

1 **Clinicopathologic Correlates and Natural History of Atypical Chronic Myeloid Leukemia**

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1 **ABSTRACT**

2 There is limited data on the clonal mechanisms underlying leukemogenesis, prognostic factors,
3 and optimal therapy for atypical chronic myeloid leukemia (aCML). We evaluated the clinicopathological
4 features, outcomes, and responses to therapy of 65 patients with aCML. Median age was 67 years (range
5 46-89). The most frequently mutated genes included *ASXL1* (83%), *SRSF2* (68%), and *SETBP1* (58%).
6 Mutations in *SETBP1*, *SRSF2*, *TET2*, and *GATA2* tended to appear within dominant clones, with frequent
7 *SRSF2* and *SETBP1* codominance, while other RAS pathway mutations were more likely to appear as
8 minor clones. Acquisition of new, previously undetectable mutations at transformation was observed in
9 63% of evaluable patients, the most common involving signaling pathway mutations. Hypomethylating
10 agents were associated with the highest response rates and duration. With a median overall survival of
11 25 months (95% CI 20-30), intensive chemotherapy was associated with worse OS than other treatment
12 modalities, and allogeneic stem cell transplantation was the only therapy associated with improved
13 outcomes (HR 0.044, 95% CI 0.035-0.593, p=0.007). Age, platelet count, BM blast percentage, and
14 serum LDH levels were independent predictors of survival and were integrated in a multivariable model
15 which allowed to predict 1-year and 3-year survival.

16

17

1 **INTRODUCTION**
2

3 Atypical chronic myeloid leukemia (aCML) is a rare clonal, hematopoietic stem-cell disorder
4 classified among the myelodysplastic/myeloproliferative neoplasms (MDS/MPN). Atypical CML is
5 characterized by the presence of hypercellular bone marrow (BM) with granulocytic proliferation and
6 granulocytic dysplasia along with peripheral blood (PB) leukocytosis with increased numbers of
7 neutrophils and immature granulocytic precursors comprising $\geq 10\%$ of leukocytes, in the absence of
8 absolute basophilia and moncytosis and *BCR-ABL1* rearrangement or other features of MPN (1). Next-
9 generation sequencing (NGS) identified recurrent mutations in *ASXL1*, *SETBP1*, *ETNK1*, *TET2*, and
10 other RAS pathway mutations, as well as *CSF3R* mutations, in aCML (2-10). In addition, recent data
11 suggests that co-mutation patterns may be associated with distinct MDS/MPN subtypes, with *ASXL1* and
12 *SETBP1* co-mutations frequently observed in aCML (7). However, the clonal dominance of identified
13 mutations in aCML remains poorly understood and the clonal mechanisms associated with disease
14 progression and transformation have not been well characterized.

15 Furthermore, aCML is characterized by a short median overall survival of 25 months and high risk
16 of transformation to acute myeloid leukemia (AML) compared to other MDS/MPNs (3, 11), however there
17 is scarce data evaluating the potential factors associated with aCML prognosis (3). To date, therapeutic
18 options for patients with aCML are limited and, although therapy with ruxolitinib can be associated with
19 responses in patients with *CSF3R* mutations (5, 12, 13), particularly in the absence of *SETBP1*
20 mutations, there is insufficient evidence on the optimal therapeutic strategies for these patients. Although
21 several reports including small patient cohorts have described the potential use of hypomethylating
22 agents, such as decitabine or azacitidine, for the treatment of aCML (3, 14-18), evaluation of the survival
23 benefit or clinical activity of these compounds a lager cohort and comparison to other therapeutic
24 approaches is needed.

1 In order to study the clonal architecture and clinical outcomes of patients with aCML based on
2 therapeutics modality and factors associated with transformation and predictors of outcome, we evaluated
3 a cohort of 65 patients with aCML treated at a single institution.

4
5 **MATERIALS AND METHODS**
6

7 **Patients and Samples**

8 We evaluated all consecutive patients with atypical chronic myeloid leukemia treated at The
9 University of Texas MD Anderson Cancer Center (MDACC) from 2005 to 2020. Informed consent was
10 obtained according to protocols approved by the MDACC institutional review board in accordance with
11 the Declaration of Helsinki. Diagnosis of aCML was confirmed in a hematopathology laboratory at
12 MDACC using the 2016 WHO criteria, by two independent hematopathologists (CBR and RKS) (19).
13 Conventional karyotyping was performed on fresh BM aspirates using standard procedures and reported
14 following ISCN 2013 Nomenclature (20).

15

16 **Targeted gene sequencing analysis**

17 Genomic DNA was extracted from whole bone marrow aspirate samples and was subject to
18 targeted PCR-based sequencing using a NGS platform evaluating a total of 81 or 28 genes (21). This
19 analysis was performed within the MDACC CLIA-certified Molecular Diagnostics Laboratory (additional
20 details in Supplemental Methods). For NGS-based analysis, the limit of detection for variant calling was
21 2%. Previously described somatic mutations registered at the Catalogue of Somatic Mutations in Cancer
22 (COSMIC: <http://cancer.sanger.ac.uk/cosmic>) were considered as potential driver mutations. Variant
23 allele frequency (VAF) estimates of identified mutations were used to evaluate clonal relationships within
24 each individual patient, with clones with the highest VAF or with VAF close to 0.4 being defined as

1 dominant and those present at VAF <0.2 in the presence of another dominant clone being defined as
2 minor. In addition, PCR-based DNA analysis was performed to detect internal tandem duplications and
3 codon 835/836 point mutations in *FLT3*. Multiplex PCR using fluorescently labeled primers was
4 performed, followed by detection and sizing of PCR products using capillary electrophoresis. For
5 detecting point mutations in codons 835/836, restriction enzyme digestion of the PCR products was
6 performed prior to capillary electrophoresis. The lower limit of detection (analytical sensitivity) of this
7 assay was 1% of mutant DNA in a background of wild-type DNA. The ratio of the area under the peak of
8 mutant over total (mutant : wild-type) *FLT3* was used to determine the mutant allele burden.

9

10 **Statistical analysis and response assessment**

11 VAF estimates were used to evaluate clonal relationships within each individual sample (22).
12 Clonal relationships were tested using Pearson goodness-of-fit tests with heterogeneity being defined in
13 cases with goodness-of-fit p-values <0.05. Response outcomes were evaluated following the MDS/MPN
14 IWG response criteria (23) for therapy at the time of aCML diagnosis and following ELN 2017 criteria for
15 therapies at the time of transformation to AML (24). Generalized linear models were used to study the
16 association of overall response (ORR), complete remission (CR), and risk factors. Overall survival (OS)
17 was calculated as the time from diagnosis to death or last follow-up date. Event-free survival (EFS) was
18 calculated from the time of initial therapy until relapse, absence of response, or death. Leukemia-free
19 survival (LFS) was calculated from the time of diagnosis to transformation, death, or last follow-up date.
20 Patients who were alive at their last follow-up were censored on that date. The Kaplan-Meier product limit
21 method (25) was used to estimate the median OS, EFS, and LFS for each clinical/demographic factor.
22 Univariate and multivariate Cox proportional hazards regression analyses were used to identify any
23 association with each of the variables and survival outcomes.

