



31        **Abstract**

32        Integrative and conjugative elements (ICEs) are mobile genetic elements capable of  
33        transferring their own and other DNA. They contribute to the spread of antibiotic resistances and  
34        other important traits for bacterial evolution. Exclusion is a mechanism used by many  
35        conjugative plasmids and a few ICEs to prevent their host cell from acquiring a second copy of  
36        the cognate element. ICEBs1 of *Bacillus subtilis* has an exclusion mechanism whereby the  
37        exclusion protein YddJ in a potential recipient inhibits the activity of the ICEBs1-encoded  
38        conjugation machinery in a potential donor. The target of YddJ-mediated exclusion is the  
39        conjugation protein ConG (a VirB6 homolog). Here we defined the regions of YddJ and ConG  
40        that confer exclusion specificity and determined the importance of exclusion to host cells. Using  
41        chimeras that had parts of ConG from ICEBs1 and the closely related ICEBat1 we identified a  
42        putative extracellular loop of ConG that conferred specificity for exclusion by the cognate YddJ.  
43        Using chimeras of YddJ from ICEBs1 and ICEBat1 we identified two regions in YddJ needed  
44        for exclusion specificity. We also found that YddJ-mediated exclusion reduced death of donor  
45        cells following conjugation into recipients. Donor death was dependent on the ability of  
46        transconjugants to themselves become donors and was reduced under osmo-protective  
47        conditions, indicating that death was likely due to alterations in the donor cell envelope caused  
48        by excessive conjugation. We postulate that elements that can have high frequencies of transfer  
49        likely evolved exclusion mechanisms to protect the host cells from excessive death.

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52 **Importance**

53 Horizontal gene transfer is a driving force in bacterial evolution, responsible for the spread of  
54 many traits, including antibiotic and heavy metal resistances. Conjugation, one type of horizontal  
55 gene transfer, involves DNA transfer from donor to recipient cells through conjugation  
56 machinery and direct cell-cell contact. Exclusion mechanisms allow conjugative elements to  
57 prevent their host from acquiring additional copies of the element, and are highly specific  
58 enabling hosts to acquire heterologous elements. We defined regions of the exclusion protein and  
59 its target in the conjugation machinery that convey high specificity of exclusion. We found that  
60 exclusion protects donors from cell death during periods of high transfer. This is likely important  
61 for the element to enter new populations of cells.

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65 **Introduction**

66 Integrative and conjugative elements (ICEs, also called conjugative transposons) play a  
67 major role in bacterial evolution by contributing to the spread of genetic material, including  
68 genes for antibiotic resistances, pathogenesis, symbiosis, and metabolic functions (1–3). ICEs are  
69 typically found integrated into the host chromosome. Under certain conditions they can excise  
70 and transfer to a new host through conjugation machinery encoded by the element (4,5), thus  
71 enabling their spread through a population of bacterial cells. The conjugation machinery encoded  
72 by most ICEs is a type 4 secretion system (T4SS) (1) and the genes that confer various  
73 phenotypes to the host cells are typically not required for conjugation and are called cargo genes.  
74 The conjugation machineries from many ICEs are also capable of transferring (mobilizing) other  
75 elements, notably plasmids, to new host cells, allowing for dissemination of elements that do not  
76 encode their own conjugation machinery (6–8).

77 ICEBs1 is relatively small (~20 kb) and present in a unique site (in *trnS-leu2*) in most strains  
78 of *Bacillus subtilis* (9,10). DNA damage to its host cell, or crowding by *B. subtilis* cells that do  
79 not contain ICEBs1 both lead to de-repression of transcription of ICEBs1 genes and subsequent  
80 excision and potential transfer of the element. ICEBs1 can be activated in >90% of cells in a  
81 population by overproduction of the element-encoded activator protein RapI, making the element  
82 readily amenable to population-based studies (9,11,12). ICEBs1 has three known mechanisms for  
83 inhibiting its host cell from receiving an additional copy of element: 1) inhibition of ICEBs1  
84 activation by cell-cell signaling from neighboring cells that already contain a copy of the element  
85 (9); 2) repressor-mediated immunity (13); and 3) exclusion (12). Exclusion is a key part of  
86 conjugative plasmid biology and most conjugative plasmids appear to have an exclusion system  
87 (14). In the F-plasmid of *E. coli*, exclusion protects host cells against lethal zygosis, a

88 phenonemon in which host cells that serve as recipients during excessive transfer events die,  
89 likely due to cell wall damage (15–18). In addition, exclusion prevents cells from having  
90 recombination events that result in deletions and defective plasmid copies (19–21).

91 In general, exclusion systems are mediated by a single protein encoded by the element, that is  
92 localized to the membrane of the host cell, where it is in position to inhibit cognate conjugation  
93 machinery (14). Identified exclusion proteins tend to be fairly small, and membrane attachment  
94 is in the form of one or more transmembrane domains, or lipid modification, or both. The target  
95 protein in the donor has been identified for exclusion system systems from the F/R100 family of  
96 plasmids (22,23), the R64/R62Ia plasmids (24), and the SXT/R391 ICEs (25,26) and ICEBs1  
97 (12). ICEBs1 is the only ICE from Gram-positive bacteria that is known to have exclusion  
98 system.

99 In ICEBs1, the element-encoded exclusion protein YddJ specifically inhibits its cognate  
100 conjugation machinery by targeting the conjugation protein ConG in would-be donor cells,  
101 thereby inhibiting transfer of DNA into a cell that already contains ICEBs1 (12). ConG, a  
102 homolog of VirB6 in the pTI conjugation system from *A. tumefaciens*, is a membrane protein  
103 with seven predicted transmembrane segments, and essential for function of the ICEBs1  
104 conjugation system (27). Exclusion protects the viability of ICEBs1 host cells under conditions  
105 that promote conjugation, although it was not clear whether ICEBs1 donors, recipients, or both  
106 were being protected (12).

107 Here, we identify the regions in YddJ and ConG that determine the specificity of exclusion.  
108 We found that exclusion promotes viability of ICEBs1 donor cells by limiting ICEBs1 transfer  
109 from new transconjugants back into the original donors.

110

111 **Results**

112 **Rationale and experimental approach**

113 Exclusion specificity in ICEBs1 was established using *conG* and *yddJ* from ICEBat1 in place  
114 of their homologues in ICEBs1 (12). Here, to define the regions of each gene needed to confer  
115 specificity, we made chimeras between *conG* or *yddJ* from ICEBs1 and ICEBat1. To study the  
116 effects of exclusion we used experimental conditions that bypass both cell-cell signaling and  
117 immunity. Cell-cell signaling is bypassed by overexpressing *rapI* from an inducible promoter  
118 (Pxyl-*rapI*) in ICE-containing donor cells (9). Repressor-mediated immunity in potential  
119 recipient cells is bypassed by expressing *yddJ* from an exogenous locus under control of a strong  
120 promoter {Pspank(hy)-*yddJ*} in the absence ICEBs1 (12).

121 **Identification of regions of ConG that are essential for exclusion specificity**

122 Regions and residues of ConG and YddJ that are needed for exclusion specificity must be  
123 divergent between the proteins from ICEBs1 and ICEBat1. There are two main regions of  
124 divergence in ConG (12). One includes residues 276-295 of both ConG<sub>Bs1</sub> and ConG<sub>Bat1</sub> (Fig. 1),  
125 and is predicted to be a loop between the putative third and fourth transmembrane regions.  
126 Exclusion-resistant mutations in *conG* are in this loop region (12). The C-terminal region of  
127 ConG is also divergent between the two elements. This region is predicted to be a large  
128 extracellular domain.

