

1 **Stabilization demands of walking modulate the vestibular contributions to gait**

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Abstract [200 words]

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Stable walking relies critically on motor responses to signals of head motion provided by the vestibular system, which are phase-dependent and modulated differently within each muscle. It is unclear, however, whether these vestibular contributions also vary according to the stability of the walking task. Here we investigate how vestibular signals influence muscles relevant for gait stability (medial gastrocnemius, gluteus medius and erector spinae) – as well as their net effect on ground reaction forces – while humans walked normally, with mediolateral stabilization, wide and narrow steps. We estimated local dynamic stability of trunk kinematics together with coherence of electrical vestibular stimulation (EVS) with muscle activity and mediolateral ground reaction forces. Walking with external stabilization increased local dynamic stability and decreased coherence between EVS and all muscles/forces compared to normal walking. Wide-base walking also decreased vestibulomotor coherence, though local dynamic stability did not differ. Conversely, narrow-base walking increased local dynamic stability, but produced muscle-specific increases and decreases in coherence that resulted in a net increase in vestibulomotor coherence with ground reaction forces. Overall, our results show that while vestibular contributions may vary with gait stability, they more critically depend on the stabilization demands (i.e. control effort) needed to maintain a stable walking pattern.

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Introduction

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The stability of walking is commonly assessed as the ability to maintain upright locomotion in the presence of self-generated and/or external perturbations ¹⁻³. To ensure stable walking, the nervous system relies on the integration and modulation of sensory signals from visual, somatosensory and vestibular sources to generate ongoing and/or corrective postural responses throughout the different phases of the gait cycle ⁴⁻⁷. The vestibular system, for instance, which encodes signals of head movement in space ⁸, is assumed to contribute to gait stability because impaired gait is often observed in vestibulopathic patients ^{9,10}. Recent studies have further revealed that vestibular contributions to locomotion undergo phase- and muscle-specific responses that appear to align with each muscle's functional role in gait stability ^{11,12}. Specifically, the vestibular contributions to mediolateral stability during walking may be related to mediolateral foot placement produced by muscles around the hip ^{11,13,14} and to ankle torque at push-off driven by muscles of the ankle ¹⁵. In the sagittal plane, however, corrective responses to a vestibular disturbance are almost entirely absent throughout the gait cycle ¹⁶. Because stability in the sagittal plane is maintained largely passively ¹⁷ – due to passive dynamics of the legs ¹⁸ – it may be possible that the control of whole-body stability during locomotion requires less feedback-driven control as compared to the frontal plane ¹⁶. Thus, the question arises whether changes in the stability of walking in the mediolateral plane also influences the vestibular contribution to stability during locomotion. Therefore, our aim is to identify how vestibular contributions to balance are modulated across varying stabilization demands of walking by characterizing changes in coupling of vestibular input to motor outputs during externally-imposed and natural variations in stability.

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Experimentally, gait stability can be improved by adding external lateral stabilization to the body, thereby removing the need for the nervous system to control upright balance in the mediolateral direction ¹⁹⁻²¹. Under these stabilized conditions, step width variability, trunk and pelvis motion and premotor cortical involvement all decrease compared to normal walking ²²⁻²⁵. These observations indicate a reduced need to actively control gait stability, and as a result, may also diminish the necessity for vestibular sensory feedback control. This may be similar to observations during upright standing, where compensatory responses to mediolaterally-directed vestibular disturbances are absent when participants are stabilized in the mediolateral direction, despite having to maintain balance in an anterior-posterior direction ²⁶. Therefore, we first hypothesized that external lateral stabilization of the body would diminish the muscle and whole-body responses to a mediolateral vestibular disturbance during locomotion.

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Natural changes in gait are also thought to influence stability. For instance, adopting a wider step width has been described as a response to decreased lateral stability during locomotion ^{22,27}, and seems to be an effective approach to increase margins of stability (i.e. base of support) in older adults ^{28,29}. If the vestibular system influence on gait is tightly coupled to stability, then similar to external stabilization, we expected that the evoked muscle and whole-body responses to a vestibular

105 disturbance should diminish when walking with wider steps. On the other hand, narrow-base walking
106 requires increased effort to control gait stability in the frontal plane in young and old adults^{30,31}, since
107 the margins of stability, or tolerance for errors, are substantially reduced^{32,33}. These observations,
108 however, seem to contrast with measures of local dynamic stability, which quantify the likelihood of
109 departing from a steady-state gait pattern in the absence of external disturbances, and instead
110 indicate increased gait stability during narrow-base walking and decreased gait stability during wide-
111 base walking^{31,34}. We aim to test a potential explanation for this conflict by examining whether the
112 increased local dynamic stability observed when walking with narrow step width is (partially)
113 subserved by increased vestibular sensory feedback. Indeed, support for this is seen by the
114 additional contribution of vestibular signals (via the lateral vestibular nuclei) to limb muscle activity
115 in mice when walking on a narrow beam that is absent when walking on level ground³⁵. Here, we
116 tested these hypotheses by comparing muscle and whole-body responses evoked by a vestibular
117 disturbance – together with estimates of stability – across normal, mediolaterally stabilized, wide-
118 base and narrow-base walking.

119 **Methods**

120 *Participants*

121 We measured 23 healthy young adults between 24 and 33 years recruited from the university
122 campus. Twelve participants were excluded during data analysis due to technical problems in the
123 collection of electromyography (n=3) or kinematic data (n=9) in any of the trials recorded. Here we
124 present results from eleven young adults (four females, 28.5 ± 2.9 years old, 71.6 ± 8.6 kg and
125 1.77 ± 0.10 m, body mass index 22.2 ± 3.1 kg/m²). Exclusion criteria included self-reported history of
126 injury and/or dysfunction of the nervous, musculoskeletal or vestibular systems, or the use of
127 medications that can cause dizziness. Participants were also instructed not to participate in intense
128 physical exercise on the day of the experiment. The participants agreed to participate in the study
129 by signing the informed consent form, and the study was approved by the VU Amsterdam Research
130 Ethics Committee (VCWE-2017-158).

