 h (**C**), and for the expression of FOXM1 and CYCB1 proteins  
 323 by Western blot at 48 h (**D**); left panel, representative blot; right panel, mean  
 324 densitometric analysis of blots from 3 experiments. All data represent mean values ( $\pm$   
 325 S.E.) from 3 independent experiments. \*P < 0.05, two-way ANOVA.

326

# BTZ prevents TGF- $\beta$ -induced Fib differentiation

We assessed whether BTZ could prevent differentiation of Fibs into MyoFibs elicited by treatment with TGF- $\beta$  (**Figure 4A**). Stimulation with TGF- $\beta$  resulted in increased mRNA and protein expression of  $\alpha$ -SMA and Col1 $\alpha$ 2 (**Figure 4B-4C**), characteristic phenotypic features of MyoFibs. Pretreatment with BTZ significantly and markedly reduced both baseline as well as TGF- $\beta$ -stimulated expression of  $\alpha$ -SMA and Col1 $\alpha$ 2 at both mRNA and protein levels (**Figure 4B-4C**).

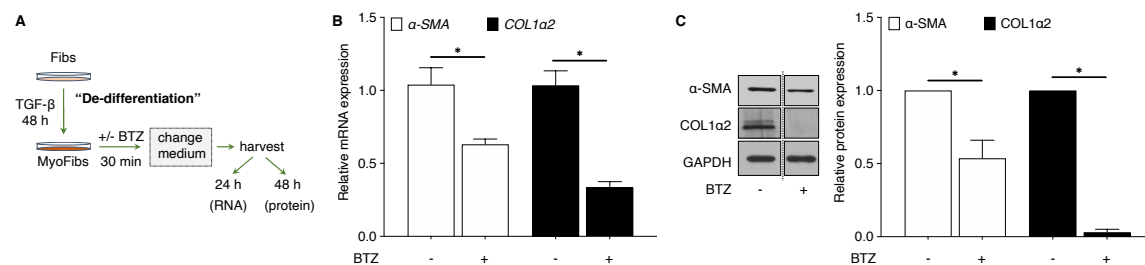


# **Figure 4. BTZ prevents TGF- $\beta$ -induced Fib differentiation.**

**(A)** Design of experiments to assess the capacity of BTZ to attenuate Fib differentiation using a “prevention protocol”. **(B-C)** Fibs were treated +/- BTZ (10 nM) for 30 min, after which the medium was replaced, and cells stimulated +/- TGF- $\beta$ . Cells were harvested and assessed for the expression of differentiation-associated genes  $\alpha$ -SMA and COL1a2 mRNA by qPCR at 24 h **(B)** and their proteins by Western blot at 48 h **(C)**. In **(C)**, the left panel depicts a representative blot, and the right panel provides densitometric analysis of blots from 3 experiments. All data represent mean values ( $\pm$  S.E.) from 3 independent experiments. \*P < 0.05, 2-way ANOVA.

# BTZ promotes de-differentiation of elicited MyoFibs and IPF Fibs

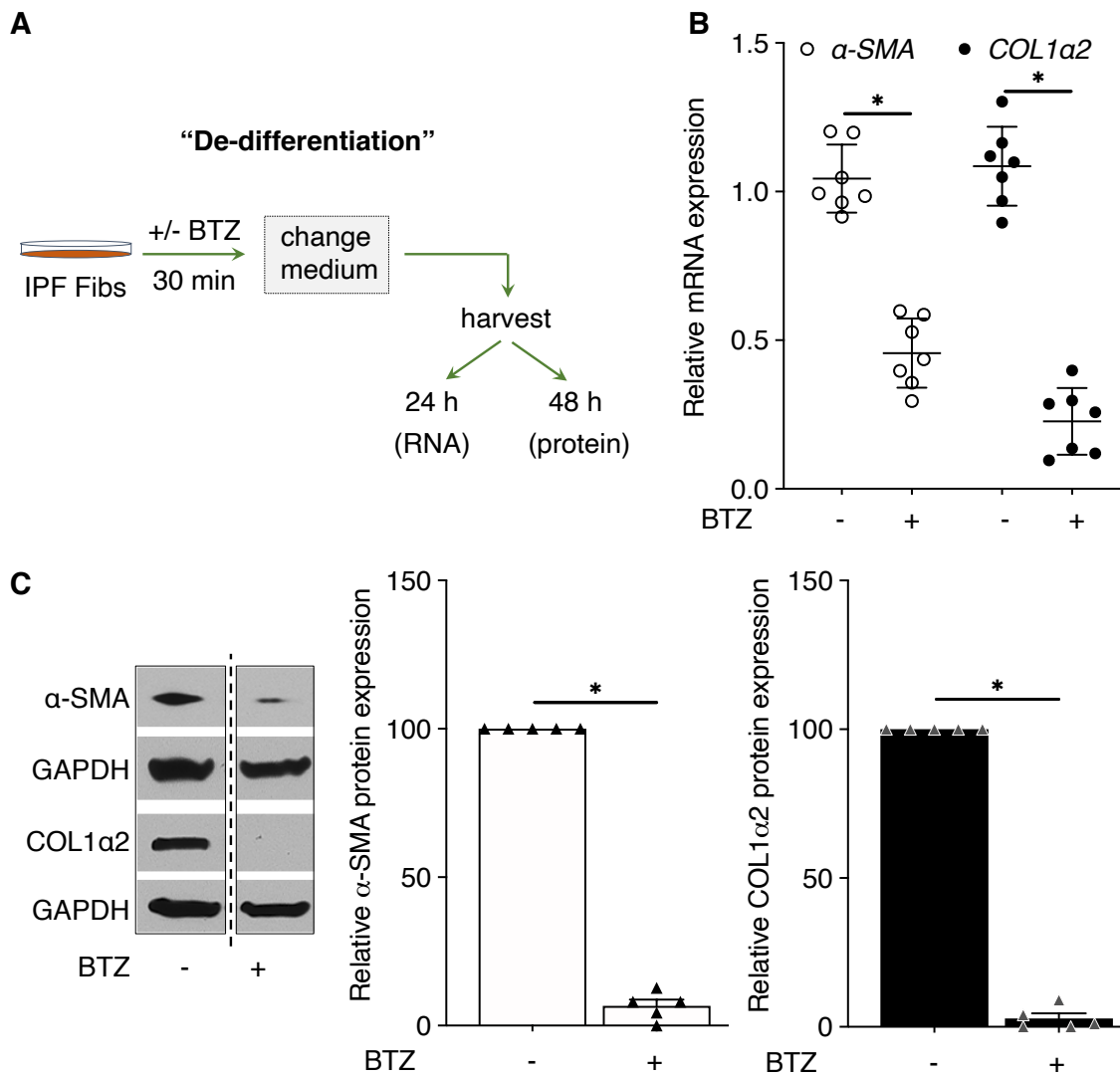
We investigated the capacity of BTZ to reduce  $\alpha$ -SMA and Col1a2 expression in MyoFibs already established by 48 h pretreatment with TGF- $\beta$  – a phenomenon termed “de-differentiation” (**Figure 5A**). BTZ significantly decreased expression of  $\alpha$ -SMA and Col1a2 at the mRNA and protein levels (**Figure 5B** and **5C**). The basal expression levels of  $\alpha$ -SMA are significantly higher in Fibs from patients with IPF than in non-fibrotic control Fibs (5), reflecting a baseline degree of MyoFib differentiation. BTZ treatment in IPF lines is illustrated in **Figure 6A**. Treatment of IPF Fib lines with BTZ similarly reduced baseline expression of  $\alpha$ -SMA and Col1a2 mRNA (**Figure 6B**) and protein (**Figure 6C**), reflecting active de-differentiation.



