

1 Loss of cortical control over the descending pain modulatory system determines the development of
2 the neuropathic pain state in rats

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11 & AEP; Investigation: RARD and KAJ; Resources: AEP, BML and RA; Writing – original draft: RARD;
12 Writing – review and editing: RARD, AEP, BML and RA; Visualization: RARD, KAJ and AEP; Supervision:
13 AEP, BML, RA and RARD; Project Administration: RARD; Funding Acquisition: BML, RARD, AEP and RA.

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16 Acknowledgements: We would like to thank Mrs Rachel Bissett for her assistance with histological
17 processing and the Wolfson Bioimaging Facility for their support and assistance with image acquisition.
18 We would like to thank Dr Eric J Kremer for his kind gift of CAV-CMV-CRE, and Dr Brian Roth and Dr
19 Karl Deisseroth for the supply of viral vectors used in this work. This work was supported by the
20 Medical Research Council Grant number MR/P00668/X1.

21

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1 Abstract:

2 The loss of descending inhibitory control is thought critical to the development of chronic pain but

3 what causes this loss in function is not well understood. We have investigated the dynamic

4 contribution of prelimbic cortical neuronal projections to the periaqueductal grey (PrL-P) to the

5 development of neuropathic pain in rats using combined opto- and chemo-genetic approaches. We

6 found PrL-P neurons to exert a tonic inhibitory control on thermal withdrawal thresholds in uninjured

7 animals. Following nerve injury, ongoing activity in PrL-P neurons masked latent hypersensitivity and

8 improved affective state. However, this function is lost as the development of sensory hypersensitivity

9 emerges. Despite this loss of tonic control, opto-activation of PrL-P neurons at late post-injury

10 timepoints could restore the anti-allodynic effects by inhibition of spinal nociceptive processing. We

11 suggest that the loss of cortical drive to the descending pain modulatory system underpins the

12 expression of neuropathic sensitisation after nerve injury.

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1 Introduction:

2 There is a pressing need to better understand the causal mechanisms of chronic pain and develop
3 effective therapeutic strategies that will alleviate its societal burden (Breivik *et al.*, 2006). The brain,
4 as opposed to the periphery, has received increasing focus as a critical contributor to chronic pain
5 development (Ossipov, Dussor and Porreca, 2010; Denk, McMahon and Tracey, 2014; Baliki and
6 Apkarian, 2015). The descending pain modulatory system (DPMS) links brain and spinal cord to provide
7 potent and targeted regulation of nociceptive processing at multiple levels of the neuroaxis, including
8 the spinal dorsal horn (Millan, 2002; Tracey and Mantyh, 2007). Importantly, the DPMS can affect the
9 perception of pain and is a critical regulator of the development of the pain state following injury
10 (Eippert *et al.*, 2009; Hughes *et al.*, 2013; Drake *et al.*, 2014; Hirschberg *et al.*, 2017).

11 Typically, following acute injury, this descending regulation functions to inhibit spinal dorsal horn
12 circuits that subserve damaged tissue and, in doing so, moderates central sensitisation (Vanegas
13 and Schaible, 2004; Drake *et al.*, 2014). However, net loss of inhibitory control has been noted in a
14 wide variety of human chronic pain disorders and descending inhibitory systems are depleted and
15 non-functional in animal models of persistent pain (Yarnitsky, 2010; Hughes *et al.*, 2013, 2015; Staud,
16 2013; Bannister *et al.*, 2015). Similarly, trait deficiencies in endogenous inhibitory control and/or its
17 engagement by peripheral injury are thought to impart individual vulnerability to chronic pain
18 (Edwards, 2005; Yarnitsky, 2010; Granovsky, 2013; Denk, McMahon and Tracey, 2014; González-
19 Roldán *et al.*, 2020). What causes this deficit / loss in function of the DPMS is not well understood but
20 could help identify critical and generalisable mechanisms of chronic pain development that lay the
21 foundation for the development of more effective therapeutic strategies.

22 In humans, the medial prefrontal cortex (mPFC) displays specific activity related to acute pain
23 processing, pain expectation and endogenous pain modulation (Lorenz *et al.*, 2002; Wager *et al.*, 2004;
24 Wiech and Tracey, 2009; Legrain *et al.*, 2011; Brooks, Davies and Pickering, 2017). Importantly, the
25 mPFC shows alterations in structure and function that are related to and, sometimes, predictive of the

1 transition to chronic pain (Apkarian *et al.*, 2004; Baliki *et al.*, 2006, 2012). Direct corticofugal
2 projections from the mPFC to the midbrain link it to the DPMS to provide a route to pain state
3 regulation (An *et al.*, 1998; Huang *et al.*, 2019). The midbrain periaqueductal grey (PAG) is a core
4 component of the DPMS able to facilitate and/or inhibit spinal nociceptive processing via pain
5 modulatory brainstem nuclei including the rostral ventromedial medulla and locus coeruleus (Mantyh,
6 1983; Waters and Lumb, 2008; Ossipov, Dussor and Porreca, 2010; Drake *et al.*, 2016). Notably, altered
7 functional connectivity between the mPFC and PAG is observed in human patients with
8 musculoskeletal, neuropathic and inflammatory chronic pain suggesting that altered cortical control
9 may contribute to maladaptation of the DPMS and that this mechanism may be relevant to chronic
10 pain in general (Cifre *et al.*, 2012; Yu *et al.*, 2014; Chen *et al.*, 2017; Mills *et al.*, 2018; Segerdahl *et al.*,
11 2018).

12 Recently, preclinical investigation has demonstrated the prelimbic cortex (PrL), a division of the rodent
13 mPFC, is able to affect noxious thresholds in neuropathic rats (Huang *et al.*, 2019). However, whether
14 the role of PrL neurons that target the PAG (PrL-P) in sensory and/or affective aspects of the pain state
15 are dynamically altered during development of neuropathic pain is not known. To assess this question
16 we transfected PrL-P neurons with excitatory optogenetic and inhibitory chemogenetic actuator
17 proteins to allow selective manipulation of their activity (Zhang *et al.*, 2010; Sternson and Roth, 2014).
18 This enabled their contribution to sensory and affective aspects of pain-like behaviour to be charted
19 before and, at regular intervals, following peripheral nerve injury in rats. We also used
20 electrophysiological methods to investigate whether PrL-P neurons exert effects on spinal dorsal horn
21 nociceptive circuit activity to establish whether these effects are mediated by descending control.

1 Methods:

2 Animals

3 All experimental and surgical procedures were conducted in accordance with the UK Animals
4 (Scientific Procedures) Act (1998) and local ethical review. Adult male Wistar rats (n=56, 250-275g,
5 Envigo, NL) were housed in the University of Bristol's Animal Service Unit with cage enrichment (e.g.
6 cardboard tubes, wooden chews), on a reversed light cycle and with food/water provided ad libitum.
7 Where possible animals were grouped house but were singly housed for up to seven days while
8 healing from surgery occurred.

9 Experimental Design

10 This study's primary objective was to investigate the contribution of PrL-P neurons to the development
11 of sensory and affective aspects of neuropathic pain. To achieve this, opto- and chemogenic actuator
12 proteins were expressed in PrL-P neurons to enable interrogation of the behavioural and
13 neurophysiological consequences of their selective and specific opto-activation and chemo-inhibition.
14 To selectively express actuator proteins in only PrL-P afferents we used an intersectional, Cre-
15 dependent viral vector approach (Boender *et al.*, 2014). 12 animals were used to develop the
16 intersectional viral vector methodology *in vivo*. Briefly, cre-dependent adenoviral vectors encoding
17 Channelrhodopsin2(ChR2) or the inhibitory DREADD, hM4Di, were delivered to the PrL. To restrict
18 their expression to only those PrL neurons that project to the PAG we delivered a retrograde Canine
19 Adenoviral Vector (CAV2) that encodes Cre-recombinase to the PAG (Hnasko *et al.*, 2006). CAV2 gains
20 access to neurons primarily via their synaptic terminals (Soudais *et al.*, 2001) before being transported
21 retrogradely to the neuronal cell body leading to Cre expression. Thus Cre-dependent expression of
22 actuator proteins will only occur in those PrL neurons that synapse in the PAG. Control animals had
23 injection of Cre-dependent vectors to the cortex but no CAV-CMV-CRE to the PAG. Without Cre there
24 should be no expression of actuator proteins allowing the evaluation of off target of effects of CNO
25 (as well as identification of non-specific expression of actuators). Following expression of actuator

1 (n=24) and affective aspects (n=20) of pain like behaviour in neuropathic (TNT^{PrL-P.ChR2-hM4Di} & TNT^{PrL-}
2 P.^{Control}) and uninjured (Naive^{PrL-P.ChR2-hM4Di} & Naive^{PrL-P.Control}) rats. This investigation used a longitudinal
3 design in which the contribution of PrL-P neurons to pain-like behaviour and nociceptive processing
4 were assessed before and up to 42 days following peripheral nerve injury. Five neuropathic rats were
5 then used in acute spinal electrophysiological experiments to assess effects of PrL-P neurons on
6 nociceptive processing in the spinal dorsal horn.

7 Animals were assigned to experimental groups from different cages and selected at random but with
8 no explicit randomization protocol. The experimenter was blinded to the experimental group and test
9 substance. Some animals were removed from the analysed data sets due to:

10 • lack of or off target transfection (n=4/44)
11 • Incorrect placement of optic fibres outside the PrL (n=3/24)
12 • overt stress behaviour noted during experimental testing (n=3/44)
13 • incompatible laser stimulation parameters (1/24)
14 • incorrect dosing schedule in CPP paradigm (1/20)

15 Where appropriate, removal from one experimental protocol did not mean removal from the entire
16 investigation as for example incorrect placement of an optic fibre did not preclude data from this rat
17 being included for chemo-inhibition experiments which were not dependent on correct fibre
18 placement.

19 Surgery

20 All surgery was conducted using sterile technique. Throughout procedures animals were kept
21 hydrated and maintained at 37°C using a thermostatically controlled heat mat. Post-surgery, all
22 animals were monitored closely until wounds had healed, and the animal reached pre-surgery body
23 weight.

24 Stereotaxic injection / implants

1 Animals underwent recovery surgery for the delivery of viral vectors to the PAG and mPFC, and
2 implantation of optic fibres over the PrL. Rats were anesthetized with Ketamine (50mg.kg⁻¹; Zoetis, UK)
3 / Medetomidine (0.3mg.kg⁻¹; Vetoquinol, UK), prepared for surgery and placed in a stereotaxic frame
4 (Kopff, Germany). PrL-P projections extend bilaterally from each hemisphere with the ipsilateral
5 projection being denser than the contralateral projection (~60 v 40% of total labelled cells from
6 retrograde tracing (Floyd *et al.*, 2000)). We targeted this denser ipsilateral projection and the targeting
7 of left or right pathways was counterbalanced amongst animals.