131 *Electrical vestibular stimulation*

132 A continuous electrical vestibular stimulus (EVS) was used to deliver an isolated vestibular
133 disturbance to participants during all walking trials. Coupling of the electrical stimulus with muscle
134 activity and ground reaction forces was quantified over the gait cycle to determine the magnitude
135 and timing of the vestibular contribution to ongoing muscle and whole-body responses. The electrical
136 stimulus modulates the afferent firing rate of both semicircular canal and otolith afferents³⁶⁻³⁸, and
137 when delivered in a binaural-bipolar configuration, EVS evokes a sensation of head roll rotational
138 velocity³⁹ about an axis directed posteriorly and superiorly by 18° relative to the Reid plane⁴⁰⁻⁴².
139 When the head is facing forward, this stimulus configuration results in a postural response in the
140 frontal plane to compensate for the induced roll error signal^{16,26,43-46}.

141 The electrical stimulus was applied to participants using flexible carbon rubber electrodes (9
142 cm²). The electrodes were coated with Spectra 360 electrode gel (Parker La, USA) and fixed to
143 participants' mastoid processes using adhesive tape and an elastic head band. The stimulus was
144 delivered as an analog signal via a data acquisition board (National Instruments Corp., Austin, TX,
145 USA) to an isolated constant current stimulator (STMISOLA, Biopac, Goleta, CA, USA). All
146 participants were exposed to the same stochastic EVS designed with a limited bandwidth of 0 to 25
147 Hz⁴⁷, zero-mean low-pass filtered white noise, 25 Hz cutoff, zero lag, fourth-order Butterworth, peak
148 amplitude of 5.0 mA, root mean square of ~ 1.2 mA, lasting 8 minutes and created with Matlab
149 software (MathWorks, Natick, MA, USA). Because this binaural-bipolar stimulus oscillates around a
150 zero-mean, the imposed sensations of roll motion, and the accompanying compensatory responses,
151 occur in both a left and right direction.

152 *Protocol*

153 Participants walked on a dual-belt treadmill at a belt speed of 0.8 m/s in four different
154 conditions: normal walking, stabilized walking, wide-base walking, and narrow-base walking. During
155 normal walking and stabilized walking, participants were instructed to walk with their naturally
156 preferred step width. Stabilized walking was achieved using a custom-made spring-loaded
157 mediolateral pelvic stabilization frame. The stabilization frame was attached through springs to two
158 carts that allowed for movement in the anterior-posterior direction. The springs were pre-tensioned
159 to provide a stabilizing stiffness of 1260 N/m⁴⁸ and the height of the carts was aligned with the height
160 of the pelvis for each subject⁴⁹. During wide-base walking, participants were instructed to increase
161 their step width beyond the approximate width of their hips. During narrow-base walking, participants
162 were instructed to adopt a step width smaller than both the width of their hips and their usual step
163 width. Throughout wide- and narrow-base trials, participants received repeated verbal instruction to
164 maintain wider and narrower step widths, respectively, compared to normal walking. Participants
165 walked in each condition for 8 minutes while being exposed to continuous EVS and were guided by
166 the beat of a metronome at 78 steps/min to control for effects of varying cadence on vestibular
167 contributions during locomotion^{11,12}. The walking speed of 0.8 m/s and cadence 78 steps/min were
168 chosen to replicate the conditions of Dakin et al. (2013)¹¹. These walking parameters also ensure
169 that vestibular-evoked balance responses, which are known to decrease as velocity and cadence
170 increase^{11,12}, could be measured throughout the gait cycle.

171 Prior to starting the experiments, participants were allowed to walk for 3-4 minutes to
172 familiarize themselves with walking on the treadmill at the specific cadence and with the electrical
173 stimulus. In addition, participants walked for 2 minutes in each condition before the electrical stimulus
174 was applied, which together with the familiarization period was considered a sufficient exposure
175 period to remove acclimatization effects of walking on a treadmill^{50,51}. Trial order for the different
176 walking conditions was also randomized for each subject and subjects were given a short 5 minute
177 break between trials to limit the influence of any long-term habituation to the electrical stimulus

178 throughout the walking trials ⁵². Finally, participants maintained their head in a slightly extended
179 position with the Reid's plane pitched ~18° up from horizontal ^{40,41} by keeping a headgear-mounted
180 laser on a target located 3 m in front of them. This head position was chosen to maximize the
181 amplitude of vestibulomotor balance responses in the mediolateral direction ^{41,53,54}.

182 *Instrumentation*

183 Kinematic data were recorded using a 3D motion capture system (Optotrak, Northern Digital
184 Inc., Waterloo, Ontario, Canada) sampling at 100 samples/s. Clusters of three light emitting diodes
185 (LED) were positioned at the occipital lobe, the spinous process of the sixth thoracic vertebra (T6),
186 the posterior superior iliac spine and at the calcaneus bilaterally. Ground reaction forces (GRF) were
187 measured from each belt by force plates embedded in the treadmill (Motekforce Link, The
188 Netherlands) at a sampling rate of 200 samples/s. In addition to analysis of the coupling between
189 EVS and mediolateral forces as described below, these signals were used for the identification of
190 toe-off and heel-strike and gait events.

191 Surface electromyography (EMG) (TMSI Porti system, TMSI Enschede, the Netherlands)
192 was collected at 2000 samples/s bilaterally from the medial gastrocnemius, gluteus medius and
193 erector spinae muscles, using pairs of disposable self-adhesive Ag/AgCl surface electrodes (Ambu,
194 Balerrup, Denmark; model Blue sensor; diameter 30x22mm) for each muscle. Electrodes were
195 placed over the recorded muscles according to SENIAM electrodes placement recommendations ⁵⁵
196 after abrading and cleaning the skin with alcohol. A reference electrode was placed over the medial
197 bony part of the left wrist (styloid process). The three muscles measured were chosen based on their
198 supposed roles in different stabilizing strategies that may be employed throughout the gait cycle and
199 across walking conditions. The medial gastrocnemius muscle (and other ankle plantarflexors) act as
200 the foot's prime movers during normal walking ⁵⁶ and are most sensitive to vestibular input in the
201 late stance phase ^{11,12,15} when modulating push-off force. The gluteus medius muscle contributes to
202 foot placement strategies; its activity is correlated to the next foot placement ^{57,58} and is most
203 sensitive to imposed vestibular errors just prior to heel strike ¹¹. Finally, trunk muscles serve to
204 directly influence trunk motion relative to the pelvis and are primarily activated to stabilize the trunk
205 during weight transfer around heel strike ^{59,60}. Therefore, erector spinae muscle may be especially
206 suited to contribute to angular momentum control of the torso during narrow base walking ⁶¹ when
207 push-off modulation and foot-placement are rendered ineffective.

