

1 **Systematic Phenotyping and Characterization of the 5xFAD mouse model of Alzheimer's Disease**

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3 Stefania Forner^{1#}, Shimako Kawauchi^{2#}, Gabriela Balderrama-Gutierrez^{3,4}, Enikö A. Kramár⁵, Dina P.
4 Matheos⁵, Jimmy Phan¹, Dominic I. Javonillo¹, Kristine Minh Tran¹, Edna Hingco¹, Celia da Cunha¹,
5 Narges Rezaie^{3,4}, Joshua Andrei Alcantara², David Baglietto-Vargas¹, Camden Jansen^{3,4}, Jonathan
6 Neumann², Marcelo A. Wood⁵, Grant R. MacGregor^{2,3}, Ali Mortazavi^{3,4}, Andrea J. Tenner^{1,5,6,7}, Frank M.
7 LaFerla^{1,5}, Kim N. Green^{1,5*}

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9 ¹Institute for Memory Impairments and Neurological Disorders (UCI MIND);

10 ²Transgenic Mouse Facility, University Laboratory Animal Resources, Office of Research;

11 ³Department of Developmental and Cell Biology;

12 ⁴Center for Complex Biological Systems;

13 ⁵Department of Neurobiology and Behavior;

14 ⁶Department of Molecular Biology and Biochemistry;

15 ⁷ Department of Pathology and Laboratory Medicine;

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17 University of California, Irvine, CA, 92697

18

19 #The authors contributed equally

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22 *Correspondence to: Kim N. Green, Ph.D.

23 3208 Biological Sciences III

24 University of California, Irvine (UCI)

25 Irvine, CA 92697, USA

26 E-mail: kngreen@uci.edu

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28 **Abstract**

29 Mouse models of human diseases are invaluable tools for studying pathogenic mechanisms and testing
30 interventions and therapeutics. For disorders such as Alzheimer's disease in which numerous models are
31 being generated, a challenging first step is to identify the most appropriate model and age to effectively
32 evaluate new therapeutic approaches. Here we conducted a detailed phenotypic characterization of the
33 5xFAD model on a congenic C57BL/6J strain background, across its lifespan – including a seldomly
34 analyzed 18-month old time point to provide temporally correlated phenotyping of this model and a
35 template for characterization of new models of LOAD as they are generated. This comprehensive analysis
36 included quantification of plaque burden, A β biochemical levels, and neuropathology, neurophysiological
37 measurements and behavioral and cognitive assessments, and evaluation of microglia, astrocytes, and
38 neurons. Analysis of transcriptional changes was conducted using bulk-tissue generated RNA-seq data
39 from microdissected cortices and hippocampi as a function of aging, which can be explored at the UCI
40 Mouse Explorer and AD Knowledge Portal. This deep-phenotyping pipeline identified novel aspects of
41 age-related pathology in the 5xFAD model.

42 **Background and Summary**

43 Animal models of Alzheimer's disease play a pivotal role in facilitating our understanding of
44 disease mechanism and for drug discovery. Yet, despite their promise, there has been significant concern
45 about their translational reliability, particularly as treatments effective in mouse models have largely
46 proven ineffectual when evaluated in clinical trials¹⁻³. Several factors likely underlie these translational
47 failures, but two prominent reasons are that the vast majority of AD animal models are based on
48 overexpression and the inclusion of autosomal dominant mutations, despite the fact overexpression or
49 genetic mutations do not occur in the overwhelming majority of human AD cases.

50 In 2015 the US NIH/NIA initiated a new program called Model Organism Development and
51 Evaluation for Late-Onset Alzheimer's Disease (MODEL-AD; <https://www.model-ad.org/>) to develop the
52 next generation of animal models. MODEL-AD specifically seeks to better recapitulate the etiology and
53 mechanisms of late-onset Alzheimer's disease (LOAD), with the ultimate goal of improving
54 translatability. Accomplishing this ambitious objective requires a multiprong strategy, including, in
55 addition to the generation of models more aligned with LOAD, the detailed development of a
56 standardized characterization of a phenotyping pipeline that can provide comprehensive comparative data
57 about molecular, cellular and functional changes that occur as a function of age and brain region. As part
58 of this goal, it is critical that established AD mouse models serve as a benchmark for future comparisons.

59 The 5xFAD mouse (Tg(APPs^{SwFLon},PSEN1^{*M146L*L286V})6799Vas/Mmj^{jax}) was generated
60 in 2006⁴ and displays overexpression of APP and PSEN1 containing 5 familial AD mutations (APP
61 KM670/671NL (Swedish), APP I716V (Florida), APP V717I (London), PSEN1 M146L, PSEN1 L286V),
62 under the control of a *Thy1* mini-gene^{5,6}, which directs expression to forebrain neurons. 5xFAD mice
63 develop robust amyloid pathologies, with plaques appearing in the brain from 2-4 months of age⁷,
64 triggering robust microgliosis and inflammatory processes^{4,7} as well as synaptic⁷ and neuronal loss^{4,7}.
65 Because the 5xFAD mouse is commonly used— ~10% of all AD studies that use an animal model
66 employ this strain (AlzPED; <https://alzped.nia.nih.gov/>), we included it as a benchmark reference model
67 for our studies. Here, we used the 5xFAD mouse model to evaluate our deep-phenotyping pipeline,

68 including an 18-month time point which is rarely analyzed by researchers in the AD field. Through the
69 evaluation of behavior and cognition, long-term potentiation, gene expression, among other parameters
70 across the lifespan (4, 8, 12, and 18 months of age), we demonstrate that the analytical pipeline used
71 provides robust information relevant to understand changes that occur during development of pathology
72 in a mouse model of AD. This data is freely accessible to the public through the AD Knowledge Portal
73 (<https://adknowledgeportal.synapse.org/>) and should prove useful to AD investigators.

74

75 **Methods**

76 **Animals**

77 All animal experiments were approved by the UC Irvine Institutional Animal Care and Use Committee
78 and were conducted in compliance with all relevant ethical regulations for animal testing and research.
79 5xFAD hemizygous (B6.Cg-Tg(APPs^{Fl}lon, PSEN1^{M146L}*L286V)6799Vas/Mmj^{jax}, Stock
80 number 34848-JAX, MMRRC) and its wildtype littermates were produced by crossing or IVF
81 procedures with C57BL/6J (Jackson Laboratory, ME) females. After weaning, they were housed
82 together with littermates and aged until the harvest dates. For 5xFAD genotyping, Hydrolysis probe
83 which hybridizes APP(swe) mutation amplicon was used (For 5'-TGGGTTCAAACAAAGGTGCAA -3'
84 and Rev 5'-GATGACGATCACTGTCGCTATGAC-3' : APP(swe) probe 5'-
85 CATTGGACTCATGGTGGCGGTG-3'.) to detect transgenes. We used the endogenous Apo B allele
86 (For 5'-CACGTGGGCTCCAGCATT-3' and Rev 5'-TCACCAGTCATTCTGCCTTG-3': ApoB
87 probe 5'-CCAATGGTCGGGCACTGCTCAA-3') to normalize the Ct values. All animals were bred by
88 the Transgenic Mouse Facility at UCI.

89

90 **Behavioral Testing**

91 Noldus Ethovision software (Wageningen, Netherlands) was employed to video-record and track animal
92 behavior and analyses were performed by Ethovision software. All protocols are publicly available

93 through the AD Knowledge Portal (<https://adknowledgeportal.synapse.org/>) and the following behavioral
94 paradigms were carried out according to established protocol^{4,8,9} and described briefly below:

95

96 *Elevated plus maze (EPM):* Mice were placed in the center of an elevated plus maze (arms 6.2 x 75cm,
97 with side walls 20 cm high on two closed arms, elevated 63 cm above the ground) for 5 min to assess
98 anxiety. Automated scoring assessed the amount of time each mouse spent cumulatively in the open arms
99 and closed arms of the maze.

100 *Open field (OF):* In brief, mice were placed in a white box (33.7 cm L x 27.3 cm W x 21.6 cm H) for 5
101 min to assess motor function and anxiety and videotaped for 5 min. Videos were scored for % time in
102 center of arena, distance traveled and speed.

103 *Contextual Fear conditioning (CFC):* Behavior was scored using Noldus Ethovision v.14.0.1322.
104 Activity Analysis to detect activity levels and freezing behaviors for both training and testing sessions.
105 Each of the four CFC chambers (Ugo Basile, Germany) is inside a sound-attenuating boxes with
106 ventilating fan, a dual (visible/I.R.) light, a speaker and a USB-camera. Each FC-Unit has an individual
107 controller on-board. The CFC chamber is cleaned at the start of testing and between every mouse with
108 Ethanol 70% and paper towels to eliminate olfactory cues. In the training trial, each mouse is placed in
109 the chamber for 2 min to allow for habituation and exploration of the context, after which a shock is
110 applied for 3 s at 0.5 mA. The mice are returned to their cages after 30 s. Twenty-four hours later, testing
111 was conducted, whereby animals were placed in the chamber to explore for 5 min. Sessions are recorded
112 and immobility time is determined using EthoVision software.

113

114 *Rotarod*

115 Motor performance and motor learning were tested using the rotarod (Ugo Basile, Germany). Each mouse
116 is weighed prior to testing. There are 6 lanes on the Rotarod, therefore 6 mice can be tested at once. Each
117 group of 6 mice will be tested 5 times, for 5 min maximum (300 s) for each trial. Latency to fall served as
118 an indicator of motor coordination.

119

120 **Hippocampal slice preparation and LTP recording**

121 Hippocampal slices were prepared from 5xFAD (5 females and 5 males) and WT (5 females and 5 males)
122 at 4, 8 and 12 months of age. Following isoflurane anesthesia, mice were decapitated and the brain was
123 quickly removed and submerged in ice-cold, oxygenated dissection medium containing (in mM): 124
124 NaCl, 3 KCl, 1.25 KH₂PO₄, 5 MgSO₄, 0 CaCl₂, 26 NaHCO₃, and 10 glucose. Coronal hippocampal slices
125 (320 μ m) were prepared using a Leica vibrating tissue slicer (Model: VT1000S) before being transferred
126 to an interface recording containing preheated artificial cerebrospinal fluid (aCSF) of the following
127 composition (in mM): 124 NaCl, 3 KCl, 1.25 KH₂PO₄, 1.5 MgSO₄, 2.5 CaCl₂, 26 NaHCO₃, and 10
128 glucose and maintained at 31 \pm 1⁰C. Slices were continuously perfused with this solution at a rate of 1.75-
129 2 ml/min while the surface of the slices were exposed to warm, humidified 95% O₂ / 5% CO₂.
130 Recordings began following at least 2 hours of incubation.

131 Field excitatory postsynaptic potentials (fEPSPs) were recorded from CA1b stratum radiatum
132 using a single glass pipette filled with 2M NaCl (2-3 M Ω) in response to orthodromic stimulation (twisted
133 nichrome wire, 65 μ m diameter) of Schaffer collateral-commissural projections in CA1 stratum radiatum.
134 In some slices two stimulation electrodes were used (positioned at sites CA1a and CA1c) to stimulate
135 independent populations of synapses (experimental and control pathways) on CA1b pyramidal cells.
136 Pulses were administered in an alternating fashion to the two electrodes at 0.03 Hz using a current that
137 elicited a 50% maximal response. Paired-pulse facilitation was measured at 40, 100, and 200 sec
138 intervals prior to setting baseline. After establishing a 10-20 minutes stable baseline, the orthodromic
139 stimulated pathway was used to induce long-term potentiation (LTP) by delivering 5 ‘theta’ bursts, with
140 each burst consisting of four pulses at 100 Hz and the bursts themselves separated by 200 msec (i.e., theta
141 burst stimulation or TBS). The stimulation intensity was not increased during TBS. The control pathway
142 was used to monitor for baseline drifts in the slice. Data were collected and digitized by NAC 2.0
143 Neurodata Acquisition System (Theta Burst Corp., Irvine, CA) and stored on a disk.

