

1 Running title: Ontogeny of β cell maturation and dedifferentiation

2

3 **The Anna Karenina model of β cell maturation in development and their dedifferentiation in**
4 **type 1 and type 2 diabetes**

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16 **Abstract**

17 Loss of mature β cell function and identity, or β cell dedifferentiation, is seen in all types of
18 diabetes mellitus. Two competing models explain β cell dedifferentiation in diabetes. In the first
19 model, β cells dedifferentiate in the reverse order of their developmental ontogeny. This model
20 predicts that dedifferentiated β cells resemble β cell progenitors. In the second model, β cell
21 dedifferentiation depends on the type of diabetogenic stress. This model, which we call the
22 “Anna Karenina” model, predicts that in each type of diabetes, β cells dedifferentiate in their
23 own way, depending on how their mature identity is disrupted by any particular diabetogenic
24 stress. We directly tested the two models using a β cell-specific lineage-tracing system coupled
25 with RNA-sequencing in mice. We constructed a multidimensional map of β cell transcriptional
26 trajectories during the normal course of β cell postnatal development and during their
27 dedifferentiation in models of both type 1 diabetes (NOD) and type 2 diabetes (BTBR-*Lep^{ob/ob}*).
28 Using this unbiased approach, we show here that despite some similarities between immature
29 and dedifferentiated β cells, β cells dedifferentiation in the two mouse models is not a reversal
30 of developmental ontogeny and is different between different types of diabetes.

31 **Introduction**

32 Insulin-secreting pancreatic β cells are essential for maintaining blood glucose homeostasis, and
33 their loss or dysfunction underlies all types of diabetes mellitus. In type 1 diabetes (T1D), β cells
34 are targeted by an autoimmune attack. In type 2 diabetes (T2D), β cells fail due to work
35 overload and a toxic metabolic environment brought about by obesity and peripheral insulin
36 resistance. In recent years, it has become clear that not all β cells are permanently lost in either
37 type of diabetes. Instead, chronically stressed β cells lose their functionally mature phenotype
38 and shift to a dysfunctional state in a process called dedifferentiation. Such β cell
39 dedifferentiation is seen in humans (1-6) as well as in murine models of both T1D and T2D (7,
40 8). The progression to overt diabetes can be prevented if diabetic β cell stress is alleviated in
41 time, before the functionally mature β cell mass is lost (9, 10). Thus, drugs that work by directly
42 reversing or preventing β cell dedifferentiation are critically needed (11, 12).

43 The term “ β cell dedifferentiation” to describe the loss of mature β cell phenotype was first
44 coined over two decades ago (13, 14). However, what exactly constitutes “dedifferentiated β
45 cells” remains debated (15). Previously, it was proposed that β cells in diabetes dedifferentiate
46 in the reverse order of their normal developmental ontogeny (8). This model predicts that
47 dedifferentiated β cells resemble β cell progenitors (Figure 1, top). An alternative model
48 suggests that β cell dedifferentiation is a stress type-specific process caused by disruption of
49 specific gene regulatory networks by the diabetogenic environment, thus resulting in a stress-
50 type specific loss of functional maturity, without assuming a “true” β progenitor cell identity
51 (16). This model, which we call the Anna Karenina model (based on the opening sentence in

52 Tolstoy's novel by the same name, "All happy families resemble one another, each unhappy
53 family is unhappy in its own way" (17)), predicts that, in each type of diabetes, β cells will lose
54 their mature phenotype in a unique manner, depending on how their genetic network is
55 perturbed by a particular diabetogenic environment (Figure 1, bottom).

56 Here, we test the Anna Karenina model of β cell dedifferentiation in diabetes. Specifically, we
57 test whether under different types of diabetic stress, dedifferentiated β cells resemble one
58 progenitor state, or if each type of diabetes produces β cells that are dedifferentiated in their
59 own way. We do so by elucidating how the transcriptional landscape of β cells changes during
60 their maturation in normal development, and their dedifferentiation in different types of
61 diabetes, using a β cell-specific lineage-tracing system in mice. This approach enables us to
62 follow β cells during both the normal course of their development and during their
63 dedifferentiation in diabetes, and allows for direct, unbiased comparison between the gain of β
64 cell maturation in development and the ways it is lost upon different types of diabetogenic
65 insult.

66 Results

67 *Transcriptional relationships between β cell maturation in postnatal development and their*
68 *dedifferentiation in different types of diabetes.*

69 To test the transcriptional relationship between β cell maturation and their dedifferentiation in
70 different types of diabetes, we used our previously reported murine β cell-specific lineage-
71 tracing system (18, 19). This system is made by crossing mice transgenic for *Insulin2-Cre* with
72 mice carrying a floxed reporter of histone H2B fused to mCherry (*Rosa26-lox-stop-lox-*
73 *H2BmCherry*). In this system, any cell that had ever expressed the *Insulin* gene is permanently
74 marked with nuclear mCherry. This reporter mouse line thus enables us to isolate and
75 investigate β cells through development and functional maturation, as well as through the
76 progression of diabetes, using a single-platform method. We crossed this system into the non-
77 obese diabetic (NOD) model of autoimmune T1D and into the BTBR-*Lep^{Ob/Ob}* (BTBR-*Ob/Ob*)
78 model of obesity-related T2D. We FACS-purified lineage traced β cells from healthy mice during
79 postnatal development, through adulthood, and during the progression to diabetes in the
80 different models. We next subjected the samples to whole-genome RNA-sequencing. We thus
81 generated gene expression data from four time points during β cell development and
82 maturation (E18.5, P1, P7 and P10), as well as healthy adult mice and diabetic mice (defined by
83 having fed blood glucose levels >300mg/dL).

