

1    **AAV Capsid Chimeras with Enhanced Infectivity reveal a core element in the**  
2    **AAV Genome critical for both Cell Transduction and Capsid Assembly**

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21 ABSTRACT

22 Adeno-associated viruses (AAV) have attracted significant attention in the field of gene and  
23 cell therapy due to highly effective delivery of therapeutic genes into human cells. The ability  
24 to generate recombinant AAV vectors compromised of unique or substituted protein  
25 sequences has led to the development of capsid variants with improved therapeutic  
26 properties. Seeking a novel AAV capable of enhanced transduction of human T cells for  
27 applications in immunotherapy, we have developed a unique capsid variant termed AAV X-  
28 Vivo (AAV-XV) that is a chimera of AAV12 VP1/2 sequences and the VP3 sequence of  
29 AAV6. This AAV chimera showed enhanced infection of human primary T cells and  
30 hematopoietic stem cells, and superiority over wildtype AAV6 for the genomic integration of  
31 DNA sequences either by AAV alone or in combination with CRISPR gene editing. AAV-XV  
32 demonstrated transduction efficiency equivalent to AAV6 at multiplicities of infection 2 logs  
33 lower, enabling T cell engineering at low AAV doses. Analyzing the protein coding sequence  
34 of AAV-XV revealed disruptions within the assembly-activating protein (AAP) which likely  
35 accounted for observed lower virus yield. A series of genome alterations reverting the AAP  
36 sequence back to wildtype had a negative impact on the enhanced transduction seen with  
37 AAV-VX, indicating overlapping functions within this sequence for both viral assembly and  
38 effective T cell transduction. Our findings show that AAV-XV is highly efficient at T cell  
39 engineering at low AAV dose and demonstrates the importance of AAP coding region in both  
40 viral particle assembly and cell infection.

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43 KEYWORDS: AAV, Capsid, Chimera, Mutations, MOI, CRISPR, Immunotherapy, T Cells

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49 IMPORTANCE

50 A major hurdle to the therapeutic potential of AAV in gene therapy lies in achieving clinically  
51 meaningful AAV doses, and secondarily, ability to manufacture commercially viable titers of  
52 AAV to support this. By virtue of neutralizing antibodies against AAV that impede patient  
53 repeat-dosing, the dose of AAV for *in vivo* gene delivery has been high, which has resulted  
54 in unfortunate recent safety concerns and deaths in patients given higher-dose AAV gene  
55 therapy. We have generated a new AAV variant possessing a unique combination of capsid  
56 proteins for ex-vivo application termed AAV-XV, which delivers high levels of cell  
57 transduction and gene delivery at a lower MOI. Furthermore, we demonstrate a novel  
58 finding, and an important consideration for recombinant AAV design, that a region of the  
59 AAV genome encoding the capsid protein and AAP gene is critical for both virus yield and  
60 the enhancement of infection/transduction.

61 INTRODUCTION

62 The Adeno-associated viruses (AAVs) are one of the most widely developed and actively  
63 studied vehicles for gene and cell therapy, and have shown remarkable promise in  
64 numerous clinical trials for multiple human disorders (1). As a vector for gene-delivery into  
65 human cells, these single-stranded DNA viruses show broad tropism, with multiple serotypes  
66 identified for transducing cells from many different tissue types (1). Their non-pathogenicity  
67 coupled with long-term transgene expression, makes AAV attractive as a therapeutic  
68 technology for *in vivo* gene therapy (2). Despite broadly low innate immunogenicity,  
69 concerns over humoral immune responses against AAV capsids, observed in recent clinical  
70 trials, have been raised, particularly associated with high vector doses (3, 4). This *in vivo*  
71 limitation, as well as the high doses or multiplicities of infection (MOI) of virus required for  
72 sufficient cell transduction, and the need to expand the repertoire of transducible tissue  
73 types addressable with AAV, is motivating further development of recombinant AAV  
74 technology.

75 There are 13 naturally occurring AAV serotypes and numerous AAV isolates (5),  
76 each with unique capsid viral protein (VP) sequences and transduction profiles in different  
77 tissues (6). As an example, AAV6 is consistently better than other serotypes in *ex vivo*  
78 transduction of human immune cells (7, 8). Each serotypes' distinct VP sequences assemble  
79 in strict T=1 icosahedral arrangement that enables packaging of the AAV genome into an  
80 infectious virion (9). Novel variants of AAV are also being identified from sequencing  
81 experiments in different cell types, such as within CD34+ hematopoietic stem cells (10).  
82 Furthermore, uniquely engineered AAV vectors with enhanced transduction efficiencies have  
83 been developed (11) through capsid mutation by rational design (12, 13), directed evolution  
84 (14), or by combining different serotypes through capsid shuffling (15). Thus, combining  
85 sequences from divergent serotypes, or specific mutations of surface exposed capsid  
86 residues known to facilitate viral entry into cells, may be an effective route to improve the  
87 infectious properties of AAV.

88        While AAV vector transduction can lead to high levels of transient transgene  
89 expression by episomal genomes, integration into the host genome typically occurs at very  
90 low frequency (16). The stable genomic integration of AAV donor vectors can be increased  
91 significantly via the combination of AAV vectors with CRISPR/Cas9 gene editing (17). A  
92 targeted double-strand break (DSB) introduced by Cas9 at a specific location within the  
93 genome can be effectively repaired with an AAV template designed with homology to the  
94 target locus, via the pathway of homology-directed repair (HDR) (17). This AAV + CRISPR  
95 combination approach has been effectively used by us and others to perform genetic  
96 engineering of difficult to target cell types such as primary human T cells at levels of  
97 efficiency that are therapeutically relevant (18). However, despite the advances in AAV  
98 vector engineering, capsid evolution, and use of synergistic technologies such as CRISPR,  
99 high AAV dose MOIs, typically  $1 \times 10^6$  virus particles per cell, are still required for gene  
100 delivery into cells being modified for either research or therapeutic applications (19). This  
101 makes AAV a costly technology to deploy at scale for cell therapies, and as mentioned, may  
102 preclude *in vivo* efficacy due to potentially toxic high doses required for gene therapy.

103        To address the above limitations, a unique AAV capsid variant with enhanced  
104 transduction of human T cells was developed to improve the efficiency of *ex vivo* gene  
105 delivery. A series of capsid variants, were engineered, via rational design, by substituting the  
106 VP1 unique (VP1u) and VP1/2-common region sequences of AAV6 with those from  
107 divergent AAV serotypes such as AAV4, AAV5, AAV11, and AAV12 to create chimeric AAV6  
108 vectors. Analysis of the resulting chimeras, for performance in transduction assays using  
109 primary human T cells, found several variants that achieved levels of transduction 100-fold  
110 higher than wild-type AAV6 at similar MOI or 10- to 30-fold higher at 2 log lower doses. The  
111 best performing variant was named AAV X-Vivo (AAV-XV). For this variant, an overlapping  
112 region of the *cap* open reading frame (ORF) encoding VP2 and the Assembly-Activating  
113 Protein (AAP), was observed to be critical for both optimal vector yield and efficient cellular  
114 infectivity. Our data demonstrates that AAV-XV is highly efficient at cellular transduction and

115 a broadly relevant recombinant vector for the *ex-vivo* engineering of human T cells for  
116 immunotherapy and has potential as an efficient AAV for *in vivo* gene delivery at low doses.

