The asgardarchaeal-unique contribution to protein families of the eukaryotic common ancestor was 0.3%

Michael Knopp[1], Simon Stockhorst[1], Mark van der Giezen[2], Sriram G. Garg[1], Sven B. Gould[1]

[1]Institute for Molecular Evolution, Heinrich-Heine-University Düsseldorf, 40225 Düsseldorf, Germany

[2]Centre for Organelle Research, University of Stavanger, 4021 Stavanger, Norway

Keywords: eukaryote origin, eukaryogenesis, asgardarchaea, compartmentalization

Significance Statement

Ever since the first report of a new archaeal lineage, the asgardarchaea, their metagenome analyses have encouraged continued speculations on a type of cell biology ranging between that of prokaryotes and eukaryotes. While it appears a tempting notion, recent microscopic images of an asgardarchaeon suggest otherwise. We inspected the origin of eukaryotic protein families with respect to their distribution across bacteria and archaea. This reveals that the protein families shared exclusively between asgardarchaea and eukaryotes amounts to only 0.3% of the protein families conserved across all eukaryotes. Asgardarchaeal diversity is likely unrivaled across archaea, but their cell biology remains prokaryotic in nature and lends support for the importance of endosymbiosis in evolving eukaryotic traits.

Summary

The difference between pro- and eukaryotic biology is evident in their genomes, cell biology, and evolution of complex and macroscopic body plans. The lack of intermediates between the two types of cells places the endosymbiotic acquisition of the mitochondrion through an archaeal host at the event horizon of eukaryote origin. The identification of eukaryote specific proteins in a new archaeal phylum, the asgardarchaea, has fueled speculations about their cellular complexity, suggesting they could be eukaryote-like. Here we analyzed the coding capacity of 150 eukaryotes, 1000 bacteria, and 226 archaea, including the only cultured member of the asgardarchaea, Candidatus *Prometheoarchaeon syntrophicum* MK-D1. Established clustering methods that recover endosymbiotic contributions to eukaryotic genomes, recover an asgardarchaeal-unique contribution of a mere 0.3% to protein families present in the last eukaryotic common ancestor, while simultaneously suggesting that asgardarchaeal diversity rivals that of all other archaea combined. Furthermore, we show that the number of homologs shared exclusively between asgardarchaea and eukaryotes is only 27 on average. Genomic and in particular cellular complexity remains a eukaryote-specific feature and, we conclude, is best understood as the archaeal host's solution to housing an endosymbiont and not as a preparation for obtaining one.

Introduction

Four billion years of prokaryotic evolution has only once resulted in the emergence of highly compartmentalized cells and eventually macroscopic body plans: following the origin of eukaryotes through endosymbiosis. The analysis of core eukaryotic features such as the nucleus, mitochondria, sex and meiosis, compartmentalization and dynamic membrane trafficking, and virtually all of the associated protein families, consistently point to their presence in the last eukaryotic common ancestor (LECA) (Fritz-Laylin et al. 2010, Koumandou et al. 2013, Koonin et al. 2013, Garg and Martin 2016). We possess a reasonable understanding of the basic cellular features and coding capacity of LECA, owing to the growing number of genome sequences spanning all of eukaryotic diversity. All eukaryotes stem from a single ancestor that in terms of cellular and genomic complexity rivaled those of extant eukaryotic supergroups (Fritz-Laylin et al. 2010, Koumandou et al. 2013, Koonin et al. 2013). It is certain that LECA was a product of the integration of an alphaproteobacterium into an archaeal host following endosymbiosis (Lane 2011, Blackstone 2013, Martin et al. 2015, Dacks et al. 2016, Spang et al. 2019).

60

61 Through the description of the asgardarchaea, current debates once again concern the cellular

62 complexity of the host that came to house the endosymbiont and what contribution the

63 mitochondrion could have played in establishing the eukaryotic cell (Dacks et al. 2016,

64 Martin et al. 2017). Asgardarchaea, a novel phylum assembled from metagenome data, are

65 viewed as bridging the gap between pro- and eukaryotic cells, because they encode proteins

66 homologous to eukaryotic ones that are e.g. involved in intracellular vesicle trafficking and

67 the regulation of actin cytoskeleton dynamics (Spang et al. 2015, Zaremba-Niedzwiedzka et

68 al. 2017, Neveu et al. 2020). The cellular complexity of the host cell that acquired the

69 alphaproteobacterial endosymbiont has been a matter of speculation ever since the realization

70 that endosymbiosis was pivotal in the transition to eukaryotic life. Modern models of

71 eukaryogenesis differ regarding the timing of mitochondrial acquisition, the extent of the

72 cellular complexity of the host, and the selective reasons provided for explaining the

73 presence, function, and emergence of eukaryotic traits prior or ensuing endosymbiosis

74 (O'Malley 2010, Martin et al. 2015, Gould et al. 2016, Tria et al. 2019, Vosseberg et al.

75 2020).

76

77 Understanding the steps of eukaryogenesis is a demanding intellectual challenge that explores

78 the past of life and one of its most radical transitions. It holds the key to understanding the

79 steps towards cellular complexity, the timing of mitochondrial entry, and what limits

80 prokaryotes to frequently evolve eukaryote-like complexity. Was eukaryogenesis really a

81 matter of luck (Booth and Doolittle 2015) and how important was the energetic superiority

82 provided by the mitochondrion to the host cell (Lane and Martin 2010, Lynch and Marinov

83 2015, Lane and Martin 2016)? Any model that views endosymbiosis as some kind of terminal

84 coincidence on the evolutionary roadmap to the eukaryotic domain of life needs to explain the

85 singularity that is eukaryogenesis and the lack of comparable complexity among prokaryotes.

86

87 A consistent motivation for speculating on the archaeal host cell's grade of complexity is

88 trying to understand whether the host cell was phagocytotic or not (Cavalier-Smith 1987,

89 Yutin et al. 2009, Martijn and Ettema 2013, Martin et al. 2017). This is complicated by the

90 description of a phagocytosis-like process in a planctomycete (Shiratori et al. 2019) and the

91 conflicting evidence for intracellular prokaryotic endosymbionts in the absence of

92 phagocytosis (Fenchel and Bernard 1993, Emblay and Finlay 1993, Schmid 2003, Zientz et

93 al. 2004, Duplessis et al. 2004, Thacker 2005, Woyke et al. 2006, Husnik et al. 2013). It has

3

94   also been concluded that asgardarchaea are not phagocytotic (Burns et al. 2018), although

95   they encode actin-regulating profilins (Akıl and Robinson 2018), small Rab-like GTPases

96   (Surkont and Pereira-Leal 2016), and prototypic SNARE proteins (Neveu et al. 2020).

