

1 **Title**

2

3 Creation and validation of 3D-printed head molds for stereotaxic injections of neonatal
4 mouse brains

5

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16
17 **Conflict of Interest Statement**

18 The authors declare no conflicts of interest.

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20

21 **Abstract**

22 **Background:** An increasing number of rodent model systems use injection of DNA or
23 viral constructs in the neonatal brain. However, approaches for reliable positioning and
24 stereotaxic injection at this developmental stage are limited, typically relying on
25 handheld positioning or molds that must be re-aligned for use in a given laboratory.

26 **New method:** A complete protocol and open source software pipeline for generating
27 3D-printed head molds derived from a CT scan of a neonatal mouse head cast, together
28 with a universal adapter that can be placed on a standard stereotaxic stage.

29 **Results:** A series of test injections with adenovirus encoding red fluorescent protein
30 were conducted using original clay molds and newly generated 3D printed molds.
31 Several metrics were used to compare spread and localization of targeted injections.

32 **Comparison with existing methods:** The new method of head mold generation gave
33 comparable results to the field standard, but also allowed the rapid generation of
34 additional copies of each head mold with standardized positioning of the head each
35 time.

36 **Conclusions:** This 3D printing pipeline can be used to rapidly develop a series of head
37 molds with standardized injection coordinates across multiple laboratories. More
38 broadly, this pipeline can easily be adapted to other perinatal ages or species.

39 **Introduction**

40

41 Techniques for *in vivo* genetic manipulation and lineage tracing in neonatal mouse
42 brains have been used with increasing frequency in neural stem cell and brain tumor
43 research (Feliciano et al., 2013; Hoeman et al., 2019; Kim et al., 2019; Merkle et al., 2014;
44 Nagaraja et al., 2017; Qin et al., 2017). These techniques often involve injections of viral
45 vectors, plasmids, small molecules, or cells into specific anatomical regions of the
46 neonatal rodent brain. Injection techniques range in precision from freehand injections to
47 the use of three-dimensional stereotaxic coordinates.

48 In particular, stereotaxic injection, which is widely used in adult rodent brains,
49 allows researchers to reproducibly target neuroanatomical structures with high spatial
50 precision (Bielefeld et al., 2017; Cetin et al., 2006; Merkle et al., 2007). Brain atlases
51 (Franklin and Paxinos, 2013; Lein et al., 2007) provide useful three-dimensional
52 coordinate systems to locate adult brain structures with respect to a point of reference,
53 such as the bregma, lambda, and interaural line. However, the equivalent methods for
54 neonatal injections require the generation and orientation of head molds, which have the
55 potential for high variability between preparations. Current experimental tools secure
56 neonate heads with customized, laboratory-specific head molds made from malleable
57 clay impressed with casts of neonate heads (Merkle et al., 2007; Merkle et al., 2004).
58 Each new iteration of constructed head molds typically necessitates the re-derivation of
59 successful stereotaxic coordinates due to changes in cast positioning while stamping the
60 mold. This process can be time consuming and can confound the ability to reproduce
61 experiments. Designing head molds that are 3D printed and compatible with existing

62 stereotaxic stages would strengthen the degree of reproducibility across experimental
63 runs and allow more straightforward sharing of injection procedures by collaborating
64 groups.

65 Here, a 3D-printed apparatus was designed for stereotaxic injection of the neonatal
66 mouse. The design includes a universal stage, which can be secured with ear bars
67 traditionally used on adult mouse or rat injection rigs, and a set of modular, swappable
68 head molds that are customized to specific pup weights and head orientations. This
69 system was validated through a comparative analysis of stereotaxic injections with 3D-
70 printed and clay head molds in the dorsal and ventral mouse ventricular-subventricular
71 zone (V-SVZ), a brain region where targeting with clay head molds has been previously
72 reported. The data presented here provide proof of feasibility for a system that can be
73 adapted to reproducibly inject many other brain regions.

74

75 **Materials and Methods**

76

77 *Construction of 3D-printed head mold*

78

79 To construct a 3D-printed head mold for a neonate of a given mass, a neonate
80 cast was first developed. When the mouse achieved its desired mass (e.g. CD-1 neonate
81 typically achieves a mass of 1.5-2.1 g at postnatal day 1-2), the animal was euthanized
82 by hypothermia. The neonate was submerged in 3% agarose, and after solidifying, the
83 pup was carefully removed from the agarose to create a mold. The mold was refilled with

84 Hygenic® Repair Resin material and permitted sufficient time to harden. The head of the
85 neonate cast was then imaged with a microCT scan.