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119 RESULTS

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121 **Generation of new chimeric AAV6 capsid variants.** To engineer capsid variants with the  
122 potential to transduce human T cells at high efficiencies, 7 chimeric AAV capsid sequences,  
123 for which AAV6 provided the VP3, were generated (Supplementary Fig. S1). Each variant  
124 incorporated sequence from the VP1u or VP1u+VP1/2 common regions of AAV4, AAV5, or  
125 AAV12 or VP1u+VP1/2 common region of AAV11. The serotypes substituted represent the  
126 most diverse based on the pairwise comparison of the VP1u and VP1/2 common regions  
127 from AAV1 through AAV13 with AAV6 (Supplementary Fig. S1), with the intent to create  
128 maximum diversity in the resulting chimeras. A multiple sequence alignment between the  
129 selected serotypes and AAV6 showed conservation of functional regions including a PLA2  
130 motif (20), a calcium binding motif (21), and basic residue clusters that serve as nuclear  
131 localization sequences (NLS) (22), although positioning of the latter was different for AAV5  
132 (Fig. 1A). This alignment showed a higher sequence identity for the VP1u region compared  
133 to the VP1/2 common region (Supplementary Fig. S1). Recombinant AAV vectors utilizing  
134 wild-type AAV6 and the 7 variant capsids (Fig. 1B), packaging a donor template with a  
135 NanoLuc luciferase gene, were produced and evaluated for their performance as *ex vivo*  
136 gene-delivery vectors in human T and stem cells.

137

138 **Chimeric AAV6 capsid variants outperform wildtype as donors for CRISPR genomic  
139 integration in human T and stem cells at low MOI.** To assess the impact of the VP  
140 sequence substitutions into AAV6 on infectivity and genomic integration efficiencies, CD3+ T  
141 cells were electroporated with Cas9 mRNA and a sgRNA targeting the AAVS1 locus,  
142 followed by infection with the rAAV chimeras (Fig. 1B). The rAAV vectors delivered a  
143 NanoLuc expression cassette flanked by homology arms allowing targeted integration at the  
144 AAVS1 locus (23) (Fig. 2A). An MOI titration of these variants monitored 7- and 14-days post  
145 infection and CRISPR transfection showed 3 of the 7 chimeras (variants 2, 4, and 5), with  
146 significantly enhancement transduction and genomic integration of the targeting construct

147 (1.5 to 2 logs) compared to wild-type AAV6 (Fig. 2B). Interestingly, at a low MOI of  $1 \times 10^4$   
148 genome copies per cell (gc/cell), the best variant, 5, named AAV-XV, achieved luciferase  
149 levels that required a 100-fold higher MOI of wild-type AAV6 vectors ( $1 \times 10^6$ ). Variants 2, 4,  
150 and 5 possess the VP1u+VP1/2 common region of AAV4, and AAV11, and AAV12,  
151 respectively (Fig. 1B), and unlike AAV5 and AAV6, contain an additional basic cluster (Fig.  
152 1A). In contrast, the variants containing only the VP1u region of AAV4, and AAV11, and  
153 AAV12 did not display enhanced transduction and genomic integration suggesting that their  
154 VP1/2 common region is the determinant of this phenotype (Fig. 1B and 2B). Notably, the  
155 variant with substituted VP1+VP1/2 common region of AAV5 (variant 3) did not enhance  
156 transduction and was arguably the worse performing vector (Fig. 2B).

157 Further analysis of the 3 best performing capsid variants in both human cytotoxic  
158 CD8+ T cells and CD34+ HSCs confirmed higher transduction efficiencies and luciferase  
159 targeting to the AAVS1 genomic site of up to 2 logs (Fig. 3A). This enhanced performance  
160 was also observed in the absence of a CRISPR-mediated DSB, with variant 5 showing a 1  
161 log increase in transduction efficiency over AAV6 when measured up to 21 days post  
162 infection (Fig. 3B). Again, this variant achieved similar levels of T cell transduction at the  
163 lower MOI of  $1 \times 10^4$  gc/cell as AAV6 at MOI of  $1 \times 10^6$  gc/cell. AAV-XV thus demonstrated  
164 superior infectivity and transduction performance at low doses compared to the wild-type  
165 AAV6 sequence from which it was derived.

166

167 **Transduction efficiency was inversely correlated with variant yield.** Several of the  
168 capsid chimeras routinely produced lower than wild-type AAV6 viral titers from packaging  
169 cell lines, while other variants showed no detectable reduction (Fig. 4A). When the T cell  
170 transduction performance of each capsid variant was compared to their viral titer, the 3 best  
171 performing variants, 2, 4, and 5, were on average 3 logs lower than wild-type AAV6.  
172 Comparison of the viral titer of the purified wild-type AAV6 and these three capsid variants  
173 by quantitative PCR after DNase I treatment, showed significantly lower titers, 2 to 3 logs, for  
174 the three variants (Fig. 4B). Quantification of viral capsids by ELISA, using an antibody

175 recognizing an epitope present on AAV6 and all capsid variants, confirmed that all variants  
176 showed a reduced number of viral particles, also at 2 to 3 logs less than wild-type AAV6  
177 (Fig. 4B). This observation indicated that the capsid substitutions in variants 2, 4, and 5  
178 impacted either capsid assembly or the stability of assembled viral particles.

179 Attempts to improve variant 5 capsid yield by either optimizing AAV vector  
180 transfection method, varying the ratio of AAV helper and AAV donor plasmid transfected, or  
181 by extending duration of cell transfection prior to harvesting from the supernatant, failed to  
182 demonstrate any detectable increase in viral particle titer (Fig. 4C). All conditions tested  
183 resulted in similar vector yield as measured by qPCR (not shown) and average purified  
184 particle yield as measured by ELISA (Fig. 4C). Variant 5 capsid yield remained at ~2 to 3  
185 logs lower than for wild-type AAV6. Toward understanding the mechanistic reason for this  
186 impairment in particle assembly, the region of the AAV6 capsid sequence altered in these  
187 capsid chimera variants were investigated.

188

189 **The AAP sequence has an impact on the transduction efficiency of AAV6 capsid**  
190 **chimeras.** Given the importance of AAP for AAV capsid assembly (24, 25), the alterations  
191 in the sequences of each of the capsid variants within their cap ORF coding for AAP was  
192 analyzed. In addition to the VP sequence, the AAP sequence was changed relative to wild-  
193 type AAV6 in 4 of the 7 capsid chimeras containing the VP1u+VP1/2 common region (Fig.  
194 5A, and data not shown), including the 3 variants showing enhanced transduction at low  
195 MOIs (Fig. 1A and 4A). Previous studies identified the importance of the AAP N-terminus in  
196 capsid stability and assembly (26, 27). Thus, to restore a potentially lost AAP function for  
197 variant 5, a series of substitution mutants were generated in which the AAP-12 residues  
198 equivalent to AAP-6 positions aa13 to aa27 were gradually reverted back to AAV6 (Fig. 5A).  
199 A complete rescue of viral titer, to levels of wild-type AAV6, was achieved by reintroducing  
200 AAP-6 (Variant 5.1) (Fig. 5B). This observation confirmed the hypothesis that variant 5 has  
201 an assembly defect due to compromised AAP function. Approximately 1 log rescue was  
202 observed in vector production, compared to variant 5 (AAV-XV), when AAP-12 sequences

203 corresponding to AAP-6 residues aa21 to aa27, the start of the AAP-6 hydrophobic region,  
204 were reintroduced to the chimera (Variant 5.3). The remaining substitution variants could not  
205 effectively rescue the assembly defect (Fig. 5B).