208 *Data analysis*

209 Force-plate data were used first to calculate center of pressure positions, which were in turn
210 used to identify heel contacts ⁶². From these estimates, stride time was calculated as the duration
211 between two consecutive heel strikes of the same foot and the step width was determined as the
212 mediolateral distance between the centroids of the feet cluster markers at heel strike. These
213 measures of limb kinematics (stride time and step width) were compared across walking conditions
214 to determine whether participants adhered to our instructions (that is, walk at the metronome-guided

215 cadence and with a modified step width). We compared muscle activity across each walking
216 condition after rectifying and low-pass filtering (20 Hz cutoff, zero lag, sixth order Butterworth) the
217 EMG signals and then time-normalizing the data per stride and averaging the data across the 256
218 strides. Prior to averaging, each rectified and low-pass filtered EMG signal was normalized to the
219 maximum amplitude across all conditions.

220 To assess changes in local dynamic stability during the different walking conditions, we
221 estimated the local divergence exponent (LDE). Measures of local dynamic stability for walking
222 indicate the ability for a participant to return to the steady-state periodic motion after infinitesimally
223 small perturbations ⁶³, which occur, for example, through natural variability in the walking surface or
224 the neuromuscular system. These measures have been shown to be particularly useful for detecting
225 patients at risk of falling ³. The LDE measures the exponential rate of divergence of neighboring
226 trajectories of a state space constructed from kinematic data of gait ⁶⁴, whereby an increasing LDE
227 indicates reduced stability. We calculated the LDE using Rosenstein's algorithm ⁶⁵ and as input the
228 velocity of a marker placed over the T6 vertebrae, which was estimated using a three-point
229 differentiation of the position trace ⁶⁶. Velocity time series were first resampled so that each time
230 series of 256 strides (the minimum number of strides collected from every participant) contained
231 25600 samples. The LDE was then calculated as the slope of the mean divergence curve, whose
232 horizontal axis was normalized by stride time from 0-0.5 stride ^{67,68}.

233 To examine the vestibulomotor coupling between the input stimulus (i.e. EVS) and motor
234 output (EMG and ground reaction forces) across our conditions, we computed the time-frequency
235 coherence and gain assuming a linear stimulus-response relationship ⁶⁹. Prior to estimating
236 coherence and gain, EVS, EMG and ground reaction forces were cut into segments synchronized to
237 heel strikes. Based on the symmetry of our walking conditions, we chose to align the data on each
238 limb's heel strike in order to pool responses from muscles in the left and right limbs. Symmetry of
239 the evoked muscle responses was confirmed prior to pooling the data (see Statistical analysis). This
240 approach, however, was not possible for coherence and gain between EVS and GRFs since in our
241 narrow-base walking condition because participants regularly made contact of one limb with the
242 opposing belt such that forces from each limb could not be measured on the separate force plates.
243 Therefore, forces from both plates were first summed before estimating the coherence. To avoid
244 distortion in the coherence estimates at the beginning and end of the signal, each stride was padded
245 with data from the neighboring strides (50%). EVS and rectified EMG were low-pass filtered (100 Hz
246 cutoff, zero lag, sixth-order Butterworth) and down-sampled to 200 samples/s. To account for stride-
247 to-stride variation, stride duration was normalized by resampling the data according to the average
248 stride duration of all trials. This normalization was performed on the auto-spectra of the EVS, EMG
249 and force signals, as well as on their cross-spectra (see below).

250 Our analysis of coherence and gain was performed based on continuous Morlet wavelet
251 decomposition ^{15,70} using equations (1) and (2):

252
$$C(\tau, f) = \frac{|P_{xy}(\tau, f)|^2}{P_{xx}(\tau, f)P_{yy}(\tau, f)} \quad (1)$$

253
$$G(\tau, f) = \left| \frac{P_{xy}(\tau, f)}{P_{xx}(\tau, f)} \right| \quad (2)$$

254 where $P_{xy}(\tau, f)$ (τ and f denote the stride time and frequency, respectively) is the time-
255 dependent cross-spectrum between the EVS and rectified EMG or GRF, and $P_{xx}(\tau, f)$ and $P_{yy}(\tau, f)$
256 are the time-dependent auto-spectra of the EVS and rectified EMG or GRF, respectively. Coherence
257 ranges from zero to one, and provides a measure of the linear relationship between two signals ⁷¹
258 as well as an estimate of their coupling (i.e. shared variance) at each frequency ⁷². Because
259 coherence is normalized to the auto-spectra of the input and output signals, it can be sensitive to
260 variation in non-vestibular contributions to the measured output signal (i.e. magnitude changes of
261 EMG or ground reaction forces). Gain on the other hand indicates the magnitude of the output
262 relative to the input and is not normalized by the output signal power spectrum; as a result, it is not
263 expected to change even if non-vestibular input leads to changes in output signal magnitude. A
264 preliminary evaluation of our results indicated that similar to previous studies ^{12,15}, both coherence
265 and gain followed parallel changes in magnitude and timing across the four walking conditions. This
266 suggests that the modulation in coherence was not simply dependent upon the magnitude of the
267 measured output signals, and as a result, we present only the coherence to describe the modulation
268 of vestibular contributions to mediolateral stability. Finally, we evaluated the coherence between
269 EVS and both EMG and GRFs to estimate muscle-specific vestibular contributions and to provide a
270 net estimate of the vestibular input to ongoing locomotor behavior, respectively.