**Figure 5. BTZ de-differentiates established TGF- $\beta$ -elicited MyoFibs.**

(A) Design of experiments to assess the capacity of BTZ to reduce MyoFib phenotype in a “de-differentiation protocol”. (B-C) Fibs treated for 48 h with TGF- $\beta$  to elicit differentiation into MyoFibs were then treated +/- BTZ (10 nM) for 30 min, after which the medium was changed. Cells were harvested and assessed for the expression of  $\alpha$ -SMA and COL1a2 mRNA by qPCR at 24 h (B) and protein by Western blot at 48 h (C). In (C), the left panel presents a representative blot and the right panel provides mean densitometric values ( $\pm$ S.E.) of Western blots from 3 independent experiments. For (B)

and (C), GAPDH mRNA and protein were used to normalize  $\alpha$ -SMA and COL1 $\alpha$ 2 expression by qPCR and Western blot, respectively. All data represent mean values ( $\pm$  S.E.) from 3 independent experiments. \*P < 0.05, two-way ANOVA.



**Figure 6. BTZ de-differentiates IPF Fibs.**

(A) Design of experiments to assess BTZ effects on IPF Fibs using a combination “de-differentiation” and “prevention” protocol. (B-C) IPF Fibs were treated +/- BTZ (10 nM) for 30 min, after which the medium was replaced, and they were stimulated +/- TGF- $\beta$ .

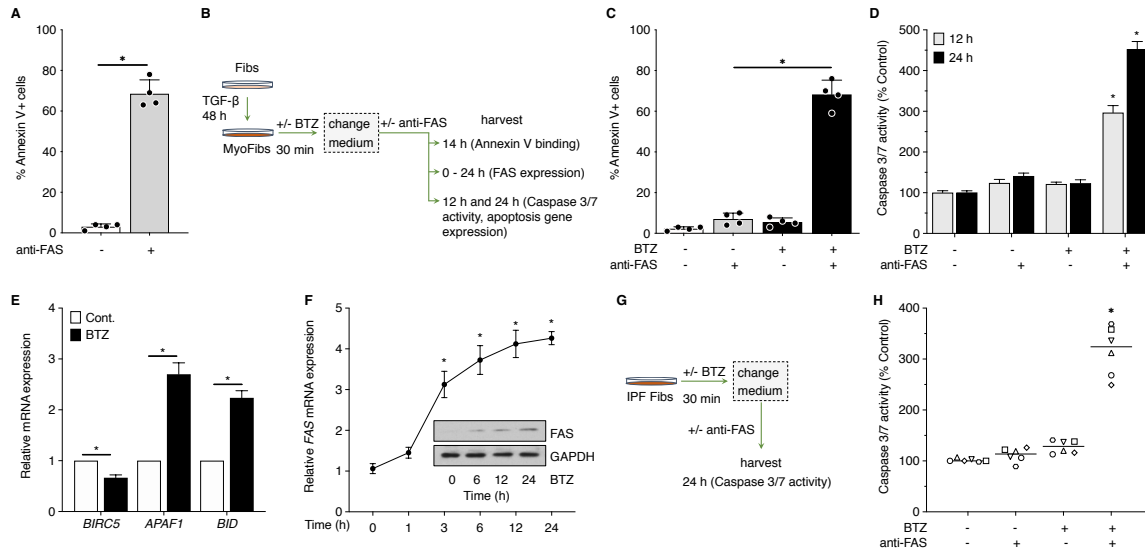


373 Cells were harvested and analyzed for the expression of  $\alpha$ -SMA and COL1 $\alpha$ 2 mRNA by  
374 qPCR at 24 h (**B**) and protein by Western blot at 48 h (**C**). For (**B**) and (**C**), GAPDH  
375 mRNA and protein were used to normalize  $\alpha$ -SMA and COL1 $\alpha$ 2 expression by qPCR  
376 and Western blot, respectively. In (**C**), the left panel presents a representative blot and  
377 the middle and right panels depict mean densitometric analysis of  $\alpha$ -SMA and COL1 $\alpha$ 2  
378 from Western blots from 3 experiments; the dashed line in the left panel indicates that  
379 the lanes were from the same blot but non-contiguous. All data represent mean values  
380 ( $\pm$  S.E.) from 3 independent experiments. \*P < 0.05, two-way ANOVA.

381

## **BTZ sensitizes MyoFibs and IPF Fibs to FAS-mediated apoptosis**

Increased resistance to apoptosis, as compared to that of Fibs, is a pathophysiologically relevant hallmark of MyoFibs (3, 26). We sought to determine if the de-differentiation of MyoFibs achieved by BTZ treatment sensitized them to apoptosis. **Figure 7A** demonstrates the expected susceptibility of Fibs to apoptosis, assessed by measuring Annexin V binding in response to a 14-h incubation with an antibody (anti-FAS) that activates the death receptor FAS. By contrast, TGF- $\beta$ -elicited MyoFibs were highly resistant to FAS-mediated apoptosis (**Figure 7C**). However, treatment of these MyoFibs with BTZ using the de-differentiation protocol depicted in **Figure 5A** prior to addition of anti-FAS (**Figure 7B**) rendered them highly susceptible to FAS-mediated apoptosis (**Figure 7C**). The ability of BTZ to promote FAS-mediated apoptosis in MyoFibs was also confirmed independently by caspase 3/7 activity (**Figure 7D**). Mechanistically, this restoration of apoptosis susceptibility by BTZ was associated with a reduction in the expression of the pro-survival *BIRC5*, an increase in pro-apoptotic genes *APAF1* and *BID* (**Figure 7E**), and an increase in the mRNA and protein levels of FAS itself (**Figure 7F**). These data show that de-differentiation of MyoFibs elicited by BTZ markedly enhances their susceptibility to apoptosis via multiple molecular mechanisms. Next, as illustrated in **Figure 7G**, we determined caspase 3/7 activity on IPF Fibs treated with BTZ to determine its effect on apoptosis. As it did for TGF- $\beta$ -elicited MyoFibs, BTZ also sensitized otherwise resistant IPF Fibs to apoptosis elicited by anti-FAS (**Figure 7H**). Collectively, our findings demonstrate that BTZ strongly sensitizes Fibs and MyoFibs to FAS-mediated apoptosis.



**Figure 7. BTZ sensitizes established MyoFibs to FAS-mediated apoptosis.**

(A) Fibs were treated with activating anti-FAS antibody at 100 ng/ml for 14 h. Cells were harvested, phosphatidylserine on early apoptotic cells was detected by Pacific Blue-Annexin-V staining, and the percentage of apoptotic cells was quantified by flow cytometry. (B) Design of experiments to assess BTZ effect on TGF- $\beta$ -elicited MyoFib apoptosis. (C-F) TGF- $\beta$ -elicited MyoFibs were treated +/- BTZ (10 nM) for 30 min, after which the medium was changed, and they were then stimulated +/- anti-FAS antibody as in (A). Cells were harvested and apoptotic cells quantified either by flow cytometric analysis of phosphatidylserine staining at 14 h (C) or by caspase 3/7 activity assay (D). (E) Effect of BTZ on expression of the pro- and anti-apoptotic genes *BIRC5*, *APAF1*, and *BID* measured by qPCR at 24 h. (F) Effect of BTZ on expression of FAS mRNA by qPCR and FAS protein analysis by Western blot at the time points indicated. (G) Design of experiments to assess BTZ effect on IPF Fib apoptosis sensitivity. (H) IPF Fibs (n = 6) were treated +/- BTZ (10 nM) for 30 min, after which the medium was changed, and stimulated +/- anti-FAS antibody. Cells were harvested at 24 h and apoptosis was

