

1 Uneven protection of persistent giant kelp forest in the Northeast Pacific Ocean

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23 Abstract

24 In most regions, the distribution of marine forests and the efficacy of their protection is unknown. We
25 mapped the persistence of giant kelp forests across ten degrees of latitude in the Northeast Pacific
26 Ocean and found that 7.7% of giant kelp is fully protected, with decreasing percentages from north to
27 south. Sustainability goals should prioritize kelp mapping and monitoring, while protection and
28 climate adaption targets should account for habitat dynamics.

29

30 Main

31 Protected areas are a cornerstone for sustainability and biodiversity conservation¹. As a result, the past
32 decade has seen an increase in the area of marine and terrestrial ecosystems protected², stimulated by
33 international agreements that promote area-based conservation. The Convention on Biological
34 Diversity (CBD) Aichi Target 11 and the Sustainable Development Goal 14^{3,4} aim to effectively
35 protect at least 10% of ecologically representative coastal and marine areas by 2020, with increased
36 aspirations to preserve 30% of oceans by 2030^{5,6}. A central component of Aichi Target 11 is that

37 protection includes a representative sample of coastal and marine habitats: many studies and national
38 reports assess the representation of species and habitats such as corals, seagrass and mangroves^{2,7,8}.
39 However, some essential habitats like kelp forests remain neglected and information on their status
40 and spatial distribution is largely lacking.

41
42 Kelp forests are one of the most productive⁹ ecosystems globally, comparable to coral reefs and
43 terrestrial rainforests. Distributed along 25% of the world's coastlines, they create a complex three-
44 dimensional habitat, which sustains a diverse community of species^{9,10}. However, extreme climatic
45 events, overfishing, pollution, and other anthropogenic impacts threaten the capacity of these
46 ecosystems to continue to produce goods and services worth billions of dollars to humanity^{11,12}.

47
48 As marine heatwaves, hypoxic events, and other extreme episodes are becoming more frequent and
49 severe¹³, ensuring the long-term persistence of species and ecosystems requires area-based
50 conservation and adaptive strategies to address ongoing changes in climate and ocean chemistry¹⁴.
51 One such strategy is protecting potential climate-refugia¹⁵, areas where the impacts of climate change
52 may be less severe¹⁶. For dynamic ecosystems like kelp, that are highly variable on seasonal, annual,
53 and decadal timescales⁹, it is critical to use long-term, large-scale datasets¹⁷ to understand their
54 persistence, resilience and resistance¹⁸, and therefore identify potential climate refugia areas. If we
55 map kelp forests and know patterns of persistence, we can prioritize their protection.

56
57 California, USA, and the Baja California Peninsula, Mexico, share the largest canopy-forming kelp
58 forest species, the giant kelp *Macrocystis pyrifera*¹⁹ (henceforth “kelp”). This transboundary region
59 has recently been subject to extreme marine heatwaves that decimated entire kelp forests^{20,21},
60 threatening the outcomes of conservation efforts that established a network of marine protected areas
61 in California²² and community-based marine reserves in Baja California²³. Despite progress, recent
62 reporting of marine habitat representation for both regions^{7,8} neglect kelp, and the conditions and
63 location of kelp forests that are potential climate change refugia are unknown. How can countries
64 meet post-2020 targets to adapt to climate change and protect 30% of marine habitats by 2030^{5,6,14}, if
65 no such information exists?

66
67 Here we map the distribution and persistence of highly dynamic *Macrocystis pyrifera* forests in the
68 Northeast Pacific Ocean — spanning over ten degrees of latitude — using a 35 year satellite time
69 series²⁴. We quantified the representation of low, mid, and high persistent kelp found in two levels of
70 protection (full and partial) across four distinct regions: Central and Southern California, and
71 Northern and Central Baja California (see methods for details). Finally, we adjusted representation
72 targets by calculating the additional area required to protect kelp that is expected to be present in any
73 given year (see methods and supporting information for details).

Results show that, across the Northeast Pacific Ocean, 7.7% of kelp is fully protected and 3.9% is partially protected (Fig 1a). By level of persistence, 11.7% of highly persistent kelp is fully protected, with lower values for mid and low persistence (Fig 1a). By distribution, Central California has the highest amount of persistent kelp forest found in the Northeast Pacific Ocean (34.8%), while Northern Baja has the lowest (13.5%) (Fig 1b). In terms of protection by region, we found a decrease from north to south in the area coverage of fully protected kelp (Fig 1c, 2), being highest in Central (20.9%) and Southern California (8.4%) and lowest in Northern and Central Baja California (~1%) (Fig 2). We found a similar pattern for partially protected kelp (Fig 1c, 2). Central California also holds the highest percentage of highly protected persistent kelp (Fig 1c, 2).

