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2 **Evidence of antigenic imprinting in sequential Sarbecovirus immunization**

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27 **SUMMARY (150 words max.)**

28 Antigenic imprinting, which describes the bias of antibody response due to previous  
29 immune history, can influence vaccine effectiveness and has been reported in different  
30 viruses. Given that COVID-19 vaccine development is currently a major focus of the world,  
31 there is a lack of understanding of how background immunity influence antibody  
32 response to SARS-CoV-2. This study provides evidence for antigenic imprinting in  
33 *Sarbecovirus*, which is the subgenus that SARS-CoV-2 belongs to. Specifically, we  
34 sequentially immunized mice with two antigenically distinct *Sarbecovirus* strains, namely  
35 SARS-CoV and SARS-CoV-2. We found that the neutralizing antibodies triggered by the  
36 sequentially immunization are dominantly against the one that is used for priming. Given  
37 that the impact of the background immunity on COVID-19 is still unclear, our results will  
38 provide important insights into the pathogenesis of this disease as well as COVID-19  
39 vaccination strategy.

40 **INTRODUCTION**

41 Coronavirus disease 2019 (COVID-2019) pandemic is an ongoing global public health  
42 crisis and has imposed a huge burden on the world economy. The causative agent of  
43 COVID-2019, SARS-CoV-2, belongs to the subgenus *Sarbecovirus* of the genus  
44 *Betacoronavirus* and has nearly 80% sequence identity with another *Sarbecovirus*,  
45 SARS-CoV, which caused a global epidemic in 2003 (Peiris et al., 2003). While many  
46 SARS-CoV-2 vaccine candidates are being actively developed (Funk et al., 2020), the  
47 influence of immune history on vaccine effectiveness remains elusive. In fact, it is now  
48 well recognized that the effectiveness of a given influenza vaccine varies among people  
49 with different influenza immunization or infection histories (Henry et al., 2018). Such  
50 phenomenon is known as antigenic imprinting, which describes immunological memory  
51 induced by primary innate and adaptive immune responses to the first encounter with a  
52 microbial pathogen or vaccination that can be retained over a person's life time.  
53 Antigenic imprinting has also been reported in dengue virus (Mongkolsapaya et al.,  
54 2003), HIV (Klenerman and Zinkernagel, 1998) and influenza virus (Gouma et al., 2020).  
55 Since SARS-CoV-2 may become a seasonal human coronavirus (Kellam and Barclay,  
56 2020) and other zoonotic *Sarbecovirus* strains continue to post a pandemic threat  
57 (Menachery et al., 2015), it is important to understand how antigenic imprinting may  
58 affect the antibody response to *Sarbecovirus*. In this study, we explored the antigenic  
59 imprinting effect of *Sarbecovirus* by characterizing the antibody response from mice that  
60 were sequentially immunized two antigenically distinct *Sarbecovirus* strains, namely  
61 SARS-CoV and SARS-CoV-2.

62

63 **RESULTS**

64 Balb/c mice (6-8 weeks of age) were intraperitoneally (i.p.) immunized twice, with viruses  
65 plus adjuvant Addavax (Wu et al., 2019). Four immunization schemes were explored: 1)

66 two rounds of SARS-CoV (SARS-CoV homologous prime-boost); 2) first round with  
67 SARS-CoV and second round with SARS-CoV-2 (heterologous SARS-CoV-prime,  
68 SARS-CoV-2-boost); 3) two rounds of SARS-CoV-2 (SARS-CoV-2 homologous prime-  
69 boost); and 4) first round with SARS-CoV-2 and second round with SARS-CoV  
70 (heterologous SARS-CoV-2-prime, SARS-CoV-boost). Plasma samples were collected  
71 and antibody immune responses were measured at day 14 after the second round of  
72 immunization. Compared to round one of immunization (Lv et al., 2020), the second  
73 round homologous boost induced higher homologous binding and neutralizing antibody  
74 titers ( $p < 0.05$ , two tailed t test; Figure S1a-d). These results suggest that SARS-CoV or  
75 SARS-CoV-2 specific memory B cells can be recalled and produce neutralizing  
76 antibodies during the second round of immunization.