420 quantified by caspase 3/7 activity. In (**E-F**), GAPDH mRNA and protein were used to  
421 normalize apoptosis genes or FAS expression by qPCR and Western blot, respectively.  
422 All data represent mean values ( $\pm$  S.E.) from 3 independent experiments. \*P < 0.05,  
423 two-way ANOVA.  
424

## **Inhibition of Fib activation by BTZ is independent of prostaglandin production or signaling**

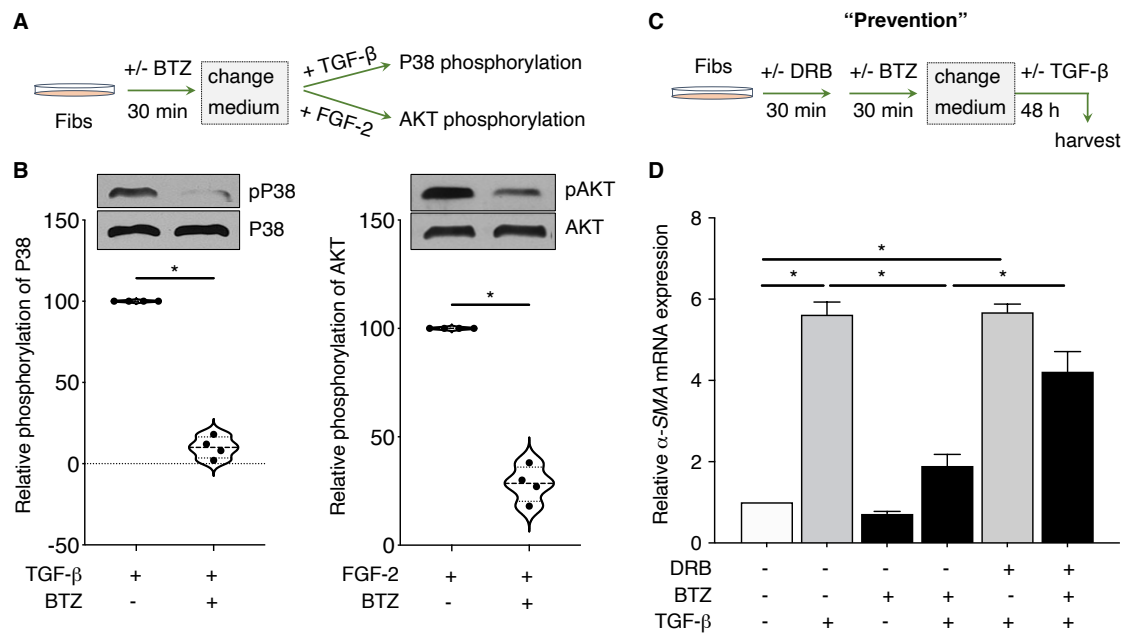
The pleiotropic inhibitory actions of BTZ displayed in **Figures 3-7** – including prevention of Fib proliferation and MyoFib differentiation, de-differentiation of established MyoFibs, and sensitization to apoptosis – are strikingly reminiscent of effects previously reported for the endogenous lipid mediator prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) by our laboratory and others (27-30). As shown in **Supplementary Figure 4A**, we considered the possibility that BTZ might mediate these diverse actions by promoting PGE<sub>2</sub> synthesis or its signaling via the intracellular second messenger cyclic AMP (cAMP). First, we examined the kinetics of mRNA expression of cyclooxygenase-2 (COX-2), a pivotal enzyme in PGE<sub>2</sub> biosynthesis, in Fibs treated  $\pm$  BTZ. BTZ had no effect on COX-2 gene expression, whereas PGE<sub>2</sub>, which is known to induce COX-2 expression in a positive feedback loop, elicited robust induction of COX-2 (**Supplementary Figure 4B**). Next, we utilized ELISA to directly measure the amounts of PGE<sub>2</sub> produced and secreted into the conditioned medium over a 24 h incubation  $\pm$  BTZ. Again, BTZ failed to meaningfully increase Fib PGE<sub>2</sub> generation, in contrast to the direct adenylyl cyclase activator forskolin, which did so to a marked extent (**Supplementary Figure 4C**). The Fib-inhibitory actions of PGE<sub>2</sub> are mediated by increases in intracellular cAMP (29, 31), so we next considered the possibility that BTZ might amplify intracellular cAMP levels independent of increases in PGE<sub>2</sub> generation; however, as shown in **Supplemental Figure 4D**, while forskolin increased cAMP levels as expected, BTZ had no such effect. Together, these data

446    argue that increased PGE<sub>2</sub> synthesis or cAMP signaling is unlikely to underlie the Fib-  
447    inhibitory actions of BTZ.

448

## **BTZ inhibits key kinases activated by TGF- $\beta$ and FGF-2**

We sought to define a proteasome-independent mechanism to explain the multidimensional deactivation of Fib functions by BTZ. Phosphorylation and concomitant activation of P38 and AKT, respectively, have been implicated in TGF- $\beta$ -mediated differentiation (29) and FGF-2-mediated proliferation (5) of lung Fibs. We therefore sought to evaluate the effect of BTZ treatment on the phosphorylation status of these key kinases. To accomplish this, Fibs were treated  $\pm$  BTZ for 30 min, after which cultures were replaced with fresh medium and stimulated with TGF- $\beta$  or FGF-2 and harvested after 30 min (**Figure 8A**). BTZ pretreatment significantly abrogated both the ability of TGF- $\beta$  to increase phosphorylation of P38 (**Figure 8B**, left) and of FGF-2 to increase that of AKT (**Figure 8B**, right). This effect could be explained by inhibition of kinase activation and/or enhancement of phosphatase-mediated dephosphorylation. There is precedent for BTZ increasing *de novo* expression and/or activity of phosphatases (25, 32). To evaluate the importance of gene induction, we pretreated Fibs with 5,6-dichloro-1- $\beta$ -D-ribofuranosylbenzimidazole (DRB), a reversible inhibitor of transcription, and then removed it and changed the medium prior to assessing the ability of BTZ to inhibit MyoFib differentiation (**Figure 8C**). Indeed, the ability of BTZ to attenuate TGF- $\beta$ -induced  $\alpha$ -SMA expression was significantly abrogated by pretreatment with DRB, implying a requirement for new transcription (**Figure 8D**).



**Figure 8. BTZ inhibits key kinases activated by TGF-β and FGF-2.**

(A) Design of experiments to assess BTZ effects on phosphorylation of key kinases activated by TGF-β or FGF-2. (B) Fibs were treated +/- BTZ (10 nM) for 30 min, after which the medium was replaced, and cells stimulated with TGF-β or FGF-2 for 30 min. Cells were harvested and phosphorylation status of P38 by TGF-β and AKT by FGF-2 was assessed by Western blot. Total P38 and AKT proteins were used to normalize phospho-P38 and phospho-AKT, respectively. Graphs represent mean densitometric analysis of phospho-P38 and phospho-AKT Western blots. (C) Design of experiments to assess ability of DRB to prevent BTZ modulation of TGF-β-induced MyoFib differentiation. (D) Cells were treated +/- DRB (25 μM) for 30 min and then treated +/- BTZ (10 nM) for an additional 30 min. Medium was replaced, and cells stimulated with TGF-β to analyze the expression of α-SMA mRNA by qPCR at 48 h. All data represent mean values (± S.E.) from 4 independent experiments. \*P < 0.05, two-way ANOVA.