86 The resulting raw scanner file was funneled through the MicroCT EVAL program
87 IPLV6_SEG_CONVERT_STL.COM, streamlining the downsampling and conversion of
88 the raw scanner file to an STL file.

89 Prior to inverting and re-orienting the scanned head into a mold, the mouse STL
90 file was preprocessed with Meshfix (Attene, 2010)
91 <https://github.com/MarcoAttene/MeshFix-V2.1>) to remove extraneous holes and lines in
92 the model.

93 The resulting STL file was placed in a folder with an OpenSCAD script
94 (https://github.com/ihrie-lab/head_mold/blob/master/mold_generator.scad) designed to
95 invert the contour of the head into an imprinted head mold. The default parameters
96 “model_rotate” and “model_translate” were modified to reorient the neonate head as
97 desired on the block. The final head mold designs, which featured two different head
98 mold trays for left and right hemisphere injections, were rendered and saved for printing
99 (https://github.com/ihrie-lab/head_mold/tree/master/head%20mold%20trays). The head
100 molds were printed with polylactic acid (PLA) on Makerbot 3D printers.

101 Additionally, a universal stage (https://github.com/ihrie-lab/head_mold/blob/master/universal_stage.stl) to secure the swappable head molds to
102 the stereotaxic rig was printed with polylactic acid (PLA) on Makerbot 3D printers.
103

104

105 *Construction of clay head mold*

106

107 Clay head molds were similarly constructed using neonate casts, following the
108 procedure detailed in Merkle and Tramontin (Merkle et al., 2004). The acrylic neonate
109 cast was laid sideways, and one hemisphere was impressed into a small mound of
110 Sculpey® polymer clay, which was then baked to harden. The other side of the neonate
111 cast was impressed into a different mound of Sculpey® polymer clay, creating separate
112 head molds for each injection hemisphere. Each hardened clay mold was then trimmed
113 and embedded in modeling clay on top of an inverted Petri dish for stability.

114

115 *Viral injection of mouse brains with 3D-printed and clay head molds*

116

117 To compare the head molds, wildtype 1.6 – 1.9 g (age P1-P2) CD-1 mice were
118 injected with human adenovirus type 5 (dE1/E3) expressing mCherry protein under the
119 control of a CMV promoter (Vector Biolabs) using either the 3D-printed or clay head mold.
120 All mice were injected on head molds designed from the cast of a 1.7 g mouse. Injections
121 were conducted on a custom stereotaxic injection apparatus with coordinates previously
122 deemed successful for targeting the dorsal and ventral V-SVZ with clay head molds.
123 Specifically, the injection coordinates targeted radial glial processes in the striatum, thus
124 ultimately labelling cell bodies adjoining the lateral ventricles (Merkle et al., 2004).

125 Prior to injection, wildtype CD-1 neonates were protected in gauze and a nitrile
126 sleeve and placed on ice for 5-10 minutes to induce anesthesia by hypothermia. The
127 neonate was then laid on its side in a clay or 3D-printed head mold such that one side of
128 the head was securely stabilized in the contours of the mold, leaving the other hemisphere
129 of the head exposed for injection. Transparent tape was used to stretch the skin of the

130 head taut and stabilize the pup in the mold. The clay and 3D-printed head molds were
131 fastened to the stereotaxic stage by tape and ear bars, respectively. A beveled injection
132 needle, pre-loaded with mineral oil, was loaded with the virus, ensuring no air bubbles at
133 the oil/aqueous interface. The needle was attached to the apparatus at a 45° angle above
134 the horizontal plane of the injection stage. The tip of the injection needle was centered on
135 the neonate's eye, and this position was calibrated as (x = 0 mm, y = 0 mm, z = 0 mm)
136 prior to adjustment to the desired coordinates for dorsal or ventral V-SVZ targeting (Table
137 1). 100 nl of virus were injected. The procedure was repeated for injection into the other
138 hemisphere. The neonate was rewarmed following injection and returned to its dam.

139

140 *Post-injection mouse brain fixation and harvesting*

141

142 Mice were euthanized 3-4 days following injection (postnatal day 4-6) by lethal
143 overdose of 100 µl of 2.5% Avertin. After passing a toe pinch test, they were perfused
144 with 2-3 mL of 0.9% saline solution, followed by 2-3 mL of 4% paraformaldehyde at pH
145 7.2 containing 0.1M phosphate buffer. The brain was removed from the skull and fixed
146 overnight in 4% paraformaldehyde. Brains were subsequently sunk in 30% sucrose
147 solution at 4°C prior to cryosectioning.

