

1 **Medial prefrontal activity at encoding determines enhanced recognition of**
2 **threatening faces after 1.5 years**

3

4 **Running title:** Long-lasting memory for facial threats

5

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33 **Abstract**

34 Studies demonstrated that faces with threatening emotional expressions are better
35 remembered than non-threatening faces. However, whether this memory advantage
36 persists over years and which neural systems underlie such an effect remains
37 unknown. Here, we investigated recognition of incidentally encoded faces with angry,
38 fearful, happy, sad and neutral expressions over >1.5 years ($N= 89$). Univariate
39 analyses showed that threatening faces (angry, fearful) were better recognized than
40 happy and neutral faces after >1.5 years, and that the threat-related memory
41 enhancement was driven by forgetting of non-threatening faces. Multivariate principal
42 component analysis (PCA) confirmed the discriminative performance between
43 threatening and non-threatening faces. With an innovative Behavioral Pattern
44 Similarity Analysis (BPSA) approach and functional magnetic resonance imaging
45 (fMRI) acquisition during encoding, we further found that the long-term memory
46 advantage for threatening faces were underpinned by differential neural encoding in
47 the left inferior occipital gyrus (IOG) and right ventromedial prefrontal/orbitofrontal
48 cortex (vmPFC/OFC). Our study provides the first evidence that threatening facial
49 expressions lead to persistent face recognition over periods of >1.5 years and
50 differential encoding-related activity in the visual cortex and medial prefrontal cortex
51 may underlie this effect.

52

53 **Keyword:** emotional expression, encoding; face recognition, fMRI, long-term
54 memory, multivariate, PCA, vmPFC/OFC

55 **1. Introduction**

56 For social species the recognition of previously encountered conspecifics is vital
57 for survival and successful interaction. In humans, faces are presumably the most
58 important stimuli for subsequent recognition. Given the high evolutionary significance
59 of these stimuli, cortical networks specialized in perceiving and recognizing faces
60 develop already during early infancy (Powell, Kosakowski, & Saxe, 2018; Cohen et al.,
61 2019). Nevertheless, the ability to recognize faces varies greatly in the human
62 population. While some individuals can recognize faces following a single exposure
63 over years, others find it nearly impossible to recognize highly familiar faces (Russell,
64 Duchaine, & Nakayama, 2009; Tardif et al., 2019). In addition to individual differences,
65 several characteristics of the facial stimuli can affect subsequent recognition including
66 emotional expression (Bruce & Young, 1986; Haxby, Hoffman & Gobbini, 2000). From
67 an evolutionary perspective, the emotional expression may transmit important
68 information such that threatening facial expressions (e.g., angry or fearful) can signal
69 danger and thus may relate to harm avoidance in the future (Darwin, 1872; Staugaard,
70 2010).

71 In support of this evolutionary hypothesis, some experimental studies have
72 demonstrated a recognition advantage of threatening facial expressions across a variety
73 of delays (Grady, Hongwanishkul, Keightley, Lee, & Hasher, 2007; Jackson, Linden, &
74 Raymond, 2014; Pinabiaux et al., 2013; Stiernströmer, Wolgast, & Johansson, 2016;
75 Thomas, Jackson, & Raymond, 2014; Wang, 2013). For example, previous studies
76 consistently reported that faces with threatening expressions (i.e., angry or fearful) are

77 better remembered compared to non-threatening faces in visual working memory

78 (Jackson et al., 2014; Öhman, Lundqvist, & Esteves, 2001; Thomas et al., 2014).

79 Several studies on short-term memory also found a recognition advantage for

80 threatening faces when the memory was tested immediately (i.e., minutes) after

81 encoding (e.g., Grady et al., 2007; Pinabiaux et al., 2013; Wang, 2013). Following a

82 longer retention interval of 24 hours, the recognition memory was better for fearful

83 relative to neutral faces (Wang, 2013). These findings broadly align with numerous

84 studies indicating enhanced recognition for non-facial emotional stimuli (e.g., scenes

85 or words), particularly high-arousing negative ones, which are more vividly and

86 accurately remembered over retention intervals ranging from minutes to years

87 (reviewed in Bowen, Kark, & Kensinger, 2018; Yonelinas & Ritchey, 2015). On the

88 other hand, several studies failed to observe memory enhancement of threatening faces

89 across a variety of delays (minutes to 2 weeks, Anderson, Yamaguchi, Grabski, & Lacka,

90 2006; Grady et al., 2007; Satterthwaite et al., 2009; Xiu, Geiger, & Kiaver, 2015). These

91 contradictory results may be accounted for by factors such as the lack of statistical

92 power (i.e., small number of subjects and face stimuli), different methodological

93 approaches, and heterogeneity of sample characteristics such as age and gender which

94 may relate to differences in face memory ability (Grady et al., 1995; Sommer,

95 Hildebrandt, Kunina-Habenicht, Schacht, & Wilhelm, 2013). Moreover, various

96 retention intervals in different studies may also have an effect and emotional face

97 recognition after lengthy retention intervals (i.e., years) as well as the underlying neural

98 basis have not been examined.

99 Against this background, the first aim of the present study was to investigate the
100 emotional expression effects on face recognition over an extended retention interval
101 (>1.5 years) in a large sample of healthy young adults by capitalizing on a large fMRI
102 sample (Li et al., 2019; Liu et al., 2020; Xu et al., 2020; Zhou et al., 2020). 225 college
103 students underwent incidental encoding of faces with emotional expressions (angry,
104 fearful, happy, sad and neutral, each face image was taken from a different actor) during
105 fMRI acquisition. Twenty minutes after the scanning session, all subjects completed an
106 immediate recognition test in which the previously presented face images (i.e., targets)
107 during scanning were intermixed with a new set of emotional faces (i.e., lures) from a
108 different group of actors. On presentation of each image, participants were instructed
109 to indicate whether the image had been shown in the scanning session. A subsample of
110 subjects ($N = 102$) also participated in another surprise face recognition test after a delay
111 of at least 1.5 years to discriminate between the same targets and a new set of emotional
112 faces. We examined whether face recognition was modulated by facial expressions by
113 means of univariate (ANOVA) and data-driven multivariate (principal component
114 analysis, PCA) approaches. The aim of PCA was to complement the conventional,
115 hypothesis-driven univariate approach and further investigate the robustness of the
116 findings by detecting hidden patterns of the trial-wise behavioral responses of the
117 subjects in an unsupervised manner. Based on the previous findings, we expected
118 augmented recognition of faces with threatening expressions, particularly angry and
119 fearful, compared to non-threatening faces after a retention interval of >1.5 years in the
120 univariate ANOVA and a discriminative memory performance between expression

121 conditions by multivariate PCA.

122 Furthermore, another critical question that remains to be answered is which neural
123 systems supported the expression-associated memory advantage. Previous fMRI
124 studies have revealed that the long-term memory advantage for emotional stimuli (i.e.,
125 scene) recruits memory-related medial temporal lobe (MTL) systems, stimulus-specific
126 perceptual systems such as the visual cortex, limbic systems (amygdala) and prefrontal
127 systems during encoding (Cahill et al., 1996; Dolcos, Denkova, & Dolcos, 2012; Dolcos,
128 Labar, & Cabeza, 2005; Erk, Kalckreuth, & Walter, 2010; Ritchey, Dolcos, & Cabeza,
129 2008). However, few studies have investigated the neural mechanisms of the long-term
130 memory advantage for emotional faces. Given that the ability in general face
131 recognition (Tardif et al., 2019; Wang, Li, Fang, Tian, & Liu, 2012) and emotional
132 expression processing (Calder & Young, 2005; Le Grand et al., 2006) varies
133 considerably in the population, and some people may even use a qualitatively different
134 mechanism to process face stimuli (Tian et al., 2020), it is conceivable that individual
135 differences exist with respect to the long-term emotional face memory and the
136 corresponding neural correlates. Thus, the second aim of the present study was to
137 examine whether brain activation during incidental encoding may associate with the
138 emotional expression effects on long-term face recognition after 1.5 years.