206 We further demonstrated that co-transfection with a functional full-length CMV  
207 promoter-driven AAP-6 gene into the producer cells, in *trans*, along with the AAV-XV  
208 Rep/Cap and donor vector, rescued titer by up to 1.5 logs (Fig. 5C). The AAP gene with the  
209 natural CTG start codon (leucine) present in the AAP-6 sequence was insufficient to provide  
210 this rescue, while substitution to an ATG start codon to code a methionine, produced the titer  
211 rescue (Fig. 5C). This likely reflects the requirement to have a canonical start signal for  
212 robust translation initiation and expression of AAP when present within an expression  
213 plasmid in HEK293 packaging cells.

214 Finally, the AAV12VP1/2-AAV6VP3 variant that was fully (variant 5.1) or partially  
215 (variant 5.3) rescued by AAP-6 sequence restoration were assessed for T-cell transduction  
216 and genomic integration of the luciferase gene when co-delivered with CRISPR (Fig. 5D).  
217 Variant 5.1, in which AAP-6 residues aa13 to aa27 had been reverted to AAV6, gave the  
218 same level of transduction as AAV6 at all MOIs compared, and had thus lost any  
219 enhancement in infectivity or transduction (Fig. 5D). In contrast, variant 5.3, in which only  
220 aa21 to aa27 of AAP-6 were reintroduced, maintained some level of superiority over AAV6,  
221 resulting in 2 log higher transduction at MOI of  $1 \times 10^5$  gc/cell and 1 log higher transduction at  
222 the lowest MOI tested of  $1 \times 10^4$  gc/cell. Variant 5 maintained superiority to AAV6 at MOI  
223  $1 \times 10^4$  gc/ml (Fig. 5D).

224 Variant 5 vectors, generated with the Met-AAP-6 co-transfection construct, resulted  
225 in levels of T cell transduction approximately 2 logs higher than AAV6, and is almost as high  
226 as the level observed for variant 5 that is AAP-disrupted (Fig. 5E). The Leu-AAP-6 co-  
227 transfection produced sample shows transduction that is ~1 log higher than wild-type AAV6  
228 (Fig. 5E). Collectively these data demonstrate that a modified AAP sequence in the capsid  
229 chimera variants can restore vector production at the expense of transduction efficiency at  
230 lower MOIs. However, co-transfection with AAP in *trans* during vector production also

231 partially restores packaging (>1 log) and with minimal impact on transduction at low MOI  
232 (Fig. 5C and E).

233  
234 **DISCUSSION**

235 Recombinant AAV vectors hold great promise as a gene delivery vehicle (1, 2). Despite  
236 demonstrated clinical efficacy reported for several indications, limitations remain that impede  
237 the broader applicability of the AAV technology for efficient and persistent gene delivery to  
238 many cell types. The low frequency genomic integration of AAV had previously made the  
239 genetic engineering of cells a difficult and laborious task that required the use of drug  
240 selection genes or fluorescent markers to select the small number of cells successfully  
241 modified with the AAV donor (28). The advent of designer nuclease technologies and the  
242 combination of CRISPR gene editing with AAV, enabled substantially higher levels of  
243 targeted genome integration of AAV donors and opened up the ability to genetically modify  
244 cell types such as primary human T cells for therapeutic applications (17, 18). However,  
245 even with these advances in technology, high MOI doses of AAV are still required to reach  
246 sufficient levels of transgene integration. Despite optimized production procedures, high  
247 dose requirements of AAV are expensive and limit scaling to clinical manufacture. Perhaps  
248 even more importantly, recent evidence of immune responses directed towards large  
249 quantities of AAV administered *in vivo* to patients in gene therapies highlights the potential  
250 toxicity issues associated with high AAV doses (3, 4). In an effort to expand and improve the  
251 transduction efficiency and genetic engineering potential of AAV, our chimeric AAV variant  
252 design approach, combining VPs from several divergent serotypes, has generated an AAV,  
253 AAV-XV, with enhanced transduction characteristics for human T cells that address the  
254 requirement for high MOI. AAV6 is considered the best serotype for the transduction of T  
255 cells, and thus served as a starting point to evaluate the impact of VP1 and VP2 swapping  
256 (Fig. 1) in an attempt to identify modifications that further improved this T cell tropism (19).

257 Collectively, our data show that an engineered capsid chimera, AAV-XV, comprising  
258 AAV12VP1/2-AAV6VP3, can transduce T cells at doses 2 logs lower MOI than wild-type

259 AAV6 with equal efficiency. Both as a donor template in combination with CRISPR gene  
260 editing, and as a method for AAV-mediated homologous recombination in the absence of  
261 targeted genomic cleavage, superior transduction and stable gene delivery was observed for  
262 AAV-XV when compared to AAV6 (Fig. 2 and 3). While the mechanism for this enhanced  
263 transduction is not yet clear, the enhanced transduction efficiency requires the C-terminal  
264 amino acid sequences of the AAV12 VP1/2 common region. The VP1/2 common region as  
265 well as the N-terminus of VP3 are believed to be located in the interior of the capsid and  
266 becomes externalized to the capsid surface upon acidification of the endosome during  
267 cellular trafficking (29). Thus, the observed enhanced efficiency of infection is likely to be a  
268 post-entry effect due to improved interaction with trafficking receptors/effectors.  
269 Furthermore, this region has been described as structurally highly flexible (30) and no  
270 significant structural differences are predicted (<http://original.disprot.org/>) by substituting  
271 AAV6 to AAV12 sequences.

272 What is clear from the data is that the modification of the AAV6 capsid sequence to  
273 incorporate the VP1/2 of AAV12, results in a change of the amino acid sequence for the  
274 overlapping AAP. This is likely the reason for the lower titer yield for the AAV vectors with  
275 the variants compared to the wild-type AAV6 given the well characterized assembly-  
276 promoting activity of AAP and identification of mutations within this sequence that reduces  
277 the interaction of AAP with the capsid, impairing its ability to promoter particle assembly (24-  
278 26, 31, 32). The critical region affected in the AAV-XV variant lies within a hydrophobic  
279 region of AAP and aa13-27 appear particularly important for stability and assembly functions  
280 (26). The data demonstrates that the defect can also be partially rescued by restoring aa21-  
281 27 to AAP-6, supporting the theory that these capsid changes impaired AAP-mediated  
282 capsid assembly.

283 The overlapping ORF encoding the VPs and AAP add complexity to the rational  
284 design of capsid variants (24). This was evident in the chimeras generated in this study in  
285 which VP changes resulted in AAP modification, and low vector yield. However, the ability to  
286 transduce cultured human T cells at several log orders lower MOIs to achieve efficient

287 genomic integration of AAV donor DNA requires far less virus to be manufactured, achieving  
288 a balance between potency and yield. In conclusion, our data has shown that AAV capsid  
289 chimeras generated by VP protein combinations from divergent serotypes is an effective  
290 approach for generating novel AAV variants with unique and enhanced functional properties  
291 for cellular transduction. Careful consideration of the precise sequence changes is important  
292 given the overlapping nature of AAV ORFs and particular care is needed to avoid  
293 detrimental modifications to the AAP or MAAP protein (33). The AAV-XV capsid chimera,  
294 AAP rescued or not, shows the useful property of highly efficient transduction of cultured  
295 human cells at 100-fold lower MOIs compared to the parental AAV6. AAV-XV thus has  
296 valuable properties for low-dose gene delivery, enhancing the safety profile of AAV vectors  
297 administered *in vivo* to avoid the toxicity that can occur with current AAV-based therapies at  
298 high dose.