148

149 *Post-fix mouse brain processing and fluorescent imaging*

150

151 Coronal brain sections were sliced serially at 60- or 70-µm thickness from anterior
152 to posterior on a microtome cooling stage regulated by the Physitemp BFS-40MP

153 controller. Sections were mounted directly onto slides in column-major order. Slides were
154 allowed sufficient time to dry and subsequently stored at -20°C with desiccant (Drierite)
155 until immunostaining.

156 For staining, issue sections were incubated in 200 µl of blocking solution
157 (PBS/1%NDS/1%BSA/0.1% Triton X-100) for 30 minutes at room temperature and
158 subsequently incubated in 200 µl of rabbit anti-RFP antibody (1:1000, MBL PMOO5)
159 overnight at 4°C. The sections were washed and then incubated in 200 µl of donkey anti-
160 rabbit Alexa Fluor 594 antibody (1:1000, Life Technologies A21207) and DAPI (1:10000)
161 for 2-3 hours at room temperature in the dark. Slides were washed, cover slipped with
162 200 µl of Mowiol mounting media, and stored at -20°C until imaging.

163 Slides were fluorescently imaged at the Digital Histology Shared Resource of
164 Vanderbilt University Medical Center with the Leica Aperio Versa 200 platform. Images
165 were acquired at 10X magnification with filter sets optimized for DAPI and Texas Red.
166 Sections with localized, small patterns of RFP targeting of V-SVZ regions were imaged
167 at 20X magnification on the LSM 710 META Inverted confocal microscope at the
168 Vanderbilt University Cell Imaging Shared Resource.

169

170 *Quantification of spread of injected virus along anterior-posterior axis*

171

172 The spread of RFP-labelled cells along the anterior-posterior axis of the mouse
173 brain was calculated by a researcher blinded to the type of head mold used. For each
174 hemisphere of the brain, the number of sections containing RFP at the injection site was

175 counted and multiplied by 60 μm or 70 μm , depending on the tissue thickness during
176 sectioning.

177

178 *Quantification of percent V-SVZ targeting*

179

180 The percent of RFP targeting of the V-SVZ was quantified using ImageJ by a
181 researcher blinded to the head mold used. Eligible coronal sections for quantification
182 were limited to V-SVZ-containing sections anterior to the foramen of Monro (position
183 322 in the Allen Developing Mouse Brain Atlas for a postnatal day 4 pup,

184 [https://developingmouse.brain-](https://developingmouse.brain-map.org/experiment-thumbnails/100034998?image_type=nissl)

185 [map.org/experiment-thumbnails/100034998?image_type=nissl](https://developingmouse.brain-map.org/experiment-thumbnails/100034998?image_type=nissl)). For each eligible
186 section, a pixel-to-micrometer conversion was applied using the scale bar. The coronal
187 tissue section's entire lateral ventricle was outlined with the freehand line tool, and the
188 ventricle's perimeter was calculated. A second freehand line tool measurement was
189 used to measure the length along the perimeter that contained RFP-labelled cells in the
190 V-SVZ. The length of targeting was divided by the perimeter of the ventricle and
191 multiplied by 100 to determine the percent V-SVZ targeting for a given section. Percent
192 targeting was averaged among quantified sections of an injected hemisphere.

193

194 *Quantification of percent V-SVZ targeting spread along the anterior-posterior axis*

195

196 For this analysis, brains were anatomically aligned (i.e. designated as the 0- μm
197 mark) along the anterior-posterior axis at the first coronal tissue slice in which olfactory

198 areas begin to connect with cortical areas (position 420 in the Allen Developing Mouse
199 Brain Atlas for a postnatal day 4 pup, <https://developingmouse.brain->
200 map.org/experiment/thumbnails/100034998?image_type=nissl). The percent V-SVZ
201 targeting of a tissue section was coupled to its posterior distance from this standardized
202 anatomical landmark. Sections without V-SVZ or without targeting of the V-SVZ were
203 assigned values of zero percent V-SVZ targeting for the purpose of this analysis. The
204 spread and location of V-SVZ targeting along the anterior-posterior axis were compared
205 graphically.

