

1 Seafloor incubation experiment with deep-sea hydrothermal vent fluid reveals effect of pressure  
2 and lag time on autotrophic microbial communities

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47 **Abstract:**

48 Depressurization and sample processing delays may impact the outcome of shipboard  
49 microbial incubations of samples collected from the deep sea. To address this knowledge gap, we  
50 developed an ROV-powered incubator instrument to carry out and compare results from *in situ*  
51 and shipboard RNA Stable Isotope Probing (RNA-SIP) experiments to identify the key  
52 chemolithoautotrophic microbes and metabolisms in diffuse, low-temperature venting fluids  
53 from Axial Seamount. All the incubations showed microbial uptake of labelled bicarbonate  
54 primarily by thermophilic autotrophic Epsilonbacteriaeota that oxidized hydrogen coupled with  
55 nitrate reduction. However, the *in situ* seafloor incubations showed higher abundances of  
56 transcripts annotated for aerobic processes suggesting that oxygen was lost from the  
57 hydrothermal fluid samples prior to shipboard analysis. Furthermore, transcripts for thermal  
58 stress proteins such as heat shock chaperones and proteases were significantly more abundant in  
59 the shipboard incubations suggesting that hydrostatic pressure ameliorated thermal stress in the  
60 metabolically active microbes in the seafloor incubations. Together, results indicate that while  
61 the autotrophic microbial communities in the shipboard and seafloor experiments behaved  
62 similarly, there were distinct differences that provide new insight into the activities of natural  
63 microbial assemblages under near-native conditions in the ocean.

64

65 **Introduction:**

66 At deep-sea hydrothermal vents, low temperature (i.e., diffuse) hydrothermal fluids  
67 emanating directly from igneous rock are hot spots of microbial primary production and provide  
68 access points to subseafloor habitats. Diffuse vents are formed when cold, oxidized seawater  
69 mixes with hot chemically reduced hydrothermal fluids at and below the seafloor, creating steep

70 geochemical gradients that support increased microbial biomass, activity, and diversity relative  
71 to the surrounding deep ocean (Butterfield et al 2004, Huber et al 2007, Jannasch and Mottl  
72 1985, McNichol et al 2018, Perner et al 2009). These fluids are dominated by  
73 chemolithoautotrophic bacteria and archaea that carry out a variety of metabolisms utilizing  
74 hydrogen, sulfur compounds, nitrate, and methane (Fortunato and Huber 2016, Fortunato et al  
75 2018, Galambos et al 2019, Meier et al 2017, Olins et al 2017, Reveillaud et al 2016, Trembath-  
76 Reichert et al 2019). However, our understanding of the impact of different microbial  
77 metabolisms on ocean biogeochemistry and the extent of carbon production from these reactions  
78 are nascent. This is partially due to the challenges associated with the collection and transfer of  
79 samples from the deep ocean to the surface for experimentation.

80 Samples transferred from the deep ocean to the sea surface are subject to changes in  
81 temperature and pressure and usually involve a long lag time between collection, sample  
82 recovery, and shipboard processing. Deep-sea devices designed for filtering seawater and other  
83 fluids at depth have been used to minimize these issues through *in situ* filtration, cell  
84 concentration, preservation, and analysis (reviewed in Edgcomb et al 2016, Ottesen 2016). The  
85 outgassing of compounds such as hydrogen and carbon dioxide impacts microbial measurements  
86 from deep-sea hydrothermal vents; therefore, samples often need to be maintained at *in situ*  
87 pressures or temperatures when possible (reviewed in Sievert and Vetriani 2012). For example,  
88 McNichol et al. (2016, 2018) used an isobaric gas tight fluid sampler to conduct shipboard  
89 carbon fixation experiments with diffuse vent fluids maintained at *in situ* pressures and  
90 temperatures. While such measurements remain critical to constraining microbial processes in  
91 the ocean, these and other such experiments have sample processing delays and lack *in situ*  
92 preservation. This could be critical when sampling microbial communities in diffuse fluids that

93 are in an extreme state of chemical disequilibrium and will likely undergo redox reactions  
94 between sampling and arrival in shipboard labs, regardless of the temperature and pressure  
95 conditions maintained in the sampling device.

96 A limited suite of samplers have been developed to carry out experiments while deployed  
97 in the ocean, keeping the instrument submerged for the duration of the experiment and fixing the  
98 samples post-experiment, before instrument recovery. This avoids biases related to sample  
99 collection lag and depressurization, although other experimental artifacts, such as bottle effects  
100 still remain (reviewed in McQuillan and Robidart 2017, Ottesen 2016). This includes the  
101 automated micro-laboratory designed to allow one to conduct multiple (in-series) tracer  
102 incubation studies during cabled or free-drifting deployments (Lippsett 2014, Taylor et al 1993,  
103 Taylor et al 1983, Taylor and Doherty 1990), as well as a modification of the instrument termed  
104 the Microbial Sampler-Submersible Incubation Device (MS-SID), allowing for in situ grazing  
105 incubation experiments together with in situ microbial sampling and preservation (Pachiadaki et  
106 al 2016; Edgcomb et al 2016; Medina et al 2017). Another instrument is the Environmental  
107 Sample Processor (ESP) unit which includes a molecular component that carries out sample  
108 homogenization and subsequent detection of particular microbial groups using quantitative PCR,  
109 sandwich hybridization, or competitive ELISA (Scholin 2018). A version of the ESP has  
110 successfully been deployed in the deep ocean, including in venting hydrothermal fluids (Olins et  
111 al 2017) and methane seeps (Ussler et al 2013).

112 We recently developed a shipboard RNA Stable Isotope Probing (RNA-SIP) procedure  
113 combined with metatranscriptomics to identify the key chemolithoautotrophs and metabolisms  
114 present in deep-sea hydrothermal vent ecosystems (Fortunato and Huber 2016, Trembath-  
115 Reichert et al 2019). In this study, the method was extended to the seafloor by running RNA-SIP

116 experiments in a newly developed incubator that collects, heats, incubates, manipulates, and  
117 preserves seawater and vent fluids to allow for *in situ* experimentation while powered by a  
118 remotely operated vehicle. The results of the *in situ* incubation experiment were compared with  
119 parallel shipboard experiments in order to determine the effect of pressure changes and lag time  
120 on microbial metabolism. Herein, we describe the new *in situ* incubator and the results of  
121 metatranscriptomic sequencing of the shipboard and seafloor RNA-SIP experiments to provide  
122 new insights into the activities of natural microbial assemblages under near-native conditions in  
123 the deep ocean.

124

## 125 **Methods**

### 126 *Fluid collection*

127 Low temperature (41°C) hydrothermal vent fluid was collected from Marker 33 vent at  
128 Axial Seamount (45.93346, -129.98225, 1516 m depth) on 26 August 2015 on board the *R/V*  
129 *Thomas G. Thompson* using *ROV Jason II*. Fluids were collected using the Hydrothermal Fluid  
130 and Particle Sampler (HFPS, Butterfield et al 2004), which has an integrated temperature sensor  
131 to continuously monitor fluid temperature during intake. Collection and processing of diffuse  
132 vent fluid samples for RNA-SIP are described below. For collection of filtered vent fluid for  
133 microbial community DNA and RNA analyses, 3 L of diffuse fluid was pumped through a 0.22  
134 µm pore size, 47 mm diameter GWSP filter and preserved immediately *in situ* with RNALater as  
135 described previously (Fortunato et al. 2018). Separate fluid samples were collected and analyzed  
136 for alkalinity and hydrogen sulfide, ammonia, methane, and hydrogen concentrations following  
137 methods described previously (Butterfield et al. 2004). The oxygen concentration and pH of the

138 fluid were measured during intake using a Seabird 63 Optical oxygen sensor and an AMT deep-  
139 sea glass pH electrode that were integrated into the HFPS.