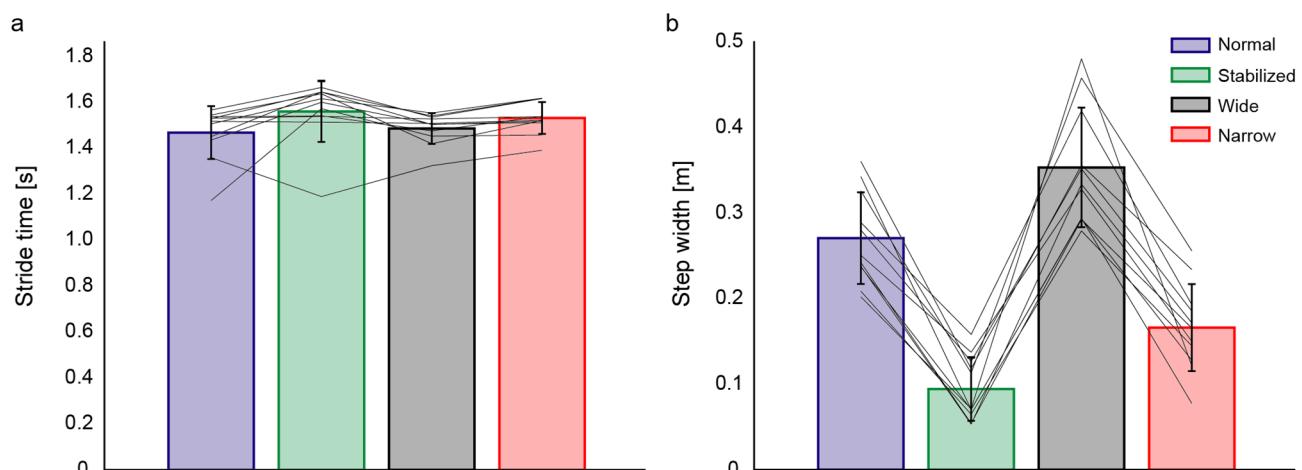
271 *Statistical analysis*

272 We compared all gait parameters (stride time, step width and local dynamic stability) between
273 the four conditions using one-way repeated measures ANOVAs. Subsequently, we performed
274 planned pairwise comparisons (t-test) between normal walking condition and each modified walking
275 condition (i.e. normal vs. stabilized; normal vs. narrow and normal vs. wide-base walking). EVS-EMG
276 coherence and EVS-GRF coherence were used to identify the phase-dependent coupling between
277 vestibular stimulation and motor responses throughout the walking cycle. For each participant,
278 coherence was defined as significant for those points in the gait cycle where it exceeded 0.018,
279 corresponding to $p < 0.01$ (for 256 strides) in view of the bi-dimensional nature of the correlations ¹⁵.
280 To determine whether our walking manipulations modified the EVS-EMG coherence when compared
281 to normal walking, we performed cluster-based permutation tests (paired t-tests, 5000 permutations)
282 ⁷³ between conditions aimed to identify whether the time-frequency-coherence spectra significantly
283 differed from the normal condition. In doing so, we did not disregard non-significant coherence
284 values. Since our initial analysis showed no significant between leg differences in coherence, we
285 averaged coherence values over legs.

286 **Results**

287 *Effects of condition on gait parameters, muscle activity and local dynamic stability*

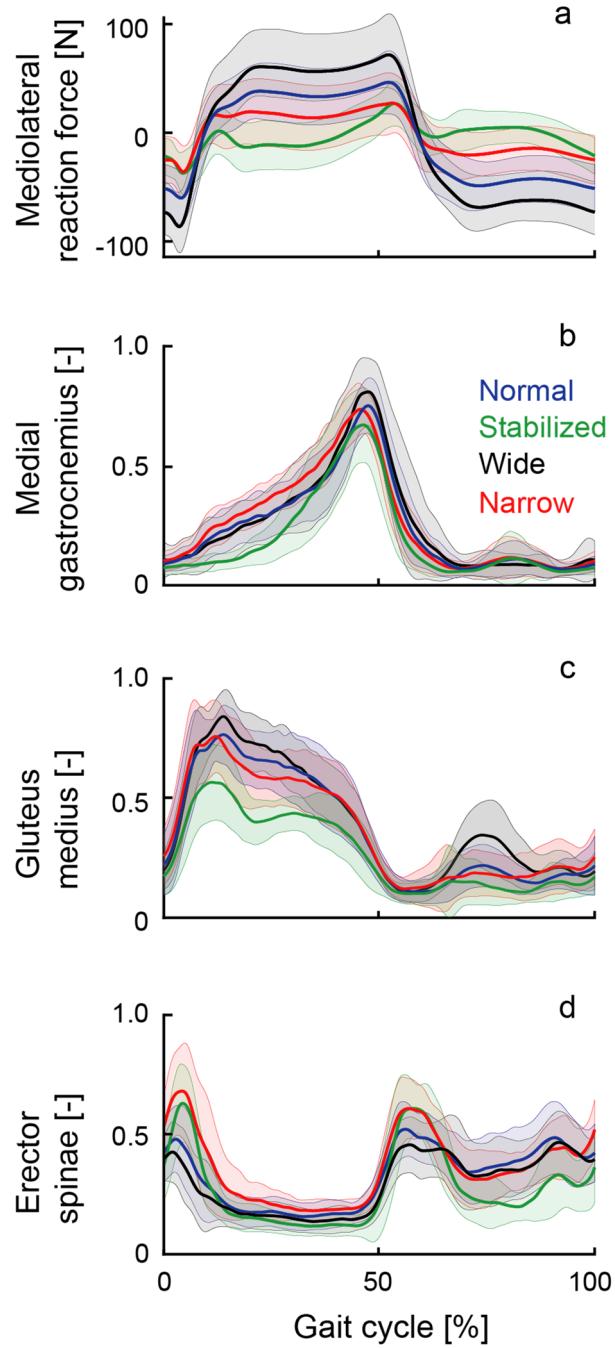
288 To characterize changes in gait across walking trials, we first evaluated the gait parameters,
289 muscle activity and stability measures. During all trials, participants were able to maintain stable
290 upright locomotion while exposed to the stochastic electrical stimulation. Despite walking to the beat
291 of a metronome in all conditions, we found a significant main effect of condition on stride time
292 ($F(3,30)=4.14$; $p=0.014$; $\eta^2=0.127$). Pairwise comparisons revealed that stride time increased by
293 approximately $6.12\pm15.65\%$ (0.09 ± 0.02 s; $p=0.018$) during stabilized walking when compared to
294 normal walking (Figure 1a), but it did not change significantly during either wide-base ($p=0.928$) or
295 narrow-base walking ($p=0.155$). As intended, walking condition significantly affected step width
296 ($F(3,30)=73.4$; $p<0.001$; $\eta^2=0.786$, see Figure 1b). Consistent with previous results^{20,23-25}, pairwise
297 analysis revealed that participants reduced their step width by $65.31\pm40\%$ (0.18 ± 0.02 m; $p<0.001$)
298 during stabilized walking, in spite of no explicit instruction to do so. We also found that participants
299 adhered to the step width instructions during the other two conditions, increasing step width by
300 $30.25\pm20\%$ (0.08 ± 0.02 m; $p<0.001$) during wide-base walking and reducing step width by $38.74\pm5\%$
301 (0.11 ± 0.01 m; $p<0.001$) during narrow-base walking (Figure 1b).



302
303 Figure 1: Spatiotemporal parameters of gait during normal walking (blue), walking with external
304 lateral stabilization (green), wide-base walking (black) and narrow-base walking (red). Mean values
305 (bars), standard deviation (error bar) and participants individual changes across conditions (lines)
306 for the stride time (a) and stride width (b) for the four conditions.