144

145 **Histology**

146 Mice were euthanized at 4, 8, 12 and 18 months via CO₂ inhalation and transcardially perfused with 1X
147 phosphate buffered saline (PBS). For all studies, brains were removed, and hemispheres separated along
148 the midline. Brain halves were either flash frozen for subsequent biochemical analysis or drop-fixed in
149 4% paraformaldehyde (PFA (Thermo Fisher Scientific, Waltham, MA)) for immunohistochemical
150 analysis. Fixed half brains were sliced at 40 µm using a Leica SM2000R freezing microtome. □

151 All brain hemispheres have been processed and every 12th brain slice imaged via a Zeiss Slidescanner
152 using a 10X objective. Images were corrected for shading, stitched together, and exported for
153 quantification in Bitplane Imaris Software. The following analyses were then performed.

154

155 **Immunofluorescence**

156 For Thioflavin-S (Thio-S) staining, free-floating sections were washed with 1X PBS (1×5 min), followed
157 by dehydration in a graded series of ethanol (100%, 95%, 70%, 50%; 1x3 min each). The sections were
158 incubated in 0.5% Thio-S (in 50% ethanol, Sigma-Aldrich) for 10 min. This was followed by 3×5 min
159 washes with 50% ethyl alcohol and a final wash in 1X PBS (1x10 min). For 6E10 immunohistochemistry,
160 sections were briefly rinsed in 1X PBS (1x5 min) followed by 10 min wash in 1X PBS. Following Thio-S
161 staining or formic acid pretreatment (if required), sections underwent a standard indirect
162 immunohistochemical protocol. To that end, free-floating sections were washed with 1X PBS (1×5 min),
163 and immersed in normal serum blocking solution (5% normal goat serum with 0.2% Triton-X100 in 1X
164 PBS) for 60 min. Primary antibodies and dilutions used are as follows: anti-ionized calcium-binding
165 adapter molecule 1 (IBA1; 1:2000; 019-19741; Wako, Osaka, Japan), anti-Aβ₁₋₁₆ (6E10; 1:2000; 803001;
166 BioLegend, San Diego, CA), anti-S100β (1:200; ab41548; Abcam, Cambridge, MA), anti-glial fibrillary
167 protein (GFAP; 1:1000; ab134436; Abcam), anti-Fox 3 protein (NeuN; 1:1000; ab104225; Abcam), anti-
168 Ctip2 (CTIP2; 1:300; ab18465; Abcam), anti-lysosome-associated membrane protein 1 (LAMP1; 1:200;
169 ab25245; Abcam) and Thioflavin-S (0.5% ThioS in 50% Ethanol; Sigma-Aldrich).

170

171 **Imaris Quantitative Analysis**

172 Volumetric image measurements were made in the hippocampus using Imaris software (Bitplane Inc.).
173 Amyloid burden was acquired by measuring the total number of A β plaques and their size, expressed in
174 area units (μm^2) in the whole hippocampal area analyzed in an individual section. The 6E10-
175 immunopositive signal (A β plaques) within the selected brain region was identified by a threshold level
176 mask, which was maintained throughout the whole analysis per timeframe for uniformity. The total
177 number of amyloid plaques and their area was obtained automatically by Imaris software. Quantitative
178 comparisons between groups were always carried out on comparable sections of each animal processed at
179 the same time with same batches of solutions. Microglial and astrogliial loads (Iba1/GFAP-
180 immunopositive) were counted with Bitplane Imaris software and normalized to the area of the
181 hippocampus, subiculum, and cortex.

182

183 **A β soluble and insoluble fraction levels**

184 The flash-frozen hemispheres of minimum 6 females and 6 males per age and per genotype were
185 microdissected into cortical and hippocampal regions and then ground with a mortar and pestle to yield a
186 homogenized tissue. One-half of the powder from the cortical region was homogenized in 1000 μl Tissue
187 Protein Extraction Reagent (TPER) per 150mg and 150 μl TPER for hippocampal region (Life
188 Technologies, Grand Island, NY), respectively, with protease (Roche, Indianapolis, IN) and phosphatase
189 inhibitors (Sigma-Aldrich, St. Louis, MO) and centrifuged at 100,000 g for 1 hour at 4°C to generate
190 TPER-soluble fractions. For formic acid-fractions, pellets from TPER-soluble fractions were
191 homogenized in 70% Formic Acid, half of TPER amount for cortical region and 75 μl for hippocampal
192 region. Afterwards, the samples were centrifuged at 100,000 g for 1 hour at 4°C. Protein concentration in
193 each fraction was determined via Bradford^{10,11}.

194 Electrochemiluminescence-linked immunoassay Quantitative biochemical analyses of human A β soluble
195 and insoluble fraction levels were performed using the V-PLEX A β Peptide Panel 1 (6E10) and (Meso
196 Scale Discovery (MSD, Rockville MD, USA) according to the manufacturer's instructions

197

198 **RNA Sequencing**

199 Libraries were constructed by using the Nextera DNA Sample Preparation Kit (Illumina). Libraries were
200 base-pair selected based on Agilent 2100 Bioanalyzer profiles and normalized determined by KAPA
201 Library Quantification Kit (Illumina). The libraries were built from 5 different mice per genotype, sex and
202 tissue (hippocampus and cortex) across 4 different timepoints (4, 8, 12 and 18 months). Sequences were
203 aligned to the mouse genome (mm10) and annotation was done using GENCODE v21. Reads were
204 mapped with STAR (2.5.1b-static) and RSEM (1.2.22) was used for quantification of gene expression.

205

206 *Differential gene expression analysis*

207 Differential gene expression analysis was done using edgeR¹² per timepoint and tissue. Genes with an
208 FDR > 0.05 were labeled. To compare different sets of genes differentially expressed we created a binary
209 matrix identifying up and downregulated genes across different comparisons. A matrix indicating up or
210 downregulation was later used to plot a heatmap.

211 From the comparisons, lists of genes of interest were chosen to plot a heatmap of their expression and a
212 GO term enrichment analysis using enrichR (<https://amp.pharm.mssm.edu/Enrichr/>) and the top 5 GO
213 terms were plotted. For comparing AMP-AD modules to 5xFAD gene lists obtained by edgeR, we
214 calculated the fraction by counting the number of common genes between two gene lists and dividing by
215 the number of genes in 5xFAD gene list for each comparison. We used Fisher exact test, as a procedure
216 for obtaining exact probabilities associated with statistical hypotheses about 2X 2 contingency tables ([N-
217 |A \cup B|, A-B; B-A, |A \cap B|], N = number of all genes, A = gene set in each 5xFAD gene lists and B = gene

218 set in each AMP-AD modules), to calculate the p-value of overlap between the 5xFAD gene lists and
219 AMP-AD modules.

220

221 *WGCNA analysis*

222 A matrix filtered by genes with more than 1 TPM and without an outlier sample (both cortex and
223 hippocampus from that sample were removed) was used to do a weighted gene correlation network
224 analysis (WGCNA). Parameters used are: soft power =15, min. module size =50 and MEDissThres = 0.3.
225 We identified significant modules by calculating the correlation with the traits, then we proceeded to plot
226 the behavior per sample of the genes in the blue and dark olive module, by using bar plot and the
227 eigengene profile. Genes from both modules were used for a GO term analysis using Metascape
228 (<https://metascape.org>).

229

230 **Statistics**

231 Every reported *n* is the number of biologically independent replicates. No statistical methods were used to
232 predetermine sample sizes; however, our sample sizes are similar to those reported in recently published
233 similar studies ^{9,13}. Behavioral, biochemical, and immunohistological data were analyzed using either
234 Student's t-test, one-way ANOVA or two-way ANOVA using GraphPad Prism Version 8 (La Jolla, CA).
235 Bonferroni's and Tukey's post hoc tests were employed to examine biologically relevant interactions
236 from the two-way ANOVA. *p<0.05, **p<0.01, ***p<0.001 and ***p<0.0001. Statistical trends are
237 accepted at p<0.10 ([#]). Data are presented as raw means and standard error of the mean (SEM).

238

239 **Data Records**

240

241 The results published here are in whole based on data available via the AD Knowledge Portal
242 (<https://adknowledgeportal.org>). The AD Knowledge Portal is a platform for accessing data, analyses, and
243 tools generated by the Accelerating Medicines Partnership (AMP-AD) Target Discovery Program and

244 other National Institute on Aging (NIA)-supported programs to enable open-science practices and
245 accelerate translational learning. The data, analyses and tools are shared early in the research cycle
246 without a publication embargo on secondary use. Data is available for general research use according to
247 the following requirements for data access and data attribution
248 (<https://adknowledgeportal.org/DataAccess/Instructions>).

249 For access to the data see: <https://doi.org/10.7303/syn23628482>

250 Data can be accessed in an interactive matter at UCI Mouse Mind Explorer (mouse.mind.uci.edu).

251
252 **Technical Validation**
253

254 **5xFAD mice show behavior impairment**

255 5xFAD and wild-type littermate mice were aged to 4, 8, 12 and 18 months of age and subjected to a
256 battery of cognitive and behavioral testing tasks, followed by extensive characterization, including long-
257 term potentiation (LTP), immunohistochemistry, biochemistry, and gene expression. Notably, all
258 generated data are explorable in a searchable website (<http://mouse.mind.uci.edu>), while raw data (all
259 microscopy images, FASTQ files etc.) are deposited at the AD Knowledge Portal
260 (<https://adknowledgeportal.synapse.org>). 5xFAD mice failed to gain weight from 8 months of age,
261 compared to WT mice, and this was most prominent in female mice (Figure 1A and B). Motor
262 impairments were evident in 5xFAD mice at 18 months of age, both by the distance traveled and velocity
263 in the open field test (Figure 1E and G, respectively), with a preference to the center of the arena at 8
264 months of 5xFAD were observed relative to the WT (Figure 1 C and D). Prominent differences were
265 measured in the elevated plus maze at all timepoints and were present for both male and female 5xFAD
266 mice. 5xFAD spent more time in the open arms, and less time in the closed arms indicating decreased
267 anxiety behaviors (Figure 1I-L) (in contrast to no differences noted in open field). Of note, we have
268 previously shown similar changes in EPM performance in a mouse model of selective hippocampal
269 neuronal loss¹⁴. No changes were observed in contextual fear conditioning (Figure 1M-N). Notably, 4
270 month old 5xFAD mice showed longer latencies to fall on rotarod compared to wild-type mice (Figure

271 1O), which was driven more so by female mice (Figure 1P), however, reduced motor performance was
272 seen at all subsequent age groups and no genotype differences observed (Figure 1O).

273

274 **5xFAD mice display impaired LTP and synaptic transmission:**

275 We assessed short- and long-term synaptic plasticity using acute hippocampal slice preparation
276 from WT and 5xFAD mice. Field EPSPs were evoked in the proximal apical dendrites in field
277 CA1b during stimulation of Schaffer-commissural projections in CA1a and LTP was induced
278 using theta burst stimulation. Across all ages, 4, 8 and 12 months, we found that theta burst-
279 induced LTP produced significant reductions in the level of potentiation 50-60 min post-
280 induction. Beginning at 4 months (Figure 2A,B), potentiation was reduced in both male and
281 female 5xFAD mice compared to WT mice. LTP remained impaired in both sexes in slices from
282 8 and 12 months 5xFAD mice relative to WT controls (Figure 2C-F). Baseline synaptic
283 transmission was also evaluated for all ages and revealed that fEPSP responses in slices from 12
284 months 5xFAD mice were markedly reduced compared to WT slices, and furthermore, the
285 decrease in field responses was observed in both sexes in 5xFAD mice relative to controls
286 (Figure 2G). Evaluating changes in paired-pulse facilitation showed that at 12 months of age
287 frequency facilitation was significantly reduced in slices from 5xFAD mice compared to WT
288 controls (Figure 2H, top panel), which is due to the difference observed in the males relative to
289 their controls (Figure 2H, bottom panel). No differences were observed in paired-pulse
290 facilitation in slices from female 5xFAD and WT mice at 12 months of age (Figure 2H, bottom
291 panel). Altogether, these synaptic data suggest deficits in LTP and synaptic transmission in
292 5xFAD mice beginning at 4 months, and worsening with age.