1

2 **RESULTS**

3 **Clinical and Histopathological Characteristics**

4 A total of 65 patients with aCML were evaluated during the reviewed time period. Patient
5 characteristics are summarized in Table 1. Median age was 67 years (range 46-89), and median WBC
6 was $44.5 \times 10^9/L$ (range $5.9-474.9 \times 10^9/L$). Median percentages of immature granulocytes in peripheral
7 blood were as follows: 0% (range 0-27%) promyelocytes, 0% myelocytes (range 0-35%), and 16%
8 metamyelocytes (range 0-51%). Median hemoglobin was 10.0g/dL (range 5.7-14.7g/dL) and median
9 platelet count was $93 \times 10^9/L$ (range $12-560 \times 10^9/L$). Forty-one (63%) patients had normal karyotype, with
10 the most frequent recurrent cytogenetic abnormalities including trisomy 8 in 5 (8%) patients, i(17q) in 2
11 (3%) patients, and del(20q) in 2 (3%) patients. A total of 3 (5%) patients had complex karyotype defined
12 by presence of more than 3 abnormalities, but only 1 patient had a monosomal karyotype. Median
13 European Cooperative Group performance status at the time of diagnosis was 1 (range 0-4). 21 (32%)
14 patients required transfusions prior to the time of evaluation. Significant palpable splenomegaly was
15 observed in 26 (40%) patients and a total of 7 (11%) had extramedullary disease, either confirmed by
16 histopathological evaluation or highly suspected due to imaging including: pathology proven leukemia
17 cutis in 3, gingival hyperplasia in 1 patient, and lymphadenopathy in 3 patients. Nineteen (29%) patients
18 had required hydroxyurea for control of leukocytosis prior to their presentation at MDACC, with 6 (%)
19 patients presenting with signs of spontaneous tumor lysis syndrome or acute renal dysfunction. Of these,
20 3 required rapid cytoreduction with cytarabine and 1 required leukapheresis. A total of 51 (80%) received
21 therapy at MDACC, including single agent hypomethylating agent in 19 (29%), hydroxyurea in 8 (12%), a
22 hypomethylating agent in combination with ruxolitinib in 7 (11%), single agent ruxolitinib in 5 (8%),
23 hypomethylating agents in combination with other investigational agents in 5 (8%), induction

1 chemotherapy in 3 (5%), and other investigational agents in 1 (2%) patient. Two patients remained on
2 observation, 1 patient received allogeneic stem cell transplant directly, and 14 (22%) patients continued
3 care outside of MDACC.

4 Bone marrow evaluation revealed a markedly hypercellular marrow in all patients with
5 granulocytic proliferation and granulocytic dysplasia. Significant dyserythropoiesis was observed in 26
6 (40%) patients with dysmegakaryopoiesis being observed in 43 (66%) patients. Marked trilineage
7 dysplasia was apparent in 22 (33%) patients. Bone marrow grading of fibrosis was performed on a total of
8 52 (80%) patients with 7 (13%) patients having MF-0, 32 (62%) MF-1, 11 (21%) MF-2, and 2 (4%) MF-3.
9 Median bone myeloid population frequencies are detailed in Table 2.

10

11 **Mutation and clonal landscape and clinicopathological associations**

12 Next-generation sequencing data was available for 35 (54%) patients. The median number of
13 detectable mutations was 4 (range 1-8). The most frequently mutated genes included *ASXL1* in 83%,
14 *SRSF2* in 68%, and *SETBP1* in 58%. The frequencies of identified mutations are shown in Figure 1A.
15 Mutations in *ASXL1* included frameshift (n=25) or nonsense (n=4) mutations, the most common of which
16 being G646fs in 17/29 patients. The most frequent *SETBP1* mutation included D868N. Other genes with
17 mutations present at a frequency >10% of the evaluated population included *TET2*, *CBL*, *GATA2*, *NRAS*,
18 *RUNX1*, *NF1*, and *EZH2*. The median variant allele frequencies of identified mutations are shown in
19 Figure 1B.

20 In order to determine the likely clonal dominance of identified mutations, VAF estimates were
21 used to evaluate clonal relationships within each individual sample (22) using Pearson goodness-of-fit
22 tests and VAF differences. Clones with the highest VAFs or with VAFs close to 40% were defined as
23 dominant, and those present at VAF <20% in the presence of another dominant clone were defined as

1 minor. Mutations in *SETBP1*, *SRSF2*, *TET2*, and *GATA2* tended to appear within dominant clones while
2 other RAS pathway mutations were more likely to appear as minor clones. Within the observed commonly
3 co-mutated genes, *SRSF2* and *SETBP1* tended to appear as co-dominant, while *ASXL1*, although the
4 most frequently detected mutation, appeared as a minor clone in up to 50% of patients (Figure 1C).

5

6 **Cytogenetic and clonal evolution associated with transformation to acute myeloid leukemia**

7 A total of 18 (28%) patients transformed to AML with a median time to transformation of 18
8 months (1-123 months). Peripheral blood and bone marrow findings at the time of transformation are
9 detailed in Supplemental Table S1.

10 Sequencing data at the time of transformation was available for 12 (67%) patients, with matched
11 sequencing at diagnosis of aCML and AML in 8 (44%) patients. The mutational landscape at the time of
12 transformation is shown in Figure 2A. Acquisition of new, previously undetectable mutations was
13 observed in 5 patients, the most common involving signaling pathway mutations (*NRAS*, *KRAS*, *NF1*,
14 *PTPN11*) as well as *FLT3-ITD*, *ASXL1*, *CEBPA*, and *ETV6* (Figure 2A). Acquisition of new cytogenetic
15 abnormalities was observed in 9/14 patients, the most frequent involving i(17q). Dynamic changes in the
16 clonal and cytogenetic landscape and disease phenotype during the course of therapy from diagnosis to
17 transformation are shown in Figure 2B.