We found an average persistence value of 0.43, which means that 43% of kelp distribution has kelp present in any year on average (see methods and Tab S1) in the Northeast Pacific Ocean, indicating that only 3.3% (instead of 7.7%) of kelp habitat expected to be present in any year is fully protected. The average persistence value ranged from 0.57 (Central California) to 0.37 (Northern Baja California), suggesting that fully protecting 10% of present kelp in each region requires, on average, an increase in the amount of kelp protected by over two-fold (see supporting information, Tab S1-2). However, these targets are smaller if we focus protection on highly persistent kelp, decreasing from 23.1 to 17.6% (Tab S1-2).

Marine reserves, or fully protected areas, are more effective than partial protection at conserving biodiversity²⁵ and enhancing the resilience and adaptive capacity of ecosystems to climate impacts²⁶. By fully protecting 7.7% of kelp, the Northeast Pacific Ocean appears to be approaching the CBD Aichi target 11 of effectively protecting 10% of coastal areas by 2020. However, Central California is the exception and additional investments are needed in the other regions. This is particularly urgent for Mexico, where 22% of its exclusive economic zone is protected⁷, but the extent of kelp protection in marine reserves in the coastal region of Baja California is extremely limited (~1%).

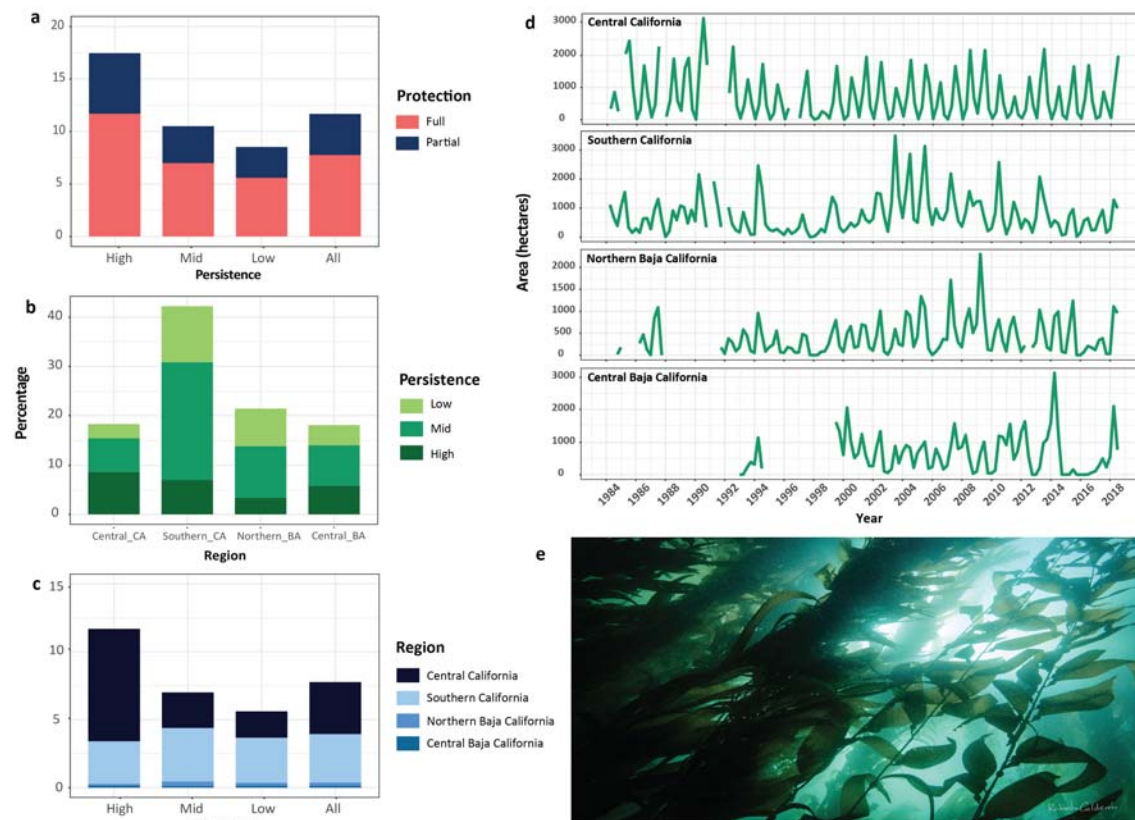
The uneven representation of persistent kelp in Baja California is of concern because the warm-distribution limit of the *Macrocystis pyrifera* is found here. This region is subject to episodes of higher sea surface temperatures and lower availability of nutrients, limiting kelp biomass and area²⁷. Kelp found near their warm distribution limit are more impacted by extreme climatic events^{21,27}, suggesting that future climate-driven impacts could significantly diminish the coverage of kelp in Baja California. Protection of persistent kelp in the region can minimize other local stressors, such as indirect negative effects of fishing (through removal of predators and release of herbivores that can over-graze kelp²⁸), and build the resilience required for these ecosystems to adapt and persist in the face of future changes. For this reason, fully protecting the highly persistent kelp forests that are exhibiting high resilience to climate variability and extremes in Baja California is an urgent priority.