129 We found that amino acids 276-295 in ConG were sufficient to confer specificity. We  
130 replaced amino acids 276-295 in ConG<sub>Bs1</sub> with the corresponding residues from ConG<sub>Bat1</sub>,  
131 generating ConG<sub>Bs1</sub>-Bat1(276-295), referred to as ConG<sub>Bs1</sub>-H1 (Fig. 1A, B). This hybrid protein  
132 was functional in conjugation with the ICEBs1 conjugation machinery. The conjugation  
133 efficiency was ~5% (~5 transconjugants per 100 initial donors) into recipients that did not

134 contain YddJ (or ICEBs1). When recipients produced YddJ<sub>Bat1</sub>, the conjugation efficiency was  
135 reduced by a factor of  $\sim 10^{-3}$ , which we refer to as  $\sim 1,000$ -fold exclusion (Fig. 1B). Exclusion is  
136 the ratio of transconjugants into recipients without (no exclusion) versus with *yddJ*. This level of  
137 exclusion is similar to that observed when both ConG and YddJ were from ICEBat1 (Fig. 1D). In  
138 contrast, when recipients produced YddJ<sub>Bs1</sub>, there was no detectable change in the conjugation  
139 efficiency giving exclusion of  $\sim 1$  (no exclusion) (Fig. 1B). Based on these results, we conclude  
140 that amino acids 276-295 of ConG from ICEBat1 are sufficient to confer exclusion specificity to  
141 YddJ from ICEBat1.

142 We also made the reciprocal replacement, replacing residues 276-295 from ConG<sub>Bat1</sub> with  
143 those from ConG<sub>Bs1</sub> (Fig. 1A, C). This hybrid ConG<sub>Bat1</sub>-Bs1(276-295), referred to as ConG<sub>Bat1</sub>-  
144 H2, was functional in conjugation with the ICEBs1 conjugation machinery, but less so than wild  
145 type or the other hybrid. The reduced transfer efficiency was expected based on previous  
146 analyses substituting ConG<sub>Bat1</sub> for ConG<sub>Bs1</sub> in the context of the ICEBs1 conjugation machinery  
147 (12). The conjugation efficiency was  $\sim 0.1\%$  transconjugants per donor into recipients that did  
148 not contain YddJ. When recipients produced YddJ from ICEBs1, exclusion was  $\sim 1,000$ -fold,  
149 similar to when both ConG and YddJ were from ICEBs1 (Fig. 1C). In contrast, when recipients  
150 produced YddJ<sub>Bat1</sub>, there was no detectable exclusion (Fig. 1C). Based on these results, we  
151 conclude that amino acids 276-295 of ConG from ICEBs1 are sufficient to confer exclusion  
152 specificity to YddJ from ICEBs1.

153 Together, the results above indicate that residues 276-295 of both ConG<sub>Bs1</sub> and ConG<sub>Bat1</sub>  
154 confer specificity of exclusion. These might not be the only residues that contribute to specificity  
155 of exclusion, but without these key residues from the cognate ConG, no exclusion by YddJ is

156 observed. With these key residues, exclusion is virtually indistinguishable from that for donors  
157 expressing the wild type cognate ConG protein.

158 **Identification of YddJ Regions Essential for Exclusion Specificity**

159 To identify regions of YddJ<sub>Bs1</sub> and YddJ<sub>Bat1</sub> that confer exclusion specificity, we used a  
160 similar approach of generating hybrids and testing whether recipient strains expressing these  
161 hybrid proteins could exclude ICEBs1 using ConG<sub>Bs1</sub> or ConG<sub>Bat1</sub>. There are two main regions of  
162 sequence dissimilarity between the YddJ homologs (Fig. 2A). We made and tested three  
163 functional hybrid proteins, focusing on these regions (Fig. 2B).

164 Hybrid J1, YddJ<sub>Bat1</sub>-Bs1(30-48)(65-81)(86-95). We made constructs that replaced amino  
165 acids from regions 1, 2a and 2b (amino acids 30-50, 67-82, and 87-96) in YddJ<sub>Bat1</sub> with the  
166 corresponding residues (30-48, 65-81, and 86-95, note slightly different numbering) from  
167 YddJ<sub>Bs1</sub> to make hybrid J1, formally known as YddJ<sub>Bat1</sub>-Bs1(30-48)(65-81)(86-95) (Fig. 2B).  
168 Hybrid J1 was able to exclude an element that had conjugation machinery with ConG<sub>Bs1</sub>  
169 (exclusion ~1600), but unable to exclude conjugation machinery with ConG<sub>Bat1</sub> (Fig. 2B). These  
170 results indicate that the specificity of YddJ<sub>Bat1</sub> had been switched to that of YddJ<sub>Bs1</sub> and that  
171 these three regions were sufficient to confer specificity.

172 Hybrid J2, YddJ<sub>Bat1</sub>-Bs1(30-48)(65-81). We made a construct similar to hybrid J1, but only  
173 replaced amino acids in regions 1 and 2a (amino acids 30-50 and 67-82) in YddJ<sub>Bat1</sub> with the  
174 corresponding residues (30-48 and 65-81, respectively) from YddJ<sub>Bs1</sub> to generate hybrid J2,  
175 formally known as YddJ<sub>Bat1</sub>-Bs1(30-48)(65-81) (Fig. 2B). Hybrid J2 excluded a donor with  
176 ConG<sub>Bs1</sub> (exclusion ~1000), but did not exclude a donor with ConG<sub>Bat1</sub> (Fig. 2B). These results  
177 indicate that the specificity of YddJ<sub>Bat1</sub> had been switched to that of YddJ<sub>Bs1</sub> and that these two

178 regions were sufficient to change the specificity. They also indicate that amino acids in region 2b  
179 (residues 86-95) of YddJ from *ICEBs1* contributed little if anything to specificity in this context.

180 Hybrid J3, YddJ<sub>Bs1</sub>-Bat1(30-50)(67-82)(87-96). We made a construct that replaced amino  
181 regions 1, 2a and 2b (amino acids 30-50, 67-82, and 87-96) in YddJ from *ICEBs1* with the  
182 corresponding residues from YddJ from *ICEBat1* to make hybrid J3, formally known as YddJ<sub>Bs1</sub>-  
183 Bat1(30-50)(67-82)(87-96) (Fig. 2B). This hybrid is essentially the reciprocal of hybrid J1.  
184 Hybrid J3 was able to exclude an element that had conjugation machinery with ConG<sub>Bat1</sub>, but  
185 unable to exclude conjugation machinery with ConG<sub>Bs1</sub> (Fig. 2B). These results indicate that the  
186 specificity of YddJ<sub>Bs1</sub> had been switched to that of YddJ<sub>Bat1</sub> and that these three regions were  
187 sufficient to confer specificity.

188 We made another hybrid (J4), similar to hybrid J3, but that replaced only regions 1 and 2a  
189 (not region 2b) of YddJ<sub>Bs1</sub> with the corresponding two regions from YddJ<sub>Bat1</sub>. Formally, this  
190 hybrid is known as YddJ<sub>Bs1</sub>-Bat1(30-50)(67-82). This hybrid had very little if any exclusion of a  
191 donor with ConG<sub>Bat1</sub> and no detectable exclusion of a donor with ConG<sub>Bs1</sub>. These results are  
192 largely uninterpretable. It is possible that key residues needed for exclusion were missing. It is  
193 also possible that the protein is not functional (perhaps mis-folded). Nonetheless, together, our  
194 results indicate that regions 1, 2a, and 2b, and in the context of YddJ<sub>Bat1</sub>, regions 1 and 2a from  
195 YddJ<sub>Bs1</sub> are sufficient to confer exclusion specificity.