293

294 **Age-related increases in A β plaque accumulation in 5xFAD mice:**

295 Immunofluorescence was performed on every 12th section throughout the rostral-caudal axis of the brain.
296 All images are available for exploration and download at AD Knowledge Portal
297 (<https://adknowledgeportal.synapse.org/>). 5xFAD males and females were stained with Thio-S for
298 characterization of fibrillar amyloid plaques at 4-, 8-, 12- and 18- month timepoints. Absence of plaque
299 pathology was evident throughout the entire brain in WT but was present and exacerbated by age in the
300 5xFAD as expected (Figure 3A). Plaque pathology was noticeable throughout the rostral-caudal axis of
301 the brain by 4 months of age (Figure 3B). Notably, the initial plaques that develop by 4 months of age are
302 typically compact and circular, but over time appear more irregular and develop a diffuse halo in the
303 subiculum, CA1 and cortex (12-18 months of age; Figure 3C). Importantly, this halo effect is similar to
304 what is observed in the human brain (data not shown).

305 Absolute values with time are not necessarily a reflection of pathology since they were processed at
306 different time, but relationships within a given time point are valid. As expected, plaque number increased
307 in both the cortex and hippocampus of males and females between 4 and 8 month and with additional
308 increases in the cortex by 18 months (Figure 3D and F). Clear sex differences were seen at 4, 12 and 18
309 months of age with female 5xFAD mice having a higher number of plaques in the cortex than male
310 5xFAD (Figure 3E). Plaque size increased with age in the hippocampus, followed by an overall reduction
311 between 12 and 18 months of age, likely reflecting increased plaque compaction (Figure 3J), while
312 cortical plaque size remained stable across the lifespan (Figure 3H). No prominent sex differences were
313 seen in plaque size (Figure 3I and K).

314 To supplement quantification of plaque load, measurements of A β 40, and A β 42, from microdissected
315 hippocampus and cortex, were performed in detergent soluble and insoluble fractions. Prominent
316 increases in soluble A β 40 and A β 42 levels were seen at 18 months in both regions (Figure 4A-D; 4E-H).
317 In concordance with plaque numbers, insoluble A β is elevated in the cortex in an age dependent fashion
318 (Figure 4I-L), while the hippocampus shows a plateau from 8 months of age (Figure 4M-P), consistent
319 with plaque numbers. Again, female mice tend to have higher levels of insoluble A β , with significance

320 for A β 40 seen at 12 months of age (Figure 4J and N). Plasma A β 40 and A β 42 levels are elevated from 8
321 months of age with A β 42 levels higher at 8 and 12 months than A β 40, with no differences between sexes
322 (Figure 4Q and R).

323 **Age-related microgliosis in 5xFAD mice:**

324 Immunostaining for the microglial marker IBA1 revealed increases in microglial densities from 8 months
325 of age in the cortex of 5xFAD mice, and from 4 months of age in the hippocampus (Figure 5A and B).
326 Microglia clustered around dense core plaques, as expected. Microglial numbers remained stable in WT
327 mice across the lifespan but increased in 5xFAD mice (Figure 5C and E), mirroring the plaque load.
328 Concordantly, female 5xFAD mice tend to have increased microglial densities, while no sex differences
329 are observed in WT mice (Figure 5D and F).

330

331 **Age-dependent astrocyte reactivity in 5xFAD mice:**

332 To quantify astrocyte numbers and reactivity state, IHC for S100b and GFAP was performed (Figure 5G
333 and H). S100b is a nuclear transcription factor expressed by all astrocytes, while GFAP is expressed by
334 hippocampal astrocytes, but in the cortex is only expressed by “reactive” astrocytes. Immunostaining for
335 S100b shows significantly increased astrocyte densities at 18 months of age in 5xFAD mice compared to
336 WT mice in the cortex, and from 12 months of age in the hippocampus (Figure 5M-P). GFAP+ astrocytes
337 mirror S100b trends in the hippocampus, with elevated GFAP+ cells seen from 8-18 months of age
338 (Figure 5K and L). Astrocytes in the cortex are observed to switch on GFAP expression in the vicinity of
339 plaques (Figure 5H), and GFAP+ astrocyte numbers hence follow plaque numbers (Figure 5I and J).

340

341 **Age dependent dystrophic neurite accumulation in 5xFAD mice:**

342 Dense core plaques are surrounded by dystrophic neurites, which can be observed via immunostaining for
343 the lysosome-associated membrane protein 1 (LAMP-1). LAMP1 and Thio-S staining was performed in
344 all timepoints of WT and 5xFAD mice (Figure 6A and B). We quantified both Thio-S and LAMP1

345 staining as a % load (i.e., brain area covered by the positive signal); Thio-S increased in an age dependent
346 fashion, with a much higher load in the hippocampus compared to the cortex (Figure 6C, D, I, J)
347 consistent with the plaque number quantified in Figure 3. LAMP1 load increases with plaque load
348 (Figure 6E, F, K, L) but reached a plateau at 8 and 12 months of age in cortex and hippocampus
349 respectively, suggesting that while both plaque load and dystrophic neurites increase with age, the
350 associated halo of dystrophic neurites does not increase proportionally. As such, the ratio between Thio-S
351 and LAMP1 load reduces with age (Figure 6G, H, M, N).

352

353 **Gene expression changes in 5xFAD mice:**

354 Differentially expressed genes (DEG's) were calculated for comparisons between WT and 5xFAD mice
355 for both the cortex and hippocampus at each timepoint. These data are explorable at
356 <http://mouse.mind.uci.edu> and at <https://adknowledgeportal.synapse.org/>. The number of DEG's was
357 higher in the hippocampus at each timepoint than cortex and increased with age in both brain regions
358 (Figure 7A). Notably, 18-month 5xFAD mice showed a large increase in upregulated DEG's in both brain
359 regions, when downregulated genes were also observed. To evaluate overlap in DEG's between brain
360 regions and across the lifespan of 5xFAD mice we produced a chart (Figure 7B) highlighting
361 downregulated genes (blue) and upregulated genes (red). Substantial overlap was seen in the upregulated
362 genes between hippocampus and cortex, though a set of unique upregulated genes seen in the
363 hippocampus at 18 months (Figure 7G). Overall, far fewer downregulated DEG's were seen, but a
364 substantial unique set of genes materialized at 18 months in the hippocampus (Figure 7I). Gene ontology
365 of common upregulated genes (upregulated in 4 out of 4 of the timepoints for hippocampus) identified
366 pathways involved in inflammation, as expected (Figure 7C, D), while common downregulated genes (in
367 at least 2 out of 4 of the timepoints for hippocampus) related with pathways associated with synaptic
368 transmission and signaling (Figure 7E, F). Gene ontology analyses of the unique DEG's at 18 months in
369 the hippocampus revealed pathways associated with vascular development for upregulated genes (Figure
370 7G, H), and synaptic transmission for the downregulated genes (Figure 7I, J).

371 To understand the relevance of these gene expression changes to human AD, we compared these DEG's
372 to identified AMP-AD modules reflecting gene expression changes in human AD samples¹⁵. Significant
373 overlap was seen in both down- and up-regulated genes, with the strongest overlap seen in the 5xFAD
374 hippocampus at 18 months of age (Figure 7K).

375 To further understand gene expression in 5xFAD mice in the context of networks we performed WGCNA
376 to recover 11 modules, which we correlated with genotype, age, and previously described phenotypic
377 characterization (Figure 8A). We found that the Blue module (681 genes) is positively correlated with the
378 5xFAD genotype (P-value = 4e-23), while the DarkOliveGreen module (524 genes) is negatively
379 correlated with the 5xFAD genotype (P-value = 0.09). These modules are also correlated with different
380 phenotypes and some specific gene expression levels. For example, the Blue module is strongly positively
381 correlated with microglia count (P-value = 6e-29), plaque count (P-value = 5e-23), among other
382 phenotypes. Overall, genes in the Blue module (Figure 8B) increase expression in 5xFAD with age, whereas
383 genes in the DarkOliveGreen module (Figure 8C) decrease expression in 5xFAD with age. GO
384 term analysis of genes in the Blue module reveals that this module is enriched in genes involved in
385 immune systems response (Figure 8D) that are primarily expected to be microglial, although a few
386 astrocytic genes such as GFAP are also in this module. By contrast, GO terms for the DarkOliveGreen
387 Module are primarily neuronal in nature (Figure 8E). Overall, distinct gene modules correlate with
388 phenotypic changes in our 5xFAD dataset.

389

390 **Usage Notes**

391 A critical goal of the research community is to develop and characterize animal models of
392 Alzheimer's disease that represent the various stages and pathologies that define the human
393 disease. These models are important for the cross sectional understanding of the aging-related
394 changes that lead to the development of AD, which is not easily achieved using human brain
395 samples that represent the end stage (and/or one time point) of the disease, and in order to

396 develop and test therapeutics¹⁶ with high translational value. The identification of risk-associated
397 polymorphisms to late-onset AD over the past several decades is aiding our understanding of the
398 disease, and directing new therapeutic avenues, for example against microglia^{13,17-20}. Given the
399 pronounced differences between humans and mice, modeling this complex disease of aging has
400 proven challenging, with salient differences in lifespan, and in the sequences and processing of
401 the key proteins that define the prominent pathologies of the AD brain (such as plaques (APP)
402 and tangles (tau)). As such, it is unlikely that a single animal/mouse model will recapitulate all
403 the pathologies seen in the human brain, and thus multiple animal models will be needed to
404 model different aspects of the disease. Furthermore, given the age-related and progressive nature
405 of the disease it is likely that within any animal model the appropriate ages will need to be
406 defined. Many existing mouse models of AD have utilized human APP alongside familial/early
407 onset mutations to drive amyloidogenesis and recapitulate plaque pathology and have been
408 useful for developing therapies that can mitigate this aspect of the disease such as via A β
409 immunotherapy²¹⁻²⁴. One of the most widely utilized mouse models by the AD research
410 community is the 5xFAD mouse – here we sought to phenotype and characterize the 5xFAD
411 mice model at 4, 8, 12 and 18 months of age within the MODEL-AD Consortium. We provide in
412 depth phenotyping data that reaffirm that this model develops robust amyloid pathology⁴, and
413 downstream microgliosis and inflammation²⁵⁻²⁷, reactive astrocytes, and the induction of
414 dystrophic neurites²⁸. We also show robust impairments in long-term potentiation²⁹, and
415 specific deficits in certain behavioral tasks^{4,7}. Plaque pathology is reproducible and develops
416 initially within the subiculum and then spreads throughout the hippocampus and cortex. Notably,
417 we show a sex difference with female mice developing pathology prior to male mice; this is
418 explained by increased expression of the Thy1 promoter used to drive the transgenes in this

419 model which has an estrogen response element ^{30,31} resulting in generation of higher levels of A β
420 ^{4,32}. Furthermore, we provide gene expression data from all timepoints, and find that upregulated
421 genes mostly represent the inflammatory response of the glia to the A β plaques while
422 downregulated genes are associated with synaptic and neuronal function. Critically, we show that
423 different brain regions (i.e. cortex and hippocampus) have both common and unique gene
424 expression responses to the pathology, and that these changes better recapitulate the human AD
425 brain with increased age, with 18 months 5xFAD mice showing the most concordance. All data
426 are explorable in an interactive fashion at <http://mouse.mind.uci.edu>, while raw data can be
427 downloaded at the AD Knowledge Portal (<https://adknowledgeportal.org>), including histology from the
428 entire rostral-caudal axis showing the spatial and temporal progression of pathology. The MODEL-AD
429 consortium is developing and characterizing new animal models based on GWAS identified AD risk
430 variants, humanization of key genes, and diverse genetic backgrounds and these data and the mice will be
431 available in a similar fashion to allow researchers to explore and select the appropriate animal model and
432 age for their needs. Existing models such as the 5xFAD mice have value as a robust and consistent model
433 of amyloidosis and the effects of this on the brain, as a model to compare and contrast to new models.
434 Use of standardized protocols of characterization with longitudinal analysis across the lifespan in both
435 sexes should accelerate progress toward targeted therapeutics that will translate with higher efficacy in the
436 clinic.