299

300

## 301 METHODS

302 **AAV variant design and plasmid generation.** AAV variants were designed by first  
303 extracting the sequences of the VP1u and VP1/2 common region from AAV1 through AAV13  
304 (included AAVrh.10) and performing a pairwise alignment of each serotype to AAV6 using  
305 Clustal Omega (<https://www.ebi.ac.uk/Tools/msa/clustalo/>). The pairwise identity and  
306 similarity for each serotype sequence was compared and serotypes with the lowest identities  
307 within the VP1u and VP1/2 common region, (serotypes AAV4, AAV5, AAV11, and AAV12)  
308 were used to generate the chimeric sequences with the AAV6 VP3. At this point these  
309 chimeras were called variant 1 to 7. DNA encoding the chimera regions were generated by  
310 DNA synthesis (GenScript Biotech) and subcloned into the AAV6 RepCap plasmid to  
311 replace the AAV6 sequence (Plasmid Factory).

312 A gene targeting vector to measure genome integration via AAV homologous  
313 recombination, was constructed by flanking the NanoLuc luciferase gene under the control  
314 of the CMV promoter, with 1kb sequences homologous to a transcriptionally active intronic

315 region within the human AAVS1 locus. This AAVS1 luciferase donor contained AAV2 ITRs  
316 for packaging of the single-stranded vector into chimeric AAV6 particles, and the ampicillin  
317 resistance gene.

318

319 **AAV production, purification, and quantification of the genomic titer.** Recombinant  
320 AAV6 variants were produced by ViGene Biosciences by triple transfection of adherent  
321 growing HEK293 cells with the AAV6 variant RepCap plasmids, helper plasmid, and  
322 NanoLuc luciferase donor plasmid using polyethylenimine. The transfected cells were  
323 harvested 72 h post transfection, pelleted, and subjected to three freeze-thaw cycles to  
324 release the AAV vectors from the cells. Vectors released into the grows medium during the  
325 72 h incubation period were recovered by addition of polyethylene glycol (PEG) to a final  
326 concentration of 8.2% (w/v) and subsequent precipitation. The rAAVs from the cell pellet and  
327 the PEG precipitate were combined and treated with benzonase for 30 min to 2 h. The raw  
328 lysate was clarified by centrifugation and the supernatant purified by iodixanol gradient  
329 ultracentrifugation, as previously described (34), using a Beckman VTI 50 rotor at 48,000  
330 rpm for 2 h. The genome-containing capsids were extracted from the 40% iodixanol fraction  
331 which buffer-exchanged and concentrated using an Amicon® Ultracel 100 kDa cut-off  
332 concentrator column (Millipore).

333 The packaged genome titers were determined by quantitative PCR (qPCR) using  
334 Sybr Green stain, with primers directed to the AAV2 inverted terminal repeat regions. The  
335 physical particle titer was determined by an AAV6 titration ELISA (Progen, PRAAV6)  
336 according to the manufacturer's instructions, that recognizes a conformational epitope  
337 present on AAV6 and all other capsid variants tested here, but does not detect unassembled  
338 capsid proteins.

339

340 **T cell transduction and luciferase assay.** Primary human CD3+ and CD8+ T cells were  
341 isolated from unfractionated PBMCs using the EasySep Human T cell Isolation Kit and  
342 Human CD8 T cell isolation kit with RapidSpheres (Stemcell Technologies). Mobilized

343 human primary CD34+ cells from Peripheral Blood (were obtained from Caltag Medsystems,  
344 Buckingham, UK). Both T cells and CD34+ HSCs were cultured in X-Vivo 15 media (Lonza)  
345 supplemented with 10% human serum AB (Merck Sigma-Aldrich), 300 IU/ml IL-2, and 5  
346 ng/mL IL-7 and IL-15 (Peprotech) at 37°C and 5% CO<sub>2</sub>.

347 For CRISPR + AAV treatments, 2x10<sup>5</sup> T cells were first stimulated using anti-  
348 CD3/CD28 dynabeads (Invitrogen) in complete T cell media for 48 h prior to electroporation.  
349 T cells were electroporated with 15 ug Cas9 mRNA (TriLink) and 10 ug AAVS1 specific  
350 sgRNA using the Neon electroporator (3x10<sup>5</sup> in 10ul Neon tip) and pulse conditions 1400 V,  
351 10 ms, 3 pulses. Electroporated T cells were recovered in T cell media for 2 h before  
352 addition of purified AAV vectors to the media at MOI ranging from 200 to 1x10<sup>6</sup> viral  
353 particles. The volume of each virus sample was adjusted by diluting in PBS, so each  
354 treatment received equivalent volumes, compensating for the lower concentrated vector  
355 samples. Media was replaced after 24 h with fresh complete T cell media, and again every 2  
356 to 3 d. For quantification of T cell transduction, luciferase activity of the transduced T cells  
357 was measured at 7, 14, and 21 d post-infection. T cells were harvested, and firefly luciferase  
358 analyzed using the Dual-Glo Luciferase assay kit (Promega) according to the manufacturer's  
359 instructions. Luminescence was measured using a PHERAstar microplate reader (BMG  
360 Labtech).

361

362 **Investigation the role of AAP on AAV6 variant production.** For experiments investigating  
363 the impact of co-expressing wildtype AAP during viral packaging, AAV production protocols  
364 were modified to include polyethylenimine co-transfection of an AAP-6 expression plasmid  
365 (ORF under the control of the CMV promoter, synthesized by GenScript Biotech), the variant  
366 5 AAV cap plasmid, and an adenoviral helper plasmid into HEK293 in equivalent amounts,  
367 along with the AAVS1-Nano Luciferase targeting vector. Constructs expressing the AAP  
368 gene with either the native Leucine start codon, or a substituted Methionine start codon were  
369 tested. Virus produced using expression of AAP *in trans* were purified as described above.

370 For experiments investigating the reversion back to wild-type AAP-6 within the AAP  
371 modified in the variants, additional vectors were designed and synthesized with  
372 combinations of amino acid substitutions with residues 13-27 of the AAP-6 sequence. Virus  
373 preparations packaging the AAVS1-Nano Luciferase construct using each AAP-modified  
374 variant were generated and evaluated for transduction in human T cells as described above.

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377

378 **Figure 1. Design of recombinant AAV6 capsid variants.** (A) Alignment of the VP1u and  
379 VP1/2 common region sequences of AAV4, AAV5, AAV6, AAV11, and AAV12 showing  
380 conservation of functionally important motifs. (B) Schematic diagram of the AAV2 Rep and  
381 the AAV serotypes contributing VP1, VP2, and VP3 to the AAV6 variants. The approximate  
382 positions of promoters are indicated. A serotype color key is provided.