139 To this end, we initially devised an innovative approach termed Behavioral Pattern
140 Similarity Analysis (BPSA) by separating the subjects into two groups to facilitate the
141 fMRI analyses. In the present study, the BPSA approach measured the similarity of
142 multi-item confidence rating pattern to emotional faces of each subject and the principal

143 component score pattern derived from the previous PCA. This method allowed us to
144 distinguish subjects with or without discriminative face representation of multivariate
145 data based on the significance of similarity so as to inform the subsequent fMRI
146 analyses with a high sensitivity and sufficient power to determine the neural correlates.
147 Next the fMRI analyses were performed by comparing the neural response at encoding
148 between the group of subjects with discriminative patterns and the group of subjects
149 with non-discriminative memory patterns to uncover the specific regions sensitive to
150 the long-term memory advantage of threatening faces. Since both emotional
151 enhancement of memory and emotional face processing have been strongly linked to
152 limbic and prefrontal systems, specifically regions engaged in emotional reactivity and
153 value processing such as amygdala and orbitofrontal cortex (OFC) (Hariri, Mattay,
154 Tessitore, Fera, & Weinberger, 2003; Kark & Kensinger, 2019; Kensinger & Schacter,
155 2008; Rolls, 2019; Vuilleumier, Richardson, Armony, Driver, & Dolan, 2004), we
156 hypothesized that encoding-related activity in these systems may underlie individual
157 differences in the long-term effects of emotional expressions on face recognition.
158

159 **2. Materials and Methods**

160 **2.1 Subjects**

161 A total of 102 (53 males, age range: 20-32) healthy, young right-handed Chinese
162 students participated in this study which was part of a large-scale fMRI project (e.g., Li
163 et al., 2019; Liu et al., 2020; Xu et al., 2020; Zhou et al., 2020). Due to incomplete
164 behavioral and fMRI data ($N = 7$), extremely low hits and false alarms (hits < 1 and

165 false alarms < 1 , $N = 4$), or excessive head motion during fMRI scanning ($N = 2$), data
166 from 13 subjects were excluded from both behavioral and fMRI analyses, resulting in
167 $N = 89$ subjects (44 males, mean age = 23.80 ± 2.39 years) in the final analyses. Details
168 on recruitment protocols and quality assessments are provide in the **Supporting**
169 **Information**. The study was approved by the local ethics committee at the University
170 of Electronic Science and Technology of China and in accordance with the latest
171 revision of the Declaration of Helsinki. Written informed consent was obtained from
172 each subject.

173 **2.2 Stimuli**

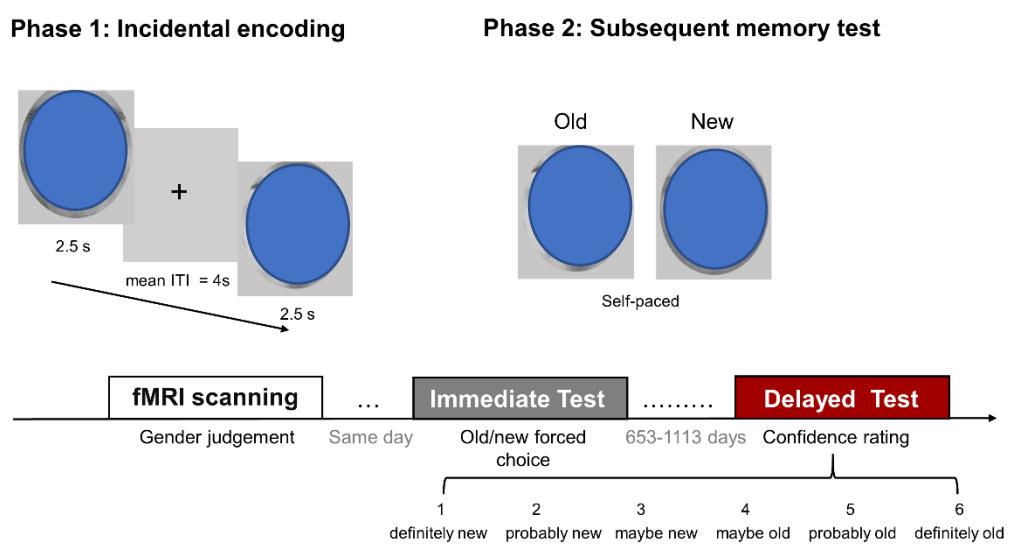
174 A total of 150 face stimuli were selected from two validated Asian facial expression
175 databases: Chinese Facial Affective Picture System (Gong et al., 2011) and Taiwanese
176 Facial Expression Image Database (TFEID) (Chen and Yen, 2007). Facial expressions
177 included angry, fearful, sad, happy and neutral (each from 30 different individual actors,
178 15 males). All facial stimuli were gray-scaled and covered with an oval mask to remove
179 individual features (e.g., hair). The 150 faces were evenly divided into three sets
180 matched regarding arousal and valence rated by an independent sample ($n = 20$, 10
181 males, mean age = 21.2 ± 0.70 years) before the experiment. Within each face set, the
182 arousal ratings of emotional faces (angry, fearful, happy, sad) were higher as compared
183 to neutral faces (all $ps < 0.001$), whereas arousal ratings between emotional faces did
184 not differ (all $ps > 0.05$).

185 **2.3 Experimental procedure**

186 The present study employed a multiple-stage procedure including an incidental

187 encoding phase and a subsequent memory phase (**Figure.1**). All subjects initially
188 underwent an event-related fMRI paradigm using an emotional face processing task
189 (i.e., incidental encoding) between August, 2016 and October, 2017 (Time 1, T1).
190 Fifty facial stimuli (set 1) were repeatedly presented over two subsequent runs with
191 different pseudorandom sequence, balanced for facial expression and gender (5min
192 12s per run). Stimuli were shown for 2500ms during which the subjects were required
193 to judge the gender of the face by button press. After each trial, a jittered fixation
194 cross was presented for 2000–5600ms (mean ITI = 3800ms, **see Figure. 1**). Stimuli
195 were presented via E-prime 2.0 (Psychology Software Tools, USA,
196 <http://www.pstnet.com/eprime.cfm>). Twenty minutes after fMRI acquisition, subjects
197 were asked to complete a surprise recognition memory test (immediate test) outside
198 the scanner in which the 50 previously presented faces (set 1, targets) from the fMRI
199 paradigm were intermixed with 50 new faces (set 2, lures). Subjects were instructed to
200 indicate whether each face had been shown during the fMRI acquisition (forced
201 choice: old versus new). Emotional arousal ratings for each face were additionally
202 assessed after the immediate old/new recognition test using a 9-point Likert scale (1 =
203 very weak to 9 = very strong). After a retention interval of >1.5 year (interval range:
204 653-1113 days), 102 subjects agreed to participate in a surprise recognition test
205 (delayed test) between July, 2019 and August, 2019 (Time 2, T2) in which target faces
206 were intermixed with another set of 50 new faces (set 3, lures). In the delayed test,
207 subjects were asked to rate their recognition confidence on a six-point scale (old vs.
208 new; 1 = definitely new to 6 = definitely old, **see Figure. 1**). The confidence rating

209 approach was employed in the delayed test given that it reflects the strength and
210 quality of the memory more precisely (Aly & Turk-Browne, 2016; Stretch & Wixted,
211 1998), and thus was more sensitive as compared to the categorical old/new judgement
212 approach. Moreover, this allowed us to conduct multivariate analysis on the delayed
213 test data with increased power. The delayed recognition memory test was carried out
214 online via SurveyCoder 3.0 (<https://www.surveycoder.com/>).



215
216 **Figure 1. Experimental design and stimuli.** Upper panel: Face stimuli in the encoding and
217 subsequent memory stage. Lower panel: Experimental procedure and the behavioral measures.
218 Example face pictures are shown that are not part of the original databases. Consent for
219 publication has been obtained from the individuals presented in the figure.

220 **2.4 MRI data Acquisition**

221 MRI data were obtained on a 3T GE MRI system (General Electric, Milwaukee,
222 WI, USA). Functional images were acquired with a gradient echo-planar imaging
223 pulse sequence (39 slices; repetition time (TR) = 2000 ms; echo time (TE) = 30 ms;
224 slice thickness = 3.4 mm; spacing = 0.6 mm; field of view (FOV) = 240 × 240 mm²;
225 flip angle = 90°; matrix size = 64 × 64). Each run of the emotional face processing

226 task consisted of 173 volumes. High-resolution whole-brain T1-weighted images were
227 additionally acquired to improve normalization of the functional images (spoiled
228 gradient echo pulse sequence; 156 slices; TR = 6 ms; TE = 1.964 ms; thickness = 1
229 mm; FOV = 256 × 256 mm²; flip angle = 9°; matrix size = 256 × 256).