437

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447 **Author Contributions**

448 SF, SK, EAK, GBG, EAK, DPM, JP, DIJ, KMT, EH, CC, NR, JAA, DBV and CJ performed
449 experiments. SF, SK and KNG analyzed the data and wrote the manuscript with contributions from all
450 authors. JN, MW, GRM, AM, AJT, FML, KNG provided advice on the study design, managed the
451 project, and contributed to the manuscript.

452

453 **Competing Interests**

454 Authors declare no competing interests.

455 **Figure Legends**

456 **Figure 1. Behavioral tasks reveal age-related changes in both WT and 5xFAD mice. A-B)** 5xFAD at
457 12- and 18- month of age show less weight gain than their littermate WT; this effect is higher on females.
458 **C-H)** The open field test reveals deficits in distance traveled and velocity at 18 months 5xFAD (*E and G*,
459 *respectively*). **I-L)** 4, 8 and 12-month old 5xFAD mice spend more time in the open arms and less time in
460 the closed arms of the elevated plus maze. **M-N)** There is no effect of either age nor genotype on the
461 contextual fear conditioning. **O-P)** On the rotarod, 4-month-old 5xFAD time of latency is higher than
462 WT, the effect being more on females. Data are represented as mean \pm SEM. *P \leq 0.05, **P \leq 0.01, ***
463 P \leq 0.001, ****P \leq 0.0001, n = 9-10 per group.32eo1pgirwhu342o58oy374=

464

465 **Figure 2. 5xFAD Mouse Model Produces LTP Impairments.** Theta burst-induced LTP is impaired in
466 5xFAD mouse model. Hippocampal slices were prepared from 4, 8, and 12-month-old male and female
467 WT and 5xFAD mice. **A)** Time course for theta-burst induced (black arrow) LTP shows that the level of
468 potentiation is notably reduced in slices from 4-month-old 5xFAD mice relative to slices from WT
469 controls. Insets show field synaptic responses collected during baseline (black line) and 1 hour after theta
470 burst stimulation (red line). Scale: 1 mV/ 5 ms. **B)** Left bar graph, Group summary of mean potentiation
471 (\pm SEM) during the last 10 min of recording in slices from 4 months WT and 5xFAD mice (F1,35 = 35.8,
472 p<0.0001). Right bar graph, Mean potentiation in slices from 4 months male and female WT and 5xFAD
473 (male, F1,17 = 19.9, p = 0.003; female, F1,16 = 23.0, p = 0.0002). **C)** Time course for theta-burst
474 induced LTP shows that the level of potentiation is reduced in slices from 8 months old 5xFAD mice
475 relative to WT controls. Insets show field synaptic responses collected during baseline (black line) and 1
476 hour after theta burst stimulation (red line). Scale: 1 mV/ 5 ms. **D)** Left bar graph, Group summary of
477 mean potentiation collected during the last 10 min of recording in slices from 8 months WT and 5xFAD
478 mice (F1,38 = 64.2, p < 0.0001). Right bar graph, Mean potentiation in slices from 8 months male and
479 female WT and 5xFAD (males, F1,19 = 31.6, p < 0.0001; females, F1,17 = 32.8, p < 0.0001). **(E)** Time

480 course for theta-burst induced LTP again shows that the level of potentiation is markedly lower in slices
481 from 12 month old 5xFAD mice relative to WT controls. Insets show field synaptic responses collected
482 during baseline (black line) and 1 hour after theta burst stimulation (red line). Scale: 1 mV/ 5 ms *F*) Left
483 bar graph, Group summary of mean potentiation during the last 10 min of recording in slices from 12
484 months WT and 5xFAD mice ($F_{1,36} = 64.4$, $p < 0.0001$). Right bar graph, Mean potentiation in slices
485 from 12 months male and female WT and 5xFAD (male, $F_{1,17} = 16.7$, $p = 0.0008$; female, $F_{1,17} = 59.3$,
486 $p < 0.0001$). *G*) The input/output curve measuring the amplitude of the fiber volley relative to the fEPSP
487 slope at 12 months was significantly different between WT and 5xFAD group (top panel, $F_{1,36} = 22.8$, p
488 < 0.0001), and gender (bottom panel, male, $F_{1,17} = 4.5$, $p = 0.049$; female, $F_{1,17} = 34.4$, $p < 0.0001$).
489 Field traces on the right show representative synaptic responses collected during generation of an
490 input/output curve in a slice from a 12-month-old WT and 5xFAD mouse. Scale: 1 mV/ 5 ms. (H) Paired-
491 pulse facilitation was measured at 40, 100, and 200 ms intervals. Top panel, At 12 months of age, PPF is
492 significantly reduced in slices from 5xFAD mice with respect to age-matched WT controls ($F_{1,36} = 5.8$,
493 $p = 0.02$). Bottom panel. This effect is due to the notable separation in PPF at 40 and 100 ms stimulus
494 intervals between male 5xFAD and WT controls (males, $F_{1,17} = 9.6$, $p = 0.006$; females, $F_{1,17} = 0.03$,
495 $p = 0.86$). Field traces on the right represent a pair of evoked responses at 40 ms collected in a slice from
496 a 12 months male 5xFAD and WT mouse. Scale: 1 mV/ 5 ms.

497

498 **Figure 3. Fibrillar amyloid plaques increase in size and number in 5xFAD aged mice.** 5xFAD plaque
499 burden was assessed with Thio-S staining at each time point. *A-B*) Representative stitched brain
500 hemispheres of WT and 5xFAD shown with Thio-S staining at the 4- and 18-month and 4, 8, and 18 mo
501 timepoints respectively, counter stained for NeuN. *B*) Representative stitched whole brain hemispheres of
502 5xFAD (rostral to caudal) shown with Thio-S staining at the 4 month timepoint. *C*) Representative
503 images of plaques in 5xFAD mice across timepoints displaying a “halo” effect at 12 and 18 months. *D-G*)
504 Quantification for number of Thio-S positive plaques in the cortex and hippocampus by genotype and sex.
505 *H-K*) Quantification of average plaque area in the cortex and hippocampus by genotype and sex. Data are

506 represented as mean \pm SEM. *P \leq 0.05, **P \leq 0.01, ***P \leq 0.001, ****P \leq 0.0001, n = 6 per sex per
507 age.

508 **Figure 4. Protein differences observed with age and in 5xFAD mice.** Levels of A β were quantified in
509 microdissected hippocampi and cortices via Mesoscale Multiplex technology. *A-H*) Levels of A β 40 and
510 A β 42 were measured in the soluble fraction of cortex and hippocampus, respectively, with age-related
511 increases in the level of A β 40 and A β 42 shown in cortex and hippocampus of 5xFAD mice. *I-P*)
512 Increases in levels of insoluble A β 40 and A β 42 were seen in cortex and hippocampus with age. *Q-T*)
513 Increases of plasma levels of A β 40 at 18 months of age 5xFAD and A β 42 at 8-, 12- and 18- month old
514 5xFAD. Data are represented as mean \pm SEM. *P \leq 0.05, **P \leq 0.01, ***P \leq 0.001, ****P \leq 0.0001, n
515 = 6 per group.

516

517 **Figure 5. Immunostaining of microglia and astrocytes.** Brains of mice at each timepoint were sliced
518 and immunostained for IBA1, GFAP and S100 β to reveal any changes in microglial, astrocytic. *A-B*)
519 Representative stitched brain hemispheres of WT and 5xFAD shown with IBA1/Thio-S staining at the 4-
520 and 18-month and 4, 8, and 18 months timepoints, respectively. *C-F*) IBA1 immunostaining for microglia
521 reveals both age-related changes in WT and 5xFAD microglial number, and differences between
522 genotypes in cortex and hippocampus. *G-H*) Representative stitched brain hemispheres of WT and
523 5xFAD shown with GFAP/ S100 β /Thio-S staining at the 4- and 18-month and 4, 8, and 18 months
524 timepoints, respectively. *I-P*) Astrocyte number is assessed via GFAP (*I-L*) and S100 β staining (*M-P*) in
525 the cortex and hippocampus. Data are represented as mean \pm SEM. *P \leq 0.05, **P \leq 0.01, ***P \leq 0.001,
526 ****P \leq 0.0001, n = 6 per group.

527

528 **Figure 6. Immunostaining of lysosomes.** *A-B*) Representative stitched brain hemispheres of WT and
529 5xFAD shown with LAMP1/Thio-S staining at 4 and 18 months, and 4-, 8- and 18-months timepoints,
530 respectively. *C-D, I-J*) Quantification of Thio-S in cortex and hippocampus. *E-F, K-L*) LAMP1

531 immunostaining for lysosomes reveals age-related changes of 5xFAD mice in percent area of the cortex
532 and hippocampus covered by LAMP1. *G-H*). In quantifying the ratio of LAMP1/Thio-S coverage, there
533 was an age-related decrease, but no sex-related changes in the cortex. *M-N*) A ratio of the percent area
534 coverages of LAMP1 and Thio-S reveals age-related changes in the hippocampus of 5xFAD mice and no
535 sex-related changes.

536

537 **Figure 7. Differential gene expression analysis of the 5xFAD time course.** A) Comparisons of 5xFAD
538 and WT were done across different timepoints and tissues. Upregulated genes are labeled in pink and
539 down regulated genes are labeled in purple. Number of differential expressed genes is displayed in the
540 upper corners of the volcano plot. Parameters FDR < 0.05. B) Comparison of differential expressed genes
541 across timepoint and tissue. Upregulated genes in red, downregulated genes in blue. Each column
542 represents a set of genes for a different time point, each row represents each one of the differentially
543 expressed genes. Unique upregulated and downregulated gene sets representing in Figure 7G and 7I are
544 also indicated as (G) and (I) in this panel. C-D) Heatmap and GO Term analysis for common genes
545 upregulated. E-F) Common downregulated genes, G-H) Unique genes upregulated at 18 months in
546 hippocampus, I-J) Unique genes downregulated at 18 months in hippocampus. K) Comparison of
547 differentially expressed genes against AMP-AD modules. Size of the dot represents the fraction and color
548 represents how much this fraction is significant.

549

550 **Figure 8. Gene expression during progression of the 5xFAD phenotype.** A) Matrix with the Module-
551 Trait Relationships (MTRs) and corresponding p-values between the detected modules on the y-axis and
552 selected AD traits on the x-axis. The MTRs are colored based on their correlation: red is a strong positive
553 correlation, while blue is a strong negative correlation. B) Bar plots for the eigengene expression and
554 heatmap of the genes in the blue module. C) Bar plots for the eigen expression of the genes in the dark
555 olive-green module. D) and E) Gene ontology analysis for genes of the blue and dark olive-green module
556 respectively.

557

558 **Supplemental figure. Phenotyping pipeline of the 5xFAD mouse model.** The process order by
559 which the animals and sample tissue go through within the MODEL-AD phenotyping pipeline at
560 UCI, including behavior, LTP, RNA-seq, histology and biochemical assays.