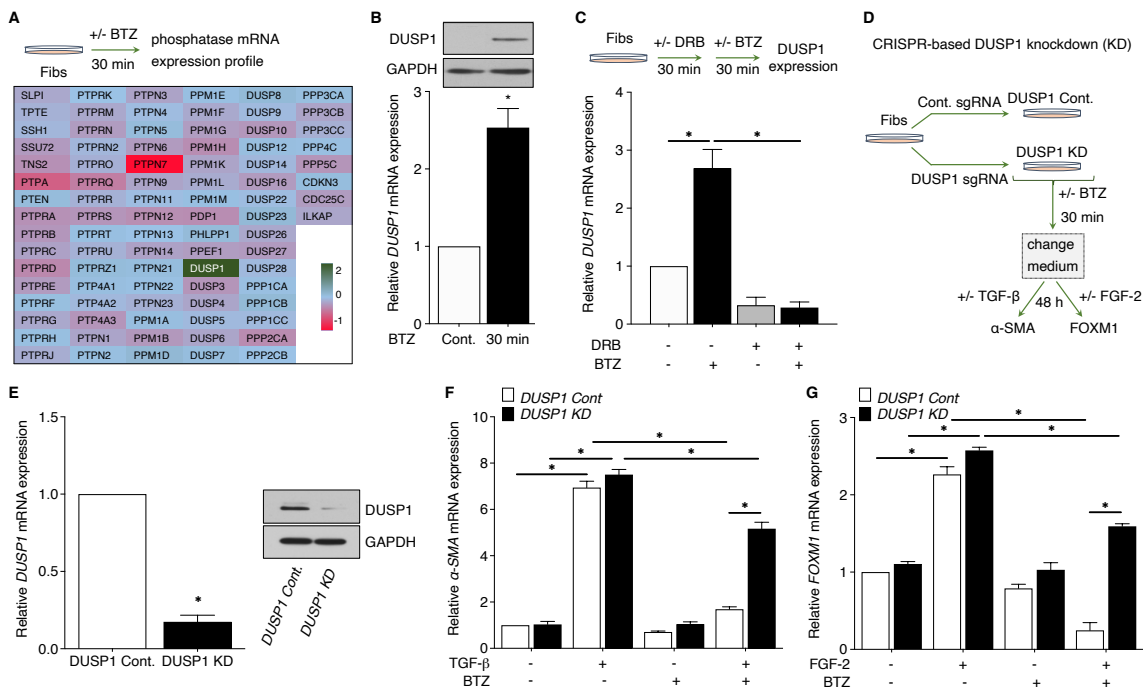
## **Induction of dual-specificity phosphatase DUSP1 is uniquely associated with the inhibitory actions of BTZ on MyoFib differentiation**

A publicly available RNA-seq database for human lung Fibs (33) identified a total of 90 phosphatase genes in these cells. We designed specific primer sets for each of these (see **Supplementary Table 2**). We treated Fibs with BTZ for 30 min and harvested them for phosphatase gene expression studies (**Figure 9A**, top). Of the 90 phosphatases screened by qRT-PCR, dual-specificity phosphatase *DUSP1* was the only one induced by BTZ, at a level of ~2-fold (**Figure 9A**, bottom). The induction of *DUSP1* mRNA was rapid and was paralleled by that of its protein level (**Figure 9B**). Induction of functional DUSP1 protein was thus rapid enough to account for the rapid dephosphorylation depicted in **Figure 8A**. As was true for its ability to abrogate the BTZ inhibition of MyoFib differentiation (**Figure 8D**), the transcription inhibitor DRB likewise abolished the induction by BTZ of DUSP1 itself (**Figure 9C**). Together, these data suggest that DUSP1 is unique among Fib protein phosphatases in being transcriptionally induced by BTZ, and new gene induction was integral to the ability of BTZ to inhibit MyoFib activation.

## **DUSP1 is required for BTZ-induced suppression of Fib activation**

To specifically assess the role of DUSP1 in BTZ inhibition of Fib activation, we utilized CRISP-Cas9 KD of DUSP1 using MRC5 lung Fibs (**Figure 9D**). Using DUSP1 sgRNA, we generated Fibs deficient in DUSP1. Fibs receiving control (or non-targeting) sgRNA were designated as DUSP1 Cont. Fib KD of DUSP1 was confirmed at mRNA and

protein levels (**Figure 9E**). Next, we assessed the impact of DUSP1 KD on the capacity of BTZ to inhibit TGF- $\beta$ - or FGF-2-induced Fib activation. TGF- $\beta$  and FGF-2 increased the expression of  $\alpha$ -SMA and FOXM1, respectively, in DUSP1 Cont Fibs, and these actions were opposed by BTZ treatment (**Figure 9F-G**). These inhibitory actions of BTZ were significantly abrogated in DUSP1 KD Fibs. Collectively, these findings suggest an important role for DUSP1 in BTZ inhibition of Fib activation.



**Figure 9. The anti-fibrotic actions of BTZ require *de novo* induction of DUSP1.**

(A) (Top panel) Design of experiment to assess the expression of phosphatase genes by BTZ. (Bottom panel) Fibs were treated +/- BTZ (10 nM) for 30 min, and cells were harvested immediately to assess the expression of various cellular phosphatase mRNAs by qPCR. (B) Cells were treated +/- BTZ (10 nM) for 30 min, harvested and assessed for the expression of DUSP1 mRNA by qPCR (below) and protein by Western blot (above). (C) (Top panel) Design of experiment to assess the requirement for new

transcription of the induction of DUSP1 by BTZ. (Bottom panel) Cells were treated +/-  
DRB (25  $\mu$ M) for 30 min and then +/- BTZ (10 nM) for additional 30 min; cells were  
harvested immediately to assess the expression of *DUSP1* mRNA by qPCR. **(D)**  
Experimental design illustrating sgRNA-based *DUSP1* KD in MRC5 Fibs and  
assessment of BTZ capacity to attenuate actions of TGF- $\beta$  and FGF-2. **(E)** Efficiency of  
KD of *DUSP1* by mRNA (left) and protein (right) determined by qPCR and Western blot,  
respectively, at 48 h post sgRNA transfection. **(F-G)** *DUSP1* Cont and *DUSP1* KD cells  
were treated +/- BTZ (10 nM) for 30 min, after which the medium was replaced, and  
cells stimulated +/- TGF- $\beta$  **(F)** or +/- FGF-2 **(G)** and harvested at 48 h to analyze the  
expression of  $\alpha$ -SMA or FOXM1 mRNA respectively by qPCR. All data represent mean  
values ( $\pm$  S.E.) from 3 independent experiments. \*P < 0.05, two-way ANOVA.

529

## Discussion

Because tissue fibrosis is characterized by elevated proteasome activity (5, 6) and BTZ is a clinically available proteasome inhibitor (34), the impetus to explore the potential of this agent in animal models of fibrosis is evident (14, 15, 35, 36). However, data in the lung have been limited, with both its safety and efficacy coming into question. Moreover, the relationship between the anti-fibrotic actions of BTZ and proteasomal inhibition has not been explicitly interrogated in any tissue or in Fibs in general.