77

78 While all our four prime-boost vaccination schemes resulted in cross-reactive RBD-  
79 binding antibodies (Figure 1a-d), the virus that was used for priming seemed to dictate  
80 the neutralizing antibody response after boosting, regardless of the virus that was used  
81 for the boost (Figure 1e-h). For example, no matter whether SARS-CoV or SARS-CoV-2  
82 was used for boosting, the neutralizing antibody response was much stronger against  
83 SARS-CoV if SARS-CoV was used for priming (Figure 1e-f), and stronger against  
84 SARS-CoV-2 if SARS-CoV-2 was used for priming (Figure 1g-h). Interestingly, while one  
85 round of SARS-CoV immunization was sufficient to elicit a detectable SARS-CoV  
86 neutralization response (Figure S1c), a SARS-CoV neutralization response was  
87 undetectable when SARS-CoV was used as a heterologous boost after priming with  
88 SARS-CoV-2 (Figure 1h). A similar observation was made for SARS-CoV-2 (Figure 1f  
89 and S1d).

90

91 Angiotensin-converting enzyme 2 (ACE2) is the host receptor for SARS-CoV and SARS-  
92 CoV-2 entry. Previous study has shown that neutralizing activity of sera correlates with  
93 the ACE2-competition activity (Tan et al., 2020). ACE2-competition assay was then  
94 performed for four groups of plasma samples. Briefly, the binding of plasma samples  
95 were tested against RBD and RBD/ACE2 complex. Plasma samples with a stronger  
96 ACE2-competition activity should show a greater reduction in binding to RBD/ACE2  
97 complex compared to RBD alone. When binding to SARS-CoV RBD was tested, plasma  
98 samples from mice that were primed with SARS-CoV had stronger ACE2-competition  
99 activity than did plasma samples from mice that were primed with SARS-CoV-2 (Figure  
100 2a). Similarly, when binding to SARS-CoV-2 RBD was tested, plasma samples from  
101 mice that were primed with SARS-CoV-2 had stronger ACE2-competition activity than  
102 did plasma samples from mice that were primed with SARS-CoV (Figure 2b). These  
103 results indicate that the heterologous boost predominantly induces antibodies to  
104 conserved regions outside of the ACE2-binding site that have minimum neutralizing  
105 activity. One such example is CR3022, which has strong cross-reactive binding activity  
106 to a conserved epitope on RBD, but has weak neutralization activity to SARS-CoV and  
107 undetectable neutralization activity to SARS-CoV-2 (Yuan et al., 2020b).

108

109 Overall, our results suggest that antigenic imprinting can impact the antibody response  
110 against *Sarbecovirus*. Specifically, the antibody response to a *Sarbecovirus* strain can  
111 be suboptimal if there exists a prior immune history against an antigenically distinct or  
112 drifted *Sarbecovirus* strain. This study has important implications for vaccine  
113 development against the ongoing COVID-19 pandemic as well as for *Sarbecovirus* and  
114 other coronaviruses in general.

115

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123

124 **AUTHOR CONTRIBUTION**

125 H.L., N.C.W., and C.K.P.M. conceived and designed the study. N.C.W., M.Y., H.L. and  
126 D.C.L. expressed and purified the proteins. H.L., R.T.Y.S., G.K.Y., W.W.N., and C.R.W.,  
127 performed the experiments. H.L., R.T.Y.S., N.C.W., and C.K.P.M. analyzed the data.  
128 H.L., R.T.Y.S., N.C.W., J.S.M.P., I.A.W., and C.K.P.M. wrote the paper, and all authors  
129 reviewed and edited the paper.