561

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563

564

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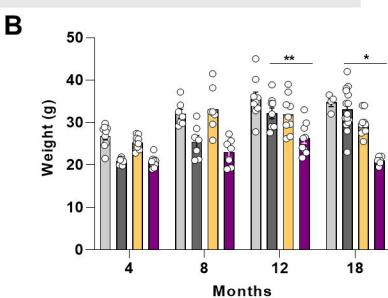
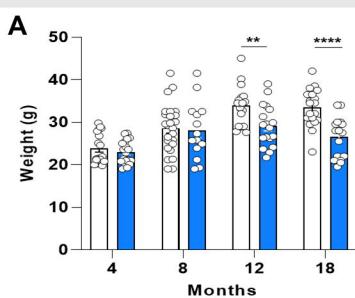
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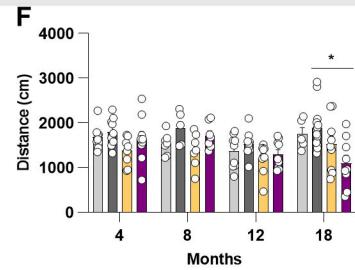
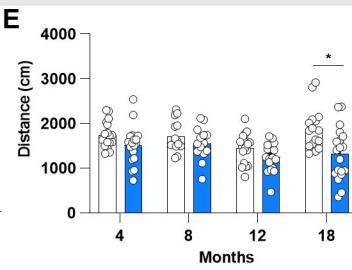
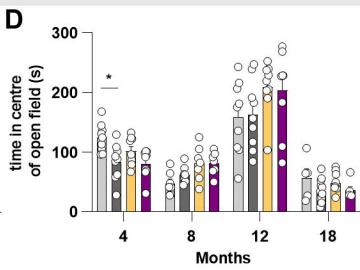
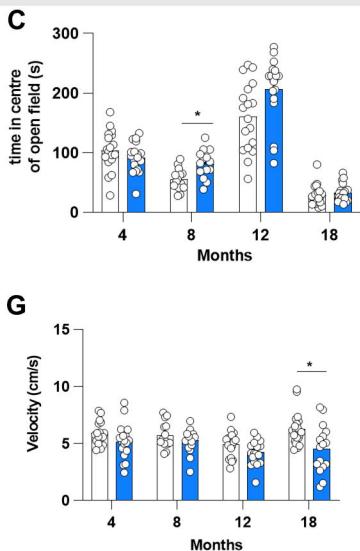
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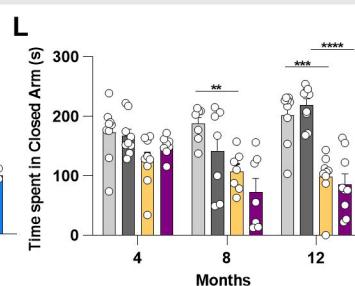
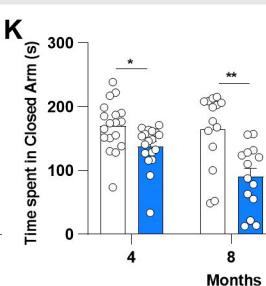
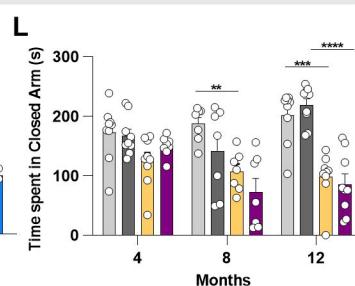
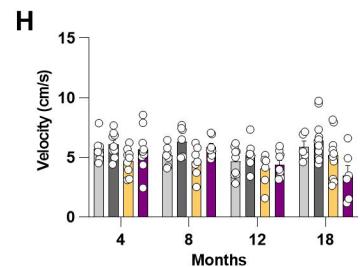
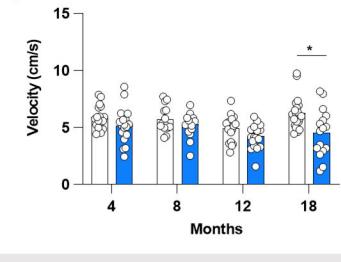


Legend:
 Wild-Type 5xFAD
 WT - Males WT - Females 5xFAD - Males 5xFAD - Females

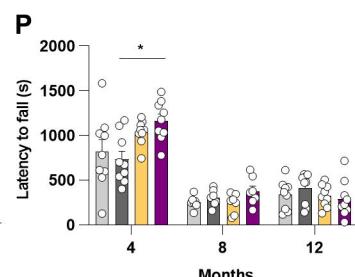
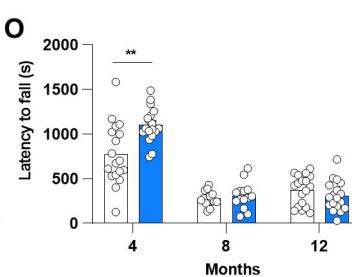
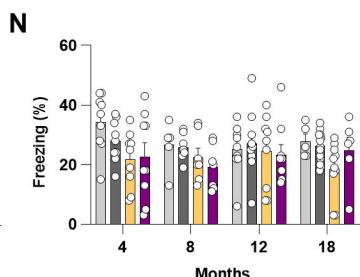
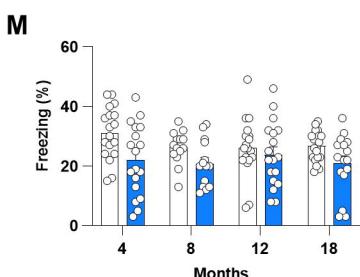
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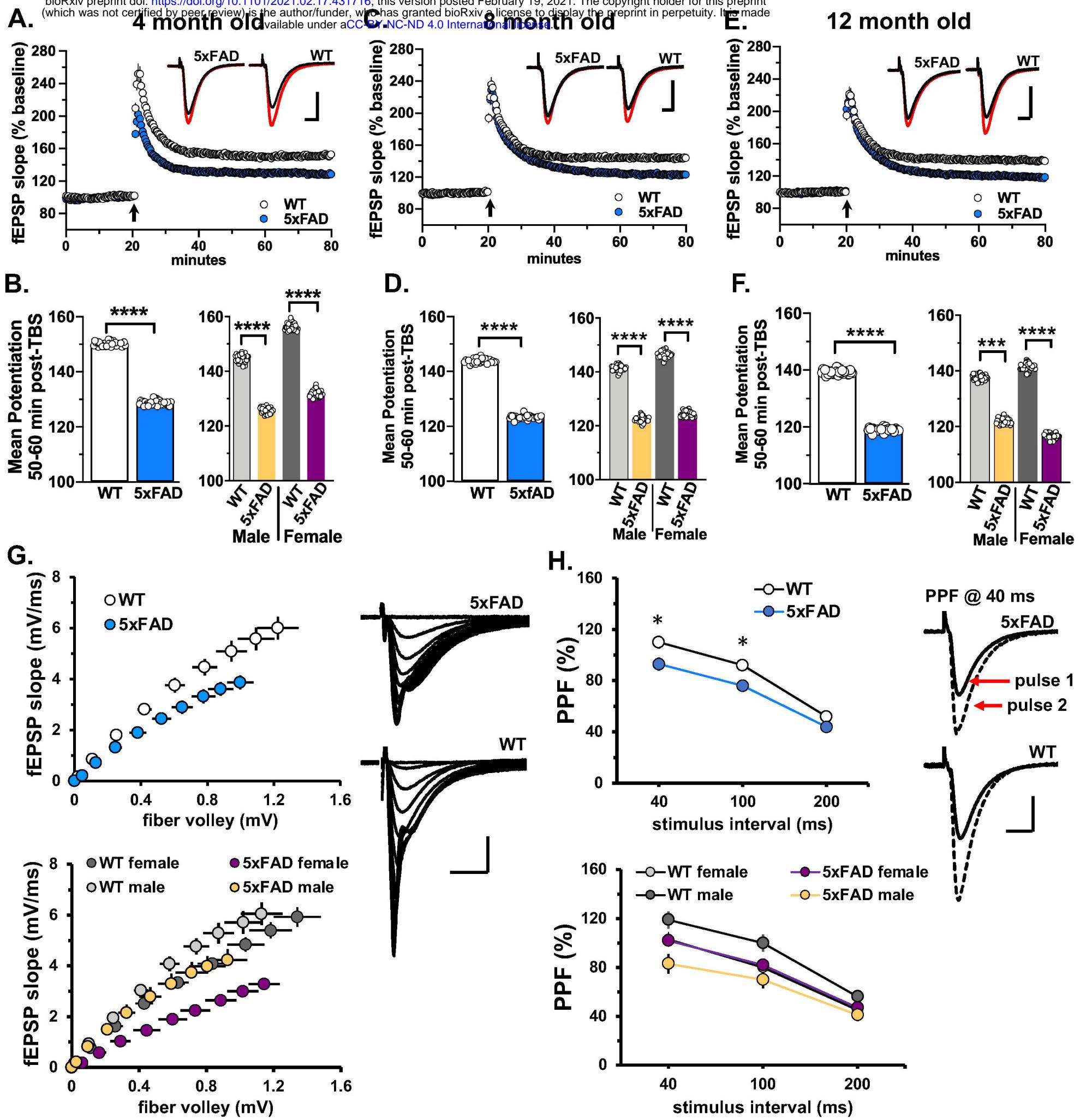
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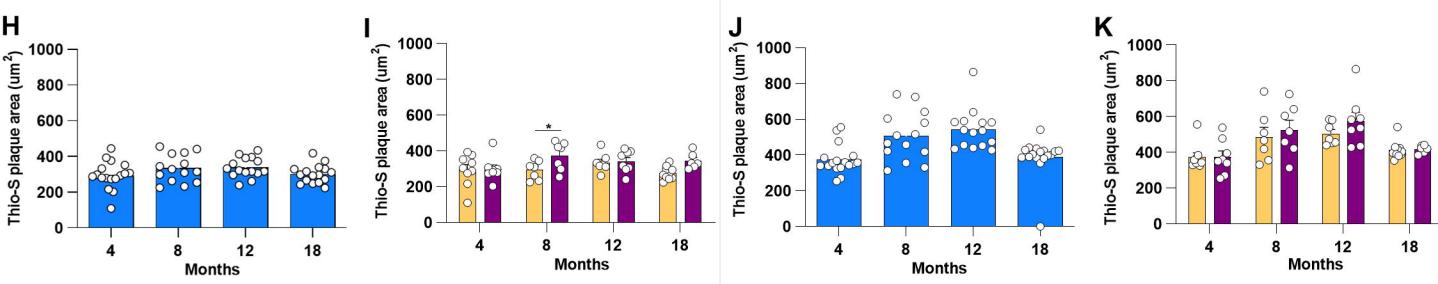
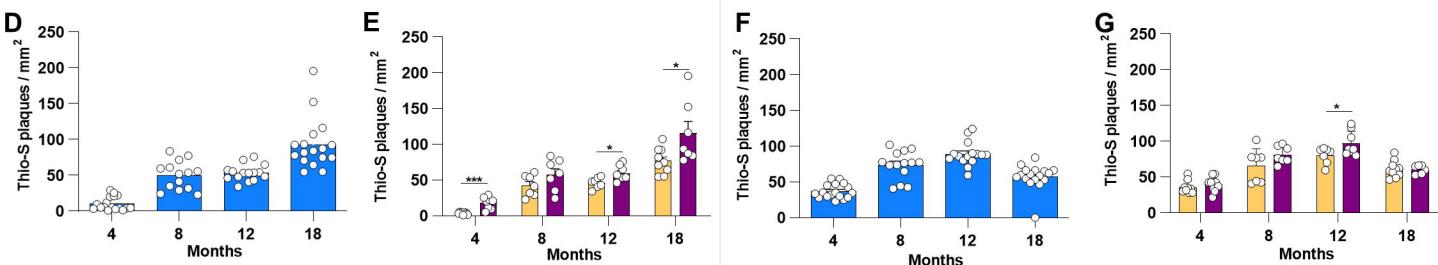
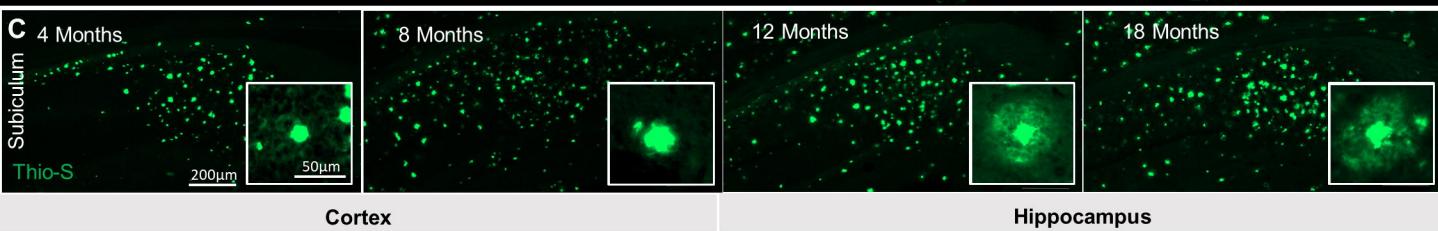
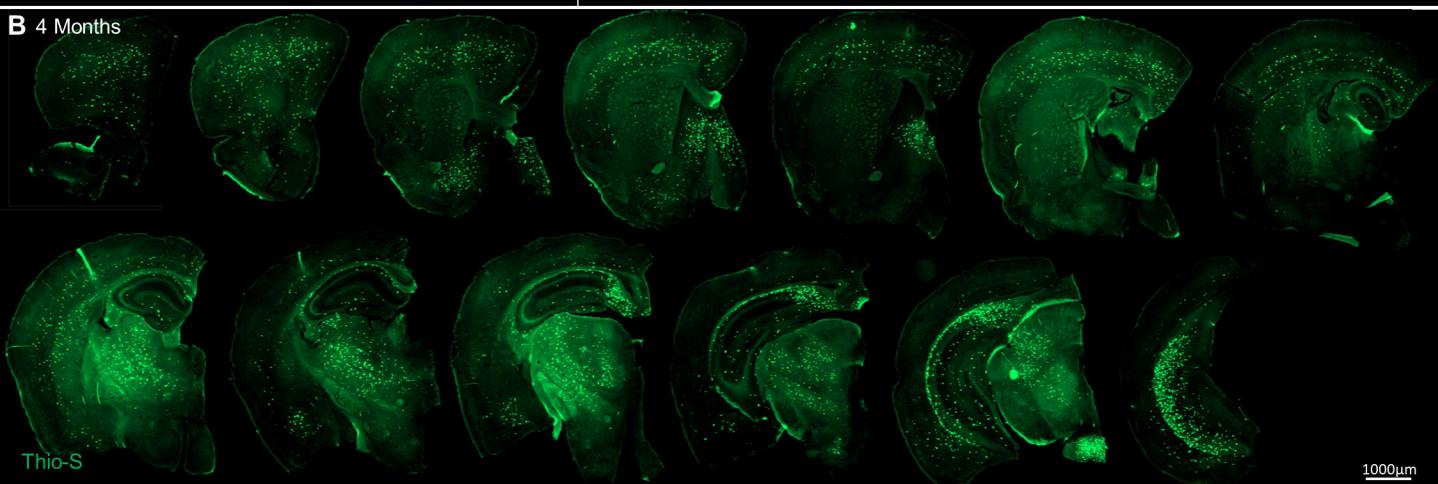
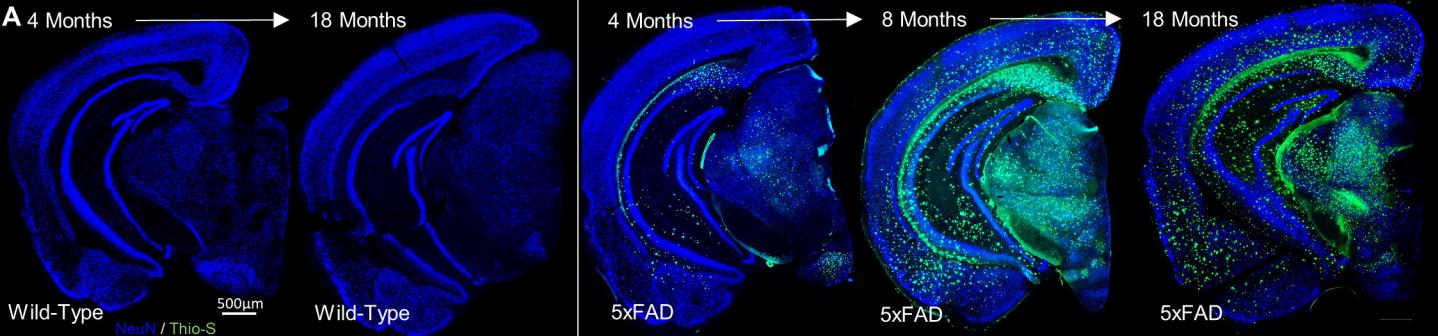