140

141 *Shipboard RNA stable isotope probing experiments*

142 Shipboard RNA-SIP experiments were performed as previously described (Fortunato and  
143 Huber 2016). The HFPS was used to collect 4 L of diffuse vent fluid into an acid washed Tedlar  
144 bag. Once on the ship, diffuse fluid was pumped from the Tedlar bag into four evacuated 500 mL  
145 Pyrex bottles and filled to capacity (530 mL). Prior to filling, <sup>12</sup>C-labeled sodium bicarbonate or  
146 <sup>13</sup>C sodium bicarbonate was added separately to a pair of bottles to reach a final added  
147 concentration of 10 mM bicarbonate. After adding the fluid sample to each bottle, 1 mL of 1.2 M  
148 HCl was added to counteract the added bicarbonate and ensure a pH similar to unamended vent  
149 fluid. H<sub>2</sub> (900 µmol) was then added to each bottle. A pair of <sup>13</sup>C- and <sup>12</sup>C-labelled bottles was  
150 then incubated at 55°C for 12 h while another pair was incubated for 16 h. After incubation, the  
151 fluid from each bottle was filtered separately through 0.22 µm pore size Sterivex filters,  
152 preserved in RNALater, and frozen at -80°C.

153

154 *Seafloor RNA stable isotope probing experiments*

155 The seafloor incubator units were incorporated as a module on the HFPS (Figure 1) and  
156 designed to pull in vent fluid using the existing HFPS framework and was A/C powered by the  
157 submersible. The incubations occurred concurrently with other HFPS fluid collection and dive  
158 operations. The main components of a single incubator unit consisted of an insulated incubator  
159 bottle containing the primary sample bag (4 mil thick Tedlar bag), an RTD (Resistance  
160 Temperature Detector) probe, and a 250 W heating rod and a final bottle containing a secondary

161 sample bag (2 mil thick Tedlar bag) and a titanium shutoff valve situated between the fluid  
162 intake lines and incubator bottle. Four insulated incubation units were loaded onto one rack of  
163 the HFPS (Figure 1).

164 Prior to deployment,  $^{12}\text{C}$ -labeled sodium bicarbonate or  $^{13}\text{C}$  sodium bicarbonate was  
165 added separately to a pair each of primary incubation bags to reach a final concentration of 10  
166 mM added bicarbonate upon filling with 800 mL of vent fluid. The lines running to each bag  
167 were primed with 1.5 mL of 1.2 M HCl to ensure a pH similar to unamended vent fluid as well  
168 as 900  $\mu\text{mol}$  of pure  $\text{H}_2$  to match the shipboard incubations. Approximately one hour prior to  
169 fluid sampling on the seafloor, the insulated incubator chambers were heated to 55°C. This  
170 incubation temperature was selected based on the high abundances of thermophiles at Marker 33  
171 in previous studies (Fortunato et al 2018, Huber et al 2003). Once at temperature, the primary  
172 sample bags were filled with 800 mL of diffuse vent fluid using the HFPS as described above  
173 and a shut off valve was hydraulically closed to prevent further intake from the sample manifold.  
174 An RKC MA901 Proportional-Integral-Derivative (PID) temperature controller housed in a  
175 separate titanium case recorded and controlled incubator temperature from an RTD thermometer  
176 situated next to the bag and maintained a constant temperature at a set point ( $\pm 2^\circ\text{C}$ ) by  
177 supplying variable power to the heating rod located beneath the Tedlar incubation bag inside the  
178 incubator (Figure S1). The PID control algorithm was tuned to the incubator bottle prior to  
179 deployment using the MA901 autotune feature. The heating rod induced convection in the  
180 incubation chamber resulting in an even temperature distribution. The temperature distribution  
181 within the incubator sample bag was monitored during pre-deployment laboratory experiments  
182 and was found to vary less than 2°C (Table S3).

183 A pair of insulated incubator chambers containing  $^{12}\text{C}$  and  $^{13}\text{C}$  bicarbonate were  
184 incubated for 12 h while an identical pair of chambers were incubated for 16 h. At the end of  
185 each incubation, fluid was pumped from the primary incubator bag, through a 0.22  $\mu\text{m}$  pore size  
186 PES filter (Millipore) into a secondary bag that was surrounded by ambient seawater ( $\sim 2^\circ\text{C}$ ).  
187 Filters were preserved immediately *in situ* with RNALater. Once shipboard, the fluids in the  
188 secondary sample bags were analyzed for pH and the filters were frozen at  $-80^\circ\text{C}$ .

189

190 *Fractionation of RNA-SIP experiments, RT-qPCR, and library preparation*

191 RNA from the incubator and shipboard SIP experiments was extracted, quantified, and  
192 fractionated after isopycnic centrifugation as described in Fortunato and Huber (2016) and  
193 Supplemental Material. 16S rRNA copy number was determined for each fraction via RT-qPCR  
194 with universal primers Pro341F and Pro805R (Takahashi et al 2014) as described in the  
195 Supplemental Material. This measurement was used for comparison between the  $^{12}\text{C}$  and  $^{13}\text{C}$   
196 samples and for determination of  $^{13}\text{C}$  enrichment. Four fractions from each of the  $^{12}\text{C}$  and  $^{13}\text{C}$   
197 samples from the shipboard and incubator samples were sequenced, including fractions with the  
198 maximum amount of 16S rRNA and fractions on either side of the peak, for a total of 16  
199 metatranscriptomic libraries. SIP metatranscriptomic library preparation was completed as  
200 described in the Supplemental Material.

201 *Metagenomic and metatranscriptomic library preparation, sequencing, and analysis*

202 The 47 mm diameter flat filters were cut in half with a sterile razor with each half used  
203 for DNA and RNA extractions, respectively, and corresponding libraries prepared for sequencing  
204 as described in the Supplemental Material and Fortunato et al. 2018. For the RNA-SIP  
205 metatranscriptomes, taxonomy, overall transcript abundance, and hierarchical clustering is

206 displayed for all 16 libraries. For visualization of key metabolic processes, the 16 libraries were  
207 collapsed into their corresponding experiments:  $^{12}\text{C}$  Shipboard,  $^{13}\text{C}$  Shipboard,  $^{12}\text{C}$  Incubator,  
208 and  $^{13}\text{C}$  Incubator. Transcript abundance across fractions was summed for each experiment.

209

210 *Differential Expression Analysis*

211 To determine significance between transcript abundances across RNA-SIP fractions,  
212 differential expression (DE) analysis was run using the interactive tool DEBrowser in R  
213 (Kucukural et al. 2019). Within DEBrowser, differential expression analysis was run using  
214 normalized transcript abundances for KO annotated genes for all 16 RNA-SIP libraries with  
215 Limma (Ritchie et al. 2015). Low count transcripts, defined as the maximum normalized  
216 abundance for each transcript across all samples being less than 10, were removed from the  
217 analysis. Resulting tables, heatmaps, and plots showing significance were generated within  
218 DEBrowser.

219

220 *Mapping to thermophilic Epsilonbacteraeota MAGs*

221 Metagenome Assembled Genomes (MAGs) were assembled and taxonomically identified  
222 from Axial Seamount metagenomic data as described in Fortunato et al. (2018). In this study we  
223 determined the mean coverage of the 10 previously identified MAGs classified as thermophilic  
224 *Epsilonbacteraeota* via Phylosift (Darling et al 2014) and CheckM (Parks et al 2015) within the  
225 dataset (Table S2). The Marker 33 metagenome, Marker 33 metatranscriptome, and all RNA-SIP  
226 metatranscriptomes were mapped to each of the ten MAGs using Bowtie2 with an end-to-end  
227 alignment and default parameters (v2.0.0-beta5 Langmead and Salzberg 2012). Mean coverage  
228 for each MAG within the Marker 33 metagenome was calculated via *Anvi’o* (Eren et al 2015).