383

384 **Figure 2. Transduction efficiency and CRISPR-mediated genomic integration of AAV6**  
385 **capsid variants in human T cells.** (A) Schematic of the AAV6 targeting vector for the  
386 integration of a luciferase expression cassette into the PPP1R12C (AAVS1) genomic locus.  
387 Diagram indicates the relative position of CRISPR-mediated genomic cleavage and the  
388 resulting modified locus upon homology-directed repair with the AAV6-luciferase vector. (B)  
389 MOI dose-titration of wild-type AAV6 and chimeric AAV6 capsid variants measuring  
390 CRISPR-mediated genomic integration of a luciferase reporter gene in human CD3+ T cells  
391 at 7 and 14 d post-infection. Statistical significance was determined by a one-way ANOVA  
392 test for multiple comparisons, \*\*\*\*P<0.0001.

393

394 **Figure 3. Low MOI transduction of human CD8+ T cells and HSCs by AAV6 capsid**  
395 **variants.** (A) Comparison of CRISPR-mediated genomic integration by wild-type AAV6 and  
396 the top three performing AAV6 capsid variants at low MOI ( $1 \times 10^4$  / cell) in primary CD8 T  
397 cells (left) and human CD34+ HSCs (right). (B) Comparison of transduction efficiency of

398 capsid variant 5 (AAV12VP1/2-AAV6VP3) at low MOI ( $1 \times 10^4$  / cell) and wild-type AAV6 at  
399 high MOI ( $1 \times 10^6$  / cell) in CD8 T cells over a 3-week period in the absence of CRISPR gene  
400 editing. Statistical significance was determined by an unpaired T test, or one-way ANOVA  
401 test for multiple comparisons, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001 \*\*\*\*P<0.0001.

402

403 **Figure 4. AAV6 capsid variants are defective for capsid assembly.** (A) Viral packaging  
404 titers and T cell luciferase transduction values for AAV6 capsid variants and the impact of  
405 the chimeric capsid sequences on the coding protein sequence of AAP. High rate of  
406 transduction correlates with low titer. A heat map coloring is used to indicate the magnitude  
407 of yield and transduction. Viral preparations for AAV6 and variants 2, 4, and 5 were  
408 produced 3 times; variant 1, 2 times and variants 3, 6, and 7 were produced once. (B) Viral  
409 packaging titers from purified AAV particle yield measured by qPCR and ELISA. Both are  
410 reduced for variants 2, 4, and 5 compared to wild-type AAV6. (C) Modifying transfection  
411 conditions has no effect on AAV6 variant capsid yield. Varying the transfection reagent, the  
412 ratio of AAV helper plasmid transfected or the virus harvest time post-transfection, did not  
413 lead to any increase in viral genome or particle titer (as measured above) for variant 5. Wild-  
414 type AAV6 and variant 5 genome and particle titers were measured from purified particles.  
415 Statistical significance was determined by an unpaired T test, or one-way ANOVA test for  
416 multiple comparisons of results in triplicate or duplicate replicas, \*\*\*P<0.001, \*\*\*\*P<0.0001.  
417 GC, Genome copies; RLU, Relative light units.

418

419 **Figure 5. The AAP sequence plays a role in gene expression in addition to capsid**  
420 **assembly.** (A) Amino acid sequence alignment of the AAP sequences at the VP2/VP3  
421 boundary for wild-type AAV6 and capsid variant 5 modifications. The wild-type AAV6  
422 sequence is at the top, capsid variant 5 AAP with changes reverting to the wild-type  
423 sequence at amino acids 13-27 (outlined by the dashed-line box) of AAP, are 5.1 to 5.6. (B)  
424 Genome titers for wild-type AAV6, capsid variant 5, and 5.1 to 5.6 with altered AAP  
425 sequences. (C) Genome titer of wild-type AAV6, capsid variant 5 alone and with co-

426 transfection of two forms of the full-length AAP construct in the packaging cells, one starting  
427 with a Methionine and the other a Leucine. (D) AAP sequence alterations play a role on  
428 transduction of primary CD8+ T cells. Viruses are as in (C). Complete correction of amino  
429 acids 13-27, as in variant 5.1, decreases T cell transduction levels, whereas partially  
430 corrected 5.3 along with the original variant 5 surpass wild-type AAV6 at low MOI. (E) T cell  
431 transduction by variant 5 packaged by co-transfection of the AAP expression constructs (as  
432 in D). Statistical significance was determined by an unpaired T test, or one-way ANOVA test  
433 for multiple comparisons of results in triplicate or duplicate replicas, \*P<0.05, \*\*P<0.01,  
434 \*\*\*P<0.001 \*\*\*\*P<0.0001.

435

436

## 437 **Supplementary**

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**S1.** Amino acid capsid sequence alignment of the AAV6 chimera variants with wild-type AAV6. Below a table of the amino acid sequence identities of AAV4, -5, -11, -12 and the variants to the AAV6 VP1, VP1u, and VP1/2 common region is given, respectively.