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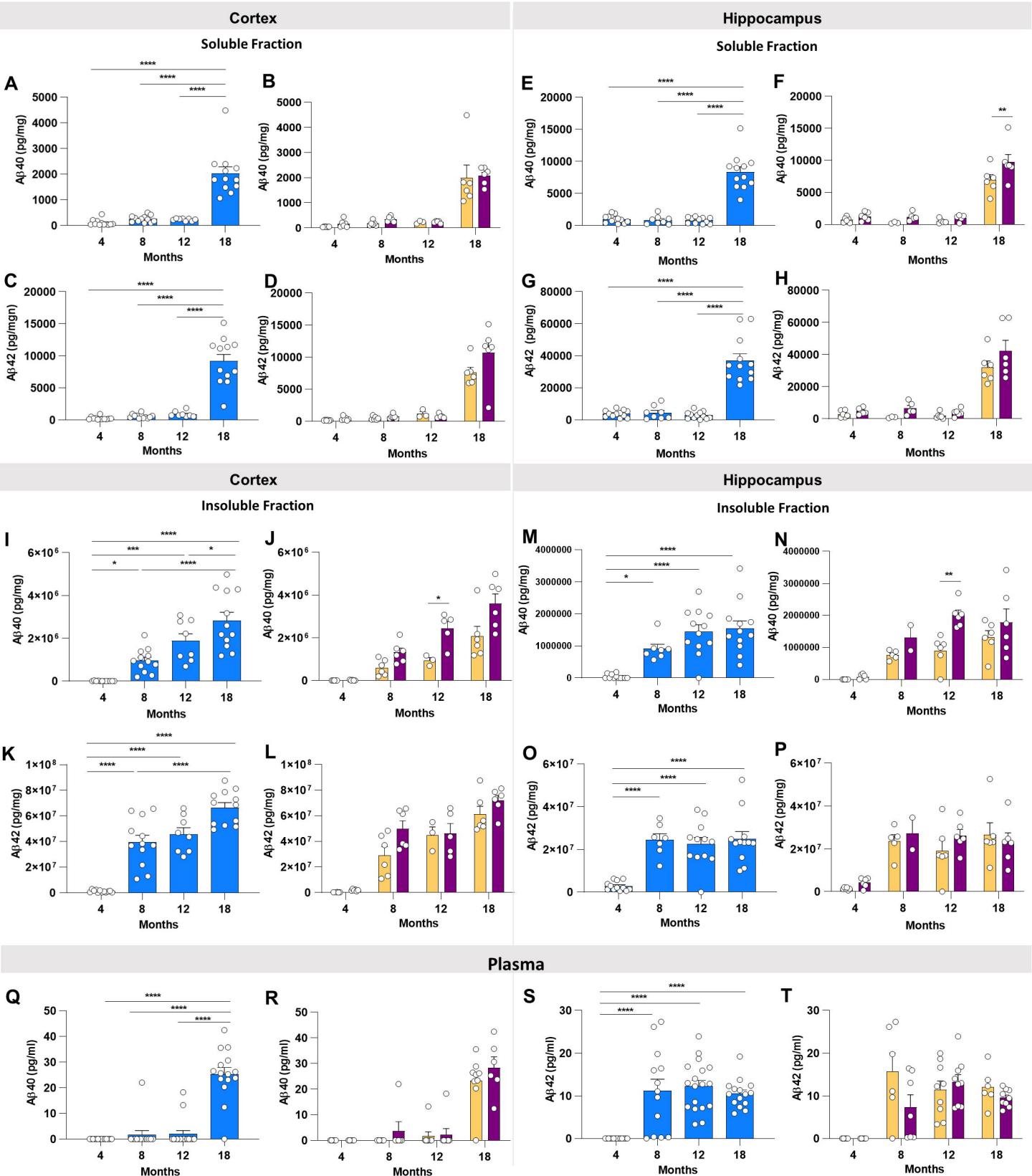