206

207 **Results**

208

209 3D-printed head molds were generated using a script-based workflow that inverts
210 and orients microCT scans of mouse head casts into blocks, thus virtually “stamping”
211 head molds (Fig. 1A; https://github.com/ihrie-lab/head_mold/blob/master/mold_generator.scad). Each head mold standardized a
212 head orientation for injection of mice of a given mass (https://github.com/ihrie-lab/head_mold/tree/master/head%20mold%20trays). A 3D-printed universal stage,
213 which was fastened to the ear bars of the stereotaxic rig, was also designed to secure
214 these swappable head molds in a consistent alignment (stage design shown in Fig. 1A;
215 https://github.com/ihrie-lab/head_mold/blob/master/universal_stage.stl). The modular
216 design of the individual 3D-printed head mold trays and the universal stage permits
217 easy exchange and consistent orientation of head molds for different mouse sizes and
218 brain hemispheres of injection. Notably, the universal stage design also contained a

221 cooling compartment for inclusion of a solid coolant pack to maintain lower body
222 temperatures during injection.

223 To validate the 3D-printed head mold design, injections targeting the V-SVZ, a
224 neurogenic microenvironment that lines the lateral ventricles (Codega et al., 2014; Ihrie
225 and Alvarez-Buylla, 2011; Morshead and van der Kooy, 2004), with recombinant mCherry
226 adenovirus were scored and compared between the 3D-printed and clay head molds (Fig.
227 1B). The ability to access one V-SVZ cell subpopulation while leaving other subregions
228 unperturbed, whether through stereotaxic injection, microdissection, or transgenic
229 modification in mice, has allowed researchers to associate differential transcription factor
230 expression, signaling activity, and lineage commitment with cellular positional identity in
231 the V-SVZ (Llorens-Bobadilla et al., 2015; Merkle et al., 2014; Merkle et al., 2007; Mizrak
232 et al., 2019; Rushing and Ihrie, 2016; Rushing et al., 2019; Young et al., 2007). As
233 localized, consistent targeting of the V-SVZ could reveal more refined characterizations
234 of neural stem cell positional identity, injection metrics were designed to measure the
235 spread and reproducibility of targeting dorsal or ventral V-SVZ cell clusters.

236 Neonatal mice were injected at 1.6-1.9 g body mass (postnatal day 1-2) using
237 striatal coordinates derived for ventral and dorsal V-SVZ targeting. Injection of radial glial
238 processes in the mouse striatum labeled radial glial cell bodies housed in the
239 periventricular region, as expected (Fig. 1C-D)(Merkle et al., 2004). 26 mice were injected
240 bilaterally for a total of 52 injections. One hemisphere was excluded from all subsequent
241 analysis because the mislocalized injection was too posterior, and one other hemisphere
242 was excluded from analyses requiring V-SVZ quantifications because of section tearing.
243 From the remaining 51 injections, 76.5% showed a detectable RFP-labelled injection site

244 in the striatum. Among the 39 labelled hemispheres studied, a greater percentage of
245 injections with the 3D-printed head mold showed successful targeting of the dorsal V-
246 SVZ—that is, RFP-labelled cell bodies were detectable in the dorsal V-SVZ. Fewer
247 injections with the 3D-printed head mold consistently targeted the ventral V-SVZ,
248 although sufficient targeted hemispheres were available for analysis (Table 2).

249 The anterior-to-posterior spread of the virus was quantified to compare
250 consistency at the injection site, a feature that would likely not change between head
251 molds. A Wilcoxon rank-sum test comparing injections with 3D-printed and clay head
252 molds revealed no difference in the spread of virus along the anterior-posterior axis for
253 either dorsal ($p = 0.0906$) or ventral injections ($p = 0.1681$) (Fig. 2A). Additionally, the
254 percentage of the V-SVZ labelled by the virus and its location/spread along the anterior-
255 posterior axis were also compared as measures for the degree of targeting localization,
256 which is vital to tracking precise groups of neural stem cells. A Wilcoxon rank-sum test
257 comparing percent V-SVZ targeting among successfully targeted injections showed no
258 difference between 3D-printed and clay head molds for dorsal ($p = 0.2851$) or ventral
259 injections ($p = 0.5000$) (Fig. 2B). Finally, comparing the spread and location of V-SVZ
260 targeting along the anterior-posterior axis graphically showed that 3D-printed and clay
261 head molds were similar (Fig. 2C-D). Quantitative comparisons of brain injections with
262 Ad-mCherry virus on 1.7 +/- 0.2 g mice therefore revealed that injections with the 3D-
263 printed head mold were as precise and consistent as those with the clay head mold and,
264 in the dorsal V-SVZ, yielded a higher number of successful injection events.