97   Phagocytosis might have evolved multiple times independently (Yutin et al. 2009, Mills

98   2020) and is a mode of feeding, which is incompatible with the syntrophic foundation that

99   underpins eukaryogenesis (Martin et al. 2015, Spang et al. 2019, Martin and Müller 1998,

100   Vellai et al. 1998, Imachi et al. 2020). A sole focus on this single eukaryotic trait might

101   distract and furthermore discounts the complexity of the transition that was involved. What is

102   certain is that images of an asgardarchaeon, Candidatus *Prometheoarchaeum syntrophicum*

103   MK-D1, reveal cells with typical archaeal morphology, half a micron in diameter, with

104   obligate syntrophy, and devoid of intracellular complexity (Imachi et al. 2020).

105

106   Here we clustered the available genomes of 150 eukaryotes, 1000 bacteria and 226 archaea

107   (including asgardarchaea metagenomic assemblies, and for comparison the complete genome

108   of the cultured Candidatus *P. syntrophicum* strain MK-D1) in order to evaluate the

109   asgardarchaeal-unique contribution to eukaryogenesis that is understood as support for early

110   cellular complexity in asgardarchaea.

111

112

113   **Results**

114

115   In order to evaluate to what degree asgardarchaea bridge the prokaryotic and eukaryotic

116   protein families, we performed a global comparison of clustered gene families across eleven

117   asgardarchaeal metagenome-assembled genomes (MAGs), the closed MAG of the cultured

118   asgardarchaeon Candidatus *P. syntrophicum* MK-D1, 214 other archaea, 1,000 bacteria, and

119   150 eukaryotes. Protein families for 150 eukaryotes were taken from Brueckner and Martin

120   (Brueckner and Martin 2020), which included 239,012 clusters. We further clustered proteins

121   from the prokaryotes, resulting in 352,384 bacterial clusters and 49,855 archaeal clusters.

122   Subsequently, the eukaryote and prokaryote clusters were merged in a reciprocal best cluster

123   approach previously described in Ku et al. 2015 (Ku et al. 2015), yielding Eukaryote-

124   Prokaryote-Clusters (EPCs). These EPCs contained proteins from eukaryotes and proteins

125   from either archaeal (Eukaryote-Archaea clusters, EA) or bacterial (Eukaryote-Bacteria

126   clusters, EB), or both (Eukaryote-Archaea-Bacteria clusters, EAB). This approach yielded

127   2,590 EPCs, of which 867 or 33.5% (330 EA clusters + 537 EAB clusters; Suppl. Table 1)

4

128   contained an archaeal component. Among these 867 EPCs, asgardarchaeal protein sequences,

129   including those of Candidatus *P. syntrophicum*, were present in about 75% of the protein

130   families (75% of EAB clusters and 75.2% of EA clusters).

131

132   A presence-absence pattern (PAP) of all 867 protein families with archaeal contribution to the

133   EPCs, including the 537 protein families present in all domains is shown in Fig. 1 (and Suppl.

134   Table 1). While gene distributions among eury- and crenarchaeota is highly similar, those of

135   the asgardarchaea are patchier and more diverse. Among all of our 239,012 eukaryotic

136   clusters, we could identify only six EA clusters with asgardarchaeal-unique contributions to

137   eukaryotes (Suppl. Table 2), representing 0.0025% of all extant eukaryotic diversity. To

138   calculate the asgardarchaeal-unique contribution to LECA, we filtered the eukaryotic clusters

139   for those that include at least one representative of each of the six eukaryotic supergroups,

140   resulting in 1880 LECA clusters and consequently an asgardarchaeal-unique contribution of
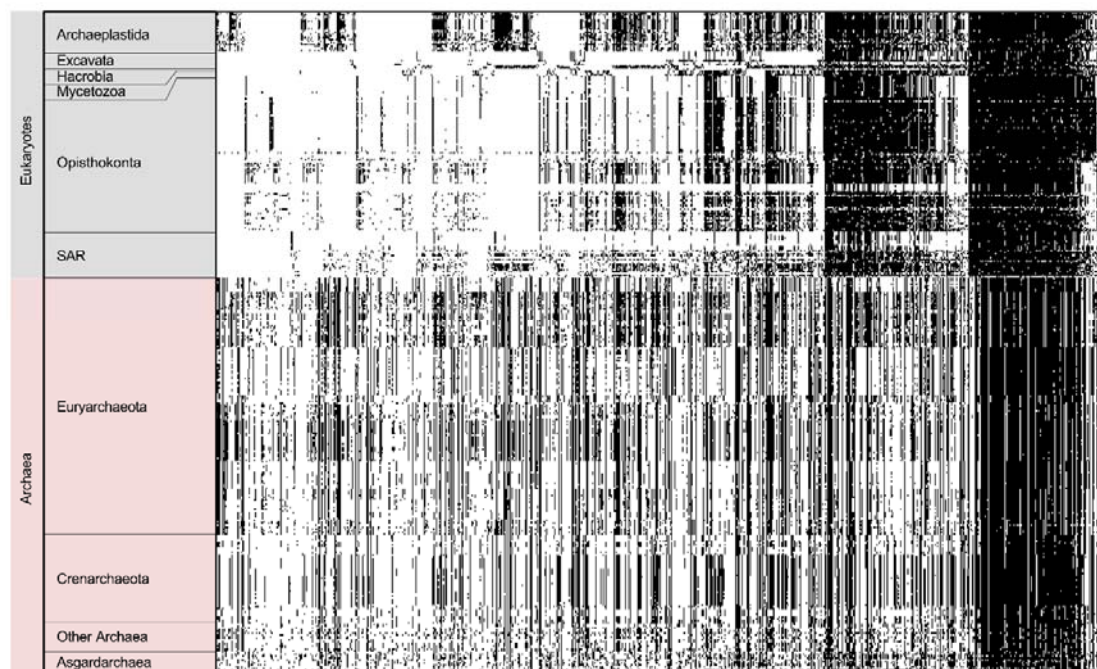
141   0.3191%.

142

FIG 1

143

144

145   ***Fig. 1: Presence-absence pattern (PAP) of all 867 eukaryote-prokaryote clusters (EPCs)***
146   ***with archaeal contribution among the investigated 150 eukaryotes, 212 archaea and twelve***
147   ***asgardarchaea.*** *The protein families were sorted by their distribution among six eukaryotic*
148   *supergroups (SAR; Stramenopila, Alveolata, Rhizaria), their presence is indicated in black*
149   *along the X-axis. The group of "Other Archaea" is comprised of all archaea within our*

