

1 **Title:** Mass cytometry analysis of the NK cell receptor-ligand repertoire reveals unique
2 differences between dengue-infected children and adults

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4 **Running title:** NK cell receptor-ligand repertoire of acute dengue patients

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47 **Abstract:**

48 Dengue virus (DENV) is a significant cause of morbidity in many regions of the world,
49 with children at the greatest risk of developing severe dengue. Natural killer (NK) cells,
50 characterized by their ability to rapidly recognize and kill virally infected cells, are activated
51 during acute DENV infection. However, their role in viral clearance versus pathogenesis has not
52 been fully elucidated. Our goal was to profile the NK cell receptor-ligand repertoire to provide
53 further insight into the function of NK cells during pediatric and adult DENV infection. We used
54 mass cytometry (CyTOF) to phenotype isolated NK cells and peripheral blood mononuclear cells
55 (PBMCs) from a cohort of DENV-infected children and adults. Using unsupervised clustering,
56 we found that pediatric DENV infection leads to a decrease in total NK cell frequency with a
57 reduction in the percentage of $CD56^{\text{dim}}CD38^{\text{bright}}$ NK cells and an increase in the percentage of
58 $CD56^{\text{dim}}\text{perforin}^{\text{bright}}$ NK cells. No such changes were observed in adults. Next, we identified
59 markers predictive of DENV infection using a differential state test. In adults, NK cell
60 expression of activation markers, including CD69, perforin, and Fas-L, and myeloid cell
61 expression of activating NK cell ligands, namely Fas, were predictive of infection. In contrast,
62 NK cell expression of the maturation marker CD57 and increased myeloid cell expression of
63 inhibitory ligands, such as HLA class I molecules, were predictive of pediatric DENV infection.
64 These findings suggest that acute pediatric DENV infection may result in diminished NK cell
65 activation, which could contribute to enhanced pathogenesis and disease severity.

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70 **Introduction:**

71 Dengue virus (DENV), a flavivirus with four serotypes (DENV1-4), is the most prevalent
72 arthropod-borne virus in the world. Infection begins when an individual is bitten by a DENV-
73 infected *Aedes* mosquito. After an incubation period of four to ten days, a majority of DENV-
74 infected individuals will develop an asymptomatic infection or mild symptoms associated with
75 dengue fever such as fever, headache, vomiting, myalgia, and rash. Generally, these symptoms
76 persist for three to seven days before patients enter into defervescence. However, upon
77 defervescence a small percentage of patients develop severe dengue characterized by severe
78 plasma leakage, hemorrhage, and/or organ impairment (1).

79 DENV infection presents differently in children and adults. Vomiting, skin rash,
80 abdominal pain, and anorexia are commonly observed in children while myalgia, nausea, retro-
81 orbital pain, arthralgia, headache, and leukopenia are symptoms typical of adult DENV infection
82 (2–5). Interestingly, children under the age of 16 are not only more likely to develop
83 symptomatic dengue; they are also more likely to develop severe dengue and succumb to the
84 infection (2, 6–10). There are several potential reasons as to why this is the case. The increase in
85 plasma leakage observed in DENV-infected infants and children could be explained by higher
86 capillary fragility (11). Additionally, antibody-dependent enhancement (ADE) caused by waning
87 maternal antibodies or secondary DENV infection may contribute to increased disease severity
88 (2, 12–14). While increased capillary fragility and ADE could both be contributing factors,
89 increased risk of severe dengue in children compared to adults may also be due to differences in
90 the immune response.

91 The evolution of the immune system with aging, as well as its implications for antiviral
92 immunity have been well studied (15, 16). Broadly, people are born with an immature immune

93 system that, with age, matures and develops immunological memory to previously encountered
94 viruses. Traditionally, immune experience is strictly thought to shape the B and T cell repertoire.
95 However, a previous study has demonstrated that immune experience acquired throughout life
96 results in an increase in the diversity of natural killer (NK) cells (17), an innate immune cell
97 subset which acts as one of the first responders to viral infection. Furthermore, numerous studies
98 in the past decade have also revealed the ability of NK cells to develop both antigen-dependent
99 and antigen-independent immunological memory (18).