### 196 **YddJ hybrid proteins can exclude conjugation machinery with ConG hybrid proteins**

197 As a final demonstration of specificity, we tested the ability of hybrid J1 and J3 to exclude  
198 conjugation machinery containing the ConG hybrids H1 and H2. Hybrid J1 (YddJ<sub>Bat1</sub> with  
199 regions 1, 2a and 2b from YddJ<sub>Bs1</sub>) was able to inhibit ConG<sub>Bat1</sub>-H2 (ConG<sub>Bat1</sub> with amino acids  
200 276-295 from ConG<sub>Bs1</sub>), but not ConG<sub>Bat1</sub> (Fig. 3). Likewise, hybrid J3 (YddJ<sub>Bs1</sub> with regions 1,

201 2a and 2b from YddJ<sub>Bat1</sub>) was able to inhibit ConG<sub>Bat1</sub>-H1, but not ConG<sub>Bs1</sub> (Fig. 3). Together,  
202 our results demonstrate that the key residues in ConG<sub>Bs1</sub> and ConG<sub>Bat1</sub>, and in YddJ<sub>Bs1</sub> and  
203 YddJ<sub>Bat1</sub>, are sufficient to generate the exclusion specificity of their counterpart wild type  
204 proteins.

205 **Death of ICEBs1 donors due to excessive mating**

206 Previous work found that loss of exclusion leads to a drop in cell viability under conditions  
207 that support mating (12). However, it is not known if the drop in viability was due to killing of  
208 donors, recipients, or both. Experiments described below demonstrate that decreased viability of  
209 exclusion-defective mutants occurs when cells function concurrently as both donors and  
210 recipients.

211 There was considerable death of ICEBs1 host cells (initial donors) when these cells were  
212 surrounded by an excess of recipient cells. We mixed ICEBs1 donors that had exclusion  
213 (KPD154) with recipient cells at a ratio of 1 donor to ~100 recipients. After mating on filters,  
214 there was a dramatic drop in viability of the original donor cells such that only ~5% ( $4.6 \pm 2.0\%$ )  
215 of the original donors survived post-mating (Fig. 4). In the absence of exclusion ( $\Delta yddJ$ , strain  
216 KPD155), only ~2% ( $1.8 \pm 0.5\%$ ) of the original donors survived (Fig. 4), a significant  
217 difference based on the one-tailed t test ( $p = 0.0174$ ).

218 The decrease in donor survival was due to loss of exclusion and not the absence of YddJ per  
219 se. We analyzed survival of donors that express YddJ, but that contain a missense mutation in  
220 *conG* that make them insensitive to exclusion (12). This mutant also had decreased survival ( $1.7$   
221  $\pm 0.5\%$ ), similar to that of cells without *yddJ* (Fig. 4). Together, these results indicate that 1)  
222 there is significant donor death when the donors are surrounded by a vast excess of recipients

223 and likely to be transferring to multiple cells; and 2) that exclusion provides ~2-3-fold protection  
224 from this death.

225 The protection conferred by YddJ was due to its function in the original donors. We  
226 expressed *yddJ* from an ectopic locus in donor cells that contained ICEBs1Δ*yddJ* (KPD156). The  
227 original donor has exclusion, but a transconjugant will not because the transferred element  
228 (ICEBs1Δ*yddJ*) is missing *yddJ*. Survival of donors ectopically expressing *yddJ* was ~4% (3.6 ±  
229 0.9%) (Fig. 4) in mating experiments analogous to those above with 1 donor to ~100 recipients.  
230 These results indicate that in the absence of exclusion, some of the donor death is likely due to  
231 donors acting as recipients in conjugation and that the transconjugants are likely transferring  
232 DNA back to the original donors. In donors capable of excluding entry of a second copy of  
233 ICEBs1, donor death is likely from mating events with many recipients.

234 The drop in viability of donor cells was due to the presence of the mating machinery and  
235 close proximity of recipients. Donor death was dependent on overexpression of *rapI* to induce  
236 ICEBs1. Without *rapI* induction, there was no detectable drop in donor cell viability under  
237 conditions that mimic the mating described above. Furthermore, donor death was not simply due  
238 to overexpression of *rapI* and activation of ICEBs1. Matings done with both wild type ICEBs1  
239 donors (KPD154) and Δ*yddJ* ICEBs1 donors (KPD155) at a ratio of 1 donor to ~100 recipients,  
240 with overexpression of *rapI*, but at a cell concentration low enough to reduce mating (~4x10<sup>5</sup>  
241 rather than ~8x10<sup>8</sup> total cells for mating) had 63 ± 16% and 89 ± 7% survival of wild type and  
242 *yddJ* donors, respectively. Thus, the large drop in viability was dependent on activation of  
243 ICEBs1 and conditions that support multiple mating events. We postulate that excessive mating,  
244 and serving as both a donor and recipient (in the absence of YddJ-mediated exclusion), likely  
245 causes cell wall damage that leads to cell death.

246 **Osmo-protective conditions increase survival during excessive mating**

247 We found that osmo-protective conditions increased donor survival under conditions of  
248 excessive mating, both with and without exclusion. Mating assays were done using donors with  
249 (*KPD154*) and without (*ICEBs1ΔyddJ*, strain *KPD155*) exclusion at a ratio of 1 donor to ~100  
250 recipients (*CAL419*) in osmo-protective conditions. These osmo-protective conditions consisted  
251 of replacing 1X Spizizen's salts used as the support for mating filters and used to recover cells  
252 from the mating filters, with a solution of 20mM MgCl<sub>2</sub> and 0.5M sucrose and buffered with  
253 20mM maleic acid (see Materials and Methods). This solution (called MSM) has been used for  
254 the propagation *B. subtilis* cells with no cell wall, so-called L-forms (28).

255 In matings with osmo-protective conditions, survival of wild type donors was  $51.9 \pm 11.0\%$ ,  
256 and survival of donors without exclusion (*ICEBs1ΔyddJ*) was  $17.7 \pm 6.3\%$ , compared to  $4.6 \pm$   
257  $2.0\%$  and  $1.8 \pm 0.5\%$ , respectively, in matings without osmo-protection (Fig. 4). Thus, a  
258 significant amount of donor death for both wild type and exclusion-deficient donors was  
259 eliminated by osmo-protection. These results indicate that donors surrounded by an excess of  
260 recipients are likely dying from cell wall damage due to excessive mating, either into a single  
261 recipient or into multiple recipients. There was still lower survival of donors without exclusion,  
262 even under the osmo-protective conditions used here. We suspect that this is due to the shift from  
263 osmo-protection to LB agar plates, and that the donors without exclusion have more envelope  
264 damage and lower survival following the shift.

265

266

267 **Discussion**

268 Results presented here show that *B. subtilis* cells that transfer ICEBs1 can die from excessive  
269 transfer. This death is exacerbated by loss of exclusion, which likely enables transfer from a  
270 transconjugant back into the original donor. Death of the donors is largely relieved under osmo-  
271 protective conditions, indicating that death is due to alterations in the integrity of the cell  
272 envelope. If there is death of recipients we would not have detected it in our assays.

