

1 **No evidence for whole-chromosome dosage compensation or global transcriptomic expression**  
2 **differences in spontaneously-aneuploid mutation accumulation lines of *Saccharomyces cerevisiae***

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13

14 **Abstract**

15 Aneuploidy, the state in which an organism's genome contains one or more missing or additional  
16 chromosomes, often causes widespread genotypic and phenotypic effects. Most often, aneuploidies are  
17 deleterious; the most common examples in humans being Down's syndrome (Trisomy 21) and Turner's  
18 syndrome (monosomy X). However, aneuploidy is surprisingly common in wild yeast populations. In  
19 recent years, there has been debate as to whether yeast contain an innate dosage compensation  
20 response that operates at the gene, chromosome, or the whole-genome level, or if natural isolates are  
21 robust to aneuploidy without such a mechanism. In this study, we tested for differential gene expression  
22 in 20 aneuploid and 16 euploid lines of yeast from two previous mutation accumulation experiments,  
23 where selection was minimized and therefore aneuploidies arose spontaneously. We found no evidence  
24 for whole-chromosome dosage compensation in aneuploid yeast but did find some evidence for  
25 attenuation of expression on a gene-by-gene basis. We additionally found that aneuploidy has no effect  
26 on the expression of the rest of the genome (i.e. "trans" genes), and that very few mutually exclusive  
27 aneuploid lines shared differentially expressed genes. However, we found there was a small set of genes  
28 that exhibited a shared expression response in the euploid lines, suggesting an effect of mutation  
29 accumulation on gene expression. Our findings contribute to our understanding of aneuploidy in yeast  
30 and support the hypothesis that there is no innate dosage compensation mechanism at the whole-  
31 chromosome level.

32

33 **Introduction**

34 Aneuploidy occurs when an organism contains an abnormal number of one or a few  
35 chromosomes. Familiar examples are those causing human disorders, such as Down's syndrome  
36 (trisomy 21) or Turner's syndrome (monosomy X) (HASSOLD AND HUNT 2001). While autosomal  
37 aneuploidies are generally deleterious in most organisms, presumably because of dosage problems  
38 (CHUNDURI AND STORCHOVA 2019), in some species, aneuploidies are surprisingly common, such as in some  
39 wild yeast (*Saccharomyces cerevisiae*) isolates (STROPE *et al.* 2015). It has been shown experimentally  
40 that the accumulation or loss of chromosomes can be adaptive in certain environments (SELMECKI *et al.*  
41 2006; PAVELKA *et al.* 2010; CHEN *et al.* 2012; YONA *et al.* 2012; SELMECKI *et al.* 2015; DE VRIES *et al.* 2018).  
42 For example, yeast grown in an oxide-rich medium accumulate an extra copy of chromosome XI as a  
43 response to oxygen stress (KAYA *et al.* 2015), and resistance to fluconazole in *Candida albicans* often  
44 involves an increase in copy number of a single chromosome (WAKABAYASHI *et al.* 2017; Koo *et al.* 2018).  
45 There is debate as to how aneuploidy is tolerated in wild populations. Some hypothesize there is an  
46 intrinsic mechanism of transcriptional dosage compensation to buffer the deleterious effects of  
47 imbalanced gene dosage (HOSE *et al.* 2015; GASCH *et al.* 2016), similar to the mechanism of dosage  
48 compensation observed in sex chromosomes (MARIN *et al.* 2000). Such autosomal compensation has  
49 been observed in *Drosophila* and other species (BIRCHLER *et al.* 1990; MATOS *et al.* 2015). In yeast, the  
50 presence of such a mechanism has been debated, with some studies concluding that there is no  
51 evidence for transcriptional dosage compensation at the whole-chromosome level (TORRES *et al.* 2010),  
52 and others suggesting that aneuploid wild yeast post-translationally attenuate protein levels by  
53 increasing protease activity or upregulating genes that are part of multiprotein complexes so that the  
54 relative dosages are more even (CHEN *et al.* 2003; VEITIA *et al.* 2008).

55 While dosage compensation has been observed for autosomes in *Drosophila* (DEVLIN *et al.* 1982;  
56 BIRCHLER *et al.* 1990; MCANALLY AND YAMPOLSKY 2009; CHEN AND OLIVER 2015; HANGNOH LEE 2016; LEE *et al.*  
57 2016), it is unknown whether such an intrinsic mechanism exists in yeast. The fact that yeast are often  
58 found to be aneuploid in natural isolates (STROPE *et al.* 2015) and develop aneuploidies in response to  
59 certain environmental conditions (SELMECKI *et al.* 2006; PAVELKA *et al.* 2010; CHEN *et al.* 2012; YONA *et al.*  
60 2012; SELMECKI *et al.* 2015; DE VRIES *et al.* 2018) could suggest that aneuploidy causes changes in gene  
61 expression that are adaptive, and no DC exists (KAYA *et al.* 2015; LINDER *et al.* 2017). Alternatively, yeast  
62 may be naturally robust to aneuploidy, so that aneuploid strains do not differ in fitness and thus occur in  
63 nature as neutral variants. The second hypothesis, coupled with the occurrence of aneuploid strains at

64 reasonably high frequencies, suggests that yeast may contain an innate mechanism for attenuating or  
65 compensating for differences in gene dose and that mutation to aneuploidy is relatively frequent.

66 Understanding dosage compensation is important for several reasons. Aneuploidy cannot be  
67 avoided because segregation machinery is not perfect, and mitotic and meiotic cells both experience  
68 nondisjunction events. As such, determining whether there are intrinsic mechanisms of dosage  
69 compensation gives insight into the likely consequences of such aneuploidy. Dosage compensation is  
70 also critically important during the evolution of sex chromosomes from homomorphic autosomes  
71 (CHARLESWORTH 1991). Dosage compensation is thought to play a critical role in the evolution of sex  
72 chromosomes because of their different copy numbers in males versus females, a common example  
73 being the X-chromosome in XY systems. There are a variety of ways in which dosage compensation  
74 occurs in sex chromosomes to make up for differences in gene dosage between the sexes (CHANDLER  
75 2017). However, the degree to which compensation evolves prior to, during, or after the evolution of  
76 dimorphism remains an open question (GU AND WALTERS 2017). More information on the consequences  
77 of aneuploidy in spontaneously-aneuploid yeast genomes can provide further insights into the evolution  
78 of sex chromosomes and imbalances in gene dose.

79 To fully understand the effects of aneuploidy on yeast populations, we seek estimates of the  
80 rate of aneuploidy and the effects of aneuploidy on gene expression. Previous studies have observed the  
81 effects of aneuploidy in wild yeast populations, where selection is acting; and in chemically- or  
82 mitotically-induced aneuploids, where the rate of production of aneuploids is being manipulated (LINDER  
83 *et al.* 2017); (CAMPBELL *et al.* 1981; ANDERS *et al.* 2009; MULLA *et al.* 2014). In this study, we sought to  
84 determine the spontaneous rate of aneuploid formation for each chromosome and the effects of  
85 aneuploidy on gene expression in two strains of diploid yeast *in the absence of selection*. In each strain,  
86 spontaneous aneuploid events were captured during a 2000-generation mutation accumulation (MA)  
87 experiment with a single-cell bottleneck every 20 generations (JOSEPH AND HALL 2004a; ZHU *et al.* 2014).  
88 By passaging through a single-cell bottleneck the effective population size is kept small ( $N_e \approx 11$ ), which  
89 minimizes the effects of selection; only mutations with heterozygous fitness effects ( $s$ ) of approximately  
90 5% or greater (i.e.  $2s \geq 1/11$ ) will be efficiently acted on by selection (WRIGHT 1931). Using RNA  
91 sequencing, we analyzed the gene expression of 20 aneuploid and 16 euploid lines across both strains to  
92 find differentially expressed genes and to determine if there was evidence for dosage compensation at  
93 the whole-chromosome and individual-gene levels in yeast.

94

## 95 **Materials and Methods**

96 *Estimating the spontaneous rate of aneuploid mutation*

97 To determine the rate at which spontaneous aneuploidy occurs in yeast, we analyzed data from  
98 two previous mutation accumulation (MA) experiments (JOSEPH AND HALL 2004b). In both, an ancestral  
99 strain was copied into multiple MA lines, which were then maintained separately for ~2000 cell  
100 generations (G) (2063 generations in the homozygous ancestor lines and 2108 in the heterozygous  
101 ancestor lines) via single-colony transfer every 48 hours ( $\pm$  1 hour) for 100 transfers on solid YPD (1%  
102 yeast extract, 2% peptone, 2% glucose, 2% agarose) medium. The actual number of generations that  
103 passed was estimated by measuring colony size after 48 hours of growth in a representative sample of  
104 lines and passages and then determining cell number by counting using a hemocytometer. To confirm  
105 that the vast majority of cells present at 48 hours were viable, we also estimated cell number by serial  
106 dilution and plating (data not shown).