84 We performed unsupervised bottom-up hierarchical clustering of the samples based on the top
85 15% most variable genes, using Spearman's correlation as the distance metric (Figure 2). This
86 method identified three large clusters ("development", "healthy adult", and "diabetic").

87 Importantly, wildtype (*WT*) samples (ICR genetic background) and non-diabetic *Ob/+* samples
88 (BTBR genetic background) clustered together, without apparent separation between them,
89 confirming that our method correctly distinguishes between the disease conditions, and not
90 between genetic backgrounds. Interestingly, three of the NOD non-diabetic samples clustered
91 together with the healthy adult samples, and four of the NOD non-diabetic samples clustered
92 with the diabetes samples, suggesting that transcriptional changes related to β cell stress can
93 be detected before the increase in blood glucose in these mice.

94

95 *Ontogeny of β cell maturation and dedifferentiation.*

96 To distinguish, in an unbiased manner, between the reversal of ontogeny model and the Anna
97 Karenina model of β cell dedifferentiation in diabetes, we generated a multi-dimensional
98 trajectory map of the transcriptional states of β cells as they mature during development and as
99 they lose their mature identity in each of the two types of diabetes (Figure 3). We reasoned
100 that if the reversal of ontogeny model is correct, then diabetic β cells are expected to cluster
101 along the developmental trajectory. On the other hand, if the Anna Karenina model is correct,
102 then diabetic β cells will not cluster with any progenitor stage. Principal component analysis
103 (PCA) of the top 15% most variable genes among the groups was used to generate a three-
104 dimensional spatial distribution map of the samples. We found that the first three principal
105 components captured 46.5% of the variation between the samples. PC1 (26.8% of the
106 variation), PC2 (13.5% of the variation) and PC3 (6.2% of the variation) clearly separated the
107 “healthy adult” samples, the “development” samples, and the “diabetic” samples into three

108 distinct clusters (Figure 3, Left). Further separation was seen between the NOD-diabetic (T1D)
109 and the BTBR-*Ob/Ob*-diabetic (T2D) samples (Figure 3, Right). Again, the NOD non-diabetic
110 samples were divided between the NOD-diabetic and the healthy adult samples, indicating that
111 loss of β cell maturation in NOD mice precedes the onset of overt diabetes. Thus, our analyses
112 using two independent unsupervised mathematical methods suggest that β cells in the above
113 two diabetes models lose their mature identity, but do not return to any developmentally
114 relevant stage.

115

116 *Gene-specific expression changes in β cell maturation and dedifferentiation.*

117 To validate our unbiased clustering results, we directly examined the expression of a broad list
118 of published markers of mature β cell identity (20-29), “ β cell disallowed” genes (30-32),
119 markers of immature β cells and non-insulin-expressing β cell precursors (6, 8, 9, 20, 33-36),
120 and islet hormones (Figure 4). Several markers of immature β cells and β cell progenitor genes
121 (*MafB*, *Nnat*, *Sox17*, *Fev*, and *Myc*), as well as most “ β cells disallowed” genes (*Ldha*, *Hk1*, *Mylk*,
122 *Igfbp4*, *Ndr2*, *Pcolce*, and *Slc16a2*) showed down-regulation during normal β cell maturation
123 but were not re-expressed in either type of diabetes. One “disallowed” gene, *Ly6a*, was re-
124 expressed in the T1D group and one disallowed gene, *Aldh1a3*, was re-expressed in the T2D
125 group. Of the mature β cell genes, *MafA*, *Nkx6.1*, *Tshz1*, and *Slc2a2* were already present at
126 high levels in the development group (which included semi-mature P10 pups (37)) and were
127 down-regulated in the T2D group and, to a lesser extent, in the T1D group. Of the known β cell
128 maturation markers, only *Ucn3* was up-regulated during β cell maturation and down-regulated

129 in both types of diabetes, confirming previous reports by us and others that *Ucn3* is one of the
130 most sensitive markers for the fully mature β cell state (18, 20, 38, 39). Conversely, *Dlk1*, a
131 marker for immature β cells (20, 40), is down-regulated in maturation and is re-expressed in
132 both types of diabetes, while *Gast* appears to be down-regulated in maturation and to be re-
133 expressed specifically in T2D, as was previously reported (33). We did not see re-expression of
134 *Neurog3* or any of the other markers of early β cell precursors in either type of diabetes.

135 Further comparisons of all genes expressed at higher and lower than 1.5-fold with adjust p-
136 value of less than 0.05 ($q < 0.05$) in each non-mature condition (development, T1D-diabetic, and
137 T2D-diabetic) compared to the healthy adult group showed little overlap among the non-
138 mature groups, confirming our observation that dedifferentiated β cells in either of the
139 diabetes groups do not revert to a developmentally relevant transcriptional state (Figure 5). A
140 full list of genes in each group and Gene Ontology (GO) term enrichment of biological processes
141 significantly enriched in each of the groups are presented in Supplementary Tables 1-4. Side by
142 side comparisons of genes differentially expressed between each group and all other groups are
143 shown in Supplementary Figure 1. These gene-specific analyses confirm distinct gene signatures
144 for β cell maturation during normal postnatal development, and their dedifferentiation in each
145 type of diabetes. We concluded that β cell dedifferentiation in diabetic NOD and BTBR-*Ob/Ob*
146 mice is not a reversal of developmental ontogeny and is different for each type of diabetes.

