

1 **SARS-CoV-2 antigens expressed in plants detect antibody responses in**
2 **COVID-19 patients**

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60

61 **Contribution to the Field Statement**

62 The SARS-CoV-2 pandemic poses a significant global threat to lives and livelihoods, with
63 over 16 million confirmed cases and at least 650 000 deaths from COVID-19 in the first 7
64 months of the pandemic. Developing tools to measure antibody responses and understand
65 protective immunity to SARS-CoV-2 is a priority. Many expression systems exist to produce
66 the proteins required in the establishment of these serological assays, but plant-based systems
67 have several advantages over more widely used conventional protein expression systems.
68 Most notably, they are rapid, scaleable and cost-effective, making them attractive protein
69 expression systems particularly in low-income settings such as ours in Africa. We were able
70 to develop a cost-effective serological assay by making use of plant-produced viral antigens.
71 Our study demonstrates that recombinant SARS-CoV-2 proteins produced in plants enable
72 the robust detection of SARS-CoV-2-specific antibodies equivalent to that observed in a high
73 sensitivity commercial assay in which antigens were produced in a mammalian expression
74 system. Our ELISA can be used to evaluate SARS-CoV-2 seroprevalence, describe the
75 kinetics of the humoral immune response in infected individuals, and investigate humoral
76 immunity in our setting where comorbidities are highly prevalent.

77 Abstract

78 **Background:** The SARS-CoV-2 pandemic has swept the world and poses a significant global
79 threat to lives and livelihoods, with over 16 million confirmed cases and at least 650 000
80 deaths from COVID-19 in the first 7 months of the pandemic. Developing tools to measure
81 seroprevalence and understand protective immunity to SARS-CoV-2 is a priority. We aimed
82 to develop a serological assay using plant-derived recombinant viral proteins, which represent
83 important tools in less-resourced settings.

84
85 **Methods:** We established an indirect enzyme-linked immunosorbent assay (ELISA) using
86 the S1 and receptor-binding domain (RBD) portions of the spike protein from SARS-CoV-2,
87 expressed in *Nicotiana benthamiana*. We measured antibody responses in sera from South
88 African patients (n=77) who had tested positive by PCR for SARS-CoV-2. Samples were
89 taken a median of six weeks after the diagnosis, and the majority of participants had mild and
90 moderate COVID-19 disease. In addition, we tested the reactivity of pre-pandemic plasma
91 (n=58) and compared the performance of our in-house ELISA with a commercial assay. We
92 also determined whether our assay could detect SARS-CoV-2-specific IgG and IgA in saliva.
93

94 **Results:** We demonstrate that SARS-CoV-2-specific immunoglobulins are readily detectable
95 using recombinant plant-derived viral proteins, in patients who tested positive for SARS-
96 CoV-2 by PCR. Reactivity to S1 and RBD was detected in 51 (66%) and 48 (62%) of
97 participants, respectively. Notably, we detected 100% of samples identified as having S1-
98 specific antibodies by a validated, high sensitivity commercial ELISA, and OD values were
99 strongly and significantly correlated between the two assays. For the pre-pandemic plasma,
100 1/58 (1.7%) of samples were positive, indicating a high specificity for SARS-CoV-2 in our
101 ELISA. SARS-CoV-2-specific IgG correlated significantly with IgA and IgM responses.
102 Endpoint titers of S1- and RBD-specific immunoglobulins ranged from 1:50 to 1:3200. S1-
103 specific IgG and IgA were found in saliva samples from convalescent volunteers.
104

105 **Conclusions:** We demonstrate that recombinant SARS-CoV-2 proteins produced in plants
106 enable robust detection of SARS-CoV-2 humoral responses. This assay can be used for
107 seroepidemiological studies and to measure the strength and durability of antibody responses
108 to SARS-CoV-2 in infected patients in our setting.

109 Introduction

110 The current global pandemic, caused by the novel severe acute respiratory syndrome
111 coronavirus 2 (SARS-CoV-2), has resulted in over 16 million cases and at least 650 000
112 deaths, as of 27 July 2020. SARS-CoV-2 was first detected in December 2019 in Wuhan, a
113 city in the Hubei province of China, and is thought to originate from zoonotic transmission of
114 a bat coronavirus (Tan et al., 2020; Zhu et al., 2020). Coronavirus disease 2019 (COVID-
115 19), the resultant disease, is commonly associated with fever, cough and fatigue, and in
116 severe cases, pneumonia and respiratory failure (Chan et al., 2020).

117 SARS-CoV-2 is a 30kB positive-stranded RNA virus that is a member of the
118 *Betacoronavirus* genus and the subgenus *Sarbecovirus* (Letko et al., 2020). The genus
119 harbours human pathogens that cause respiratory infections, namely the highly virulent
120 SARS-CoV and Middle East respiratory syndrome coronavirus (MERS-CoV), as well as the
121 circulating ‘common cold’ human coronavirus (hCoV)-OC43 and hCoV-HKU1 (Su et al.,
122 2016). Betacoronaviruses express four essential structural proteins, namely the spike (S)
123 glycoprotein, membrane (M) protein, envelope (E) protein and nucleocapsid (N) protein, as
124 well as multiple accessory and non-structural proteins (Neuman et al., 2011, Lu et al., 2020).
125 The S glycoprotein is a homotrimer that protrudes from the surface of the viral particles
126 (Tortorici and Veesler, 2019), and interacts with the human cell receptor angiotensin
127 converting enzyme 2 (ACE2) through the receptor-binding domain (RBD), gaining viral
128 entry into the host cell (Li 2016; Letko et al., 2020; Walls et al., 2020). S is cleaved by host
129 cell proteases into two subunits: the S1 subunit which harbours the RBD and enables binding
130 to host cell receptors, and the S2 subunit that is important for fusion with the host cell
131 membrane (Walls et al., 2020; Wrapp et al., 2020).

132 The S1 subunit is highly immunogenic, and its RBD portion is the main target of
133 neutralizing antibodies, thus becoming the focus of serological studies (Amanat et al., 2020;
134 Huang et al., 2020; Liu et al., 2020; Okba et al., 2020). Recently, potent neutralizing
135 antibodies isolated from the convalescent sera of SARS-CoV-2 patients were demonstrated to
136 be protective against disease from high-dose SARS-CoV-2 challenge in a small animal model
137 (Rogers et al., 2020), suggesting the potential for therapeutic interventions as well as
138 inferring that recovered SARS-CoV-2 patients may be afforded protection from re-infection
139 by neutralizing antibody responses. Amanat et al (2020) showed a strong correlation between
140 the neutralizing antibody response and ELISA endpoint titers against S, suggesting the use of
141 serological assays in estimating the percentage of infected people who have neutralizing
142 antibodies that protect them from re-infection or disease.