442

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444

## 445 **Conflicts of Interest**

446

447

448

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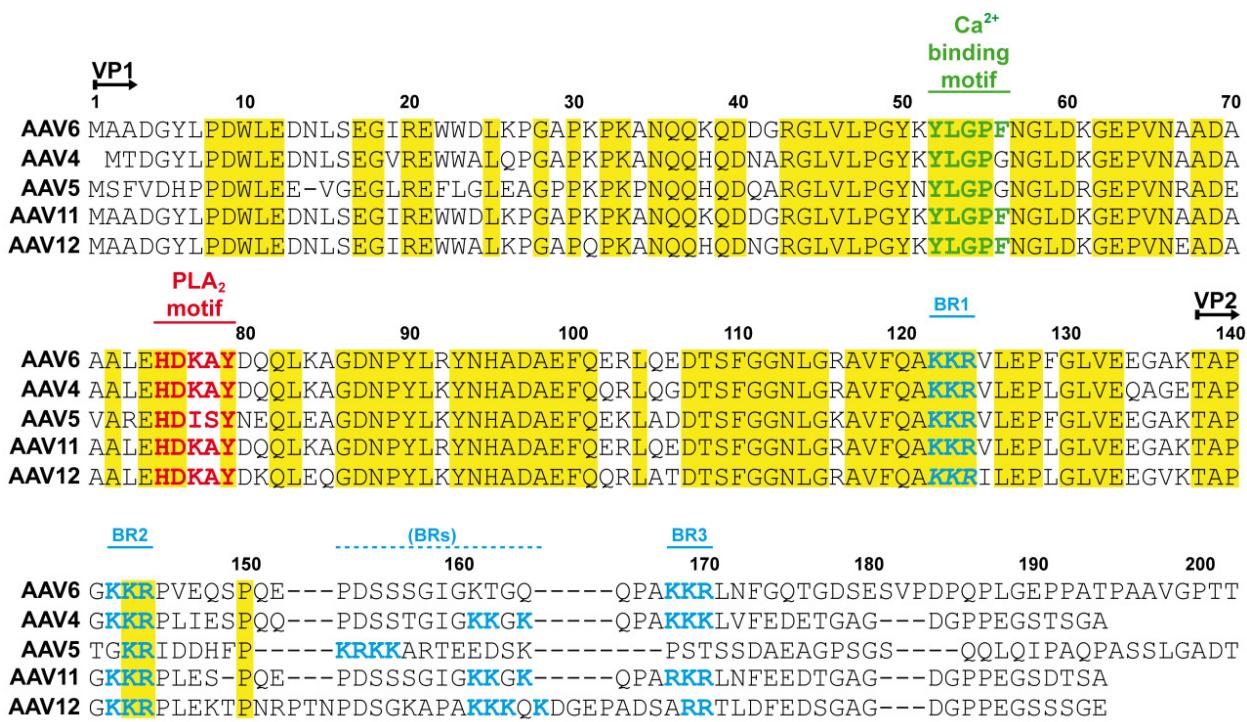
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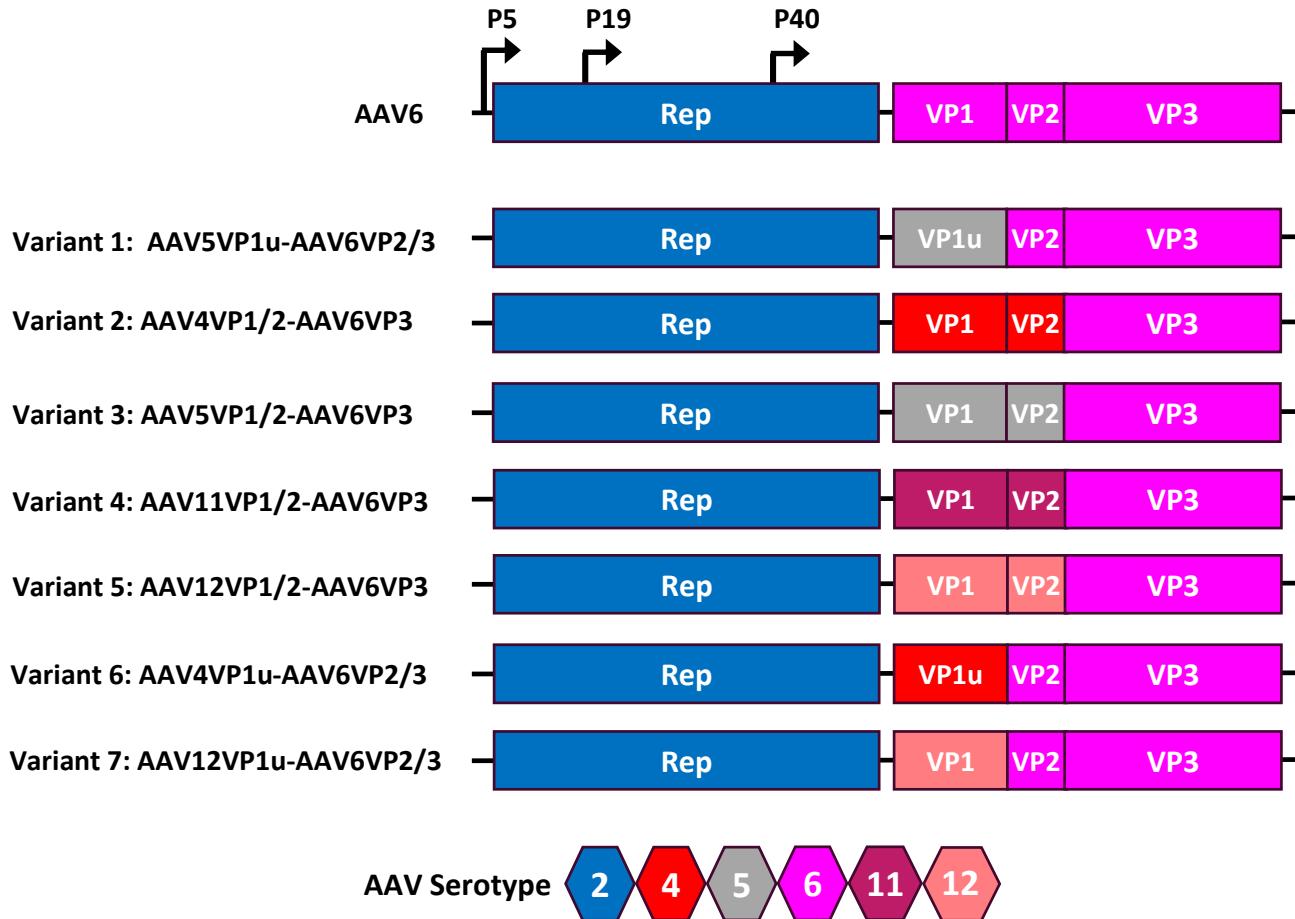
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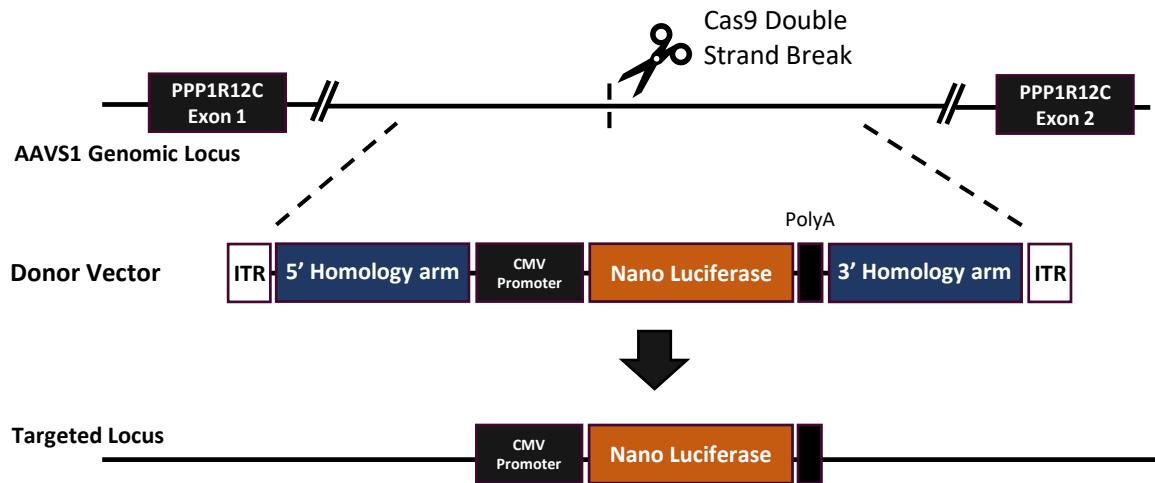
**Figure 1a**