230 **2.5 Behavioral data analysis**

231 *2.5.1 Univariate approach*

232 To assess whether subjects generally remembered faces immediately after encoding
233 as well as >1.5 years later, a general sensitivity index A-prime (A') was initially
234 computed and compared with chance performance (0.5) (details see **Supporting**
235 **Information**). Hit rates were subjected to a 2×5 repeated-measures ANOVA with the
236 factors time of assessment (immediate vs. delayed) and emotional expression (angry vs.
237 fearful vs. happy vs. neutral vs. sad) to examine the interaction effects between retention
238 interval and facial expression. For both tests, hit rates were defined as the ratio of target
239 faces correctly identified as old. For the delayed test, ratings of 4, 5 and 6 were
240 considered as correctly identified. Post-hoc tests for significant interactions with Holm-
241 Bonferroni correction were conducted to examine the facial expression effects within
242 the immediate or delayed test, and planned two-tailed t tests were performed to
243 determine changes of memory between immediate and delayed recognition in each
244 facial expression condition. Notably, the analyses focused primarily on hit rates because:
245 (i) it allows a direct comparison between immediate and delayed test performance, and
246 (ii) it focused on the corrected responses of target faces thus establishing a link between
247 the recognition performance and the fMRI encoding process (in which only target faces

248 were included), aligning with the goal of the present study which was to reveal the
249 neural basis during encoding that might contribute to long-term emotional face
250 recognition. We further compared the false alarm rates with chance level as well as
251 among the expression conditions within each test to control for effects of a higher
252 tendency to judge lure items as previously seen. Analyses were computed in SPSS (IBM,
253 SPSS version 20, 2011).

254 *2.5.2 Multivariate approach*

255 Given that the hypothesis-driven univariate analysis (e.g., ANOVA) might dismiss
256 individual differences related to response variability due to averaging, we further
257 investigated the robustness of long-term emotional expression effects using a data-
258 driven unsupervised multivariate approach. The schematic of multivariate analyses is
259 shown in **Figure. 2**. PCA was applied given that it is one of the most widely used
260 unsupervised multivariate machine learning algorithms for exploring the hidden pattern
261 of multidimensional data (Ringnér, 2008), which allowed us to visualize similarities
262 and differences between individual behavioral responses (**Figure. 2A, 2B**). Specifically,
263 the confidence ratings of all target face trials in the delayed test were plotted in a
264 reduced two-dimensional space composed by principal component 1 (PC1) and PC2
265 (details see **Supporting Information**). PC1 explains the highest variance and
266 represents the most discriminative dimension. We thus expected that trials with the
267 same facial expression (color coded) would dominate separate regions within the
268 reduced space along the PC1 axis, representing a discriminative expression-specific
269 face memory pattern (**Figure. 2B**). To further assess the significance of the segregation,

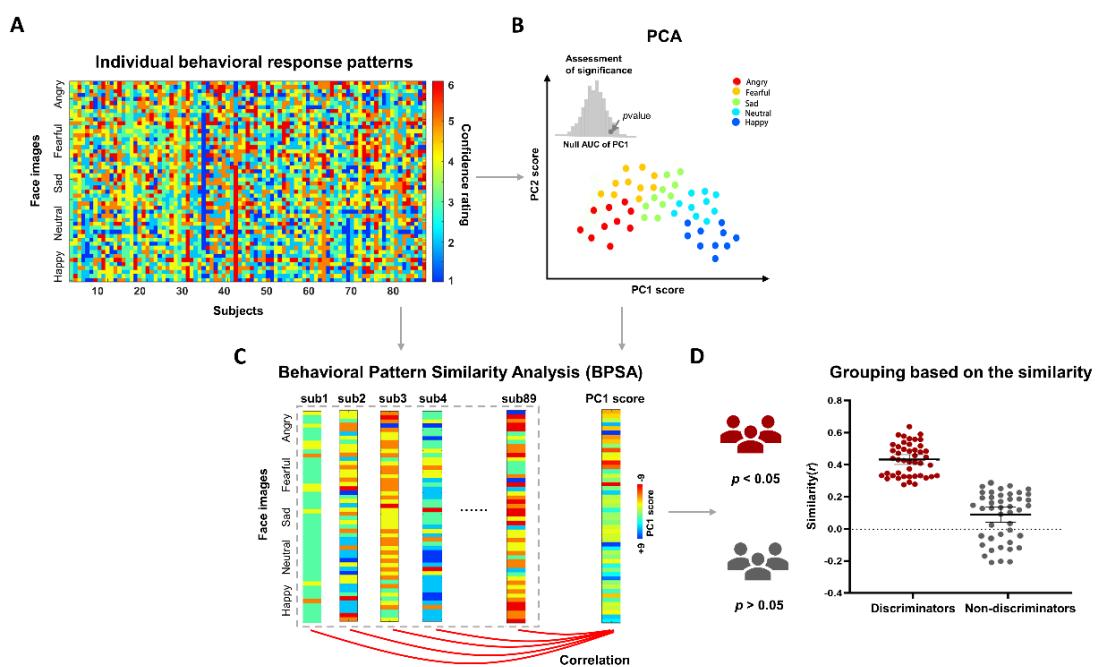
270 we next employed a recently proposed measure ‘trustworthiness’ which compares the
271 indicator of PC1 segregation (Area Under the ROC Curve, AUC) with a null
272 distribution of AUC values derived from 1000 permutations of shuffled emotion labels
273 (minimum two-tailed p -value: 0.05, **Figure. 2B**) (details on this approach see Durán et
274 al., 2021). Finally, Wilcoxon Signed-Rank Tests (two-tailed) with Benjamini-
275 Hochberg-adjusted correction were performed to compare responses between
276 expression conditions. Multivariate analyses including PCA, trustworthiness and
277 Wilcoxon Signed-Rank Tests were implemented in PC-corr MATLAB code
278 (https://github.com/biomedical-cybernetics/PC-corr_net) which has been used in
279 previous studies to successfully discriminate behavioral and omic patterns
280 (Miendlarzewska, Ciucci, Cannistraci, Bavelier, & Schwartz, 2018; Ciucci et al., 2017).
281 The multivariate PCA in combination with the univariate approach thus facilitated a
282 sensitive determination of emotional expression-specific face recognition memory over
283 an extended retention interval. In further exploratory analyses, the PCA was also
284 applied to data from the immediate recognition test to provide a comparison with the
285 delayed test.

286 2.5.3 *Subject grouping – Behavioral Pattern Similarity Analysis (BPSA)*

287 To account for individual differences in representing a discriminative memory
288 pattern in the delayed test, and especially the lack of differential face memory
289 performance of emotional expressions in some subjects (**Figure. 2A**), we employed a
290 Behavioral Pattern Similarity Analysis (BPSA) approach to characterize the emotional
291 face representation on the individual level. The BPSA is based on multivariate pattern

292 analysis (MVPA) which was proposed for multivariate fMRI data analysis (Haxby et
293 al., 2001; Haxby, 2012) and Inter-Subject Correlation (ISC) analysis which was
294 intended to capture the inter-subject synchronization in brain regions (Hasson, Nir,
295 Levy, Fuhrmann, & Malach, 2004; Hasson et al., 2009; Hasson et al., 2010). The BPSA
296 integrates previous methods in behavioral data (especially data derived from PCA) and
297 includes the following procedures: (1) select a method for unsupervised dimensionality
298 reduction of the multivariate data (without loss of generality in this study we consider
299 PCA, however we wish to stress that any method can be employed, **Figure. 2B**) and
300 consider a dimension of data representation as response template that proves to offer a
301 discriminative pattern between the samples. In our study, the projections of the samples
302 on the first dimension of PCA embedding (which are the PC1 scores of the PCA on
303 confidence ratings for targets of the delayed test) was extracted as a response template,
304 which represents a synthetic meta-subject associated to the discrimination of the face
305 images by confidence rating (**Figure. 2C**, right), (2) a measure of similarity (without
306 loss of generality in this study the Pearson's correlation coefficients) between each
307 subject's confidence rating pattern and the PC1 score template was calculated to
308 evaluate the extent to which the group-level emotional expression-specific face
309 representation manifested at the individual level (**Figure. 2C**), (3) the subjects who
310 showed significant similarity ($p < 0.05$, according to Pearson correlation) with the PC1
311 template were considered discriminators exhibiting a discriminative expression-
312 specific face memory effect and the subjects who did not show similarity ($p > 0.05$,
313 according to Pearson correlation) were considered non-discriminators (**Figure. 2D**):

314 This method thus provided us with behaviorally separable groups on facial expression
315 representation which were next used to inform fMRI analyses to uncover the neural
316 mechanisms underlying the long-term emotional expression effect for face recognition.
317 To avoid inflation of the similarity calculation, the PC1 template was constructed
318 employing a leave-one-subject-out approach, and specifically the individual whose
319 correlation was calculated was excluded from constructing the template.