307 As expected, when compared to normal walking, significant differences in mediolateral
308 ground reaction forces^{30,31,74} during all other walking conditions were observed primarily during
309 single-support phases of the gait cycle (see Figure 2a). Forces decreased when subjects were
310 externally supported or walked with a narrow-base, and increased when subjects walked with a wide-
311 base (see Supplementary Figure S1). In the medial gastrocnemius and gluteus medius muscles,
312 peak activity in each muscle occurred during the double stance phase (~50% of the stride cycle) and
313 after heel strike (~20% of stride cycle), respectively. EMG responses for these two muscles were for
314 the most part overlapping in all conditions, with only limited significant differences observed during
315 the stance phase for some of the conditions relative to normal walking (see Figure 2b/c and

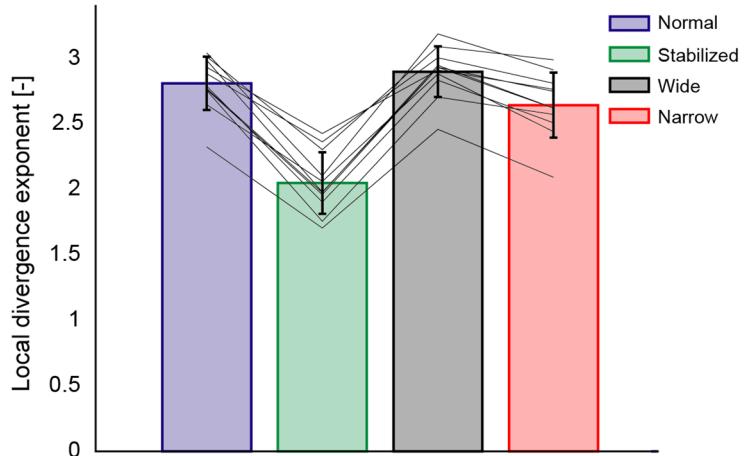
316 Supplementary Figure S1). Muscle activity in the erector spinae demonstrated more complex phasic
317 activity throughout the gait cycle with two peaks occurring just after heel strike of each limb. Limited
318 significant differences were observed during stabilized walking and narrow-base walking when
319 compared to normal walking (see Figure 2d and Supplementary Figure S1).



320

321 Figure 2: Ground reaction force and electromyographic amplitudes throughout the gait cycle during
322 normal walking (blue line), walking with external lateral stabilization (green line), wide-base walking
323 (black line) and narrow-base walking (red line). Mean values (lines) and standard deviation (shadow
324 area) of the ground reaction force (a) and the EMG envelopes of the medial gastrocnemius (b),
325 gluteus medius (c) and erector spinae (d) muscles for all conditions. For each muscle, EMG signals
326 were normalized to the maximum amplitude across all conditions.

327 Our manipulations also had a significant effect on mediolateral stability, as expressed by the
328 local divergence exponent ($F(3,30)=129$; $p<0.001$; $\eta^2=0.712$). Pairwise comparisons showed that
329 participants had a higher stability (i.e., lower LDE values) during the stabilized ($p<0.001$) and narrow-
330 base walking conditions ($p=0.019$) compared to normal walking. Although the mean local divergence
331 exponent was highest (i.e. lowest stability) during wide-base walking, this was not significantly
332 different from normal walking ($p=0.265$) (Figure 3).