130

131 **DECLARATION OF INTERESTS**

132 The authors declare no competing interests.

133

134 **FIGURE LEGENDS**

135 **Figure. 1. ELISA binding and neutralizing titers of homologous and heterologous**  
136 **sequential immunization with SARS-CoV and SARS-CoV-2.**

137 **a-d** RBD (receptor binding domain) proteins from SARS-CoV and SARS-CoV-2 were  
138 used as the antigen coating on the ELISA plates. Binding of RBD to 1:100 diluted  
139 plasma sample was analyzed from 5 mice immunized using **(a)** SARS-CoV homologous  
140 prime-boost, **(b)** heterologous SARS-CoV-prime, SARS-CoV-2-boost, **(c)** SARS-CoV-2  
141 homologous prime-boost, and **(d)** heterologous SARS-CoV-2-prime, SARS-CoV-boost.  
142 The mean OD<sub>450</sub> value of two replicates are shown. **e-h** Neutralizing titers of plasma

143 samples from mice immunized with **(e)** SARS-CoV homologous prime-boost, **(f)**  
144 heterologous SARS-CoV-prime, SARS-CoV-2-boost, **(g)** SARS-CoV-2 homologous  
145 prime-boost, and **(h)** heterologous SARS-CoV-2-prime, SARS-CoV-boost, were  
146 analyzed by a PRNT (plaque reduction neutralization test) assay. Each data point in the  
147 figure represents the mean of two replicates. Error bars represent standard deviation.

148

149 **Figure. 2. Epitope mapping of the neutralizing and non-neutralizing group**

150 SARS-CoV RBD **(a)** or SARS-CoV-2 RBD **(b)** protein was used as antigen to coat on the  
151 96 well ELISA plate. Four groups of mice plasma samples were added into the plate as  
152 primary antibody after with or without 100 ng hACE2 protein blocking. The  $\Delta OD_{450}$   
153 values were calculated as WT  $OD_{450}$  value minus hACE2 blocking  $OD_{450}$  value. Each  
154 data point in the figure represents the mean of two replicates. P-values were calculated  
155 using two-tailed t-test ( $^*P<0.05$ ,  $^{**}P<0.005$ ,  $^{***}P<0.001$ ,  $^{****}P<0.0001$ ). Error bars  
156 represent standard deviation. Of note, while SARS-CoV-2-SARS-CoV has a stronger  
157 ACE2-competition activity to SARS-CoV-2 RBD than SARS-CoV-SARS-CoV, the large  
158 standard deviation in SARS-CoV-SARS-CoV makes the difference statistically  
159 insigifncant.

160

161 **Figure. S1. Neutralization titers of one or two rounds of homologous SARS-CoV or**  
162 **SARS-CoV-2 immunization**

163 **a-b** Neutralizing titers of plasma samples from mice immunized by one or two rounds of  
164 homologous virus against **(a)** SARS-CoV or **(b)** SARS-CoV-2 were measured by a  
165 PRNT assay. Each data point in the figure represents the mean of two replicates. P-  
166 values were calculated using two-tailed t-test ( $^*P<0.05$ ,  $^{***}P<0.001$ ,  $^{****}P<0.0001$ ). Error  
167 bars represent standard deviation

168

169

170 **STAR METHODS**

171 **RESOURCE AVAILABILITY**

172 **Lead Contact**

173 Information and requests for resources and reagents should be directed to and will be  
174 fulfilled by the Lead Contact, Chris K. P. Mok (ch02mfp@hku.hk).

175

176 **Materials Availability**

177 This study did not generate new unique reagents.

178

179 **Data and Code Availability**

180 NA.

181

182 **EXPERIMENTAL MODEL AND SUBJECT DETAILS**

183 **METHOD DETAILS**

184 **RBD protein expression and purification**

185 The receptor-binding domain (RBD) (residues 319-541) of the SARS-CoV-2 spike (S)  
186 protein (GenBank: QHD43416.1) and RBD (residues: 306-527) of the SARS-CoV spike  
187 (S) protein (GenBank: ABF65836.1) were cloned into a customized pFastBac vector  
188 (Ekiert et al., 2011) and fused with an N-terminal gp67 signal peptide and C-terminal  
189 6xHis-tag(Yuan et al., 2020b). A recombinant bacmid DNA was generated using the  
190 Bac-to-Bac system (Life Technologies). Baculovirus was generated by transfecting  
191 purified bacmid DNA into Sf9 cells using FuGENE HD (Promega), and subsequently  
192 used to infect suspension cultures of High Five cells (Life Technologies) at an MOI of 5  
193 to 10. For protein expression, the infected High Five cells were incubated at 28°C for  
194 72h with shaking at 110r.p.m. The supernatant was then concentrated using a 10