143 Serological assays that can detect antibody responses to SARS-CoV-2 are critical for
144 answering pressing questions regarding immunity to the virus. It is not known what
145 proportion of infected individuals elicit antibodies to SARS-CoV-2, if antibodies serve as
146 correlates of protection, and if so, what the threshold of binding or neutralizing titers are that
147 will provide immunity, and the duration of these responses. Serological assays such as
148 enzyme-linked immunosorbent assays (ELISA) can assist in answering these questions.
149 These assays need to be both sensitive as well as demonstrate high specificity for SARS-
150 CoV-2, and not give false positives due to cross-reactivity with widely circulating hCoVs
151 NL63, 229E, OC43, and HKU1. While the N protein is more conserved among
152 coronaviruses, the S protein sequence has lower sequence conservation. The S1 portion is 21-
153 25% identical at the amino acid level to circulating hCoVs (Okba et al., 2020). Thus,
154 serological assays using the full-length S protein, S1 subunit or RBD portion as antigens have

155 shown good specificity with little cross-reactivity to NL63 and 229E (Amanat et al., 2020;
156 Zhao et al., 2020) compared to the use of N protein (Zhao et al., 2020).

157 Purified recombinant proteins are essential for the establishment of serological assays.
158 Numerous protein expression systems exist, each with their own advantages and limitations.
159 These include bacterial, mammalian, yeast, insect and plant-based systems (Shanmugaraj et
160 al., 2020, Yin et al., 2007). Plant-based systems have several advantages over more widely
161 used conventional protein expression systems (Shanmugaraj and Ramalingam, 2014). Most
162 notably, they are rapid, cost-effective and support post-translational modifications similar to
163 mammalian cell systems, making them attractive protein expression systems particularly in
164 low-income settings (Shanmugaraj and Ramalingam, 2014 and 2020; Maliga et al., 2004).
165 Historically, their major disadvantage was low yield (Shanmugaraj et al., 2020), however
166 advances in plant technology, including transient expression systems and viral vectors, have
167 led to improvements in protein yield (Kapila et al., 1997; Shanmugaraj and Ramalingam,
168 2014; Yamamoto et al., 2018). Additionally, SARS-CoV S1 protein expressed in tomato and
169 tobacco plants demonstrated good immunogenicity in mice (Pogrebnyak et al., 2005).
170 Together, these studies highlight the potential of plant-based expression systems for the
171 development of serological assay reagents as well as vaccines for the current SARS-CoV-2
172 pandemic.

173 In this study, we describe the development of an ELISA that enables detection of
174 antibodies directed at the S1 subunit and the RBD portion of the SARS-CoV-2 S
175 glycoprotein, generated through a plant-based expression system.

176

177 Materials and methods

178 Recombinant protein cloning and expression

179 The S1 portion and receptor binding domain (RBD) of the spike protein of SARS-CoV-2
180 Wuhan-Hu-1 isolate (GenBank: MN908947.3) were produced by Cape Bio Pharms, Cape
181 Town, South Africa. Briefly, *Nicotiana benthamiana* codon-optimized DNA encoding S1 and
182 RBD was synthesized commercially (Genscript). Both genes were fused at their C-terminal
183 region to the fragment crystallizable region (Fc) of rabbit IgG1 (Genbank: L29172.1) and
184 subsequently cloned into Cape Bio Pharms' proprietary vector, pCBP2. *Agrobacterium*
185 *tumefaciens* strain GV3101 (pMP90RK) was used to carry agroinfiltration. Growth of
186 recombinant *A. tumefaciens* and vacuum infiltration of *N. benthamiana* plants was performed
187 as described previously (Maclean et al., 2007). Three days post-infiltration, leaves were
188 homogenized in the presence of phosphate buffered saline (PBS) at a 2:1 ratio buffer:leaf
189 material. Cell debris was removed by centrifugation at 10 000 g for 10 min at 4°C, and the
190 clarified supernatant was used for expression analyses and purification by Protein A affinity
191 chromatography.

192 For purification, the extract was filtered through a 0.22 µm cellulose nitrate filter
193 (Sartorius) before loading onto a pre-equilibrated 5 ml column packed with POROS
194 MabCapture A resin (Thermo Fisher). The column was then washed with 10 column volumes
195 of wash buffer (PBS, pH 7.5) and bound proteins eluted using elution buffer (0.1 M glycine,
196 pH 2.5). Eluted fractions were captured in 1/10th volume of neutralization buffer (1 M Tris,
197 pH 8.5) and then pooled and applied to a 10K molecular weight cutoff (MWCO) Amicon
198 centrifuge tube (Millipore) for buffer exchange against PBS and sample concentration.

199 Mouse anti-rabbit IgG (γ -chain specific) horseradish peroxidase conjugate (1:2500; IgG-
200 HRP, Sigma) was used in a standard SDS-PAGE and western blot analysis to examine purity
201 of the recombinant proteins.

202

203 **Volunteer recruitment and sample collection**

204 Samples were collected from SARS-CoV-2 infected volunteers (n=77) recruited from
205 Gauteng and the Western Cape provinces of South Africa from 10 April 2020 to 26 May
206 2020. Volunteers had previously undergone a reverse transcriptase polymerase chain reaction
207 (RT-PCR) test for SARS-CoV-2 from an upper respiratory tract (nose/throat) swab collected
208 into viral transport media. Swabs were processed through approved assays in accredited
209 public and private clinical laboratories. Inclusion criteria were age ≥ 18 years and a
210 confirmed positive PCR for SARS-CoV-2 on the national database of the National Health
211 Laboratory Services (N HLS). Of the 77 participants, 34 (44%) had a second positive PCR
212 result recorded within a week after the first positive test. With respect to disease severity, five
213 participants were asymptomatic, 23 had mild disease (characterised by mild upper respiratory
214 tract symptoms), 38 had moderate disease (defined by gastrointestinal symptoms or lower
215 respiratory tract symptoms), and two had severe disease (admission to hospital). Serum and
216 saliva samples were collected between 8 and 70 days after the first positive PCR test. Ethical
217 approval for these studies was obtained from the Human Research Ethics Committee (HREC)
218 of the University of Witwatersrand (M200468) and the University of Cape Town (UCT;
219 210/2020). All participants provided written, informed consent.