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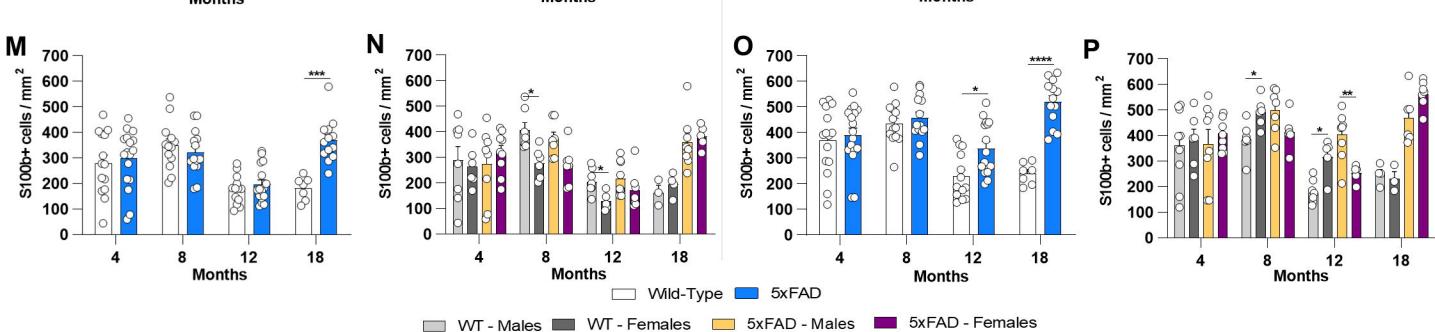
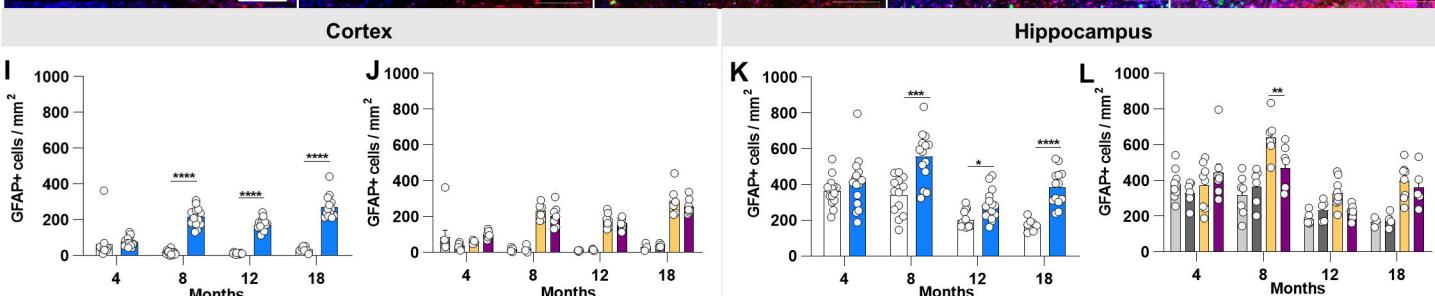
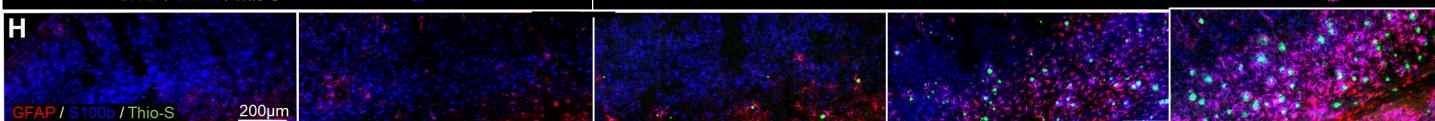
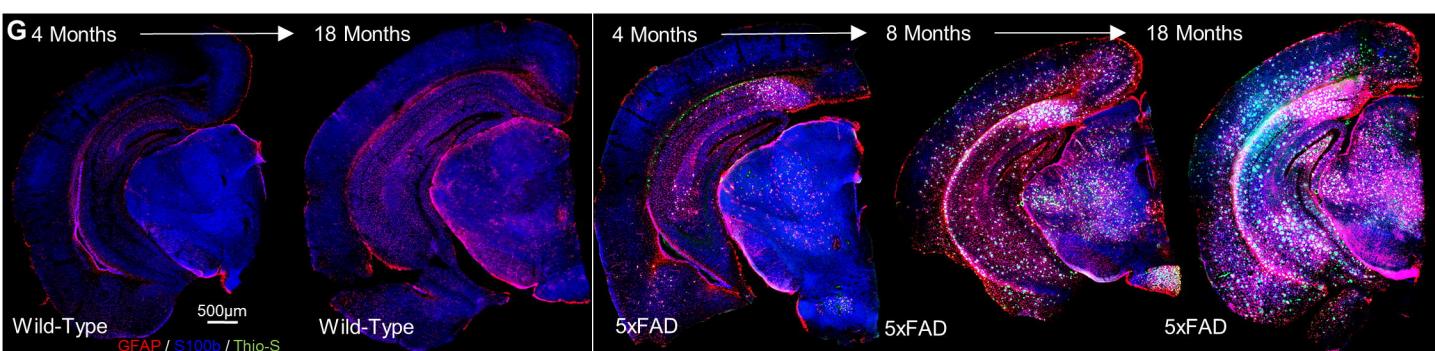
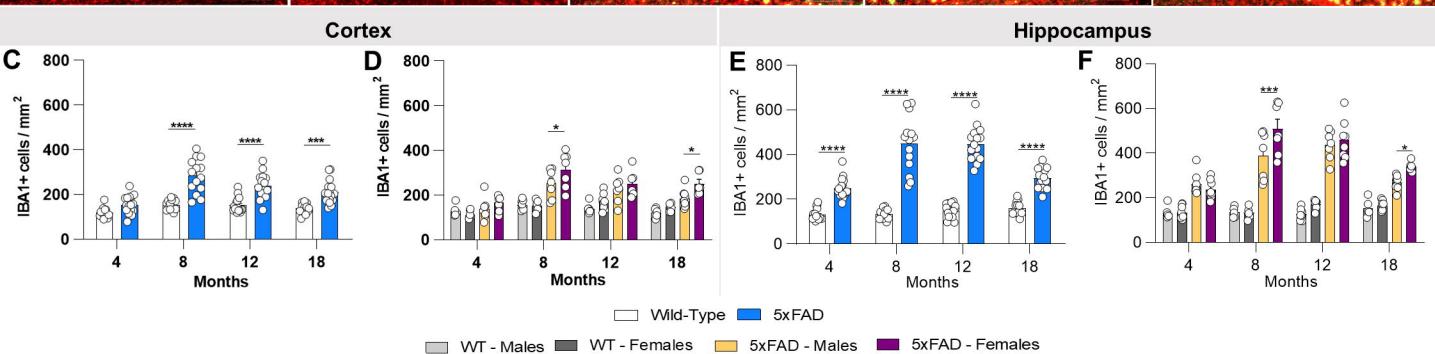
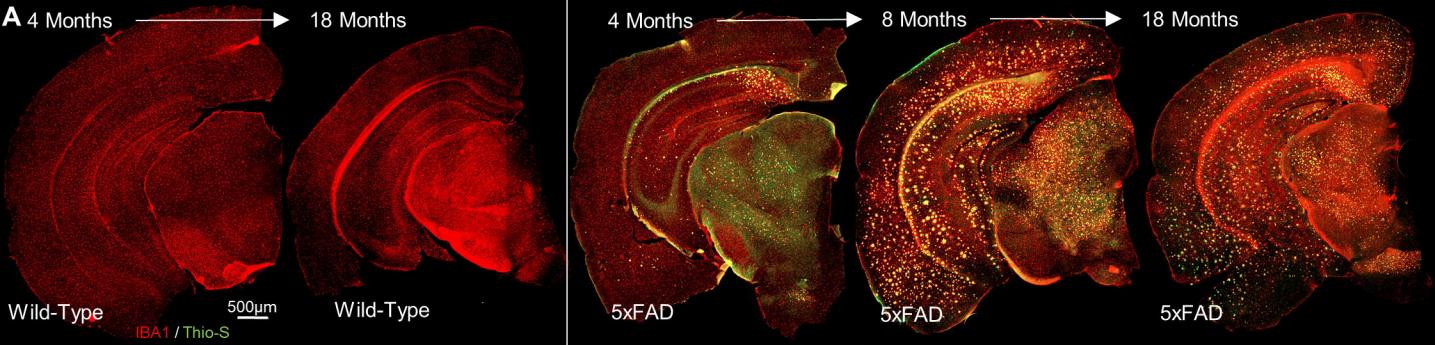