18

19 **Clinical outcomes based on therapy type and genomic and clinical characteristics**

20 With a median follow up of 35.6 months (95% CI 28.2-43.1) a total of 38 (95%) of the patients
21 who received disease-modifying agents were evaluable for response. Patients who continued observation
22 or cytoreductive therapy with hydroxyurea were not considered evaluable for response. Among response-
23 evaluable patients, 19 (50%) received a single agent HMA, 6 (16%) an HMA in combination with

1 ruxolitinib, 5 (13%) single agent ruxolitinib, 4 (11%) an HMA in combination with other investigational
2 agents, 3 (8%) induction chemotherapy, and 1 (3%) proceeded directly to allogeneic stem -cell
3 transplantation. The ORR was 29%, with a total of 3 (8%) patients achieving CR. Response rates and
4 median response durations based on therapeutic modality are detailed in Table 2. Among patients who
5 received ruxolitinib, either as single agent or in combination with an HMA, 2 (17%) had detectable *JAK2*
6 V617F mutations, and no patients had detectable *CSF3R* mutations.

7 The median OS of the entire cohort was 25 months (95% CI 20.0-30.0, Figure 3A). When
8 evaluating survival based on therapeutic regimen, patients who received intensive chemotherapy had
9 significantly worse OS than those receiving HMA-based therapy or other agents such as ruxolitinib or
10 hydroxyurea (p=0.012, Figure 3B). Of note, among the 3 patients treated with intensive chemotherapy, 1
11 presented with an ECOG performance status of 4, WBC of $207 \times 10^9/L$, and spontaneous tumor lysis
12 syndrome with acute renal dysfunction at the time of diagnosis, 1 had leukemia cutis with a WBC of
13 $25.8 \times 10^9/L$, and one had gingival hyperplasia and a WBC of $181.4 \times 10^9/L$. No significant differences in
14 survival were observed between patients receiving hydroxyurea, ruxolitinib, or an HMA alone or with other
15 agents. A total of 7 (11%) patients underwent allogeneic stem cell transplantation. The median LFS was
16 19.8 months (95% CI 15.6-24 months, Figure 3C) and the median survival after transformation was 8.3
17 months (95% CI 5.5-11.0 months). After transformation to AML 11 patients received therapy with an ORR
18 of 64%, including 4 (36%) CRis and a CR rate of 18%. Therapies included: cladribine or clofarabine in
19 combination with low dose cytarabine (LDAC) in 3 patients; LDAC in combination with venetoclax in 1; an
20 HMA in combination with ruxolitinib in 1; an HMA in combination with venetoclax in 1; an HMA in
21 combination with other agents in 1; intensive chemotherapy with sorafenib in 1; investigational agents in
22 1; and myeloablative conditioning and transplant in 1. The median number of cycles of therapy was 2
23 (range 1-5) with a median number of cycles to best response of 2 (range 1-5). Median response duration

1 was 1.4 months (range 0-4) and 2 patients were able to transition to allogeneic stem cell transplant.
2 Among patients who suffered transformation to AML, only 1 remains alive at the time of data cutoff and
3 analysis.

4 By univariate analysis for survival, peripheral blood promyelocyte percentage ($p=0.005$),
5 performance status ≥ 2 ($p=0.059$), hemoglobin ($p=0.033$), bone marrow blast percentage ($p=0.013$), and
6 bone marrow monocyte percentage ($p=0.027$) were associated with survival (Supplemental Table S2). By
7 multivariate analysis for overall survival, age (HR 1.107, 95% CI 1.045-1.173, $p=0.001$), hemoglobin (HR
8 0.784, 95% CI 0.635-0.968, $p=0.024$), platelet count (HR 0.993, 95% CI 0.988-0.997, $p=0.003$), bone
9 marrow blast percentage (HR 1.414, 95% CI 1.223-1.635, $p<0.001$), bone marrow monocyte percentage
10 (HR 1.215, 95% CI 1.008-1.466, $p=0.041$), LDH levels (HR 1.000, 95% CI 1.000-1.000, $p<0.001$), and
11 allogeneic stem -cell transplant (HR 0.044, 95% CI 0.035-0.593, $p=0.007$) were independent predictors
12 of survival (Supplemental Table S2). In order to evaluate disease and patient related features that could
13 allow prediction of the clinical outcomes of patients with aCML at the time of diagnosis, we performed
14 multivariate analysis for survival based on baseline clinicopathological features. The following patient
15 characteristics were independently associated with patient prognosis (Table 3): age, platelet count, bone
16 marrow blast percentage, and LDH levels. This model was used to generate a nomogram for overall
17 survival (Figure 4). This nomogram provides a visual depiction of the relative contribution of each
18 prognostic factor to the total point score and the weight of factors influencing survival. The formula for
19 calculating the total point score is as follows: age points ($+60.18185 + 1.337374 \times \text{age}$) + platelet points
20 ($59.82308 + -0.99705 \times \text{platelet count}$) + bone marrow blast points ($4.54877 \times \text{bone marrow blast \%}$) +
21 LDH points ($0.002500 \times \text{LDH level}$). Total point scores ranged from 36.1 to 165.1, with a median of 95.0.

22

23 **DISCUSSION**

1 Atypical chronic myeloid leukemia (aCML) is a rare hematopoietic stem cell disorder with dismal
2 prognosis and a high rate of transformation to acute leukemia (11). Although prior reports have described
3 activity of ruxolitinib (12), hydroxyurea, low-dose cytarabine, or HMAs (15, 16, 26) in this disease, data on
4 the optimal clinical management of these patients remains unclear. In addition, given the rarity of this
5 disorder, there is a lack of validated clinical risk models to effectively stratify patients based on predicted
6 outcomes (3, 26). Finally, although several studies have described recurrent somatic mutations in aCML,
7 the clonal architecture in aCML and the genomic changes associated with transformation remain unclear.
8 In this study, we evaluated the clinicopathological features, outcomes, and clonal architecture of a cohort
9 of 65 patients with aCML. By doing so, we observed a high frequency of *SRSF2* and *SETBP1* mutation
10 co-dominance, with *ASXL1* mutations being the most frequently observed; acquisition or clonal expansion
11 of previously undetected RAS pathway mutations; and certain cytogenetic abnormalities, such as i(17q)
12 or monosomy 7, associated with acute transformation. This is consistent with prior reports by our group
13 associating i(17q) with transformation to AML in MDS/MPNs (27). Finally, we developed a prognostic
14 model which included age, platelet count, bone marrow blast percentage, and LDH, which allowed us to
15 predict the survival of patients with aCML.