100 NK cells kill infected target cells via three mechanisms: degranulation with release of
101 cytotoxic mediators, receptor-mediated apoptosis, and antibody-dependent cellular cytotoxicity
102 (ADCC). NK cells are activated to kill or secrete cytokines based on activating and inhibitory
103 signals received from germline-encoded receptors binding to their cognate ligands on potential
104 target cells. While NK cells are known to be activated during DENV infection, particularly
105 during the acute phase (19–23), it is unclear which NK cell subsets are actually responding.
106 Some putative receptor-ligand interactions that may trigger an anti-DENV NK cell response,
107 such as NKp44/E protein, KIR2DS2/NS3-HLA-C, and others have been reported (24–26). We
108 and others have also shown that upregulation of HLA class I molecules by DENV-infected cells
109 suppresses the NK cell response (27–29). Importantly, prior work investigating the role of NK
110 cells during *in vivo* DENV infection has been limited to examining either pediatric or adult
111 patients, but never both in parallel (20–23, 30).

112 Our goal was to determine whether NK cells in children and adults respond differently to
113 acute DENV infection. Using a cohort of pediatric and adult DENV patients from Panama, a
114 dengue-endemic country, we profiled the expression of NK cell receptors and their ligands by
115 mass cytometry (CyTOF). We found that acute DENV infection in children leads to a decrease in

116 NK cell frequency, shifts in the composition of the NK cell compartment, as well as NK cell
117 maturation marked by increased CD57 expression. No changes in NK cell frequency occurred in
118 adults. However, DENV infection did result in increased expression of NK cell activation and
119 functional markers, CD69, perforin, and Fas-L. Finally, analysis of myeloid cell subsets
120 identified by unsupervised clustering revealed that DENV infection leads to a more dramatic
121 departure from baseline phenotype in children, with greater induction of ligands for inhibitory
122 NK cell receptors than observed in adults. Overall, this work suggests that pediatric DENV
123 infection may result in a more suppressed NK cell response compared to adult DENV infection.

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140 **Materials and Methods:**

141 **DENV cohort and ethical statement**

142 Adult and pediatric patients experiencing symptoms of acute DENV infection for five days or
143 less were enrolled at public health institutions in Panama City and surrounding suburban areas in
144 Panama from 2013 to 2015. Healthy control samples were collected from volunteers at Gorgas
145 Memorial Institute of Health Studies (ICGES). Patients were considered positive for dengue
146 infection if they had a positive RT-PCR result. Suspected DENV patients negative for DENV,
147 CHIKV, ZIKV were considered undifferentiated febrile patients. All dengue patients were
148 infected with DENV-2, with the exception of one adult patient who was infected with DENV-1.
149 The Institutional Review Board (IRB) of the Hospital del Niño (CBIHN-M-0634) approved this
150 study protocol. Committees of ICGES, CSS, Santo Tomas Hospital, and Stanford University
151 confirmed the protocol.

152 **PBMC sample processing, storage, and thawing**

153 PBMCs were collected using Ficoll-Paque. Following isolation, PBMCs were stored at -80°C for
154 24-72 hours in freezing media (90% FBS, 10% DMSO) before being transferred to liquid
155 nitrogen. Prior to use, PBMCs were thawed in complete media (RPMI-1640, 10% FBS, 1% L-
156 glutamine, 1% penicillin/streptomycin), centrifuged, and counted using a TC20TM automated cell
157 counter (Bio-Rad). One million PBMCs from each donor were set aside and kept on ice for
158 ligand staining. NK cells were isolated from the remaining PBMCs by negative selection using a
159 human NK Cell Isolation Kit (Miltenyi). Following NK cell isolation, NK cells were centrifuged
160 and counted. NK cell isolation was not performed if a donor had fewer than 2 million viable
161 PBMCs.

162 **Mass cytometry staining, data acquisition, and processing**

163 Cells were stained for mass cytometry as previously described (29). Briefly, in-house conjugated
164 antibodies were made using Maxpar[®] X8 Antibody Labeling Kits (Fluidigm), while pre-
165 conjugated antibodies were purchased from Fluidigm and Thermo Fisher Scientific. PBMCs or
166 isolated NK cells were stained using the viability marker cisplatin (Enzo Life Sciences) and
167 barcoded using a two-of-four barcoding scheme. Barcoded samples were pooled and stained with
168 surface antibody cocktails before fixation with 2% paraformaldehyde and permeabilization
169 (eBioscience Permeabilization Buffer). Samples were then stained with an intracellular panel and
170 incubated in iridium-191/193 intercalator (DVS Sciences) for up to a week. Before the analysis
171 by mass cytometry, samples were washed and diluted in EQ Four Element Calibration Beads.
172 FCS files were normalized, and calibration beads were removed using the ParkerICI Premessa
173 package. Normalized data were then de-barcoded using the same Premessa package. FlowJo[®]
174 10.2 was used to gate on cell subsets of interest. Samples with less than 50% viability by
175 TC20TM automated cell counter were excluded from subsequent analysis.