147 Discussion

148 Preventing or reversing β cell dedifferentiation is a promising approach to restoring glycemic
149 control in people with diabetes. To this end, it is essential to understand the genetic
150 mechanisms leading to β cell dedifferentiation under different diabetogenic conditions. Several
151 studies over the last decade proposed that β cells in diabetes dedifferentiate in reverse order of
152 their normal developmental ontogeny. This was shown by the loss of mature β cell markers in
153 diabetic β cells, concomitant with the re-expression of several β cell progenitors genes, such as
154 *Neurog3*, *Sox9*, *Myc*, and in some cases even *Nanog* and *Oct4* (8, 9, 34, 35). Other studies,
155 however, reported the loss of mature β cell markers in diabetic β cells without re-expression of
156 progenitor-stage transcription factors (16, 18, 41), or found that dedifferentiated β cells
157 resemble immature (neonatal) β cells to some extent, but are not *Neurog3*-expressing
158 progenitors (12, 33). It thus remains debated whether dedifferentiated β cells in diabetes revert
159 to a progenitor-like state, or whether they lose their mature identity without reverting to any
160 ontogeny-relevant stage and, if so, whether different types of diabetogenic stresses push β cells
161 to different dedifferentiated trajectories. We set out to distinguish between the different
162 models of β cell dedifferentiation in an unbiased manner, using unsupervised analysis of the
163 transcriptional landscapes of both β cell maturation during development and their
164 dedifferentiation in two mouse models of diabetes, namely NOD (a model for T1D) and BTBR-
165 *Ob/Ob* (a model for T2D). We used the same lineage-tracing reporter system to isolate β cells
166 both during development and during the progression to diabetes in the two different models of
167 the disease. This allowed us to compare β cell maturation and their dedifferentiation in
168 diabetes using one unperturbed system. We reasoned that superimposing the complete

169 transcriptional states of the diabetic samples on the developmental ontogeny transcriptional
170 map will directly resolve between the two models: if β cells in diabetes revert to any
171 development-relevant transcriptional state, then the dedifferentiated samples will cluster along
172 the developmental trajectory. On the other hand, if β cell dedifferentiation in diabetes is not a
173 reversal of ontogeny, despite up-regulation of some genes that are expressed also in
174 progenitors, then the dedifferentiated β cells will not cluster with any development-relevant
175 stage. We report that, despite some similarities between immature and dedifferentiated β cells,
176 such as reduced expression of several maturation markers and increased expression of some
177 disallowed genes, β cells dedifferentiation, at least in the two mouse models tested here, is not
178 a reversal of developmental ontogeny and is different between T1D and T2D.

179 It is worth noting that our analyses here focused on late (postnatal) β cell maturation. It is
180 possible that if we compared our diabetic β cells to earlier (embryonic) progenitors, we would
181 have found that there may be different entry points to β cell dedifferentiation, but the
182 trajectories eventually converge to a stage resembling embryonic β cell precursors. However,
183 we did not see re-expression of any known marker of early β cell precursors, including *Neurog3*,
184 in either of the diabetes groups, even in samples from mice that were extremely diabetic for
185 several weeks. Furthermore, our approach using an *Insulin* promoter-based genetic lineage-
186 tracing system instead of a transient *Insulin* promoter-driven fluorescent reporter to isolate the
187 cells means that we would have observed dedifferentiated β cells even if they were to drift into
188 a non-insulin-expressing precursor state. This lineage-tracing system would have also detected
189 β cell transdifferentiation into other endocrine and non-endocrine cell types, should that have
190 been a substantial phenomenon. Another aspect of our approach that may confound our

191 results is the possibility of contamination from small numbers of non- β cells, which are hard to
192 sieve out using our bulk RNA-seq approach, such as mature acinar cells (few in early postnatal
193 pancreata and increasing in adults), immune cells (higher probability of contamination in T1D
194 samples), or adipose cells (more abundant in samples from obese-diabetic mice). Indeed,
195 several genes associated with such contamination are detected in our comparisons. While such
196 contamination may possibly skew our unsupervised clustering analyses to some extent, our
197 FACS-sorting using lineage-traced β cells and our bulk RNA-seq approaches compensate for
198 such rare events due to the analyses being done on relatively purified β cell populations, and
199 the depth of sequencing, which is not possible with single-cell RNA-seq. Most importantly, our
200 gene-specific analyses using a large list of known markers of β cell development and maturation
201 provide independent confirmation that dedifferentiated β cells in diabetic NOD and BTBR-
202 *Ob/Ob* mice lose their mature β cell identity, but do not return to any developmentally-relevant
203 state. That said, our results do not dispute that β cells in other models not tested here, such as
204 *FoxO1*-null mice (8) and mice subjected to a fasting-mimicking diet (42) could return to a
205 *Neurog3*-expressing progenitor state. With *Neurog3* being a master regulator of an embryonic
206 proto-endocrine transcriptional program (43, 44), it is conceivable its re-expression in these
207 unique models may force a more developmentally-relevant cell identity that is not seen in β
208 cells from diabetic NOD or BTBR-*Ob/Ob* mice.

209 We propose that at least in the case of diabetic NOD and BTBR-*Ob/Ob* mice, each type of
210 diabetes produces β cells that are dedifferentiated in their own way, supporting the Anna
211 Karenina model of β cell dedifferentiation. We hope that these results will provide a valuable

212 resource in the efforts of finding genetic and pharmacological intervention points for
213 preventing and possibly reversing β cell dedifferentiation in diabetes.