16 Prior studies have reported high frequencies of *ASXL1* and *SETBP1* mutations in aCML. In a
17 recently published study by Palomo, et al (7), mutations in *ASXL1* strongly correlated with *SETBP1*
18 mutations in patients with aCML. Although in this study the authors identified *ASXL1* mutations as part of
19 ancestral clones in a majority of patients (79%), we identified that both *SRSF2* and *SETBP1* mutations
20 tended to appear at significantly higher VAFs and as dominant events in a majority of patients, while
21 *ASXL1* mutations appeared in minor clones in up to 50% of patients. In addition, similar to their findings,
22 we observed that *GATA2* mutations tended to appear within dominant clones and *RAS* pathway signaling
23 gene mutations tended to appear in minor clones. However, sequential targeted sequencing performed at

1 the time of transformation revealed that, although initially present within non-dominant clones, mutations
2 in signaling genes other than *SETBP1* were associated with clonal expansion and transformation to AML.
3 This data suggests that, although other RAS pathway signaling mutations might be less common and
4 appear as subclonal events in aCML, their expansion through the course of therapy or their acquisition in
5 initial founder clones may likely be responsible for transformation and resistance to therapies. This might
6 be relevant when considering future therapeutic combinations with agents such as BCL2 inhibitors, given
7 the known association of these mutations with resistance to therapies such as venetoclax (28). In
8 addition, this underscores the importance of developing effective agents targeting RAS signaling or MCL-
9 1 in the current era of venetoclax-based therapies (29, 30).

10 Although prior reports have suggested that therapy with single agent ruxolitinib might be effective
11 in patients with aCML, we did not observe significant responses. However, none of the 5 patients who
12 received single agent ruxolitinib in our cohort had *CSF3R* mutations and only 2 had *JAK2* mutations. In
13 addition, the combination of azacitidine with ruxolitinib was not associated with any significant responses.
14 Prior case reports described the potential efficacy of azacitidine or decitabine in patients with aCML. In
15 our study, response rates to HMA-based therapies were observed in 25% of patients, and only 3 patients
16 achieved CR, with a median response duration of 2.7 months. Although use of intensive chemotherapy
17 was associated with worse survival, patients treated with this therapeutic modality had highly proliferative
18 disease with extramedullary involvement and spontaneous tumor lysis, suggesting that their underlying
19 disease biology was likely responsible for the shorter survival times. Allogeneic stem cell transplantation
20 was the only therapeutic strategy that was associated with significantly improved survival, suggesting that
21 all patients who are eligible should be considered for transplant.

22 Prior studies evaluating a cohort of 55 and 25 patients with aCML reported advanced age, high
23 WBC, low hemoglobin, presence of immature granulocytic precursors, and *TET2* mutations to be

1 associated with worse survival in patients with aCML (3, 26). In our study, with one of the largest cohorts
2 so far reported, blood immature granulocyte percentage and bone marrow monocytosis were associated
3 with worse survival by univariate analysis, but lost their independent prognostic significance in
4 multivariate analysis, while age, platelet count, bone marrow blast percentage, and LDH levels remained
5 independent predictors of survival. Integration of these variables into a multivariate Cox regression model
6 allowed us to create a nomogram that predicted 1-year and 3-year overall survival. Although further
7 validation of this model is warranted, its integration into clinical practice may allow more specific survival
8 estimates when compared to conventional cutoff driven scoring systems.

9 We acknowledge that our study has several limitations. First, its retrospective nature limits our
10 ability to unequivocally confirm survival and response differences based on distinct therapeutic
11 interventions. Second, the absence of NGS in all evaluated patients limited our ability to incorporate
12 somatic mutation data as part of the multivariate prognostic model, and only a subset of patients who
13 transformed to AML had sequencing at the time of progression. Finally, although this study includes one
14 of the largest reported clinically annotated cohorts of patients with aCML, prospective studies will be
15 necessary to confirm the optimal therapeutic modality for patients with aCML.

16 Despite these limitations, our data suggest that aCML is characterized by specific mutational
17 clonal dominance with a high frequency of co-dominant *SRSF2* and *SETBP1* mutations, and other RAS
18 pathway mutations present in minor clones at the time of diagnosis but associated with AML
19 transformation. In addition, we observed that acquisition of i(17q) is associated with AML transformation.
20 Also, we confirm poor survival and response outcomes with most treatment modalities, with HMA
21 treatment associated with the highest and most durable responses. Finally, incorporation of age, platelet
22 count, bone marrow blast percentage, and LDH levels can allow survival prediction for these patients, and

1 allogeneic stem cell transplantation should be considered on all eligible patients with a diagnosis of
2 aCML.

3

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7

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24

25

1 TABLES

2 Table 1. Patient Characteristics

Characteristic	aCML (N=65)
	N (%)/Median [range]
Age (years)	68 [46-89]
Male	45 (69)
WBC ($\times 10^9/L$)	44.5 [5.9-474.9]
Neutrophils (%)	64 [0-93]
Promyelocytes (%)	0 [0-27]
Myelocytes (%)	0 [0-66]
Metamyelocytes (%)	16 [0-51]
Lymphocytes (%)	8 [0-63]
Monocytes (%)	2 [0-13]
Basophil (%)	0 [0-6]
Eosinophil (%)	1 [0-15]
Blast (%)	1 [0-16]
Hgb (g/dL)	10 [5.7-14.7]
Platelets ($\times 10^9/L$)	93 [12-560]
BM blast (%)	2 [0-16]
BM progranulocytes (%)	1 [0-12]
BM myelocytes (%)	16 [2-41]
BM metamyelocytes (%)	5 [5-31]
BM granulocytes (%)	36 [10-77]
BM basophils (%)	0 [0-20]
BM eosinophils (%)	1 [0-11]
BM monocytes (%)	2 [0-10]
Cytogenetics	
Normal	41 (63)
Trisomy 8	5 (8)
i(17q)	2 (3)
Monosomy Y	1 (2)
Del(20q)	2 (3)
Complex	3 (5)
Other	9 (14)
NA	2 (3)

Therapy related (%)	1 (2)
ECOG Performance status	
0-1	45 (69)
≥2	12 (18)
Prior transfusions	21 (32)
Splenomegaly	26 (40)
Extramedullary disease	7 (11)
B symptoms	16 (25)

1

2 **Table 2. Response outcomes in patients with aCML based on therapy**

Response	HMA (n=19) N (%)/[range]	HMA+ruxolitin ib (n=6) N (%)/[range]	Ruxolitinib (n=5) N (%)/[range]	HMA-combo (n=4) N (%)/[range]	Chemotherapy (n=3) N (%)/[range]
Overall response rate	5 (26)	0 (0)	1 (9)	2 (50)	3 (100)
Complete response (CR)	3 (16)	0 (0)	0 (0)	0 (0)	0 (0)
Marrow CR	0 (0)	0 (0)	0 (0)	0 (0)	3 (100)
Partial response	0 (0)	0 (0)	0 (0)	1 (25)	0 (0)
Symptom response	0 (0)	0 (0)	1 (9)	0 (0)	0 (0)
Clinical benefit	2 (11)	0 (0)	0 (0)	1 (25)	0 (0)
Median number of cycles	5 [2-7]	-	-	5 [2-7]	2 [1-3]
Median number of cycles to best response	2 [1-5]	-	-	3 [2-3]	1 [0-1]
Median response duration (months)*	2.7 [1.9-5.2]	-	*0.4 months	1.9 [0-3.8]	2.2 [1-2.5]
No response	10 (53)	5 (83)	3 (60)	2 (50)	0 (0)
Progressive disease	4 (21)	1 (17)	1 (20)	0 (0)	0 (0)

3

4 *Response duration was censored to the date of transplant in patients who underwent allogeneic SCT.