5

150 *database that have less than 15 members. The distribution of these EPCs among the*
151 *asgardarchaea does not reveal a particular pattern such as that seen for the other archaeal*
152 *groups, demonstrating their large genetic differences.*
153
154 But how closely are the asgardarchaea related to each other with respect to other archaea? To
155 investigate their kinship, we constructed protein families of only the asgardarchaeal protein
156 sequences, which generated a set of 5,837 protein families. The distribution of these protein
157 families among the asgardarchaea reveals a pronounced diversity, with only a small
158 proportion of the protein families being shared across all of them, which suggests one is
159 dealing with a kind of superphylum (Fig. 2a). This is evident from a comparison wherein, we
160 clustered all protein sequences of 14 members of the genus Methanococci and 52
161 crenarchaeote members from the TACK superphylum (Fig. 2b and Fig. 2c), revealing 2,592
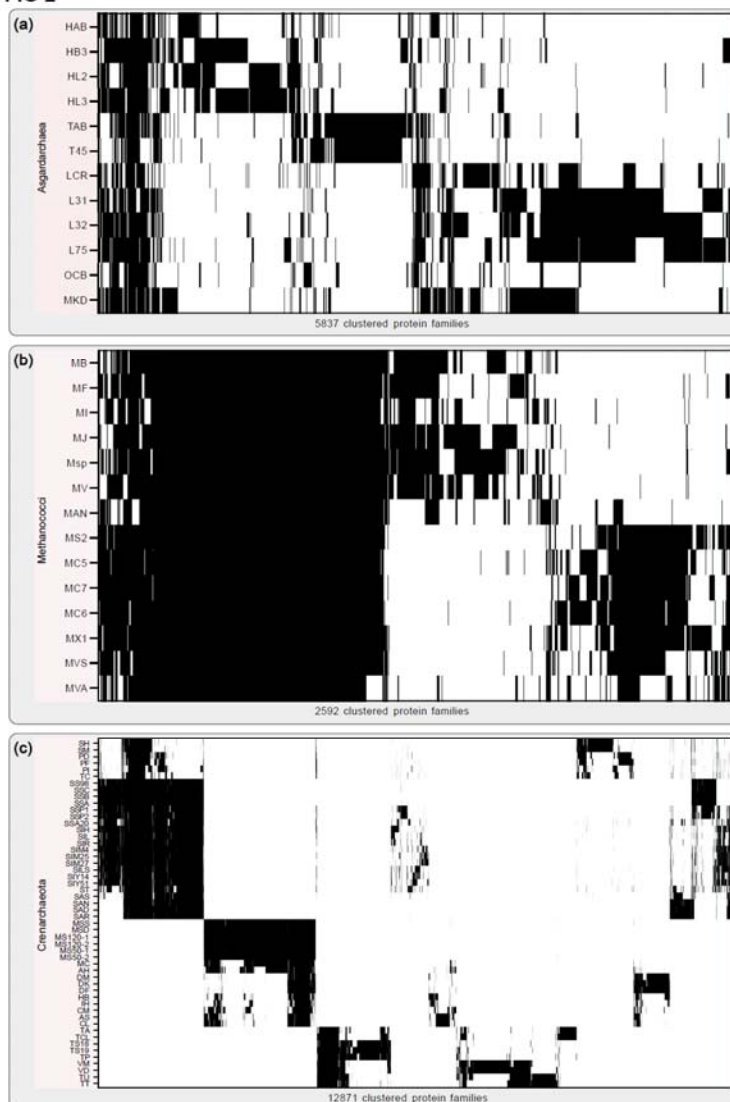162 and 12,871 protein families, respectively.
163

FIG 2

164

6

165

166 ***Fig. 2: Archaeal protein family distributions. (a)*** *Distribution of all 5,837 calculated*
167 *asgardarchaeal protein families among the investigated asgardarchaea. The protein families*
168 *were obtained by globally comparing all asgardarchaeal protein sequences in a pairwise all-*
169 *vs-all Diamond BLASTp approach including subsequent clustering via MCL. The result was*
170 *sorted via hierarchical clustering along the X-axis. The vast majority of protein families is not*
171 *shared among all asgardarchaea but they are rather specific to the individual taxon.*
172 *Candidatus Prometheoarchaeon syntrophicum MK-D1 shares the highest number of its*
173 *protein families with members of the Lokiarchaeota. **(b)** For comparison, we calculated*
174 *protein families for 14 members of the class Methanococci in the same manner, generating a*
175 *total of 2,592 protein families. Hierarchical clustering revealed a striking difference in*
176 *protein families shared between members within the two clusterings, underlining the*
177 *investigated asgardarchaea's diversity among each other. **(c)** On the contrary, clustering of*
178 *52 members of the crenarchaeota, a highly diverse taxonomic group, results in 12,871 protein*
179 *families. The matrix was sorted along the X- and Y-axis via hierarchical clustering. All*
180 *Abbreviations used are listed in Suppl. Table 6.*

181

182 A key difference between archaea and eukaryotes is the difference in the number of protein

183 families. In a previous study, 239,012 protein families were generated on the basis of all

184 pairwise protein sequence comparisons of 150 eukaryotic proteomes (Brueckner and Martin

185 2020). The same approach yields a total of 49,855 protein families on the basis of all pairwise

186 protein sequence comparisons between 226 archaea, including 11 asgardarchaea and

187 Candidatus *P. syntrophicum* MK-D1. The patchy distribution of the asgardarchaeal EPCs

188 (Fig. 1) and the high diversity between each other (Fig. 2a) prompts a closer look, but the vast

189 difference in the number of protein families reflects the sudden inflation of protein family

190 emergence at the origin of eukaryotes.

191

192 Since many asgardarchaeal protein sequences could not be clustered due to a lack of

193 homology, we conducted an analysis of BLASTp hits against a bacterial database consisting

194 of 5,443 proteomes, an archaeal database consisting of 212 proteomes (excluding

195 asgardarchaea) and a eukaryotic database consisting of 150 proteomes. For all investigated

196 asgardarchaea and a selection of twelve well known and diverse archaea and bacteria each, as

197 well as eight eukaryotes, we quantified the amount of sequences with homologs in one of

198 these databases, any combination of these, or no significant homologs at all (Fig. 3a), while

199 ignoring hits from the same genus to counter database composition biases. For asgardarchaea,

200 only 27 protein sequences on average have homologs unique to eukaryotes (Fig 3a, magnified

201 area), supporting the initial EPC analysis which only uncovered six protein families that were

202 unique to eukaryotes and asgardarchaea. Furthermore, this test reveals that high proportions

203 of the asgardarchaeal proteomes do not retrieve significant hits in our three tested databases

204   (Fig. 3a, grey bars). In a few cases, such as for example for Candidatus *Heimdallarchaeota*

205   *LC_3* or Candidatus *Lokiarchaeota archaeon CR_4*, the number of proteins for which no

206   homology was detected in any other species, exceeds half of the respective genome's coding