229 Mean coverage for each MAG within the Marker 33 metatranscriptome and RNA-SIP  
230 metatranscriptomes was calculated via *samtools* (Li et al 2009). For ease of visualization, the 16  
231 RNA-SIP metatranscriptomes were collapsed and mean coverage for each MAG within fractions  
232 was averaged for each of the four experiments:  $^{12}\text{C}$  Shipboard,  $^{13}\text{C}$  Shipboard,  $^{12}\text{C}$  Incubator, and  
233  $^{13}\text{C}$  Incubator. Heatmaps of mean coverage were constructed in R using the package *heatmap3*  
234 (v3.3.2, R-Development-Core-Team 2011).

235

236 *Data deposition*

237 Raw sequence data are publicly available through the European Nucleotide Archive  
238 (ENA), with project number PRJEB38697 for RNA-SIP metatranscriptomes and PRJEB19456  
239 for the Marker 33 metagenome and metatranscriptome. Assembled contigs for the Marker 33  
240 metagenome and RNA-SIP metatranscriptomes are publicly available via IMG/MER under  
241 submission numbers 78401, 97537-97540, and 97583-97594. Contigs for the 10  
242 *Epsilonbacteraeota* MAGs are available through FigShare at DOI:  
243 10.6084/m9.figshare.12445976

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246

247 **Results**

248 *Enrichment observed in RNA-SIP experiments*

249 Diffuse hydrothermal fluid at Marker 33 vents directly from cracks in basalt along the  
250 eruption zone on the southeast side of the Axial caldera. Chemical analysis of this fluid is shown  
251 in Table S1. The fluid was 85% seawater and 15% hydrothermal end-member fluid based on

252 magnesium concentration (Fortunato et al 2018). The temperature was monitored throughout the  
253 experiment, and temperature records showed that the incubator rapidly heated the chambers to  
254 55°C and maintained temperature within 2°C for the length of the incubations (Figure S1). Upon  
255 recovery, the mass of each secondary bag was determined to indicate how much incubated  
256 sample was pulled through the RNA preservative filter at the end of the incubation. In general,  
257 the secondary bags were full or nearly full and the primary incubator bags were empty or nearly  
258 empty. The pH of the filtered fluids in the secondary bags and from the shipboard incubation  
259 bottles was near 6 (Table 1).

260 Both the 12 h and 16 h shipboard and incubator experiments showed <sup>13</sup>C enrichment  
261 (Figure 2, Figure S2). Only the 12 h samples were sequenced to avoid heterotrophic cross-  
262 feeding from prolonged incubations. The maximum amount of 16S rRNA occurred at higher  
263 RNA densities in the <sup>13</sup>C experiments versus the <sup>12</sup>C controls (Figure 2) indicating that dissolved  
264 inorganic carbon (bicarbonate) was incorporated into RNA during the incubations. For the  
265 shipboard experiment, the maximum amount of 16S rRNA occurred at densities of 1.788 and  
266 1.804 for the <sup>12</sup>C control and <sup>13</sup>C experiment, respectively. For the incubator experiment,  
267 maximum 16S rRNA occurred at lower RNA densities overall, with peak amounts occurring at  
268 densities of 1.778 and 1.785 for the <sup>12</sup>C-control and <sup>13</sup>C-experiment, respectively (Figure 2).

269

270 *Taxonomic composition of RNA-SIP experiments*

271 The taxonomic composition of the RNA-SIP experiments was determined based on the  
272 relative abundance of 16S rRNA sequences and nearly all were primarily composed (96.7% to  
273 98.2%) of thermophilic bacteria belonging to the *Epsilonbacteraeota* (Figure 3A). The  
274 thermophilic genus *Caminibacter* was most abundant within all SIP experiments, with a relative

275 abundance of 80.1%, 95.7% and 83.7% for the  $^{12}\text{C}$  shipboard,  $^{12}\text{C}$  incubator, and  $^{13}\text{C}$  incubator  
276 metatranscriptomes, respectively (Figure 3A). For the  $^{13}\text{C}$  shipboard experiment, *Caminibacter*  
277 was also the most abundant group but to a lesser extent, comprising 59.8% of the community, as  
278 this experiment also had a higher relative abundance of both *Nautilia* and *Hydrogenimonas* 16S  
279 rRNA sequences (Figure 3A). In the  $^{13}\text{C}$  shipboard experiment, *Nautilia* comprised 21.4% and  
280 *Hydrogenimonas* comprised 19.0% of the 16S rRNA sequences on average, indicating a different  
281 community composition in the  $^{13}\text{C}$  shipboard compared to the other experiments.  
282 *Hydrogenimonas* was more abundant in the shipboard community compared to the incubator  
283 community, where it only comprised 0.4% of the  $^{12}\text{C}$  and 8.0% of the  $^{13}\text{C}$  incubator communities  
284 on average (Figure 3A). This pattern was also observed in the taxonomic composition of the  
285 annotated transcripts (Figure 3B). While *Caminibacter* comprised close to 50% of annotated  
286 transcripts in the  $^{12}\text{C}$  shipboard,  $^{12}\text{C}$  incubator, and  $^{13}\text{C}$  incubator metatranscriptomes, transcripts  
287 classified as *Nautilia* comprised a high percentage of total annotated transcripts in all  
288 experiments (Figure 2B).

289 Metagenome assembled genomes (MAGs) were used to examine the composition of the  
290 RNA-SIP experiments. Previously, 10 MAGs classified as thermophilic *Epsilonbacteraeota*  
291 (either *Nitratifactor* sp. or more broadly to the family *Nautiliaceae*) were identified from the  
292 Marker 33 vent metagenomic assemblies as described in Fortunato et al. (2018). A heatmap  
293 depicting mean coverage showed that these MAGs were found at various levels of coverage in  
294 the 2015 Marker 33 metagenome and were actively transcribed in the Marker 33  
295 metatranscriptome, as well as in the RNA-SIP metatranscriptomes (Figure S3). Because of the  
296 higher coverage of the MAGs within the metagenome, patterns among the SIP experiments were  
297 masked, and therefore a second heatmap was constructed showing only mean coverage across

298 the four SIP experiments (Figure 4). Results showed that three MAGs (Axial Epsilon Bins 1, 8,  
299 and 9) had the highest coverage across all SIP experiments. These three MAGs were broadly  
300 classified as belonging to the family *Nautiliaceae* (Figure S7). The <sup>13</sup>C shipboard experiment  
301 showed additional coverage of two other MAGs (Axial Epsilon Bin 2 and 7), both also classified  
302 to the family *Nautiliaceae* (Figure 4).

303

304 *Determination of metabolisms within RNA-SIP experiments*

305 Hierarchical clustering of all 16 RNA-SIP metatranscriptomes based on normalized  
306 KEGG ontology (KO) abundance of annotated transcripts showed that the <sup>12</sup>C controls for the  
307 incubator and shipboard experiment clustered together, indicating functional similarity between  
308 the shipboard and incubator SIP experiments (Figure 2, Figure S4). The <sup>13</sup>C experiments for the  
309 incubator and shipboard clustered separately from the <sup>12</sup>C controls and from each other, with the  
310 four <sup>13</sup>C shipboard metatranscriptomes forming a separate cluster (Figure S4).

311 When examining only the most abundant annotated transcripts expressed across all  
312 metatranscriptomes, the same clustering pattern is observed (Figure S5). The most abundant  
313 transcripts were annotated to genes related to cell growth, translational processes, and energy  
314 metabolism. The gene to which the most annotated transcripts mapped was peroxiredoxin, a gene  
315 involved in reducing oxidative stress and thus cell damage. Other highly abundant transcripts  
316 were annotated to genes for elongation factors and molecular chaperones, indications that  
317 translational machinery was active across all SIP experiments. In addition, transcripts for a key  
318 gene in the reductive TCA (rTCA) cycle, 2-oxoglutarate ferredoxin oxidoreductase, were also  
319 abundant, indicating carbon fixation was occurring (Figure S5). Additional transcripts for carbon  
320 fixation within the SIP experiments were also observed (Figure S6). As observed in the

321 taxonomic profiles, examination of the most abundant annotated transcripts shows that the  $^{13}\text{C}$   
322 shipboard metatranscriptome was slightly different compared to the other three experiments and  
323 clustered separately from the other metatranscriptomes (Figure S5).