320
321 **Figure 2. Schematic presentation of the major steps in the multivariate investigation of the**
322 **long-term emotional face recognition memory.** (A) Representation of individual behavioral
323 response patterns for all subjects. Each column represents the confidence rating pattern for one
324 subject. Rows from top to bottom indicate face images with expressions angry, fearful, sad, neutral
325 and happy. Color blue to red designates the confidence rating responses from 1 to 6. (B) PCA
326 dimensionality reduction and expected discriminative pattern of the facial expression conditions
327 with sample plotted in the 2D reduced space. The inset shows the assessment of significance of
328 segregation using a non-parametric permutation test (i.e., trustworthiness). (C) Behavioral Pattern
329 Similarity Analysis (BPSA) between each subject's response pattern and the PC1 score pattern
330 (template) derived from PCA. The PC1 template was constructed excluding the individual whose
331 correlation was calculated with the template for $N = 89$ times. (D) Expected grouping results of
332 subjects based on the BPSA analysis (i.e., one subgroup exhibits discriminative response pattern for
333 facial expression conditions, and one subgroup does not.)

334 **2.6 fMRI data analysis**

335 *2.6.1 Image preprocessing*

336 The functional MRI data was preprocessed and analyzed using SPM12 (Statistical
337 Parametric Mapping, <https://www.fil.ion.ucl.ac.uk/spm/software/spm12/>). The first ten
338 volumes were discarded to allow for MR equilibration. The remaining functional
339 images were realigned to correct for head motion, co-registered with the T1-weighted
340 structural images and normalized to Montreal Neurological Institute (MNI) standard
341 template using a two-step procedure including segmentation of the brain structural
342 images and application of the resultant transformation matrix to the functional time-
343 series. The resampled voxel size of functional data was $3 \times 3 \times 3 \text{ mm}^3$. Finally, the
344 images were spatially smoothed using a Gaussian kernel with full-width at half-
345 maximum (FWHM) of 8mm.

346 *2.6.2 Statistical analyses*

347 To identify the neural substrates associated with the long-term emotional
348 expression effects on face memory, a whole-brain ANOVA model with emotional
349 expression (e.g., threatening vs. non-threatening faces determined by ANOVA and
350 PCA on the behavior performance) as within-subject factor, and group (discriminators
351 vs. non-discriminators determined by BPSA) as between-subject factor was
352 employed. To this end, the first-level contrast of interest (i.e., threatening vs. non-
353 threatening) was modeled using separate onset regressors for all trials with threatening
354 vs. non-threatening faces, and convolved with the conventional hemodynamic
355 response function (HRF). Six motion parameters were added in the design matrix to

356 control for movement-related artifacts. Next the first-level contrast images were
357 subjected to a two-sample, two-tailed t-test comparing the discriminators and non-
358 discriminators with interval day as a covariate. This analysis allowed us to identify the
359 specific regions sensitive to the long-term emotional memory advantage during
360 encoding while controlling for unspecific processes. Significant interaction effects
361 were further disentangled by extracting the parameter estimates from independent
362 masks from the Brainnetome atlas (<http://atlas.brainnetome.org/download.html>, Fan et
363 al., 2016) and using bootstrap tests to warrant a high robustness. Group-level analyses
364 were conducted using Statistical nonParametric Mapping toolbox (SnPM13,
365 <http://warwick.ac.uk/snmp>) with permutation-based inferences (5,000 permutations).
366 Significant clusters in the whole brain were determined using a height threshold of $p <$
367 0.001 (two-tailed) and an extent threshold of $p < 0.05$ (two-tailed) with cluster-based
368 familywise error (FWE) correction (Eklund, Nichols, & Knutsson, 2016; Slotnick et
369 al., 2017).

370 Given that the amygdala has been strongly implicated in emotion processing and
371 emotional memory formation (Blanchard & Blanchard, 1972; Davis, 1992; LaBar &
372 Cabeza, 2006; LeDoux, 1995), we examined effects in the amygdala with increased
373 sensitivity using *a priori* region-of-interest (ROI) analysis. Bilateral amygdala masks
374 were created from the Brainnetome atlas (<http://atlas.brainnetome.org/download.html>,
375 Fan et al., 2016). Small volume correction was performed using FWE correction with
376 a voxel-level threshold of $p < 0.05$.

377

378 **3. Results**

379 Overall, subjects successfully discriminated target faces (all expression conditions)
380 from lure faces in both the immediate test (mean $A' = 0.65$, $t_{88} = 15.74$, $p < 0.001$,
381 Cohen's $d = 1.67$) and the delayed test (mean $A' = 0.54$, $t_{88} = 3.70$, $p < 0.001$, Cohen's
382 $d = 0.4$). Paired t-test further indicated that A' in the delayed test was significantly lower
383 than that in the immediate test ($t_{82} = -9.72$, $p < 0.001$, Cohen's $d = 1.03$), suggesting
384 that the general recognition performance decreased with time.

385 **3.1 Long-term emotional expression effects on face memory**

386 *3.1.1 Univariate results*

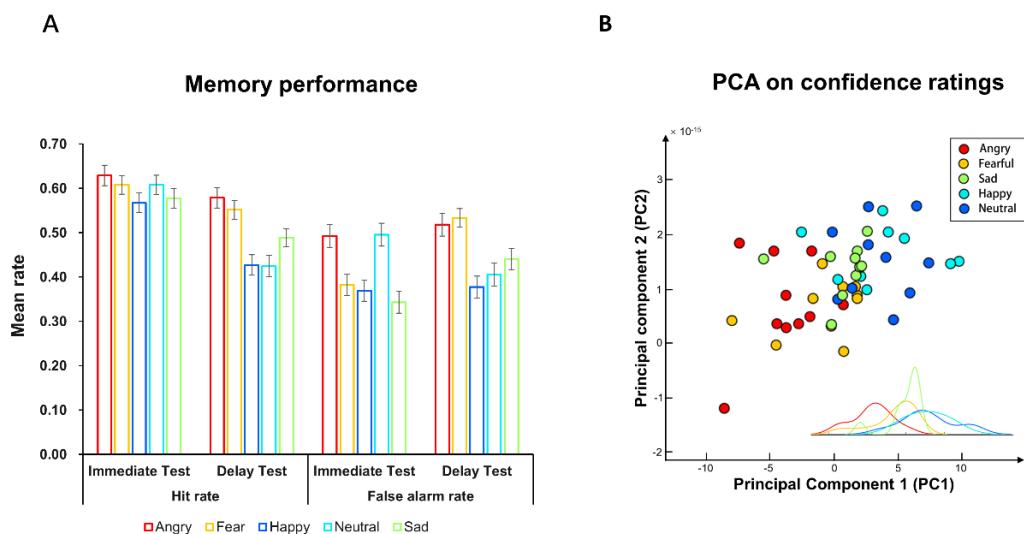
387 The ANOVA revealed a significant main effect of facial expression ($F_{(4,85)} = 7.61$,
388 $p < 0.001$, $\eta^2 = 0.26$) and retention interval ($F_{(1,88)} = 27.35$, $p < 0.001$, $\eta^2 = 0.24$) on the
389 hit rates, as well as a significant interaction effect ($F_{(4,85)} = 4.17$, $p < 0.005$, $\eta^2 = 0.16$,
390 **Figure. 3A**). Post-hoc tests indicated that facial expression modulated delayed
391 memory recognition (hit rate: $F_{(4,85)} = 10.18$, $p < 0.001$, $\eta^2 = 0.32$), but not immediate
392 memory recognition (hit rate: $F_{(4,85)} = 2.17$, $p = 0.08$). Within the delayed recognition,
393 paired t-test further suggested that hit rates for faces with both threatening facial
394 expressions (angry or fearful) were significantly higher as compared to faces with
395 non-threatening expressions (neutral or happy, respectively) (two-tailed $ps < 0.001$,
396 Holm-Bonferroni corrected). In contrast, no significant differences between
397 recognition performance of angry versus fearful as well as neutral versus happy faces
398 were observed, whereas that of sad faces ranged in between (**Supporting**
399 **Information**). To rule out the possibility that this long-term emotional memory