107 The two diploid ancestral strains differed in their origin and degree of heterozygosity. One strain  
108 was obtained from a mating between NCYC 3631, which is a *Mat $\alpha$*  derivative of YPS606 (an oak strain  
109 from Pennsylvania, USA), and NCYC3596, a *Mat $\alpha$*  derivative of DBPVG1106 (a wine strain isolated from a  
110 lici fruit in Indonesia). This highly heterozygous strain had a heterozygous site every ~250 bp and was  
111 homozygous for *ho* and *ura3* mutations.

112 The other strain was derived from a standard lab strain (S228C) and carried the following  
113 mutations: *ho ade2*, *lys2-801*, *his3-ΔD200*, *leu2-3.112*, and *ura 3-52* (JOSEPH AND HALL 2004a). The strain  
114 was obtained by transforming a *Mat $\alpha$*  haploid version of the strain with an *HO URA3* plasmid to  
115 generate a diploid version of the strain, followed by counterselection of the plasmid on 5FOA (JOSEPH  
116 AND HALL 2004a). This strain was thus homozygous at all loci except the mating type locus.

117 We used the number of aneuploid chromosomes in the MA lines at the end of the experiment  
118 to calculate the rate at which aneuploidy occurs in each of these strains. In brief, if the rate of  
119 aneuploidy for chromosome c is  $\mu_c$ , then the probability that a line is not aneuploid for this chromosome  
120 is  $(1-\mu_c)^G$ , where G is the number of generations of MA. Thus if  $n_c$  MA lines show aneuploidy for this  
121 chromosome, implying that  $(n - n_c)$  do not, where n is the total number of MA lines, then we can  
122 estimate the rate of aneuploidy per chromosome by solving  $(1-\mu_c)^G = (1 - n_c/n)$  for  $\mu_c$ . Similarly, we can  
123 estimate the overall aneuploidy rate,  $\mu$ , which is the probability that a cell will become aneuploid for any  
124 chromosome in a single cell division, by solving  $(1-\mu)^G = (1 - n_a/(16 n))$  for  $\mu$ , where  $n_a$  is the number of  
125 aneuploid chromosomes across all MA lines.

126

127 *Estimating the effects of aneuploidy on gene expression*

128 To determine the effects of aneuploidy on gene expression, we collected and analyzed RNA  
129 sequencing data from a selection of euploid and aneuploid lines from each experiment. For aneuploid  
130 samples, we chose all the MA lines that were monosomic for a chromosome (3 lines), those that shared  
131 common aneuploidies (21 lines), and those that had more than one aneuploidy event (4 lines). From the  
132 homozygous ancestor experiment, we selected 10 aneuploid and 12 euploid MA lines. From the  
133 heterozygous ancestor experiment, we selected 10 aneuploid and 6 euploid MA lines. Additionally, we  
134 collected RNA sequencing data for both ancestral lines. The MA and the ancestral lines that had been  
135 stored at -80°C were pulled from the freezer by streaking onto YPD plates (1% yeast extract, 2%  
136 peptone, 2% glucose, 2% agarose) for RNA extraction (see below). The homozygous strains were run in  
137 two separate RNA sequencing runs, separated by 2 years. In both sequencing runs, we included three  
138 replicates of each ancestor. For analysis, we kept these two datasets separate because we found that  
139 the ancestor was significantly different across the two sequencing runs. Across both strains and  
140 sequencing runs, we obtained RNA sequencing data for 38 strains representing two ancestor strains, 20  
141 euploid lines and 16 aneuploid lines.

142 For obtaining RNA, each line was pulled onto solid YPD and allowed to grow for two days at  
143 30°C, and then three individual colonies (biological replicates) were used to inoculate three 3ml liquid  
144 YPD cultures (no agarose) of each line. Liquid cultures were incubated on a rotator at 30°C for 24 hours,  
145 before being diluted into 50ml YPD and allowed to grow on a shaker at 30°C for 6 hours. Optical density  
146 (OD) measurements were taken to ensure all cultures were in the same log growth phase. Cells were  
147 then pelleted, and RNA was extracted from each replicate using the MasterPure Yeast RNA Purification  
148 Kit (Epicentre). Integrity, concentration, and quality of RNA samples were assessed using a Qubit  
149 (Thermo Fisher Scientific). Libraries were prepared using the Illumina Stranded RNAseq Kit and were  
150 sequenced at the Georgia Genomics and Bioinformatics Core (<https://dna.uga.edu/>) on the Illumina  
151 NextSeq (75 cycles) single end 75bp reads High Output flow cell. Samples were multiplexed and split  
152 across two sequencing lanes.

153 Raw reads were processed by the Georgia Genomics and Bioinformatics Core to remove  
154 sequencing adapters and demultiplex samples. Quality control was performed using FastQC version  
155 1.8.0\_20 with default parameters (available at [www.bioinformatics.babraham.ac.uk/projects/fastqc/](http://www.bioinformatics.babraham.ac.uk/projects/fastqc/)).  
156 Low-quality bases were trimmed using Trimgalore version 0.4.4 using -phred 33, -q 20 (available at  
157 [www.bioinformatics.babraham.ac.uk/projects/trim\\_galore/](http://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)). RNA sequences were aligned to the  
158 *Saccharomyces cerevisiae* reference genome (UCSC version sacCer3, available at  
159 [support.illumina.com/sequencing/sequencing\\_software/igenome.html](http://support.illumina.com/sequencing/sequencing_software/igenome.html)) and transcripts were annotated

160 using Tophat v. 2.1.1 with -i 10 -l 10000 (TRAPNELL *et al.* 2012). Cufflinks v. 2.2.1 was used to assemble  
161 sample transcriptomes using default parameters (TRAPNELL *et al.* 2012) and Cuffnorm v. 2.2.1 was used  
162 with default parameters to normalize reads. Differential expression was determined using Cuffdiff v.  
163 2.2.1 with default parameters, and raw read counts were found using HTseq v. 0.6.1pl (Python v. 2.7.8)  
164 (ANDERS *et al.* 2015). Finally, we used Samtools v. 1.3.1 to convert *.sam* files into *.bam* files and sort the  
165 resulting *.bam* files (LI *et al.* 2009). Bash scripts for genome assembly and annotation can be found at  
166 [https://github.com/hollygene/Dosage\\_Compensation/tree/master/src/bash\\_scripts](https://github.com/hollygene/Dosage_Compensation/tree/master/src/bash_scripts).

167 To compare chromosome-level changes in gene expression across strains, Cuffnorm v. 2.2.1  
168 (TRAPNELL *et al.* 2012) was used to calculate FPKM (fragments per kilobase per million reads) for each  
169 RNAseq data set. A custom bash script was then generated to join the FPKM values for each strain with  
170 the gene annotations file, convert the resulting file into a *.csv* formatted file, remove mitochondrial  
171 sequences (as we were not interested in mitochondrial gene expression), and change the chromosome  
172 names from Roman numerals to numbers (script can be found at  
173 [https://github.com/hollygene/Dosage\\_Compensation/blob/master/src/bash\\_scripts/old/DC\\_workflow\\_April2017.sh](https://github.com/hollygene/Dosage_Compensation/blob/master/src/bash_scripts/old/DC_workflow_April2017.sh)). For each gene, the average FPKM across the three replicates for each strain was  
174 calculated, followed by the average FPKM ratio (average FPKM in an MA line divided by the average  
175 FPKM in the ancestor). We noticed that the FPKM ratio was highly variable across MA line replicates for  
176 genes with an average FPKM < 5 across all euploid strains (ancestor + euploid MA lines), so we removed  
177 such genes, leaving 6181 genes. We also removed rRNA genes and tRNA genes, as these are challenging  
178 to map accurately and, because of their propensity to show extreme variation in copy number, can  
179 cause issues with data normalization. This left a total of 5953 genes for the final analysis.

181 To determine whether there was evidence for dosage compensation at the whole-chromosome  
182 level, we compared the average FPKM ratio for genes on an aneuploid chromosome to the expectation  
183 from changes in gene dose due to changes in chromosome copy number. Thus, a trisomic chromosome  
184 would be expected to show a 1.5-fold increase in gene expression and an average FPKM ratio = 1.5  
185 (log2ratio = 0.585). Similarly, monosomic and tetrasomic chromosomes should show average FPKM  
186 ratios of 0.5 (log2ratio = -1) and 2 (log2ratio = 1), respectively. We asked whether the observed  
187 distribution was consistent with the expected FPKM ratio by calculating the mean and confidence  
188 interval of the average FPKM ratio (a one-sample t-test). All analyses were done in RStudio (TEAM 2013).  
189 R scripts for data analysis are available at  
190 [https://github.com/hollygene/Dosage\\_Compensation/tree/master/src/R/scripts/Final\\_Scripts\\_Used](https://github.com/hollygene/Dosage_Compensation/tree/master/src/R/scripts/Final_Scripts_Used).