214 **Methods**

215 *Mice:* All animal experiments were conducted in accordance with the University of Wisconsin-
216 Madison IACUC guidelines under protocol number M005221. *BTBR-Lep^{ob/ob}*, NOD, and ICR
217 (“*WT*”) mice were obtained from the Jackson Laboratories and Envigo. *Insulin2-Cre;Rosa26-lox-*
218 *stop-lox-H2BmCherry* mice were previously reported (18). Blood glucose and weight were
219 measured in non-fasted animals using OneTouch Ultra2 glucometer (LifeScan, Milpitas, CA) at
220 the animal facility before islet collection. Mice with blood glucose higher than 300 mg/dL were
221 considered as diabetic. Islet isolation was performed as previously described (18, 20). Isolated
222 islets were dissociated with 0.25% trypsin-EDTA before sorting through BD FACS Aria II for
223 mCherry+ cells.

224 *RNA sequencing:* RNA was isolated from FACS sorted lineage-traced β cells from ICR embryos,
225 neonates, and adult mice; NOD adult (diabetic and non-diabetic) mice, and *BTBR-Ob/Ob* and
226 *BTBR-Ob/+* adult mice using phenol chloroform extraction (TRIzol) and Qiagen RNeasy Plus Mini
227 Kit (Qiagen). DNA libraries were generated using Takara’s SMART-Seq v4 Low Input RNA Kit for
228 Sequencing (Takara, Mountain View, California, USA) for cDNA synthesis and the Illumina
229 NexteraXT DNA Library Preparation (Illumina, San Diego, CA, USA) kit for cDNA dual indexing.
230 Full length cDNA fragments were generated from 1-10ng total RNA by SMART (Switching
231 Mechanism at 5’ End of RNA Template) technology and were sequenced for 1x100 on Illumina
232 NovaSeq 6000 at sequencing depth of 25-30 M reads per sample. Two samples (1 *BTBR-Ob/Ob*
233 and 1 *BTBR-Ob/+*) were sequenced for 2x100 on Illumina NovaSeq 6000 at the same sequencing
234 depth. Quality control (QC) of both single-end and paired-end raw sequencing data was

235 conducted using FastQC-0.11.7 (45) and MultiQC-1.9 (46). All samples passed QC as they have
236 uniformly high base quality and sequence quality. Mild adapter contaminations were detected,
237 and we decided not to perform adapter trimming. Raw sequencing data were aligned to mm10
238 reference genome using Bowtie-1.2.2 (47) under default settings. Gene-by-sample count matrix
239 was estimated using RSEM-1.3.0 (48) under default settings. After combining the two batches
240 into one dataset, genes with average expression less than 1 were filtered out. Median-by-ratio
241 normalization (49) was conducted on combined data to account for sequencing depth artifact
242 and batch effects. This results in a normalized expression matrix with 63 samples and 16455
243 genes. All sequencing data are available in the Gene Expression Omnibus (GEO) repository
244 under accession number #####.

245 *Hierarchical clustering and principal component analysis:* The normalized expression matrix was
246 log₂ transformed and further adjusted for potential batch effects by `removeBatchEffect()` in the
247 `limma` R package (50) (v3.44.3). The 15% most variable genes were identified using `varFilter()` in
248 the `genefilter` R package (v1.70). Hierarchical clustering was performed on these highly variable
249 genes using Spearman correlational distance and Ward's linkage method in the `cluster` R
250 package (51) (v2.1) and visualized on `dendextend` (52) R packages (v1.14). For principal
251 component analysis, eigenvectors were calculated using the `prcomp()` function, and 3D
252 visualization was generated by the `Plotly` R package (v4.9.2.1).

253 *Differential Expression and fold change:* Genes having non-zero expression in 10 or more
254 samples and at least 5 reads total were retained for differential expression (DE) analysis.
255 DESeq2 (53) (v1.28.1) was used to identify DE genes. Specifically, we applied DESeq2 to obtain

256 gene-specific p -values which were converted to q -values using the Benjamini and Hochberg
257 method. A gene was considered DE if its q -value <0.05 and if its shrunken log2 fold change,
258 estimated using `lfcShrink()` in the DESeq2 package, exceeded 1.5. Visualization was done in
259 Biovenn (54) and the EnhancedVolcano R package (v1.6).

260

261 **Author contributions**

262 Conceptualization, B.B. and S.D.N.; Methodology, B.B., S.D.N., and C.K.; Data Acquisition, S.D.N.;
263 Formal Analysis, S.D.N, Z.N., and J.B.; Writing Original Draft, B.B. and S.D.N.; Writing, Review
264 and Editing, all authors; Funding Acquisition, B.B.; Supervision, B.B. and C.K.