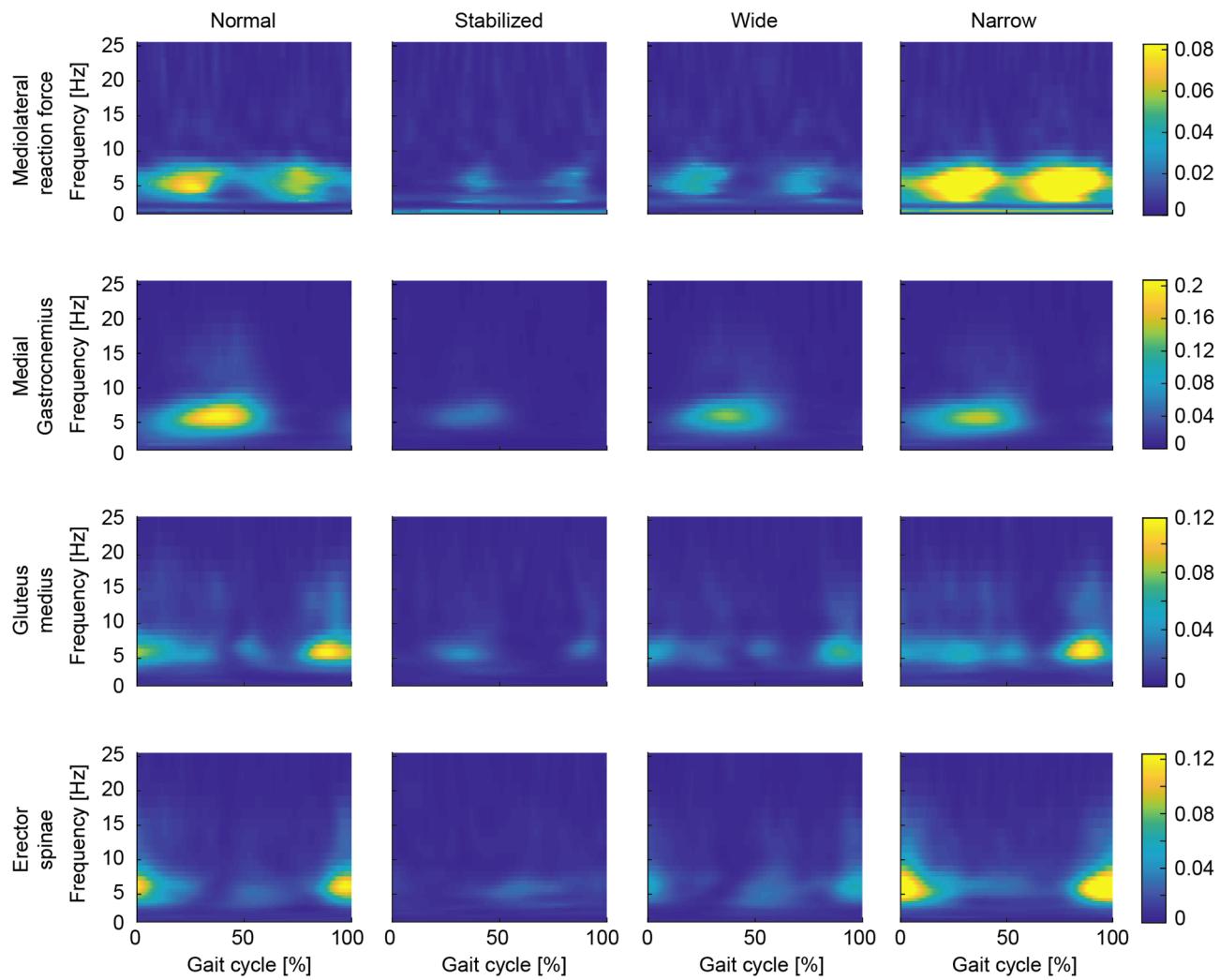


333

334 Figure 3: Local divergence exponents during normal walking (blue), walking with external
335 stabilization (green), wide-base walking (black) and narrow-base walking (red). Mean values (bars),
336 standard deviation (error bar) and participants individual changes across conditions (lines) for the
337 evaluated conditions.

338 *EVS-mediolateral GRF and EVS-EMG coherence in normal walking*

339 We next characterized the coupling of the electrical stimulus with both the ground reaction
340 forces and muscle activity in normal walking as a baseline for comparison to our manipulated
341 conditions (Figure 4 – first column). During normal walking, significant EVS-GRF coherence was
342 seen in all participants over the entire gait cycle, with phase-dependent group mean responses that
343 peaked during single stance (Figure 4 top row). Significant phase-dependent EVS-EMG coupling
344 was also prominent in the mean responses during normal walking, but muscle-specific variations
345 were observed: coherence peaked in mid stance in the medial gastrocnemius, just before heel strike
346 in gluteus medius, and at heel strike and at mid stride (though at a lower magnitude) for the erector
347 spinae. Consistent with previous reports ^{11,12,15}, peak coherences did not align with peak EMG for
348 any of the muscles, further confirming that vestibular contributions do not depend purely on the
349 excitation of the motoneuron pool. In addition, as commonly observed in vestibular-evoked muscles
350 responses during standing ⁷⁵, the bandwidth of significant EVS-EMG coherence spanned ~0-25 Hz
351 while significant EVS-GRF coherence was observed from ~0-10 Hz (Figure 4).



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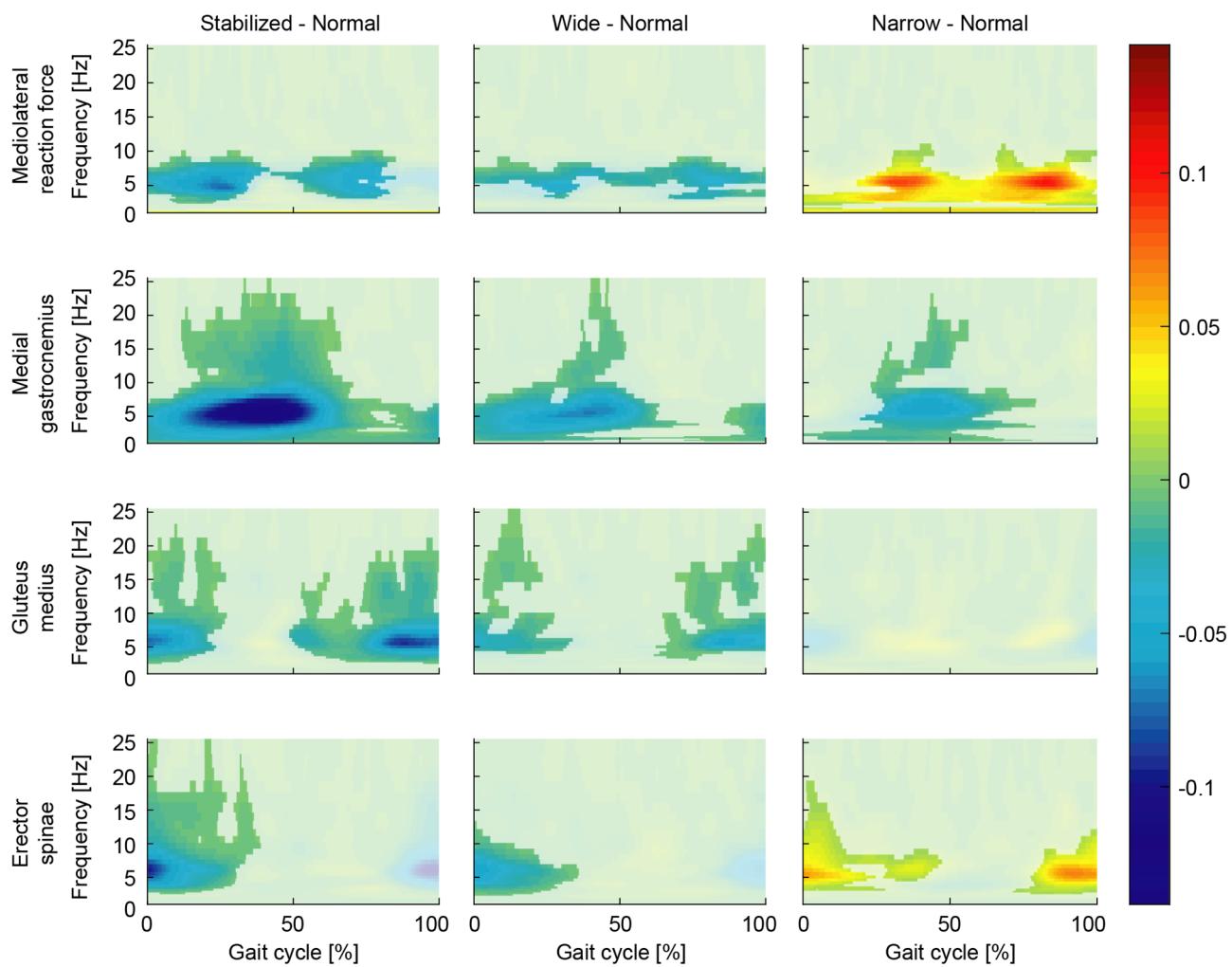
353 Figure 4: Coherence plots of EVS-GRF (first row) and EVS-EMG for medial gastrocnemius (second
354 row), gluteus medius (third row) and erector spinae (fourth row) for normal walking (first column),
355 walking with external stabilization (second column), wide-base walking (third column) and narrow-
356 base walking (fourth column). Coherence magnitude is indicated by the color bars.