Unless the trend of increase in CO₂ emissions is reversed, extreme climatic events are expected to become more frequent and severe in the following decades²⁹, which will require science-based adaptation strategies in the Northeast Pacific Ocean. Protecting persistent kelp is one such strategy, but other measures will also be necessary, such as the restoration of degraded kelp, the identification of genetically resilient kelp stocks, and the management of other anthropogenic impacts not mitigated by marine reserves¹¹. Importantly, we will need to test if persistent kelp acts as climate-refugia and understand the drivers and synergies (e.g., oceanographic features, human activities) which cause the high variability in local persistence (Fig 2), and how to integrate this information into the design of marine reserves.

Compared to less variable habitats like corals and mangroves, the highly dynamic nature of kelp forests⁹ (Fig 1d) poses unique challenges, rarely considered in conservation. Maps of kelp dynamics and persistence allow setting realistic and cost-effective habitat representation targets to protect kelp that is present in any given year. Not including this type of adjustments, can limit the amount of protected kelp that can provide the habitat structure for other community members.

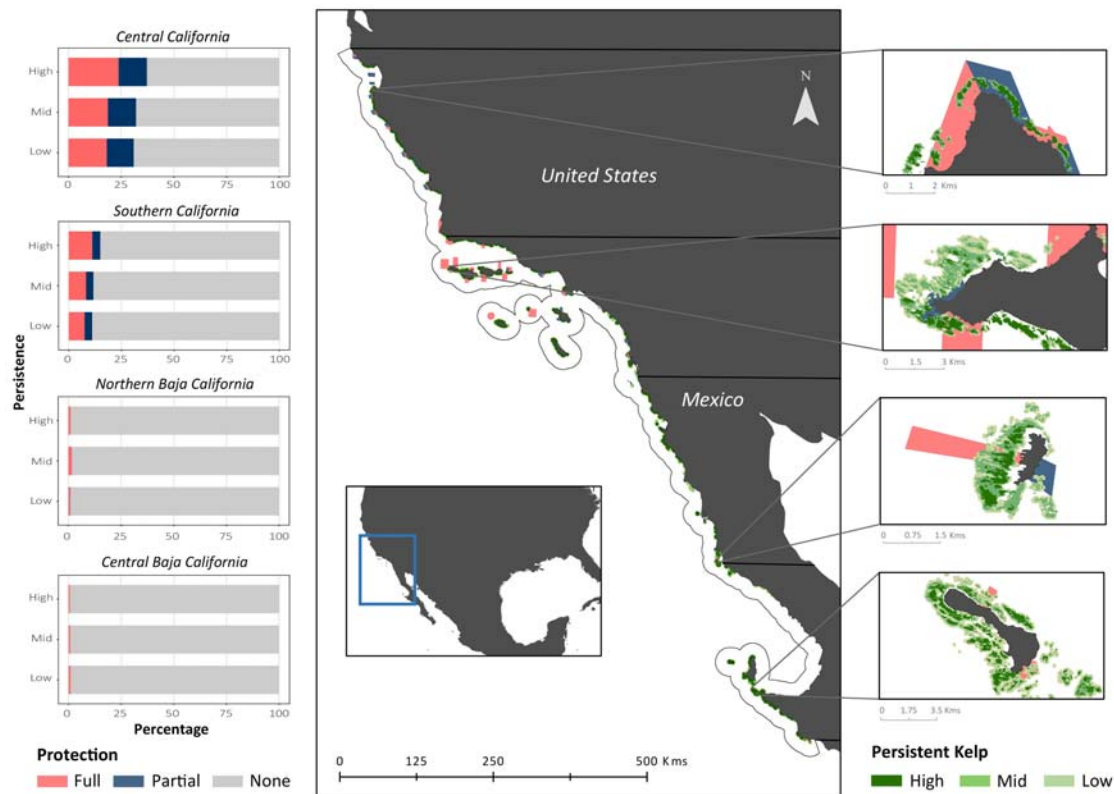
Here, we illustrate how to map and identify potential climate-refugia for kelp and other highly dynamic habitats. We advise increased protection of highly persistent kelp given their potential climate-refugia attributes, wide-ranging ecosystem services and as a cost-effective approach to meet realistic area-based targets. Our effort should be scaled-up to map the global distribution and dynamics of kelp forests, which will require a globally coordinated effort. Only then, can countries assess their progress at meeting representation targets and support conservation and restoration actions for one of the world's most productive ecosystems.