265

266 **Discussion**

267 Stereotaxic injection allows researchers to access highly specific neuroanatomical
268 locations for genetic perturbation, drug administration, or lesion generation. However,
269 such injections can be time-consuming and follow up timepoints can be lengthy, meaning
270 that reproducibility in targeting is highly desirable in reducing the number of unsuccessful
271 or mistargeted replicates. In the case of this study, stereotaxic injection permitted testing
272 of two head mold types when targeting cell subpopulations of the neonatal mouse V-SVZ.

273 A standardized workflow for head molds permits the maintenance of stereotaxic
274 targeting coordinates for a particular anatomical landmark without re-derivation when
275 head molds are newly produced. The construction of clay head molds is susceptible to
276 problems in consistent alignment and orientation of the mouse cast while impressing it
277 into the clay mold, requiring the re-derivation of stereotaxic coordinates for each newly
278 produced head mold. Additionally, the 3D-printed universal stage included in this package
279 consistently aligns and orients the head molds relative to the stereotaxic rig, whereas the
280 current clay head mold cannot be securely fastened to the stage and therefore may
281 change with each placement.

282 The results of comparative image analyses of viral labelling in the V-SVZ for
283 injections using the two head mold construction workflows indicate that injections with
284 3D-printed head molds are comparable to injections with clay head molds. The anterior-
285 posterior spread of virus, percent V-SVZ targeting, and spread/location of V-SVZ
286 targeting along the anterior-posterior axis were not significantly different between head
287 molds across injection locations. Similar results have been noted on a subset of 2.1 +/-
288 0.2 g mice using head molds and stereotaxic coordinates tailored towards a 2.1 g mouse
289 (Supplementary Fig. 1). While the sample size for this subset of mice was smaller, no

290 difference in targeting success metrics was seen between head molds.

291 The observed variations in injection metrics for both clay and 3D-printed head
292 molds may be due to multiple sources. First, the derivation of stereotaxic coordinates was
293 conducted on clay head molds. Therefore, the coordinates, especially for ventral
294 injections targeting a highly specific subregion, were likely not optimally suited for the 3D-
295 printed head orientation. Additionally, neonates' mass varied from 1.6 to 1.9 g, but the
296 same 1.7 g-mouse head mold/coordinates were used for all injections. V-SVZ subregion
297 coordinates may vary across this small mass range.

298 3D-printed head molds provide additional value in their ability to standardize
299 stereotaxic coordinates for a given anatomical landmark across newly produced head
300 molds. While stereotaxic atlases exist to provide clear locations of adult anatomical
301 structures for quick reference, injecting neonates depends on a researcher's ability to
302 orient the head carefully relative to the stereotaxic rig, a nontrivial task given the small
303 size of neonates. The publication of 3D-printed head mold CAD files for wider use is a
304 step towards a practical standard in the field for precise neonatal injections of any
305 anatomical landmark. This would reduce the requirement for arduous customization of
306 head molds and re-derivation of coordinates for rodent brain structures as new projects
307 arise.

308

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321

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324 analysis, visualization, writing – original draft, review and editing
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336 R.A. Ihrie: conceptualization, supervision, methodology, funding acquisition,
337 visualization, writing –review and editing

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401 fates in the adult olfactory bulb. *J Neurosci* **27**, 8286-8296.