5 *: response duration corresponding to a single patient who responded to therapy.

6

1 **Table 3. Univariate and multivariate analysis for survival based on baseline clinicopathologic**
 2 **features.**

	UVA			Backward MVA		
	P-value	HR	95% CI	P value	HR	95% CI
Age	0.176	1.029	0.987-1.073	0.005	1.076	1.023-1.133
Female	0.800	0.911	0.444-1.872			
PS ≥2	0.059	2.053	0.973-4.332			
Prior malig.	0.534	1.319	0.551-3.153			
Prior chemo/XRT	0.666	1.299	0.396-4.259			
Prior transfusion	0.551	1.233	0.620-2.452			
Splenomegaly	0.761	1.108	0.574-2.139			
B symptoms	0.483	1.290	0.633-2.630			
TLS	0.876	1.087	0.383-3.082			
WBC	0.282	1.002	0.999-1.005			
Neu%	0.498	0.994	0.977-1.011			
Blasts%	0.192	1.051	0.975-1.132			
Mono%	0.451	0.962	0.871-1.063			
Lymph%	0.223	0.975	0.935-1.016			
Baso%	0.860	1.027	0.762-1.385			
Eo%	0.076	0.849	0.708-1.018			
Hgb	0.033	0.846	0.726-0.987			
Plt	0.156	0.998	0.995-1.001	0.002	0.993	0.989-0.997
BM blasts	0.013	1.089	1.018-1.165	<0.001	1.338	1.182-1.515
BM Eo	0.339	0.932	0.807-1.077			
BM baso	0.935	1.005	0.884-1.143			
BM mono	0.027	1.172	1.018-1.350			
EPO	0.804	1.000	0.999-1.001			
LDH	0.065	1.000	1.000-1.000	<0.001	1.000	1.000-1.000
UA	0.435	1.042	0.939-1.157			
Diploid	0.130	0.607	0.318-1.158			

3

4

1 **FIGURE LEGENDS**

2 **Figure 1. Mutational and clonal landscape of aCML.** **A.** Frequency of identified mutations. Number
3 above each specific gene column represents number of patients sequenced for each specific gene. **B.**
4 Median and range of variant allele frequencies (VAFs) of mutations identified in at least 10% of patients.
5 Mutations are ordered by decreasing median VAF. **C.** Frequency of mutations appearing as dominant or
6 minor events. VAF estimates were used to evaluate clonal relationships within each individual sample
7 using Pearson goodness of fit tests and VAF differences. Clones with the highest VAF or with VAFs close
8 to 40% were defined as dominant, and those present at VAF <20% in the presence of another dominant
9 clone were defined as minor.

10

11 **Figure 2. Clonal changes at the time of leukemic transformation.** **A.** Frequencies of recurrent somatic
12 mutations and cytogenetic abnormalities identified at the time of leukemic transformation that were not
13 present at diagnosis of aCML. **B.** Dynamic changes in identified somatic mutations and their VAFs from
14 the time of aCML diagnosis to AML.

15

16 **Figure 3. Survival outcomes of patients with aCML.** **A.** Kaplan-Meier estimate curve for overall
17 survival of patients with aCML. **B.** Kaplan-Meier estimate curves for overall survival based on type of
18 therapeutic modality. Other includes hydroxyurea or single agent ruxolitinib. **C.** Kaplan-Meier estimate
19 curve for leukemia-free survival of patients with aCML.

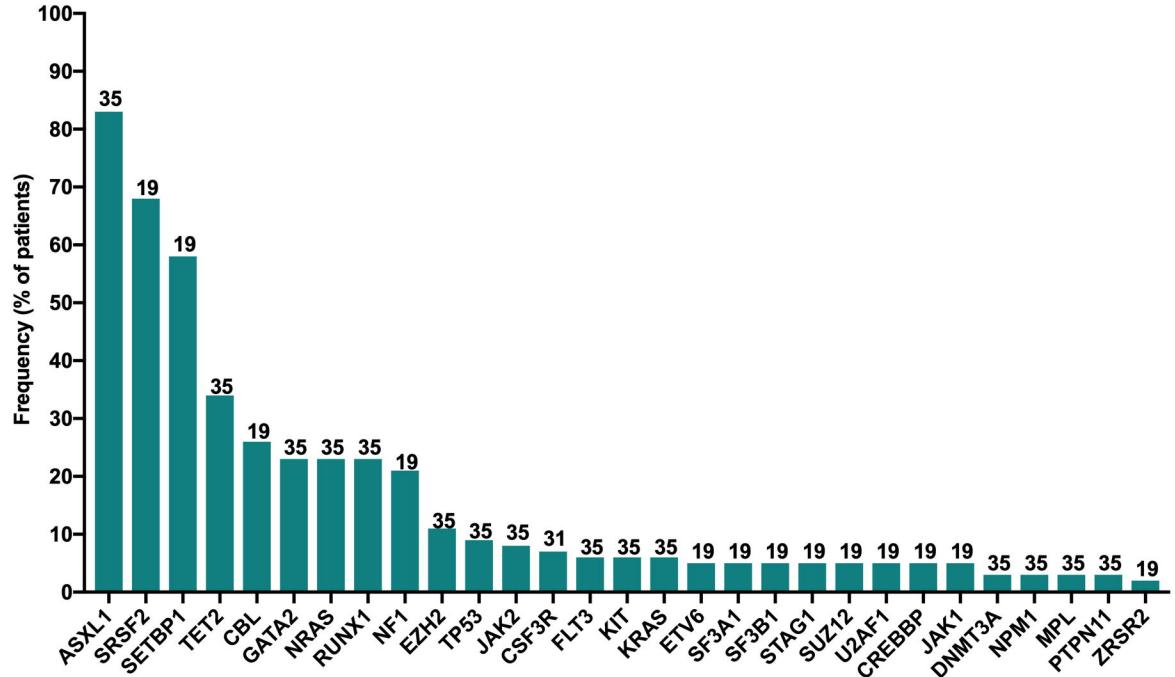
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21 **Figure 4. Multivariate Cox proportional hazards model and nomogram for overall survival.**
22 Nomogram used by totaling points identified at top scale for each of the independent variables. This
23 summed point score was then identified on a total point scale to identify the 1-year and 3-year survival
24 probabilities.