220 Pre-pandemic plasma (n=58) was obtained from banked human samples that were
221 collected from participants recruited from Cape Town, South Africa in 2011-2012, from a
222 study protocol approved by the HREC of the University of Cape Town (158/2010). Storage
223 consent was provided by all participants, and approval for use of the samples in this study
224 was obtained from the HREC, UCT. Samples came from participants who were HIV-
225 infected (n=27) or HIV-uninfected (n=31). All participants had tested positive for exposure to
226 *Mycobacterium tuberculosis* based on a positive IFN- γ -release assay (QuantiFERON-TB
227 Gold In-Tube), *i.e.* were classified as having latent tuberculosis infection. The median age
228 was 26 years (interquartile range [IQR]: 22-34 years) and 44/58 (76%) were female. All HIV-
229 infected individuals were antiretroviral treatment (ART)-naive, with a median CD4 count of
230 591 cells/mm³ (IQR: 511-749).

231 All samples were treated with 1% Triton-X100 (Sigma) for 60 min at room
232 temperature to inactivate any potentially live virus in the samples (Remy et al., 2019).

233

234 **Enzyme-linked Immunosorbent Assay (ELISA)**

235 The ELISA protocol was adapted from a published protocol (Stadlbauer et al., 2020). Briefly,
236 96-well plates (Nunc MaxiSorp, Thermo Fisher) were coated at 4°C overnight with 50 μ l of
237 varying concentrations (1-4 μ g/ml) of purified recombinant RBD or S1 proteins in PBS or
238 bicarbonate buffer (both Sigma). The following day, plates were washed five times using an
239 automated plate washer and incubated at room temperature in blocking solution (1% casein
240 or 3% non-fat powder milk prepared in PBS with 0.1% Tween 20 (PBS-T)). After 1 h, the
241 blocking solution was discarded and 100 μ l of serum, plasma or saliva samples (at 1:50

242 dilution for sera/plasma and 1:10 for saliva) were added for 2 h at room temperature. Next,
243 plates were washed five times and incubated with goat anti-human IgG (Fc-specific)
244 peroxidase conjugate (1:5000; IgG-HRP, Sigma), or goat anti-human IgA (γ -chain specific),
245 F(ab')₂ fragment peroxidase conjugate (1:5000; IgA-HRP, Sigma) or goat anti-human IgM
246 peroxidase conjugate (1:2000; IgM-HRP, Southern Biotech) for 1 h at room temperature. The
247 plate was then developed using 100 μ l O-phenylenediamine dihydrochloride (OPD; Sigma)
248 for 12 min before the reaction was stopped with 50 μ l 3M hydrochloric acid (HCl, Sigma).
249 The plates were read at 490 nm using a Versamax microplate reader (Molecular Devices)
250 using SoftMax Pro software (version 5.3). A cutoff for positivity was set at two standard
251 deviations (SD) above the mean optical density (OD) of pre-pandemic samples. For
252 determining endpoint titers, 2-fold serial dilutions were performed for 20 PCR+ samples and
253 40 pre-pandemic controls. Area under the curve (AUC) was determined and the positivity
254 threshold was calculated as before, mean+2SD. All patient samples were also analysed using
255 the anti-SARS-CoV-2 ELISA (IgG; Euroimmun), which uses the S1 domain of the spike
256 protein, according to the manufacturer's instructions.

257

258 **Statistical analysis**

259 Statistical analyses were performed in Prism (GraphPad, version 8). Nonparametric tests
260 were used for all comparisons. The Friedman test with Dunn's multiple comparison test was
261 used for matched comparisons; the Mann-Whitney U unmatched and Wilcoxon matched
262 pairs t-tests were used for unmatched and paired samples, respectively. Spearman Rank tests
263 were used for all correlations. AUC was calculated in Prism. A p value of <0.05 was
264 considered statistically significant.

265

266 **Results**

267 **SARS-CoV-2 antigen expression in plants**

268 The S1 and RBD portions of the Spike protein of SARS-CoV-2 were expressed in *Nicotiana*
269 *benthamiana* as fusions to the rabbit IgG Fc tag. Western blot and SDS-PAGE analysis
270 revealed expression of purified S1 (**Figure 1A & B**) and RBD (**Figure 1C & D**) at the
271 expected protein sizes of ~140kDa and ~100kDa, respectively. Higher molecular weight
272 bands of ~280kDa and ~200kDa indicated possible dimer formation of S1 and RBD,
273 respectively. In addition, lower molecular weight bands indicated potentially multiple
274 cleavage products of S1 and RBD in the preparations.

275

276 **Participant description**

277 Serum samples were collected from 77 volunteers who had previously tested positive for
278 SARS-CoV-2 by PCR. The demographic and clinical characteristics of the participants are
279 summarized in **Table 1**. Just over half the participants were female, and the median age was
280 39 years. The date of onset of symptoms was not available, but samples were taken a median
281 of 6 weeks after SARS-CoV-2 PCR positivity. The majority of patients (79%) experienced
282 mild or moderate COVID-19 disease. We also included 58 archived plasma samples from
283 HIV-infected and uninfected individuals collected prior to the pandemic (2011-2012) as
284 negative controls for our assay.

285

286 **Plant-produced S1 and RBD proteins are suitable for ELISA detection of SARS-CoV-2
287 antibodies**

288 In order to test whether plant-produced SARS-CoV-2 antigens were able to detect virus-
289 specific antibodies from infected patients, we screened convalescent sera from 77 volunteers
290 who had recovered from COVID-19. Individuals were tested for reactivity against both S1
291 and RBD antigens by a standard indirect ELISA based on a published protocol (Stadlbauer et
292 al., 2020). Archived pre-pandemic plasma samples from 58 individuals, including 27 HIV-
293 infected persons, were used to test the background reactivity to SARS-CoV-2 S1 and RBD.
294 The threshold for positivity was set at two standard deviations above the mean optical density
295 (OD) of the pre-pandemic samples.

296 Of the 77 COVID-19 convalescent serum samples, 51 (66%) tested positive for
297 SARS-CoV-2-specific IgG against S1, and 48 (62%) tested positive against RBD (**Figure 2A
298 & B**). In contrast, only 1/58 pre-pandemic plasma samples showed reactivity above the
299 positivity cutoff. As expected, S1 and RBD IgG OD values correlated strongly ($r=0.977$;
300 $p<0.0001$; data not shown). In order to independently validate our results, the same sera were
301 run in a separate laboratory in a blinded manner, using a commercial IgG ELISA based on S1
302 antigen from Euroimmun. All samples that were positive by the commercial ELISA test for
303 SARS-CoV-2 S1 antibodies were positive in our assay (42/77). We detected nine additional
304 samples that were positive in our assay, two of which had high OD values well above our
305 threshold for positivity, and six that were also positive for RBD-specific IgG. We
306 demonstrated a strong and significant direct correlation for sample OD values between the
307 two assays ($r=0.89$, $p<0.0001$; **Figure 2C**). Of note, we found no association between SARS-

308 CoV-2-specific IgG OD values and disease severity or days post PCR positivity (data not
309 shown).

310 Thus, our ELISA using plant-produced recombinant viral proteins performed
311 similarly to a highly sensitive and specific commercial SARS-CoV-2 ELISA.