273 **Death of ICEBs1 donors compared to lethal zygosis in *E. coli***

274 Death of ICEBs1 donors and the protection by exclusion is different from the previously  
275 characterized phenomenon of lethal zygosis in *E. coli*. In lethal zygosis, cells are killed when  
276 they serve as recipients during multiple conjugation events. This killing occurs when recipients  
277 lacking the F plasmid (F-) are mixed with an excess of either Hfr donors or F+ exclusion-  
278 deficient donors. Recipient death by lethal zygosis also occurs when F+ exclusion-deficient  
279 recipients are mixed with an excess of Hfr donors (15–18). This killing is probably caused by  
280 increased permeability of the cell wall due to multiple matings (15). During Hfr transfer, the  
281 transconjugants do not become donors because the entire conjugative element is not transferred.  
282 This is in contrast to the situation with ICEBs1 in which transconjugants acquire the entire  
283 element and quickly become donors (29). It is not known if *E. coli* donors also die under  
284 conditions of excess mating.

285 **Benefits of ICEBs1 exclusion**

286 The protective benefit of ICEBs1 exclusion probably serves an important role when ICEBs1  
287 is breaking into a new population of host cells, a situation that is likely mimicked by matings  
288 with 1 donor to ~100 recipients. Once a cell receives ICE, it is ready to quickly donate it to other  
289 cells, and this feature gives ICEBs1 some distinct advantages, like being able to move quickly

290 through cell chains via conjugation (29) and spread in a biofilm (30,31). That transconjugants  
291 quickly become donors indicates that if a mating pair is reasonably stable, then there is the  
292 likelihood that a transconjugant could transfer ICE back to the original donor. Our results  
293 indicate that it is taxing for ICEBs1 host cells to serve as donors. There is a considerable amount  
294 of donor death with multiple transfer opportunities (1 donor per 100 recipients at high cell  
295 concentrations), and there is more death in the absence of exclusion. Whatever the mechanism of  
296 killing, it seems that exclusion can protect ICEBs1 donor cells when they are already in the  
297 vulnerable state of serving or having just served as donors.

298 **Comparison of exclusion proteins**

299 Exclusion proteins from different families of conjugative elements have limited sequence  
300 similarity, but still have some common features. In general, exclusion proteins are relatively  
301 small and found on the surface of the host cell where it is in position to inhibit cognate  
302 conjugation machinery in a potential donor (14). Exclusion proteins are usually not required for  
303 conjugative transfer, except for that of R27 (32), often function in a dose-dependent manner, and  
304 target a VirB6 (ConG) homolog or analog in the cognate secretion system, as discussed above.

305 In the case of the F/R100 family of plasmids (*E. coli*, *S. flexneri*), the exclusion protein TraS  
306 a small hydrophobic protein except for a short hydrophilic region (33), predicted to be localized  
307 to the inner membrane (23). In the SXT/R391 family of ICEs (*V. cholerae*, *P. rettgeri*), the  
308 exclusion protein Eex is in the inner membrane (26), and paradoxically, the regions essential for  
309 exclusion specificity are in a cytoplasm region of the protein (34). For ICEBs1 (*B. subtilis*), the  
310 exclusion protein YddJ is predicted to be extracellular and attached to the cell surface via a lipid  
311 modification (12).

312 **Targets of exclusion proteins**

313 The targets of exclusion proteins from four different families of conjugative elements, all  
314 from gram-negative bacteria, have been identified. In each case, the exclusion protein targets its  
315 cognate VirB6 homologue or analogue. The region conferring specificity of exclusion appears to  
316 be either periplasmic (23) or cytoplasmic (24,26,34). Likewise, the region of ConG of ICEBs1  
317 (and ICEBat1) that confers exclusion specificity is predicted to be cytoplasmic. Together, these  
318 analyses indicate that either this normally periplasmic or cytoplasmic region can be present on  
319 the cell surface, or that the cognate exclusion protein has access to part of the periplasm or  
320 cytoplasm.

321 **Specificity of exclusion and contributions to ICE biology**

322 Identification of key regions for exclusion specificity in ConG and YddJ also highlight  
323 important aspects of ICEBs1 biology, and how ICEs contribute to bacterial evolution by  
324 spreading genetic material. The fact that exclusion (or lack thereof) can be based on differences  
325 of a few residues in the exclusion protein or target protein demonstrates that exclusion by a copy  
326 of ICEBs1 can very selectively allow slightly different elements (such as ICEBat1) to enter the  
327 host cell, while significantly reducing the number the number conjugation attempts by other  
328 would-be ICEBs1 donors.

329 ICEs play an important role in bacterial evolution by contributing to the spread of genetic  
330 material, and one way in which an ICE gains or loses genetic material (which it can then transfer  
331 along with itself) is through genetic rearrangement events with other ICEs and plasmids (5). It  
332 has been theorized (14) that the lack of exclusion systems in some ICEs allows for more rapid  
333 evolution of the ICE, but this could be harmful for ICEBs1 given its strict requirement for  
334 integration site. Having an exclusion system that allows for as much exposure to other elements

335 as possible, while limiting the number of identical elements that enter, would allow ICEBs1 to  
336 have the chance to be exposed to as many other ICEs as possible and benefit from the genetic  
337 diversity, while avoiding suffering the ill effects.

338

339 **Materials and Methods**

340 **Media and growth conditions**

341 Cells were typically grown in S7<sub>50</sub> defined medium (35) supplemented as needed for  
342 auxotrophic requirements (40 µg/ml tryptophan, 40 µg/ml phenylalanine, and 200 µg/ml  
343 threonine for strains containing alleles inserted at *thrC*). Isopropyl-β-D-thiogalactopyranoside  
344 (IPTG, Sigma) was used at a final concentration of 1 mM to induce expression from the  
345 promoter Pspank(hy). LB plates contained antibiotics, where indicated, at the following  
346 concentrations: kanamycin (5 µg/ml), spectinomycin (100 µg/ml), streptomycin (100 µg/ml), and  
347 a combination of erythromycin (0.5 µg/ml) and lincomycin (12.5 µg/ml) to select for macrolide-  
348 lincosamide-streptogramin (MLS) resistance.

349 **Strains and alleles**

350 *B. subtilis* strains used in this study are listed in Table 1. Cloning and generation of strains  
351 was done following standard techniques (36). All strains (KPD219, CAL89, MA982, KPD128,  
352 KPD131, KPD132, KPD137, CAL419) used as recipients in mating experiments did not contain  
353 ICEBs1 (ICE<sup>0</sup>), contained null mutations in *comK* or *comC* (described below), and were  
354 streptomycin-resistant (*str-84*) (9,37). Streptomycin was used as a counter-selective marker in  
355 mating assays (see more on mating assays below).

356 All ICEBs1 donor strains contain a version of ICEBs1 that has a kanamycin-resistance gene  
357 inserted in place of *rapI-phrI*: Δ(*rapI-phrI*)342::kan (9). *rapI* was over-expressed from Pxyl-*rapI*

358 in donor cells, to achieve inducible ICEBs1 gene expression and excision. Pxyl-rapI alleles were  
359 integrated into *amyE* with *spc* or *cat* antibiotic resistance genes: *amyE::{Pxyl-rapI spc}* (38) or  
360 *amyE::{Pxyl-rapI cat}* (38,39). Any ICEBs1 donor strains containing a deletion of *conG*,  
361  $\Delta conG(5-805)$ , were derived from MMB1283 (27). KPD210, a donor strain containing a  
362 complete deletion of *yddJ*, was derived from MA11 (12).