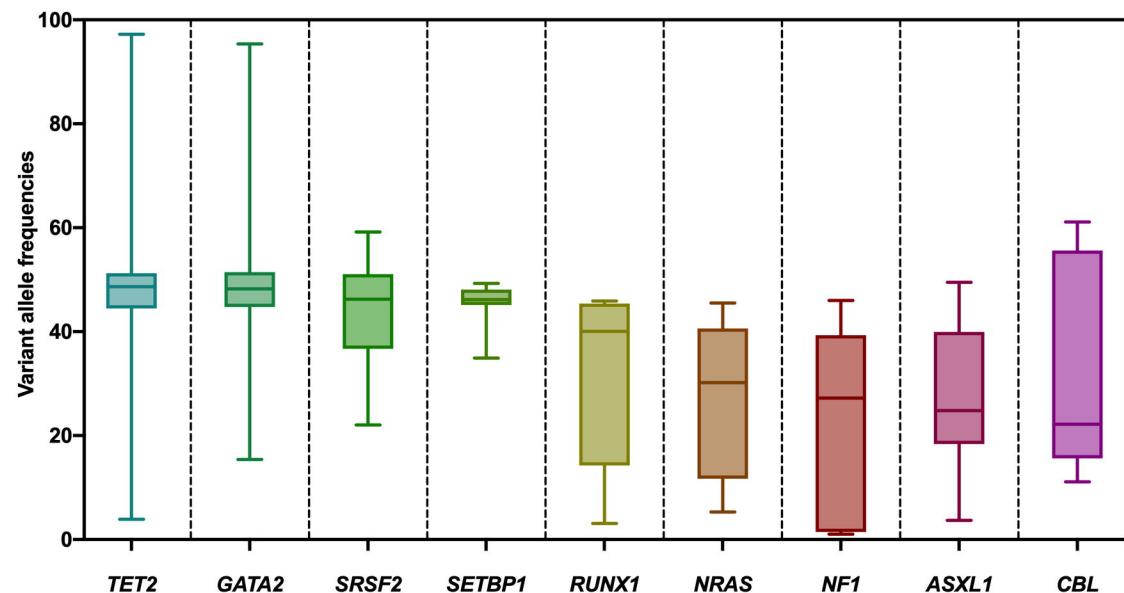
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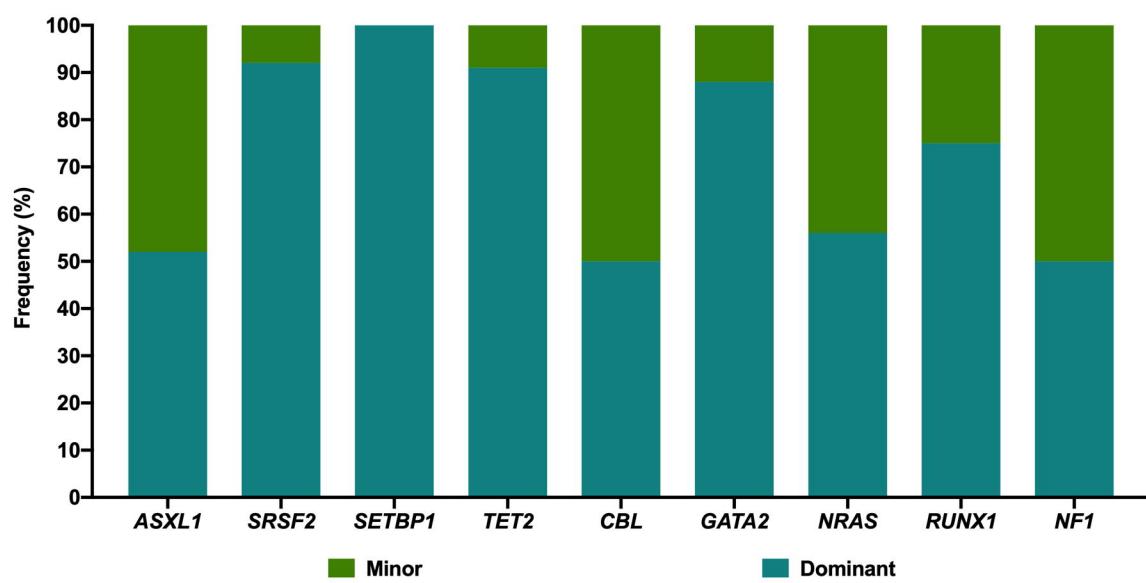
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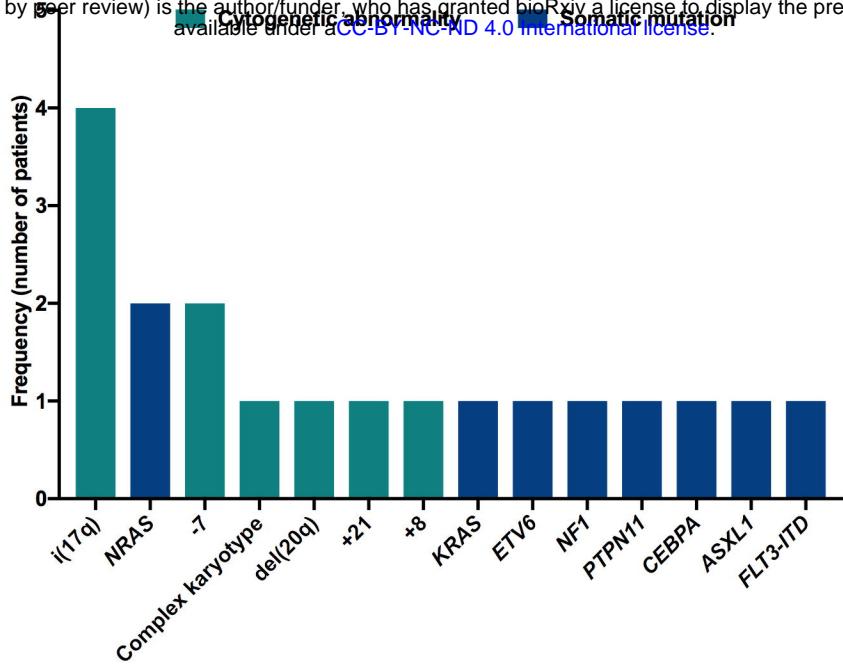
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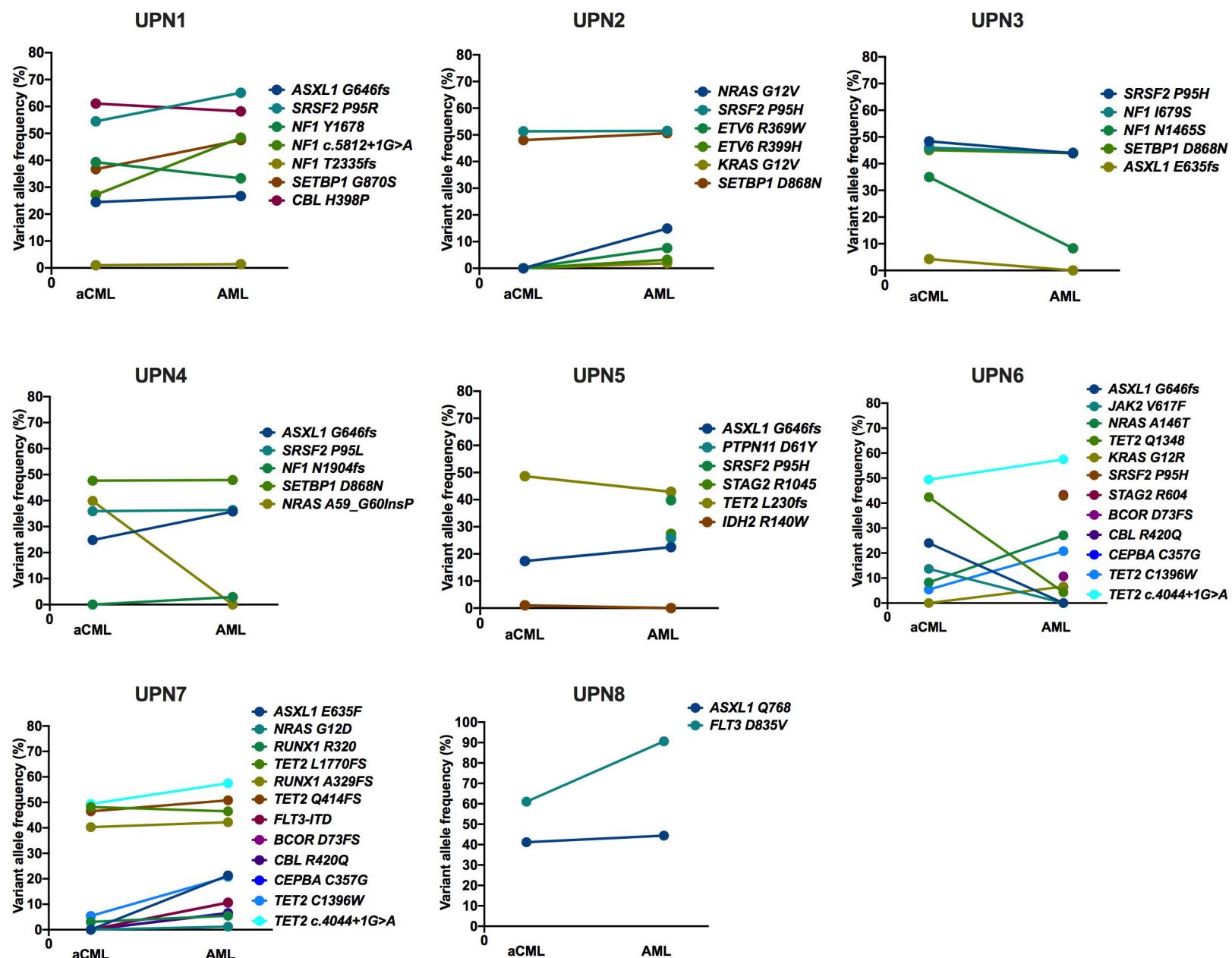
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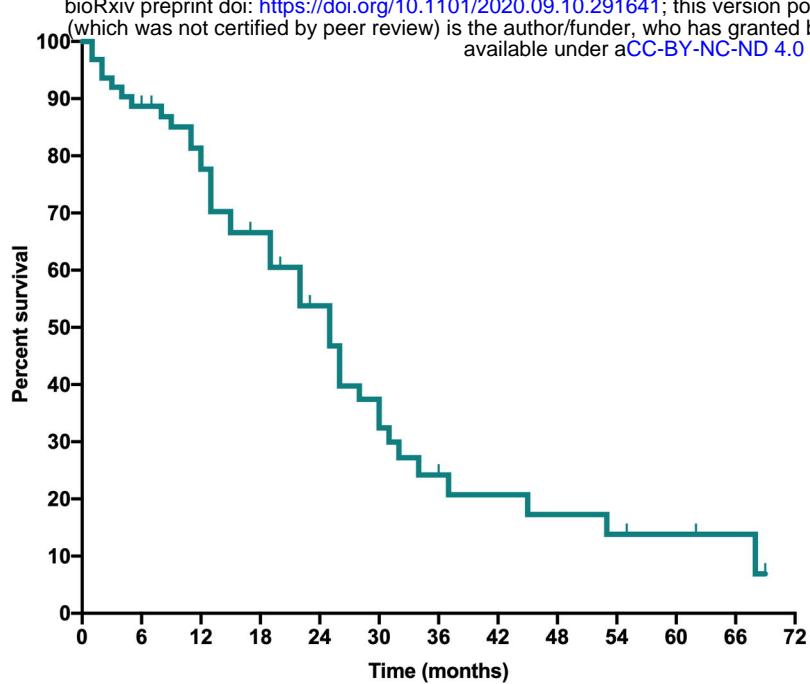
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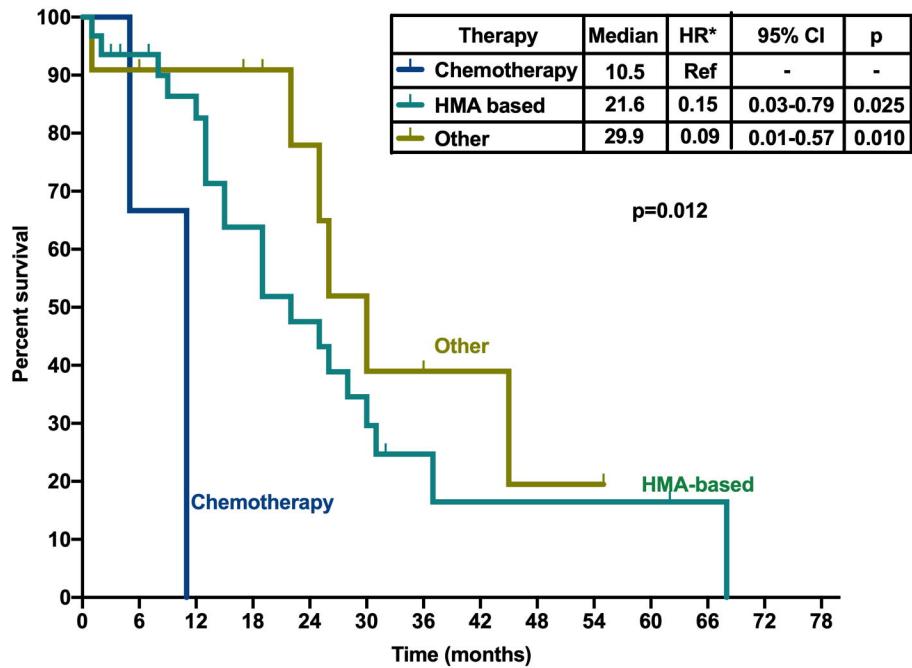
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B.