312

313 **Determination of immunoglobulin titers and isotypes**

314 We next determined the titers of SARS-CoV-2-specific IgG, IgM and IgA responses in a
315 subset of 20 SARS-CoV-2 convalescent serum samples and 40 pre-pandemic samples.
316 Assays were performed on serially diluted samples (**Figure 3A-F**) to determine endpoint
317 titers and AUC values for quantitative interrogation of the data (**Figure 3G-L**). S1-specific
318 IgG was detected in sera of 15/20 individuals (75%), IgM in 13/20 (65%) and IgA in 12/20
319 (70%) of individuals (**Figure 3G-I**). The median AUCs of IgG, IgM and IgA were
320 significantly higher in convalescent individuals compared to pre-pandemic ($p<0.0001$ for all).
321 Results for RBD-specific IgG were similar (**Figure 3J-L**). Interestingly, of the five SARS-
322 CoV-2 convalescent sera that tested S1 IgG negative, three had S1-specific IgM and one had
323 S1-specific IgA. Similarly, of the four samples negative for RBD-specific IgG three were
324 positive for IgM and one was double positive for IgM and IgA. Therefore, SARS-CoV-2 S1-
325 specific antibodies were detected in 19/20 convalescent samples and RBD-specific antibodies
326 in 20/20 samples.

327 Further examination of S1-specific antibody isotypes revealed that approximately one
328 third of individuals were positive for IgG, IgM and IgA ($n=7/19$), a smaller proportion has
329 both IgG and IgM or IgG and IgA ($n=3$ and 4, respectively), while some individuals were
330 positive for only IgG ($n=1$), IgM ($n=3$) or IgA ($n=1$) (**Figure 4A**). RBD-specific isotypes
331 gave similar results (**Figure 4B**). There was a significant correlation between S1-specific IgG
332 and IgM ($r=0.595$, $p<0.007$; **Figure 4C**) and anti-RBD ($r=0.045$, $p<0.045$; data not shown).
333 S1-specific IgG showed a trend towards a correlation with IgA ($r=0.423$, $p=0.07$; **Figure 4D**),
334 whilst RBD-specific IgG correlated significantly with IgA ($r=0.635$, $p<0.003$; data not
335 shown). There was no correlation between IgM and IgA responses for either S1 or RBD (data
336 not shown).

337 Endpoint titers for S1- and RBD-specific IgG, IgM and IgA were determined. S1-
338 specific IgG endpoint titers in 33% of the samples were high (20% at 1:1600 and 13% at
339 1:800), 13% were moderate (1:400) and the majority (54%) of samples had low titers (27% at
340 1:50, 20% at 1:100 and 7% at 1:200) (**Figure 4E**). S1-specific IgA titers were lower than IgG
341 and only 2 individuals have a titer of 1:800 or 1:400 each, and the remaining 84% had low
342 titers ($=<1:200$; (**Figure 4F**). IgM titers for both S1 and RBD were all low ($=<1:100$; data not
343 shown). RBD-specific titers for IgG and IgM were similar to those S1, with the exception of
344 two donors who had titers of 1:3200 (data not shown).

345

346 **Detection of SARS-CoV-2-specific antibodies in saliva**

347 Given that virus-specific serum antibodies were readily detectable using plant-produced
348 SARS-CoV-2 antigens, we investigated the detection of salivary IgG and IgA using our
349 assay. We compared antibody responses to SARS-CoV-2 antigens in paired saliva and serum

350 from 10 participants. In these preliminary analyses, 1/7 samples that had detectable S1-
351 specific serum IgG also demonstrated S1 IgG positivity in saliva (**Figure 5A**). Additionally,
352 2/5 IgA+ sera exhibited virus-specific IgA in saliva. An additional IgA+ sample was detected
353 in saliva but absent from the serum (**Figure 5B**). This indicated that IgA was more readily
354 detectable in saliva than IgG. Further analyses to determine robust thresholds for positivity of
355 saliva immunoglobulins will be performed going forward. These preliminary results
356 demonstrate the potential of our ELISA to detect antibodies to SARS-CoV-2 in saliva.

357

358 **Optimization of the ELISA assay**

359 The in-house ELISA diagnostic assay in this study was developed from the published
360 protocol (Stadlbauer et al., 2020). To determine whether we could further improve the
361 robustness and sensitivity of the in-house ELISA assay, we optimized different parameters,
362 including S1 and RBD antigen coating concentration as well as the coating and blocking
363 buffers. Coating concentrations of 1, 2 and 4 μ g/mL S1 and RBD were compared for SARS-
364 CoV-2-specific IgG detection in four SARS-CoV-2 convalescent volunteers and three pre-
365 pandemic samples. Two and 4 μ g/ml demonstrated a significantly higher reactivity than 1
366 μ g/ml for both S1 and RBD (**Figure 6A & B**; $p=0.0005$ and $p=0.004$, respectively), with
367 little increase in the background (negative control) signal. Coating of ELISA plates with
368 antigen in different coating buffers, namely PBS and bicarbonate buffer, was also assessed
369 (**Figure 6C**). No differences were detected, so PBS was selected for our procedure. A
370 comparison of the blocking buffers PBS with 0.1% Tween-20 (PBS-T), PBS-T with 1%
371 casein and PBS-T with 3% non-fat milk powder was performed (**Figure 6D**). PBS-T with 1%
372 casein was selected based on background signal and positivity trends.

373 Discussion

374 There is a critical need for the development of serological tests to detect SARS-CoV-2
375 antibodies. Population seroprevalence studies to estimate the extent of pandemic spread in
376 communities, and studies defining protective immunity to SARS-CoV-2, all depend on
377 reliable serological tests. In addition, serological assays are required for the development and
378 evaluation of an effective vaccine. Ideally, such tests need to be cost-effective and easy to
379 scale up to be beneficial in low-income settings. In this study, we describe the establishment
380 of an indirect SARS-CoV-2 antibody ELISA using the S1 and RBD antigens of the spike
381 protein of SARS-CoV-2 expressed in *Nicotiana benthamiana*. S protein domains were
382 selected because they are highly immunogenic and the primary target for neutralizing
383 antibodies (Berry et al., 2010; Chen et al., 2020). Using sera from convalescent volunteers
384 with a PCR-confirmed past SARS-CoV-2 infection, we detected SARS-CoV-2-specific IgG,
385 IgA and IgM to viral S1 and RBD. Our results were highly concordant with a widely used,
386 high sensitivity and specificity commercial S1 IgG ELISA kit (Euroimmun).