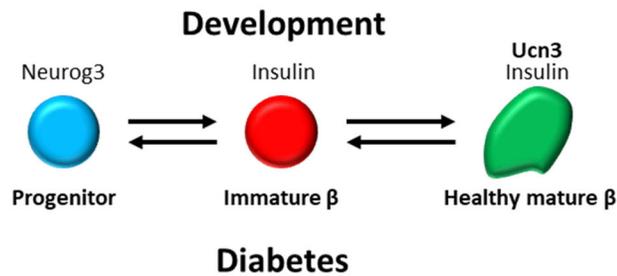
265

266 **Acknowledgments**

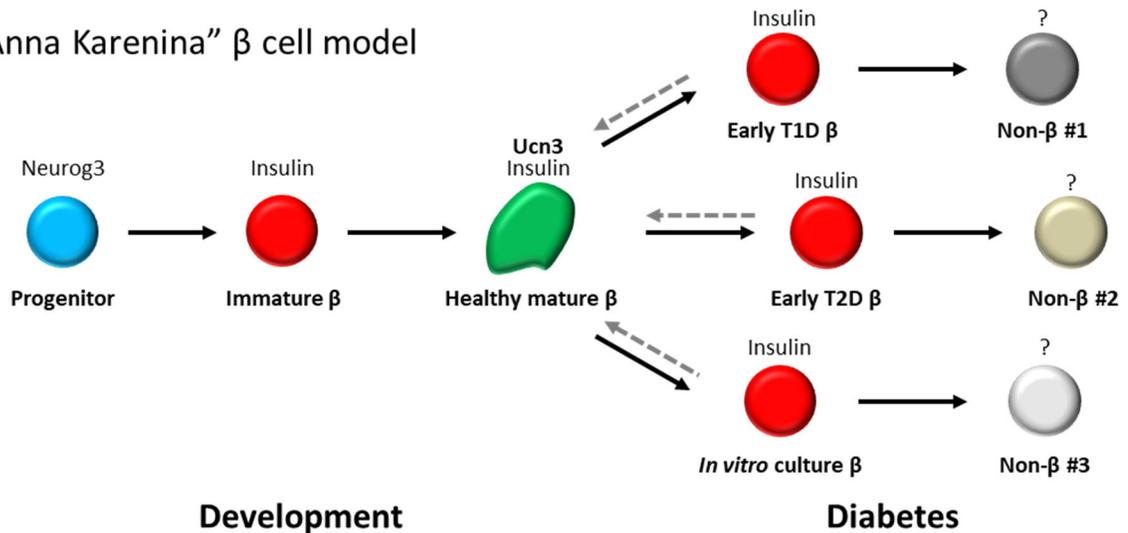
267 We thank N. Sharon, D. Ben-Zvi, A. Helman, and A. Attie for valuable comments and discussion.
268 We are grateful to all present and former members of the Blum Lab, especially Melissa Adams,
269 Jennifer Gilbert, Bayley Waters, Emily Cade, Ron Fleminger, and Emily Maritato for help on this
270 project. We are also grateful to the University of Wisconsin-Madison Biotechnology Center
271 Gene Expression Core for RNA sequencing. This work was funded in part by grants number
272 1R56DK115837 from the NIDDK and 2-SRA-2018-621-S-B from the JDRF to BB, and grant
273 number 1S10RR025483-01 to the University of Wisconsin-Madison School of Medicine and
274 Public Health Flow Cytometry Core.