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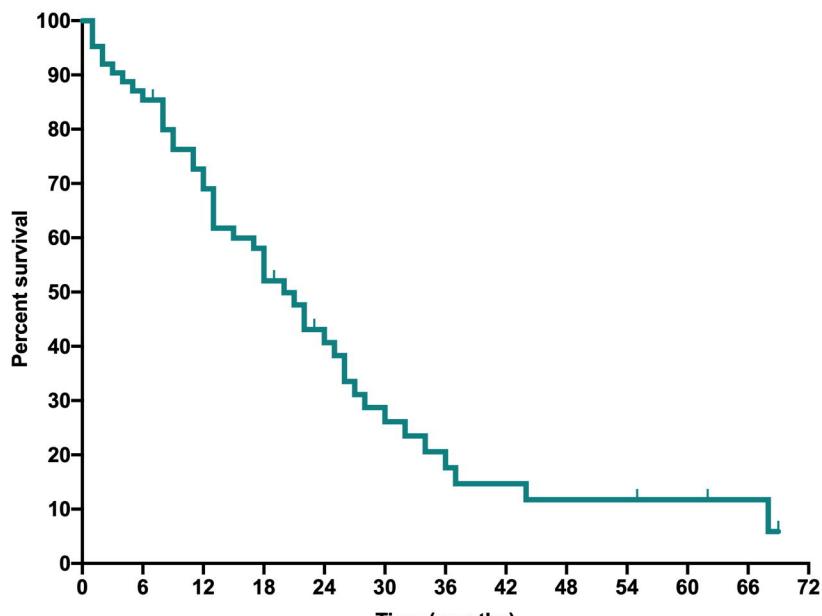