8 We wanted to investigate cortical control of the DPMS that routes via the PAG. The ventrolateral
9 column of the PAG is a known source of descending pain modulation (McMullan and Lumb, 2006a,
10 2006b) and it is the caudal section that receives ascending inputs from the lumbar spinal cord that
11 represents the hind-paws (Mouton *et al.*, 1997). In the rat, the caudal ventrolateral PAG (vIPAG) is
12 primarily innervated from rostral portions of the PrL (Floyd *et al.*, 2000). Therefore, we targeted
13 delivery of CAV2-CMV-Cre to the caudal vIPAG and Cre-dependent AAV vectors to the rostral PrL on
14 the same side.

15 A craniotomy was made over the PAG (AP: -7.5 to 8.5, ML: ±1.8 mm). CAV2-CMV-CRE (300nl / 4.95x10⁸
16 physical particles each site, Institut De Génétique Moléculaire De Montpellier, France) was delivered
17 to the vIPAG at two caudal sites; AP -7.5, ML 1.8 and DV 5.4 and AP -8.00, ML 1.8 and DV 5.4mm from
18 the brain surface with a 9° lateral to medial angle. Approximately 20nl of fluorescent microspheres
19 (RetroBeads, Interchim, USA) were included in the injectant in some animals to mark the injection site.
20 A second craniotomy was made over mPFC (AP: +3.0 to 5.0, ML ±0.4-0.8) allowing injection of CRE-
21 dependent AAVs encoding ChR2 and hM4Di :

22 • AAV2-EF1a-DIO-hChR2-EYFP (3.2 x 10¹²vg/ml, UNC Vector Core, USA) &
23 • AAV2-hSyn-DIO-hM4Di-mCherry (4.6 x 10¹² vg/ml, Addgene, USA)

1 These were mixed to equal titres and delivered to the rostral PrL at three anteroposterior locations
2 and at two dorsoventral levels.

3 1. AP: +4.2, ML \pm 0.6, DV -2.5 & -2.0 mm

4 2. AP +3.8, ML \pm 0.6, DV -3.3 & -2.5 mm

5 3. AP +3.20, ML \pm 0.6, DV -3.3 & -2.5 mm

6 Viral vectors were delivered using a pulled glass pipette (Broomall, USA) attached via silicon tubing to
7 a 25 μ l Hamilton syringe (Hamilton Company, USA). The whole system was filled with paraffin oil to
8 allow for back filling of the pipette tip with viral vector. Delivery of the vector was controlled using a
9 motorized syringe pump (Aladdin Syringe Pump, World Precision Instruments, USA), delivered at a
10 rate of 200nl per minute and pipettes were left in place for \sim 10 minutes following vector delivery to
11 allow for vector redistribution into the parenchyma.

12 An optic fibre (Lambda B, NA 0.66, length 4.4mm, width 200 μ m, light emitting length 2mm, Optogenix,
13 Italy) was inserted to AP +4.2, ML \pm 0.6, DV -3.3mm from the cortical surface to enable light delivery
14 across the full dorsoventral extent of the rostral PrL. Four skull screws were placed within separate
15 cranial plates (M1, 1mm diameter, 3 mm length, NewStar Fastenings, UK). The optic fibre was secured
16 to an adjacent scull screw using Gentamicin CMW DePuy bone cement (DuPuy Synthes, Johnson &
17 Johnson, USA). The craniotomies from the vector injections were then filled with artificial dura
18 (duraGel, Cambridge Neurotech, UK), the skull's surface covered with bone cement and the skin
19 incision closed using adsorbable suture (Vicryl, Ethicon Inc, Johnson & Johnson, USA) leaving the optic
20 fibre ferrule connector protruding.

21 Tibial Nerve Transection

22 Rats underwent recovery surgery for transection of the Tibial nerve (TNT) to produce a neuropathic
23 pain state (Richardson *et al.*, 2015). This model was chosen for its gradual development of
24 hypersensitivity as well as known contributions of descending pain modulatory system (Hughes *et al.*,

1 2013, 2015). Briefly, rats had induction of anaesthesia using isoflurane (5% in O₂; Henry Schinn, UK)
2 and maintained at a surgical plane of anaesthesia using 2-3% isoflurane in O₂. The tibial nerve
3 contralateral to the transfected PrL-P pathway was exposed and transected before the wound closed.
4 An incision was made from below the hip, parallel to the femur and toward the knee. The underlying
5 connective tissue was dissected away and the fascial plane between gluteus superficialis and bicep
6 femoris was dissected to expose the branches of the sciatic nerve. The Tibial nerve was identified and
7 carefully freed from connective tissue. Two ligatures of sterile 5.0 braided silk (Fine Science Tools,
8 Germany) were tightly ligated approximately 5mm apart. The length of nerve between the two sutures
9 was then transected and removed leaving the ligatures in place. The overlying muscle and skin were
10 closed using adsorbable suture. Post-surgery no analgesic was provided so as to not interfere with
11 pain state development.

12 Nociceptive Testing

13 Rats underwent longitudinal nociceptive sensory testing before and after TNT. This was conducted
14 with / without opto-activation and chemo-inhibition of PrL-P neurons to investigate their contribution
15 to nociceptive threshold / pain-like behaviour in naïve and TNT rats. Neuropathic animals underwent
16 testing for mechanical (Von Frey) before cold allodynia (acetone). There was more than 30 minutes
17 between pre-CNO and post-CNO. All behaviour was recorded using a video camera (c930, Logitech,
18 Switzerland) attached to a computer running video acquisition software (OBS Studio, Open
19 Broadcaster Software) for offline analysis.

20 Heat Sensitivity: Thermal withdrawal latencies were measured for the hind-paw (Hargreaves *et al.*,
21 1988). Animals were habituated to the testing apparatus and experimenter for 10 minutes for at least
22 5 days prior to the start of the experiment. On experimental days animals were placed in a Plexiglass
23 chamber, on top of a raised glass plate so that the infrared (IR) beam (Ugo Basile Plantar test, Italy)
24 could be positioned under the plantar surface of the hind paws. The IR beam intensity was adjusted
25 so that animals withdrew their paws at a latency of ~8s. Animals had IR light delivered to both left

1 and right hind paw with a ~4 min interstimulus interval between paws and hence >8 minute inter-
2 stimulus interval between consecutive stimuli on the same paw to prevent sensitization. A cut off
3 latency of 15 seconds was used to prevent tissue damage and subsequent sensitization. Stability of
4 baseline withdrawal latency was considered to have been achieved when 3 consecutive latencies were
5 within 2 seconds of each other.

6 Punctate mechanical sensitivity: To assess mechanical sensitivity animals were placed in a Plexiglass
7 chamber on top of a raised metal grid to allow access to the plantar surface of the hind-paw. Rats
8 were habituated to the testing apparatus and experimenter for 10 mins at least 5 days before the start
9 of the experiment. Von Frey filaments (range 2.36-5.18mN) (Ugo Basile, Italy) were applied to the
10 lateral aspect of the plantar surface of the hind-paw. The 50% withdrawal threshold was determined
11 using the Massey-Dixon up-down method (Chaplan *et al.*, 1994)

12 Acetone: To assess cold sensitivity, rats were placed in a Plexiglass chamber on top of a raised metal
13 grid to allow access to the plantar surface of the hind-paw. Using a 1ml syringe, a drop of Acetone
14 (~0.1ml) was applied to the lateral aspect of the hind-paw and the number of nociceptive events (paw
15 shakes, licks and/or bites) recorded for up to 1 minute following application. This was repeated 3 times
16 for each paw with an ISI of 2 minutes.

17 Manipulation of PrL-P Neurons.

18 For experiments involving opto-activation of PrL-P neurons Naïve^{PrL-P,ChR2:hM4Di}, Naïve^{PrL-P,Control}, TNT^{PrL-}
19 P,ChR2:hM4Di and TNT^{PrL-P,Control} rats were tethered to a light source (445nm diode laser, Omicron Laserage,
20 Germany) using an optical fibre patch cable (FT200EMT, Thor Labs, USA) to connect the head-mounted
21 ferrule to the laser source allowing blue light to be delivered to the PrL via the implanted optic fibre.
22 Once stable baseline withdrawal latencies were obtained two light stimulation rounds (445nm, 10-
23 15mW, 20hz, 10ms pulse width, starting 1 minute before initiation of the IR beam) and two no light
24 rounds were delivered to the PrL in a randomized order. Output of optic fibres were determined prior
25 to implant by measuring the light power at the fibre tip over a range of laser strengths using a monitor

1 (PM120D, ThorLabs, USA). The average withdrawal latency for light stimulation rounds was compared
2 to the average withdrawal latency for low-light stimulation rounds.

3 Experiments involving chemo-inhibition of PrL-P neurons were conducted on a separate day to opto-
4 activation experiments. Once stable baseline withdrawal latencies for each hind paw were obtained
5 animals received clozapine-N-oxide (CNO), the selective ligand for the hM4Di receptor, via an
6 intraperitoneal injection at a dose of $2.5\text{mg} \cdot \text{kg}^{-1}$. Animals were placed back in the testing box and IR
7 hind paw stimulation started 10 minutes after CNO delivery. Withdrawal latencies to plantar IR
8 stimulation were recorded for both hind paws for at least 60 minutes post injection and the average
9 withdrawal latency for recordings 20-40 minutes following CNO delivery were compared to the
10 average baseline latencies for each paw.

11 The effect of chemo-inhibition of PrL-P neurons on mechanical withdrawal thresholds was assessed in
12 $\text{TNT}^{\text{PrL-P.ChR2-hM4Di}}$ and $\text{TNT}^{\text{PrL-P.Control}}$ rats. Following, pre-CNO testing animals received systemic CNO
13 ($2.5\text{mg} \cdot \text{kg}^{-1}$) via an i.p injection and returned to their home cage. 20 minutes following CNO injection
14 animals were placed back in the testing chamber and allowed to habituate for 10 minutes. Von Frey
15 testing was repeated at 30 minutes post-CNO. The 50% withdrawal threshold obtained following CNO
16 was compared to pre-CNO withdrawal threshold for that day.

17 The effect of opto-activation of PrL-P neurons on the 50% withdrawal thresholds was assessed in
18 $\text{TNT}^{\text{PrL-P.ChR2-hM4Di}}$ and $\text{TNT}^{\text{PrL-P.Control}}$ rats at a late state (>21 days). Rats underwent baseline Von Frey
19 testing prior to blue light delivery as previously described. Following, blue light (445nm, 10-15mW,
20 20hz, 10ms pulse width) was delivered continuously starting 1 minute prior to Von Frey testing and
21 continuing to the end of testing. The 50% withdrawal threshold was compared with and without opto-
22 activation of PrL-P neurons.