324 Differential expression (DE) analysis was run to determine significant differences in  
325 annotated transcript abundance across the 16 RNA-SIP metatranscriptomes (Figure 5). Results  
326 showed 233 genes were significantly differentially expressed (adj. p-value < 0.01) in shipboard  
327 vs. incubator RNA-SIP libraries, with all but one being more highly expressed in shipboard  
328 experiments compared to incubator experiments (Figure 5B). Annotated transcripts with the  
329 greatest difference in expression ( $> 10 \log_2$  fold change) in shipboard vs. incubator experiments  
330 included transcripts of genes related to translation, DNA replication, purine synthesis, and  
331 motility (Table S4).

332 The abundance of annotated transcripts involved in important metabolic processes  
333 differed between the shipboard and incubator SIP experiments (Figure 6), although DE analysis  
334 revealed that many of these differences were not significant. The metatranscriptome of diffuse  
335 fluids reflects the diversity of metabolic processes that occur at a single vent, with the presence  
336 of annotated transcripts for aerobic respiration, denitrification, methane oxidation,  
337 methanogenesis, hydrogen oxidation, sulfur reduction, and sulfur oxidation. The reduced  
338 presence of annotated transcripts observed within the RNA-SIP metatranscriptomes highlights  
339 the metabolisms active under experimental conditions. Transcripts for the mainly anaerobic  
340 process of denitrification, specifically *nirS*, *norBC*, and *nosZ*, were only observed in the  
341 shipboard experiments. Conversely, transcripts for cytochrome c oxidases, important for aerobic  
342 respiration, were only observed in one of the incubator experiments (Figure 6). Transcripts for  
343 methane metabolism differed, albeit not significantly, across experiments. Transcripts for

344 methyl-coenzyme M reductase (*mcrA* gene), important for anaerobic methanogenesis, were only  
345 observed in the shipboard SIP experiments. Methane oxidation transcripts, however, showed an  
346 average of 1.35 log<sub>2</sub> fold increase in incubator experiments when compared to the shipboard  
347 experiments (Figure 6). For hydrogen oxidation, transcripts annotated to genes for Group 1 Ni-  
348 Fe hydrogenases (*hydA3* and *hyaC*) were more abundant in the shipboard experiments compared  
349 to the incubator experiments (adj. p-value < 0.01). or sulfur metabolism transcripts for  
350 polysulfide and thiosulfate reduction showed a significantly higher expression (adjusted p-value  
351 < 0.01) in the shipboard compared to the incubator experiments (Figure 6).

352 Because DE analysis indicated that expression of genes related to stress was significantly  
353 higher in the shipboard experiment compared to the incubator (Table S4), we further examined  
354 the expression of genes related to stress (chaperones, proteases, and other heat shock proteins)  
355 within the samples (Figure 7). An increase in abundance of transcripts annotated to heat shock  
356 chaperone genes *dnaK/dnaJ* and *GroES/GroEL* was observed in both the shipboard and  
357 incubator experiments when compared to the metatranscriptome of diffuse fluids (Figure 7).  
358 However, *dnaJ* had a significantly higher expression within the shipboard experiment (adjusted  
359 p-value < 0.01). Additionally, transcripts for the heat shock protein gene *htpX* were only  
360 expressed in the shipboard SIP metatranscriptomes. Proteases, which play an important role in  
361 protein degradation during times of stress, were in general more highly expressed in the  
362 shipboard SIP experiments when compared to the incubator. Specifically, transcripts for protease  
363 genes *clpX*, *clpP*, *ftsH*, and *hslU* all showed significantly higher expression in the shipboard  
364 experiments (adj. p-value < 0.01, Figure 7, Table S4).

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367 **Discussion:**

368 There are extreme technical challenges to understanding microbial life in the deep sea,  
369 and results and interpretations depend heavily on the experimental approach taken. Motivated by  
370 the hypothesis that chemical reactions and microbial activity that occur in sample containers  
371 between the time of sampling and the start of an experiment will affect experimental results  
372 significantly, we designed and built an *in situ* incubator to eliminate depressurization and lag  
373 time between sampling and experiment in order to better capture the *in situ* microbial activity at  
374 deep-sea hydrothermal vents where diffusely venting fluids exit the seafloor. We successfully  
375 demonstrated the ability to study thermophilic microbes close to their seafloor and subseafloor  
376 habitats and highlighted differences between shipboard and seafloor incubations. We chose RNA  
377 Stable Isotope Probing (RNA-SIP) for the demonstration of *in situ* activity, but many different  
378 types of incubations at temperatures from ambient to at least 80°C are possible with this  
379 instrument, making it a valuable new tool for marine microbial ecology.

380 Marker 33 vent was chosen as the site for the seafloor incubator testing due to the  
381 consistent presence of thermophilic bacteria and archaea detected in previous studies (Fortunato  
382 et al. 2018, Huber et al. 2003, Opatkiewicz et al. 2009, Topçuoğlu et al. 2016, Stewart et al.  
383 2019). To probe these communities, an RNA-SIP methodology coupled to mRNA sequencing  
384 was applied to examine which organisms and metabolism are responsible for autotrophy under  
385 experimental conditions that reflect those in the subseafloor (Fortunato and Huber 2016). RNA-  
386 SIP incubations must mimic the physical and chemical conditions of the environment but  
387 simulating the natural conditions of venting fluids and the subseafloor in any type of experiment  
388 is inherently challenging. For example, it may be hours before fluid collected on the seafloor can  
389 be dispensed into shipboard bottles and incubated, thus increasing the likelihood of changes to

390 the microbial community. This time lag combined with pressure and temperature changes during  
391 transport of diffuse fluids to the surface in unpressurized vessels may also result in outgassing of  
392 key redox species such as methane, hydrogen sulfide, hydrogen, and carbon dioxide (McNichol  
393 et al 2016), death of pressure- and temperature-sensitive organisms (Fang et al 2010), or loss of  
394 oxygen to chemical reactions in the sample container. Performing experiments *in situ* on the  
395 seafloor may help ameliorate many of the biases introduced with shipboard experiments, but few  
396 direct comparisons between *in situ* versus shipboard experiments exist.

397 Except for location (seafloor or shipboard) and timing after fluid sampling, all other  
398 conditions were identical between experiments. The final pH of the incubations in both sets of  
399 experiments was similar to the vent fluid from Marker 33. Both the shipboard and seafloor  
400 incubator experiments showed  $^{13}\text{C}$  enrichment relative to their  $^{12}\text{C}$  control with maximum 16S  
401 rRNA occurring at higher RNA densities. However, the RNA densities of the two experiments  
402 were slightly different with peak 16S rRNA occurring at lower RNA densities overall in the  
403 incubator experiment. The reason for the lower level of enrichment in the seafloor incubator is  
404 unclear but may be due to differences in the dominant microbial genera present in each  
405 experiment or stochastic effects. The majority of the rRNA from all SIP experiments, both  
406 shipboard and incubator, was comprised of thermophilic *Epsilonbacteraeota* oxidizing hydrogen  
407 and reducing nitrate while fixing carbon, consistent with the native community present at the  
408 Marker 33 site in 2015, as well as numerous -omic surveys at diffuse vents, indicating these  
409 organisms and metabolism often dominate in the reducing, warm subseafloor habitat (Cerqueira  
410 et al 2018, Fortunato and Huber 2016, Fortunato et al 2018, McNichol et al 2018, Meier et al  
411 2017, Olins et al 2017, Trembath-Reichert et al 2019). (Fortunato et al 2018). There was a  
412 higher percentage of rRNA classified to the genera *Hydrogenimonas* and *Nautilia* in the

413 shipboard experiments relative to the incubator (Figure 3A) including two *Nautilia* populations  
414 only observed in the  $^{13}\text{C}$  shipboard experiment (Figure 4). Based on publicly available genomes,  
415 *Nautilia* species have a higher GC-content in their genomic DNA (average 34.8%) compared to  
416 *Caminibacter* (average 28.9%), which may account for the higher peak RNA density in the  $^{13}\text{C}$   
417 shipboard compared to the  $^{13}\text{C}$  incubator experiment.