195 kDa MW cutoff Centramate cassette (Pall Corporation). The RBD protein was purified by  
196 Ni-NTA, followed by size exclusion chromatography, and buffer exchanged into 20 mM  
197 Tris-HCl pH 7.4 and 150 mM NaCl.

198

199 **ACE2 protein expression and purification**

200 The expression of human ACE2 was as previously reported (Yuan et al., 2020a). Briefly,  
201 the human ACE2 (residues 19 to 615, GenBank: BAB40370.1) was codon optimized  
202 and cloned into phCMV3 vector (Yuan et al., 2020a). The construct was fused with a C-  
203 terminal 6xHis tag. The plasmid was transiently transfected into Expi293F cells using  
204 ExpiFectamine 293 Reagent (Thermo Fisher Scientific) according to the manufacturer's  
205 manual. At 6 days post-transfection, the supernatant was harvested and then was then  
206 washed and eluted with 10 mM and 300 mM Imidazole containing PBS, respectively.  
207 The ACE2 eluent was purified by size exclusion chromatography.

208

209 **Mouse immunization**

210 6-8 weeks Balb/c mice were immunized with two rounds  $10^5$  pfu of viruses in 150  $\mu$ L  
211 PBS mixing with 50  $\mu$ L Addavax, including: 1) two rounds of homologous SARS-CoV  
212 immunization, 2) two rounds of heterologous immunization with SARS-CoV-prime and  
213 SARS-CoV-2-boost, 3) two rounds of homologous SARS-CoV-2 immunization, and 4)  
214 two rounds of heterologous immunization with SARS-CoV-2-prime and SARS-CoV-  
215 boost, via intraperitoneal (i.p.) route. The plasma samples were collected using heparin  
216 tubes on day 35 after the second round of immunization. The experiments were  
217 conducted in The University of Hong Kong Biosafety Level 3 (BSL3) facility. This study  
218 protocol was carried out in strict accordance with the recommendations and was  
219 approved by the Committee on the Use of Live Animals in Teaching and Research of the  
220 University of Hong Kong (CULATR 4533-17).

221

222 **ELISA binding assay**

223 ELISA plates (96-well, Nunc MaxiSorp, Thermo Fisher Scientific) were coated overnight  
224 with 100  $\mu$ l of purified recombinant protein in PBS buffer at 1 ng/ $\mu$ l. The plates were then  
225 blocked with 100  $\mu$ l Chonblock buffer (Chondrex Inc, Redmon, US) at room temperature  
226 for 1 hours. Each mouse plasma sample was 1:100 diluted in Chonblock buffer, added  
227 to the coated ELISA plates, and incubated for 2 hours at 37°C. After three extensive  
228 washes with PBS containing 0.1% Tween 20, each well was incubated with the HRP  
229 goat anti-mouse secondary antibody (1:5000, Beyotime Biotechnology) for 1 hour at  
230 37°C. The ELISA plates were then washed five times with PBS containing 0.1% Tween  
231 20. Subsequently, 100  $\mu$ l of TMB buffer (Ncm TMB One; New Cell & Molecular Biotech  
232 Co., Ltd) was added into each well. After 15 minutes incubation, 50  $\mu$ l of 2 M H<sub>2</sub>SO<sub>4</sub>  
233 solution was added to stop the reaction and the plates were analyzed on a Sunrise  
234 absorbance microplate reader (Tecan, Ma□nnedorf, Switzerland) at 450 nm wavelength.