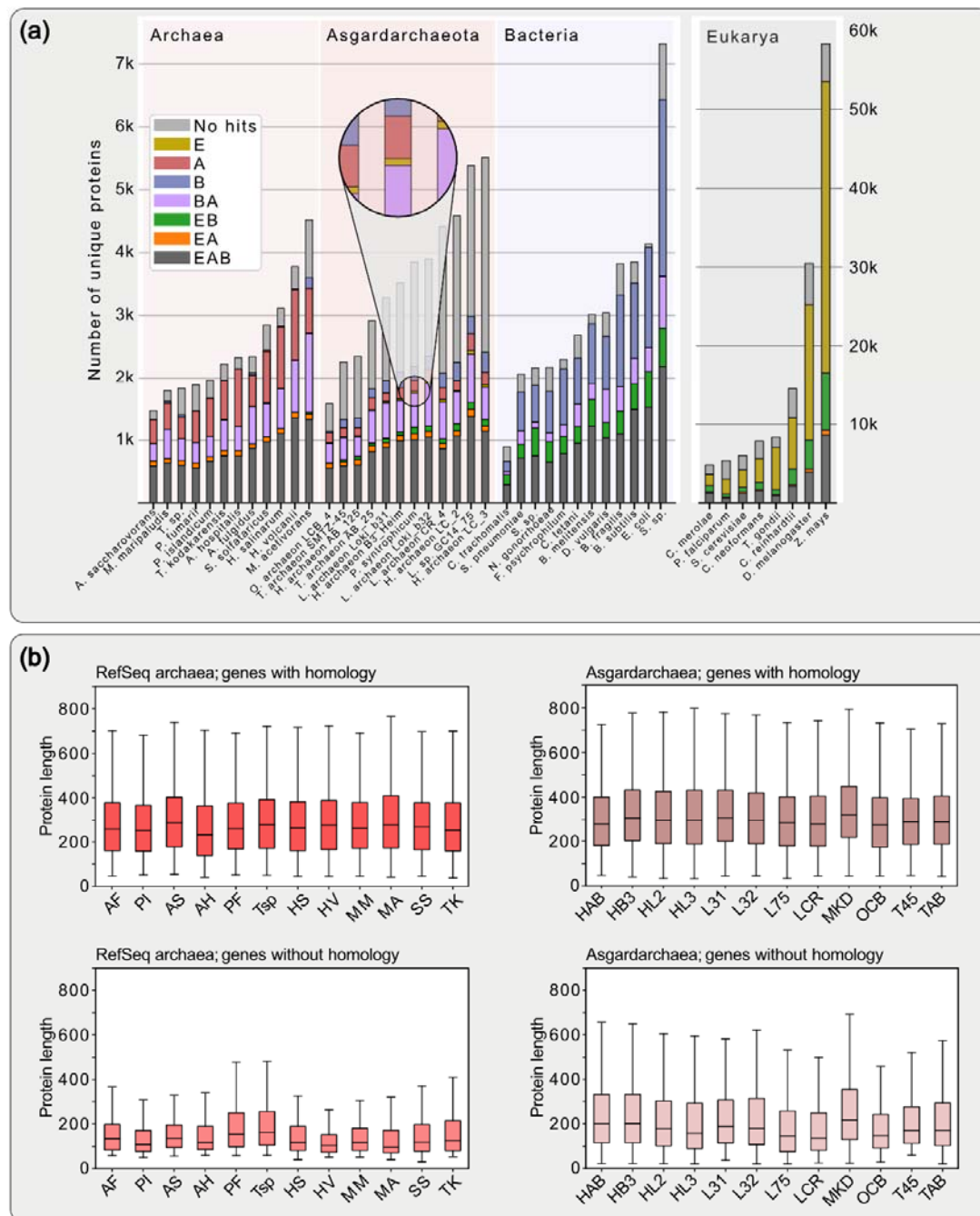207   capacity (Fig. 3a).

208

FIG 3

209

Fig. 3: Distribution of protein homologs and length comparison of archaeal genes with and without homology. (a) BLAST hit analysis comparing the proteomes of known archaea and bacteria as well as the twelve investigated asgardarchaea. Sequences were blasted against a prokaryotic database comprising 5,655 prokaryotic genomes (including the 1000 bacteria and 212 archaea which were used for protein family calculation) and a eukaryotic database comprised of 150 genomes. For each organism we quantified the amount of sequences with subjects among eukaryotes, bacteria or archaea only, or any combination of these three. To counter possible biases in database composition, hits from the same genus were excluded. E, Eukaryotes; A, Archaea; B, Bacteria; AB, Archaea-Bacteria; et cetera. (b) For proteins with- or without database hits, protein length distributions are shown as box and whiskers plots. This reveals a noticeable difference in protein lengths of sequences without database hits from only the asgardarchaea compared to their sequences with database hits, and in comparison, to those of Refseq archaea. p-values of FDR-corrected, pairwise double-sided Kolmogorov-Smirnov tests in Suppl. Table 7. All used abbreviations are listed in Suppl. Table 6.

We plotted the protein sequence length distributions for each proteome, separating hits with and hits without significant database hits (Fig. 3b). While the length distributions of sequences with significant database hits were comparable between the asgard- and the RefSeq archaea, the distributions of hits without significant database hits show a major difference. (Fig. 3b). Furthermore, simulating missing data by ignoring not only hits from the same genus but also the same phylum produced a more similar result for the reference organisms and asgardarchaea (Suppl. Fig.1), recapitulating the extensive diversity within the asgardarchaea.

Discussion

The identification of asgardarchaea from deep-sediment metagenome data (Seitz et al. 2016; Zaremba-Niedzwiedzka et al. 2017) provides valuable new information from which to re-evaluate key issues surrounding the tree of life and the emergence of its eukaryotic branch. To begin with, the iconic tree that introduced three aboriginal lineages (Woese and Fox 1977) might require a revision. Phylogenomic analysis of asgardarchaea provides evidence for a two-domains tree and the emergence of the host cell lineage of eukaryogenesis from within the archaeal domain (Cox et al. 2008, McInerney et al. 2014, Hug et al. 2016, Williams et al. 2020) with some skepticism, however, remaining (Forterre 2015, Da Cunha et al. 2018, Liu et al. 2020). Parallel to the discovery of the asgardarchaea were immediate speculations regarding their cellular complexity (Dacks et al. 2016, Pittis and Gabaldón 2016, Rout and Field 2017, Akıl and Robinson 2018, Zachar et al. 2018, Neveu et al. 2020) and a faith of having identified the missing link between pro- and eukaryotic biology based on the identification of a few eukaryote signature proteins (ESPs), that we find to be only 27 on

9

249   average. In light of these numbers, the potential of these archaea to display eukaryote-like cell

250   complexity is hard to maintain.

251

252   Our analysis confirms a patchy distribution of ESPs among asgardarchaea (Dacks et al. 2016,

253   Zaremba-Niedzwiedzka et al. 2017, Imachi et al. 2020, Liu et al. 2020, Klinger et al. 2016,

254   Inoue et al. 2020, Bulzu et al. 2019) (Fig. 1). While of course the archaeal host brought in

255   1000s of genes, the unique contribution of this new archaeal lineage to the eukaryotic protein

256   families is substantially less than what one might infer from the original metagenome reports

257   and subsequent interpretations (Dacks et al. 2016, Pittis and Gabaldón 2016, Rout and Field

258   2017, Zachar et al. 2018, Akıl and Robinson 2018, Neveu et al. 2020, Vosseberg et al. 2020).