191 As we did for chromosome-level gene expression analysis, previous studies have almost  
192 exclusively used FPKM to measure gene expression to compare across strains or treatments. However,  
193 the use of FPKMs has been criticized because of loss of power when there are relatively few replicates  
194 (three in our experiments), they can vary between samples, and they can be affected by different  
195 reference transcriptome annotations (ZHENG *et al.* 2011; WAGNER *et al.* 2012; WU *et al.* 2013; ARORA *et al.*  
196 2020). The possible loss of statistical power is tied to the multiple comparison issue that arises when  
197 examining genes one at a time; however, this is not an issue when comparing chromosome-level  
198 expression with tens or hundreds of genes for each chromosome as we did in the chromosome-wide  
199 gene expression analysis above. We thus used FPKM data to make our results more comparable to  
200 previous studies. When examining individual genes, however, power becomes a very serious concern. As  
201 such, we used an alternate method, *DESeq2* (LOVE *et al.* 2014), that uses statistical models to estimate  
202 the distribution for the expression level for a gene in a particular treatment (in our case ancestor versus  
203 MA line). Importantly, the method also models the dispersion of the read depth (RNA expression level),  
204 assuming the distribution of the expression level can be accurately represented by a negative binomial  
205 so that genes of similar expression have similar dispersion. This method is thus expected to more  
206 accurately estimate the actual read depth by explicitly considering the variance in the read depth across  
207 replicates. As a result, an unusually high or low depth for one replicate will have less impact on the  
208 normalization compared to the depths for the other replicates. The method should thus be able to  
209 better detect genes that are differentially expressed (DE) in an MA line versus its ancestor when  
210 analyzing individual genes.

211 Raw read counts obtained from Htseq-count were used as input for *DESeq2* (LOVE *et al.* 2014;  
212 ANDERS *et al.* 2015). Individual *DESeqDataSets* were produced for each strain, due to the high variation  
213 found across strains, as determined by principal component analysis (PCA) (Supplemental Figure 1).  
214 Genes expressed at low levels tend to have high variance and there is thus low power to detect changes  
215 in expression. Reads with counts less than 10 in every replicate were removed from further analysis. We  
216 used a more stringent cutoff in this analysis to focus on genes for which we have the most power for  
217 detecting a change in expression, since we are analyzing individual genes. Removing such genes from  
218 the data set resulted in 5532 genes being analyzed.

219 The *DESeq()* function was implemented on all datasets with default parameters. Annotations  
220 were added using the *S. cerevisiae* database from Bioconductor (CARLSON M 2015). The *results()* function  
221 in *DESeq2* was implemented with default parameters, using a False Discovery Rate (FDR) of 0.1. Analyses  
222 were performed with one MA line and the ancestor at a time, since running all strains together would

223 lead to an overestimate of dispersion because of the numerous aneuploid chromosomes in MA lines  
224 (see above). For one of the two batches of the homozygous strain, one of the ancestor replicates was  
225 substantially different based on a PCA, and so only 2 of the 3 ancestor replicates were used  
226 (Supplemental Figure 1A). Similar to the whole chromosome analysis, ratio distributions equal to the  
227 sample mean divided by the ancestral mean for the normalized counts were obtained from *DESeq2*  
228 estimated read counts. To visualize the data, histograms for both cis (present on aneuploid  
229 chromosome) and trans (present on remainder of chromosomes) genes were generated using *ggplot2* in  
230 R (WICKHAM 2016).

231 In addition to looking at all genes in the genome to identify those that were differentially  
232 expressed, we also specifically concentrated on a few classes of genes that have been identified in  
233 previous work as either being dosage sensitive (DS) (115 genes, MAKANAE *et al.* 2013), or particularly  
234 likely to alter expression in response to stress. These latter genes include those in the environmental  
235 stress response (ESR) pathway (139 genes, GASCH *et al.* 2000) and those thought to play a role in  
236 aneuploidy stress response (ASR) (201 genes, TORRES *et al.* 2007). ASR genes were previously shown to  
237 be significantly differentially expressed in aneuploid but not euploid strains. To identify significant DE for  
238 genes from these categories, we tested each gene's expression against the expected expression for a  
239 disomic gene in strains where the genes were not on the aneuploid chromosome(s), and determined  
240 which genes were significantly different. We then parsed the significantly differentially expressed genes  
241 into the ESR/DS/ASR pathways and counted how many times each gene appeared (across samples; i.e.  
242 7/10 aneuploid lines shared 3 DE ASR genes) as a measure of its degree of consistent DE across  
243 aneuploid MA lines.

244

245 *Data availability*

246 Raw sequencing reads and processed data files used in this analysis are available under the GEO  
247 accession number ##### (will be available once manuscript is published).

248

249 **Results**

250 *The rate of spontaneous aneuploidy is nearly twice as high in the heterozygous strain as the homozygous*  
251 *strain*

252 The number of aneuploidy events by chromosome is shown in Table 1. We assume that  
253 aneuploidy is caused by mitotic nondisjunction since cells were kept asexual. Even if a cell attempted to  
254 undergo meiosis, the cessation of growth, coupled with the short time between transfers would

255 essentially guarantee that it would be lost during passaging. In addition, in the heterozygous ancestor  
256 we saw no cases of close-to-50% genome-wide homozygosity, which would be expected with meiosis  
257 and then intratetrad mating to regenerate a diploid strain (data not shown). The total number of events  
258 in the homozygous ancestor strain varied between 0 and 5 per chromosome, which implies a maximal  
259 observed rate of nondisjunction for a single chromosome of  $1.70 \times 10^{-5}$  events/division (obtained by  
260 solving  $(1-\mu_c)^{2063} = 140/145$  for  $\mu_c$ ), and a minimum of zero. The observed rate of an event for any  
261 chromosome (i.e. the genome-wide rate) is  $6.73 \times 10^{-6}$  events/division (obtained by solving  $(1-\mu)^{2063} = 1 -$   
262  $32/(16*145)$  for  $\mu_c$ ). The total number of events in the heterozygous ancestor varied between 0 and 7  
263 per chromosome, which implies a maximal observed rate of nondisjunction for a single chromosome of  
264  $1.56 \times 10^{-4}$  events/division (obtained by solving  $(1-\mu_c)^{2108} = 69/76$  for  $\mu_c$ ), and a minimum of zero. The  
265 observed rate of an event for any chromosome is  $1.51 \times 10^{-5}$  events/division (obtained by solving  $(1-$   
266  $\mu)^{2108} = 1 - 38/(16*76)$  for  $\mu_c$ ), which is over two-fold higher than for the homozygous strain. Examination  
267 of the number of euploid versus aneuploid lines indicates that this is a significant difference (Fisher's  
268 Exact test,  $p = 0.004102$ ).

269 We note that there were two monosomies and 30 trisomies, a 15-fold difference, in the  
270 homozygous experiment and one monosomy and 35 trisomy events in the heterozygous experiment.  
271 Since a single nondisjunction event creates both types of aneuploids in the daughter cells, this  
272 imbalance implies that monosomies are substantially under-represented in the MA experiments. This  
273 finding suggests that monosomies have effects on fitness that are large enough to be seen by selection,  
274 even in the low-selection MA framework. Thus, the actual rate of aneuploidy might perhaps be better  
275 estimated as twice the trisomy event rate, giving  $1.23 \times 10^{-5}$  and  $2.77 \times 10^{-5}$  events per cell division for  
276 the homozygous and heterozygous ancestor strains, respectively.

277 In addition, two chromosomes, 6 and 13, comprise 0 out of 70 observed aneuploidy events  
278 across the two experiments. If events occurred at random, each chromosome should have 1/16 of the  
279 observed events, or 4.4 each. Under a Poisson distribution, the probability of having a chromosome with  
280 no events when the expected number is 4.4 equals  $e^{-4.4} = 0.013$ . It thus seems clear that aneuploidy of  
281 chromosomes 6 and 13 either cause strongly deleterious fitness effects or are not tolerated (i.e. are  
282 lethal). However, these aneuploidies have been seen in clinical yeast samples (ZHU *et al.* 2016),  
283 suggesting that differences in genetic background and/or environment may alter the degree to which  
284 aneuploidy is deleterious.

285 To address whether one aneuploidy event increases the probability of another, we asked  
286 whether there was an excess of strains carrying two or more aneuploidies. For the homozygous strain,

287 28 of the 145 MA lines were found to be aneuploid. Of these, four lines contained two aneuploidies (i.e.  
288 two separate chromosomes had become aneuploid), which is not significantly different from the Poisson  
289 expectation of 3 (Fisher's Exact Test,  $p > 0.99$ ). For the heterozygous strain, 29 out of 76 sequenced MA  
290 lines were found to be aneuploid. Of these, seven lines contained two aneuploidies, which is the same  
291 as the Poisson expectation. These results suggest that one aneuploidy event does not increase the  
292 probability of another. Similarly, we found only one tetrasomic sample across the two experiments. A  
293 single non-disjunction event can produce both a monosomic and a trisomic chromosome in a diploid  
294 strain. Thus, two events are required to have obtained the one tetrasomic MA line.