23 Behavioural Testing

1 Conditioned Place Aversion: A second cohort of TNT rats that did not have longitudinal sensory testing
2 were tested in a conditioned place aversion paradigm to assess the contributions of PrL-P neurons to
3 affective state. TNT^{PrL-P.ChR2:hM4Di} and TNT^{PrL-P.Control} rats were habituated to a three-compartment box
4 with a neutral central compartment connecting two larger conditioning chambers. Chambers differed
5 in their visual and tactile cues ('bars' or 'holes' for flooring and vertical or horizontal striped wallpaper
6 with equal luminosity) to maximize their differentiation. A Basler camera (acA1300-60 gm) with a
7 varifocal lens (Computar H3Z4512CS-IR) connected to EthovisionXT (Noldus, NL) was used to record
8 the time rats spent in each compartment. Rats were allowed to freely explore all three compartments
9 for 20 minutes on day 1 to obtain baseline preference. No rats exhibited excessive chamber bias (>80%
10 total time in a single chamber). After habituation, rats had TNT surgery and two days later started
11 conditioning sessions (over 4 days) in which a compartment was paired with CNO (2.5mg.kg⁻¹ i.p) or
12 vehicle (2 sessions each). The chamber – drug pairings and the order in which they were presented
13 were randomized and counterbalanced amongst animals. For each pairing session, rats received CNO
14 or vehicle and were returned to their home cage for 10 minutes to prevent any negative association
15 between restraint/injection and conditioning compartment. Rats were then placed in the conditioning
16 compartment for 35 minutes. A single pairing session was conducted on each of the 4 days to prevent
17 carry over of any CNO effects. Pairing sessions for each rat were conducted at the same time on each
18 day. On the test day, animals were placed in the neutral compartment and allowed to freely explore
19 all three compartments for a total of 20 minutes and the time spent in each compartment recorded.
20 A 'preference score' was calculated by taking the percentage of time spent in the CNO-paired
21 compartment on test day (relative to the total time spent in all three chambers), normalized by the
22 percentage time spent in the same chamber on pre-test day (relative to total time spent in all three
23 chambers) (Meda et al., 2019). Preference scores for CNO and Vehicle paired chambers were
24 compared within each animal. Preference or aversion to CNO-paired chamber is expected to be
25 influenced by the valence of chemo-inhibition of PrL-P neurons. Preference scores of < 1 indicate place
26 aversion and those > 1 preference.

1 Electrophysiology

2 In vivo spinal dorsal horn recordings: TNT^{PrL-P.ChR2:hM4Di} rats were terminally anaesthetised with
3 urethane (1.2-2g/kg i.p, Sigma). The spinal cord was exposed by laminectomy over T13-L3 spinal
4 segments to allow access to the spinal cord (Leith *et al.*, 2014; Drake *et al.*, 2016). The animal was
5 placed in a stereotaxic frame with spinal clamps (Narishige Japan) and the spinal cord stabilised at T12
6 and L4, and a bath formed by skin elevation. A reference electrode was placed in nearby musculature.
7 The spinal dura matter was carefully removed using bent tipped needles (25G) under binocular vision.
8 The skin pool was filled with warm agar and, once cool, a recording window cut out and the void filled
9 with warm (~37°C) mineral oil. Using a hydraulic manipulator (Narishige, Japan) a 4 contact silicon
10 probe (Q-probe, NeuroNexus, USA) was advanced into the spinal dorsal horn and recordings of single
11 dorsal horn neurones made between 250 – 800µm deep to the surface. Neural activity was amplified
12 and digitised on a headstage microchip (RHD2132, Intan technology) and captured to computer at
13 30kHz using an Open Ephys acquisition system and associated software (OpenEphys, USA).

14 Low threshold brush and touch applied to the paw were used as a search stimulus as the recording
15 electrode was advanced into the spinal dorsal horn. Once single units were isolated non-noxious
16 and/or noxious mechanical (VonFrey filaments) and cold (acetone) were applied to the receptive field
17 on the lateral aspect of the hind leg/paw. Wide dynamic range neurones were identified by their
18 graded response to non-noxious and noxious stimuli ($\geq 15\text{g}$ Von Frey). A baseline stimulus – response
19 relationship was obtained by applying 4 and 15g von Frey filaments and a single drop of acetone to
20 the receptive field. This was repeated 3 times for each stimulus with a 10s inter-stimulus interval
21 between Von Frey filaments and 1 min between acetone drops. To optogenetically activate the PrL-P
22 neurons, blue light was delivered via the implanted optic fibre (445nm, 10-15mW, 20Hz, 10ms pulse
23 width) continuously starting 1 min prior to peripheral stimulation and lasting until the end of the
24 stimulus set (4 & 15g vF hairs and acetone stimuli were reapplied 3 times). The average number of

1 evoked action potentials for each stimulus was compared before, during and 5 mins following opto-
2 activation of PrL-P neurons.

3 Histological Processing

4 Tissue collection and processing: Rats were killed with an overdose of pentobarbital (20mg/100g, i.p,
5 Euthalal, Merial Animal Health) and perfused trans-cardially with 0.9% NaCl (1ml per gram) followed
6 by 4% formaldehyde in phosphate buffer (PB). The brains were dissected out and post-fixed overnight
7 in the same solution before cryoprotection in 30% sucrose in PB. Coronal sections were cut at 40 μ m
8 using a freezing microtome and left free floating for fluorescent immunohistochemistry or mounted
9 on slides to identify optic fibre tracts and/or injection sites for viral vector delivery using light
10 microscopy.

11 Immunofluorescence: Tissue sections were incubated free floating on a shaking platform with
12 phosphate buffer containing 0.3% Triton-X100, 5% normal goat serum (Sigma) and primary antibodies
13 to detect EGFP (ab13970, Abcam) or mCherry (5993-100, BioVision) for 24 hours at room temperature.
14 After washing with PB, sections were incubated for 3 hours at room temperature with an appropriate
15 Alexa Fluor secondary antibody. Following, sections were washed before mounting on glass slides in
16 1% gelatin solution and, once dried, cover slipped using FluroSave reagent (345789, Merck-Millipore,
17 Germany). Sections were imaged on a Leica DM16000 inverted epifluorescence microscope equipped
18 with Leica DFC365FX digital camera and LAS-X acquisition software.

19 Transduction Mapping: To create maps of the distribution of transfected neurons within the mPFC, a
20 series of coronal mPFC sections from 3 animals were manually plotted. Each section was paired to a
21 matching coronal diagram from the Rat Brain Atlas (Paxinos and Watson, 2007), at ~120 μ m intervals
22 (every third section). Using an epifluorescent microscope (Zeiss Axioskop II inverted microscope
23 equipped with a CooLED pE-100 excitation system, filter blocks - red: filter set number 15 (DM 580
24 nm, BP 546/12 nm, LP 590 nm) and green: filter set number 09 (DM 510 nm, BP 450–490 nm, LP 515
25 nm), mCherry+ cells were plotted. The diagrams were digitised into the photo editing software GIMP.2

1 (Creative Commons) allowing superimposition to create conjunction maps indicating the extent of
2 labelled areas of the mPFC within each cohort. A digital grid was used to divide up the cortical field
3 and the number of positively labelled neurones counted within each 0.2mm² grid from each animal.
4 The consistency of positive labelled neurons within each grid square was represented on a grayscale
5 with black indicating positively labelled cells in all rats and white indicating no cells. To determine the
6 proportion of transfected neurons that co-expressed both ChR2-EYFP and hM4Di-mCherry composite
7 widefield images were taken at 20X magnification of every 6th section in a series of consecutive mPFC
8 section from ~+5.10 to +2.8 mm from bregma and from three experimental animals. From these
9 images the distribution of EYFP, mCherry, and co-localised neurons were quantified.

10 Drugs

11 ClozepineN-Oxide (Tocris, UK) was purchased and made up on the day of use in DMSO and diluted
12 with 0.9% NaCl to a final concentration of 2.5mg.ml⁻¹ and 5% DMSO.

13 Quantification and Statistics

14 All statistical analysis was conducted using GraphPad Prism 8. All data are presented as mean ± SEM.
15 Sample sizes were estimated from previous experience and with reference to literature (Hughes *et al.*,
16 2013; Drake *et al.*, 2016; Hirschberg *et al.*, 2017). Students t-test (paired and unpaired), repeated
17 measures two way ANOVA or Mixed Model were used to compare groups as appropriate. This mixed
18 model uses a compound symmetry covariance matrix and is fit using Restricted Maximum Likelihood
19 (REML). Sidak's or Dunnett's post-test were used for comparisons between multiple groups and where
20 appropriate. The number of replications (n) is the number of data points used in the statistical test
21 that is either the number of animals for behavioural testing or the number of neurons for
22 electrophysiological experiments.

23

1 **Results**

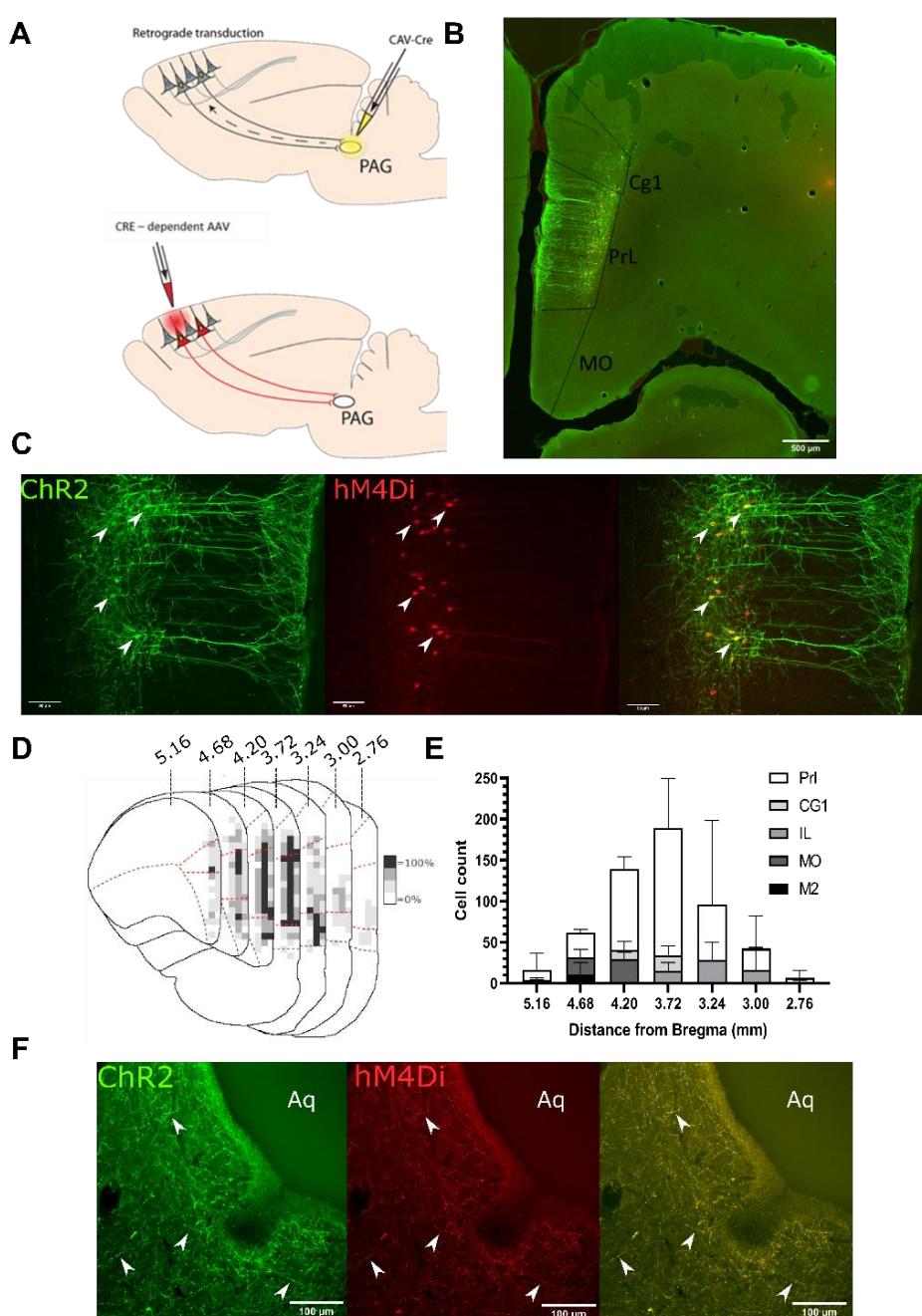
2 **Targeting mPFC → PAG neurons in the pre-limbic cortex.**