235

236 **ACE2-competition ELISA assay**

237 ELISA plates (96-well, Nunc MaxiSorp, Thermo Fisher Scientific) were coated overnight  
238 with 100 ng of SARS-CoV or SARS-CoV-2 RBD protein in PBS buffer. The plates were  
239 then blocked with 100  $\mu$ l Chonblock buffer (Chondrex Inc, Redmon, US) at room  
240 temperature for 1 hours. After washing, 100 ng of ACE2 protein was added into plate  
241 and incubated at 37°C for 2 hours, followed by another 2 hours of 1:200 diluted mouse  
242 plasma samples incubation. After three extensive washes with PBS containing 0.1%  
243 Tween 20, each well was incubated with the HRP goat anti-mouse secondary antibody  
244 (1:5000, Beyotime Biotechnology) for 1 hour at 37°C. The ELISA plates were then  
245 washed five times with PBS containing 0.1% Tween 20. Subsequently, 100  $\mu$ L of TMB  
246 buffer (Ncm TMB One; New Cell & Molecular Biotech Co., Ltd) was added into each well.

247 After 15 minutes incubation, 50  $\mu$ L of 2 M  $\text{H}_2\text{SO}_4$  solution was added to stop the reaction  
248 and the plates were analyzed on a Sunrise absorbance microplate reader (Tecan,  
249 Ma□nnedorf, Switzerland) at 450 nm wavelength.

250

251 **Plaque reduction neutralization test (PRNT)**

252 Plasma samples were two-fold diluted starting from a 1:10 dilution and mixed with equal  
253 volumes of 120 plaque-forming units (pfu) of SARS-CoV-2 as determined by Vero E6  
254 cells. After 1 hour incubation at 37°C, the plasma-virus mixture were added onto Vero  
255 E6 monolayers seated in a 24-well cell culture plate and incubated for 1 hour at 37°C  
256 with 5%  $\text{CO}_2$ . The plasma-virus mixtures were then discarded and infected Vero E6 cells  
257 were immediately covered with 1% agarose gel in DMEM medium. After incubation for 3  
258 days at 37°C with 5%  $\text{CO}_2$ , the plates were formalin fixed and stained by 0.5% crystal  
259 violet solution. Neutralization titers were determined by the highest plasma dilution that  
260 resulted in >90% reduction in the number of pfus. The test was performed in a BSL3  
261 facility in the University of Hong Kong.