418 Differences in metabolism were apparent between the shipboard and incubator  
419 experiments and may be linked to the chemistry of the fluid at the beginning of the experiment.  
420 For example, transcripts annotated for denitrification (*nirS*, *norB*, *nosZ*) and methanogenesis  
421 (*mcrA*) were only observed in the shipboard experiments. Additionally, significantly higher  
422 expression of hydrogen oxidation transcripts (*hyaA3*, *hyaC*, adj. p-value < 0.01) was observed  
423 shipboard compared to the seafloor incubations. Although not significant, there was a higher  
424 abundance of transcripts annotated for methane oxidation (*pmoA*) and oxygen utilization (*cox*  
425 and *cco*) in the incubator experiments compared to shipboard (Figure 6). We hypothesize that  
426 during the lag time between sample collection and beginning the experiment shipboard, oxygen  
427 was consumed in the vent fluids by aerobic microorganisms and abiotic reactions with the high  
428 concentration of dissolved sulfide and reduced metals in the samples. Therefore, by the time the  
429 fluid was used in the shipboard incubations, there was little to no oxygen left. For the seafloor  
430 experiment, incubations of samples that were approximately 85% deep seawater (Table S1)  
431 contained oxygen at the start of the experiment and oxygen-consuming microbes grew. Aerobic  
432 oxidation of methane and sulfur species are important microbial metabolisms in hydrothermal  
433 vent plumes, as well as in many venting fluids where deep, oxygen-rich seawater mixes with the  
434 reducing vent fluids (Anantharaman et al 2016, Lesniewski et al 2012, Li et al 2014). For  
435 example, our metatranscriptomic study from multiple vent sites at Axial Seamount, including

436 Marker 33 in 2015, showed transcription of cytochrome c oxidases and methane monooxygenase  
437 at this site, indicating these processes were occurring *in situ* in the venting fluids (Fortunato et al  
438 2018). Additional *in situ* experiments focused on assessing the metatranscriptome of the  
439 incubated vent fluid over a shorter time scale might resolve an initial aerobic stage from a later  
440 anaerobic stage and capture some of the dynamic spatial variability in microbial activity around  
441 diffuse vent sites.

442 In addition to differences in microbial metabolism, we found significantly higher  
443 expression of transcripts annotated to heat-shock proteins, proteases, and chaperones in the  
444 shipboard experiments compared to the incubator, which may indicate that the shipboard  
445 microbial community was under more thermal stress (Stewart et al 2012). Chaperones can aid in  
446 protein folding and prevent protein denaturation that occurs during environmental stress (Stewart  
447 et al 2012, Susin et al 2006). Transcripts for chaperone encoding genes were expressed in both  
448 shipboard and incubator experiments (Figure 7), an indication that experimental incubations, be  
449 it on the seafloor or shipboard, enact some stress on microbial communities. However,  
450 transcripts annotated as proteases and heat shock proteins were significantly more abundant in  
451 the shipboard experiments (adj. p-value < 0.01), particularly in the  $^{13}\text{C}$  experiment (Figure 7).  
452 The increased environmental stress could be due to transport to atmospheric pressure,  
453 manipulation of fluid into glass bottles, or any number of differences that occur when carrying  
454 out incubations shipboard as compared to incubating the fluid *in situ* on the seafloor. Another  
455 possibility is that the incubations were performed at temperatures near the optimal growth  
456 temperatures of *Caminibacter* (55-60°C, Alain et al. 2002, Miroshnichenko et al. 2004,  
457 Voordeckers et al. 2005), *Nautilia* (53-60°C, Miroshnichenko et al. 2002, Alain et al. 2009,  
458 Perez-Rodriguez et al. 2010), and *Hydrogenimonas* (55°C, Takai et al. 2004), which may induce

459 transcription of thermal stress proteins in these organisms. Growth at pressures found at deep-sea  
460 vents increased the optimal growth temperature (Jannasch et al. 1992, Pledger et al. 1994,  
461 Holden and Baross 1995) and raised the thermal induction temperature (Holden and Baross  
462 1995) in hyperthermophilic archaea. Therefore, *in situ* incubation of vent fluids in this study may  
463 similarly ameliorate thermal stress in *Epsilonbacteraeota* relative to shipboard incubations.

464 In conclusion, this study showed the effects of depressurization and sample processing  
465 delay using a new *in situ* incubator instrument to carry out RNA-SIP experiments *in situ* on the  
466 seafloor. The taxonomic and functional gene differences observed between shipboard and  
467 incubator experiments were likely due to slight differences in the chemistry of the fluid at the  
468 start of the experiment, and more specifically, the availability of oxygen in the incubator  
469 experiment. Microbial populations were also more stressed in shipboard experiments. Although  
470 the shipboard and incubator experiments were similar, the slight differences between the two  
471 suggest that use of a seafloor incubator may give a more accurate account of the microbial  
472 metabolic processes occurring within diffusely venting fluids due to reduced lag time,  
473 depressurization, and stress, as well as limiting both abiotic and biotic reactions that modify the  
474 chemistry of the fluids during transport to the ship.

475 Use of instrumentation like the seafloor incubator is an important step in understanding  
476 and constraining the roles microbial communities play in the deep ocean, with potential  
477 applications well beyond those described here. The incubator can collect seawater, cold seep  
478 fluids, or vent fluids and their associated microbial communities and immediately amend the  
479 fluids while keeping them at *in situ* pressure and a controlled temperature before filtering and  
480 preserving the microbial biomass. Future experiments with the incubator will focus on  
481 performing quantitative time series measurements of microbial, viral, and geochemical activity

482 for various biogeochemical processes, as well as nutrient amendment experiments to measure the  
483 effect of substrate concentration on reaction rates, chemical signatures, and microbial and viral  
484 community composition and function. Thus, our study expands our understanding of the  
485 activities of natural microbial assemblages under near-native conditions at deep-sea  
486 hydrothermal vents and allows for future deployments to better constrain marine microbial  
487 biogeochemistry in the ocean.

488

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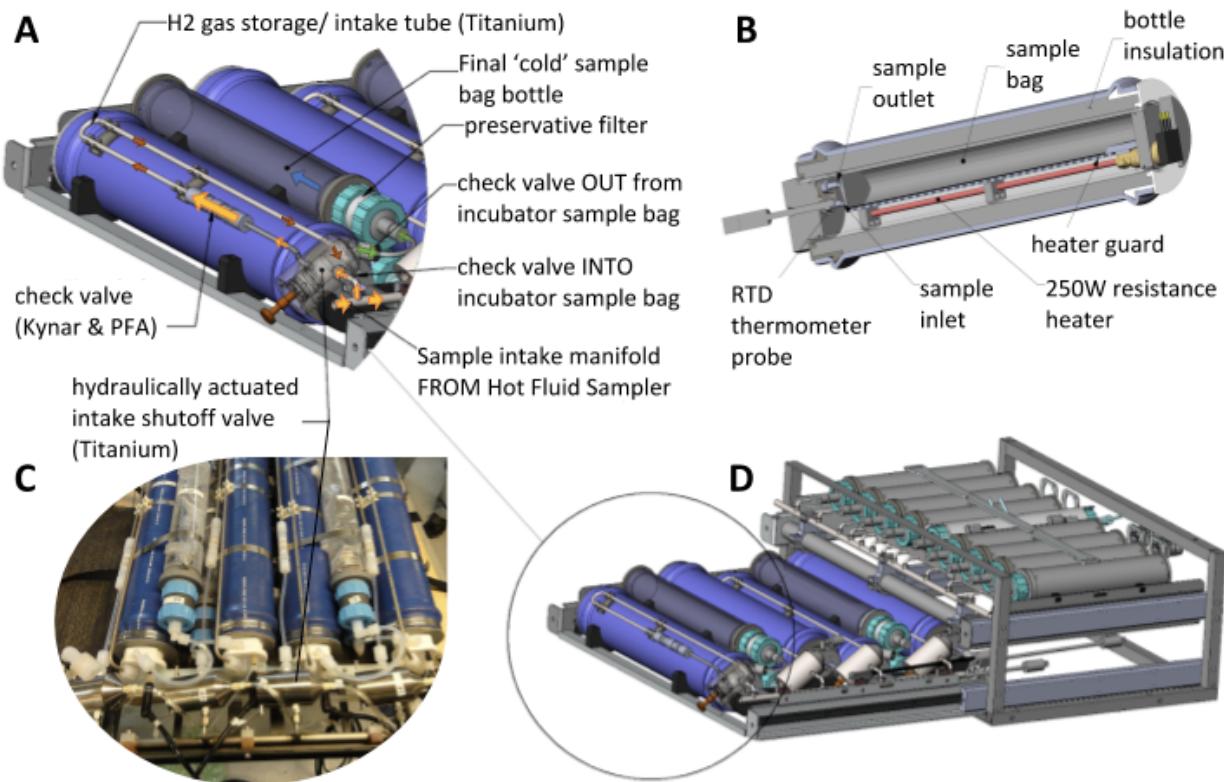
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**Table 1.** Details of each incubation chamber, including time of deployment, mass of final and primary bag, and pH of final bag.