295 To determine whether chromosome size effects the number of nondisjunction events observed  
296 in our MA experiments, we plotted size versus number of nondisjunction events (Supplemental Figure  
297 2). While there is clearly variation in which chromosomes become aneuploid, there was no significant  
298 relationship with size ( $R^2=0.028$  heterozygous ancestor;  $R^2=0.046$  homozygous ancestor). However, only  
299 two chromosomes, I and IX, were found to be monosomic and both of these are relatively small  
300 chromosomes (I is the smallest at 230,218 bp, and IX is the 4<sup>th</sup> smallest at 439,888 bp). This suggests that  
301 while there is no noticeable effect of chromosome length on aneuploidy occurrence, monosity may be  
302 better tolerated for smaller chromosomes than larger chromosomes.

303

#### 304 *Little evidence for whole-chromosome dosage compensation in either strain*

305 We performed RNAseq on 10 euploid and 12 aneuploid homozygous ancestor strain MA lines,  
306 and on 6 euploid and 10 aneuploid heterozygous ancestor strain MA lines. Whole-chromosome gene  
307 expression was analyzed by calculating the average and 95% confidence intervals of gene expression for  
308 each chromosome (Figure 1, Supplemental Figure 4). ANOVAs were also run on each aneuploid sample,  
309 comparing the average gene expression from each chromosome to that of the other samples  
310 ( $lm(y \sim Line)$ , where  $y$  is FPKM ratio and  $Line$  is the line number). If there were complete dosage  
311 compensation occurring on the whole-chromosome level, we would expect no difference between  
312 aneuploid and euploid chromosomes, such that ANOVAs would show no effect of chromosome number  
313 on gene expression. However, in the absence of dosage compensation, chromosome number would  
314 have an effect, with aneuploid chromosomes underlying the significant difference among chromosomes.  
315 Further, in the absence of dosage compensation, we would expect gene expression to mirror gene dose  
316 such that aneuploid chromosomes would show 0.5 or 1.5-fold increases in expression for monosomic  
317 and trisomic chromosomes, respectively.

318        ANOVAs indicated that the effect of chromosome was significant ( $p < 0.01$ ) in every aneuploid  
319    MA line, as expected with no dosage compensation (Supplemental Data). For chromosomes that did not  
320    have any aneuploid lines represented in the dataset, we still found some differential expression in a few  
321    aneuploid lines. Specifically, for chromosome III, Line 76, 61, 59, 49, 18, 11 (heterozygous ancestor) are  
322    significantly different ( $p < 0.01$ ), suggesting that aneuploidy causes changes in gene expression of genes  
323    on chromosome III across aneuploid strains. Of the genes on chromosome III, 3 are in the aneuploid  
324    stress response (YCL037C, YCR057C, and YCR072C), 4 are in the environmental stress response  
325    (YCL035C, YCL040W, YCR004C, YCR091W), and 1 is dosage sensitive (YCR088W). However, none of the  
326    environmental or aneuploid stress response genes on this chromosome were significant in more than  
327    one sample from either ancestor, implying that the aneuploid/environmental stress response is unique  
328    to each sample. This might be explained by the samples having different aneuploidies – only samples 59  
329    and 61 shared the same trisomy.

330        ANOVAs on some euploid lines also gave significant  $p$  values for certain chromosomes,  
331    indicating that some chromosomes show changes in expression even in the absence of aneuploidy  
332    (Figure 1, Supplemental Figure 4, Supplemental Data). This could suggest an impact of passaging yeast in  
333    an MA framework on gene expression in yeast, but it is important to note that this result is from FPKM  
334    data, which can vary greatly between samples (see above).

335        If there were no chromosome-level dosage compensation, then the level of gene expression is  
336    expected to be proportional to chromosome copy number. For most aneuploid chromosomes in MA  
337    lines this prediction held: expression levels did not differ significantly from the expectation. However, in  
338    4 MA lines (line numbers 18, 49, 59 and 61) from the heterozygous ancestor, the expected level of gene  
339    expression was less extreme than expected based on chromosome copy number (Figure 1,  
340    Supplemental Figure 4). Chromosome I of line 18 had average expression change equal to 1.3-fold,  
341    chromosome V of line 49 had average expression change equal to 1.35-fold, chromosome VII of line 59  
342    had average expression change equal to 1.25-fold, and chromosome VII of line 61 had average  
343    expression change equal to 1.39-fold. All these values were significantly different from the expected  
344    expression level of 1.5-fold ( $p < 0.05$ , one-sample t-test). The vast majority of gene expression changes,  
345    65 of 69 aneuploid chromosomes, are consistent with a lack of whole-chromosome dosage  
346    compensation occurring in either strain, and together these findings support previous work showing no  
347    whole-chromosome dosage compensation in aneuploid yeast (TORRES *et al.* 2010).

348

349        *Distribution of gene expression from euploid versus aneuploid chromosomes*

350 The previous analysis indicates that mean gene expression of aneuploid chromosomes seems to  
351 be predicted by gene dose. We next examined whether the mean expression for genes on the non-  
352 aneuploid (disomic) chromosomes is altered by aneuploidy. In addition, we examined whether the  
353 variance in gene expression for aneuploid chromosomes is the same as for euploid chromosomes in the  
354 20 aneuploid MA lines used for RNA sequencing, and whether the variance in gene expression differs  
355 between euploid MA lines and their euploid ancestor. The distribution of FPKM ratios (MA line FPKM /  
356 ancestor FPKM) for all genes in euploid samples (Supplemental Figures 5 & 6), for genes on the  
357 aneuploid chromosome(s) in aneuploid samples (cis genes), and for genes not located on the aneuploid  
358 chromosome(s) in aneuploid samples (trans genes) were analyzed (Supplemental Figures 7-12).

359 The expected mean expression ratio in euploid lines is 1. In every euploid line analyzed, the  
360 expected distribution had a mean that was indistinguishable from 1 ( $p > 0.1$ , Supplemental Figures 5 &  
361 6). For aneuploid lines, the expected mean expression for trans genes (those not located on the  
362 aneuploid chromosome) is not equal to 1. This is because the aneuploid chromosome will have more  
363 (for trisomy) or fewer (for monosomy) reads mapping to it than in a euploid line. This changes the  
364 percentage of reads that map to other chromosomes – fewer for trisomy and more for monosomy. The  
365 extent of this effect will also depend on chromosome size because chromosome size alters the  
366 percentage of the genome that it represents, with aneuploidy for larger chromosomes having a larger  
367 effect on the reads mapping to other chromosomes. In Supplemental Table 1, we indicate the expected  
368 mean lower expression level for trans genes in MA lines carrying a single trisomy. Similarly, for lines with  
369 monosomies, the expected higher mean expression of trans genes is indicated. We tested the mean  
370 expression of trans genes against the expectation based on the chromosomes for which they were  
371 aneuploid and found that in no case were they significantly different ( $p > 0.05$ , one-sample t-test;  
372 Supplemental Figures 7-12).

373 To examine whether the variance in gene expression is greater in aneuploid lines, we compared  
374 the variance in gene expression of both cis and trans genes to the variance of those same genes in a  
375 euploid line using a Levene's test. For comparisons, we randomly matched a euploid line with each  
376 aneuploid line. We determined whether the means and variances of these distributions differed from  
377 the expectation (the expectation being that both the means and the variances are equal between  
378 aneuploid and euploid lines). The variances of gene expression of cis genes were significantly different  
379 from the expectation in every case except for two: the comparison of homozygous line 15 (trisomic for  
380 chromosome 9) to homozygous line 5 (euploid) and the comparison of homozygous line 152 (trisomic  
381 for chromosomes 1 and 7) to homozygous line 1 (euploid) (Supplemental Table 2). There is nothing

382 immediately notable with these samples, though the ANOVA for chromosome VII line 1 was significant  
383 ( $p < 0.05$ ); there was no similar connection in line 5 for chromosome IX (Supplemental Data).

384

385 *Individual Dosage-Compensated Genes*

386 Our analyses indicated that at the whole-chromosome level aneuploidy leads to changes in gene  
387 expression predicted by gene dose, such that there was no evidence for dosage compensation, and  
388 minor (or no) effects on expression of the rest of genome. Next, we investigated individual genes. We  
389 sought to group genes present on aneuploid chromosomes into five categories based on their gene  
390 expression, using similar metrics as a previous study (MALONE *et al.* 2012): 1. Not dosage compensated:  
391 these genes have expression levels not significantly different from those predicted by their gene dose. 2.  
392 Partially dosage compensated: these genes show less-extreme gene expression changes than predicted  
393 by their dose. 3. Fully dosage compensated: these genes show no change in expression in response to  
394 changes in gene dose. 4. Over-dosage compensated: these genes show changes in expression that are in  
395 the opposite direction of the change in gene dose. 5. Anti-dosage compensated genes show more  
396 extreme changes in expression than predicted by the change in gene dose (in the direction of the  
397 aneuploidy – i.e. monosomic genes would have lower gene expression than predicted by monosity)  
398 (Supplemental Table 3). Any gene that had expression levels different from the ancestor and different  
399 from the expectation based on gene dose was assigned to one of the categories depending on their level  
400 of expression. For the aneuploid strains we analyzed, we found several genes in each of these categories  
401 (Table 2). Since we are testing many genes (5532), power becomes limited due to the need to correct for  
402 multiple testing. For this reason, it is important to test for expression that is consistent both with  
403 respect to the ancestor and to the expectation based on gene dose. Many genes do not differ from  
404 either, in which case we cannot conclude the degree to which they are compensated – these genes were  
405 assigned as category 0 genes, or “unknown” compensation. Our analyses revealed that the power to  
406 distinguish whether a gene exhibits dosage compensation or not is low; the vast majority of genes are in  
407 category 0 (Table 2). For those genes in other categories, we find that there is little agreement between  
408 different strains in terms of the percentage of genes in these categories.