363 Construction of *comK* and *comC* null mutations. Null mutations in *comK* and *comC* were  
364 used to prevent transformation in all recipient strains, and in donor strains (KPD154, KPD155,  
365 KPD156, KPD157) used in experiments where even low levels of transformation could  
366 significantly alter donor CFU counts. *comK::cat* was from CAL419 (37), *comK::spc* (9) and  
367 *comC::mls* (12) were also previously described.

368 Construction of Pspank(hy)-*yddJ* and Pspank(hy)-*yddJ* chimeras at *lacA*. All *yddJ*  
369 overexpression constructs consist of *yddJ* fused to the LacI-repressible IPTG-inducible promoter  
370 Pspank(hy), and integrated at *lacA* with an *mls* resistance gene. Pspank(hy)-*yddJ*<sub>Bs1</sub> (*yddJ* from  
371 ICEBs1) present in strain MA982, and Pspank(hy)-*yddJ*<sub>Bat1</sub>, (*yddJ* from ICEBat1) present in  
372 strain KPD219, were described previously (12).

373 To make the *yddJ* hybrids, *yddJ*<sub>Bat1</sub> DNA was amplified by PCR from genomic DNA from *B.*  
374 *atrophaeus* strain 11A1 (from the Bacillus Genetic Stock Center; [www.bpsc.org](http://www.bpsc.org)) and *yddJ*<sub>Bs1</sub>  
375 DNA was amplified by PCR from genomic DNA from *B. subtilis* strain AG174. Fragments of  
376 each *yddJ* were amplified and fused by isothermal assembly as necessary to make four chimeric  
377 constructs.

378 1) Hybrid J1: formally known as YddJ<sub>Bat1</sub>{*Bs1*(30-48)(65-81)(86-95)}, in which YddJ<sub>Bs1</sub>  
379 residues 30-48, 65-81, and 86-95 were substituted for their corresponding YddJ<sub>Bat1</sub> residues (30-  
380 50, 67-82, and 87-96, respectively).

381 2) Hybrid J2: formally known as  $YddJ_{B_{at1}}\{Bs1(30-48)(65-81)\}$ , in which  $YddJ_{Bs1}$  residues  
382 30-48 and 65-81 were substituted for their corresponding  $YddJ_{B_{at1}}$  residues (30-50 and 67-82,  
383 respectively).

384 3) Hybrid J3: formally known as  $YddJ_{Bs1}\{Bat1(30-50)(67-82)(87-96)\}$ , in which  $YddJ_{B_{at1}}$   
385 amino acids 30-50, 67-82, and 87-96 were substituted for their corresponding  $YddJ_{Bs1}$  amino  
386 acids (30-48, 65-81, and 86-95, respectively).

387 4) Hybrid J4: formally known as  $YddJ_{Bs1}\{Bat1(30-50)(67-82)\}$ , in which  $YddJ_{B_{at1}}$  residues  
388 30-50 and 67-82 were substituted for residues 30-48 and 65-81, respectively, in  $YddJ_{Bs1}$ .

389 For all  $P_{spank(hy)}-yddJ$  constructs, the  $yddJ$  PCR fragments were joined together, and then  
390 joined to two fragments amplified from pCJ80 (a vector for making fusions to  $P_{spank(hy)}$  and  
391 integration at  $lacA$ ) (40) by isothermal assembly. One fragment from pCJ80 included the pCJ80  
392 SphI cut site and 2409 bp upstream of this cut site, including homology to the 5' end of  $lacA$ .  
393 The other fragment included the pCJ80 SacI cut site and 2299 bp downstream of this cut site,  
394 including homology to the 3' end of  $lacA$ . These two fragments were digested with SphI and  
395 SacI, respectively, before isothermal assembly with the  $yddJ$  PCR DNA. The primers used to  
396 amplify  $yddJ$  contained sequences complementary to sequences in the primers used to amplify  
397 regions of  $lacA$ , thereby enabling joining by isothermal assembly. The resulting isothermal  
398 assembly product was integrated by double cross-over into the chromosome by transformation  
399 and selecting for MLS resistance, to generate the  $yddJ$  overexpression alleles.

400 Construction of  $P_{spank(hy)}-conG$  and  $P_{spank(hy)}-conG$  chimeras at  $thrC$ .  $conG$  was  
401 expressed ectopically from the LacI-repressible IPTG-inducible promoter  $P_{spank(hy)}$ , from  
402 constructs integrated at  $thrC$  with an  $mls$  resistance gene. The  $P_{spank(hy)}-conG$  alleles were used  
403 to complement the  $\Delta conG(5-805)$  deletion in ICEBs1.  $P_{spank(hy)}-conG_{Bs1}$  ( $conG$  from ICEBs1)

404 present in strain KPD225, and Pspank(hy)-*conG*<sub>Bat1</sub>, (*conG* from ICE*Bat1*) present in strain  
405 KPD224, have been described (12,27).

406 To make the *conG* hybrids, *conG*<sub>Bat1</sub> DNA was amplified by PCR from genomic DNA from  
407 *B. atrophaeus* strain 11A1 (from the Bacillus Genetic Stock Center; [www.bpsc.org](http://www.bpsc.org)) and *conG*<sub>Bs1</sub>  
408 DNA was amplified by PCR from genomic DNA from *B. subtilis* strain AG174. Fragments of  
409 each *conG* were amplified and fused by isothermal assembly as necessary to make two chimeric  
410 constructs.

411 1) ConG<sub>Bs1</sub>-H1: formally known as ConG<sub>Bs1</sub>{*Bat1*(276-295)}, in which ConG<sub>Bat1</sub> residues  
412 276-295 were substituted for residues 276-295 in ConG<sub>Bs1</sub>.

413 2) ConG<sub>Bat1</sub>-H2: formally known as ConG<sub>Bat1</sub>{*Bs1*(276-295)}, in which ConG<sub>Bs1</sub> residues  
414 276-295 were substituted for residues 276-295 in ConG<sub>Bat1</sub>.

415 For all Pspank(hy)-*conG* constructs, the *conG* PCR fragments were joined together, and then  
416 joined to two fragments amplified from pMMB1341 (27) by isothermal assembly. One fragment  
417 from pMMB1341 included the HindIII cut site and the adjacent 2330 bp upstream of this cut site,  
418 which includes sequences from the 3' end of *thrC*. The other fragment included the SphI cut site  
419 and the adjacent 1867 bp downstream, which includes sequences from the 5' end of *thrC*. These  
420 two fragments were digested with HindIII and SphI, respectively, before isothermal assembly  
421 with the *conG* PCR DNA. The primers used to amplify *conG* contained sequences  
422 complementary to sequences in the primers used to amplify regions of *thrC*, thereby enabling  
423 joining by isothermal assembly. The resulting isothermal assembly product was integrated by  
424 double cross-over into the chromosome by transformation and selecting for MLS resistance, to  
425 generate the *conG* overexpression alleles.