Incubator Unit	Setpoint Temp (°C)	Duration (hours)	Mass of final bag (g)	Mass in primary bag (g)	pH of final bag
1 ( <sup>12</sup> C)	55	16.55	no data	65	6.00
2 ( <sup>13</sup> C)	55	16.57	815	27	6.00
3 ( <sup>12</sup> C)	55	11.78	785	0	5.98
4 ( <sup>13</sup> C)	55	11.76	767	125	5.90

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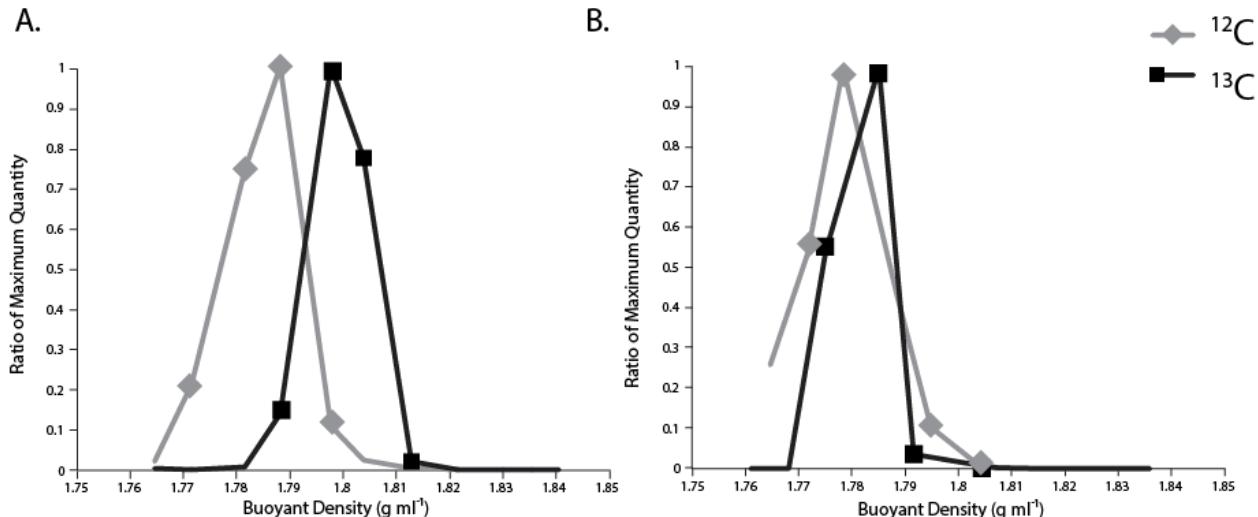
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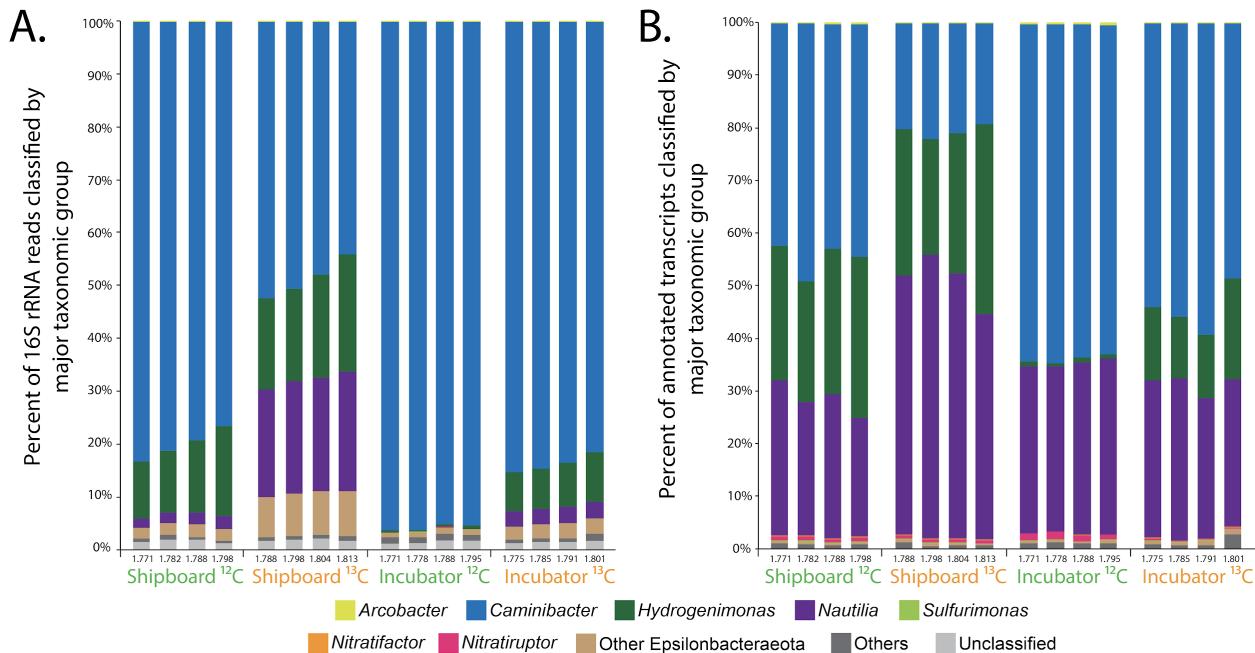
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514 **Figure 1.** Incubator setup for the *in situ* RNA Stable Isotope Probing (RNA-SIP) experiments  
515 (A-D). Each of the four incubation chambers was heated to a chosen set point temperature. Fluid  
516 was pulled into the insulated incubation chamber from the manifold of the Hydrothermal Fluid  
517 and Particle Sampler (HFPS) through a custom titanium shutoff valve, pulling hydrogen gas and  
518 buffering acid into the chamber as it filled. After the incubation period, the fluid was pulled from  
519 the incubation chamber through a 0.22  $\mu$ m filter (A) with passive addition of RNA preservative.  
520 A cutaway view of the incubation chamber (B) shows the incubation bag over the heating  
521 element, with the RTD used to monitor chamber temperature near the end of the bag. The fully  
522 assembled incubator module (C, as deployed in 2015) slides into the HFPS sample rack (D).  
523 Fluid transfer is accomplished with the HFPS sample pump and selection valve.