400 advantage of threatening faces was influenced by variations in the retention interval
401 (ranging from 653-1113 days), repeated-measures ANOVA on hit rate of the delayed
402 test was recomputed including interval day as a covariate and results remained stable
403 ($F_{(4,84)} = 2.43, p = 0.05, \eta^2 = 0.10$). Moreover, correlation analyses were conducted
404 between retention interval day and hit rate in each condition and these correlations
405 were not significant (all $ps > 0.05$, **Supporting Information**). To test whether this
406 long-term enhanced memory for threatening faces is a result of higher tendency to
407 respond “old” to threatening faces, two control analyses were conducted. First, we
408 compared the false alarm rates in delayed test with the chance level and the results
409 showed that the false alarm rates for both angry (one-sample t test: $t_{88} = 0.70, p =$
410 0.49) and fearful (one-sample t test: $t_{88} = 1.61, p = 0.11$, for other expression
411 conditions see **Supporting Information**) expression conditions were at chance level,
412 whereas the respective hit rates were higher than chance level (angry: one-sample t
413 test, $t_{88} = 3.00, p < 0.005$; fearful: one-sample t test, $t_{88} = 2.16, p < 0.05$; for other
414 expression conditions see **Supporting Information**). Correspondingly, the
415 comparisons between false alarm rates and chance level in immediate test are
416 provided in Supporting Information. Moreover, the false alarm rates between
417 expression conditions within the delayed test were not significantly different after
418 including interval as a covariate ($F_{(4,84)} = 1.08, p = 0.37$; although significant
419 difference without controlling for interval days, $F_{(4,85)} = 9.85, p < 0.001, \eta^2 = 0.32$),
420 whereas the false alarms rates during immediate recognition showed an emotion-
421 specific pattern ($F_{(4,85)} = 22.15, p < 0.001, \eta^2 = 0.51$; post-hoc test see **Supporting**

422 **Information).** Further control analysis was performed on arousal of the face
423 expressions. A hierarchical regression analysis suggested that the interaction of facial
424 expression and arousal did not reveal a significant effect ($\Delta R^2 = 0.068, p = 0.310$) on
425 the hit rate. Together, these results suggest that the long-term recognition memory
426 advantage for threatening faces was not influenced by interval days, false alarm rate,
427 and arousal.

428 To determine changes of memory in each facial expression condition over >1.5
429 years, pairwise comparisons on hit rates between the immediate and delay test for each
430 facial expression condition were conducted. Recognition performance for happy,
431 neutral and sad faces (two-tailed paired t-test: happy: $t_{88} = -5.25, p < 0.001$, Cohen's d
432 = 0.56; neutral: $t_{88} = -6.10, p < 0.001$, Cohen's d = 0.65; sad: $t_{88} = -3.21, p < 0.01$,
433 Cohen's d = 0.34, **Figure. 3A)** significantly declined during the 1.5-year retention
434 interval, whereas recognition for angry ($t_{88} = -1.65, p = 0.104$) and fearful ($t_{88} = -2.04$,
435 $p = 0.09$) faces remained unchanged after Holm-Bonferroni correction.

436 Together, the results indicated a long-term face recognition advantage of
437 threatening expressions (i.e., angry and fearful) and this advantage was driven by
438 decreased recognition of faces with non-threatening expressions including happy, sad
439 and neutral following a retention interval of 1.5 years.



440

441 **Figure 3. Behavioral results.** (A) Memory performance for each emotion expression condition in
442 the immediate and delayed memory test as displayed by hit rates and false alarms rates. Error bars
443 depict ± 1 SEM. (B) PCA on confidence ratings for target faces in the delayed test shows a
444 separation of facial expression conditions (color-coded) on memory performance along PC1 score
445 axis (angry and fearful faces: generally negative scores; happy, neutral, sad faces: generally
446 positive scores). The inset shows the distribution of PC1 scores for each facial expression
447 condition.

448 *3.1.2 Multivariate results*

449 Initial inspection of the response patterns (color-coded trial-wise response with
450 blue to yellow as the confidence increased, see **Figure. 2A**) revealed strong individual
451 variations in confidence ratings for expression-specific face images in the delayed test.
452 PCA was then applied to map the confidence ratings of all 50 target face images in the
453 2D geometrical space of PC1 and PC2. As expected, PC1 had a discriminative
454 variability that accounted for facial expression conditions with polarity: angry and
455 fearful faces (generally negative scores) versus happy, neutral, sad faces (generally
456 positive scores) (**Figure. 3B**). In particular, considering the localization of each face
457 trial along the PC1 axis as visual reference, angry and fearful were separated from
458 neutral and happy while sad was located in between. PC1 explained 12.40% of the

459 variance. The segregation pattern emerging from the amount of variance explained by
460 PC1 was significant compared with the null distribution (trustworthiness/ $p < 0.001$). In
461 line with the visual presentation, pairwise non-parametric Wilcoxon Signed-Rank tests
462 revealed significant differences between the facial expression conditions except for
463 angry vs. fearful and neutral vs. happy (see **Supporting Information**). The same PCA
464 procedure was also applied to the immediate test data and failed to detect a
465 discriminative memory pattern along the PC1 axis (see **Supporting Information**,
466 Figure S1). The lack of separation according to facial expression in the PCA is in line
467 with findings from the conventional univariate non-parametric approach (Wilcoxon
468 Signed-Rank tests) which did not reveal significant interaction effects between emotion
469 and recognition performance for the immediate test (**Supporting Information**).

470 To summarize, our univariate analyses suggested a long-term memory advantage
471 of threatening (i.e., angry and fearful) versus non-threatening (i.e., particularly happy
472 and neutral faces and to a lesser extent sad) faces, and this emotional expression effect
473 was further supported by the results from the multivariate approach.

474 3.2 Distinct long-term emotional face representation between subgroups

475 Given that the PC1 scores derived from the previous PCA revealed a group-level
476 emotional expression dimension with polarity (angry/fearful: generally negative scores;
477 happy/neutral/sad faces: generally positive scores, see **Figure. 3B**), we next conducted
478 the BPSA by correlating the individual confidence rating pattern with the PC1 score
479 pattern (i.e., template) in which a significant similarity reflects differential memory
480 between threatening versus non-threatening faces on the individual level, whereas a

481 lack of similarity reflects no differential memory between emotional expression
482 conditions. The BPSA approach successfully separated the subjects into discriminators
483 ($N = 43$, 21 males, $rs > 0.28$, $ps < 0.05$) and non-discriminators ($N = 46$, 23 males, $rs <$
484 0.28 , $ps > 0.05$). To further confirm the separable emotional face representations
485 between the two subgroups, we calculated the similarity between each discriminator's
486 multi-item discriminability pattern and the mean pattern of the discriminators (within-
487 group similarity) and compared it with the similarity between each non-discriminator's
488 multi-item discriminability pattern and the mean pattern of the discriminators (between-
489 group similarity). As expected, the within-discriminator group similarity was
490 significantly higher than between-group similarity ($t_{87} = 14.20$, $p < 0.001$, Cohen's $d =$
491 3.01), suggesting that the non-discriminators' discriminative patterns significantly
492 deviated from that of the discriminator group. The distinct memory pattern between the
493 two groups was further confirmed using a univariate method by comparing the
494 confidence ratings of the angry/fearful (bin), sad and happy/neutral (bin) faces, given
495 that both univariate and multivariate PCA results suggested a separation of recognition
496 performance among the three conditions. A significant 2-way interaction was detected
497 ($F_{(2,86)} = 20.49$, $p < 0.001$, $\eta^2 = 0.19$, **Figure. 4A**). Post-hoc tests showed significant
498 differences between all pairs of the expression conditions in the discriminators, but no
499 differences in the non-discriminators (**Figure. 4A, Supporting Information**). The
500 discriminators and non-discriminators did not differ with respect to gender, age,
501 retention interval, arousal rating for each facial expression condition and overall
502 recognition performance, arguing against confounding effects of these variables on the

503 long-term memory advantage of threatening expressions (for detailed results, see
504 **Supporting Information**). The distinguishable response patterns of the two subgroups
505 thus allowed us to conduct the fMRI analysis with sufficient power by group
506 comparison.