426 **Mating Assays**

427 Mating assays were performed essentially as described (9,37). Donor and recipient cultures  
428 were grown in S7<sub>50</sub> defined minimal medium supplemented with 0.1 % glutamate and 1%  
429 arabinose until they reached mid-exponential growth phase, then diluted back to an optical  
430 density at 600 nm (OD600) of 0.1. At this point 1% xylose was added to donor cultures to induce  
431 expression of *Pxyl-rapI*. After two hours of xylose induction, donor and recipient cells were  
432 mixed, and poured over a nitrocellulose filter under vacuum filtration. Unless otherwise  
433 indicated, equal numbers of donor and recipient cells were used (~4x10<sup>8</sup> cells of each). Filters  
434 were incubated for 3 hours at 37°C on 1.5% agar plates containing 1x Spizizen's salts (2 g/l  
435 (NH<sub>4</sub>)SO<sub>4</sub>, 14 g/l K<sub>2</sub>HPO<sub>4</sub>, 6 g/l KH<sub>2</sub>PO<sub>4</sub>, 1 g/l Na<sub>3</sub> citrate-2H<sub>2</sub>O, 0.2 g/l MgSO<sub>4</sub>-7H<sub>2</sub>O) (36).  
436 Cells were re-suspended from the filters, serially diluted in 1X Spizizen's salts and plated on LB  
437 agar plates containing kanamycin and streptomycin to select for transconjugants. For matings  
438 done in osmo-protective conditions, 1X Spizizen's salts (in mating plates and resuspension  
439 media) was replaced with MSM (20mM MgCl<sub>2</sub>, 0.5M sucrose and buffered with 20mM maleic  
440 acid) (28). The number of viable ICEBs1 donor cells (CFU/ml) was determined at the time of  
441 donor and recipient cell mixing, by serial dilution plating on LB agar plates containing  
442 kanamycin. Mating efficiency was calculated as the percent transconjugants CFU/ml per donor  
443 CFU/ml (at the time of mixing donors with recipients). The fold-exclusion was calculated as the  
444 percent transfer into an ICE<sup>0</sup> recipient divided by the percent transfer into an ICE<sup>0</sup> recipient that  
445 was expressing *yddJ*.

446

447

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458

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566

567 **Table 1.** *B. subtilis* strains used<sup>a</sup>

568

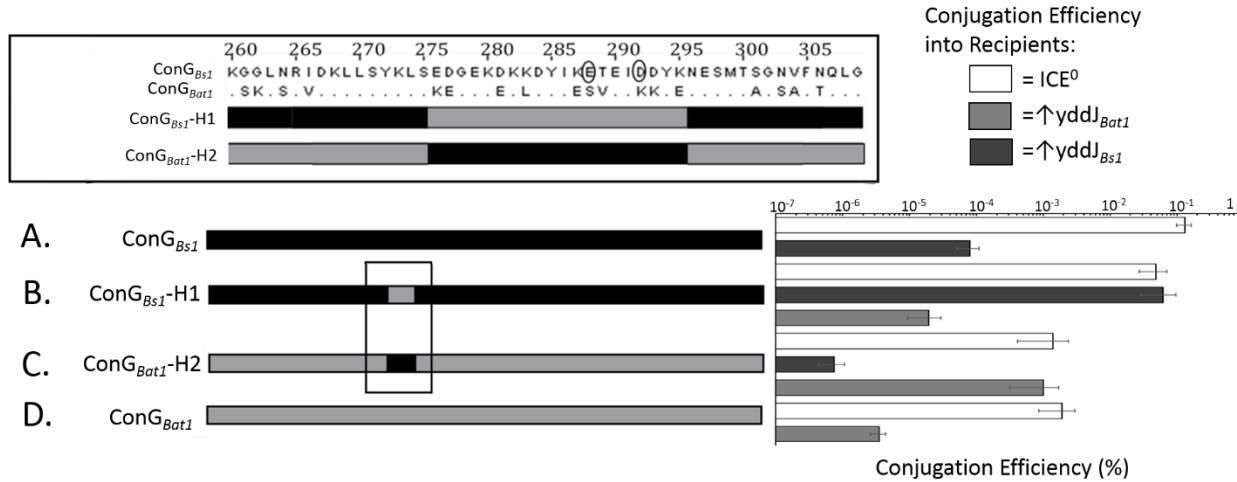
Strain	Relevant genotype (reference)
CAL419	ICEBs1 <sup>0</sup> str-84 comK::cat (13)
CAL89	ICEBs1 <sup>0</sup> str-84 comK::spc (9)
KPD128	ICEBs1 <sup>0</sup> lacA::{Pspank(hy)-yddJ <sub>Bs1</sub> {Bat1(30-50)(67-82)(87-96)} mls} str-84 comK::spc (aka Hybrid J3)
KPD131	ICEBs1 <sup>0</sup> lacA::{Pspank(hy)-yddJ <sub>Bat1</sub> {Bs1(30-48)(65-81)} mls} str-84 comK::spc (aka Hybrid J2)
KPD132	ICEBs1 <sup>0</sup> lacA::{Pspank(hy)-yddJ <sub>Bat1</sub> {Bs1(30-48)(65-81)(86-95)} mls} str-84 comK::spc (aka Hybrid J1)
KPD135	ICEBs1 ΔconG(5-805) Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI cat} thrC::{Pspank(hy)-conG <sub>Bat1</sub> {Bs1(276-295)} mls} (aka ConG <sub>Bat1</sub> -H2)
KPD136	ICEBs1 ΔconG(5-805) Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI cat} thrC::{Pspank(hy)-conG <sub>Bs1</sub> {Bat1(276-295)} mls} (aka ConG <sub>Bs1</sub> -H1)
KPD137	ICEBs1 <sup>0</sup> lacA::{Pspank(hy)-yddJ <sub>Bs1</sub> {Bat1(30-50)(67-82)} mls} str-84 comK::spc
KPD154	ICEBs1 Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI spc} comC::tet
KPD155	ICEBs1 ΔyddJ Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI spc} comC::tet
KPD156	ICEBs1 ΔyddJ Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI spc} lacA::{Pspank(hy)-yddJ <sub>Bs1</sub> mls} comC::tet
KPD157	ICEBs1 E288K-conG ΔyddJ Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI spc} lacA::{Pspank(hy)- yddJ <sub>Bs1</sub> mls} comC::tet
KPD219	ICEBs1 <sup>0</sup> lacA::{Pspank(hy)-yddJ <sub>Bat1</sub> mls} str-84 comK::spc (12)
KPD224	ICEBs1 ΔconG(5-805) Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI cat} thrC::{Pspank(hy)-conG <sub>Bat1</sub> mls} (12)
KPD225	ICEBs1 ΔconG(5-805) Δ(rapI-phrI)342::kan amyE::{Pxyl-rapI cat} thrC::{Pspank(hy)-conG <sub>Bs1</sub> mls} (12)
MA982	ICEBs1 <sup>0</sup> lacA::{Pspank(hy)-yddJ <sub>Bs1</sub> mls} str-84 comK::spc (12)

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<sup>a</sup>All strains are derived from our lab strain AG174 (JH642) and contain pheA1, trpC2 mutations (not listed) (41,42).

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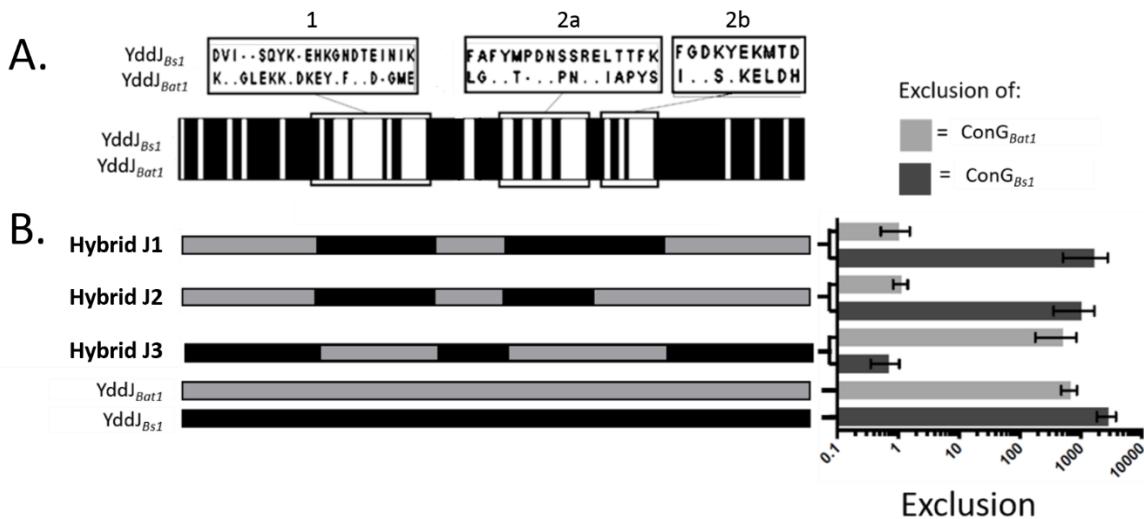
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### Figure 1. Regions of ConG<sub>Bs1</sub> and ConG<sub>Bat1</sub> that confer specificity of exclusion.