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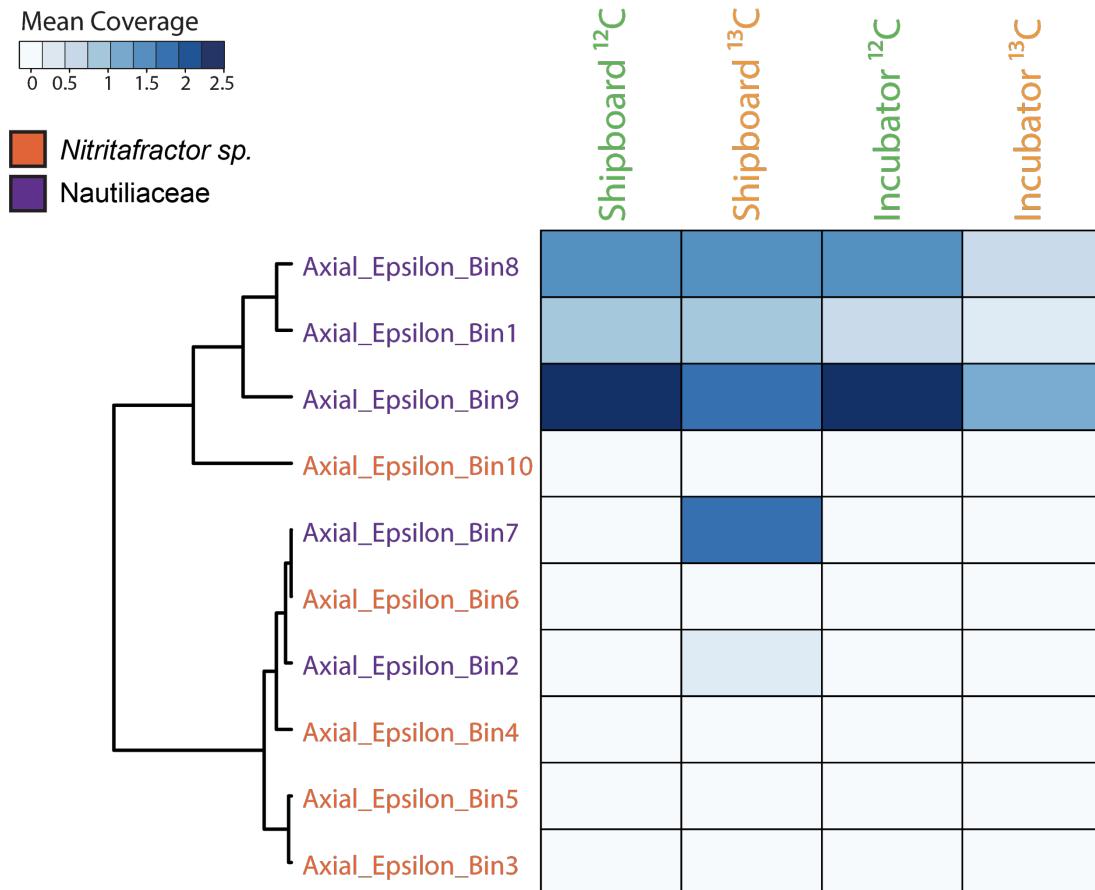


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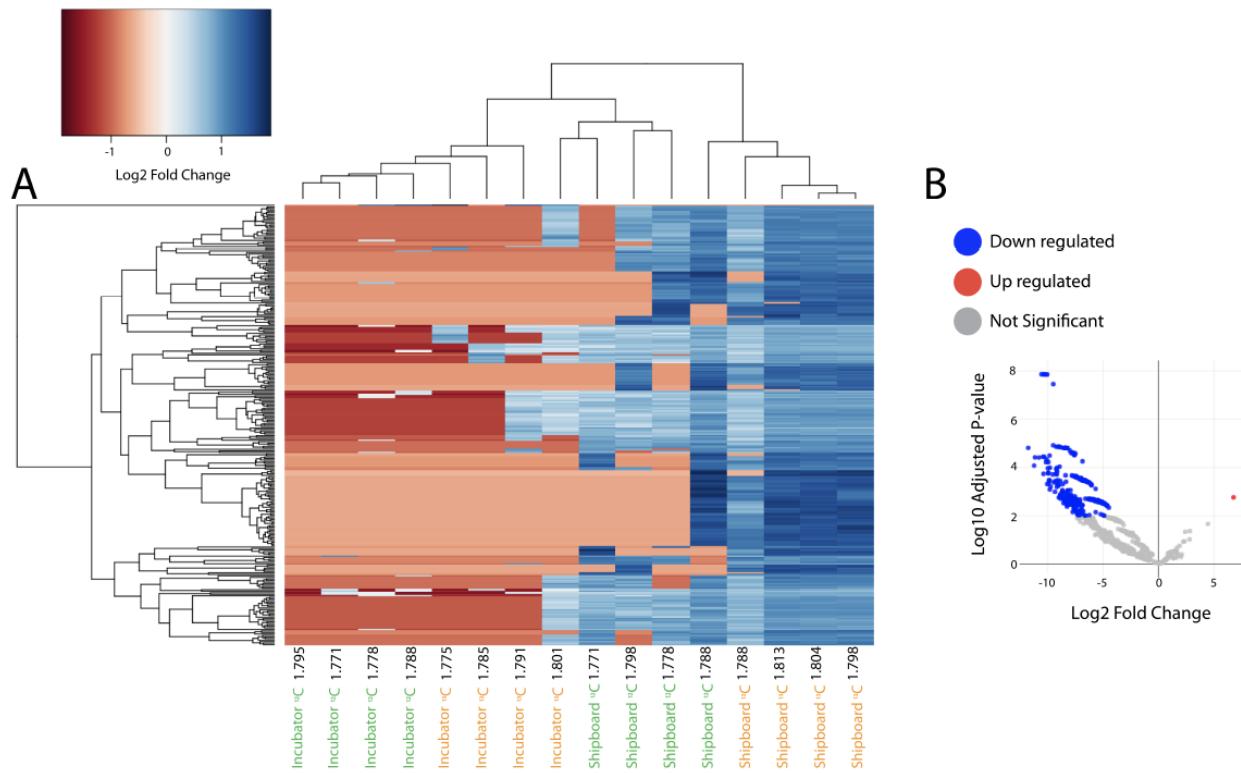
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534 **Figure 3:** Taxonomic classification of (A) 16S rRNA reads and (B) functionally (KO) annotated  
535 non-rRNA transcripts from RNA-SIP metatranscriptomes.



536

537 **Figure 4:** Heatmap of mean coverage across the RNA-SIP experiments of metagenome  
538 assembled genomes (MAGs) taxonomically identified as thermophilic Epsilonbacteraeota,  
539 specifically either the genus *Nitritifactor* (orange) or the family *Nautiliaceae* (purple) as  
540 described in Fortunato et al. 2018. Fractions from each of the four RNA-SIP experiments have  
541 been collapsed and mean coverage summed. Scale depicts range of mean coverage across  
542 MAGs.