259   The irregular gene distribution (Fig. 2a) might reflect differential gene loss upon the

260   segregation of the common ancestor of asgardarchaea and the archaeal host cell lineage (Eme

261   et al. 2017). Considering the role of pangenomes in the transformation of prokaryotic lineages

262   and the conquering of ecological niches (McInerney et al. 2017), pangenomes offer a

263   complementary explanation to the differential loss of genes. The archaeal ancestor of

264   eukaryotes might have tapped a shared gene pool more extensively than the sister lineages

265   leading to extant asgardarchaea.

266

267   The notion that asgardarchaeal contributions to eukaryotes were higher only to be eventually

268   replaced by bacterial (endosymbiotic) contributions might be brought up (Pittis and Gabaldon

269   2016; Eme et al. 2017; Vosseberg et al. 2020). The absence of extant archaeal relatives with

270   similarly higher ESPs, however, indicates that archaea neither have the necessity nor the

271   selective pressure for maintaining ESPs in the absence of an endosymbiont. Any theory that

272   hinges upon a larger presence of ESPs in archaea or bacteria prior to mitochondrial

273   endosymbiosis ignores the complete lack of "accumulated ESPs" in extant prokaryotes to a

274   degree that even remotely matches that of any given eukaryotic lineage. Extinction and

275   absence of geological conditions that promoted eukaryote origins considered for ESPs, must

276   also be considered for any genes that are currently thought to be recent independent gene

277   transfer events from prokaryotes to eukaryotes and not of endosymbiotic (mitochondrial)

278   origin.

279

280   Our protein family clustering method, which readily detects the mitochondrial contribution

281   (and the cyanobacterial contributions in the case of the Archaeplastida; Suppl. Fig. 2) failed to

282   detect a comparable asgardarchaeal-unique contribution. A stacked bar diagram puts gene

283   family cluster contribution in each lineage into a global perspective (Fig. 3a; Supp. Fig 1).
284   There is a small proportion of eukaryotic homologs (E, mustard yellow) visible, e.g. in the
285   Candidatus *P. syntrophicum* MK-D1, but it is substantially smaller in comparison to the
286   eukaryote-bacteria (EB, green) specific homologs evident in eukaryotes (and bacteria *vice*
287   *versa*) that reflects the mitochondrial contribution to eukaryogenesis (Brueckner and Martin
288   2020). Neglecting the surprisingly low number of asgardarchaeal-unique homologs to
289   eukaryotic genomes, our analysis demonstrates that asgardarchaea are among the most
290   genetically diverse group of archaea when comparing it to the genus Methanococci and the
291   phylum Crenarchaeota (Fig. 2). The odd length distributions of the asgardarchaeal proteins
292   with no homology (Fig. 3b) are strange as well, since protein length across pro- and
293   eukaryotes is usually well conserved (Xu et al. 2006). This could hint at an assembly and/or
294   binning issue, which was also observed regarding the anomalous phylogenetic behavior of
295   their ribosomal proteins and concatenated gene trees (Da Cunha et al. 2018; Garg et al. 2021).
296   If not, it is a biological phenomenon absent in other sequenced prokaryotes.

297

298   Considering the amount of data gathered in just the few years (Imachi et al. 2020, Liu et al.
299   2020, Klinger et al. 2016, Inoue et al. 2020, Bulzu et al. 2019, Villanueva et al. 2016), it is
300   surprising asgardarchaea have escaped identification for so long. Their habitats had been
301   sampled before, so it is likely that the method used and maybe an obligate dependency on
302   syntrophy, hindered culturing except for one imposing exception (Imachi et al. 2020).
303   Dedicated phylogenomic efforts are necessary to resolve their taxonomic classification, while
304   only culturing can picture their cell morphology.

305

306   Analyses of asgardarchaeal ESPs shows they have the potential to function similar to their
307   eukaryotic homologs in a eukaryotic system (Neveu et al. 2020, Akıl and Robinson 2018,
308   Rout and Field 2017, Klinger et al. 2016), but cross-kingdom inferences have their limits
309   (Dey et al. 2016). The analysis of archaeal small GTPases (Surkont and Pereira-Leal 2016)
310   and homologs of ESCRT proteins, the CDVs (Lindås et al. 2008, Lindås and Bernander 2013,
311   Caspi and Dekker 2018), serve as examples. One needs to interpret asgardarchaeal ESPs in
312   their prokaryotic context and in cells lacking an endosymbiont. The first images of an
313   asgardarchaeon, those of Candidatus *P. syntrophicum* MK-D1, and its dependency on a
314   bacterial partner (Imachi et al .2020) define the current standard from which to plot
315   eukaryogenesis and the steps leading to eukaryotic cell- and genome complexity.

316

317 The identification of the asgardarchaea and the culturing of one representative represent an
318 important milestone in micro- and evolutionary biology. Their phylogenetic analysis echoes
319 two previously predicted outcomes: (i) eukaryotes to branch from within archaea, solidifying
320 the two-domains tree of life, and (ii) that the closer we zoom in on the two prokaryotic
321 partners from which eukaryotes evolved, the higher the number of otherwise eukaryote-
322 typical genes we identify in prokaryotes. The description of Candidatus *P. syntrophicum* MK-
323 D1 (Imachi et al. 2020) reminds us to not conflate genotypic with phenotypic complexity.
324 This predicts that future asgardarchaea we see cultured will lack eukaryotic traits, too, and
325 most, if not all, will depend on syntrophy. Our analysis also predicts that much of
326 asgardarchaeal diversity remains to be described, but that the gap between the pro- and
327 eukaryotic protein families will remain decisive and to change little. Placing the
328 endosymbiotic event and the energetic benefit offered by mitochondria to fuel the transition
329 early in eukaryogenesis, explains the lack of physical evidence for eukaryote-like complexity
330 in asgardarchaea despite them encoding ESPs. It offers a comprehensive full-service theory
331 for the singularity that is the origin of the eukaryotic cell that mitochondria-late models fail to
332 provide.

333

339

340 **Author Contributions**: SGG, MK and SBG conceived the analysis, which was carried out
341 predominantly by MK but also SS. SGG, MK, MvdG and SBG wrote the manuscript, whose
342 final version was approved all authors.

343

Methods

Calculation of protein families

Prokaryotic gene families were calculated from complete genomes of 1000 bacteria and 226 archaea of the Refseq database (Version September 2016) including eleven representatives of the asgardarchaea[13] and Candidatus *P. syntrophicum* MK-D1 (Imachi et al. 2020), separately. Bacterial and archaeal protein families were calculated via MCL (van Dongen 2000, Enright 2002) (--abc -scheme 7) from all reciprocal best BLAST hits with pairwise global identities (Rice et al. 2000) of at least 25% identity and a maximum e-value of 1×10^{-10} among all investigated bacteria and archaea, respectively. Eukaryotic gene families on the basis of 150 eukaryotic genomes were calculated as part of a previous study (Brueckner et al. 2020). Prokaryotic and eukaryotic cluster were combined into EPCs if at least 50% of all sequences of a eukaryotic cluster had their best hit in a prokaryotic cluster and vice versa according to the 'reciprocal best cluster approach' described in Ku et al. 2015 (Ku et al. 2015). All proteomes used in this study are listed in Supplementary Table 5. Protein families of Methanococci and Crenarchaeota were calculated from all pairwise global identities of all protein sequences, using the above-mentioned identity and e-value cutoffs, via MCL (van Dongen 2000, Enright 2002).