3 To make selective manipulations of medial prefrontal cortex (mPFC) neurons that project to the PAG
4 we expressed the excitatory light activated ion channel, Channelrhodopsin2 (ChR2) and the inhibitory
5 ligand gated g-protein coupled receptor, hM4Di, in mPFC pyramidal neurons using an intersectional
6 and Cre-dependent approach (Fig 1A). This approach led to the expression of hM4Di-mCherry and/or
7 Chr2-YFP on average in 248 ± 71 mPFC pyramidal neurons (n=3 rats) that were located in layer 5/6 (Fig1
8 B,C). Colocalization of hM4Di-mCherry and Chr2-EYFP was found in $76.1 \pm 3.3\%$ of labelled neurons
9 with $23.9 \pm 3.3\%$ expressing hM4Di only and no cells that expressed ChR2-EYFP alone. The majority of
10 labelled neurones were found in the pre-limbic cortex (PrL versus Medial Orbital 72 ± 1.5 vs $10.8 \pm 4.6\%$)
11 (Fig1 D&E). Successful targeting of the PrL-P neurons was confirmed by the presence of hM4Di-
12 mCherry and Chr2-EYFP labelled fibres within the ventrolateral (vl)PAG (Fig 1F). In control animals, in
13 which no CAV-CMV-CRE was delivered to the vlPAG there was negligible expression of actuator protein
14 in the mPFC after delivery of cre-dependent AAV vectors (Sup Fig1).

15 **PrL-P neurons bidirectionally regulate nociception in naïve rats.**

16 To determine the effect of PrL-P neurons on noxious withdrawal threshold in healthy animals,
17 ChR2/hM4Di-expressing (Naïve^{PrL-P.ChR2:hM4Di}) and control (Naïve^{PrL-P.Control}) rats underwent Hargreaves'
18 testing with opto-activation or chemo-inhibition of PrL-P neurons (Fig 2A-F). Opto-activation of PrL-P
19 neurons (10-15mW, 20Hz, 10ms pulse) in Naïve^{PrL-P.ChR2:hM4Di} rats produced a significant increase in
20 thermal withdrawal latencies ipsilateral, but not contralateral, to the transfected PrL-P pathway
21 (baseline versus PrL-P opto-activation = 7.5 ± 0.4 vs 10.4 ± 0.9 seconds, p=0.008, paired t-test, n=10)
22 (Fig 2 B & C). The equivalent illumination paradigm in Naïve^{PrL-P.Control} rats did not alter ipsilateral or
23 contralateral withdrawal latencies (Fig 2B,C&D). Conversely, chemo-inhibition (2.5mg.kg⁻¹ CNO i.p.)
24 of PrL-P neurons in the same Naïve^{PrL-P.ChR2:hM4Di} rats that received opto-activation significantly
25 decreased the average withdrawal ipsilateral, but not contralateral, to the transfected PrL-P pathway

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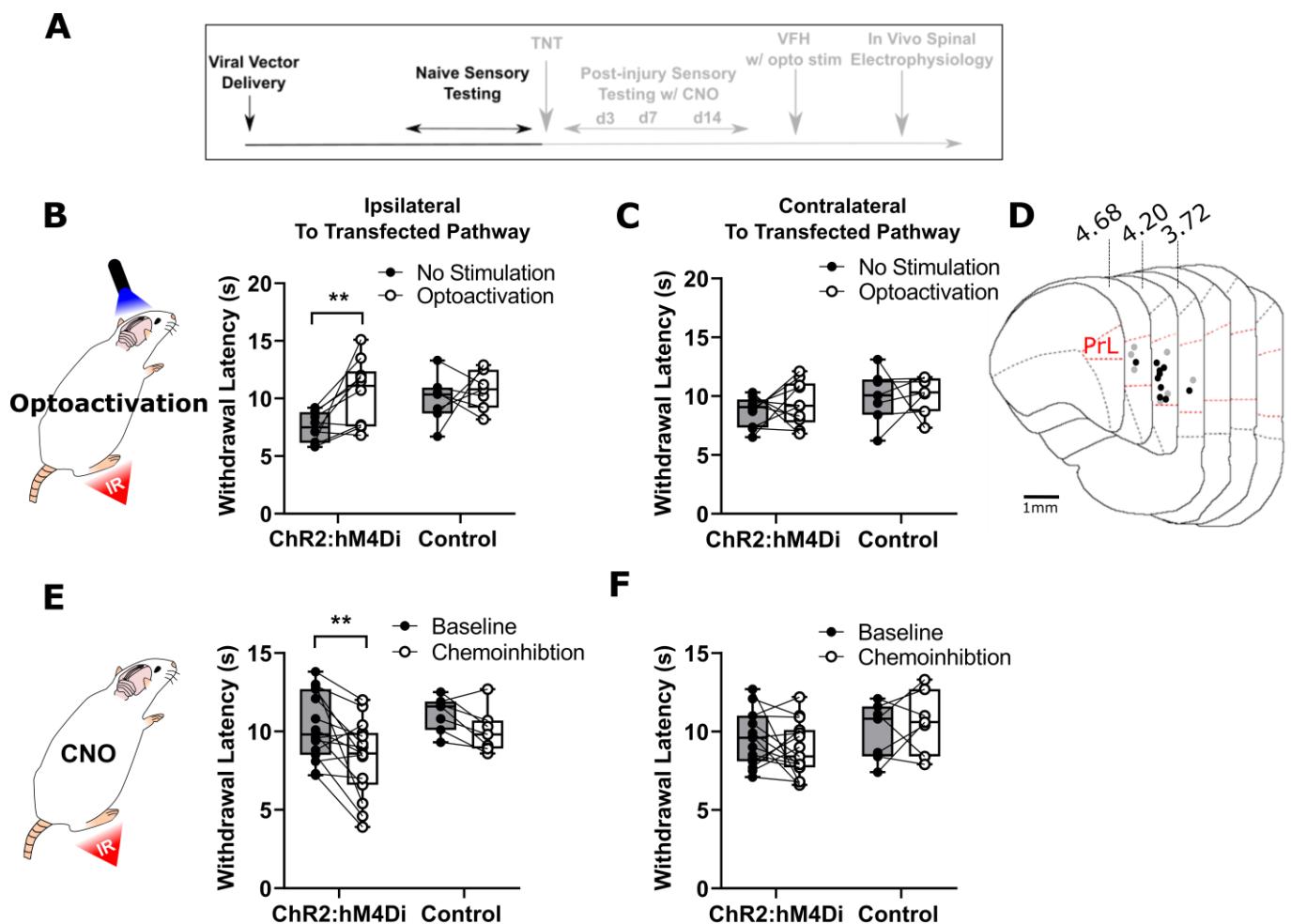


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3 **Figure 1. Transfected mPFC→PAG neurons arise mainly from the pre-limbic cortex.** A - Intersectional viral
4 vector strategy using retrograde canine adenovirus and Cre-dependent adeno-associated viral vectors to express
5 genetically encoded actuators (both ChR2 and hMD4i) to target mPFC neurons that project to the PAG. B –
6 Photomicrograph of mPFC showing labelled neurones residing mainly in the pre-limbic cortex. C – Pre-limbic
7 cortex with co-localisation of mCherry (hM4Di) and EYFP (ChR2) in neurons projecting to PAG (many examples
8 but several marked with white arrows). D – Conjunction plot illustrating location of mPFC→PAG neurons
9 throughout the medial prefrontal cortex (n=3 rats). Darker shading indicates positional overlap of positively
10 labelled (hM4Di) neurons from more than one animal (light = 1 animal, mid = 2, dark =3). Dotted red line marks
11 the pre-limbic cortex. E - Comparative distribution of mPFC→PAG neurons throughout the cortex (mean \pm SEM).
12 F – Photomicrograph showing ChR2-EYFP & hM4Di-mCherry containing fibres from mPFC projecting to the
13 ventrolateral region of PAG (many examples but several marked with white arrows).

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4 **Figure 2. PrL-P neurons bidirectionally regulate nociception in naive rats.** A – Experimental timeline. B –
5 Illumination of PrL (445nm, 20Hz, 10-15mW, 10ms pulse, concomitant with hind-paw heating) in
6 Naive^{PrL.ChR2:hM4Di} rats increases thermal withdrawal latencies on the ipsilateral hind paw but not in Naive^{PrL.Control}
7 rats that do not express ChR2 (Paired t-test p=0.008, n=10 for ChR2:hM4Di group; p=0.55 n=7 for control group)
8 and C – Equivalent illumination of PrL has no effect on the thermal withdrawal latency in the contralateral hind-
9 paw in either Naive^{PrL.ChR2:hM4Di} or Naive^{PrL.Control} (paired t-test p=0.40, n=10 for Naive^{PrL.ChR2:hM4Di} rats; p=0.90, n=7
10 for the Naive^{PrL.Control} rats). D – Optic fibre tip locations in the mPFC from Naive^{PrL.ChR2:hM4Di} (●) and Naive^{PrL.Control}
11 (○) rats. For simplicity, fibre placements are depicted in a single hemisphere. E – CNO (2.5mg.kg⁻¹ i.p) in
12 Naive^{PrL.ChR2:hM4Di} rats decreased withdrawal latencies (mean value at 20-40mins post injection) on the ipsilateral
13 paw but not in Naive^{PrL.Control} rats (Paired t-test p = 0.006, n = 15 for Naive^{PrL.ChR2:hM4Di} rats; p=0.55, n = 7 for
14 Naive^{PrL.Control} rats) and G –CNO had no effect on the thermal withdrawal latency of the contralateral hind-paw
15 in either Naive^{PrL.ChR2:hM4Di} rats or Naive^{PrL.Control} rats (paired t-test p=0.24 n=15 for Naive^{PrL.ChR2:hM4Di} rats; p=0.68,
16 n=7 for Naive^{PrL.Control} rats).