FIGURE 3

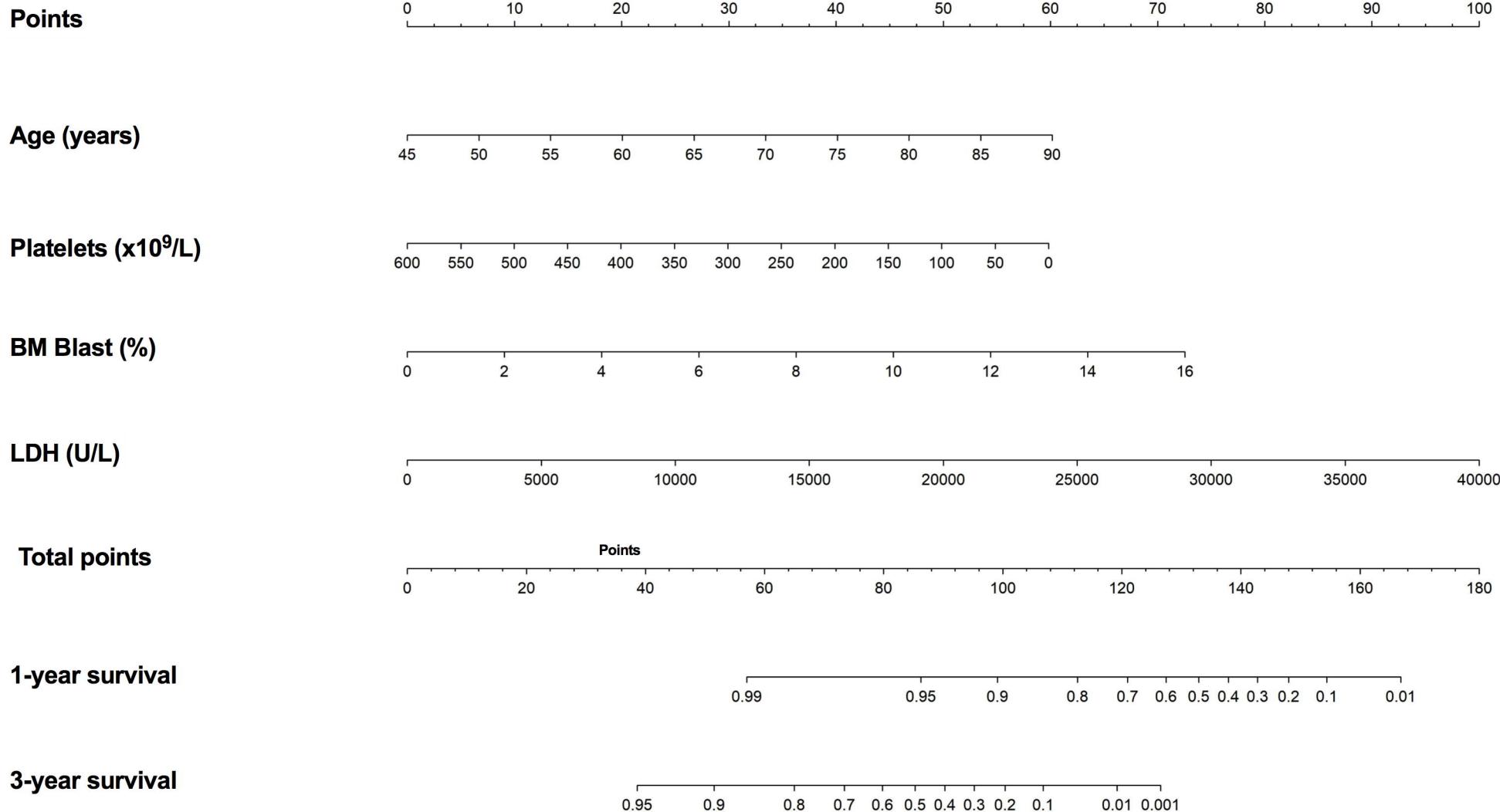


FIGURE 4