387 A range of expression systems exist for the generation of the recombinant proteins
388 required for serological assays. Plant protein expression systems have some advantages over
389 more widely-used mammalian or insect cell systems, as they do not require expensive media
390 or growth conditions (Shanmugaraj et al., 2020). They are also advantageous over bacterial or
391 yeast systems in that they may support post-translational modifications similar to that of
392 mammalian cell lines, and lack contaminating pathogens or endotoxins that pose a problem
393 when purifying desired proteins (Shanmugaraj et al., 2020; Maliga et al., 2004). Lack of
394 correct protein glycosylation and recombinant protein yield are cited as disadvantages to
395 using plants to express protein. However, *Nicotiana benthamiana* is favoured for protein
396 expression due to its rapid generation of biomass, a defective post-transcriptional gene
397 silencing system, and the extensive range of engineering strategies, including
398 glycoengineering, that can be applied along its secretory pathway; all of which may
399 overcome the challenge of low yield (Margolin et al., 2020). Thus, there is great potential to
400 use plant-based expression systems for the rapid generation of serological assay reagents and
401 even vaccines for pandemics, including the current global SARS-CoV-2 pandemic.

402 Using our ELISA with plant-derived recombinant viral proteins, we detected S1-
403 specific IgG in 66.2% and RBD-specific IgG in 62.3% of individuals who had tested positive
404 for SARS-CoV-2 by PCR in the past. Responses between the two protein fragments were
405 highly correlated, as predicted, and the small difference in reactivity was not unexpected,
406 given the greater number of epitopes in the larger S1 domain. Our sensitivity appears lower
407 than that reported in the literature, with a seroprevalence of 90.1%-100% in individuals
408 confirmed to have been SARS-CoV-2-infected by PCR (Amanat et al., 2020; Beavis et al.,
409 2020; Long et al., 2020; Liu et al., 2020), and a lower seroprevalence (65.8%) in those who
410 were diagnosed <14 days before serological testing (Pollán et al., 2020). However, we
411 obtained highly concordant results between our assay and a validated commercial ELISA. In
412 fact, the reported manufacturer's sensitivity of the Euroimmun S1-specific IgG ELISA is
413 94.4%. This suggests that the lack of S1-specific IgG detection from some recovered
414 COVID-19 patients in our cohort is more likely due to low or absent IgG antibody at the time
415 of sampling, rather than a lack of sensitivity in our assay. With regard to specificity, we
416 detected IgG cross-reactivity to SARS-CoV-2 in 1/58 (1.7%) of pre-pandemic plasma
417 samples from a cohort of HIV-infected and uninfected volunteers with latent TB infection,
418 giving a specificity of 98.3%. Cross-reactive antibody responses, while lower in magnitude,
419 have been reported in SARS-CoV-2 unexposed individuals (Khan et al., 2020), and likely

420 result from past infections with common circulating hCoVs. Thus, our assay for SARS-CoV-
421 2-specific IgG performs as well as a widely used commercial kit in terms of sensitivity and
422 specificity, and is suitable for serological studies of humoral responses in the current
423 pandemic.

424 Several factors may affect antibody detection after SARS-CoV-2 exposure. Timing
425 of sampling is important, with IgM typically arising first, peaking two to three weeks after
426 symptom onset (Long et al., 2020). IgG is typically detected after IgM in serum, peaking at
427 roughly the same time (Huang et al., 2020). However, in SARS-CoV-2 infection, antibodies
428 may not follow this typical pattern of seroconversion (Long et al., 2020; Seow et al., 2020)
429 and seroconversion to a single Ig subclass has been described (Seow et al., 2020).
430 Interestingly, when investigating isotype responses in addition to IgG, we showed that a
431 further 4/20 (20%) donors had S1-specific IgA or IgM. Thus, in our initial screen where 34%
432 of individuals who had previously tested positive for SARS-CoV-2 by PCR had no detectable
433 IgG responses, 20% may have had isotype responses other than IgG. A recent study showed
434 that combined detection of IgG, IgM and IgA increased the overall detection of SARS-CoV-2
435 antibodies, enabling better identification of infected individuals with low antibody levels
436 (Faustini et al., 2020).

437 A further factor in detection of antibodies to SARS-CoV-2 is waning of the response
438 over time, which has potentially important consequences for the duration of protective
439 immunity and the risk of reinfection. One study showed a decrease in IgG in half of patients
440 tested, calculating an overall half-life of 36 days for IgG (Ibarrondo et al., 2020). Waning of
441 binding antibody responses to S and RBD has been reported soon after their peak,
442 particularly IgM and IgA antibodies, but IgG responses have shown persistence for greater
443 than 90 days post-illness onset (Seow et al., 2020; Wajnberg et al., 2020). A limitation of our
444 study was that we did not have information on the date of COVID-19 symptom onset in our
445 cohort, limiting our analyses to time post PCR positivity, which did not yield a relationship
446 with antibody positivity or OD value. Additional factors that may also influence antibody
447 generation and kinetics include disease severity, age and comorbidities. We found no
448 relationship between increasing disease severity and antibody positivity or OD value, likely
449 due to the fact that the majority of our study participants had mild to moderate COVID-19.

450 We determined endpoint titers of binding antibodies to S1 and RBD in a subset of 20
451 convalescent participants in our cohort. Several studies have demonstrated that binding
452 antibody titers against S correlate with neutralization capacity (Amanat et al., 2020; Okba et
453 al., 2020; Premkumar et al., 2020). A recent study reporting S-specific IgG titers in almost 20
454 000 patients screened for eligibility as convalescent plasma donors demonstrated that 70% of
455 IgG+ donors had high titers (>1:960) of antibodies (Wajnberg et al., 2020). Importantly,
456 100% of those with titers >2880 exhibited neutralizing activity (ID₅₀ of >1:10). Although we
457 performed our study on a much smaller sample size, we detected titers of S1 or RBD-specific
458 IgG of up to 1:3200. However, the majority of donors (54%) had titers below 1:200, and only
459 a third of samples had high titers >1:800. Unsurprisingly, IgA and IgM titers were lower than
460 IgG titers, and did not exceed 1:800 for IgA and 1:400 for IgM. Further studies characterising
461 antibody titers in recovered COVID-19 patients in our setting are warranted.

462 Saliva is a non-invasive specimen that can be self-collected and thus represents an
463 attractive sample type for large-scale sampling such as in seroprevalence studies. We
464 demonstrate that our ELISA can detect SARS-CoV-2-specific IgG and IgA not only in
465 serum, but also in saliva. Further optimization and validation will be required to establish the
466 conditions for optimal detection of antibodies in saliva, including the use of pre-pandemic

467 saliva samples. Recent studies have reported the detection of S-specific antibodies in saliva
468 (Faustini et al., 2020; Randad et al., 2020). Faustini et al. (2020) suggested that the use of
469 both serum and saliva samples increased the detection of SARS-CoV-2 antibody responses,
470 reporting substantial discordance between the two sample types. Although preliminary, our
471 results provide the basis for investigating the detection of SARS-CoV-2 antibodies in saliva
472 using antigens expressed in plants.