17

18 (Baseline vs chemo-inhibition of PrL-P = 10.3±0.6 vs 8.3±0.6 seconds, p=0.006, paired t-test, n = 15
19 (Fig 2 E&F)). CNO had no significant effect on withdrawal thresholds in Naive^{PrL-P.ChR2-hM4Di} rats (Fig

1 2E&F). These findings demonstrate that there is a tonic level of activity within the PrL-P pathway that
2 dynamically regulates nociception in the absence of any process of sensitisation.

3 **Tonic activity in PrL-P neurons delays the development of neuropathic hypersensitivity.**

4 The TNT model of neuropathic pain was used to assess the contribution of PrL-P neurons to the
5 development of sensitisation (Fig 3A-D). TNT^{PrL-P.ChR2-hM4Di} and TNT^{PrL-P.Control} rats had nociceptive
6 sensory testing before and after CNO (2.5mg.kg⁻¹, imp Fig 3C) longitudinally up to 42 days post-nerve
7 injury (suppl Fig 2). Chemo-inhibition of PrL-P neurons unmasked mechanical and cold hypersensitivity
8 In TNT^{PrL-P.ChR2-h M4Di} rats, for the ipsilateral, nerve injured, hind paw at day three post nerve injury (Fig
9 3 E&I). The mechanical withdrawal threshold (von Frey) was reduced no average by 80% on day 3 post
10 TNT, from 6.0±1.3g (pre-CNO) to 1.2±0.5g (post-CNO) (2Way ANOVA. CNO F(1,30)=20.09 p=0.0001.
11 Sidak's post-test day 3 pre-CNO vs CNO p=0.008, n=16) (Fig 3E). Similarly, the number of cold-evoked
12 nociceptive behaviours (foot flicking, biting and grooming) was significantly increased ipsilaterally by
13 PrL-P chemo-inhibition at day 3 post-TNT from 2.8±0.5 to 5.8± 0.7 events (2Way ANOVA. CNO
14 F(1,30)=9.6 p=0.004, Sidak's post-test day 3 pre-CNO vs Post CNO p=0.003, n=16) (Fig 3I). At 7 days
15 post nerve injury, PrL-P chemo-inhibition also significantly decreased the ipsilateral mechanical
16 withdrawal threshold from 2.5±0.5 to 0.30±0.06 grams (Sidak's post-test p=0.001, n=16, Fig 3E). For
17 the contralateral (uninjured) paw PrL-P chemo-inhibition significantly reduced mechanical withdrawal
18 thresholds at day 3 post-TNT from 13.5±0.7 to 9.0±1.4 grams (2Way ANOVA. CNO F(1,30) = 5.77
19 p=0.02, Sidak's post-test day 3 pre-CNO vs CNO p=0.03, n=16) but not thereafter (Fig3 F). From 14
20 days post-nerve injury and up to 42 days PrL-P chemo-inhibition ceased to significantly change either
21 mechanical or cold-evoked nociceptive behaviour on the ipsilateral hind-paw (Fig3 E&I, Supl Fig 2). In

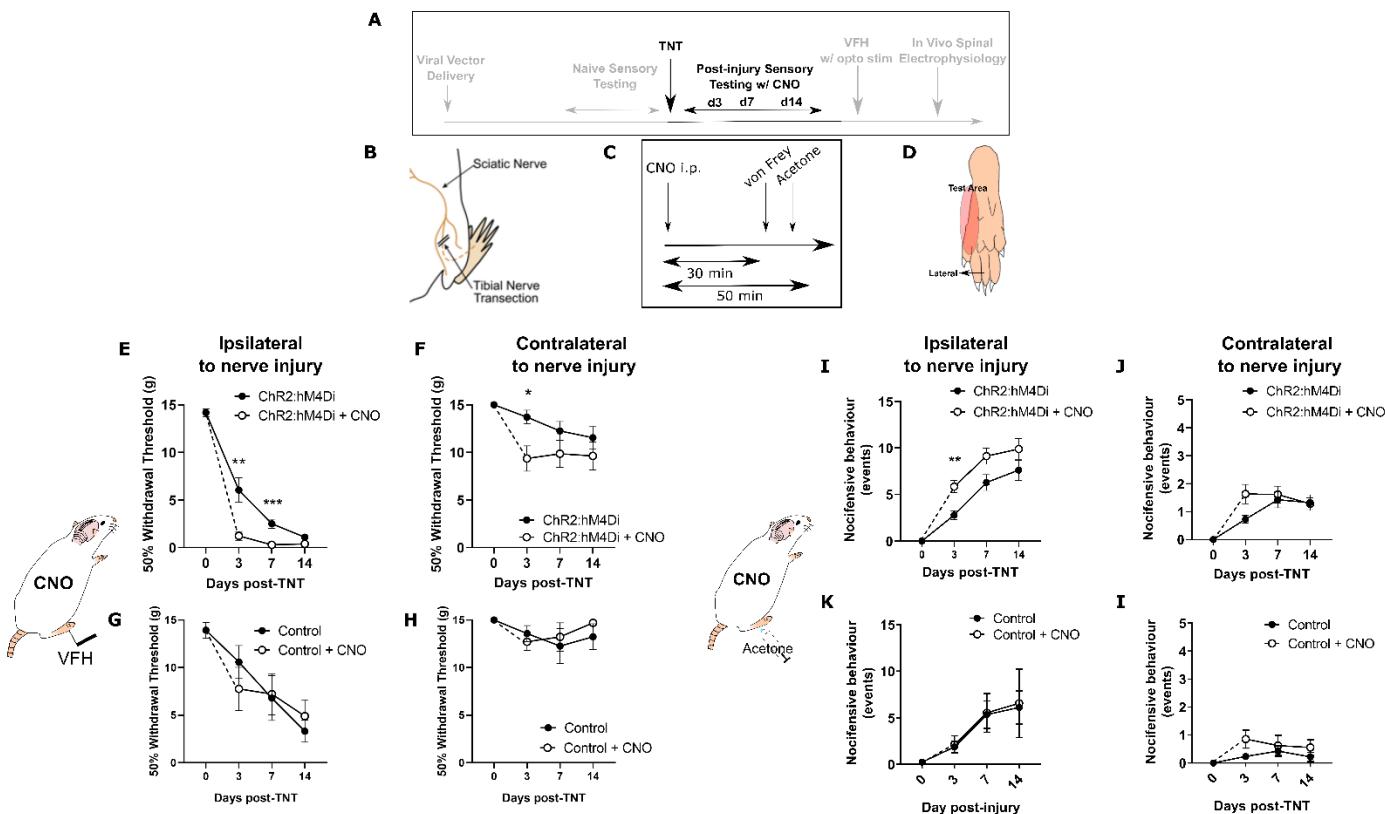


Figure 3. Inhibition of PrL-P neurons unmasks hypersensitivity in neuropathic rats. **A** – Experimental timeline. **B** – Tibial nerve transection (TNT) was used to produce the neuropathic injury. **C** – Sensory testing was conducted at 30 mins after systemic delivery of CNO and **D** – testing was conducted on the lateral plantar surface of the hind-paw in a receptive field adjacent to injured tibial nerve. **E** – In $TNT^{PrL.ChR2:hM4Di}$ rats, CNO ($2.5\text{mg} \cdot \text{kg}^{-1}$ i.p) reduces mechanical withdrawal threshold at 3 and 7 days post nerve injury on the ipsilateral (injured) hind-paw (2-way ANOVA, Main effect CNO $F(1,30)=20.09$ $p=0.0001$, Time x CNO $F(2, 60)=6.892$ $p=0.002$. Sidak's post-test $**p<0.01$, $***p<0.001$, $n=16$) and **F** – on the contralateral paw at 3 days post-injury (2Way ANOVA, CNO $F(1,30) = 5.77$ $p=0.02$, Sidak's post-test $*P<0.05$, $n=16$). **G & H** – In $TNT^{PrL.Control}$ rats, the same dose of CNO did not alter mechanical withdrawal thresholds on either the ipsilateral or contralateral hind-paw (2-way ANOVA, main effect; ipsilateral $CNO F(1,14)=0.02$ $p=0.90$, $n=8$ & contralateral $CNO F(1,14)=0.15$ $p=0.71$, $n=8$ respectively). **I** – In $TNT^{PrL.ChR2:hM4Di}$ rats, CNO increased acetone-evoked nocifensive events at 3 days post injury on the ipsilateral paw (2-way ANOVA, main effect CNO $F(1,30)=9.6$ $p=0.004$, Sidak's post-test $**p<0.001$, $n=16$) but not contralaterally (**J** – 2WAY ANOVA, main effect CNO $F(1,29)=1.3$ $p=0.26$, $n=16$). **K&L** – In $TNT^{PrL.Control}$ rats, CNO did not alter acetone evoked nocifensive behaviour (2-way ANOVA, main effect CNO ipsilateral $F(1,12)=0.02$, $p=0.89$, $n=7$; main effect CNO contralateral $F(1,12)=2.2$ $p=0.16$, $n=7$).