275 **Figure 1**

Reversal of ontogeny model



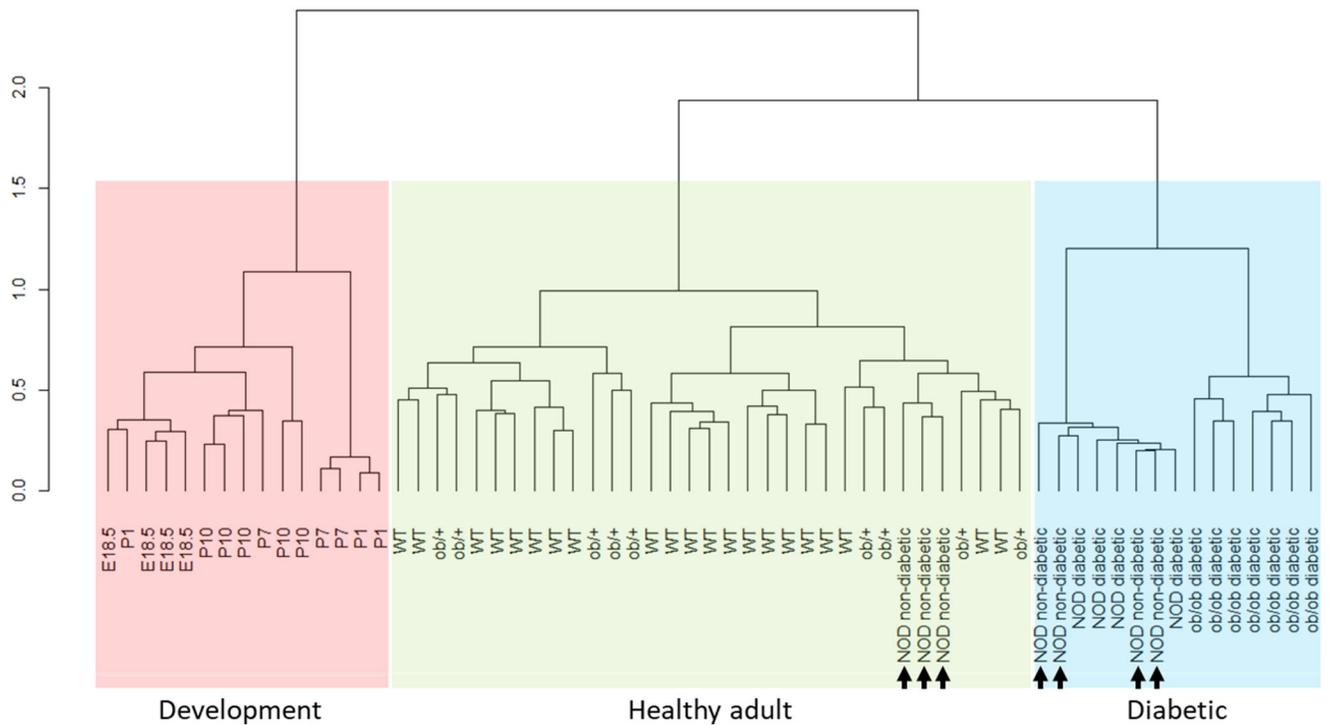
The “Anna Karenina” beta cell model



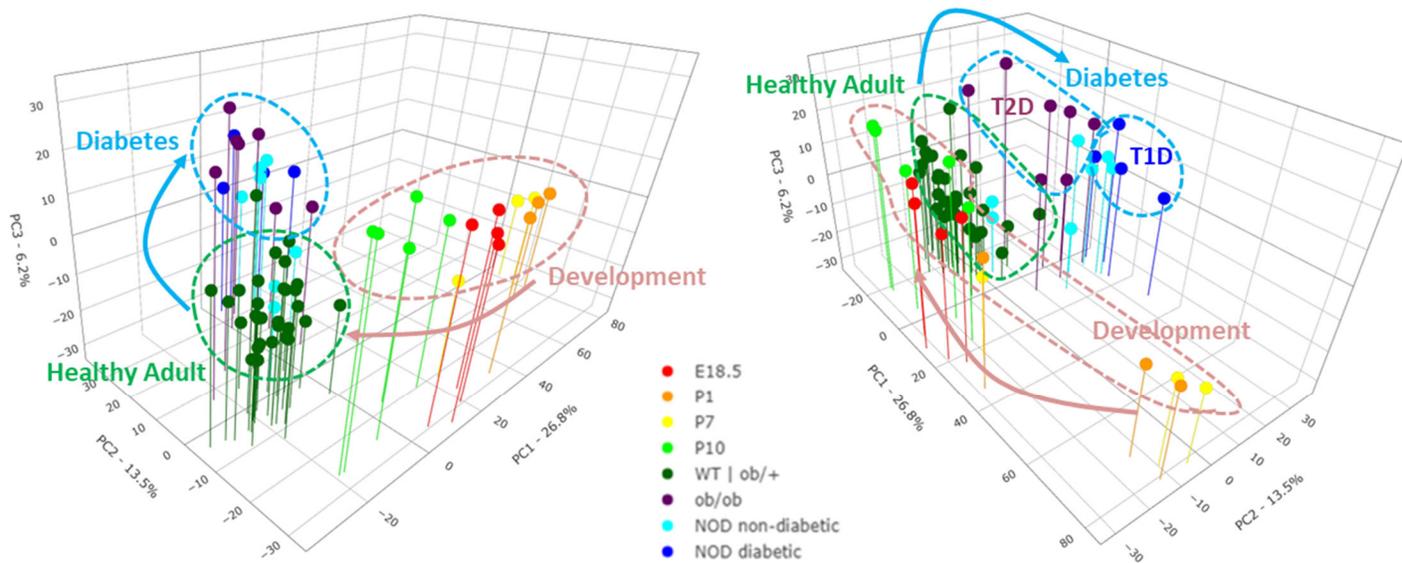
276

277 **Two models for beta cell dedifferentiation in diabetes.** **Top:** The reversal of ontogeny model
278 predicts that beta cells dedifferentiate in diabetes in a reverse order of their normal ontogeny
279 during development. **Bottom:** The “Anna Karenina” model predicts that in each type of diabetic
280 stress, beta cells lose their mature identity in a different way and take on different
281 dedifferentiated identities.