409 We compared the trans genes of aneuploid samples with those of samples with a different  
410 aneuploid chromosome(s) to determine if there was a common response to aneuploidy, as has been  
411 shown in previous studies (GASCH *et al.* 2000; ZILLIKENS *et al.* 2017a). We found that in lines from the  
412 heterozygous ancestor, at most, 8/10 aneuploid samples shared 15 of the same DE trans genes (genes  
413 that were not located on an aneuploid chromosome). Of these, 2 were in the environmental stress

414 response (ESR) (YIR038C and YKR076W), and one was in the aneuploidy stress response (ASR) (YBR117C)  
415 (GASCH *et al.* 2000; TORRES *et al.* 2010). In lines from the homozygous ancestor, at most 6/10 aneuploid  
416 lines shared 8 of the same DE trans genes. None of these genes were in either the ESR or the ASR.

417 We then examined if euploid lines shared a common gene expression response and found that  
418 in lines from the homozygous ancestor, at most 5/10 euploid samples shared 8 common differentially  
419 expressed genes. Of these, one is in the ASR (YOL126C), and none were in the ESR. In the heterozygous  
420 ancestor, at most 5/6 lines shared 54 DE genes. Of these, 9 were in the ESR (YBR026C, YCR004C,  
421 YFR053C, YGR043C, YKL026C, YKR009C, YLL026W, YMR110C, and YOR374W). These genes are  
422 implicated in metabolic processes, according to GO analysis (Supplemental Figure 13). This result  
423 suggests a shared effect of the mutation accumulation experimental design on gene expression,  
424 particularly in the heterozygous ancestor lines, with metabolism being the most impacted process.

425

#### 426 *Histone Genes*

427 Histone genes H2A and H2B are known to possess a mechanism of dosage compensation in *S.*  
428 *cerevisiae* (OSLEY AND HEREFORD 1981; MEDICI *et al.* 2014). Our analyses did not include samples with  
429 aneuploidies on the chromosomes containing H2A and H2B (II and IV), but we do have aneuploid  
430 samples with RNA sequencing for chromosomes containing other histone genes: XIV, XV, and XVI  
431 (containing histones 3,4, and linker, respectively). Six lines across both experiments are trisomic for  
432 chromosome XIV, 1 line is trisomic for chromosome XV, 13 lines are trisomic for XVI, and 1 line is  
433 tetrasomic for XVI. Previous studies have found that these genes do not display dosage compensation  
434 and we also did not find evidence for compensation (PETER R. ERIKSSON 2012) (Supplemental Table 4).

435

#### 436 *Stress Response Genes*

437 Yeast are known to undergo what is known as the environmental stress response (GASCH *et al.*  
438 2000; ZILLIKENS *et al.* 2017a), when conditions are unfavorable due to various factors, including  
439 temperature stress, oxidative stress, and nutrient limitation. We analyzed genes previously found to  
440 relate to the environmental stress response and found that our aneuploid samples did differentially  
441 express most of these genes (Figure 3), though there was no significant trend of a shared differential  
442 expression response of ESR genes between samples.

443 It has been found that similarly, aneuploid yeast undergo what is referred to as the “aneuploid  
444 stress response (ASR),” in which certain trans genes are differentially expressed (TORRES *et al.* 2010). A  
445 majority of these genes are also differentially expressed during the environmental stress response. To

446 determine if we found the same pattern of differential expression in our spontaneously aneuploid  
447 samples, we investigated these ASR genes (201 genes total) and found that in samples from the  
448 heterozygous ancestor, at most 7/10 aneuploid lines shared 3 DE ASR genes. In the homozygous  
449 ancestor aneuploid lines, at most only 4/10 aneuploid lines shared just 1 DE ASR gene. As expected, the  
450 euploid lines in both datasets did not show significant signatures of differential expression on ASR genes  
451 and as expected, did not share many DE ASR genes (Figure 3).

452

#### 453 *Dosage-Sensitive Genes*

454 Previous studies have found that certain genes are more sensitive to changes in gene dose than  
455 others. Using the “genetic tug-of-war” method, Makanae et al 2013 found the copy-number limits of  
456 overexpression in all 5806 protein-coding genes in *S. cerevisiae*, and found 115 genes whose copy  
457 number limits were 10 or less (more than this amount caused cell death) (MAKANAE *et al.* 2013). Curious  
458 as to whether our samples exhibited a compensatory response for these dosage sensitive genes, we  
459 looked at the same set of genes and parsed out those that were significantly differentially expressed in  
460 our aneuploid samples. Most aneuploid samples had few differentially expressed dosage sensitive genes  
461 (Figure 3). The euploid lines in both experiments had very few DE dosage-sensitive genes, consistent  
462 with the expectation that there would be zero (Figure 3).

463 Of particular interest were the genes on the aneuploid chromosomes, as they differ in copy  
464 number compared to the rest of the genes in the genome. Most samples showed a high level of  
465 compensation of dosage-sensitive genes on the aneuploid chromosome and elsewhere in the genome.  
466 However, samples with a trisomy for chromosome 9 appeared to be more tolerant of the duplication  
467 (likely due to individual gene compensation) than other chromosomes – samples ranged from 0 to 33%  
468 compensation (Table 2).

469

#### 470 **Discussion**

##### 471 *Rate of aneuploidy*

472 We calculated the rate of aneuploidy based on data from two previous yeast mutation  
473 accumulation experiments passaged for similar numbers of cell generations: one with a heterozygous  
474 strain and one with a homozygous strain. We found that the rate of aneuploidy is higher in the  
475 heterozygous strain than the homozygous strain ( $p < 0.0001$ , Fisher’s exact test). The heterozygous  
476 ancestor strain MA lines had a total of 29 aneuploids and 47 euploids, whereas the homozygous  
477 ancestor MA lines had a total of 28 aneuploid and 117 euploid lines. Previous studies have found that

478 hybrids of two yeast species systematically lose all or part of one parent's genome (MARINONI *et al.*  
479 1999). Since the heterozygous ancestor strain had a heterozygous site every ~250 bases, it is possible  
480 that the mating of distantly related *S. cerevisiae* strains to produce the heterozygous strain showed a  
481 milder version of genome incompatibility as exemplified by the higher rate of aneuploidy compared to  
482 the homozygous lab strain. However, the heterozygous strain did not show any growth defects (which  
483 could have indicated a phenotypic effect of genome incompatibility) compared to the homozygous  
484 strain (data not shown). In addition, the homozygous strain ancestor carried an *ade2* mutation, which  
485 was found in a recent study to lower a strain's tolerance to aneuploidy (HOSE *et al.* 2020). It is therefore  
486 virtually impossible to distinguish the influence of heterozygosity from the influence of this mutation on  
487 aneuploidy rate in our strains – the genetic differences between the two are too great and have too  
488 much of an influence on aneuploidy tolerance. However, recent RNA sequencing studies of wild yeast  
489 have found that heterozygosity is correlated with aneuploidy (unpublished data). To examine the effect  
490 of heterozygosity per se in future experiments, homozygous diploids could be generated from each of  
491 the parent strains used to make the heterozygous strain and then used in mutation accumulation  
492 experiments to determine the rate at which aneuploidies arise.

493 In our experiment, we found 3 and 6 events for the homozygous and heterozygous strains  
494 involving chromosome V nondisjunction, implying a rate of  $9.67 \times 10^{-6}$  and  $3.90 \times 10^{-5}$  events per cell  
495 division, respectively. Previous studies have found that chromosome V is lost spontaneously by  
496 nondisjunction in *S. cerevisiae* at a rate of  $2.8 \times 10^{-6}$  cell generations (MULLA *et al.* 2014). This estimate is  
497 significantly different from the homozygous strain ( $p = 0.0001$ ) and much more significantly different  
498 from the heterozygous strain ( $p < 0.00001$ ). This previous study used a laboratory strain (A364A), which  
499 is highly homozygous and has *ade1* and *ade2* auxotrophies, which perhaps explains the discrepancy in  
500 rates between their estimate and our heterozygous ancestor estimate.