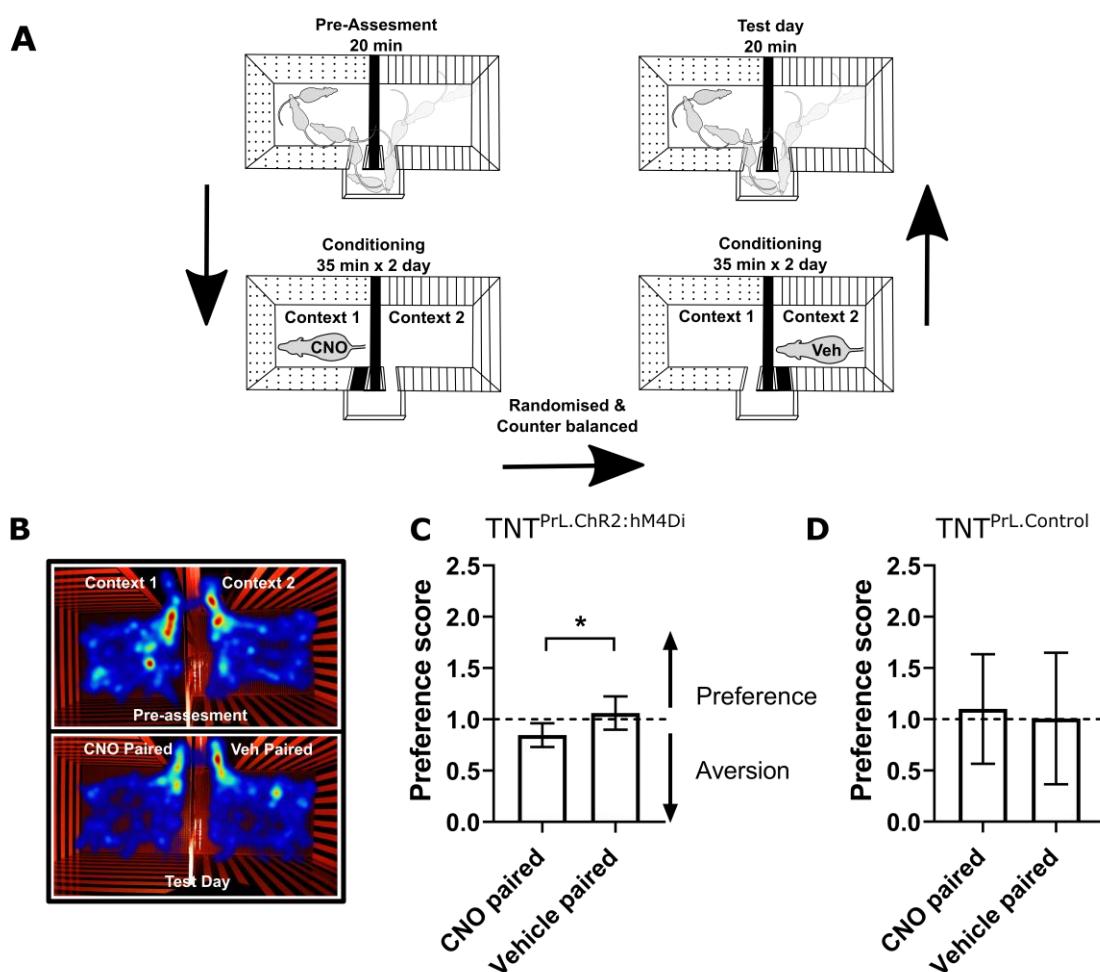
1 TNT^{PrL-P,Control} rats, CNO failed to significantly change either mechanical withdrawal thresholds or cold
2 (acetone) evoked nociceptive behaviour on either the ipsilateral or contralateral paw at any
3 timepoint post-TNT (Fig 3G,H, K, I). These results suggest that PrL-P neurons provide a tonic
4 descending drive to oppose peripheral sensitisation during the early stages of development of
5 neuropathic pain but this effect is lost as sensitisation becomes established after 14 days.

6 **Chemogenetic inhibition of PrL-P neuronal activity is aversive in TNT rats with latent sensitisation.**

7 Neuropathic sensitisation is associated with negative affect (King *et al.*, 2009; Hirschberg *et al.*, 2017)
8 which raises the possibility that PrL-P neurons act to oppose the development of negative affect. If so,
9 then chemo-inhibition of PrL-P neurons in the early phase after nerve injury would be expected to
10 cause aversion. To test this proposition, TNT^{PrL-P,Chr2-hM4Di} and TNT^{PrL-P,Control} rats had place aversion
11 testing with CNO conditioning between days 2 and 5 post-TNT (Fig 4A). TNT^{PrL-P,Chr2-hM4Di} animals
12 showed an aversion to the CNO paired chamber (post-conditioning – pre-conditioning time = -
13 82.9±24.7 seconds, n=8) (Fig 4B&C). We calculated the preference of each rat for the CNO or vehicle
14 paired chamber and found TNT^{PrL-P,Chr2-hM4Di} animals showed a significantly reduced preference score
15 compared to the vehicle paired chamber (Fig 4C; CNO paired vs vehicle paired = 0.8±0.04 vs 1.06±0.06,
16 paired t-test p=0.04, n=8). TNT^{PrL-P,Control} animals showed no difference in preference score for CNO and
17 vehicle paired chambers (Fig 4D, CNO paired vs vehicle paired = 1.1±0.18 vs 1.00±0.21, paired t-test
18 p=0.81, n=9). These findings are consistent with PrL-P neuronal activity opposing the development of
19 negative affect in the immediate period after nerve injury

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3 **Figure 4. Inhibition of PrL-P neurons produces aversion in neuropathic animals. A –conditioned place aversion**
 4 protocol. **B–** Example heatmap visualisation of the time spent within the testing chambers prior (top) and
 5 following conditioning with CNO or vehicle. **C –** Group data showing conditioning with CNO in TNT^{PrL.ChR2:hM4Di}
 6 rats 2-5 days after TNT produced aversion (paired t-test, $p=0.04$, $n=8$). **E –** CNO administration to TNT^{PrL.Control}
 7 rats did not produce aversion (Paired t-test, $p=0.81$, $n=9$

8

9 **Restoration of PrL-P neuronal tone is analgesic in established neuropathic sensitisation.**

10 To test whether the loss of function by PrL-P neurons in later stage neuropathic sensitisation could be
 11 reversed we employed opto-activation of PrL-P to test if it was still able to suppress sensitisation (Fig
 12 5). Opto-activation in TNT^{PrL-P.ChR2:hM4Di} rats (20Hz, 10ms, 10-15mW) produced an increase in the

1 mechanical withdrawal threshold (Baseline vs opto-activation vs recovery = 1.7 ± 0.5 vs 5.2 ± 1.4 vs
2 2.1 ± 0.5 grams, 1Way RM ANOVA, $p=0.02$, Sidak's post-test baseline vs opto-activation $p=0.01$, $n = 9$)
3 (Fig 5B). Equivalent illumination in $TNT^{PrL-P,control}$ rats did not change the mechanical withdrawal
4 threshold (baseline vs opto-activation vs recovery = 1.4 ± 0.5 vs 1.0 ± 0.4 vs 1.4 ± 0.3 , $n=3$) (Fig 5B). This
5 data indicates that the PrL-P neurons are still capable of suppressing neuropathic sensitisation in late
6 stage TNT rats.

7 **PrL-P produces analgesia in neuropathic pain by inhibition of dorsal horn nociceptive responses.**

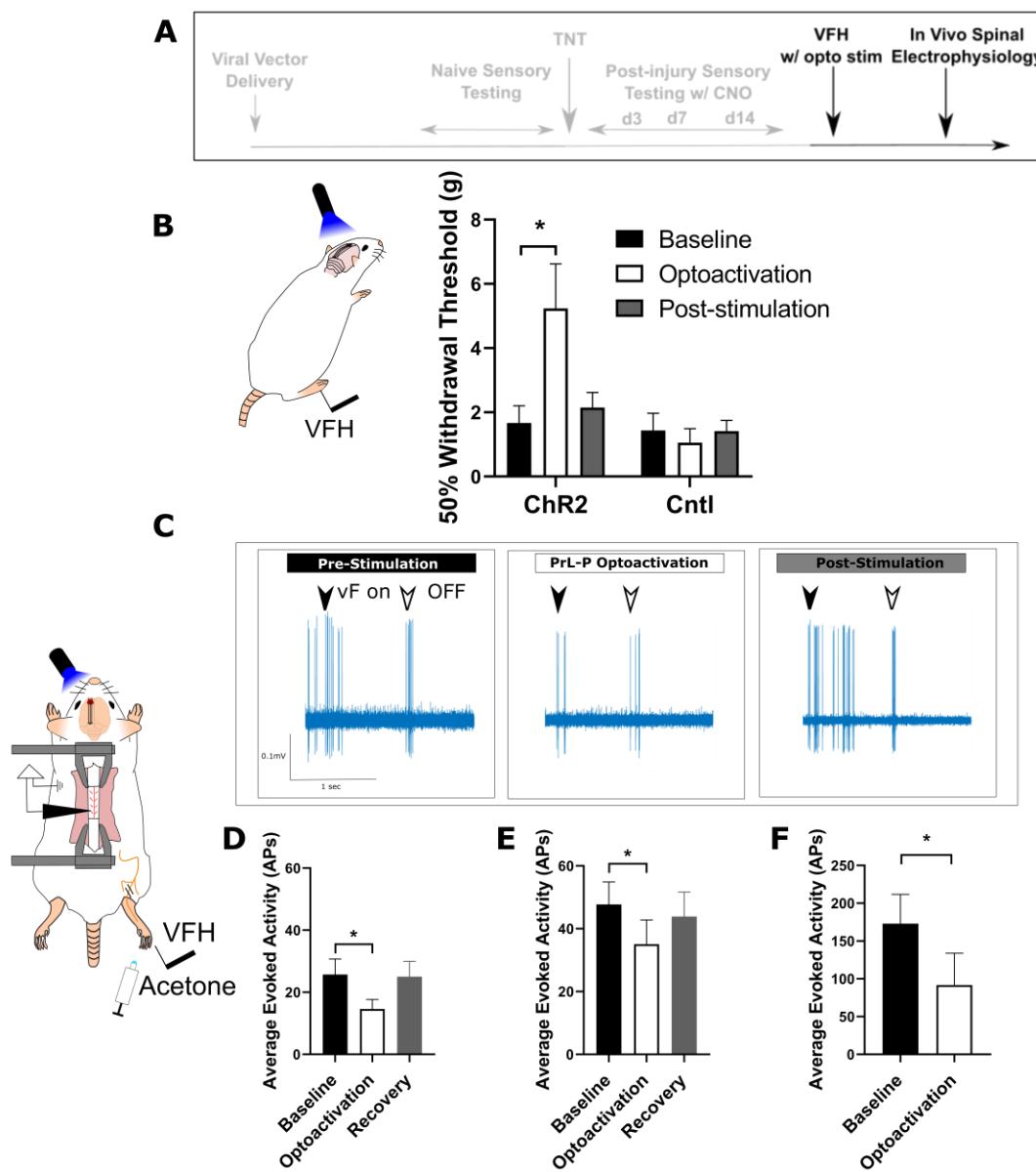
8 To better understand the mechanism by which the PrL-P neurons suppress neuropathic sensitisation,
9 $TNT^{PrL-P,ChR2:hM4Di}$ rats were tested in acute spinal electrophysiology experiments. Opto-activation of
10 PrL-P neurons attenuated the evoked responses of spinal dorsal horn wide dynamic range (WDR)
11 neurons (Fig 5C-F). The number of action potentials evoked by a punctate mechanical stimulus with a
12 4g and 15g Von Frey hair was reduced on average by 43% and 23% respectively (Fig 5D&E. 4g vF,
13 baseline vs opto-activation vs recovery = 25.7 ± 5.0 vs 14.63 ± 3.1 vs 25.0 ± 45.0 action potentials. Mixed
14 Model (REML), Fixed effect Treatment $p=0.007$. Dunnett's post-test baseline versus opto-activation
15 $p=0.009$, $n=9$; Fig 5E, 15g vF, baseline vs opto-activation vs recovery = 45.17 ± 6.9 vs 34.5 ± 6.9 vs 43.9
16 ± 7.8 . Mixed Model (REML) $p=0.10$, Dunnett's post-test baseline versus opto-activation $p=0.04$, $n=9$).
17 Similarly, cold-evoked spinal WDR neuron activity was significantly reduced by opto-activation of PrL-
18 P neurons (Fig 5F, average reduction of 47%, Baseline vs opto-activation = 172.0 ± 38.8 vs 91.7 ± 42.2
19 action potentials, paired t-test, $p=0.04$, $n=4$). This data indicates that the PrL-P neurons are acting to
20 suppress neuropathic sensitisation (punctate and cold allodynia) at a spinal level through the
21 engagement of the DPMS.