575 **Top left.** Comparison of the indicated regions ConG from ICEBs1 and ICEBat1. Amino  
576 acids that differ between the two proteins in this region are indicated. The two circled residues  
577 are sites of mutation that makes ConG insensitive to exclusion (12). The bars below the sequence  
578 indicate the regions in the hybrid proteins from ICEBs1 (black) and ICEBat1 (gray).  
579

580 **Bottom. Left.** Cartoon of ConG present in the donor strains. Regions of ConG from ICEBs1  
581 (black) and ICEBat1 (gray) are indicated. **A**) ConG<sub>Bs1</sub> (KPD225); **B**) ConG<sub>Bs1</sub>-H1 (KPD136); **C**)  
582 ConG<sub>Bat1</sub>-H2 (KPD135); and **D**) ConG<sub>Bat1</sub> (KPD224). **Right, top and bottom.** Conjugation  
583 efficiencies of the indicated donors (left) into recipients with no YddJ (open, white bars;  
584 CAL89); YddJ from ICEBs1 (black bars; strain MA982); YddJ from ICEBat1 (gray bars;  
585 KPD219). Conjugation efficiency is calculated as the CFU/ml of transconjugants divided by the  
586 CFU/ml of donors at the start of mating, and is multiplied by 100% to convert to a percentage.  
587 Data bars represent averages from three independent experiments, with error bars depicting  
588 standard deviations.  
589

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**Figure 2. Regions of YddJ<sub>Bs1</sub> and YddJ<sub>Bat1</sub> that confer specificity of exclusion.**

593 A) Protein sequence of the indicated regions of YddJ from ICEBs1 and ICEBat1. Amino  
594 acids that differ between the two proteins in these regions are indicated. The bars below the  
595 sequence compare the proteins across their entire lengths. Black and white indicate sequence  
596 identity and divergence, respectively.

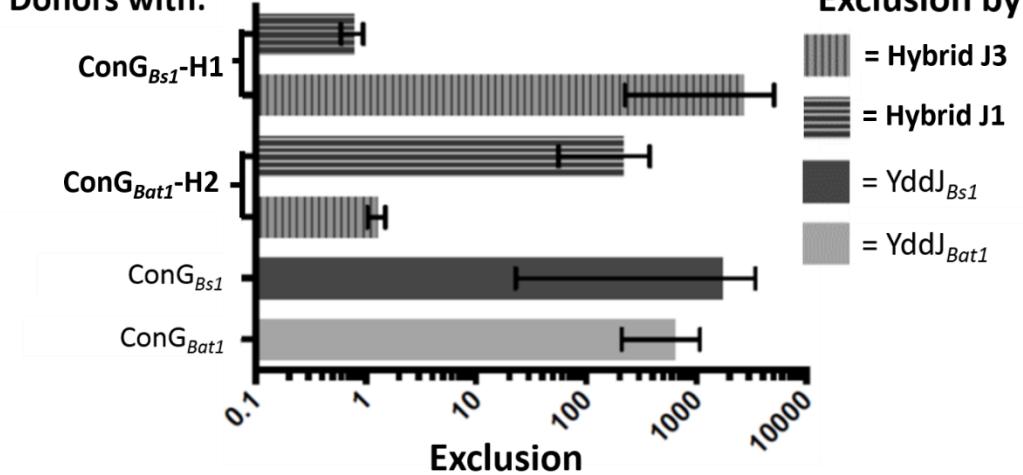
597 B) Exclusion (right) of the ICEBs1 conjugation machinery that contains ConG<sub>Bs1</sub> (black bars;  
598 KPD225) or ConG<sub>Bat1</sub> (gray bars; KPD224) by the indicated YddJ proteins (left): hybrid J1  
599 (KPD132); hybrid J2 (KPD131); hybrid J3 (KPD128); YddJ<sub>Bat1</sub> (KPD219); YddJ<sub>Bs1</sub> (MA982).  
600 Exclusion was calculated as conjugation efficiency into a recipient without YddJ (no exclusion;  
601 CAL89) divided by that into a recipient expressing the indicated YddJ. Data bars represent  
602 averages from three independent experiments, with error bars depicting standard deviations.

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### Exclusion of ICEBs1

Donors with:



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610 **Figure 3. YddJ hybrid proteins can exclude conjugation machinery with ConG hybrid**  
611 **proteins.**

612 Conjugation machinery in the donor contained ConG<sub>Bs1</sub>-H1 (KPD136); ConG<sub>Bat1</sub>-H2  
613 (KPD135); ConG<sub>Bs1</sub> (KPD225); ConG<sub>Bat1</sub> (KPD224). Recipients expressed YddJ<sub>Bs1</sub> (black bars;  
614 MA982); YddJ<sub>Bat1</sub> (gray bars; KPD219); hybrid J3 (vertical stripes; KPD128); hybrid J1  
615 (horizontal stripes; KPD132). Exclusion was calculated as for Fig. 2. with results from matings  
616 into recipients that did not contain *yddJ* (CAL89) that were done in parallel. Data bars represent  
617 averages from three independent experiments, with error bars depicting standard deviations.