507 **3.3 Neural basis during encoding**

508 Behavioral results revealed that long-term recognition for angry and fearful faces
509 could be robustly separated from happy and neutral faces across univariate and
510 multivariate analyses, yet no clear pattern emerged for sad faces. Hence, the first-level
511 contrast of interest was modeled as threatening (angry + fearful) > non-threatening
512 (happy + neutral) expressions. The whole-brain group-comparison (discriminators vs.
513 non-discriminators) analysis revealed significant interaction effects in the left inferior
514 occipital gyrus (IOG, $k = 127$; peak MNI coordinates: -18, -82, -19; $t = -4.65$; two-tailed
515 $p_{\text{cluster-FWE}} < 0.05$, whole-brain corrected, **Figure. 4B**) and the right vmPFC/OFC ($k =$
516 131; peak MNI coordinates: 27, 32, -16; $t = -3.90$; two-tailed $p_{\text{cluster-FWE}} < 0.05$, whole-
517 brain corrected, **Figure. 4B**). Post-hoc analyses were performed with independent
518 masks that correspond to the location of the IOG (atlas label 205, cluster size = 271,
519 overlapping voxels = 42; **Figure. 4B**) and vmPFC/OFC (atlas label 46, cluster size =
520 356, overlapping voxels = 64; **Figure. 4B**) from the Brainnetome atlas (Fan et al., 2016).
521 Extraction of beta estimates from the masks showed that the discriminators responded
522 with lower activation for threatening vs. non-threatening faces than non-discriminators
523 in both the left IOG (post-hoc $p < 0.001$, two-tailed Bootstrap test, **Figure. 4C**) and the
524 right vmPFC/OFC (post-hoc $p < 0.001$, two-tailed Bootstrap test, **Figure. 4C**). A further

525 extraction of the estimates of the threatening and non-threatening face conditions
526 respectively from the masks suggested a distinct pattern of neural responses in the left
527 IOG and right vmPFC/OFC. That is, in the left IOG, the non-discriminators showed
528 higher reactivity towards threatening faces compared to non-threatening faces ($t_{45} =$
529 4.50, $p < 0.001$, Holm-Bonferroni corrected, Cohen's $d = 0.66$) while there was no
530 difference in discriminators ($t_{42} = -0.49$, $p = 0.63$, **Figure. 4D**). Conversely, the
531 discriminators responded less to threatening faces compared to non-threatening faces
532 ($t_{42} = -3.66$, $p < 0.01$, Holm-Bonferroni corrected, Cohen's $d = 0.56$) whereas the non-
533 discriminators showed no difference in the right vmPFC/OFC ($t_{45} = 1.72$, $p = 0.09$,
534 **Figure. 4E**). These results further suggest that the separation based on the behavioral
535 response patterns allowed the determination of groups with differential neural activity
536 patterns. Against our expectations, the examination of the bilateral amygdala with SVC
537 did not reveal a significant interaction effect.

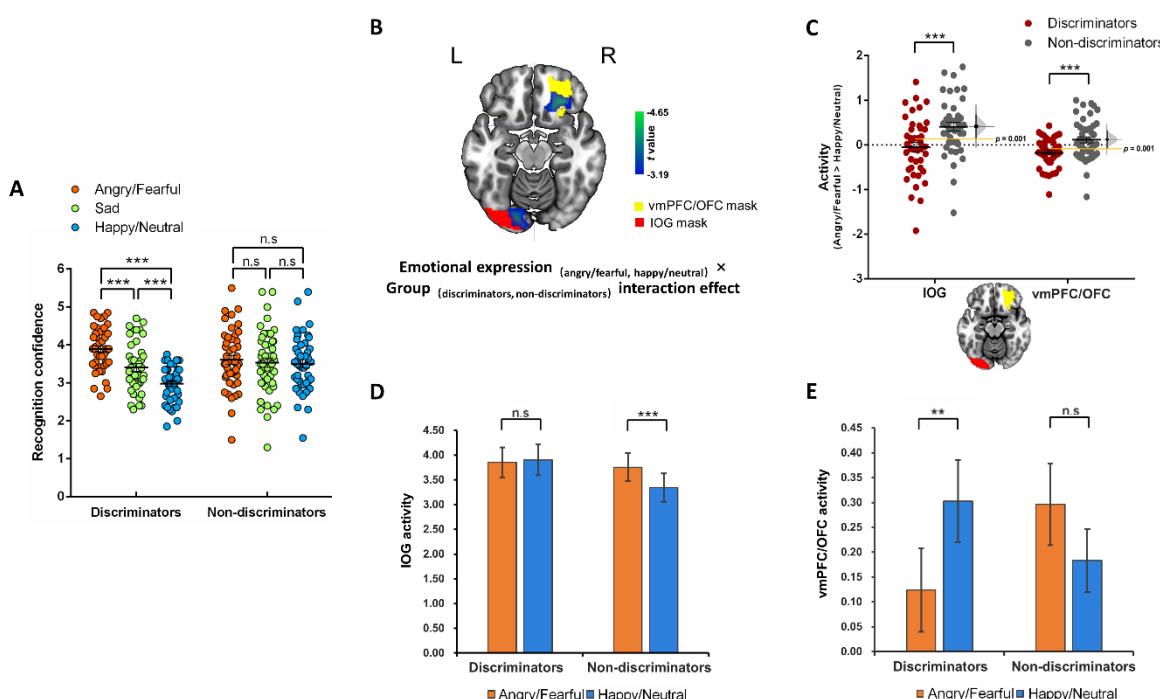
538 Additionally, examining the main effects of the emotional expression and group
539 revealed that the bilateral middle temporal gyrus (right MTG: $k = 295$, peak MNI
540 coordinates: 60, -55, -1, $t = 5.52$, $p_{\text{cluster-FWE}} < 0.05$, whole-brain corrected; left MTG: k
541 = 189, peak MNI coordinates: -54, -58, 2, $t = 4.50$, $p_{\text{cluster-FWE}} < 0.05$, whole-brain
542 corrected, see **Supporting Information, Figure S2**) and the left fusiform gyrus ($k =$
543 130, peak MNI coordinates: -33 -73, -16, $t = 4.29$, $p_{\text{cluster-FWE}} < 0.05$, whole-brain
544 corrected, see **Supporting Information, Figure S2**) exhibited higher reactivity
545 towards threatening vs. non-threatening faces during encoding irrespective of group,
546 whereas no significant main effect of group was observed, arguing against unspecific

547 face encoding differences between the groups.

548 In brief, these results demonstrated that differential reactivity to threatening vs,

549 non-threatening faces in the left IOG and the right vmPFC/OFC during encoding might

550 contribute to the maintenance of long-term memory for threatening faces.



551 **Figure 4. The interaction effect of neural responses at encoding.** (A) ISC successfully separated
552 the subjects into discriminators (significant differences between angry/fearful, sad and
553 happy/neutral conditions) and non-discriminators (non-significant differences). (B) Whole-brain
554 analysis revealed significant emotional expression (angry/fearful vs. happy/neutral) by group
555 (discriminators vs. non-discriminators) interaction effects in the left inferior occipital gyrus (IOG)
556 and right ventromedial prefrontal/orbitofrontal cortex (vmPFC/OFC). The activation map is
557 displayed at $p < 0.05$ cluster-level FWE correction with a cluster-forming threshold $p < 0.001$,
558 overlaid on the masks from Brainnetome atlas which were subsequently used to extract parameter
559 estimates. (C-E) Post-hoc tests on the extracted parameter estimates from the left IOG and right
560 vmPFC/OFC (beta estimates) as defined by independent masks from the Brainnetome atlas.
561 Discriminators responded with lower activation for threatening vs. non-threatening faces than non-
562 discriminators in both the left IOG and the right vmPFC/OFC (C). The left IOG and the right
563 vmPFC/OFC exhibited distinct neural reactivity patterns such that there was higher reactivity
564 towards angry/fearful faces in the left IOG of non-discriminators (D), whereas the discriminators
565 responded less to angry/fearful faces in the vmPFC/OFC (E). *** $p < 0.001$, ** $p < 0.01$, Holm-
566 Bonferroni corrected.

567

568 **4. Discussion**

569 The present study systematically examined the impact of emotional facial
570 expressions during initial encounters on recognition following a retention interval of >
571 1.5 years. In line with our hypothesis, we found evidence that individuals better
572 recognized threatening faces, particularly angry and fearful ones, as compared to non-
573 threatening faces following the long-term retention interval. The emotional expression-
574 specific recognition advantage was not present directly after encoding and the long-
575 term advantage of threatening faces was driven by decreased recognition of non-
576 threatening faces over the retention period. Multivariate analyses further supported this
577 finding by showing a separation according to emotional face expression following the
578 long-term retention interval but not during immediate recognition. Moreover, the
579 expression-specific face recognition pattern exhibited considerable inter-subject
580 variation and a data-driven ISC demonstrated that approximately half of the subjects
581 demonstrated discriminative emotional face representation (discriminators) on the
582 behavioral level while the other half did not (non-discriminators). Examination of
583 neural activation differences during encoding between these groups revealed that
584 discriminators and non-discriminators exhibited different activation patterns in the IOG
585 and the vmPFC/mOFC in response to threatening vs. non-threatening faces suggesting
586 that different encoding of the face emotions in these regions may precede the
587 differential memory patterns 1.5 years later. Together, the present findings demonstrate
588 that threatening expressions during incidental encounters may facilitate long-term face

589 recognition and that differential encoding in the IOG and vmPFC/mOFC may
590 contribute to expression-associated recognition differences.