Mutlu, et. al. reported that BTZ abrogated lung fibrosis at day 21 when dosed at days 7 and 14 post-bleomycin (17). By contrast, Fineschi, et. al. reported a lack of anti-fibrotic action but diminished survival in mice dosed daily with BTZ for the first 15 days after administration of bleomycin at the high dose of 4 units/kg (16). It is possible that both toxicity as well as lack of efficacy in the latter study were the consequence of the early and frequent dosing of BTZ along with the high dose of bleomycin employed. Importantly, neither of these studies examined the effect of BTZ on lung proteasomal activity. Semren et al. (37) studied the effects of oprozomib, a proteasome inhibitor which is an analog of the FDA-approved agent carfilzomib, in bleomycin-induced pulmonary fibrosis. It too was associated with accelerated weight loss and death, while lacking anti-fibrotic efficacy. The authors of this study advised caution regarding the prospects for proteasome inhibitors in the treatment of pulmonary fibrosis. Our first goal, then, was to attempt to resolve the therapeutic uncertainty regarding BTZ. Our protocol of BTZ dosing every three days beginning at day 9 resulted in a marked diminution of all

indices of bleomycin-induced lung fibrosis. In addition, no mortality or histologic evidence of inflammatory cell recruitment or altered lung architecture was attributable to BTZ itself.

Although it has been generally assumed that potential anti-fibrotic actions of BTZ in the lung and other organs *in vivo* are attributable to its proteasomal-inhibitory actions, this assumption has not actually been directly validated. We were therefore surprised to see that the robust abrogation of pulmonary fibrosis in our protocol was dissociated from any demonstrable proteasome inhibition, as determined from both direct measurements of chymotrypsin-like peptidase activity and of global protein ubiquitination in lung tissue. At the same time, however, we are aware of no coherent mechanism ever articulated by which proteasome inhibition was envisioned to explain the anti-fibrotic actions of this agent. It is relevant in this regard to note that PGE<sub>2</sub> and its downstream signaling intermediates cyclic AMP and PKA, which have been amply demonstrated to exert anti-fibrotic effects *in vivo* and *in vitro* (5, 27, 29, 38), have actually been reported to increase proteasomal activity (39-43). Likewise, azithromycin was reported to exert anti-fibrotic actions in lung Fibs *in vitro* and in a bleomycin model *in vivo* while enhancing proteasome activity (44). Both of these reports lend credence to our findings of a dissociation between anti-fibrotic actions and proteasomal inhibition. Recognizing that proteasome-independent actions of BTZ are appreciated (45-48), these unexpected findings prompted us to explore potential anti-fibrotic actions of BTZ in Fibs and in MyoFibs *in vitro* under conditions in which it likewise did not inhibit the proteasome.

574

575 We settled on a regimen involving treatment with 10 nM BTZ for 30 min because it had  
576 negligible impact on cellular proteasome activity determined at time points ranging from  
577 30 min-24 h later and was unassociated with cytotoxicity up to 72 h later. In prior *in vitro*  
578 studies, Mutlu et al (17) and Fineschi et al (16) treated lung Fibs with BTZ at 200 nM  
579 and 1  $\mu$ M, respectively, and employed continuous exposure without a subsequent  
580 change of medium prior to cellular activation endpoint analysis at time points ranging  
581 from 24 h to 48 h. No previous studies in Fibs or MyoFibs have explored such transient  
582 exposures or such low doses as ours, and one wonders if the cytotoxic effects of long-  
583 term continuous BTZ at the doses employed by others may have contributed to its  
584 previously reported *in vitro* suppressive effects. Using this protocol for BTZ treatment,  
585 we carried out the most comprehensive analysis to date of its actions on a variety of  
586 activation phenomena in lung Fibs and MyoFibs.

587

588 We found robust inhibition of mitogen-induced Fib proliferation as well as of activation of  
589 the transcription factor FOXM1 and expression of FOXM1-dependent proliferation-  
590 associated genes. Likewise, BTZ prevented the capacity of TGF- $\beta$  to increase  
591 expression of characteristic MyoFib genes  $\alpha$ -SMA and Col1 $\alpha$ 2. While prevention of  
592 MyoFib differentiation might halt further progression of a fibrotic disorder, reversing  
593 established fibrosis may require clearance of the activated MyoFibs that have already  
594 accumulated. Although MyoFibs were historically considered terminally differentiated  
595 cells, it is now well-recognized that they can be phenotypically de-differentiated –

defined by a loss of  $\alpha$ -SMA – back to or towards undifferentiated Fibs (27, 49, 50). Such de-differentiation has the potential to restore their sensitivity to apoptosis, and it has recently been suggested that MyoFib de-differentiation may be necessary for the resolution of fibrosis (51). We investigated the ability of BTZ to promote de-differentiation in two types of MyoFibs –those generated *in vitro* by differentiation of normal lung Fibs with TGF- $\beta$  and those derived from the lungs of patients with biopsy-proven IPF. High baseline expression of fibrotic markers  $\alpha$ -SMA and COL1 $\alpha$ 2 in these IPF Fibs was confirmed as we reported previously (5, 29). BTZ treatment resulted in robust de-differentiation of both types of MyoFibs. To our knowledge, this is the first demonstration of the *in vitro* de-differentiation potential of BTZ. In our de-differentiation studies, we consistently observed near-complete loss of COL1 $\alpha$ 2 protein to an extent that exceeded the reduction in either *COL1 $\alpha$ 2* mRNA or  $\alpha$ -SMA protein. Under most circumstances, a proteasome inhibitor such as BTZ would be expected to prevent protein degradation. The underlying mechanism for this disproportionate loss of COL1 $\alpha$ 2 protein will require additional investigation in future studies.

It was imperative to determine if the de-differentiation of MyoFibs achieved by BTZ treatment did indeed sensitize cells to apoptosis. Apoptosis resistance in MyoFibs has been associated with an imbalance favoring expression of survival relative to apoptosis genes (52, 53). As expected, Fibs but not TGF- $\beta$ -generated MyoFibs exhibited sensitivity to apoptosis elicited by activation of the classic death receptor FAS. However, de-differentiation of MyoFibs with BTZ sensitized them to a level of FAS-

mediated cell death that was comparable to that exhibited by Fibs. This apoptosis sensitization was associated with decreased expression of the FOXM1-dependent survival gene *BIRC5*, but increased expression of the pro-apoptotic genes *APAF1* and *BID* and of *FAS* itself. BTZ sensitization of MyoFibs to FAS-mediated apoptosis was also recapitulated in IPF MyoFibs, which are notoriously resistant to apoptosis. Thus, our data demonstrate that de-differentiation of MyoFibs by BTZ results in a reprogramming of genes influencing apoptosis in a manner that restores their sensitivity, a phenomenon that might facilitate fibrotic Fibs or MyoFib clearance from fibrotic lungs. It will be critical to explicitly evaluate this possibility *in vivo* in future studies.

Our *in vitro* and *in vivo* data demonstrating that the anti-fibrotic actions of BTZ were independent of proteasome inhibition compelled us to seek to identify alternative mechanisms for these actions. To our knowledge, the possibility of proteasome-independent anti-fibrotic actions of BTZ has not been previously considered or supported. We considered that BTZ might activate the PGE<sub>2</sub>-cAMP axis, which exerts broad anti-fibrotic actions (5, 27, 29, 38), but our experimental data did not support generation of PGE<sub>2</sub> and/or its downstream second messenger cAMP as a consequence of BTZ treatment. We have previously provided evidence that the kinases AKT and P38 play critical signaling roles in the activation of Fibs. The finding that BTZ dephosphorylated and hence inactivated both of these kinases was therefore an attractive clue to its Fib-inhibitory actions. The rapidity with which BTZ caused kinase



dephosphorylation suggested the activation of a phosphatase, and the dependence on new transcription suggested induction of one or more phosphatases. Although BTZ has been shown in other cell types to induce a number of phosphatases (25, 54) – including DUSP1 – remarkably, DUSP1 was the only one of 90 phosphatases known to be expressed in human lung Fibs to be induced at the mRNA level by BTZ. The finding that loss of DUSP1 prevented the inhibitory effects of BTZ on TGF- $\beta$ -induced MyoFib differentiation and FGF-2-induced FOXM1 expression conclusively established that induction of DUSP1 was required for these actions of BTZ. DUSP1 is known to dephosphorylate and thus inhibit activation of both P38 and AKT (55, 56). Although there is some precedent for DUSP1 mediating suppressive effects in mesenchymal cells and acting as a brake on tissue fibrosis (57), to our knowledge, this is the first such demonstration of this phenomenon in lung Fibs. Future work, including the generation of mice with a Fib-specific deletion of DUSP1, will be necessary to validate the role of this Fib phosphatase in limiting pulmonary fibrosis and to better understand its regulation and actions *in vivo*.