176 **CyTOF data analysis**

177 The open source statistical software R (<https://www.r-project.org/>, version 3.6.1) (45) was used
178 to perform the CyTOF data analysis. To account for heteroskedasticity, the signal intensities
179 were transformed using the hyperbolic sine transformation (cofactor equals to 5) prior to any
180 downstream analysis.

181 *Clustering*

182 The package *CATALYST* (version 1.10.1) (46) was used to perform the unsupervised clustering.
183 We used 14 lineage markers (refer to **Figure 1A** for details) for the PBMCs and 35 markers
184 (refer to **Figure 2A** for details) for the isolated NK cells. The default parameters of the clustering

185 function were used. Briefly, this clustering method combines two algorithms: *FlowSOM* (47)
186 which clusters the data into 100 high-resolution clusters and *ConsensusClusterPlus* (48) which
187 groups these high-resolution clusters into metaclusters. To determine the optimal number of
188 metaclusters, we used the delta area plot provided by the package at the end of the clustering step
189 (8 metaclusters for the PBMCs; 7 metaclusters for the isolated NK cells).

190 *UMAP visualizations*

191 We used the *uwot* package (version 0.1.5 available on CRAN) (49), which implements the
192 Uniform Manifold Approximation and Projection (UMAP) algorithm (46). Using the same
193 markers previously used for the clustering, we applied this dimensionality reduction method with
194 the following parameters: 0.1 as the minimum distance; 20 as the number of nearest neighbors.

195 *Differential abundance tests*

196 Differential abundance tests were performed using the *diffcyt* package (version 1.6.1) (50) to
197 identify differences in the frequencies of the cell clusters. We used the *diffcyt-DA-voom* function
198 with the default parameters. Briefly, this method transforms the cluster cell counts to stabilize the
199 mean/variance relationship with the *voom* method and then fits one Linear Model (LM) for each
200 cluster. Each differential abundance test was performed on data which were filtered to the
201 comparison of interest (adult or pediatric population; healthy or DENV-patients). The
202 corresponding design matrix and contrast matrix were generated for each comparison. The
203 reported p-values were adjusted by the False Discovery Rate (FDR) approach.

204 *Differential state tests*

205 To identify which markers were predictive of a specific state (for instance, healthy or DENV),
206 we used the *CytoGLMM* package available on Github (51, 52). The method for unpaired samples
207 implemented in this package utilizes a generalized linear model with bootstrap resampling to

208 estimate the donor effect. The results of the model are the log-odds that a given marker is
209 predictive of a specific state with a 95% confidence interval. The p-values are computed using
210 Efron and Tibshirani 1993 methodology (53) and corrected for multiple testing by Benjamini-
211 Hochberg method. 35 markers were considered for the differential state tests within the isolated
212 NK cells (refer to **Figure 3** for details). 27 markers were considered for the differential state tests
213 within the myeloid clusters of the PBMCs (refer to **Figure 4** for details). The following
214 parameters were used: 2000 bootstraps, no subsampling was performed, and all samples were
215 used (i.e. no threshold on the minimum number of cells per sample).