591 Previous studies examining effects of facial expression on face recognition
592 memory used relatively short retention intervals ranging from minutes to weeks (e.g.,
593 Anderson et al., 2006; Grady et al., 2007; Pinabiaux et al., 2013; Wang, 2013; Xiu et
594 al., 2015). The present study demonstrated for the first time enhanced memory for faces
595 with threatening expressions relative to non-threatening faces after an extensive period
596 of at least 1.5 years consistent with findings in long-term emotional face recognition
597 after a 24-h delay (Wang, 2013). The long-term memory-enhancing effect of
598 threatening facial expressions is moreover in line with studies showing a memory
599 advantage of negative non-facial (i.e., scenes) stimuli after a retention interval of 1 year
600 (Dolcos et al., 2005; Erk et al., 2010; Gavazzeni et al., 2012). No differences between
601 facial expression conditions were observed in the immediate test, which is consistent
602 with some previous studies showing a lack of emotional facial expression modulation
603 during immediate memory (Anderson et al., 2006; Grady et al., 2007; Satterthwaite et
604 al., 2009; Xiu et al., 2015). However, other studies reported better memory for negative
605 faces during immediate face recognition (Grady et al., 2007; Pinabiaux et al., 2013;
606 Wang, 2013). Methodological differences between experiments may account for this
607 discrepancy. For example, the specific emotional expression tested and the number of
608 face stimuli per emotional category varies between studies (Anderson et al., 2006; Xiu
609 et al., 2015; but see Grady et al., 2007; Wang, 2013). Besides, previous studies only
610 tested a small number of subjects ($N < 50$) which may result in a lack of statistical power.

611 Moreover, the mixed findings from previous studies might also attribute to the
612 heterogeneity of sample characteristics such as age and gender which has been reported
613 to be related to general face recognition memory (e.g., Grady et al., 1995; Sommer et
614 al., 2013). For instance, while a study reported enhanced recognition for fearful
615 compared to neutral faces in adolescents (Pinabiaux et al., 2013), the emotional
616 modulation was neither observed in children (Pinabiaux et al., 2013) nor in older adults
617 (Grady et al., 2007). Additionally, different analytical approaches may have contributed
618 to the divergent results such that the previous studies generally conducted univariate
619 analytic approaches which might dismiss individual differences related to response
620 variability due to averaging. Taken together, differences in the experimental design,
621 sample characteristics and analytic approach may have contributed to the divergent
622 results. Our study partly overcame some of the previous limitations by examining
623 emotional expression effect in a large sample of young adults with balanced gender and
624 incorporating both univariate and data-driven multivariate approach analyses.

625 The time-dependent effects of emotion on recognition found in our study (i.e.,
626 enhanced emotional memory after a long-term delay but not immediately after encoding)
627 were in line with prior studies using non-face emotional stimuli such as words or scenes
628 (Sharot & Phelps, 2004; Sharot & Yonelinas, 2008), which indicated enhanced
629 recognition for negative compared to neutral stimuli after a 24-h delay, but not
630 immediately after encoding. Moreover, these previous studies reported that recognition
631 of neutral stimuli decreased over time while recognition of negative stimuli remained
632 the same after a 24-h retention interval (Sharot & Phelps, 2004; Sharot & Yonelinas,

633 2008). This pattern resembles our present observation on time- and facial emotion-
634 expression dependent changes in recognition memory between the immediate and
635 delayed test. The time-dependent emotion advantage has previously been robustly
636 demonstrated for non-facial stimuli indicating that the enhanced recognition of
637 emotional stimuli emerges after a delay period, suggesting a consolidation-dependent
638 effect (Cahill & McGaugh, 1998; Talmi, 2013; Yonelinas & Ritchey, 2015). Our
639 observation that threatening face memory persisted while non-threatening face memory
640 decreased after a retention interval of 1 year might thus point to a role of emotional
641 expression-specific consolidation of face memory. From an evolutionary perspective,
642 maintaining recognition of threatening faces over long intervals may represent an
643 adaptive and survival-relevant mechanism (Staugaard, 2010), whereas faces with non-
644 threatening expressions are of lower significance for future encounters thus were prone
645 to be forgotten over time (Dunsmoor, Murty, Davachi, & Phelps, 2015). Although
646 emotion may have beneficial effects on immediate recognition, perhaps due to emotion-
647 associated enhanced selective attention during perception or encoding (Feldmann-
648 Wüstefeld, Schmidt-Daffy, & Schubö, 2011; Gable & Harmon-Jones, 2012;
649 Vuilleumier, 2002), the present findings suggest that the memory advantage for
650 threatening faces increases with retention interval reflecting indirect effects on
651 consolidation.

652 Notably, additional control analyses suggest that the long-term memory-enhancing
653 effect of threatening facial expressions could not completely be explained by factors
654 such as arousal at encoding or an expression-specific tendency to respond items as

655 previously seen. That is, while an expression-specific variation in the false alarm rates
656 was observed at both, immediate and delayed recall, false alarm rates were similar
657 across the emotion expressions when variations in the retention interval were controlled
658 for and false alarm rates for threatening faces were at chance level in the delayed
659 recognition test.

660 In addition, previous studies investigating emotional memory advantage
661 emphasized the role of arousal (Bradley et al., 1992; Hamann et al., 2001; LaBar &
662 Phelps, 1998; Sharot & Phelps, 2004). Indeed, arousal may bias processing toward
663 salient information that gains processing priority and thus contribute to enhanced
664 consolidation (Mickley Steinmetz, Schmidt, Zucker, & Kensinger, 2012; Ritchey et al.,
665 2008). However, the enhanced memory for threatening faces in our study is unlikely
666 explained by arousal because a hierarchical regression analysis revealed a non-
667 significant interaction of facial expression category by arousal rating on memory
668 performance. Further comparison of arousal ratings for each expression between
669 discriminators and non-discriminators also indicated that arousal was not significantly
670 different in subjects with different emotional face representation patterns (see
671 **Supporting Information**).

672 An exploratory analysis further examined the brain systems that may promote the
673 long-term beneficial effects of threatening expressions. To this end we capitalized on
674 the PC1 scores derived from the PCA and individual differences in emotional face
675 representation and separated the subjects into discriminators who demonstrated
676 discriminative expression-specific face memory patterns (threatening vs. non-

677 threatening) and non-discriminators who did not use ISC analysis. The groups that
678 were generated based on their behavioral response patterns were subsequently used to
679 inform the fMRI analyses and thus allowed us to examine neural differences between
680 behaviorally separable groups instead of comparing remembered versus non-
681 remembered stimuli on the individual level. Due to the comparably low hit rate, the
682 latter approach would have suffered from a low robustness of the estimation of the
683 neural correlates while the former approach provided a sufficiently powered strategy to
684 explore the neural basis which may underlie the long-term memory advantage for
685 threatening faces. We observed higher activity in response to threatening (i.e.,
686 angry/fearful) faces relative to non-threatening (i.e., happy/neutral) faces in the left IOG
687 in non-discriminators, and lower activity for threatening relative to non-threatening
688 faces in the right vmPFC/OFC in discriminators, suggesting that differential encoding-
689 related activity in these regions may underlie individual differences in the long-term
690 memory advantage for threatening faces. The IOG, also referred to as occipital face
691 area (OFA), plays a prominent role in the core face network which has been suggested
692 to support the initial stage of face-specific processes and to provide input to other face-
693 responsive regions (Haxby et al., 2000; Liu, Harris, & Kanwisher, 2002; Pitcher, Walsh,
694 & Duchaine, 2011). Convergent evidence suggests that this region is involved in the
695 processing of different face properties including face identity and expression (Cohen
696 Kadosh, Soskic, Iuculano, Kanai, & Walsh, 2010; Haxby et al., 2000), such that e.g.
697 transcranial magnetic stimulation targeting this region induces decreased accuracy
698 during simultaneous face identity and expression processing (Cohen Kadosh et al., 2010)