282 **Figure 2**



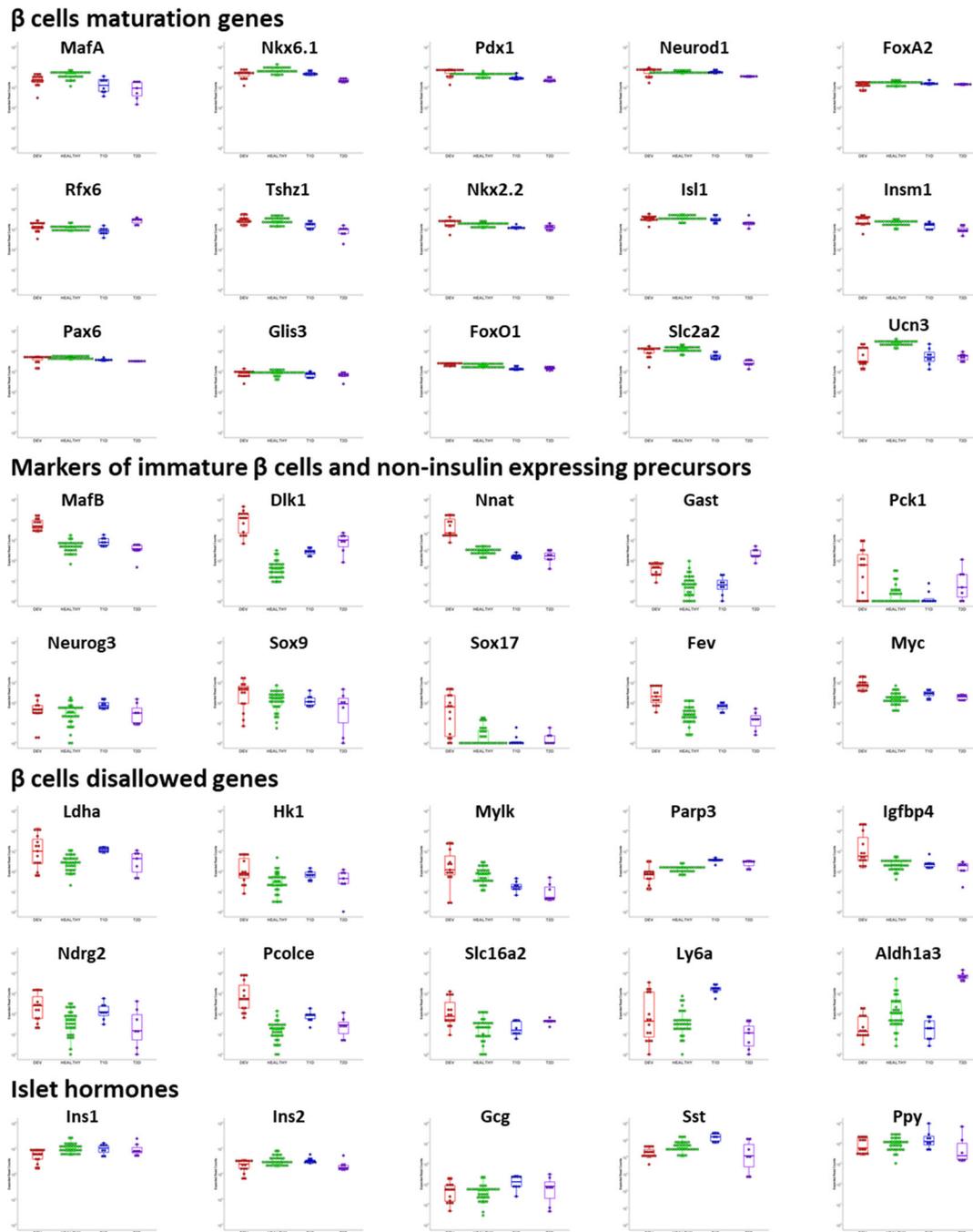
292 **Figure 3**



293

294 **Different transcriptional trajectories in β cell maturation in development and their**
295 **dedifferentiation in different types of diabetes.** Principal component analysis of the top 15%
296 most variable genes between β cell transcriptomes during development and dedifferentiation is
297 shown. **Left:** β cells in diabetes cluster away from β cell of healthy adult mice, but do not cluster
298 with any developmentally relevant stage, indicating that β cell dedifferentiation is not a reversal
299 of developmental ontogeny. **Right:** View of the trajectory map from another angle, showing
300 separation between β cell states in T1D and T2D.