In this study, we report for the first time that BTZ abrogates experimental pulmonary fibrosis *in vivo* and diverse indices of lung Fib and MyoFib activation *in vitro* via a proteasome-independent mechanism. Such effects are instead attributable, at least in part, to rapid transcriptional induction of the phosphatase DUSP1, which opposes activation of the key pro-fibrotic kinases P38 and AKT. The ability of BTZ to promote MyoFib de-differentiation would be expected to concomitantly overcome the baseline

662 resistance of these effector cells to apoptosis, which in turn represents a potential  
663 approach to reducing the accumulation of these pathogenic MyoFibs in the fibrotic lung.  
664 BTZ is the first-line treatment for multiple myeloma via subcutaneous dosing once or  
665 twice weekly and is relatively well tolerated. BTZ had promising beneficial effects in a  
666 small group of patients with pulmonary graft versus host disease following stem cell  
667 transplant, a condition characterized by fibrotic changes in the small airways and  
668 associated with TGF- $\beta$  activation (58). A clinical trial of BTZ in scleroderma-associated  
669 pulmonary fibrosis is currently in progress (ClinicalTrials.gov: [NCT02370693](https://clinicaltrials.gov/ct2/show/study/NCT02370693)). Our  
670 results provide further support for and new mechanistic insights into the repurposing of  
671 BTZ for the treatment of IPF, and potentially other fibrotic disorders.

672

673 **Acknowledgments:**

674 We would like to thank Mikel Haggadone, Daniel Schneider, and Steven Huang for their  
675 valuable comments and suggestions that helped us to improve the quality of this  
676 manuscript.

677

## References:

1. Rangarajan S, Locy ML, Luckhardt TR, Thannickal VJ. Targeted Therapy for Idiopathic Pulmonary Fibrosis: Where To Now? *Drugs* 2016; 76: 291-300.
2. Somogyi V, Chaudhuri N, Torrisi SE, Kahn N, Muller V, Kreuter M. The therapy of idiopathic pulmonary fibrosis: what is next? *Eur Respir Rev* 2019; 28.
3. Jun JI, Lau LF. Resolution of organ fibrosis. *J Clin Invest* 2018; 128: 97-107.
4. Baker TA, Bach HHT, Gamelli RL, Love RB, Majetschak M. Proteasomes in lungs from organ donors and patients with end-stage pulmonary diseases. *Physiol Res* 2014; 63: 311-319.
5. Penke LR, Speth JM, Dommeti VL, White ES, Bergin IL, Peters-Golden M. FOXM1 is a critical driver of lung fibroblast activation and fibrogenesis. *J Clin Invest* 2018; 128: 2389-2405.
6. Semren N, Welk V, Korfei M, Keller IE, Fernandez IE, Adler H, Gunther A, Eickelberg O, Meiners S. Regulation of 26S Proteasome Activity in Pulmonary Fibrosis. *Am J Respir Crit Care Med* 2015; 192: 1089-1101.
7. Meiners S, Evankovich J, Mallampalli RK. The ubiquitin proteasome system as a potential therapeutic target for systemic sclerosis. *Transl Res* 2018; 198: 17-28.
8. Ramos de Carvalho JE, Verwoert MT, Vogels IMC, Reits EA, Van Noorden CJF, Klaassen I, Schlingemann RO. Involvement of the ubiquitin-proteasome system in the expression of extracellular matrix genes in retinal pigment epithelial cells. *Biochem Biophys Rep* 2018; 13: 83-92.

- 700 9. Roque W, Summer R, Romero F. Fine-tuning the ubiquitin-proteasome system to  
701 treat pulmonary fibrosis. *Connect Tissue Res* 2019; 60: 50-61.
- 702 10. Emblom-Callahan MC, Chhina MK, Shlobin OA, Ahmad S, Reese ES, Iyer EP, Cox  
703 DN, Brenner R, Burton NA, Grant GM, Nathan SD. Genomic phenotype of non-  
704 cultured pulmonary fibroblasts in idiopathic pulmonary fibrosis. *Genomics* 2010;  
705 96: 134-145.
- 706 11. Welk V, Meul T, Lukas C, Kammerl IE, Mulay SR, Schamberger AC, Semren N,  
707 Fernandez IE, Anders HJ, Gunther A, Behr J, Eickelberg O, Korfei M, Meiners S.  
708 Proteasome activator PA200 regulates myofibroblast differentiation. *Sci Rep*  
709 2019; 9: 15224.
- 710 12. Lear T, McKelvey AC, Rajbhandari S, Dunn SR, Coon TA, Connelly W, Zhao JY,  
711 Kass DJ, Zhang Y, Liu Y, Chen BB. Ubiquitin E3 ligase FIEL1 regulates fibrotic  
712 lung injury through SUMO-E3 ligase PIAS4. *J Exp Med* 2016; 213: 1029-1046.
- 713 13. Anan A, Baskin-Bey ES, Bronk SF, Werneburg NW, Shah VH, Gores GJ.  
714 Proteasome inhibition induces hepatic stellate cell apoptosis. *Hepatology* 2006;  
715 43: 335-344.
- 716 14. Tiriveedhi V, Upadhyay GA, Busch RA, Gunter KL, Dines JN, Knolhoff BL, Jia J,  
717 Sarma NJ, Ramachandran S, Anderson CD, Mohanakumar T, Chapman WC.  
718 Protective role of bortezomib in steatotic liver ischemia/reperfusion injury through  
719 abrogation of MMP activation and YKL-40 expression. *Transpl Immunol* 2014;  
720 30: 93-98.

- 721 15. Zeniya M, Mori T, Yui N, Nomura N, Mandai S, Isobe K, Chiga M, Sohara E, Rai T,  
722 Uchida S. The proteasome inhibitor bortezomib attenuates renal fibrosis in mice  
723 via the suppression of TGF-beta1. *Sci Rep* 2017; 7: 13086.
- 724 16. Fineschi S, Bongiovanni M, Donati Y, Djaafar S, Naso F, Goffin L, Argiroffo CB,  
725 Pache JC, Dayer JM, Ferrari-Lacraz S, Chizzolini C. In vivo investigations on  
726 anti-fibrotic potential of proteasome inhibition in lung and skin fibrosis. *Am J*  
727 *Respir Cell Mol Biol* 2008; 39: 458-465.
- 728 17. Mutlu GM, Budinger GR, Wu M, Lam AP, Zirk A, Rivera S, Urich D, Chiarella SE,  
729 Go LH, Ghosh AK, Selman M, Pardo A, Varga J, Kamp DW, Chandel NS,  
730 Sznajder JI, Jain M. Proteasomal inhibition after injury prevents fibrosis by  
731 modulating TGF-beta(1) signalling. *Thorax* 2012; 67: 139-146.
- 732 18. Saeki I, Terai S, Fujisawa K, Takami T, Yamamoto N, Matsumoto T, Hirose Y,  
733 Murata Y, Yamasaki T, Sakaida I. Bortezomib induces tumor-specific cell death  
734 and growth inhibition in hepatocellular carcinoma and improves liver fibrosis. *J*  
735 *Gastroenterol* 2013; 48: 738-750.
- 736 19. Chen D, Frezza M, Schmitt S, Kanwar J, Dou QP. Bortezomib as the first  
737 proteasome inhibitor anticancer drug: current status and future perspectives.  
738 *Curr Cancer Drug Targets* 2011; 11: 239-253.
- 739 20. Aghajanian C, Soignet S, Dizon DS, Pien CS, Adams J, Elliott PJ, Sabbatini P,  
740 Miller V, Hensley ML, Pezzulli S, Canales C, Daud A, Spriggs DR. A phase I trial  
741 of the novel proteasome inhibitor PS341 in advanced solid tumor malignancies.  
742 *Clin Cancer Res* 2002; 8: 2505-2511.