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232 **Results**

233 **Effects of DENV infection on immune cell subsets**

234 Given the differences in disease course between DENV-infected children and adults, as
235 well as the impacts of age on the antiviral immune response, we evaluated whether there were
236 shifts in the abundances of immune cell subsets in Panamanian adult and pediatric DENV
237 patients during the acute phase of infection. PBMCs were isolated from nine DENV⁺ adults, 31
238 healthy adults, 19 DENV⁺ children, and 22 healthy children (**Table I**). All DENV cases were
239 DENV2 cases, except for one adult DENV1 case. Samples were stained for CyTOF
240 (**Supplementary Table I**) and gated down to live cells (**Supplementary Figure 1**).
241 Unsupervised clustering of live cells identified eight canonical immune cell subsets: CD4⁺ T
242 cells (cluster 1, 38.99%), CD8⁺ T cells (cluster 2, 29.69%), CD19⁺CD20⁺ B cells (cluster 3,
243 4.35%), NK cells (cluster 4, 12.59%), CD14⁺CD16⁻ myeloid cells (cluster 5, 9.16%),
244 CD19⁺CD20⁻ B cells (cluster 6, 1.56%), CD14⁻CD16⁺ myeloid cells (cluster 7, 2.02%), and
245 CD141⁺ myeloid cells (cluster 8, 1.64%) (**Figure 1A**). A differential abundance test revealed that
246 DENV infection in both children and adults leads to a significant increase in the frequencies of
247 CD19⁺CD20⁻ B cells and CD14⁻CD16⁺ myeloid cells as well as a decrease in CD8⁺ T cell
248 frequency compared to healthy controls (**Figure 1B and 1C**). Unlike adults, children also
249 demonstrated decreases in the frequencies of CD141⁺ myeloid cells and NK cells, though there
250 was heterogeneity between individuals (**Figure 1C**). These data indicate that, in general, acute
251 DENV infection has a broader impact on immune cell subsets in children than adults.

252 Our cohort also included seven children presenting with an undifferentiated febrile illness
253 who were determined to be DENV⁻ by RT-PCR. To identify features associated with febrile

254 illness, we performed a differential abundance test comparing these undifferentiated febrile
255 patients to healthy children (**Supplementary Figure 2A**). Similar to our DENV⁺ versus healthy
256 children comparison, we observed significant increases in the frequencies of CD19⁺CD20⁻ B
257 cells and CD14⁻CD16⁺ myeloid cells in undifferentiated febrile patients compared to healthy
258 controls. An increase in CD14⁺CD16⁻ myeloid cell frequency and a decrease in CD4⁺ T cell
259 frequency was also observed. Notably, unlike DENV infection, undifferentiated febrile illness
260 had no impact on the frequency of NK cells when compared to healthy controls. To identify
261 features associated explicitly with dengue virus infection, we performed a differential abundance
262 test comparing DENV-infected children to undifferentiated febrile children. This revealed that
263 the frequency of CD14⁺CD16⁻ myeloid cells was significantly lower in children infected with
264 DENV (**Supplementary Figure 2B**). Therefore, while both DENV infection and
265 undifferentiated febrile illness uniquely impact certain immune cell subsets compared to healthy
266 children, only a decrease in CD14⁺CD16⁻ myeloid cell frequency differentiates DENV infection
267 from other febrile illnesses.

268 Given the importance of NK cells during the acute phase of viral infection, we were
269 intrigued by the decrease in NK cell frequency observed in pediatric DENV cases. Consequently,
270 we performed a deeper analysis of the NK cell compartment.

271 **Identification of NK cell subsets and the impact of DENV infection on their frequencies**

272 To identify NK cell subsets responding to DENV infection, we performed unsupervised
273 clustering of purified NK cells. NK cells were isolated from whole PBMCs and stained with an
274 NK cell-focused CyTOF panel (**Supplementary Table II**). Negative gating was performed
275 before downstream analysis to remove any residual, non-NK cells (**Supplementary Figure 3**).
276 Our analysis identified the canonical CD56^{bright} and CD56^{dim} NK cell subsets (**Figure 2A**). While

277 CD56^{bright} NK cells clustered together (cluster 3, 5.87%), CD56^{dim} NK cells were divided
278 amongst four clusters. The largest CD56^{dim} clusters (clusters 1 and 5) consisted of
279 CD56^{dim}CD57⁺ (40.59%) and CD56^{dim}CD38^{bright} (35.67%) NK cells, respectively. The less
280 abundant CD56^{dim} subsets corresponding to clusters 2 (13.09%) and 4 (3.47%) consisted of
281 CD56^{dim}perforin^{bright} and CD56^{dim}Fas-L^{bright} NK cells, respectively. Two clusters, clusters 6 and
282 7, each made up less than 1% of the total NK cells. Of the 35 NK cell markers examined, cluster
283 6 only expressed one: CD16, making it likely that this cluster represents CD14^{dim}CD16⁺CD33^{dim}
284 monocytes that were not removed by purification or negative gating (**Supplementary Figure 3**).
285 Cells in cluster 7 express the NK cell markers 2B4, Fas-L, FcR \square , Syk, Ki-67, and CD16.
286 Therefore, it is likely that this cluster represents a small population of actively proliferating NK
287 cells.