BLAST hit analysis

We performed a Diamond BLASTp (Buchfink et al. 2015) hit analysis on all protein sequences of the eleven asgardarchaeal proteomes including MK-D1. We compared the results to twelve bacterial and archaeal proteomes each, plus eight eukaryotic ones. We blasted all protein sequences of the chosen proteomes against our database of 5655 prokaryotic and 150 eukaryotic proteomes. For each proteome, we quantified the number of sequences that showed significant hits (at least 25% identity and a minimal e-value of 1×10^{-5}) within bacteria, archaea, eukaryota or any combination of these three. To counter overrepresentation of some genera within our database, we excluded hits of the same genus for all tested protein sequences.

InterProScan ESP analysis

InterProScan version 5.39-77.0 (Quevillon et al. 2005) with standard parameters was used to annotate all asgardarchaeal proteomes, the MK-D1 proteome and all 212 archaeal proteomes within our prokaryotic database. As in Zaremba et al. 2017 (Zaremba-Niedzwiedzka et al. 2017), we searched for InterPro-Identifiers that correspond with Eukaryote-specific-proteins

13

378   and plotted the results of all investigated asgard archaea together with the results of 14 model

379   Refseq archaea for comparison. (see Suppl. Figure 3)

380

381   LECA cluster filtering

382   Since eukaryotic inheritance is strictly vertical the eukaryotic protein families were filtered

383   for families that contained at least one protein sequence from one member of each of the six

384   supergroups included in our dataset of 150 eukaryotes, being *Archaeplastida*, *Opisthokonta*,

385   *SAR*, *Hacrobia*, *Excavata* and *Mycetozoa* resulting in 1880 protein families passing this

386   criterion.

387

388   **Suppl. material titles and legends**

389

390   **Suppl. Fig. 1**: **BLAST hit analysis.** The BLAST hit analysis underlying Fig. 3a was redone,

391   this time ignoring all hits from the same phylum. This was done in order to test for the

392   asgardarchaeas' taxonomic rank, since the amount of protein sequences without any

393   significant database hits, could either stem from poor sequence quality or just from the fact

394   that asgardarchaea are inherently different from all archaea we know so far. As expected, the

395   amount of Refseq archaeal protein sequences without significant database hits did increase

396   but the effect was not sufficient to recover the same proportion of "unknown" protein

397   sequences as we see in asgardarchaea.

398

399   **Suppl. Fig. 2: PAPs of sets of Eukaryote-Prokaryote-Clusters. (a)** Presence-Absence

400   pattern of all 537 EPCs containing members from eukaryotes, bacteria and archaea. **(b)**

401   Presence-Absence pattern of all 1723 EPCs containing only proteins from eukaryotes and

402   bacteria. The cyanobacterial contribution to the Archaeplastida is marked with red boxes. In

403   both cases, protein families were sorted by their distribution among the six eukaryotic

404   supergroups Archaeplastida, Excavata, Hacrobia, Mycetozoa, Opisthokonta and the SAR

405   group.

406

407   **Suppl. Fig. 3: InterProScan results for all investigated asgardarchaea as well as 14**

408   **reference archaea.** InterProScan was used to annotate the proteomes of all 12 investigated

409   asgardarchaea and 14 reference archaea. InterProScan results were used to search for ESP

410   candidate proteins within all analyzed proteomes and their presence indicated by a black dot.

411   InterPro identifiers pointing towards the presence of ESPs were obtained from Zaremba et al.

412   2017 and in some cases revised to be more strict. For most ESPs results show a clear divide

413   between asgardarchaea and the chosen reference archaea, while the DNA-directed RNA-

414   Polymerases I/III and II, Cyclins or Cyclin-like proteins and the STT3 subunit of the OST

415   complex could also be detected in the majority of the analyzed reference archaea.

416

417   **Suppl. Table 1.** EPC overview including protein family counts, protein sequence listing for

418   each protein family and relative frequency of occurrence within protein families of all

419   taxonomic groups present in the clustering. One protein family per line, one member each

420   cell.

421

422   **Suppl. Table 2.** Six identified Eukaryote-Asgardarchaea unique protein families annotated

423   via InterProScan using standard parameters and showing results from all subject databases.

424

425   **Suppl. Table 3.** Archaeal and bacterial clusterings. One protein family per line, one member

426   each cell.

427

428   **Suppl. Table 4.** InterProScan results for all investigated Asgardarchaea, underlying Fig. 3. in

429   addition to the list of InterPro accessions used to identify ESPs within the investigated

430   asgardarchaeal MAGs.

431

432   **Suppl. Table 5.** Listing of all proteome assemblies used in cluster creation and BLAST hit

433   analysis.

434

435   **Suppl. Table 6.** Species abbreviations used in Figure 2 and Figure 3.

436

437   **Suppl. Table 7.** FDR-corrected (Benjamini-Hochberg) p-values of pairwise double-sided KS

438   tests of gene length distributions.

439

440   **References**

441   Akıl C, & Robinson RC (2018). Genomes of Asgard archaea encode profilins that regulate
442   actin. *Nature,* **562**, 439–443.

443   Blackstone NW (2013). Why did eukaryotes evolve only once? Genetic and energetic aspects
444   of conflict and conflict mediation. *Phil trans R Soc B,* **368**, 20120266.

445   Booth A & Doolittle WF (2015). Eukaryogenesis, how special really? *Proc Natl Acad Sci*
446   *USA,* **112**, 10278–10285.

447   Brueckner J, & Martin WF (2020). Bacterial genes outnumber archaeal genes in eukaryotic
448   genomes *Genome Biol Evol*, **12**, 282-292.

449   Buchfink B, Xie C, & Huson DH (2015). Fast and sensitive protein alignment using
450   DIAMOND. *Nature Methods*, **12**, 59–60.

451   Bulzu P-A, Andrei A-S, Salcher MM, Mehrshad M, Inoue K, Kandori H, Beja O, Ghai R, &
452   Banciu HL (2019). Casting light on Asgardarchaeota metabolism in a sunlit microoxic niche.
453   *Nat Microbiol*, **4**, 1129–1137.

454   Burns JA, Pittis AA & Kim E (2018). Gene-based predictive models of trophic modes suggest
455   Asgard archaea are not phagocytotic. *Nat Ecol Evol*, **2**, 697–704.

456   Caspi Y & Dekker C (2018). Dividing the archaeal way: the ancient cdv cell-division
457   machinery. *Front Microbiol,* **9**, 174.

458   Cavalier-Smith T (1987) The origin of eukaryote and archaebacterial cells. *Ann N Y Acad Sci*,
459   **503**, 17–54.