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2 **Figure 5. Activation of PrL-P neurons produces analgesia in neuropathic rats by inhibition at a spinal level. A –**
3 Experimental timeline. **B –** Delivery of blue light (445nm, 20Hz, 10-15mW, 10ms pulse, concomitant with hind-
4 paw stimulation) produced a significant increase in mechanical withdrawal thresholds in TNT^{PrL.ChR2:hM4Di} rats (RM
5 ANOVA, Treatment F(1.12, 8.96)=8.07 p=0.02, Sidak's post-test *p<0.05, n=9) but not TNT^{PrL.Control} (RM ANOVA,
6 Treatment F(1, 2)=0.35 p=0.61, n=3). **C –** Example raw data trace illustrating suppression of von Frey hair evoked
7 spinal dorsal horn neuron activity during blue light (420nm, 10-15mW, 10ms duration, concomitant with hind-
8 paw stimulation) delivery to the PrL in TNT^{PrL.ChR2:hM4Di} rats (arrows demark beginning and end of stimulus). **D –**
9 Group data illustrating significant suppression of 4g evoked spinal dorsal horn neuronal activity by illumination
10 of the PrL in TNT^{PrL.ChR2:hM4Di} rats (Mixed Model (REML), p=0.007, Dunnet's post-test *p<0.05, n=10). **E –**
11 Illumination of the PrL in TNT^{PrL.ChR2:hM4Di} rats also significantly suppressed 15g evoked spinal dorsal horn neuronal
12 activity (Mixed model (REML), p=0.10, Dunnet's post-test *p<0.05, n=10.) **F –** Delivery of blue light to the PrL in
13 TNT^{PrL.ChR2:hM4Di} rats significantly decreased acetone evoked spinal dorsal horn neuronal activity (paired t-test,
14 p=0.04, n=4)

15

1 **Discussion**

2 By using a longitudinal study design, we have been able to reveal the dynamic contributions of pre-
3 limbic to periaqueductal grey communication to neuropathic pain state development. In uninjured
4 animals, we found PrL-P neurons to exert tonic inhibitory control over evoked noxious withdrawal
5 responses suggesting they were involved with the moment to moment regulation of nocifensive
6 behaviour. Following tibial nerve injury, rats developed mechanical and thermal allodynia that
7 plateaued at around 14 days post injury. Chemo-inhibition of PrL-P neurons at 3- and 7-days post-
8 injury revealed latent hypersensitivity both ipsilateral and contralateral (day 3 only) to nerve injury
9 and produced place aversion in a conditioned place preference paradigm. However, chemo-inhibition
10 of PrL-P neurons at more than 14 days post-injury failed to significantly affect mechanically or
11 thermally evoked pain-like behaviour. These findings are consistent with there being a tonic activity
12 in PrL-P neurons that suppresses hypersensitivity early after nerve ligation but this is lost with time as
13 the neuropathic pain phenotype emerges. Despite the loss in function, opto-activation of PrL-P
14 neurons during later stages of neuropathic pain produces anti-allodynic effects in neuropathic animals
15 achieved, at least in part, by inhibitory effects on spinal nociceptive processing. We suggest that this
16 cortical – midbrain – spinal network allows executive control of nociception and regulation of pain and
17 that it is the loss of cortical drive to the descending pain modulatory system that underpins the
18 expression of neuropathic sensitisation after nerve injury.

19 Loss of endogenous inhibitory control of CNS pain processing (Staud, 2013) and altered functional
20 connectivity between the mPFC and the PAG is a shared feature of a wide variety of human chronic
21 pain conditions (Jensen *et al.*, 2012; Yu *et al.*, 2014; Chen *et al.*, 2017; Segerdahl *et al.*, 2018) – our
22 findings suggest these changes are causally related. The human mPFC, including the anterior cingulate
23 cortex (ACC), is increasingly recognised as a key locus in the development and maintenance of chronic
24 pain, with changes in structure and function that are associated with, and sometimes predictive of,
25 the transition to chronic pain (Apkarian *et al.*, 2004; Baliki *et al.*, 2012; Hashmi *et al.*, 2013; Baliki and

1 Apkarian, 2015). The PAG is a key node in the DPMS but also an important orchestrator of autonomic
2 and sensorimotor systems that is engaged to support mPFC function in aversive learning, emotional
3 modulation and pain modulation which are all relevant to the chronic pain phenotype (Keay and
4 Bandler, 2001; Franklin *et al.*, 2017; Rozeske *et al.*, 2018; Huang *et al.*, 2019). In humans, changes in
5 functional connectivity between the mPFC/ACC, and the PAG are commonly observed in experimental
6 paradigms that produce emotional, attentional and placebo/nocebo influences on pain as well
7 following delivery of analgesic drugs and often interpreted as reflecting engagement of the DPMS
8 (Wager *et al.*, 2004; Wiech *et al.*, 2014; Wanigasekera *et al.*, 2018; Oliva *et al.*, 2020). Moreover,
9 changes in the functional connectivity between regions of the mPFC and the PAG are often correlated
10 with changes in pain perception and/or disease progression, (Cifre *et al.*, 2012; Hemington and
11 Coulombe, 2015; Harper *et al.*, 2018; Segerdahl *et al.*, 2018; Wanigasekera *et al.*, 2018; González-
12 Roldán *et al.*, 2020). Here we provide evidence in rodents that the PrL, a component of the rodent
13 mPFC, can engage the DPMS to affect nociception and loss in PrL-P neuron function is causally related
14 to the development of the neuropathic pain state in rats. We suggest that changes in functional
15 communication between the mPFC and the PAG, whether trait, age or disease related, likely manifest
16 as alterations in the descending control of spinal nociception.

17 Pre-clinical findings suggest that loss of mPFC – PAG functional communication is explained by both
18 local and inter-regional network alterations. In neuropathic rodents, at 7-10 days post-nerve injury,
19 there is a decline in spontaneous and evoked PrL layer 5 pyramidal cell activity including those that
20 project to the PAG (Cherian and Sheets, 2018; Mitić *et al.*, 2019). This reduction in PrL projection
21 neurones excitability is produced by enhanced feedforward inhibition from local GABAergic
22 interneurons, and driven by inputs from the basolateral amygdala (Zhang *et al.*, 2015; Cherian *et al.*,
23 2016; Kiritoshi, Ji and Neugebauer, 2016; Cherian and Sheets, 2018; Huang *et al.*, 2019). Opto-
24 inhibition of BLA inputs to the PrL releases PrL-P neurons to engage descending inhibitory control from
25 the PAG (Huang *et al.*, 2019). PrL-P neurons are glutamatergic and target both GABAergic and
26 glutamatergic neurones in the PAG (Franklin *et al.*, 2017; Huang *et al.*, 2019) but engage descending

1 inhibitory control from the PAG that is associated with release of local GABAergic control (Tovote *et*
2 *al.*, 2016; Huang *et al.*, 2019). We suggest that the BLA – PrL – PAG – spinal network is central to the
3 expression of neuropathic pain and therapeutics that reengage cortical control of descending pain.
4 modulation may be effective in treating both sensory and affective disturbances in chronic pain.
5 However, whilst BLA inputs to the PrL drive sensory hypersensitivity and negative affect by reducing
6 descending inhibition of the spinal dorsal horn, BLA inputs to the dorsal cingulate regions mitigate
7 pain related aversion (Meda *et al.*, 2019). Additionally, in neuropathic rodents, the E/I ratio of BLA
8 inputs into infralimbic projections to the PAG remain unchanged (Cherian and Sheets, 2018). Thus,
9 there appears regional specific alterations to BLA-mPFC-PAG neuronal networks that must be
10 considered if novel and effective CNS therapeutic strategies are to be realised.

11 We find that PrL-P neurons alter sensory and affective aspects of neuropathic pain, at least in part, via
12 actions on spinal dorsal horn nociceptive processing. Recently, Huang *et al* dissected BLA-PrL-PAG
13 circuitry and demonstrated contributions of spinal noradrenergic alpha-2 receptor and serotonergic
14 5-HT receptor 1/2 signalling to PrL effects on pain-like behaviour in neuropathic rats (Huang *et al.*,
15 2019). However, expression of these receptors in the spinal ventral horn confounds interpretation of
16 effects on sensory/nociceptive versus motor processing (Shi *et al.*, 1999; Perrier *et al.*, 2013). Here we
17 show that, in neuropathic animals, peripherally evoked spinal dorsal horn wide dynamic range (WDR)
18 neurons are inhibited by PrL-P neuronal activity confirming that the PrL is able to engage descending
19 pain modulatory systems that originate in the PAG. Spinal WDR neurons are a known target of
20 descending modulatory systems and their activity correlates well with both withdrawal reflexes and
21 pain perception (Maixner *et al.*, 1986; You *et al.*, 2003; McMullan and Lumb, 2006a; Drake *et al.*, 2016).
22 It is significant from a therapeutic perspective that the PrL is able to affect nociceptive information
23 early in the ascending pathway, likely prior to extensive integration with other nociceptive / non-
24 nociceptive information which would allow for selective and potent actions on the pain experience
25 and pain state development (Heinricher *et al.*, 2009).