501 We found a difference in aneuploidy rates between the ancestor strains at the individual  
502 chromosome level as well as overall. In the heterozygous ancestor strain MA lines, we found 10 (out of  
503 29 total aneuploidies) trisomies of chromosome XVI, compared with 3 (out of 29 total aneuploidies) in  
504 the homozygous ancestor MA lines (Table 1). Previous studies have found a similar discrepancy between  
505 diploid and diploid-hybrid strains of yeast, with the hybrid strains showing a higher rate of aneuploidy at  
506 chromosome XVI (KUMARAN *et al.* 2013). These results suggest that heterozygosity influences either  
507 nondisjunction rate or tolerance of certain aneuploidies and that certain chromosomes are either more  
508 likely to become aneuploid or are better tolerated after becoming aneuploid, or both.

509 Due to the diploid nature of our initial MA ancestors, we were able to analyze trisomics,  
510 monosomics, and a tetrasomic to study the rate and effects of whole-chromosome aneuploidy. Contrary  
511 to most previous studies, we were able to observe the spontaneous rate and effects of monosomy,  
512 which is substantially less common than trisomy in our samples (Table 1). Considering nondisjunction  
513 events result in the production of both a trisomy and a monosomy, we would expect to see an equal  
514 number of each in our data. The lack of monosomies implies that there must be strong selection against  
515 them, implying that fewer copies of a chromosome is substantially more deleterious than additional  
516 copies in order to be lost in the MA experimental framework. One explanation could be that a  
517 monosomy has a larger effect on gene expression, a two-fold difference, compared to trisomy, which  
518 results in a 1.5-fold difference. Tetrasomies are also a 2-fold difference but require two events, which  
519 may explain their rareness.

520

521 *No evidence for whole-chromosome dosage compensation at the transcript level*

522 Our results suggest that there is no general mechanism for dosage-compensation in aneuploid  
523 yeast, either at the whole-chromosome or individual gene level (Supplemental Figure 4, Table 2). Our  
524 results mirror previous findings that RNA level scales with DNA copy number and that no RNA-level  
525 compensation occurs (TORRES *et al.* 2010). This is in contrast to studies that have reported whole-  
526 chromosome dosage compensation in yeast (HOSE *et al.* 2015; GASCH *et al.* 2016). One explanation that  
527 has been proposed for the differences in results is heterogeneous samples containing both aneuploid  
528 and euploid cells (HOSE *et al.* 2015; GASCH *et al.* 2016), causing gene expression ratios to be intermediate  
529 between what is expected for aneuploid and euploid DNA copy levels. A recent study mapped the  
530 genetic basis of aneuploidy tolerance in wild yeast to SSD1, an RNA-binding protein involved in  
531 proteolysis (HOSE *et al.* 2020), suggesting that any compensatory mechanism in wild aneuploid yeast is  
532 active at the protein level. The strains used in this study both have fully functional SSD1 proteins,  
533 according to translation using Geneious (Supplemental Figure 3), suggesting that the differences in  
534 aneuploidy rate are likely not caused by lack of or presence of a functional SSD1 protein. In addition, the  
535 apparent partial compensation we observed for some MA strains in our study may be caused by  
536 heterogenous samples. However, we feel this is unlikely as a constantly heterogeneous population  
537 would likely revert to euploidy – even in the MA framework – as aneuploidy typically causes fitness  
538 defects. To avoid this potential problem, future studies could employ the use of fluorescence activated  
539 cell sorting (FACS) to separate the aneuploid cells from the euploid cells and use only the aneuploid  
540 culture for RNA extraction. Previous studies have found that the increase in a partner gene can rescue

541 the sensitivity of a strain to another with increased dosage. This may be occurring in the samples that  
542 had little to no compensation of the dosage sensitive genes on the aneuploid chromosome (Figure 3).  
543 Further investigation into these partner genes is required for future studies to determine if this is the  
544 case.

545

546 *Categorization of Individual Gene Expression*

547 We investigated the effects of aneuploidy on gene expression at the individual-gene level and  
548 found that because of low power, it was challenging to detect statistically significant changes in gene  
549 expression level for the majority of genes analyzed (see Results), therefore the majority of genes fell into  
550 the “unknown” category of compensation (Supplemental Table 3). However, for the genes that have  
551 sufficient statistical power, we found that most were not dosage compensated, several were partially  
552 compensated, and very few were over- or anti-compensated (Table 2). Future studies will benefit from  
553 using more replicates to increase power for individual gene analysis in order to further characterize the  
554 large number of genes falling into the “unknown” category.

555

556 *Aneuploidy effects on trans genes*

557 Previous studies have proposed that there is an effect of aneuploidy on the remainder of the  
558 genome, by looking at the peaks of the distributions and claiming that the apparent skew to the left of  
559 1.00 indicated that the aneuploid chromosome was causing other expression effects in the genome  
560 (Hou *et al.* 2018). We investigated trans genes in our data and found that they showed the expected  
561 level of gene expression (Figure 2, Supplemental Figures 7-12); trisomies lead to an apparent reduction  
562 in expression of trans genes and monosomies lead to an apparent increase, but the shift is as predicted  
563 based on the size of the genomes of the aneuploid chromosome implying that aneuploidy does not  
564 cause a global change in gene expression. We also determined whether aneuploid lines shared any  
565 differentially expressed genes not located on aneuploid chromosomes. We compared gene expression  
566 data between aneuploid samples and found, in our heterozygous ancestor, only 15 commonly  
567 differentially expressed trans genes among 8 of the 10 aneuploid lines. Of these, 2 were in the  
568 environmental stress response (ESR) genes, and one was in the aneuploidy stress response (ASR) genes  
569 (GASCH *et al.* 2000; TORRES *et al.* 2010). Similarly, in the homozygous ancestor lines, we found only 8  
570 commonly differentially expressed trans genes among 6 of the 10 aneuploid lines. However, none of the  
571 differentially expressed trans genes in the homozygous ancestor had been previously identified as  
572 sensitive to aneuploid or environmental stress. The discrepancy in differentially expressed genes

573 between the heterozygous and homozygous ancestor samples may be due to different genetic  
574 backgrounds or could be impacted by heterozygosity. However, there is no evidence in our study for  
575 common transcriptional responses to aneuploidy in spontaneously-aneuploid yeast across  
576 genotypes/genetic backgrounds, suggesting that adaptation to aneuploidy is not facilitated by a  
577 common compensation mechanism or stress response.

578 Previous studies in yeast have found evidence of a transcriptional response to environmental  
579 stress as well as a transcriptional response to aneuploidy involving the “environmental stress response”  
580 genes and the “aneuploidy stress response” genes, respectively (GASCH *et al.* 2000; TORRES *et al.* 2007;  
581 ZILLIKENS *et al.* 2017b). We investigated the environmental stress response (ESR) genes and found that  
582 most ESR genes were differentially expressed in our aneuploid samples, but not in euploid samples,  
583 suggesting that the state of aneuploidy has similar effects on the transcriptome to various  
584 environmental stresses including high salinity, high temperatures, and highly oxidative-species rich  
585 environments. It would be interesting to know if the yeast samples exposed to these environmental  
586 stresses had any copy number changes in their genomes – this would add evidence to the hypothesis  
587 that aneuploidy is an adaptive state to changes in the environment and/or a consequence of stress.  
588 However, our aneuploid strains do not have many shared differentially expressed aneuploidy stress  
589 response genes, suggesting that each aneuploidy confers a different stress and therefore a different  
590 transcriptional stress response. This is in contrast to previous studies which found a common  
591 transcriptional response to aneuploidy (TORRES *et al.* 2007). One explanation for this discrepancy is the  
592 way in which aneuploids were generated: the Torres *et al* study used a chromosome transfer strategy to  
593 select for aneuploids after abortive mating, whereas in this study we used samples with aneuploidies  
594 that spontaneously arose during mutation accumulation, without a strong force of selection. It is  
595 possible that selecting for aneuploids using abortive mating caused the differential expression signature,  
596 not the aneuploidy itself.

597

## 598 **Conclusion**

599 This study demonstrated that heterozygosity and *ade2* auxotrophy is correlated with a higher  
600 aneuploidy rate, that there is no evidence for whole-chromosome dosage compensation at the  
601 transcriptome level in aneuploid yeast, and that aneuploid chromosomes do not significantly influence  
602 the gene expression patterns among the rest of the transcriptome. We did find evidence for  
603 compensation at the individual gene level for genes that are particularly toxic in high copy numbers,  
604 suggesting that cells are able to employ transcriptional compensatory mechanisms to tolerate

605 aneuploidy at least at the individual gene level. Further, our analyses demonstrated evidence for  
606 individual aneuploid lines to differentially express environmental and aneuploidy stress response genes.  
607 There were not many shared differentially expressed ESR/ASR genes among aneuploid lines, however,  
608 implying that each aneuploid line deals with its aneuploidy in a unique manner. Our finding of no global  
609 effects of aneuploidy on gene expression is in direct opposition to a recent paper claiming this –  
610 however, we showed that the apparent skew of trans genes is actually due to sequencing bias from  
611 reads mapping to more (or less) copies of the aneuploid chromosome(s).