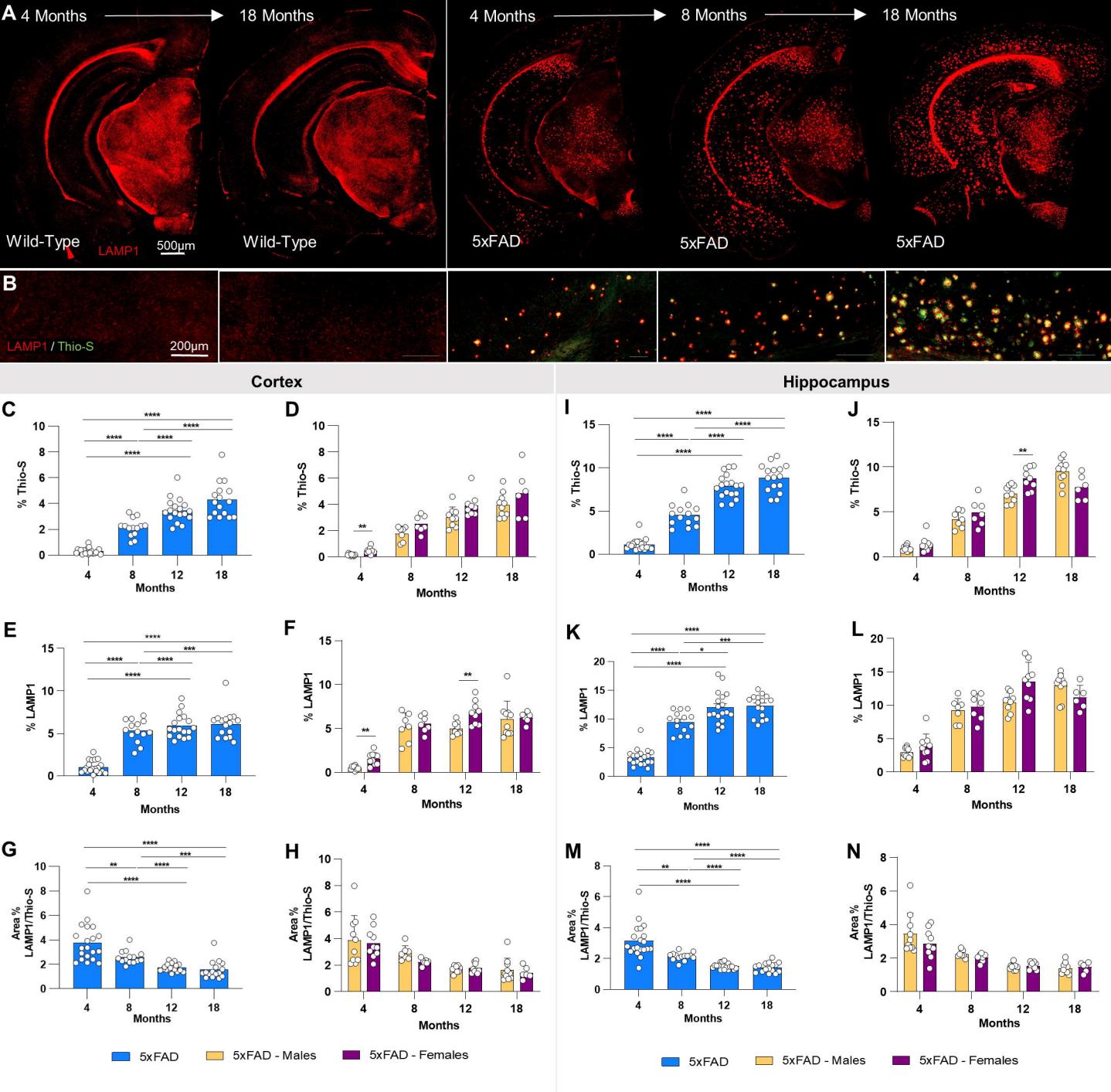


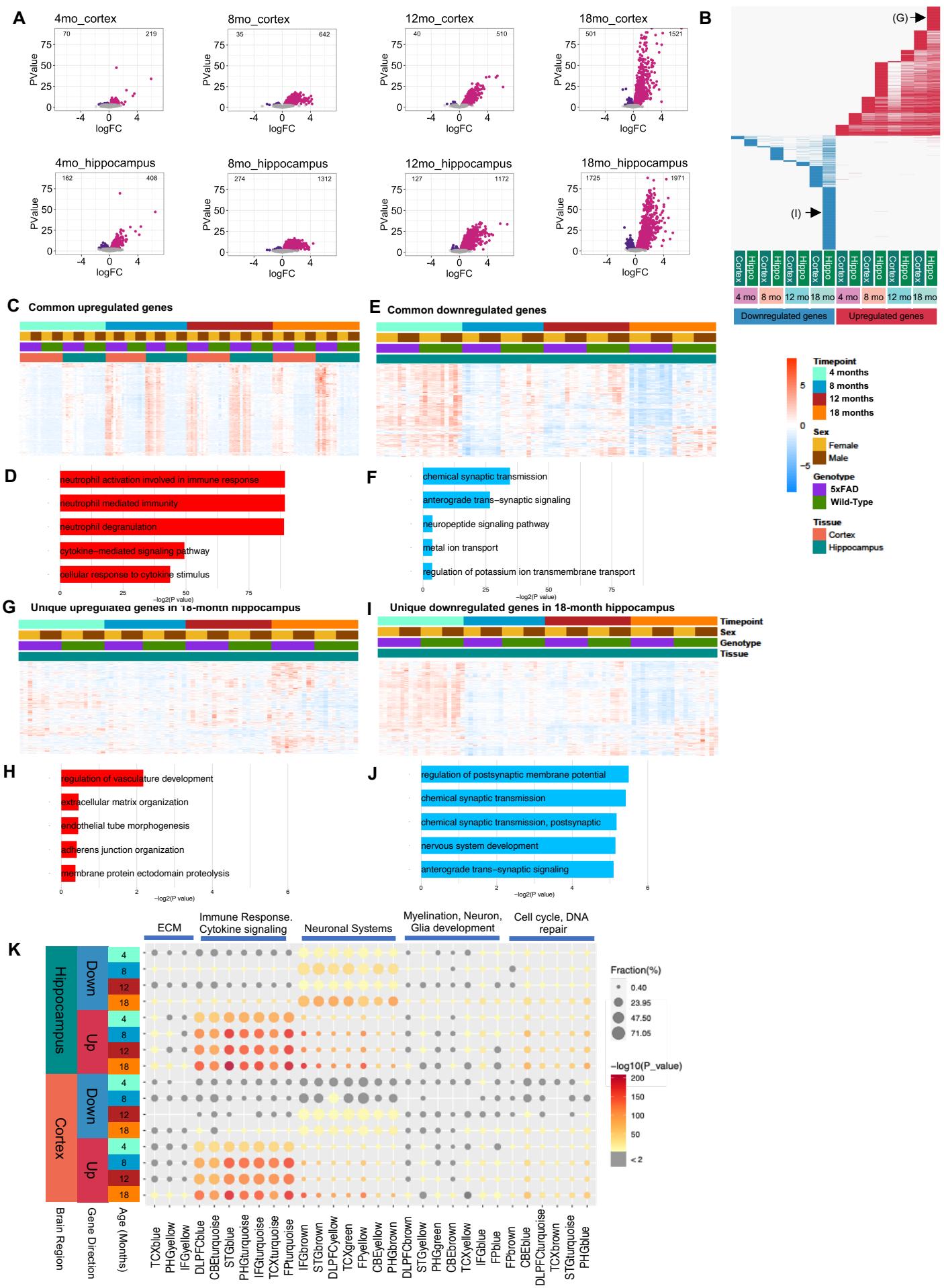
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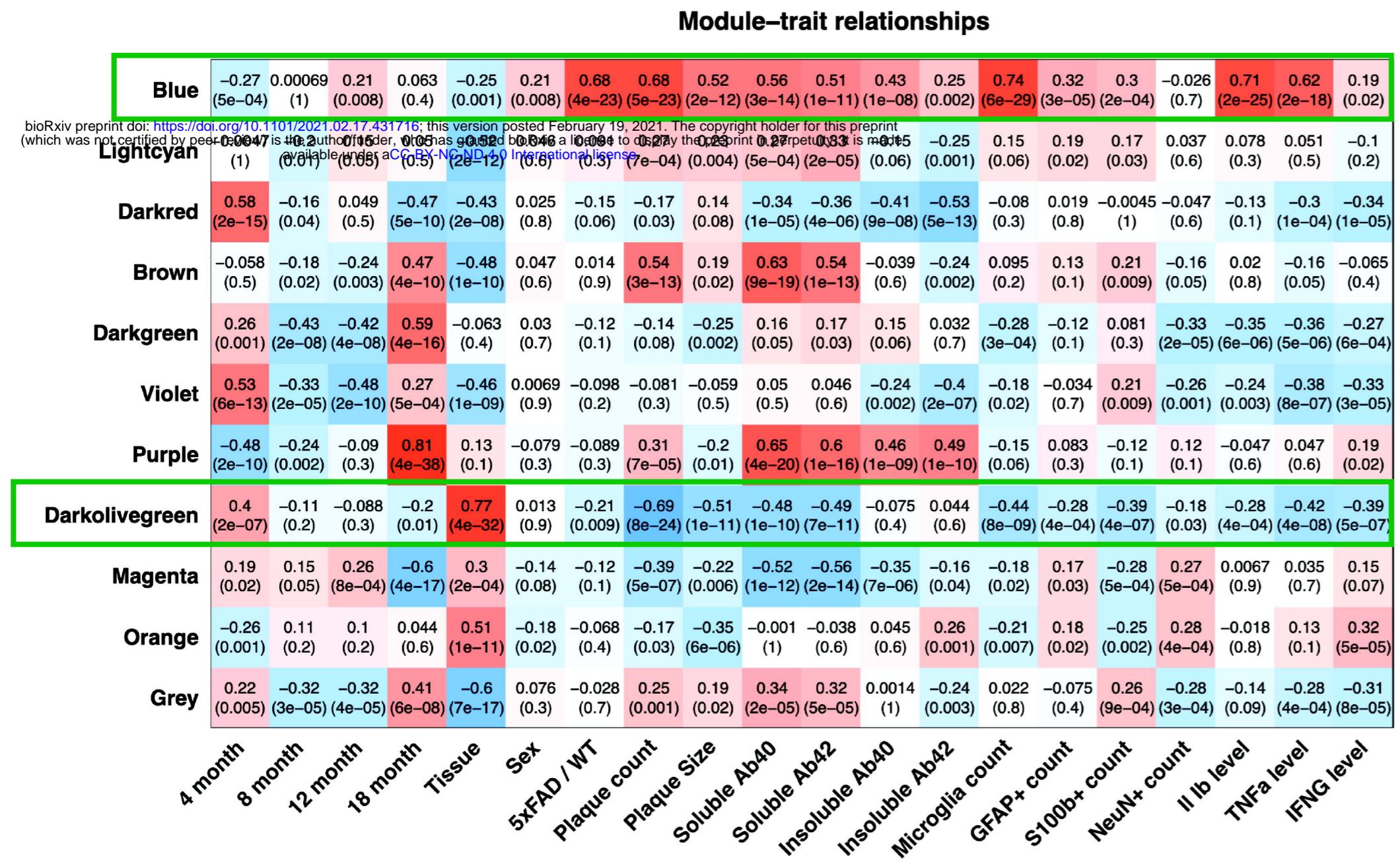
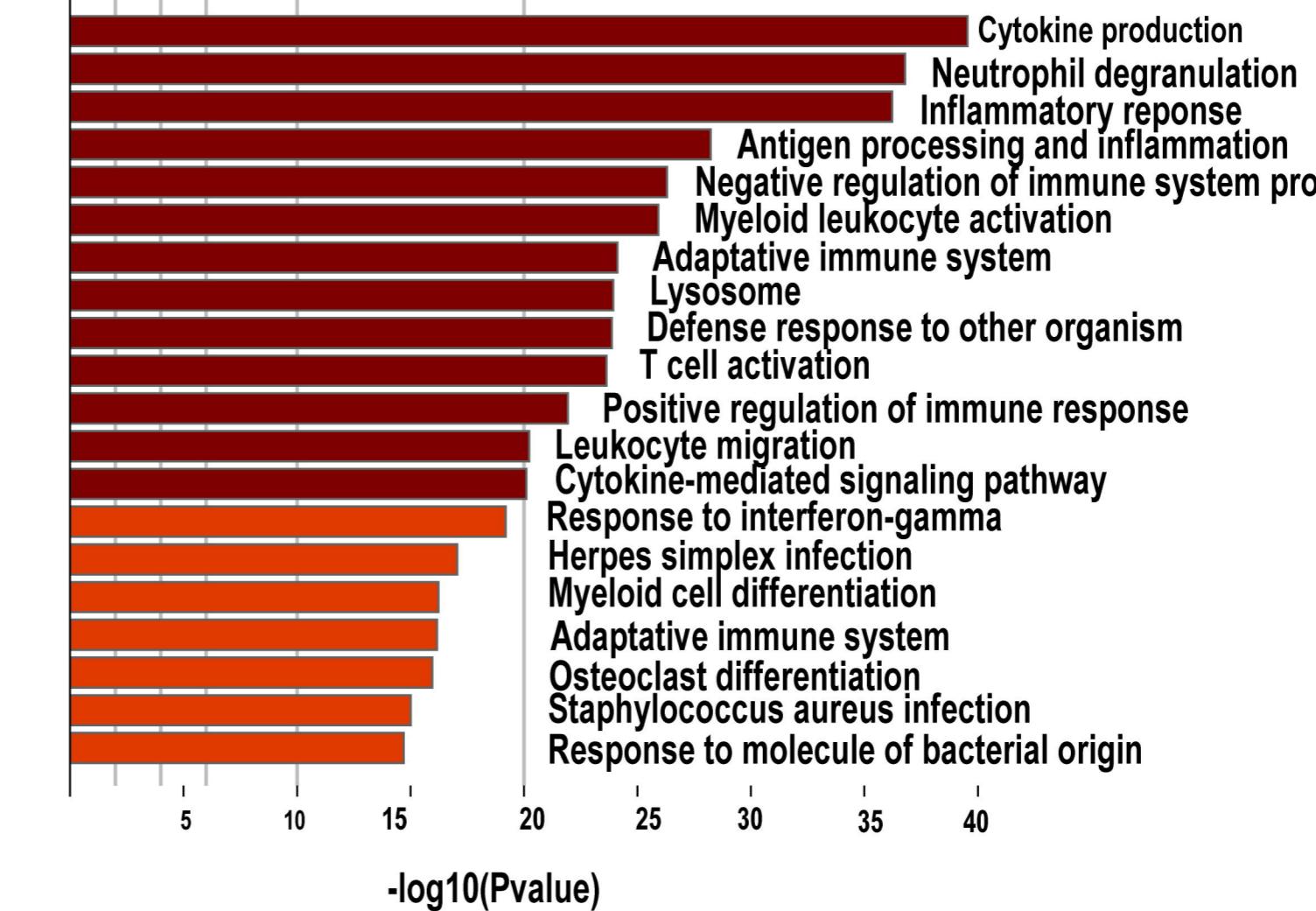
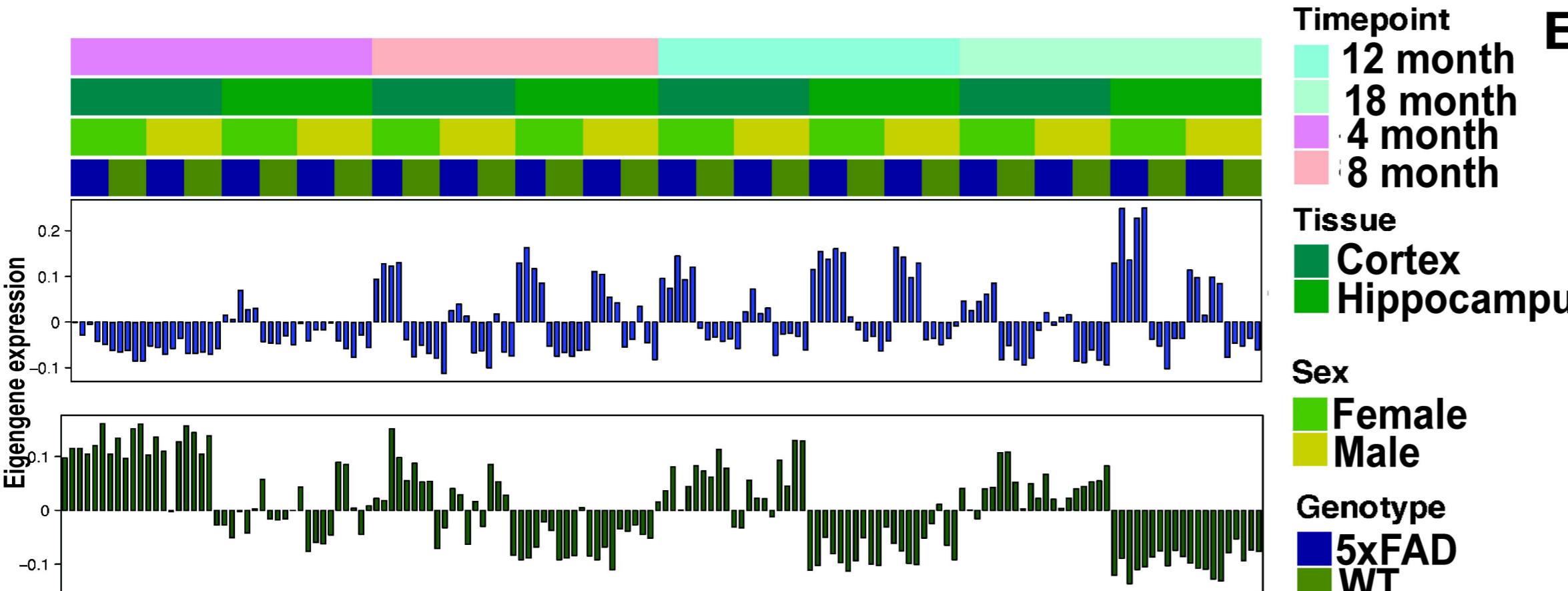


■ 5xFAD ■ WT - Males ■ WT - Females ■ 5xFAD - Males ■ 5xFAD - Females







A**D****B****C**