288 Next, we performed a differential abundance test to determine whether the frequencies of
289 the identified NK cell subsets change during acute DENV infection. Interestingly, there were no
290 significant differences in the composition of the NK cell compartment between DENV-infected
291 adults and healthy adult controls (**Figure 2B**). However, in children, DENV infection resulted in
292 a significant decrease in the frequency of CD56^{dim}CD38^{bright} NK cells and an increase in the
293 frequency of CD56^{dim}perforin^{bright} NK cells (**Figure 2C**). There was also an increase in the
294 abundance of the second smallest cluster (cluster 6), which, as previously discussed, is likely
295 made up of CD14^{dim}CD16⁺CD33^{dim} monocytes.

296 **Effects of DENV infection on NK cell phenotype**

297 After observing significant shifts in the pediatric NK cell compartment upon DENV
298 infection, we performed a differential state test to compare the phenotype of total NK cells in
299 DENV-infected adults and children to their respective healthy controls (**Figure 3A and 3B**).

300 Interestingly, three markers of NK cell activation, CD69, Fas-L, and Ki-67, were associated with
301 acute dengue in both adult and pediatric patients. These results are consistent with what has been
302 previously published (19, 20, 22, 23). However, the extent to which NK cells upregulate these
303 proteins varies between adults and children (**Figure 3C**). There is also some heterogeneity within
304 each of the DENV-infected groups.

305 We then visualized our NK cell CyTOF data using volcano plots, allowing us to compare
306 each marker's mean signal intensity (MSI), fold change (DENV/healthy), and how predictive it
307 was of either state (DENV⁺ or healthy). As expected, DENV-infected adults had a greater than
308 10-fold increase in CD69 expression (**Figure 3D**). Adult DENV infection was also characterized
309 by robust expression of perforin, as well as a modest increase in expression of death ligand Fas-
310 L and activating receptor NKG2D. CD69, perforin, Fas-L, and NKG2D were all predictive of
311 adult DENV-infection. These data suggest that adult NK cells are activated upon DENV
312 infection and likely capable of responding to DENV-infected cells.

313 Interestingly, DENV infection in children seemed to result in a mature NK cell phenotype
314 (**Figure 3E**). Expression of the maturation marker CD57 increased nearly 2-fold in DENV-
315 infected individuals and was significantly predictive of infection, while expression of the
316 immaturity marker NKG2A was reduced. CD69 was also upregulated in DENV-infected
317 children, albeit to a lesser extent than in DENV-infected adults (2.8-fold vs 10-fold). Other
318 proteins whose expression increased upon DENV infection in children were chemokine receptor
319 CXCR6 and proliferation marker Ki-67. The expression of death receptor, PD-1, was also
320 slightly increased. CD57, CD69, CXCR6, Ki-67, and PD-1 were all significantly predictive of
321 pediatric DENV infection. Together, these data suggest that DENV infection may drive
322 maturation and modest activation of NK cells in children.

323 **Myeloid cell expression of ligands for NK cell receptors**

324 Given our finding that NK cells are activated to some extent during acute DENV
325 infection irrespective of patient age, and our identification of a CD56^{dim}perforin^{high} NK cell
326 subset, we next sought to identify ligands for NK cell receptors that could contribute to their
327 activation. As myeloid cells are the main targets of DENV infection (31), we focused on the
328 three myeloid subsets identified by our unsupervised clustering: CD14⁺CD16⁻, CD14⁻CD16⁺,
329 and CD141⁺ myeloid cells. In the adult CD14⁺CD16⁻ subset there were ten markers whose
330 expression was significantly predictive of either DENV infection or healthy controls (**Figure**
331 **4A**). The markers that were upregulated during DENV infection included death receptor Fas,
332 inhibitory CD161 ligand LLT-1, and HLA-DR. In contrast, there were 15 markers whose
333 expression was significantly altered in children (**Figure 4B**). Markers that were upregulated
334 during pediatric DENV infection included LLT-1 and death receptor 4/5 (DR4/DR5).

335 In the CD14⁻CD16⁺ subset there were six markers whose expression was significantly
336 altered in adults (**Figure 4C**). The markers whose expression was upregulated during DENV
337 infection included Fas and HLA-E, whose role in suppressing the NK cell response to DENV-
338 infected cells is currently unclear (29). In the pediatric CD14⁻CD16⁺ myeloid cell subset there
339 were 16 significant markers (**Figure 4D**). Those whose expression was higher during DENV
340 infection included the well-known NK cell inhibitory ligands, HLA class I molecules, as well as
341 known activating NKp30 and NKG2D ligands, B7-H6 and ULBP-1,2,5,6 respectively.