460   Cox CJ, Foster PG, Hirt RP, Harris SR &, Embley TM (2008). The archaebacterial origin of
461   eukaryotes. *Proc Natl ional Acad Sci USA*, **105**, 20356–20361.

462   Da Cunha V, Gaia M, Nasir A &, Forterre P (2018). Asgard archaea do not close the debate
463   about the universal tree of life topology. *PLoS Genet*, **14**, e1007215.

464   Dacks JB, Field MC, Buick R, Eme L, Gribaldo S, Roger AJ, Brochier-Armanet C &, Devos
465   DP. (2016). The changing view of eukaryogenesis – fossils, cells, lineages and how they all
466   come together. *Journal of Cell Science Sci*, **129**, 3695-3703.

467   Dey G, Thattai M & Baum B (2016). On the archaeal origins of eukaryotes and the challenges
468   of inferring phenotype from genotype. *Trends Cell Biol,*. **26**, 476–485.

469   Duplessis MR, Ziebis W, Gros O, Caro A, Robidart J &, Felbeck H. 2004. Respiration
470   strategies utilized by the gill endosymbiont from the host lucinid Codakia orbicularis
471   (Bivalvia: Lucinidae). *Appl Environ Microbiol,* **70**, 4144–4150.

472   Embley TM & Finlay BJ. 1993. Systematic and morphological diversity of endosymbiotic
473   methanogens in anaerobic ciliates. *Antonie Van Leeuwenhoek*, **64**, 261–271.

474   Eme L, Spang A, Lombard J, Stairs CW & Ettema TJG. 2017. Archaea and the origin of
475   eukaryotes. *Nat Rev Microbiol,* **15**, 711–723.

476   Enright, AJ. 2002. An efficient algorithm for large-scale detection of protein families. Nucleic
477   Acids Res, **30**, 1575–1584.

478   Fenchel T & Bernard C. 1993. A purple protist. *Nature*, **362**, 300–300.

479   Forterre P. 2015. The universal tree of life: an update. *Front Microbiol,* **6**, 717.

480   Fritz-Laylin LK, Prochnik SE, Ginger ML, Dacks JB, Carpenter ML, et al…, Dawson SC.
481   (2010). The genome of *Naegleria gruberi* illuminates early eukaryotic versatility. *Cell*. **140**,
482   631–642.

483   Garg SG, Kapust N, Lin W, Knopp M, Tria F, Nelson-Sathi S, Gould SB, Fan L, Zhu R,
484   Zhang C, Martin WF. 2021. Anomalous phylogenetic behavior of ribosomal proteins in
485   metagenome assembled genomes. *Genome Biol Evol* , **13,** evaa238.

486   Garg SG &, Martin WF. 2016. Mitochondria, the cell cycle, and the origin of sex via a
487   syncytial eukaryote common ancestor. *Genome Biol Evol,*. **8**, 1950-1970.

488   Gould SB, Garg SG. & Martin WF. 2016. Bacterial vesicle secretion and the evolutionary
489   origin of the eukaryotic endomembrane system. *Trends Microbiol,*. **24**, 525–534.

490   Hug LA, Baker BJ, Anantharaman K, Brown CT, Probst AJ, …, Banfield JF. 2016. A new
491   view of the tree of life. *Nat. Microbiol,*. **1**, 16048.

492   Husnik F, Nikoh N, Koga R, Ross L, Duncan RP, …, McCutcheon JP. 2013. Horizontal gene
493   transfer from diverse bacteria to an insect genome enables a tripartite nested mealybug
494   symbiosis. *Cell*, **153**, 1567.

495   Imachi H, Nobu MK, Nakahara N, Morono Y, Ogawara M, Takaki Y, Takano Y, Uematsu K,
496   Ikuta T, Ito M, Matsui Y, Miyazaki M, Murata K, Saito Y, Sakai S, Song C, Tasumi E,
497   Yamanaka Y, Yamaguchi T, …, Takai K. 2020. Isolation of an archaeon at the prokaryote–
498   eukaryote interface. *Nature*, **577**, 519–525.

499   Inoue K, Tsunoda SP, Singh M, Tomida S, Hososhima S, …, Kandori H. 2020.
500   Schizorhodopsins: A family of rhodopsins from Asgard archaea that function as light-driven
501   inward H+ pumps. *Sci Adv*, **6**, eaaz2441.

502   Klinger CM, Spang A, Dacks JB & Ettema TJG. 2016. Tracing the archaeal origins of
503   eukaryotic membrane-trafficking system building blocks. *Mol Biol Evol,* Mol. Biol. Evol., **33**,
504   1528–1541.

505   Koonin EV, Csuros M & Rogozin IB. 2013. Whence genes in pieces: reconstruction of the
506   exon-intron gene structures of the last eukaryotic common ancestor and other ancestral
507   eukaryotes. *Wiley Interdiscip Rev RNA,* **4**, 93–105.

508   Koumandou VL, Wickstead B, Ginger ML, van der Giezen M, Dacks JB &, Field MC. 2013.
509   Molecular paleontology and complexity in the last eukaryotic common ancestor. *Crit Rev*
510   *Biochem Mol,* , **48**, 373–396.

17

511   Ku C, Nelson-Sathi S, Roettger M, Sousa FL, Lockhart PJ, Bryant D, Hazkani-covo E,
512   Mcinerney JO, Landan G, & Martin WF. 2015. Endosymbiotic origin and differential loss of
513   eukaryotic genes. *Nature*, **524**, 427–437.

514   Lane N & Martin WF. 2010. The energetics of genome complexity. *Nature,* **467**, 929–934.

515   Lane N & Martin WF. 2016. Mitochondria, complexity, and evolutionary deficit spending.
516   *Proc National Acad Sci*, **113**, E666–E666.

517   Lane N. 2011. Energetics and genetics across the prokaryote-eukaryote divide. *Biol. Direct,* **6**,
518   35.

519   Lindås A-C & Bernander R. 2013. The cell cycle of archaea. *Nat Rev Microbiol,* **11**, 627–38.

520   Lindås A-C, Karlsson EA, Lindgren MT, Ettema TJG & Bernander R. 2008. A unique cell
521   division machinery in the Archaea. *Proc National Acad Sci* **105**, 18942–18946.

522   Liu Y, Makarova KS, Huang WC, Wolf YI, Nikolskaya A, Zhang X, Cai M, Zhang CJ, Xu
523   W, Luo Z, Cheng L, Koonin EV &, Li M. 2020. Expanding diversity of Asgard archaea and
524   the elusive ancestry of eukaryotes. bioRxiv

525   Lynch M & Marinov GK. 2015. The bioenergetic costs of a gene. *Proc Natl Acad Sci USA,*
526   **112**, 15690–15695.

527   Martijn J &, Ettema TJ. 2013. From archaeon to eukaryote: the evolutionary dark ages of the
528   eukaryotic cell. *Biochem Soc Trans*, **41**, 451-457.