473 In conclusion, our study demonstrates that recombinant SARS-CoV-2 proteins
474 produced in plants enable the robust detection of SARS-CoV-2-specific antibodies. One of
475 our aims was to develop a cost-effective serological assay for both large-scale
476 seroepidemiology as well as research studies of SARS-CoV-2 humoral immunity. We
477 achieved this by making use of plants for the production of viral antigens, which has the
478 benefit of rapid scale-up, and sourcing reagents that were available locally and thus available
479 at a lower cost. Our ELISA can be used to evaluate SARS-CoV-2 seroprevalence and
480 describe the kinetics of the humoral immune response in infected individuals. Serological
481 studies in a setting like ours, in South Africa, where comorbidities such as HIV and TB are
482 highly prevalent, are underexplored and can benefit from this assay.

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621 **Table**

622

623 **Table 1: Characteristics of COVID-19 patients (n=77)**

624

Sex female, n (%)	42 (55)
Age (years) ^a	39 [29-50]
Time since positive PCR test (days) ^a	42 [29-52]
Disease severity, n (%) ^b	
<i>Asymptomatic</i>	5 (7)
<i>Mild</i>	23 (30)
<i>Moderate</i>	38 (49)
<i>Severe</i>	2 (3)

^a median and interquartile range

^b not available for n=9 participants

625

626

627 **Figure legends**

628 **Figure 1. Analysis of plant-expressed SARS-CoV-2 spike antigens after Protein A**
629 **purification.** (A) Coomassie-stained SDS-PAGE gel and (B) Western blot of S1-rabbit Fc
630 fusion protein (2 µg of concentrated elution fraction). Lines on the left indicate molecular
631 weight marker (Spectra Multicolor Broad range protein ladder) in kDa. The arrow indicates
632 the expected size for recombinant S1 protein (~140 kDa). (C) Coomassie-stained SDS-PAGE
633 gel and (D) Western blot of RBD-rabbit Fc fusion protein (5 µg of concentrated elution
634 fraction). Arrows indicate expected size for RBD-rabbit Fc conjugate (~100 kDa).

635

636 **Figure 2. Detection of IgG using plant-expressed SARS-CoV-2 spike antigens in**
637 **COVID-19 convalescent volunteers and pre-pandemic controls using an in-house**
638 **ELISA.** Reactivity to plant-expressed S1 (A) and RBD (B) in pre-pandemic samples from
639 HIV-uninfected individuals (n=31), HIV-infected individuals (n=27), and SARS-CoV-2 PCR
640 positive volunteers (n=77). Dotted lines indicate threshold for positivity, calculated as the
641 mean optical density (OD) + 2SD of the pre-pandemic samples. (C) Correlation of the OD
642 values for S1-specific IgG in our in-house ELISA and the commercial Euroimmun IgG S1
643 ELISA kit. Statistical analyses were performed using a non-parametric Spearman rank
644 correlation. Each dot represents one individual.

645

646 **Figure 3. Semi-quantitative detection of S1- and RBD-specific IgG, IgM and IgA.** Two-
647 fold dilution series of sera for detection of S1-specific IgG (A), IgM (B), and IgA (C) and
648 RBD-specific IgG (D), IgM (E) and IgA (F). COVID-19 convalescent volunteers (n=20) are
649 indicated in red, and pre-pandemic controls (n=40) are indicated in black. (G-I) and (J-L),
650 Data from the same experiment as in (A-C) and (D-F), respectively, but plotted as area under
651 the curve (AUC). Horizontal lines represent median values. Dotted lines indicate the
652 threshold for positivity. Statistical analyses were performed using a Mann-Whitney U test. A
653 p value of <0.05 was considered statistically significant.

654

655 **Figure 4. The relationship between IgG, IgM and IgA responses to S1 and RBD SARS-**
656 **CoV-2 antigens.** (A) Proportions of COVID-19 convalescent volunteers mounting different
657 combinations of IgG, IgM and IgA specific for S1 (A) (n=19), and RBD (B) (n=20).
658 Relationship between S1-specific IgG and IgM (C) and IgG and IgA (D). Statistical analyses
659 were performed using a non-parametric Spearman rank correlation. Proportion of
660 convalescent volunteers with endpoint titers for IgG (E) and IgA (F) of 1:50, 1:100, 1:200,
661 1:400, 1:800, 1:1600.

662

663 **Figure 5. Detection of S1-specific antibodies in saliva.** Comparison of paired serum and
664 saliva S1-specific IgG (A) and IgA (B) (n=10). Dotted lines indicate the positivity threshold
665 for serum.

666

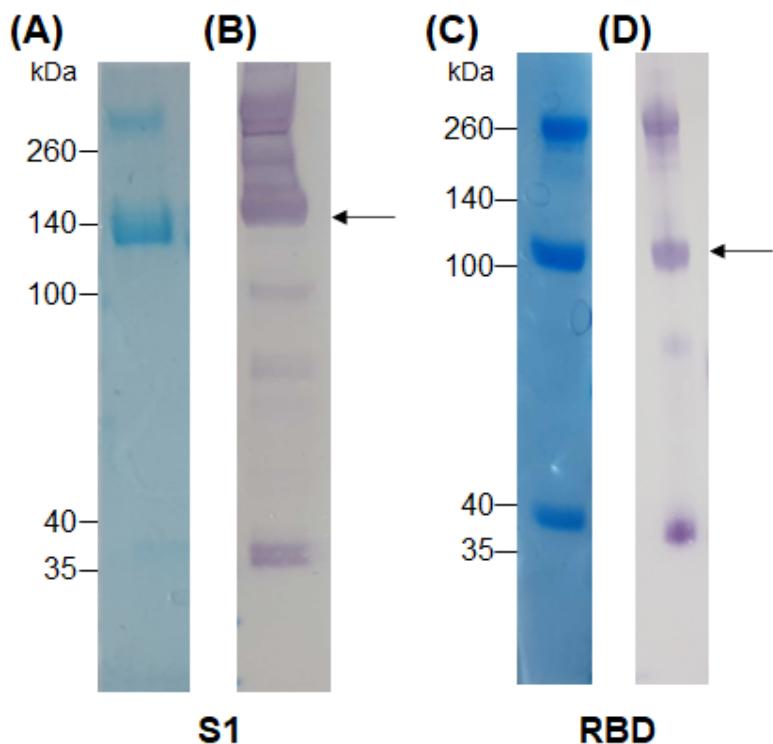
667 **Figure 6. Optimization of ELISA antigen coating concentration, coating buffer and**
668 **blocking buffer.** The effect of antigen coating concentration (1, 2 and 4 μ g/ml) was tested for
669 (A) S1 and (B) RBD, using serum samples from SARS-CoV-2 positive convalescent
670 participants (n=7). Statistical analyses were performed using the Friedman test with Dunn's
671 test for multiple comparisons. (C) Comparison of phosphate buffered saline (PBS) and
672 bicarbonate buffer for coating viral antigens. Statistical analyses were performed using a
673 Wilcoxon matched pair's test. (D) The effect of different blocking solutions. Statistical
674 analysis was performed using the Friedman test with Dunn's test for multiple comparisons.