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Figures and Legends

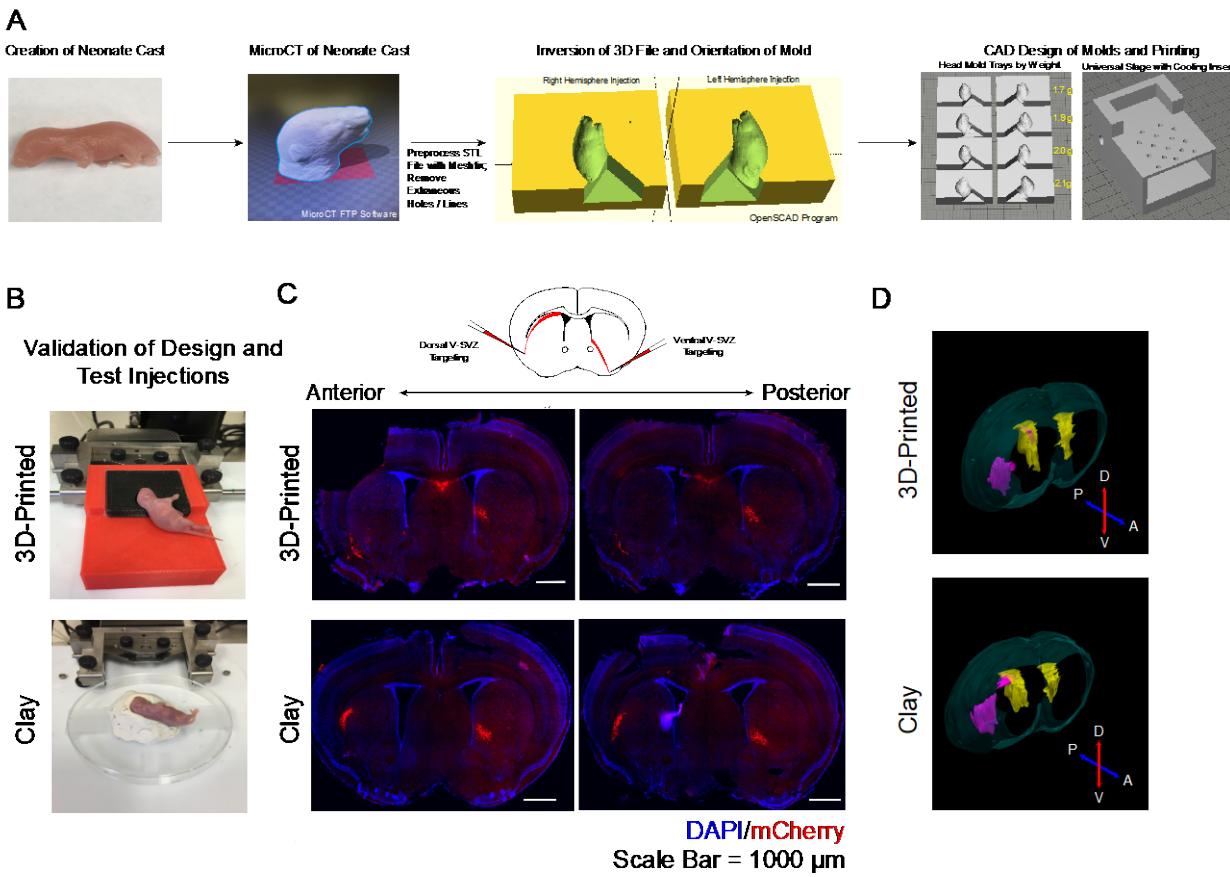


Fig. 1: A comparison of injections visualized through fluorescent microscopy and 3D brain reconstructions showed no injection differences. A) 3D-printed head mold construction workflow; B) Representative images of injection set-up with 3D-printed and clay head molds; C) Representative wide field images of serial coronal mouse brain sections (60-µm thickness) with viral targeting of ventral and dorsal V-SVZ through striatal injections, scale bars = 1000 µm; D) Representative 3D reconstructions of mouse brains with dorsal V-SVZ targeting, color scheme: blue = brain surface, yellow = V-SVZ, pink = dorsal injection labelling.