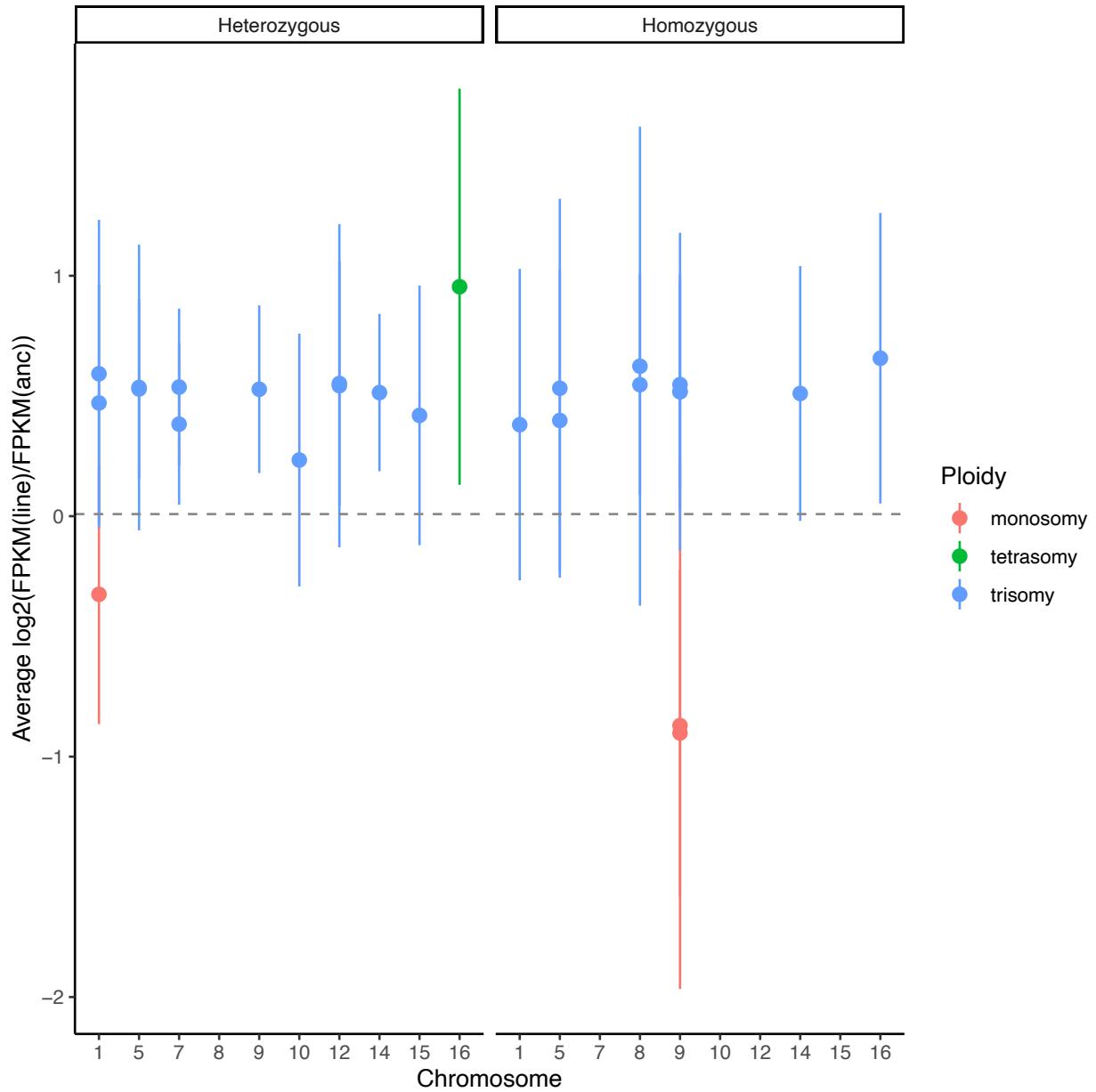
612 Our results bring insights into the effects of aneuploidy on gene expression in budding yeast and  
613 can be applied to other species as well. Further, our findings perhaps provide insight into the evolution  
614 of sex chromosomes and dosage compensation – the absence of dosage compensation in a single-celled  
615 species that exhibits aneuploidy reasonably frequently in nature suggests partial or complete  
616 chromosome aneuploidy during sex chromosome evolution may be reasonably well tolerated, even in  
617 the absence of a pre-existing dosage-compensating mechanism.

618 More insights into how wild yeast tolerate aneuploidy are required. A recent study found that  
619 the SSD1 gene in yeast is associated with aneuploidy tolerance in wild strains versus lab strains (HOSE *et*  
620 *al.* 2020). This gene is a translational repressor and is functional in wild yeast isolates but not in  
621 laboratory strains. This implies that wild aneuploid yeast strains can tolerate aneuploidy by attenuating  
622 translation of the duplicated genes. This reflected previous work in aneuploid yeast that showed  
623 compensation at the protein, but not RNA, level (NOAH DEPHOUR 2014). The strains used in our study  
624 both have a functional copy of SSD1, which could explain the relatively high tolerance of aneuploidies in  
625 both strains. Our analyses provide further evidence for the lack of transcript-level dosage compensation,  
626 and future studies could use SSD1 knockout strains of yeast for mutation accumulation studies and  
627 determine rates and tolerance of aneuploidy in a similar manner as this study.

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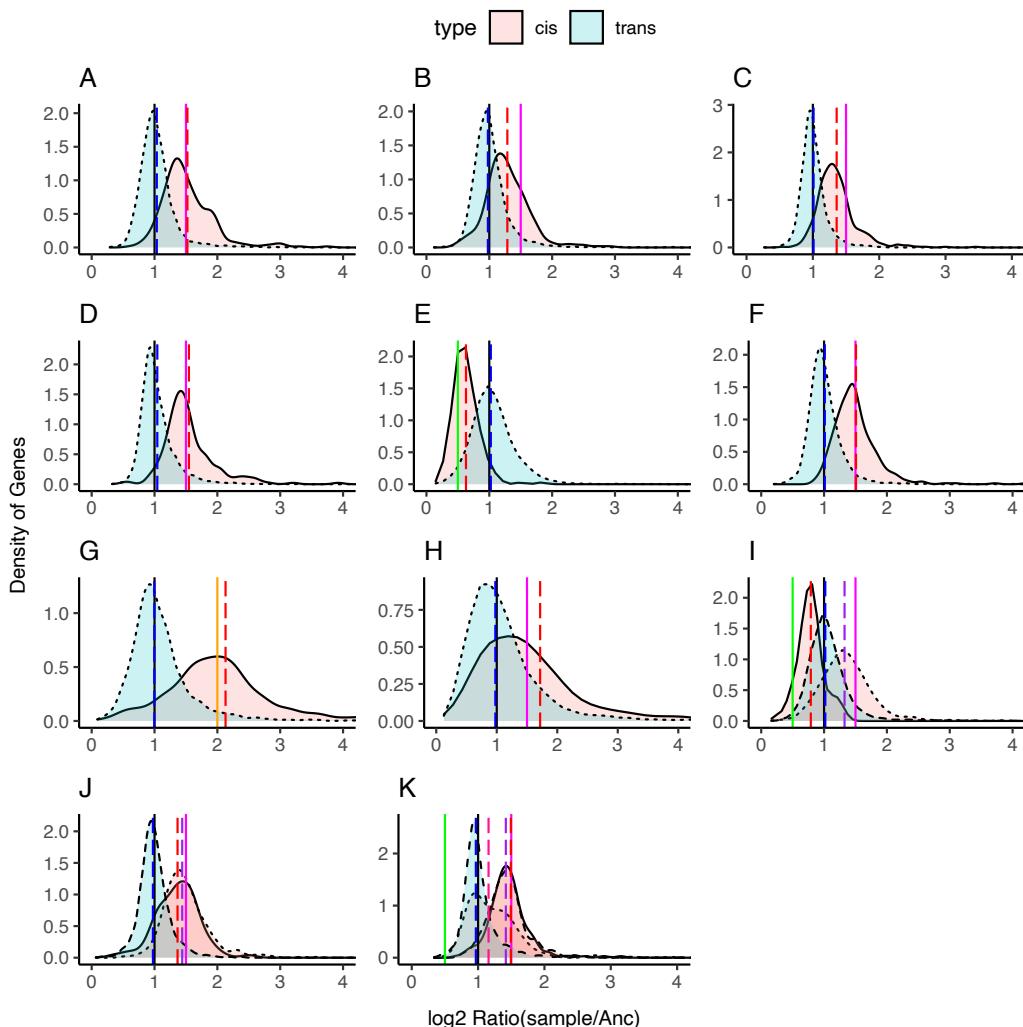
637 **Figures and Tables**

638 **Figure 1:** Average log2 ratio of FPKM values in each aneuploid line compared to their respective  
639 ancestor. Dashed gray line is the average log2 ratio of FPKM values of all the euploid lines combined.  
640 Error bars are +/- one standard deviation.