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676

677

FIGURE 1



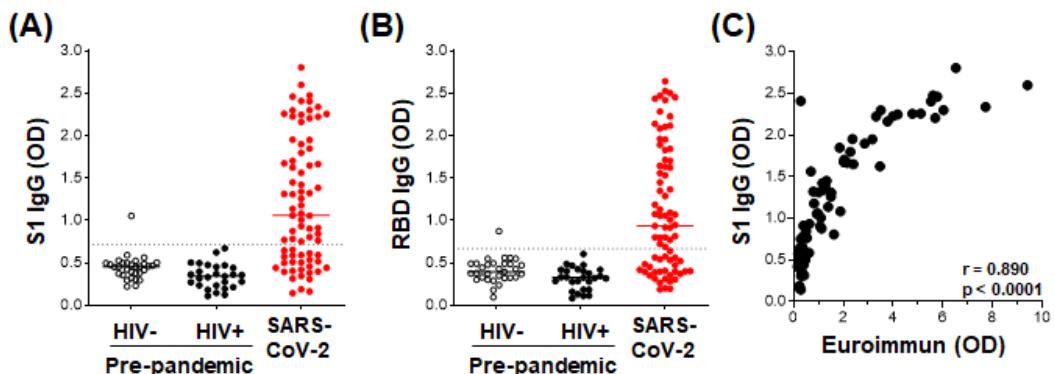
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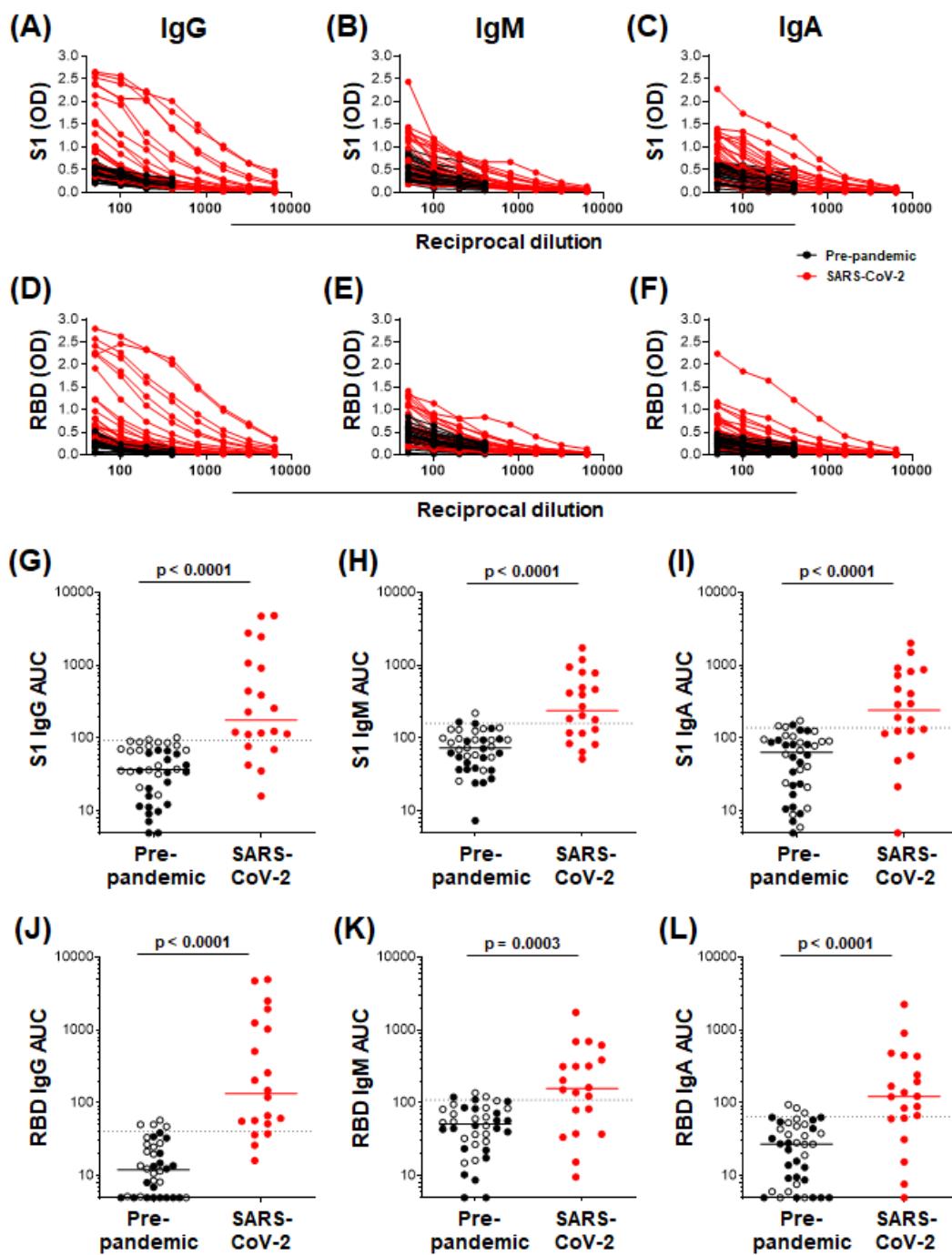
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FIGURE 2