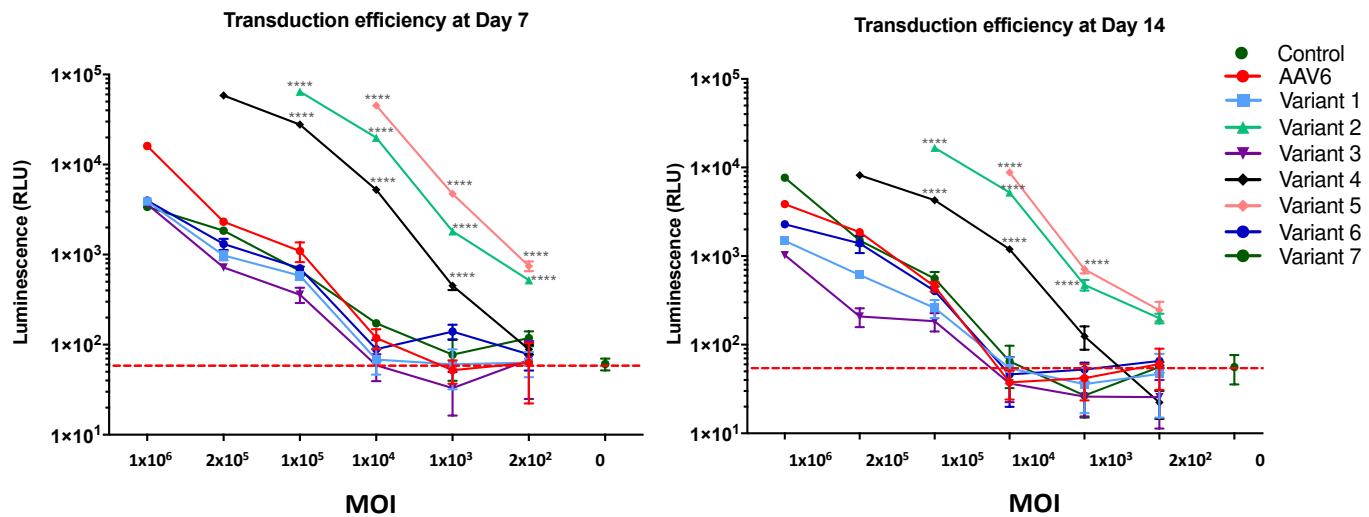
**Figure 1b**



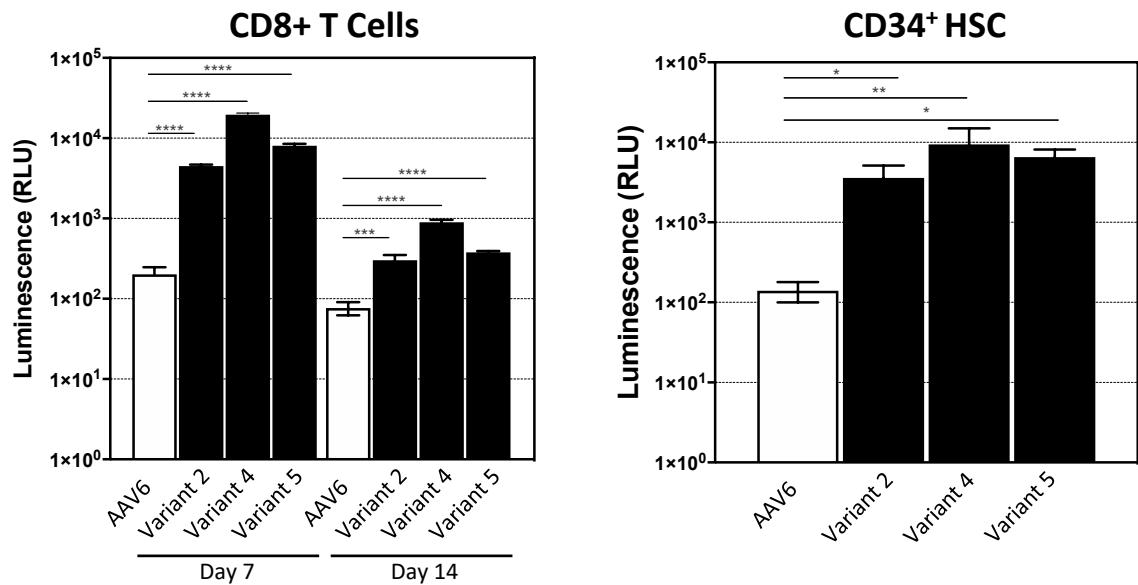
**Figure 2a**



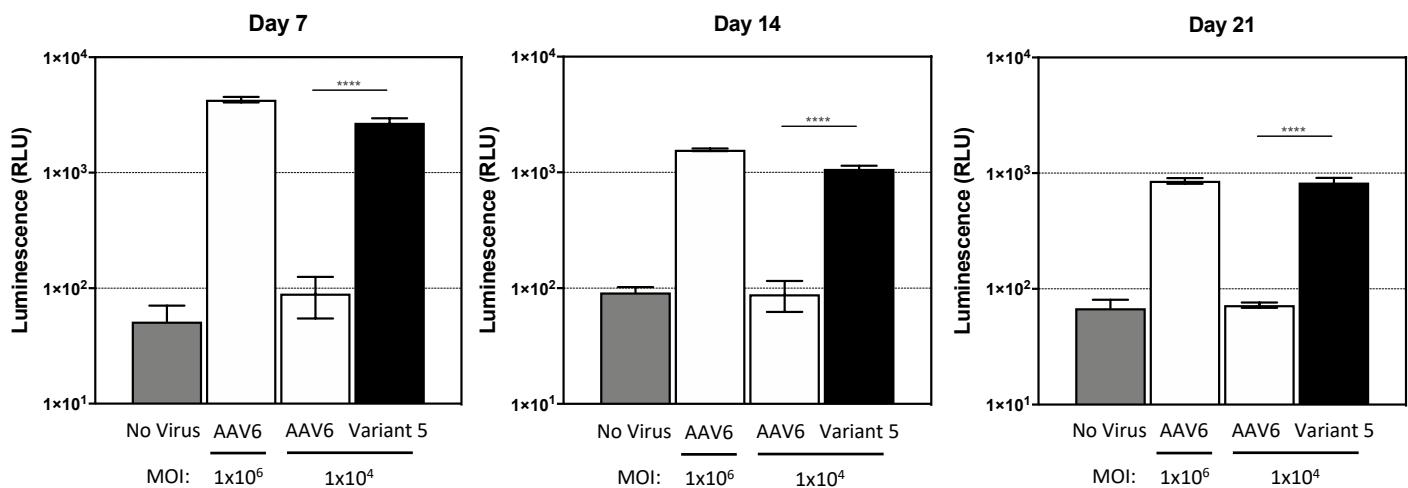
**Figure 2b**



**Figure 3a**



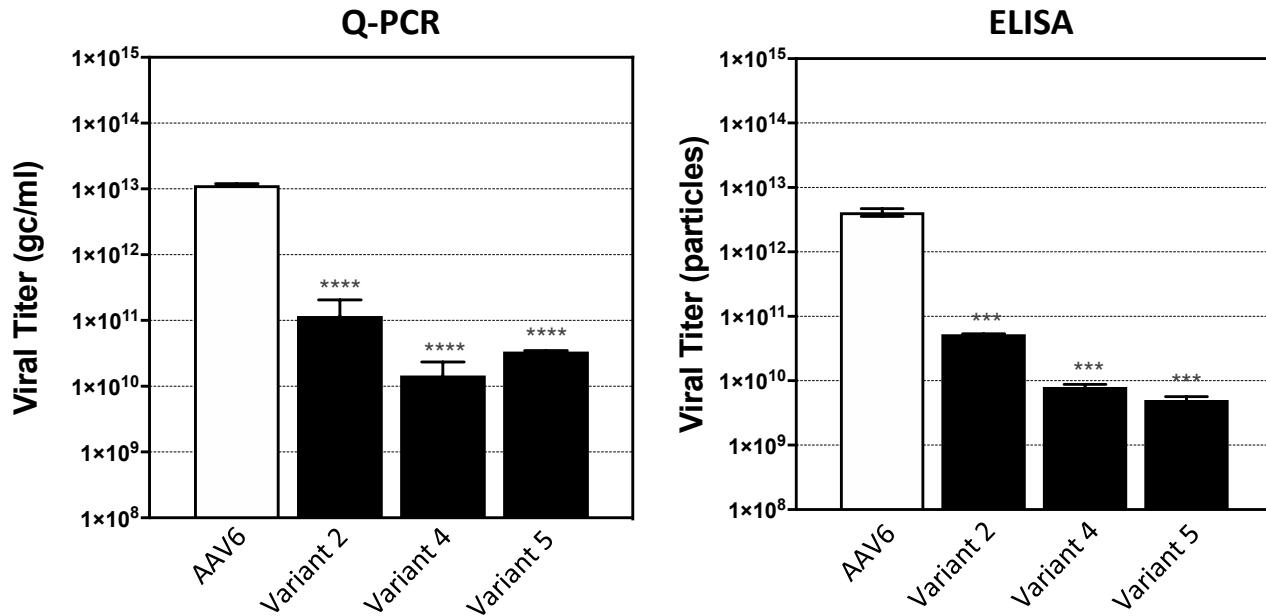
**Figure 3b**



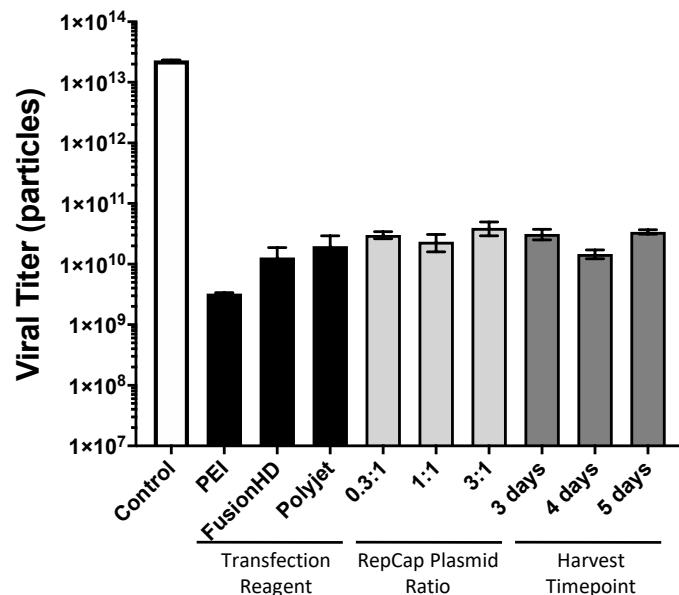
**Figure 4a**

Variant Chimera	Virus Titre ( $\times 10^{10}$ GC/ml)	Transduction Efficiency (Luminescence RLU)	AAP Chimeric Serotype	Impact on AAP ORF
AAV6	$1161.33 \pm 764.16$	$32.33 \pm 4.93$	AAV6	None
Variant 1: AAV5VP1u-AAV6VP2/3	$2995 \pm 784.89$	$74.00 \pm 18.03$	AAV6	None
Variant 2: AAV4VP1/2-AAV6VP3	$4.49 \pm 1.97$	$5699 \pm 395.76$	AAV4/AAV6	Modified