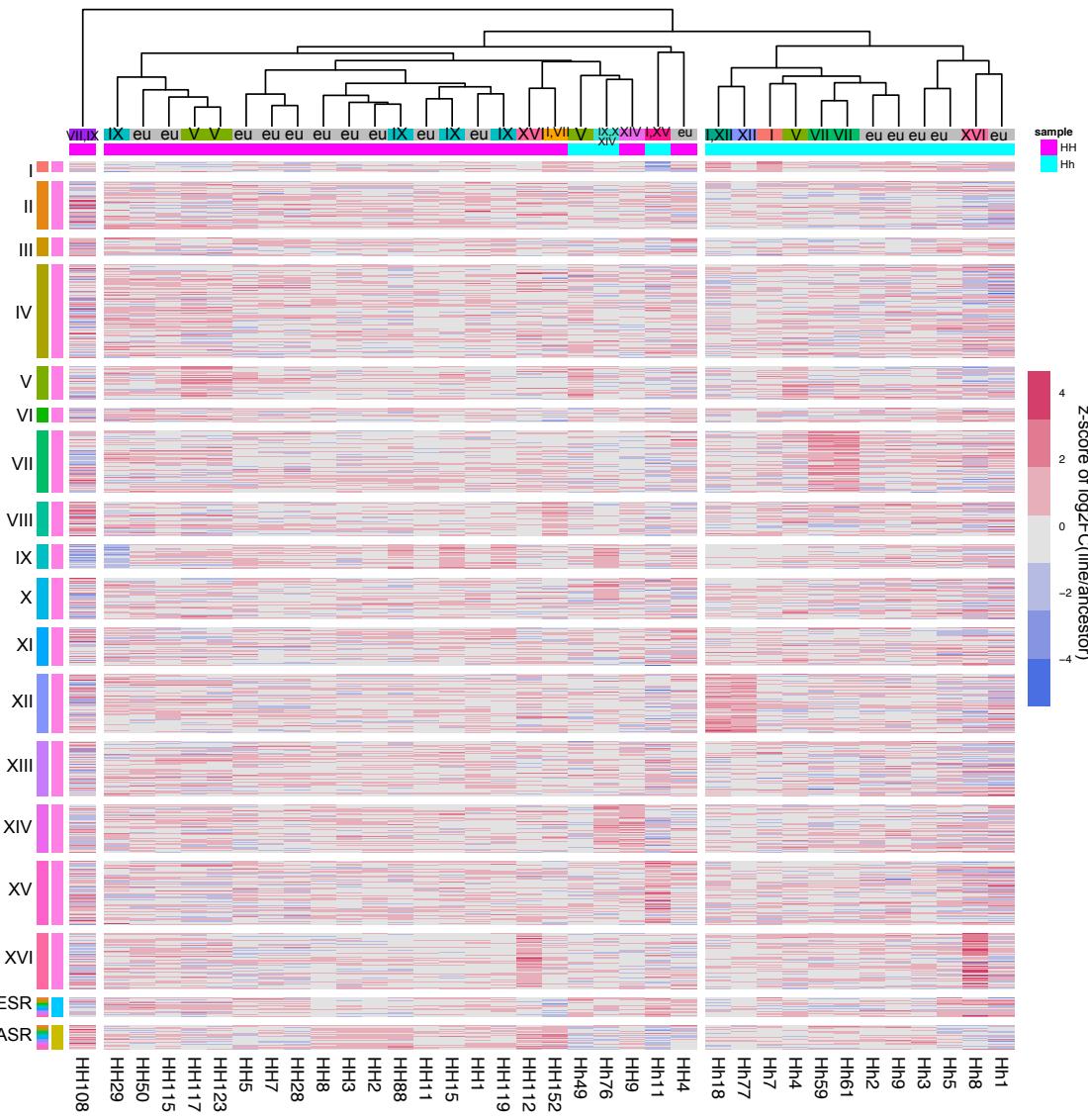


641

642 **Figure 2.** Read count ratio distributions of select lines. Genes on (cis) and off (trans) of the aneuploid  
643 chromosome(s) are labeled in pink and blue, respectively. Multiple aneuploid chromosomes are  
644 indicated by line type (I,J,K). Vertical lines: red dotted line: mean ratio of cis genes, blue dotted line:  
645 mean ratio of trans genes, black line: ratio of 1 (equal expression compared to ancestor), green line:  
646 ratio of 0.5 (expectation for monosomic genes), magenta line: ratio of 1.5 (expectation for trisomic  
647 genes), orange line: ratio of 2 (expectation for tetrasomic genes). A: Heterozygous ancestor line 4,  
648 trisomic for chromosome V; B: homozygous ancestor line 117, trisomic for chromosome V, C:  
649 heterozygous ancestor line 59, trisomic for chromosome VII, D: homozygous ancestor line 15, trisomic  
650 for chromosome IX; E: homozygous ancestor line 29, monosomic for chromosome IX, F: homozygous  
651 ancestor line 9, trisomic for chromosome XIV; G: heterozygous ancestor line 8, tetrasomic for  
652 chromosome XVI; H: homozygous ancestor 112, trisomic for XVI; I: Heterozygous ancestor line 11,  
653 trisomic for chromosome XV and monosomic for chromosome I; J: heterozygous ancestor line 18,  
654 trisomic for chromosome I and XII; K: heterozygous ancestor line 76, trisomic for chromosome IX and  
655 XIV, partial duplication of chromosome X (pink dotted line is mean ratio for chromosome X in this line).  
656 Larger figures and remaining aneuploid lines are available in the supplement.



658 **Figure 3:** Heatmap depicting all lines sequenced. Each horizontal line represents a single transcript –  
659 values are z-scores of log2 fold changes of the expression of the line divided by the expression of the  
660 ancestor. Individual chromosomes are grouped together, and individual lines are clustered based on  
661 similarities in gene expression. Each aneuploid chromosome can be seen as a block of red or blue (red  
662 for higher expression and blue for lower expression); it is notable that chromosome X of line 76 shows  
663 the half of the chromosome that is duplicated. The ESR and ASR genes are grouped together at the  
664 bottom of the heatmap; samples that share differentially expressed genes in these categories can be  
665 seen as faintly higher (or lower) gene expression levels.



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673 **Tables**

674

**Table 1. The number of monosomies, disomies and tetrasomies seen for each MA experiment.** The homozygous MA experiment (HH) had 32 events in 145 MA lines maintained for 2063 generations. The heterozygous MA (Hh) experiment had 38 events in 76 MA lines maintained for 2103 generations.

Chrom. #	# monosomic		# trisomic		# tetrasomic		total events	
	HH	Hh	HH	Hh	HH	Hh	HH	Hh
1	0	1	1	3	0	0	1	4
2	0	0	3	0	0	0	3	0
3	0	0	2	0	0	0	2	0
4	0	0	3	0	0	0	3	0
5	0	0	3	4	0	0	3	4
6	0	0	0	0	0	0	0	0
7	0	0	1	5	0	0	1	5
8	0	0	4	0	0	0	4	0
9	2	0	3	2	0	0	5	2
10	0	0	1	1	0	0	1	1
11	0	0	1	0	0	0	1	0
12	0	0	1	7	0	0	1	7
13	0	0	0	0	0	0	0	0
14	0	0	4	2	0	0	4	2
15	0	0	0	1	0	0	0	1
16	0	0	3	10	0	1	3	11

**Table 2. The number of genes in each expression change category across the aneuploid strains for which we have RNAseq data.** 0 = unknown, 1 = no dosage compensation (DC) , 2 = partial DC, 3 = full DC , 4 = over-compensation, 5 = anti-compensation. Key in supplement.  
HH: Homozygous ancestor; Hh: heterozygous ancestor

MA line	Aneuploidy	Category					
		0	1	2	3	4	5
HH-152	1, 7						
	Chr 1:	52	22	6	0	0	1
	Chr 7:	482	9	12	0	0	0
HH-117	5	147	107	5	0	0	0
HH-123	5	209	50	5	0	0	1
HH-108	8, 9 <sup>m</sup>						
	Chr 8:	212	76	129	0	39	42
	Chr 9:	41	8	64	0	84	2
HH-15	9	62	82	52	0	0	3
HH-29	9 <sup>m</sup>	90	1	107	0	1	0
HH-88	9	58	78	50	0	0	13
HH-119	9	70	92	37	0	0	1
HH-9	14	92	140	128	0	0	13
HH-112	16	244	20	0	0	0	0
Hh-7	1	51	29	1	0	0	0
Hh-11	1 <sup>m</sup> , 15						
	Chr 1:	67	0	10	0	4	0
	Chr 15:	413	57	5	0	0	0
Hh-18	1	45	29	6	0	1	0
	12	240	153	42	0	1	26
Hh-4	5	165	71	5	0	3	21
Hh-49	5	135	89	10	0	0	0
Hh-59	7	288	167	23	0	2	13
Hh-61	7	160	193	20	0	2	18
Hh-76	9, 10, 14 <sup>p</sup>						
	Chr 9:	76	77	43	0	0	3
	Chr 10:	250	62	20	0	0	5
	Chr 14:	165	132	81	0	0	2
Hh-77	12	145	184	125	0	0	11
Hh-8	16	117	126	31	0	16	156

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