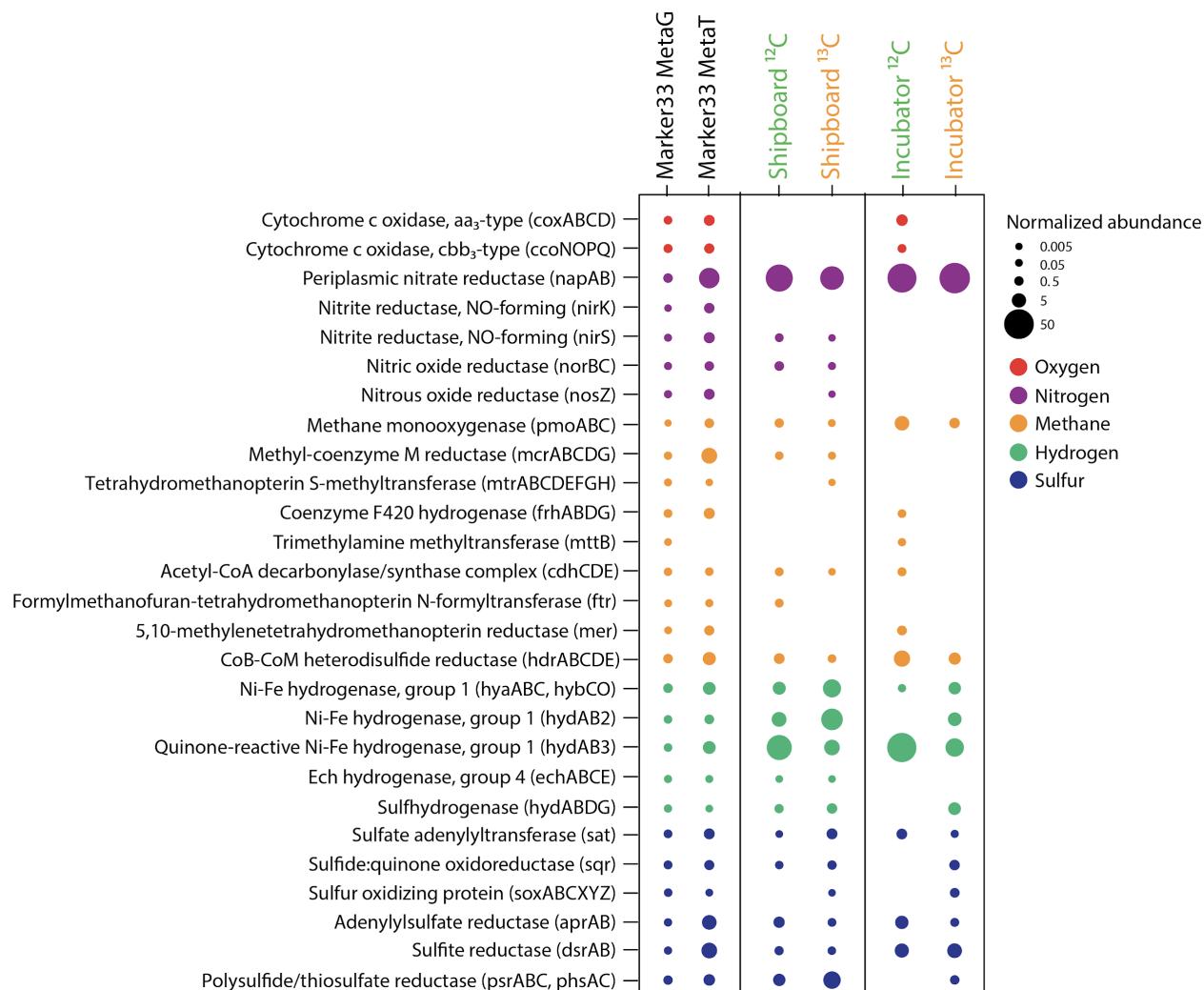
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544 **Figure 5:** Heatmap (A) showing the 233 KO annotated genes that were differentially expressed  
545 across fractions (adj. p-value < 0.01). Volcano plot (B) of fold change in expression vs. adjusted  
546 p-value. Genes that were significantly up regulated (adj. p-value < 0.01) in the Incubator vs.  
547 Shipboard fractions are colored in red, down regulated genes are colored in blue.

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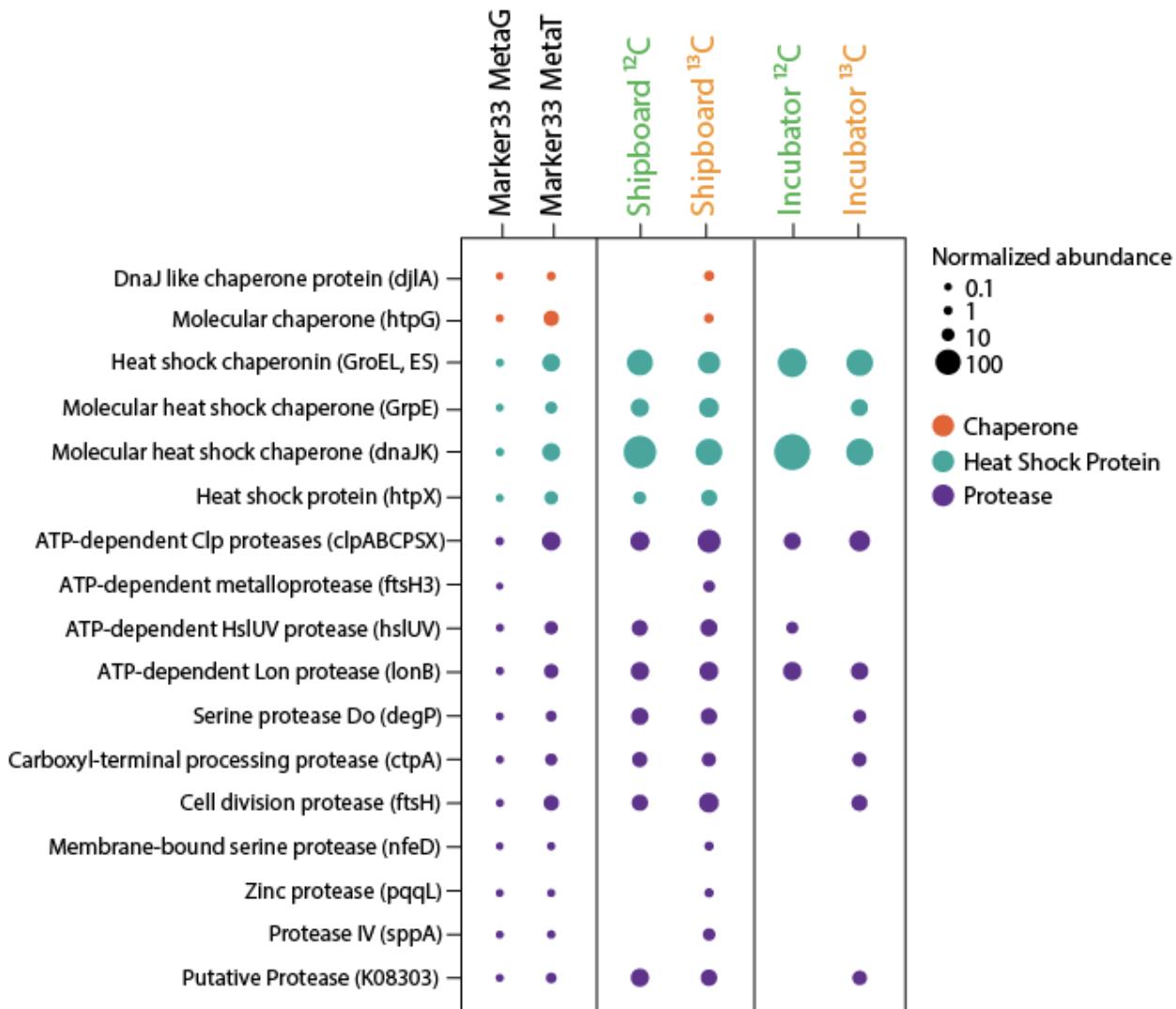
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552 **Figure 6:** Normalized abundance of key genes and transcripts for oxygen, nitrogen, methane,  
553 hydrogen and sulfur metabolisms within the 2015 Marker 33 metagenome, metatranscriptome,  
554 and shipboard and incubator RNA-SIP experiments. Fractions from each of the four RNA-SIP  
555 experiments have been collapsed to reflect the normalized abundance of each gene in the entire  
556 experiment. Normalized abundances of metatranscriptomes were transformed to the same scale  
557 as the Marker 33 metagenome.

558

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561

562 **Figure 7:** Normalized abundance genes and transcripts annotated to cell stress, including genes  
563 for protein chaperones, heat-shock proteins, and proteases within the 2015 Marker 33  
564 metagenome, metatranscriptome, and shipboard and incubator RNA-SIP experiments. Fractions  
565 from each of the four RNA-SIP experiments have been collapsed to reflect the normalized  
566 abundance of each gene in the entire experiment. Normalized abundances of metatranscriptomes  
567 were transformed to the same scale as the Marker 33 metagenome.

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