357 *Stabilization demands but not dynamic stability modulate EVS-GRF and EMG-EVS coupling*

358 To establish the effects of stabilization demands on vestibulomotor coupling, we examined
359 the difference in coherence between normal walking and all other conditions (Figure 5). During
360 externally stabilized walking, both EVS-GRF and EVS-EMG coherences decreased significantly
361 relative to normal walking (see Figure 4 and 5). More specifically, the reduced coupling during the
362 stabilized condition was primarily observed during the periods of peak coherence in normal walking
363 (i.e. single stance for GRF and medial gastrocnemius, and before/at heel strike for gluteus medius
364 and erector spinae). Although the increased stride time (i.e. decreased cadence) during stabilized
365 walking (see Figure 1) may have acted as a confounding factor to these changes ¹¹, this effect
366 commonly increases vestibulomotor responses in contrast to the observed decrease in coherence
367 seen here. During wide-base walking, EVS-GRF and EVS-EMG coherences were also significantly
368 decreased compared to normal walking (Figure 5). The EVS-GRF coherence decreased over the
369 majority of the gait cycle with the most prominent changes observed during the periods of peak

370 coherence seen in the normal condition. Further, EVS-EMG coherence was reduced for all three
371 muscles in wide-base walking, again with the greatest differences observed at instants of peak
372 coherence in normal walking (Figure 5). Taken together, the results of stabilized and wide-base
373 walking show a reduction in vestibular input to the net muscle activity of the body (i.e. GRFs), which
374 is driven at least in part by the three muscles measured when stabilization demands (but not dynamic
375 stability) are decreased.

376 During narrow-base walking, we observed more complex changes in coupling between EVS
377 and GRF and between EVS and muscle activity. Figures 4 and 5 together show that EVS-GRF
378 coherence during narrow-base walking significantly increased compared to normal walking over the
379 entire gait cycle. While this was matched by an increase in EVS-EMG coherence in the erector
380 spinae muscle, we found unchanged EVS-EMG coupling in the gluteus medius muscle and a
381 decrease in coherence in the medial gastrocnemius muscle. These more complex changes in
382 vestibular-evoked motor responses suggest that while the net output of the vestibular-evoked muscle
383 activity (i.e. EVS-GRF coherence) increases with increased stabilization demands, as well as the
384 dynamic stability (see Figure 3), this trend is not reflected in EVS-EMG coupling of all muscles.



385
386 Figure 5: Differences in time-frequency coherence between the normal walking condition and
387 walking with external lateral stabilization (first column), wide-base walking (second column) and

388 narrow-base walking (third column). Color coding refers to the difference in coherence between two
389 walking conditions (e.g. normal minus stabilized) for the EVS-GRF coherence (first row) and EVS-
390 EMG coherence of medial gastrocnemius (second row), gluteus medius (third row) and erector
391 spinae (forth row) muscles. For illustrative purposes, differences that were not significant were
392 plotted slightly opaque.

393 **Discussion**

394 We characterized how coupling of vestibular input with muscle activity and ground reaction
395 forces modulate as a function of the stabilization demands during locomotion. We found that as
396 participants walked with decreased stabilization demands through either external stabilization or
397 wider step widths, coherence between electrical vestibular stimulation and both muscle activity and
398 ground reaction forces decreased compared to normal walking. These overall reductions in
399 vestibulomotor coupling were accompanied by an increase or no change in the stability of the gait
400 pattern – measured as a decreased or constant local divergence exponent – during stabilized and
401 wide-base walking, respectively. In contrast, the increased stabilization demands of walking with
402 narrow steps invoked complex changes in vestibulo-muscular coupling that increased or decreased
403 specific to each muscle’s involvement in correcting for the imposed vestibular error. Nevertheless,
404 these changes in vestibulo-muscular coupling increased the collective contribution of vestibular
405 signals to the ground reaction forces and occurred together with a decrease in the local divergence
406 exponent (i.e. increased gait stability). This suggests that participants maintained a more stable gait
407 pattern during narrow walking that was at least partially subserved through increased use of
408 vestibular feedback. Ultimately, these results indicate that vestibular contributions to gait stability
409 may be modulated with frontal plane stability, but that they more specifically depend on the
410 stabilization demands (i.e. control effort) required to maintain a stable gait pattern and not the stability
411 of the gait pattern itself.

412 When participants walked with external stabilization, the stability of the gait pattern increased
413 (i.e. decreasing LDE) while vestibular-evoked muscle and force responses decreased as compared
414 to normal walking. Both of these results are not entirely surprising since the control of mediolateral
415 motion is aided by the forces generated by the springs ^{19,20,25}. As a result, there is a reduced reliance
416 on vestibular signals to maintain upright locomotion during stabilized walking. This is similar to the
417 task dependent reductions in vestibular input observed during standing ^{44,45,76-78} when participants
418 are externally supported; stimulus-evoked responses are suppressed since the vestibular feedback
419 is no longer relevant to balancing the body. Our results reveal that these task dependent changes in
420 the vestibular control of standing also apply during the more dynamic task of walking. In addition,
421 they also support the proposal that anteroposterior control of whole-body stability during locomotion
422 is controlled passively ⁷⁹. By making the body passively stable in the mediolateral direction, we saw
423 a reduction in vestibular-evoked response that matched the near absence of vestibular contributions
424 when the vestibular error is directed in the anterior-posterior direction ¹⁶.

425 When participants walked with a wide base, vestibular-evoked muscle and force responses
426 also decreased in a manner that parallels the effects of wide stance during standing ^{44,80}. Walking
427 (and standing) with a wide foot placement increases the base of support, and the passive stiffness
428 in the frontal plane. The current results show that the corrective contribution of vestibular signals
429 during walking with a wide base decrease in a manner similar to the effects seen during external
430 stabilization. Our measure of dynamic stability, however, did not follow the same trend. Instead, we
431 observed a slight (albeit non-significant) increase in the local divergence exponent compared to
432 normal walking. This aligns with previous estimates of a constant or decreased dynamic gait stability
433 during wide-base walking ^{30,34}. A key difference between stabilized and wide-base walking is that in
434 the former, increased gait stability and upright balance is an inevitable result of the external support.
435 Wide-base walking, on the other hand, despite the increased base of support, still demands active
436 stabilization, and the manner in which this is achieved differs from normal walking. For example,
437 push-off modulation and step-by-step foot placement precision both decrease when walking with
438 wide steps ³⁰. In addition, the increased moment arm of the ground reaction forces about the center
439 of mass generates greater fluctuations in angular plane momentum ⁸¹, which in and of themselves
440 are destabilizing. These changes may be possible because the margins for error of balance control
441 are inherently increased, making wide-base walking more robust to external disturbances. The
442 current results therefore suggest that vestibular contributions may also decrease under walking
443 conditions with reduced control effort to maintain mediolateral stability. This is in line with recent
444 findings in patients with vestibular hypofunction who walk slower, with increased cadence and wider
445 steps ⁸²⁻⁸⁶. Our results suggest that they may adopt these changes in walking behavior to be less
446 dependent on vestibular input.