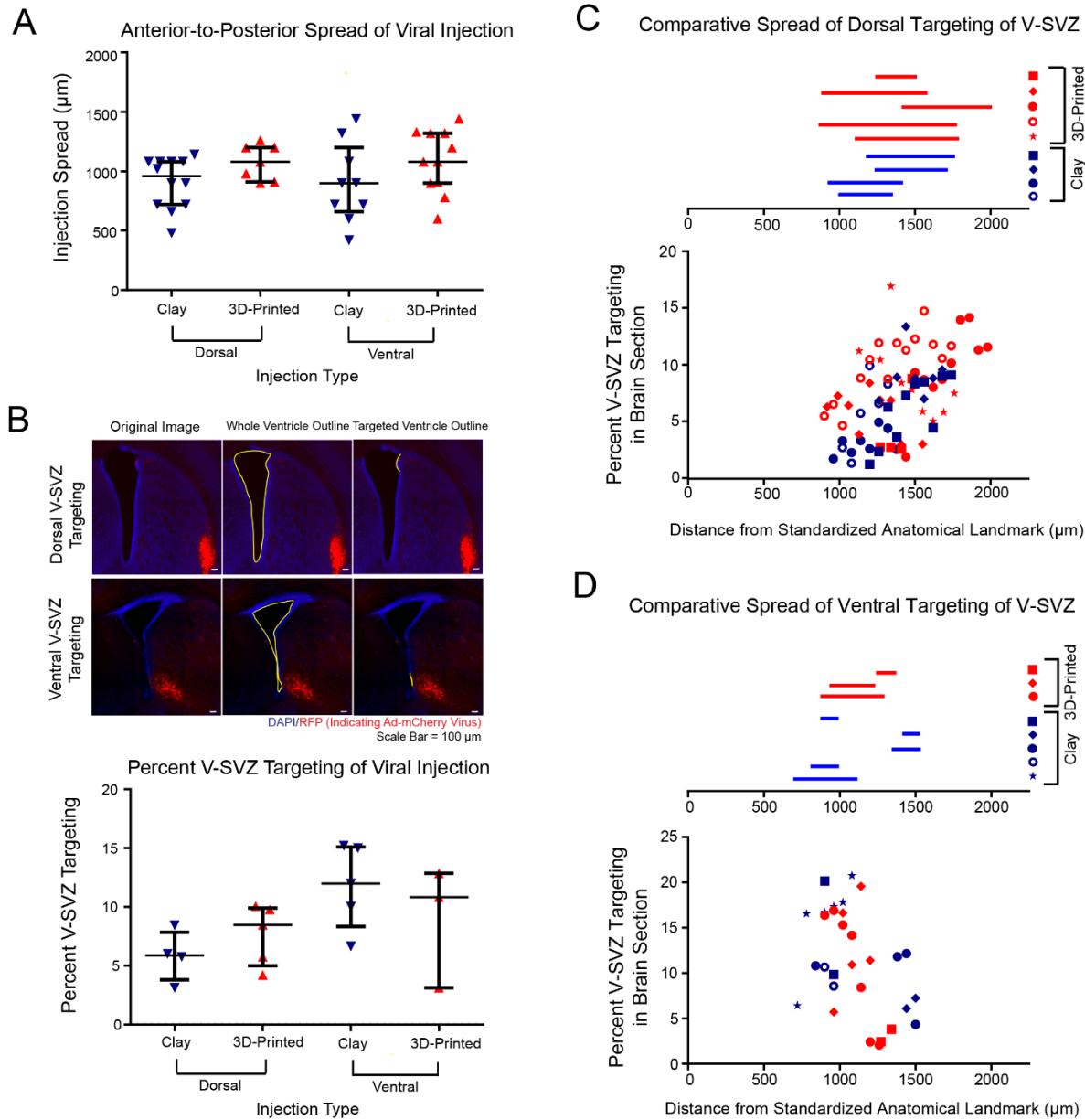


Fig. 2: A quantitative comparison of viral injection features revealed no differences between injections with the 3D-printed and clay head molds on 1.6-1.9 g mice. A) Data plot comparing anterior-to-posterior spread of dorsal and ventral injections with 3D-printed and clay head molds, median and interquartile range shown; B) Top: schematic of image analysis technique for percent V-SVZ computation (targeted ventricle outline/whole ventricle perimeter $\times 100$), scale bars = 100 μ m; Bottom: Data plot comparing percent V-SVZ targeting of dorsal and ventral injections with 3D-printed and clay head molds, median and interquartile range shown; C) Distribution of dorsal V-SVZ targeting from a standardized anatomical point

(represented as 0 μm) along the anterior-posterior axis, each shape indicates a different brain hemisphere of injection; D) Distribution of ventral V-SVZ targeting from a standardized anatomical point (represented as 0 μm) along the anterior-posterior axis, each shape indicates a different brain hemisphere of injection