529   Martin W & Müller M. 1998. The hydrogen hypothesis for the first eukaryote. *Nature,* **392**,
530   37–41.

531   Martin WF, Garg SG & Zimorski V. 2015. Endosymbiotic theories for eukaryote origin. *Phil*
532   *Trans R Soc B,* **370**, 20140330.

533   Martin WF, Tielens AGM, Mentel M, Garg SG & Gould SB. 2017. The physiology of
534   phagocytosis in the context of mitochondrial origin. *Microbiol Mol Biol Rev,* **81**, 1–36.

535   McInerney JO, O'Connell MJ & Pisani D. 2014. The hybrid nature of the Eukaryota and a
536   consilient view of life on Earth. *Nat Rev Microbiol,* **12**, 449–455.

537   McInerney J, McNally A & O'Connell M. 2017. Why prokaryotes have pangenomes. *Nat*
538   *Microbiol,* **2,** 17040.

539   Mills DB. 2020. The origin of phagocytosis in earth history. *Interface Focus* **10**, 20200019.

540   Neveu E, Khalifeh D, Salamin N, & Fasshauer D. 2020. Prototypic SNARE proteins are
541   encoded in the genomes of Heimdallarchaeota, potentially bridging the gap between the
542   prokaryotes and eukaryotes. *Curr Biol*, **30**, 2468-2480.

543 O'Malley MA. 2010. The first eukaryote cell: an unfinished history of contestation. *Stud Hist*
544 *Philos Biol Biomed Sci*, **41**, 212-224.

545 Pittis AA & Gabaldón T. 2016. Late acquisition of mitochondria by a host with chimaeric
546 prokaryotic ancestry. *Nature,* **531**, 101–104.

547 Quevillon E, Silventoinen V, Pillai S, Harte N, Mulder N, Apweiler R, & Lopez R. 2005.
548 InterProScan: protein domains identifier. *Nucleic Acids Research,* **33**(Web Server), W116–
549 W120.

550 Rice P, Longden L, & Bleasby A. 2000. EMBOSS: The European Molecular Biology Open
551 Software Suite. *Trends Genet*, **16**, 276–277.

552 Rout MP & Field MC. 2017. The evolution of organellar coat complexes and organization of
553 the eukaryotic cell. *Annu Rev Biochem,* **86**, 637–657.

554 Schmid AMM. 2003. Endobacteria in the diatom Pinnularia (Bacillariophyceae). I. 'Scattered
555 ct-nucleoids' explained: DAPI-DNA complexes stem from exoplastidial bacteria boring into
556 the chloroplasts. *J Phycol*, **39**, 122–138.

557 Seitz KW, Lazar CS, Hinrichs K-U, Teske AP & Baker BJ. 2016. Genomic reconstruction of
558 a novel, deeply branched sediment archaeal phylum with pathways for acetogenesis and
559 sulfur reduction. *ISME J* **10**, 1696–1705.

560 Shiratori T, Suzuki S, Kakizawa Y & Ishida K-I. 2019. Phagocytosis-like cell engulfment by
561 a planctomycete bacterium. *Nat Commun,* **10**, 5529.

562 Spang A, Saw JH, Jørgensen SL, Zaremba- Niedzwiedzka K, Martijn J, …, Ettema TJG.
563 2015. Complex archaea that bridge the gap between prokaryotes and eukaryotes. *Nature,* **521**,
564 173–179.

565 Spang A, Stairs CW, Dombrowski N, Eme L, Lombard J, …, Ettema TJG. 2019. Proposal of
566 the reverse flow model for the origin of the eukaryotic cell based on comparative analyses of
567 Asgard archaeal metabolism. *Nat Microbiol,* **4**, 1138–1148.

568 Surkont J & Pereira-Leal JB. 2016. Are there Rab GTPases in archaea? *Mol Biol Evol*, **33**,
569 1833–1842.

570 Thacker RW. 2005. Impacts of shading on sponge-cyanobacteria symbioses: A comparison
571 between host-specific and generalist associations. *Integr Comp Biol,* **45**, 369–376.

572 Tria FDK, Brückner J, Skejo J, Xavier JC, Zimorski V, Gould SB., Garg SG, & Martin WF.
573 2019. Gene duplications trace mitochondria to the onset of eukaryote complexity. *BioRxiv*,
574 **781211**. https://doi.org/10.1101/781211

575 van Dongen S. 2000. A cluster algorithm for graphs. *Inf Syst*, **R0010**, 1–40.

576 Vellai T, Takács K &, Vida G. 1998. A new aspect to the origin and evolution of eukaryotes.
577 *J Mol Evol*, **46**, 499-507.

578 Villanueva L, Schouten S & Damsté JSS. 2016. Phylogenomic analysis of lipid biosynthetic
579 genes of Archaea shed light on the "lipid divide". *Environ Microbiol,* **19**, 54–69.

580 Vosseberg J, van Hooff JJE, Marcet-Houben M, van Vlimmeren A, van Wijk LM, Gabaldón
581 T & Snel B. 2020. Timing the origin of eukaryotic cellular complexity with ancient
582 duplications. *Nat Ecol Evol*, **5,** 92–100.

583 Williams TA, Cox CJ, Foster PG, Szöllősi GJ & Embley TM. 2020. Phylogenomics provides
584 robust support for a two-domains tree of life. *Nat Ecol Evol,* **4**, 138–147.

585 Woese CR. & Fox GE. 1977. Phylogenetic structure of the prokaryotic domain: The primary
586 kingdoms. *Proc Natl Acad Sci USA,* **74**, 5088–5090.

587 Woyke T, Teeling H, Ivanova NN, Huntemann M, Richter M, …, Dubilier N. 2006.
588 Symbiosis insights through metagenomic analysis of a microbial consortium. *Nature,* **443**,
589 950–955.

590 Xu L, Chen H, Hu X, Zhang R, Zhang Z, & Luo ZW. 2006. Average gene length is highly
591 conserved in prokaryotes and eukaryotes and diverges only between the two kingdoms. *Mol
592 Biol Evol,* **23**, 1107–1108.

593 Yutin N, Wolf MY, Wolf YI & Koonin EV. 2009. The origins of phagocytosis and
594 eukaryogenesis. *Biol Direct*, **4**, 9.

595 Zachar I, Szilágyi A, Számádó S & Szathmáry E. 2018. Farming the mitochondrial ancestor
596 as a model of endosymbiotic establishment by natural selection. *Proc Nat Acad Sci USA*, **115**,
597 201718707.

598 Zaremba-Niedzwiedzka K, Caceres EF, Saw JH, Bäckström D, Juzokaite L, …, & Ettema
599 TJG. 2017. Asgard archaea illuminate the origin of eukaryotic cellular complexity. *Nature*,
600 **541**, 353–358.

601 Zientz E, Dandekar T & Gross R. 2004. Metabolic Interdependence of Obligate Intracellular
602 Bacteria and Their Insect Hosts. *Microbiol Mol Biol Rev*, **68**(4), 745–770.