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309

310

Figure 1

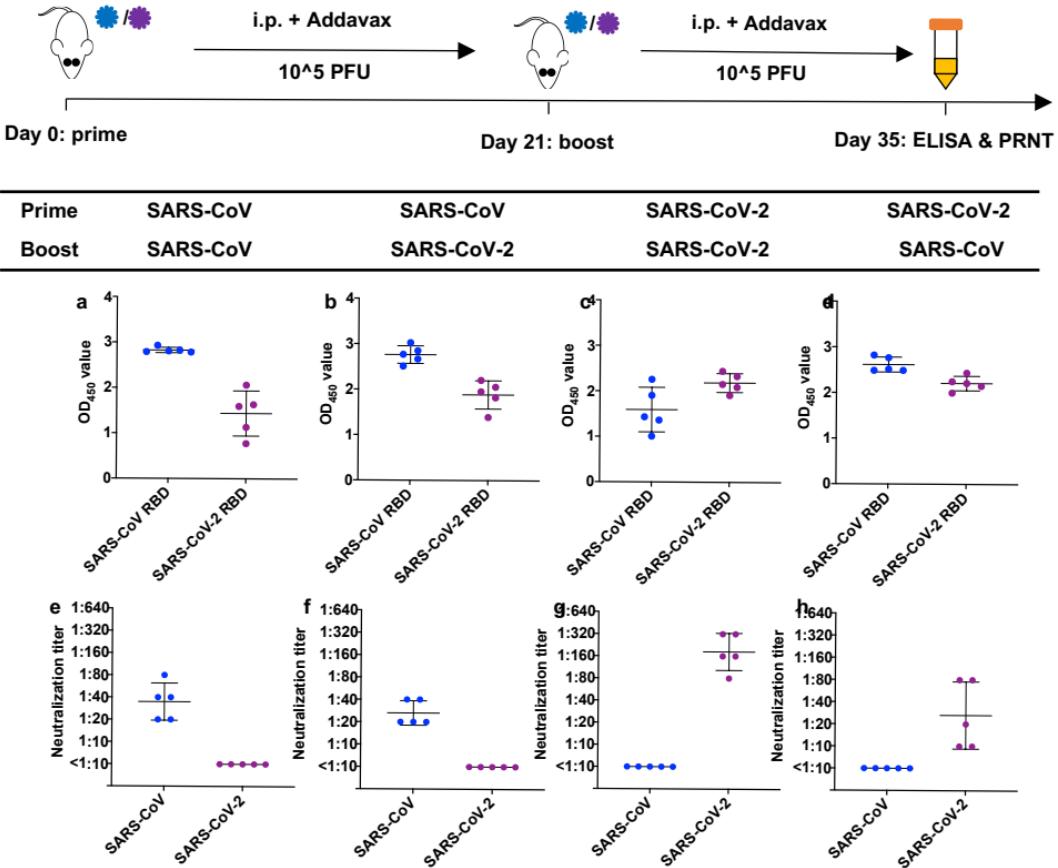


Figure 2

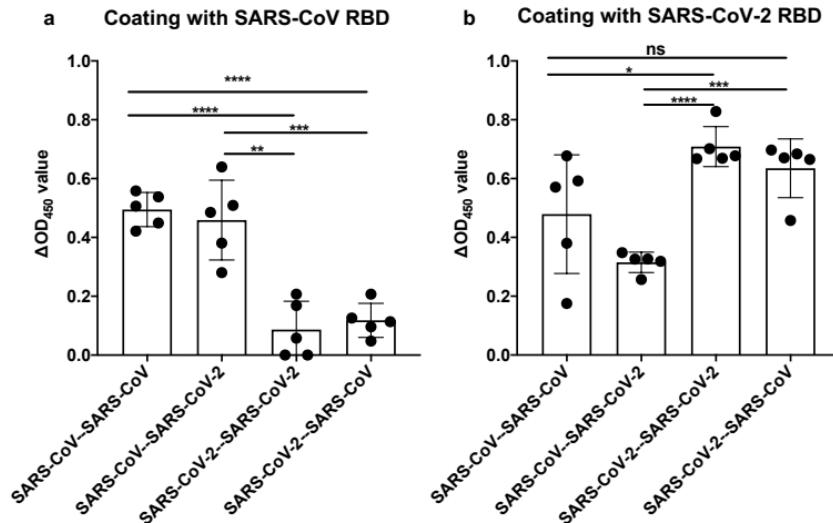
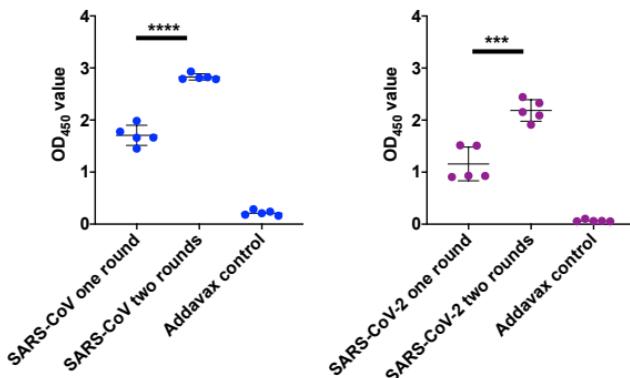
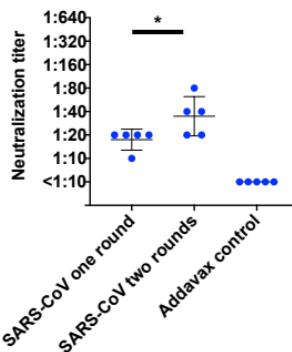


Figure S1

a Coating with SARS-CoV RBD b Coating with SARS-CoV-2 RBD



c SARS-CoV Neutralization



d SARS-CoV-2 Neutralization