Fig. 1: Protected kelp by level of persistence in the Northeast Pacific Ocean



Bar plots (left) show the percentage of the total kelp **a**, protected, **b**, distributed in each region, **c**, fully protected in each region. Time series (right) show **d**, the total area of kelp canopy for each region over the past 35 years; **e**, example of giant kelp ecosystem.

Fig. 2: The spatial distribution of kelp by level of persistence and marine protected areas by level of protection



Left bar plots represent the percentage of persistent kelp protected per region. We provide fine-scale examples for each region.

Methods

The study area for this analysis encompasses the region where *Macrocystis pyrifera* is the dominant canopy kelp species in the Northeast Pacific Ocean. The region extends from Año Nuevo Island in the north (latitude $\sim 37.1^\circ$), California, USA, to Punta Prieta in the south (latitude $\sim 27^\circ$), Baja California Sur, Mexico. We mapped the distribution of giant kelp canopy and characterized persistence using a 30-m resolution satellite-based time series covering our entire study area (for a description of methods see³⁰ and²¹ for the dataset). These data provide quarterly estimates of kelp canopy area across the study region from 1984-2018. We characterized kelp persistence as the average number of years occupied by kelp canopy (at least during one quarter in a year) in each pixel ($n = 408906$) for the past 35 years. Then, we used kelp persistence data (values of zero had no kelp, one occupied all years) to group pixels into three persistence classes. We classified pixels as low persistence in the 25th percentile, with kelp found in less than 0.24 years. Mid persistence among the 25th and 75th percentile,

with kelp found between 0.24 and 0.59 years. High persistence over the 75th percentile, with kelp found over 0.59 years. To obtain the vectorial maps of kelp forest distribution for the three persistence levels, we rasterized the data points and converted them to polygons in ESRI ArcGIS Pro v10.8.

We obtained data on marine protected area location, boundary, and type for California from the National Oceanic and Atmospheric Administration (NOAA, 2020 version) and for community-based marine reserves in the Baja California Peninsula from Comunidad y Biodiversidad, an NGO that has been supporting the local fishing cooperatives in establishing the voluntary reserves. We performed a spatial overlay analysis to estimate the representation of kelp habitats in marine protected areas. We performed the analysis using ESRI ArcGIS Pro v10.8, calculating coverage through spatial intersections of two marine protected area categories (no-take and multiple-use) and kelp forest persistence (high, mid, and low) for our region. We combined and merged marine protected areas based on the two levels of protection: no-take areas are the most restrictive type where all extractive uses are prohibited (full protection), and multiple-use areas where some restrictions apply to recreational and commercial fishing (partial protection). We divided our region in four areas, Central and Southern California, and Northern and Central Baja California. These four regions represent distinct biogeographic areas³¹ where species composition varies because of oceanographic forcing, or geographic borders (USA and Mexico border). We conducted the analysis for the entire region and separately for each of the four regions.

Finally, we estimate a multiplier required to ensure we are meeting representation targets for protecting kelp forest habitat that is present, rather than just its potential distribution (see supporting information). We define present kelp as the probability that a pixel will have kelp in any given year, thus maintaining the habitat structure they provide, and potential kelp distribution as any pixel covered by kelp at least once in the time series.

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280

281 **Contributions**

282 N.A.-D conceived the idea with inputs from K.C.C, H.P.P, and F.M. N.A.-D conducted the spatial
283 analysis, K.C.C led the kelp mapping with the support of K.C and T.B. N.A.-D wrote the manuscript
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289 **Ethics declaration**

290 The authors declare no competing interests

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