1 Spinal noradrenergic alpha -2- signalling masks latent mechanical and cold allodynia at early, but not
2 late, time points post tibial nerve injury and shows a remarkably similar chronology to that observed
3 in this study (Hughes *et al.*, 2013). These observations, supported by those of Huang *et al*, suggests
4 that spinal noradrenaline (NA) release mediates a large part of the PrL analgesic actions in the spinal
5 dorsal horn (Hughes *et al.*, 2013, 2015; Huang *et al.*, 2019). Our findings indicate that the progressive
6 loss of spinal noradrenergic tone in this neuropathic model is due to the loss in top-down executive
7 control from PrL-P neurons but this is yet to be definitively tested. Despite this loss in function,
8 potentiation of spinal NA signalling with reuptake inhibitors can prevent the development of
9 neuropathic pain and reverse neuropathic hypersensitivity in late stage neuropathic animals (Hughes
10 *et al.*, 2015), and NA reuptake inhibitors are currently used to treat neuropathic pain in human
11 patients (Finnerup *et al.*, 2015). Therapeutic strategies aimed at lifting the enhanced feedforward
12 inhibition in the PrL combined with potentiation of spinal NA signalling could provide a novel approach
13 to treat neuropathic pain in humans. Although, targeted approaches are likely necessary as chemo-
14 activation of Locus Coeruleus projections to the spinal dorsal horn and mPFC produce pain relief and
15 conflicting negative affect in neuropathic rats (Hirschberg *et al.*, 2017).

16 At early post-injury timepoints, chemo-inhibition of PrL-P neurons produced place aversion indicating
17 that loss of cortical control over the PAG and associated DPMS worsens the affective state of injured
18 animals. This change in affective state is likely secondary to effects on spinal nociceptive processing
19 as neuropathic animals show ongoing pain like behaviour and its relief, for instance by stimulating
20 spinal NA receptors, produces place preference in neuropathic rats (King *et al.*, 2009; Hirschberg *et*
21 *al.*, 2017). However, direct effects of PrL-P neurons on affective processing should not be overlooked
22 given the role of the PAG in fear, anxiety and depression (Tovote, Fadok and Lüthi, 2015; George,
23 Ameli and Koob, 2019). Interestingly, Rozekse *et al* showed dorsal medial prefrontal cortex projections
24 to the PAG regulate the appropriate expression of aversive memories suggesting that loss of functional
25 communication between the PrL and PAG may lead to generalised aversion and negative affect
26 (Rozeske *et al.*, 2018). The PrL has previously been shown to contribute to pain related anxiety,

1 deficiencies in reward processing and avoidance behaviour (Lee *et al.*, 2015; Wang *et al.*, 2015; Zhang
2 *et al.*, 2015; Liang *et al.*, 2020). Our findings add to the growing picture of mPFC-PAG communication
3 as a critical regulator in a range of disease relevant features including emotional coping, aversive
4 learning and autonomic control including nociceptive processing and, now, neuropathic pain state
5 development (Franklin *et al.*, 2017; Rozeske *et al.*, 2018; Huang *et al.*, 2019).

6 In summary, we have identified specific contributions of PrL-P neurons to regulate nociception in
7 healthy animals and charted their dynamic contributions to neuropathic pain state development
8 following injury. Our findings suggest that PrL-P neurons engage descending inhibitory control of the
9 spinal dorsal horn to regulate CNS nociceptive processing and moment to moment noxious threshold
10 to affect behaviour. Following nerve injury, tone in PrL-P neurons initially constrains the
11 spatiotemporal development of neuropathic hypersensitivity but there is a progressive loss in the
12 functional communication between the PrL and PAG as the pain state develops. Our findings aid
13 interpretation of human clinical observations that demonstrate altered functional connectivity
14 between the mPFC and PAG is an important mechanism in the development of chronic pain.

15

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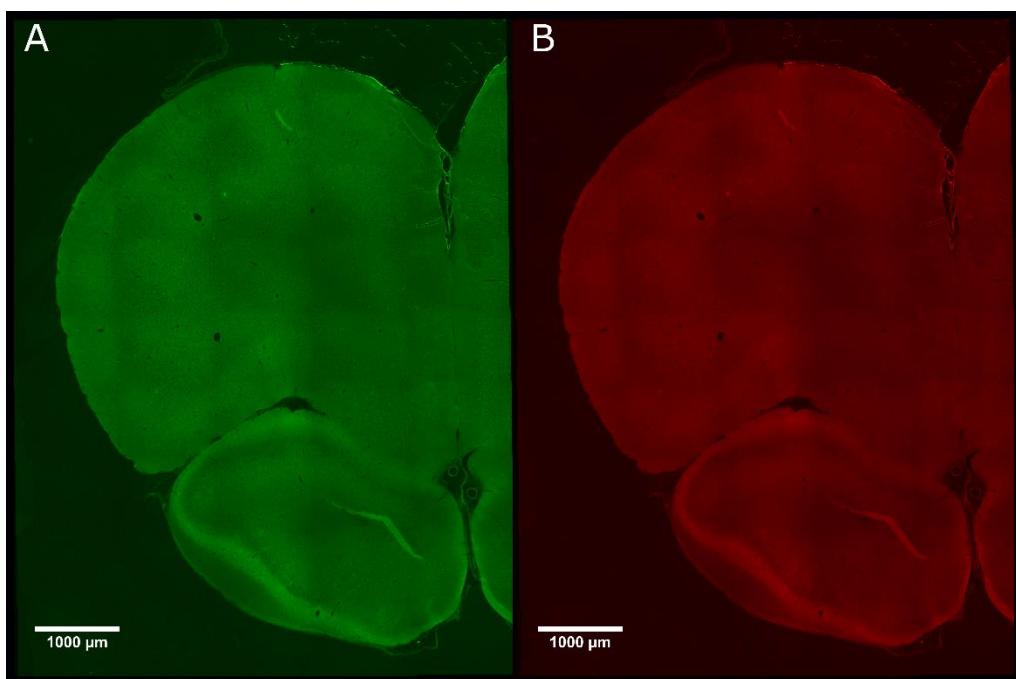
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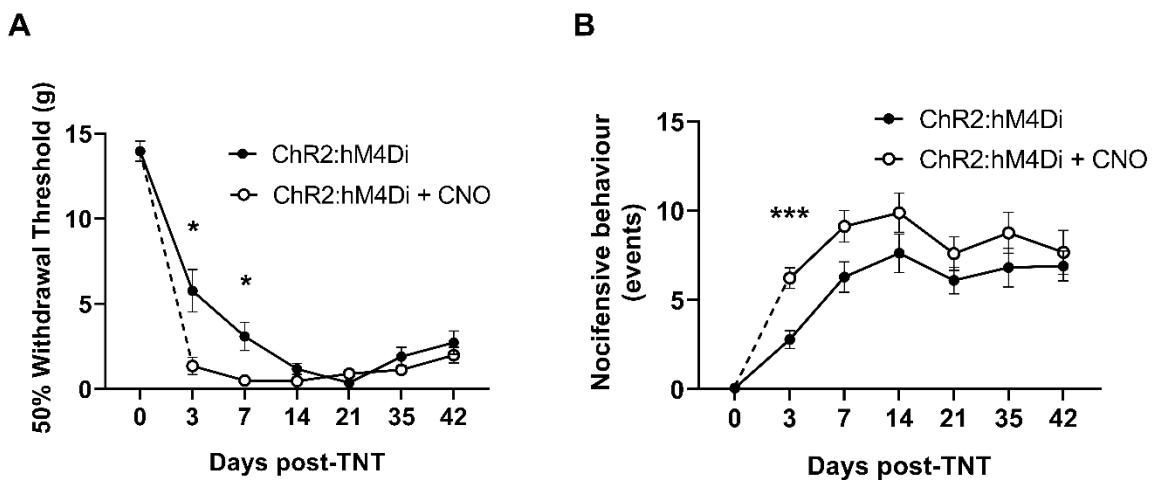
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1
2 **Supplementary Figure 1. There was negligible expression of ChR2-EYFP and hM4Di-mCherry in control**
3 **animals that did not receive CAV-CMV-CRE into the periaqueductal grey.** Photomicrograph of mPFC from
4 showing negligible expression of ChR2-EYFP (A) or hM4Di-mCherry (B) in PrL.Control rats.

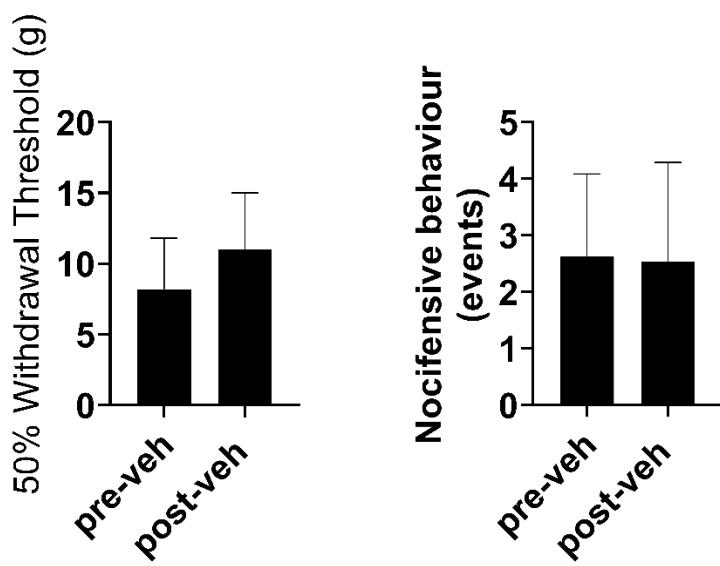
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1
2 **Supplementary Figure 2. Chemo-inhibition of PrL-P neurons affects nociceptive behaviour in early but not**
3 **late timepoints post injury in neuropathic animals. A – In TNT^{PrL.ChR2:hM4Di} rats systemic delivery of CNO**
4 **(2.5mg.kg⁻¹ i.p.) significantly reduces mechanical withdrawal threshold at 3 and 7 days post injury on the**
5 **ipsilateral (injured) hind-paw (Mixed Model (REML), Fixed effects CNO F(1,28) = 7.26 p=0.002, Time x CNO**
6 **F(5,95) =4.92 p=0.0005, Sidaks post-test *p<0.05, n= 16). B – In TNT^{PrL.ChR2:hM4Di} rats ,systemic delivery of CNO**
7 **(2.5mg.kg⁻¹ i.p.) significantly increases the cold (acetone) evoked nociceptive events at 3 days post injury on**
8 **the ipsilateral (injured) hind-paw (Mixed Model (REML), Fixed effects CNO F(1,30)=6.3 p=0.02, Time x CNO**
9 **F(5,98)=0.6 p=0.70, Sidak's post-test ***p=0.0006, n= 16).**

10

1



2

3 **Supplementary Figure 3. Delivery of vehicle does not affect sensitisation in $TNT^{PrL,ChR2:hM4Di}$ rats at 7 days**
4 **post-TNT.** Delivery of Vehicle (sterile saline with 5% DMSO, i.p.) does not alter mechanical withdrawal
5 thresholds (Paired t-test 0.4, n=3) (A) or cold evoked nocifensive behaviour (Paired t-test 0.86 (B) in
6 $TNT^{PrL,ChR2:hM4Di}$ rats (n=3).

7

8