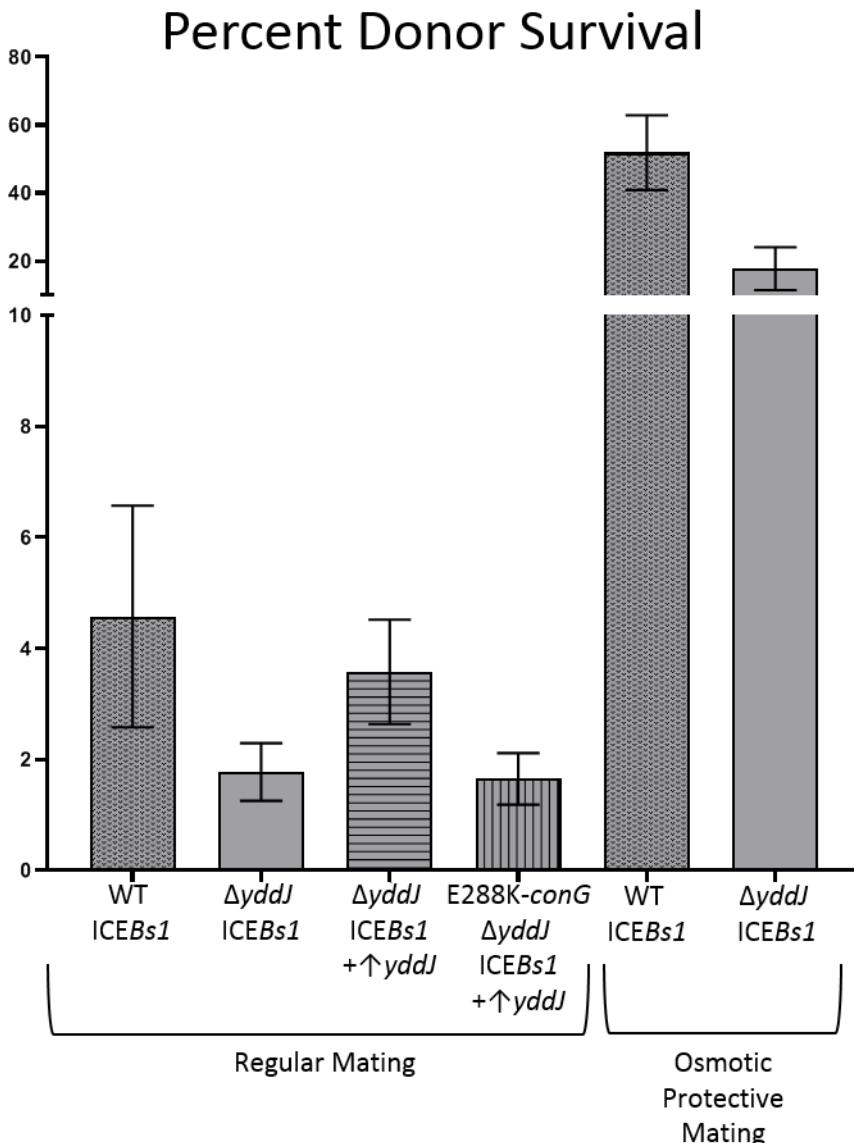
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624 **Figure 4. Death of donors by excessive mating is exacerbated by loss of exclusion and**  
625 **largely alleviated under osmo-protective conditions.** WT ICEBs1 donors were mixed with  
626 recipients that lacked ICE (CAL419) at a ratio of 1 donor to ~100 recipients and put through  
627 mating conditions described in Fig. 1. In this case, mating filters were placed on agar plates as  
628 above (regular mating) or with osmo-protection. After incubation, mating mixtures were  
629 resuspended either without (regular mating) or with osmo-protection. Percent donor survival was  
630 determined by measuring CFUs/ml after mating compared to that prior to mating. Donors  
631 included: ICEBs1 (wt; KPD154); ICEBs1 ΔyddJ (KPD155); ICEBs1 ΔyddJ overexpressing yddJ  
632 from an ectopic locus (KPD156); ICEBs1 ΔyddJ conGE288K (resistant to exclusion), also  
633 overexpressing yddJ from an ectopic locus (KPD157). Data bars represent averages from the  
634 three replicate mating assays for each donor, with error bars depicting standard deviations. p-  
635 values from one-tailed t test were: 0.0174 for wild type compared to ΔyddJ; 0.0052 for ΔyddJ  
636 with over-expressed yddJ compared to conGE288K (exclusion-resistant) with over-expressed  
637 yddJ; and  $8.43 \times 10^{-4}$  for the pair compared under osmo-protective conditions.

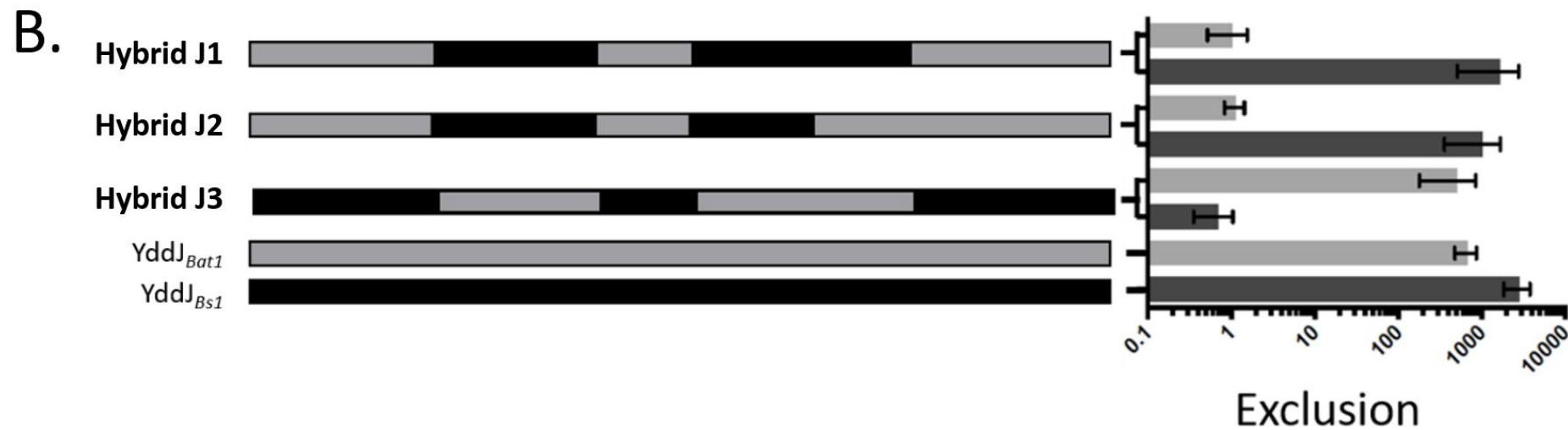
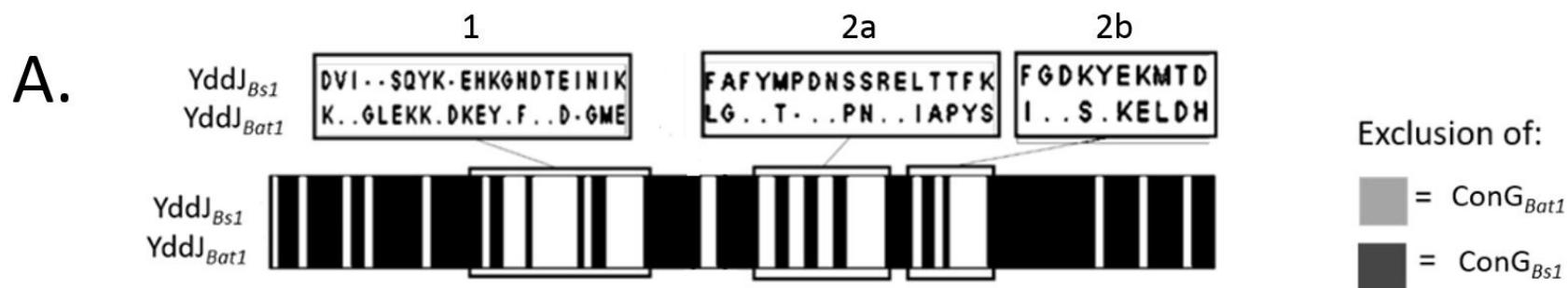
## Conjugation Efficiency into Recipients:

= ICE<sup>0</sup>

=↑yddJ<sub>Bat1</sub>

=↑yddJ<sub>Bs1</sub>





## Exclusion of ICEBs1

Donors with:

ConG<sub>Bs1</sub>-H1

ConG<sub>Bat1</sub>-H2

ConG<sub>Bs1</sub>

ConG<sub>Bat1</sub>

Exclusion by

= Hybrid J3

= Hybrid J1

= YddJ<sub>Bs1</sub>

= YddJ<sub>Bat1</sub>



# Percent Donor Survival