Variant 3: AAV5VP1/2-AAV6VP3	1760.00	$44.00 \pm 10.44$	AAV5/AAV6	Modified
Variant 4: AAV11VP1/2-AAV6VP3	$4.62 \pm 3.39$	$779.67 \pm 359.35$	AAV11/AAV6	Modified
Variant 5: AAV12VP1/2-AAV6VP3	$0.42 \pm 0.03$	$17141.67 \pm 14258.84$	AAV12/AAV6	Modified
Variant 6: AAV4VP1u-AAV6VP2/3	2530.00	$48.00 \pm 2.83$	AAV6	None
Variant 7: AAV12VP1u-AAV6VP2/3	4340.00	$59.00 \pm 8.49$	AAV6	None

**Figure 4b**



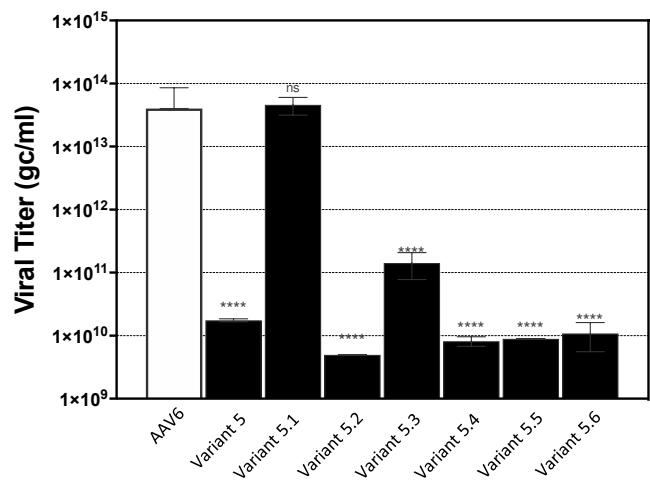
**Figure 4c**



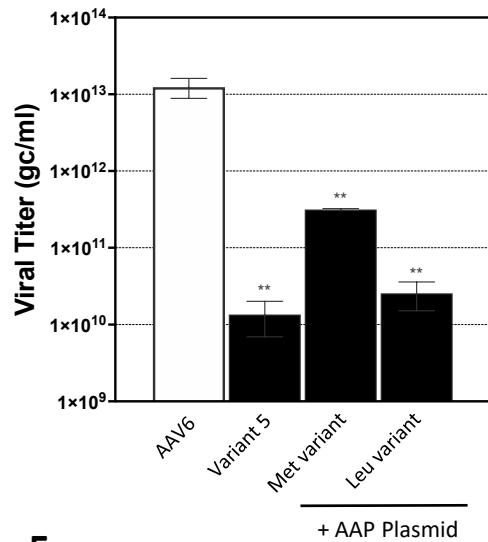
**Figure 5a**



**Figure 5b**

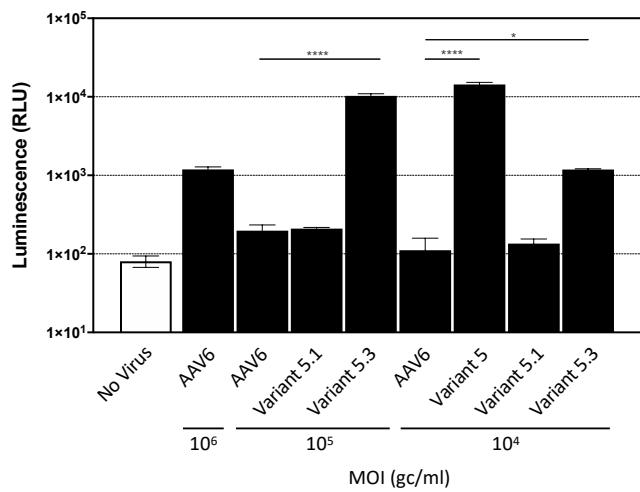


**Figure 5c**

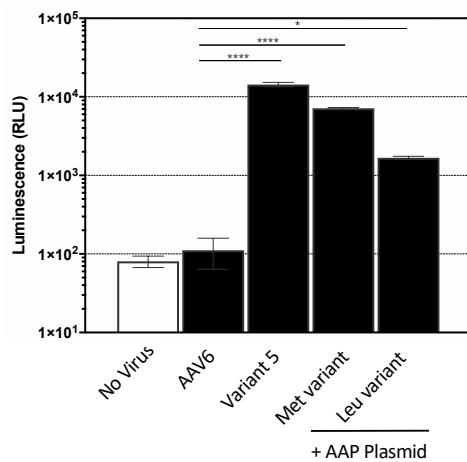


+ AAP Plasmid

**Figure 5d**



**Figure 5e**



+ AAP Plasmid