447 The possibility that vestibular contributions are modulated with the control effort (i.e.
448 stabilization demand) of gait is further supported by our narrow-base walking results. Both the
449 dynamic stability of gait and vestibular-evoked ground reaction forces during narrow-base walking
450 increased relative to normal walking. The increase in dynamic stability (i.e. decreasing LDE) in
451 particular is thought to be required to constrain torso motion to margins of error within which walking
452 with narrow steps can be maintained ³⁴. Indeed, foot placement during narrow-base walking is more
453 tightly coupled to center-of-mass motion ^{30,31} and participants maintain smaller step-to-step
454 oscillations ⁸⁷. Our results show that under these highly regulated conditions, this increase in control
455 effort may be driven, at least in part, by a net increase in vestibular input (as reflected in the ground
456 reaction forces). This overall increase in vestibular contribution to mediolateral stability, however,
457 does not seem to originate from a general upregulation of vestibular responses in all muscles.
458 Instead, we found decreasing and unchanging vestibular responses in medial gastrocnemius and
459 gluteus medius muscles, respectively, while vestibular responses in erector spinae muscles
460 increased. These muscle specific changes align with the scaling of evoked responses according to
461 the muscle's involvement in correcting for imposed errors ^{11,26}. For example, moments generated by
462 the ankle muscles, such as the medial gastrocnemius, strongly contribute to balance corrections

463 through push-off modulation ⁸⁸. During narrow-base walking, however, push-off modulation is not a
464 viable option since the push off force has only a minimal moment arm. Similarly, regulation of
465 mediolateral foot placement as provided by the gluteus medius ^{11,57} is rendered less effective, since
466 narrow-base walking constrains the foot to a restricted range. Instead, humans more commonly rely
467 on the modulation of the torso's angular momentum to maintain upright balance ^{61,87}. Although the
468 muscles around the ankles and hips also contribute to frontal plane angular momentum during
469 normal walking ⁸¹, the direct influence of spinal muscles on trunk motion may make them more suited
470 to contribute to balance during narrow walking by producing or responding to rapid trunk tilts. A
471 limitation to this interpretation, however, is that we cannot rule out the influence of arm movements,
472 which are known to influence the stability of human gait ⁸⁹⁻⁹¹, since we did not measure arm
473 movements or provide specific instructions to participants to control their movement. Nevertheless,
474 our erector spinae results indicate that spinal muscles provide a key, and perhaps primary,
475 contribution to the net vestibular output to ground reaction forces to maintain upright balance during
476 narrow-base walking.

477 Significant coherence between the electrical stimulus and erector spinae muscle activity in
478 all conditions also demonstrates that the phasic contribution of this particular axial muscle to whole-
479 body mediolateral stability can be flexibly modulated to address varying stabilizing demands. This is
480 similar to this muscle's differential response to vestibular disturbances in standing and sitting ⁹².
481 Others have reported, however, that erector spinae muscles, unlike lower limb muscles, maintain a
482 fixed response sensitivity to vestibular input throughout all phases of walking ⁹³. This follows a similar
483 argument made in neck muscles where vestibulocollic reflexes are maintained regardless of the
484 requirement to maintain head on trunk balance ^{94,95}. By delivering square wave EVS pulses at and
485 slightly after (15% of the gait cycle) the heel strike, Guillaud et al. (2020) argued that the invariant
486 response of the muscle to the electrical stimulation at these two time points indicate a fixed vestibular
487 sensitivity throughout the entire gait cycle ⁹³. The improved resolution of the time-varying techniques
488 used here, however, reveals that this muscle does indeed modulate its response to vestibular input
489 throughout locomotion: EVS-EMG coherence peaked at heel strike and dropped to near-zero at
490 approximately 40% of the stride cycle. The restricted time-window of the two stimulation occurrences
491 considered (i.e. at and slightly after heel strike) in the study from Guillaud et al.(2020), however, may
492 have masked any changes in EVS-evoked responses. In addition, the modulation of this muscle's
493 vestibular sensitivity extends across walking conditions, which nearly doubled during narrow-base
494 walking as compared to normal walking.

495 A limitation of our study is that while vestibular-evoked responses were quantified throughout
496 the gait cycle, the local divergence exponent remains a mean measure of stability for the entire gait
497 cycle. It may therefore be possible that time-varying changes in gait stability contribute to the phase-
498 dependent vestibular responses seen here. While early studies using phase dependent metrics of
499 gait stability suggested that these measures may hold promise ^{96,97}, a recent study from our lab
500 doubted their use, as we found only limited correlation between phase dependent gait stability

501 measures and the probability of falling in a simple dynamic walking model ⁹⁸. However, if sufficient
502 phase dependent gait stability measures become available, the relationship between phase
503 dependent gait stability and phase-dependent vestibular responses may be an interesting study
504 topic.

505 In conclusion, we have shown that the muscle and whole-body responses evoked by a
506 vestibular stimulation differ according to the gait stabilization demands. When stability is increased
507 by external support, the muscle and whole-body responses to the vestibular stimulus are
508 substantially reduced. During wide-base walking vestibular-evoked muscle and force responses also
509 decrease, though these changes in vestibular contribution are not accompanied by increased
510 dynamic gait stability. Conversely, narrow-base walking produced complex muscle-specific
511 responses that resulted in an increase in the net vestibular contribution to ground reaction forces
512 and increased stability of gait. Overall, our results show that although the vestibular control of gait
513 stability may vary with frontal plane stability, they critically depend on the stabilization demands (i.e.
514 control effort) needed to maintain stable walking patterns.

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520 The authors have no conflict of interest to declare.

521 **Author contribution statement:**

522 JVD and PAF contributed to the conception or design of the work. RMM, SMB and PAF contributed
523 to the acquisition, analysis, or interpretation of data. SMB and PAF made the figures. All authors
524 wrote the main manuscript text, reviewed the manuscript and have approved the submitted version.

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