682
683

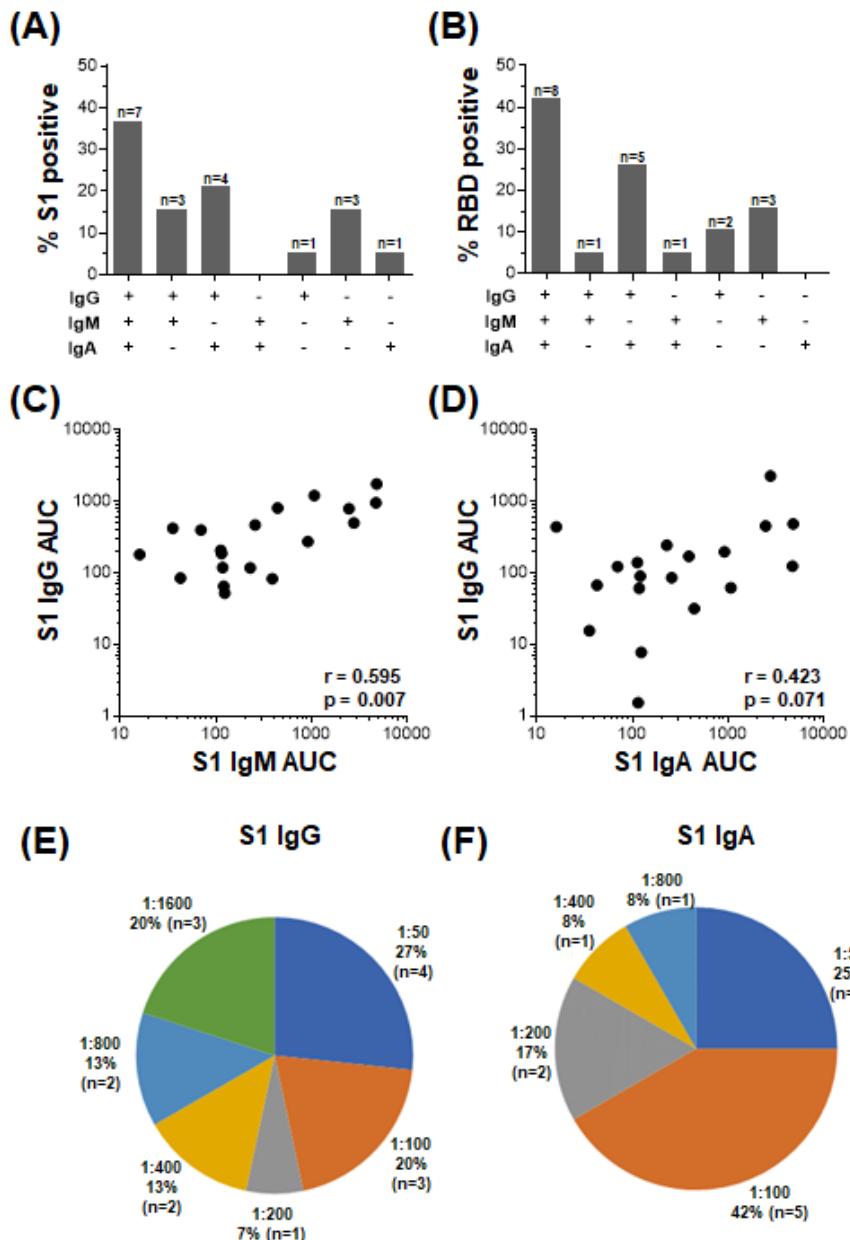
FIGURE 3



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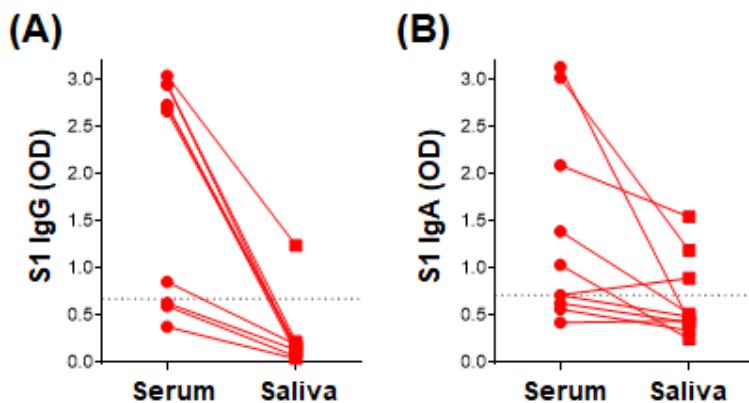
FIGURE 4



686

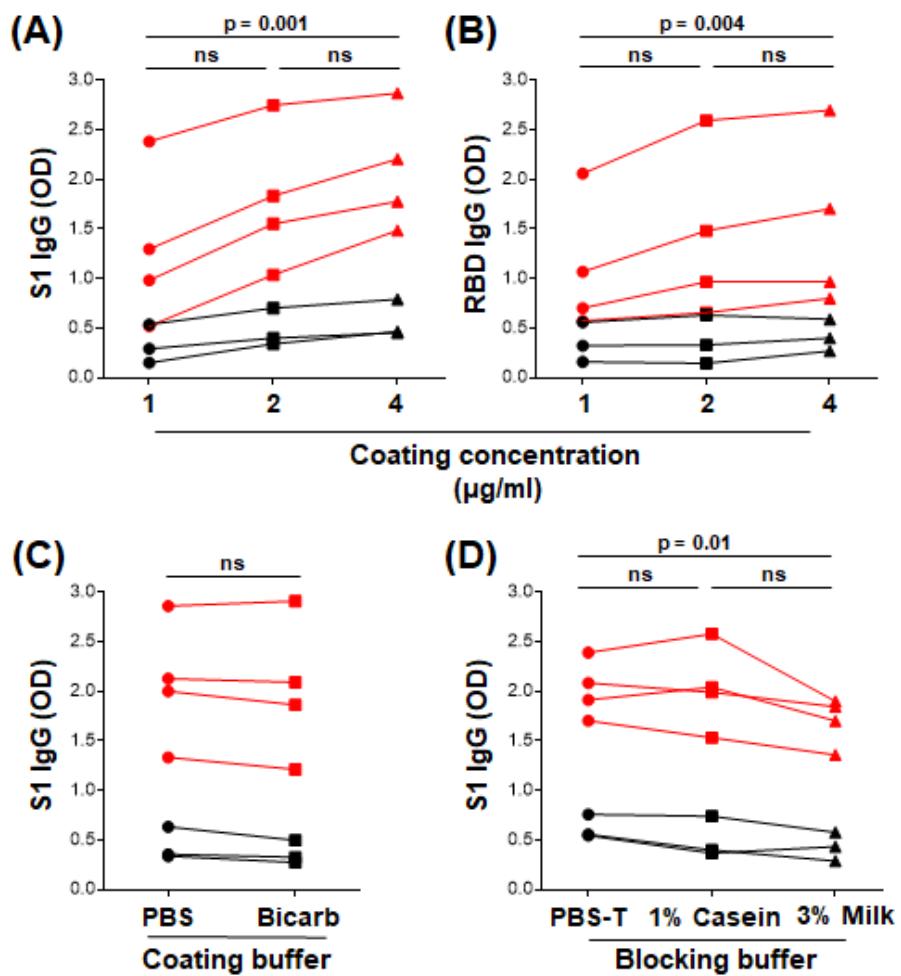
687

FIGURE 5



688

FIGURE 6



689