- 743 21. Papandreou CN, Daliani DD, Nix D, Yang H, Madden T, Wang X, Pien CS, Millikan  
744 RE, Tu SM, Pagliaro L, Kim J, Adams J, Elliott P, Esseltine D, Petrusich A,  
745 Dieringer P, Perez C, Logothetis CJ. Phase I trial of the proteasome inhibitor  
746 bortezomib in patients with advanced solid tumors with observations in  
747 androgen-independent prostate cancer. *J Clin Oncol* 2004; 22: 2108-2121.
- 748 22. Kisselev AF, Akopian TN, Castillo V, Goldberg AL. Proteasome active sites  
749 allosterically regulate each other, suggesting a cyclical bite-chew mechanism for  
750 protein breakdown. *Mol Cell* 1999; 4: 395-402.
- 751 23. Naujokat C, Berges C, Hoh A, Wieczorek H, Fuchs D, Ovens J, Miltz M, Sadeghi M,  
752 Opelz G, Daniel V. Proteasomal chymotrypsin-like peptidase activity is required  
753 for essential functions of human monocyte-derived dendritic cells. *Immunology*  
754 2007; 120: 120-132.
- 755 24. Chauhan D, Catley L, Li G, Podar K, Hideshima T, Velankar M, Mitsiades C,  
756 Mitsiades N, Yasui H, Letai A, Ova H, Berkers C, Nicholson B, Chao TH,  
757 Neuteboom ST, Richardson P, Palladino MA, Anderson KC. A novel orally active  
758 proteasome inhibitor induces apoptosis in multiple myeloma cells with  
759 mechanisms distinct from Bortezomib. *Cancer Cell* 2005; 8: 407-419.
- 760 25. Patel BS, Co WS, Donat C, Wang M, Che W, Prabhala P, Schuster F, Schulz V,  
761 Martin JL, Ammit AJ. Repression of breast cancer cell growth by proteasome  
762 inhibitors in vitro: impact of mitogen-activated protein kinase phosphatase 1.  
763 *Cancer Biol Ther* 2015; 16: 780-789.

- 764 26. Hinz B, Lagares D. Evasion of apoptosis by myofibroblasts: a hallmark of fibrotic  
765 diseases. *Nat Rev Rheumatol* 2020; 16: 11-31.
- 766 27. Garrison G, Huang SK, Okunishi K, Scott JP, Kumar Penke LR, Scruggs AM,  
767 Peters-Golden M. Reversal of myofibroblast differentiation by prostaglandin E(2).  
768 *Am J Respir Cell Mol Biol* 2013; 48: 550-558.
- 769 28. Maher TM, Evans IC, Bottoms SE, Mercer PF, Thorley AJ, Nicholson AG, Laurent  
770 GJ, Tetley TD, Chambers RC, McNulty RJ. Diminished prostaglandin E2  
771 contributes to the apoptosis paradox in idiopathic pulmonary fibrosis. *Am J*  
772 *Respir Crit Care Med* 2010; 182: 73-82.
- 773 29. Penke LR, Huang SK, White ES, Peters-Golden M. Prostaglandin E2 inhibits alpha-  
774 smooth muscle actin transcription during myofibroblast differentiation via distinct  
775 mechanisms of modulation of serum response factor and myocardin-related  
776 transcription factor-A. *J Biol Chem* 2014; 289: 17151-17162.
- 777 30. Huang SK, White ES, Wettlaufer SH, Grifka H, Hogaboam CM, Thannickal VJ,  
778 Horowitz JC, Peters-Golden M. Prostaglandin E(2) induces fibroblast apoptosis  
779 by modulating multiple survival pathways. *FASEB J* 2009; 23: 4317-4326.
- 780 31. Huang SK, Wettlaufer SH, Chung J, Peters-Golden M. Prostaglandin E2 inhibits  
781 specific lung fibroblast functions via selective actions of PKA and Epac-1. *Am J*  
782 *Respir Cell Mol Biol* 2008; 39: 482-489.
- 783 32. Chen KF, Liu CY, Lin YC, Yu HC, Liu TH, Hou DR, Chen PJ, Cheng AL. CIP2A  
784 mediates effects of bortezomib on phospho-Akt and apoptosis in hepatocellular  
785 carcinoma cells. *Oncogene* 2010; 29: 6257-6266.



- 786 33. Savary G, Dewaeles E, Diazzi S, Buscot M, Nottet N, Fassy J, Courcot E, Henaoui  
787 IS, Lemaire J, Martis N, Van der Hauwaert C, Pons N, Magnone V, Leroy S,  
788 Hofman V, Plantier L, Lebrigand K, Paquet A, Lino Cardenas CL, Vassaux G,  
789 Hofman P, Gunther A, Crestani B, Wallaert B, Rezzonico R, Brousseau T,  
790 Glowacki F, Bellusci S, Perrais M, Broly F, Barbry P, Marquette CH, Cauffiez C,  
791 Mari B, Pottier N. The Long Noncoding RNA DNM3OS Is a Reservoir of  
792 FibromiRs with Major Functions in Lung Fibroblast Response to TGF-beta and  
793 Pulmonary Fibrosis. *Am J Respir Crit Care Med* 2019; 200: 184-198.
- 794 34. Raedler L. Velcade (Bortezomib) Receives 2 New FDA Indications: For Retreatment  
795 of Patients with Multiple Myeloma and for First-Line Treatment of Patients with  
796 Mantle-Cell Lymphoma. *Am Health Drug Benefits* 2015; 8: 135-140.
- 797 35. Goffin L, Seguin-Estevez Q, Alvarez M, Reith W, Chizzolini C. Transcriptional  
798 regulation of matrix metalloproteinase-1 and collagen 1A2 explains the anti-  
799 fibrotic effect exerted by proteasome inhibition in human dermal fibroblasts.  
800 *Arthritis Res Ther* 2010; 12: R73.
- 801 36. Zhou J, Cheng H, Wang Z, Chen H, Suo C, Zhang H, Zhang J, Yang Y, Geng L, Gu  
802 M, Tan R. Bortezomib attenuates renal interstitial fibrosis in kidney  
803 transplantation via regulating the EMT induced by TNF-alpha-Smurf1-Akt-mTOR-  
804 P70S6K pathway. *J Cell Mol Med* 2019; 23: 5390-5402.
- 805 37. Semren N, Habel-Ungewitter NC, Fernandez IE, Konigshoff M, Eickelberg O, Stoger  
806 T, Meiners S. Validation of the 2nd Generation Proteasome Inhibitor Oprozomib  
807 for Local Therapy of Pulmonary Fibrosis. *PLoS One* 2015; 10: e0136188.