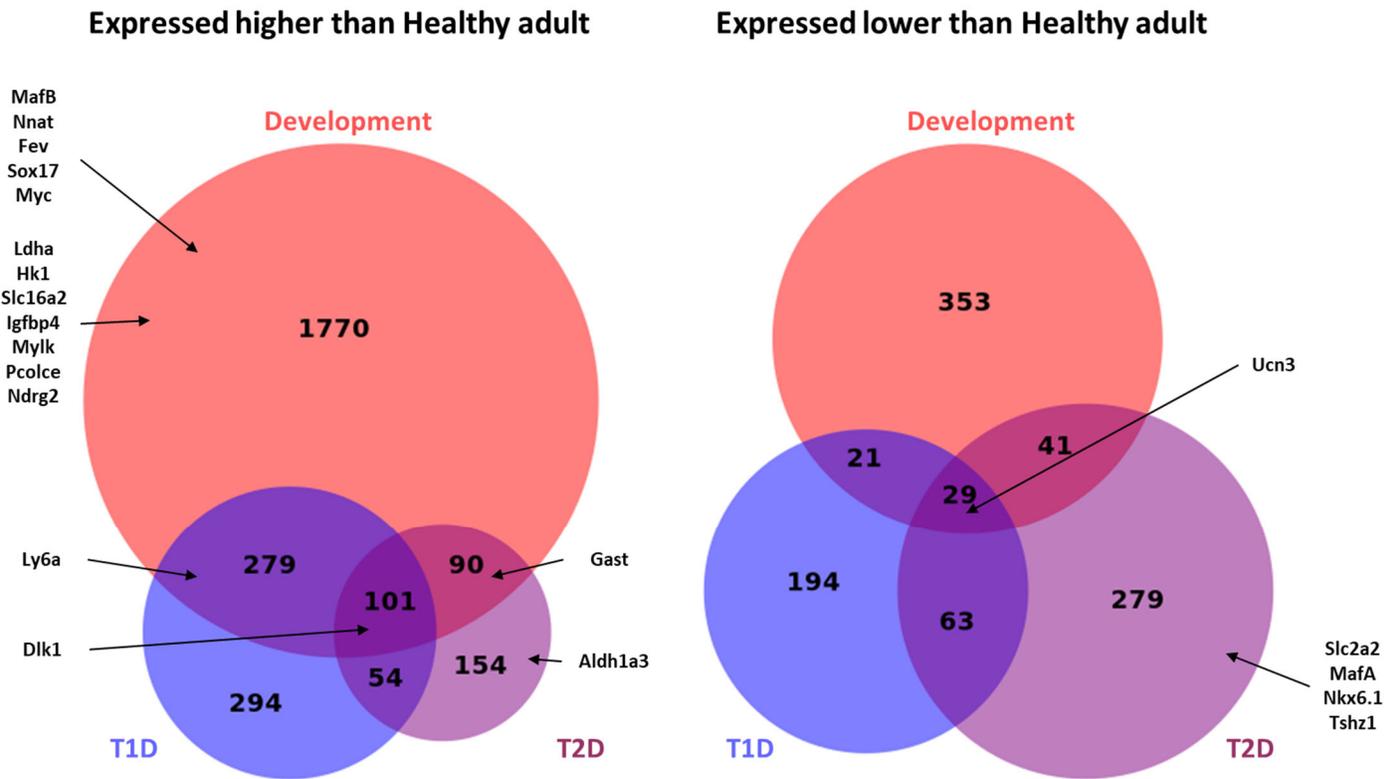
301 **Figure 4**



302

303 **Expression of selected β cell maturation genes, β cell “disallowed” genes, markers of**
 304 **immature β cells and non-insulin expressing precursors, and islet hormones. Red:**
 305 **“development group”; green: “healthy adult” group; blue: “T1D-diabetic” group; purple: “T2D”**
 306 **group.**

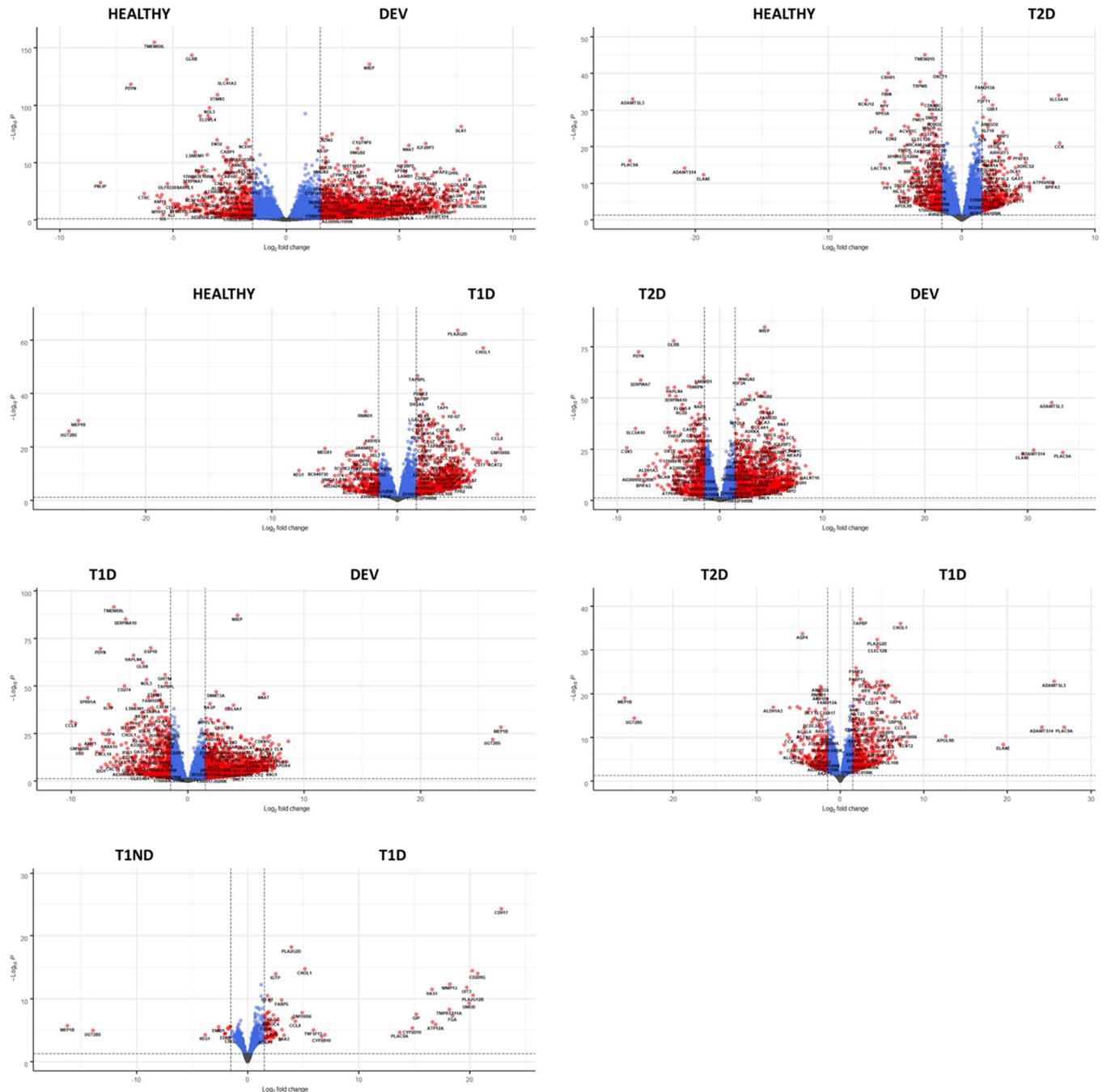
307 **Figure 5**



308

309 **Comparison of gene-specific expression changes during β cell maturation and**
 310 **dedifferentiation in T1D and T2D.** BioVenn diagram showing the number of genes up-regulated
 311 and down-regulated (1.5-fold, $q < 0.05$) in each group compared to the healthy adult group.
 312 Representative genes enriched in each category are also presented. For a list of all genes in
 313 each group and enriched GO terms in each groups see Supplementary Tables 1-4.

314 **Supplementary Figure 1**



315

316 **Gene-specific expression changes between each of the groups and all other groups.** Shown
317 are volcano plots of genes differentially expressed between each group compared to all other
318 groups.

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