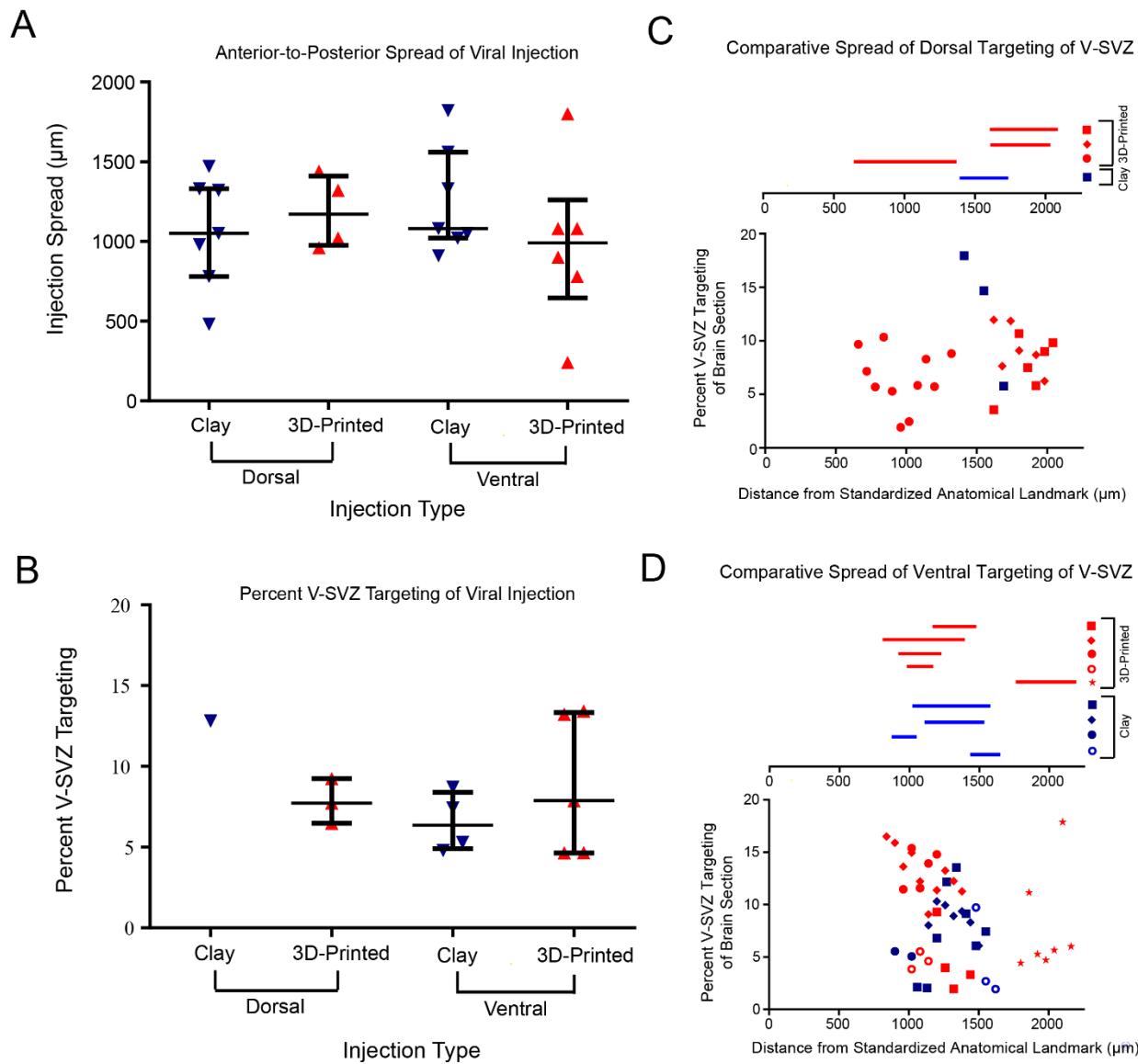
Supplementary Figure and Tables

Stereotaxic Coordinates (from center of eye)			
Targeting Location	Posterior (mm)	Lateral (mm)	Depth (mm)
Dorsal	2.0	1.5	2.5
Ventral	2.0	3.0	3.6

Table 1: Stereotaxic coordinates for subregion-specific targeting of V-SVZ on 1.7 +/- 0.2 g mice

Head Mold Type		
Targeting Location	3D-Printed	Clay
Dorsal	71.4% (5/7)	41.7% (5/12)
Ventral	27.3% (3/11)	55.6% (5/9)

Table 2: Summary table of percentage of RFP-labelling injections that successfully targeted the V-SVZ (with fraction of successfully targeted brains shown)



Supplementary Fig. 1: A quantitative comparison of viral injection features revealed no differences between injections with the 3D-printed and clay head molds on 2.0-2.3 g mice. A) Data plot comparing anterior-to-posterior spread of dorsal and ventral injections with 3D-printed and clay head molds, median and interquartile range shown; B) Data plot comparing percent V-SVZ targeting of dorsal and ventral injections with 3D-printed and clay head molds, median and interquartile range shown; C) Distribution of dorsal V-SVZ targeting from a standardized anatomical point (represented as 0 μ m) along the anterior-posterior axis, each shape indicates a different brain hemisphere of injection; D) Distribution of ventral V-SVZ targeting from a standardized anatomical point (represented as 0 μ m) along the anterior-posterior axis, each shape indicates a different brain hemisphere of injection.