- 808 38. Penke LR, Peters-Golden M. Molecular determinants of mesenchymal cell activation  
809 in fibroproliferative diseases. *Cell Mol Life Sci* 2019; 76: 4179-4201.
- 810 39. Huang H, Wang H, Figueiredo-Pereira ME. Regulating the ubiquitin/proteasome  
811 pathway via cAMP-signaling: neuroprotective potential. *Cell Biochem Biophys*  
812 2013; 67: 55-66.
- 813 40. Kim EJ, Juhnn YS. Cyclic AMP signaling reduces sirtuin 6 expression in non-small  
814 cell lung cancer cells by promoting ubiquitin-proteasomal degradation via  
815 inhibition of the Raf-MEK-ERK (Raf/mitogen-activated extracellular signal-  
816 regulated kinase/extracellular signal-regulated kinase) pathway. *J Biol Chem*  
817 2015; 290: 9604-9613.
- 818 41. Lokireddy S, Kukushkin NV, Goldberg AL. cAMP-induced phosphorylation of 26S  
819 proteasomes on Rpn6/PSMD11 enhances their activity and the degradation of  
820 misfolded proteins. *Proc Natl Acad Sci U S A* 2015; 112: E7176-7185.
- 821 42. VerPlank JJS, Lokireddy S, Zhao J, Goldberg AL. 26S Proteasomes are rapidly  
822 activated by diverse hormones and physiological states that raise cAMP and  
823 cause Rpn6 phosphorylation. *Proc Natl Acad Sci U S A* 2019; 116: 4228-4237.
- 824 43. Zhang F, Hu Y, Huang P, Toleman CA, Paterson AJ, Kudlow JE. Proteasome  
825 function is regulated by cyclic AMP-dependent protein kinase through  
826 phosphorylation of Rpt6. *J Biol Chem* 2007; 282: 22460-22471.
- 827 44. Tsubouchi K, Araya J, Minagawa S, Hara H, Ichikawa A, Saito N, Kadota T, Sato N,  
828 Yoshida M, Kurita Y, Kobayashi K, Ito S, Fujita Y, Utsumi H, Yanagisawa H,  
829 Hashimoto M, Wakui H, Yoshii Y, Ishikawa T, Numata T, Kaneko Y, Asano H,

830 Yamashita M, Odaka M, Morikawa T, Nakayama K, Nakanishi Y, Kuwano K.  
831 Azithromycin attenuates myofibroblast differentiation and lung fibrosis  
832 development through proteasomal degradation of NOX4. *Autophagy* 2017; 13:  
833 1420-1434.

834 45. Arastu-Kapur S, Anderl JL, Kraus M, Parlati F, Shenk KD, Lee SJ, Muchamuel T,  
835 Bennett MK, Driessen C, Ball AJ, Kirk CJ. Nonproteasomal targets of the  
836 proteasome inhibitors bortezomib and carfilzomib: a link to clinical adverse  
837 events. *Clin Cancer Res* 2011; 17: 2734-2743.

838 46. Nemeth ZH, Wong HR, Odoms K, Deitch EA, Szabo C, Vizi ES, Hasko G.  
839 Proteasome inhibitors induce inhibitory kappa B (I kappa B) kinase activation, I  
840 kappa B alpha degradation, and nuclear factor kappa B activation in HT-29 cells.  
841 *Mol Pharmacol* 2004; 65: 342-349.

842 47. Wu YH, Wu WS, Lin LC, Liu CS, Ho SY, Wang BJ, Huang BM, Yeh YL, Chiu HW,  
843 Yang WL, Wang YJ. Bortezomib enhances radiosensitivity in oral cancer through  
844 inducing autophagy-mediated TRAF6 oncoprotein degradation. *J Exp Clin*  
845 *Cancer Res* 2018; 37: 91.

846 48. Yu HC, Hou DR, Liu CY, Lin CS, Shiau CW, Cheng AL, Chen KF. Cancerous  
847 inhibitor of protein phosphatase 2A mediates bortezomib-induced autophagy in  
848 hepatocellular carcinoma independent of proteasome. *PLoS One* 2013; 8:  
849 e55705.

- 850 49. Fortier SM, Penke LR, King DM, Pham TX, Ligresti G, Peters-Golden M.  
851 Myofibroblast de-differentiation proceeds via distinct transcriptomic and  
852 phenotypic transitions. *JCI Insight* 2021.
- 853 50. Hecker L, Jagirdar R, Jin T, Thannickal VJ. Reversible differentiation of  
854 myofibroblasts by MyoD. *Exp Cell Res* 2011; 317: 1914-1921.
- 855 51. Kato K, Logsdon NJ, Shin YJ, Palumbo S, Knox A, Irish JD, Rounseville SP,  
856 Rummel SR, Mohamed M, Ahmad K, Trinh JM, Kurundkar D, Knox KS,  
857 Thannickal VJ, Hecker L. Impaired Myofibroblast Dedifferentiation Contributes to  
858 Nonresolving Fibrosis in Aging. *Am J Respir Cell Mol Biol* 2020; 62: 633-644.
- 859 52. Buhling F, Wille A, Rocken C, Wiesner O, Baier A, Meinecke I, Welte T, Pap T.  
860 Altered expression of membrane-bound and soluble CD95/Fas contributes to the  
861 resistance of fibrotic lung fibroblasts to FasL induced apoptosis. *Respir Res*  
862 2005; 6: 37.
- 863 53. Horowitz JC, Ajayi IO, Kulasekaran P, Rogers DS, White JB, Townsend SK, White  
864 ES, Nho RS, Higgins PD, Huang SK, Sisson TH. Survivin expression induced by  
865 endothelin-1 promotes myofibroblast resistance to apoptosis. *Int J Biochem Cell*  
866 *Biol* 2012; 44: 158-169.
- 867 54. Wang H, Yu Y, Jiang Z, Cao WM, Wang Z, Dou J, Zhao Y, Cui Y, Zhang H. Next-  
868 generation proteasome inhibitor MLN9708 sensitizes breast cancer cells to  
869 doxorubicin-induced apoptosis. *Sci Rep* 2016; 6: 26456.
- 870 55. Chen P, Hutter D, Yang X, Gorospe M, Davis RJ, Liu Y. Discordance between the  
871 binding affinity of mitogen-activated protein kinase subfamily members for MAP

872 kinase phosphatase-2 and their ability to activate the phosphatase catalytically. *J*  
873 *Biol Chem* 2001; 276: 29440-29449.

874 56. Lawan A, Min K, Zhang L, Canfran-Duque A, Jurczak MJ, Camporez JPG, Nie Y,  
875 Gavin TP, Shulman GI, Fernandez-Hernando C, Bennett AM. Skeletal Muscle-  
876 Specific Deletion of MKP-1 Reveals a p38 MAPK/JNK/Akt Signaling Node That  
877 Regulates Obesity-Induced Insulin Resistance. *Diabetes* 2018; 67: 624-635.

878 57. Valente AJ, Yoshida T, Gardner JD, Somanna N, Delafontaine P, Chandrasekar B.  
879 Interleukin-17A stimulates cardiac fibroblast proliferation and migration via  
880 negative regulation of the dual-specificity phosphatase MKP-1/DUSP-1. *Cell*  
881 *Signal* 2012; 24: 560-568.

882 58. Jain M, Budinger G, Jovanovic B, Dematte J, Duffey S, Mehta J. Bortezomib is safe  
883 in and stabilizes pulmonary function in patients with allo-HSCT-associated  
884 pulmonary CGVHD. *Bone Marrow Transplant* 2018; 53: 1124-1130.

885  
886

887    **Competing financial interests:**

888    The authors declare no competing financial interests.