699 or patients suffering from acquired prosopagnosia due to OFA damage exhibit deficits
700 in both facial identity and expression recognition (Calder & Young, 2005; Rossion et
701 al., 2003). In contrast to the OFA, the OFC is a part of the extended face network which
702 supports social-emotional aspects of face processing, specifically value-based emotion
703 and reward information (Haxby et al., 2000; Ishai, 2008; O'Doherty, Critchley,
704 Deichmann, & Dolan, 2003). Several neuroimaging studies reported that negative
705 emotional pictures, including angry and fearful faces elicited strong activation in the
706 OFC (Dougherty et al., 1999; Northoff, 2000; Satterthwaite et al., 2009). Moreover, the
707 prefrontal cortex including the OFC contributes to emotional memory and learning
708 (Kumfor, Irish, Hodges, & Piguet, 2013; Rolls, 2019). A previous study showed a
709 subsequent memory effect for emotional faces in the medial frontal cortex including the
710 OFC immediately after encoding (Xiu et al., 2015). Interestingly, this study further
711 reveals that emotional face expression affects the effective connectivity from IOG to
712 OFC and that the strengths of the influence of the IOG over the OFC is negatively
713 correlated with memory performance of faces with negative, neutral and positive
714 expressions. The findings of the present study that the left IOG and the right
715 vmPFC/OFC exhibited distinct emotion-dependent response patterns in non-
716 discriminators and discriminators suggest that the behavioral dissociation in long-term
717 memory formation of emotional faces may result partly from the two different encoding
718 mechanisms in early face processing regions (i.e., IOG) and value processing regions
719 (i.e., OFC). Notably, on the behavioral level no differences were observed during
720 immediate recognition, suggesting that differential activation in these regions may be

721 associated with individual variation during memory consolidation. Further
722 investigations are invited to explore the interplay between regions during encoding or
723 consolidation in predicting individual differences in long-term emotional face memory.

724 In contrast to our hypothesis, we did not observe differential coding of threatening
725 vs. non-threatening faces in the amygdala between discriminators and non-
726 discriminators. This might be partly explained by previous observations that the
727 amygdala responds equally to positive, negative and neutral faces during encoding
728 (Adolphs, 2010; Ball et al., 2009). Although previous studies have indicated that
729 amygdala activation at encoding predicted greater subsequent memory for emotional
730 compared to neutral scenes after a 1-year delay (Erk et al., 2010; Dolcos et al., 2005),
731 these findings are based on the neural activity of remembered versus forgotten items
732 for emotional versus neutral pictures. Given that the low number of hits for each
733 expression condition after the long retention interval might not support a sufficiently
734 powered trial-wise fMRI analysis (e.g., Becker et al., 2017), we did not conduct such
735 an analysis but rather employed an individual difference approach which compared all
736 trials in threatening vs. non-threatening conditions instead, which might explain the
737 lack of amygdala findings. Moreover, the main effect of emotional expression
738 suggested that threatening expressions elicited stronger activity than non-threatening
739 faces in fusiform gyrus and MTG during encoding, which is consistent with previous
740 meta-analytic findings showing similar results (Sabatinelli et al., 2011; for a review, see
741 Vuilleumier & Pourtois, 2007).

742 The findings of the present study need to be considered in the context of the

743 strengths and limitations of the study design. First, the application of data-driven
744 multivariate PCA allowed us to detect hidden pattern in the confidence ratings in a
745 hypothesis-free manner. The consistent results with those found in univariate analysis
746 not only show the robustness of the finding about long-term emotional expression
747 effects, but also illustrate the potential of multidimensional data-driven methods for
748 analyzing behavioral responses. Although the first component retained only 12.4% of
749 the original variance which might be due to the noise associated with the long retention
750 interval, the PCA did generate separate clusters (see Figure. 3B) and the PC1 separation
751 was statistically significant according to a non-parametric trustworthiness test,
752 suggesting that this approach successfully identified distinguishable latent groups of
753 facial expressions based on the recognition confidence ratings. More importantly, the
754 extracted scores of PC1, representing a discriminative variability that accounted for the
755 facial expression categories, promoted the BPSA by correlating the PC1 scores with
756 each subject's confidence rating pattern. This multivariate method allowed us for the
757 first time to examine whether some individuals were distinct from others in long-term
758 emotional face recognition by examining the individual face representation (i.e., multi-
759 item confidence rating pattern) in the context of a group-level emotion-specific
760 discriminative memory performance (indexed by PC1 scores). Compared to traditional
761 univariate methods, multivariate methods (e.g., the proposed BPSA) capitalize on the
762 multivariate distribution of data which captures a wider range of information about all
763 conditions and has higher sensitivity in revealing the individual differences in data
764 representation (Haxby, 2012). Specifically, the present BPSA took the principal

765 component score pattern as a response template which serves to describe the individual
766 response pattern with a specific definition associated to the reduced dimension, whereas
767 previous studies used mean score/activity pattern as a template (e.g., Aly & Turk-
768 Browne, 2016; Tian et al., 2019). Our method could support a broader examination of
769 multidimensional data (e.g., behavioral, gene or fMRI data) comprising of several
770 conditions by calculating the association between individual feature pattern and the
771 pattern template generated by unsupervised dimensional reduction (e.g., PCA,
772 minimum curvilinear embedding, Miendlarzewska et al., 2018).

773 On the other hand, the small number of face images and the low number of hits for
774 each expression condition did not permit a sufficiently powered trial-wise fMRI
775 analysis comparing remembered versus non-remembered items as in the majority of
776 previous studies examining emotional memory effects (e.g. Becker et al., 2017; Dolcos
777 et al., 2005). Future studies using a larger set of face stimuli of each expression are
778 needed to further explore the subsequent memory effect of emotional expression.
779 Moreover, to examine the long-term memory effect with higher sensitivity, a
780 dimensional confidence rating was used at the long-term retention interval while the
781 immediate recognition test implemented a forced choice response. Although previous
782 studies used a similar approach to convert dimensional confidence ratings into binary
783 responses (Weymar, Löw, & Hamm, 2011; Xiu et al., 2015), the results of direct
784 comparison between immediate and delayed recognition should be interpreted with
785 caution. Furthermore, previous studies investigating the immediate recognition of
786 neutral faces that were previously presented with threatening (angry or fearful) or non-

787 threatening (happy or sad) expressions and found no differences in recognizing neutral
788 faces with threatening versus non-threatening expressions (Satterthwaite et al., 2009),
789 suggesting that the “first impression” created by facial expression did not affect
790 subsequent recognition of face identity. However, whether such a “first impression” has
791 an effect on long-term face identity recognition despite a neutral expression during
792 recognition remains to be addressed in future studies. Notably, our study uncovered the
793 encoding-related neural basis of the emotional expression effects on long-term face
794 memory. However, the memory enhancement of emotion has also been attributed to
795 consolidation and retrieval processes (Dolcos et al., 2005; Ritchey et al., 2008; Schmidt
796 & Saari, 2007; Sharot, Verfaelli, & Yonelinas, 2007). Investigations of neural
797 mechanism during consolidation and retrieval stage may thus provide a more
798 comprehensive understanding of the formation of long-term emotional face memory.
799 Finally, in line with our research goal the discriminative face recognition pattern was
800 determined on the level of emotion categories. Future studies may aim to further
801 disentangle neural activity during item-specific face memory formation within each
802 emotional expression category or across emotions to explore different encoding patterns
803 for face stimuli that are on average highly memorable.

804

805 **Conclusions**

806 Our study provides the first evidence for a recognition advantage of threatening
807 faces after a long-term interval of >1.5 years. Exploratory analyses further suggested
808 that individuals who exhibited the memory advantage for threatening faces showed

809 differential encoding of threatening versus non-threatening faces in the left IOG and right
810 vmPFC/mOFC as compared to individuals who did not show a discriminative
811 emotional face memory, suggesting that encoding-related activity in the occipital visual
812 cortex and medial prefrontal cortex may play a role in the formation of long-term
813 emotional face memory. These findings extend the theory of long-term emotional
814 memory towards facial stimuli and shed new light on the encoding-related neural basis
815 of preserved memory for faces with threatening expressions.

816

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819

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826

827 **Conflict of interest**

828 The authors declare no competing financial interests.

829

830 **Data availability statement**

831 Behavioral data are available from the corresponding author upon reasonable
832 request. The code for the multivariate data analysis PC-corr MATLAB code is
833 available via https://github.com/biomedical-cybernetics/PC-corr_net. Statistical
834 images of main analyses can be found at <https://neurovault.org/collections/9071/>.

835

836 **Supporting Information**

837 Additional Supporting Information may be found online in the supporting
838 information tab for this article.

839

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