342 Finally, in the CD141⁺ myeloid subset there were seven significant markers in adults
343 (**Figure 4E**). Those that were upregulated during DENV infection included Fas as well as
344 MICA/MICB, ligands for the activating NK cell receptor NKG2D. There were 16 significant
345 markers in children (**Figure 4F**). Inhibitory ligands DR4/DR5, LLT-1, and HLA class I

346 molecules as well as activating ligands Fas and ULBP-1,2,5,6 along with the costimulatory
347 marker CD86 were among those upregulated during pediatric DENV infection. These findings
348 suggest that pediatric DENV infection leads to more significant changes in myeloid cell
349 phenotype compared to adult infection. The phenotype of adult myeloid cells likely promotes
350 NK cell activation, while the phenotype of pediatric myeloid cells likely dampens NK cell
351 activation.

352 Based on the work done by Costa et al. demonstrating the importance of DNAM-1, 2B4,
353 LFA-1, and CD2 in mediating the NK cell response to DENV-infected cells, we also looked at
354 the impact of DENV infection on expression of their respective ligands (26). We found no
355 consistent pattern in DNAM-1 ligands, Nectin-2 and CD155 (PVR), being associated with either
356 state: DENV⁺ or healthy. The same was true for 2B4 and CD2 ligands, CD48 and LFA-3
357 respectively. Expression of ICAM-1, the LFA-1 ligand, was never significantly predictive of
358 either state.

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374 **Discussion:**

375 While severe dengue has historically been considered a children's disease, there has been
376 a recent increase in the average age of reported dengue cases, particularly in Southeast Asia (7,
377 32, 33). Considering that the geographical range of DENV is expected to expand in the coming
378 years (34), increasing the portion of the population at risk of infection, it is important to
379 determine whether there are age-related differences in how the immune system responds to
380 DENV infection. Previous studies investigating the immune response to DENV infection have
381 reported an increase in activated NK cells (19, 20, 22, 23, 30), suggesting a role for this immune
382 cell subset. However, all of these studies solely focused on either pediatric or adult DENV
383 infection, not both. Here we evaluated the NK cell receptor-ligand repertoire of pediatric and
384 adult DENV patients by CyTOF. We show that, compared to adults, children have a lower
385 frequency of NK cells and significant changes in the composition of their NK cell compartment.
386 While DENV infection leads to a markedly activated NK cell phenotype in adults, it results in
387 NK cell maturation and modest activation in children. Additionally, acute pediatric DENV
388 infection leads to significant myeloid cell upregulation of inhibitory NK cell ligands, which is
389 largely absent in adult DENV patients. Together, these findings suggest that compared to adults,
390 DENV infection in children favors a more suppressed NK cell response.

391 Increases in monocyte, activated T cell, NK cell, and plasmablast frequencies as well as
392 decreases in the frequencies of total CD3⁺ and CD4⁺ T cells during acute DENV have been
393 previously reported (30, 35, 36). We observed similar results in our cohort with DENV-infected
394 children and adults experiencing a decrease in the frequency of CD8⁺ T cells and increases in the
395 frequencies of CD19⁺CD20⁻ B cells and CD14⁻CD16⁺ myeloid cells. In addition, we observed a
396 decrease in the frequencies of CD141⁺ myeloid cells and NK cells during acute pediatric DENV
397 infection. These changes in the CD141⁺ myeloid cell and NK cell compartments were not
398 observed when children presenting with an undifferentiated febrile illness were compared to
399 healthy children. One subset that is notably absent from our clustering analysis is CD14⁺CD16⁺
400 myeloid cells. Studies have found that the frequency of CD14⁺CD16⁺ monocytes increases
401 during DENV infection and may stimulate differentiation of plasmablasts (37, 38). Our inability
402 to detect this subset could be due to its low frequency compared to the other two monocyte
403 subsets (39) or the fact that expansion of CD14⁺CD16⁺ monocytes typically occurs within the
404 first two days of infection before dramatically dropping off (38).

405 Unsupervised clustering of isolated NK cells identified six NK cell subsets. Of the NK
406 cell subsets identified, one expressed high levels of the functional marker perforin. In addition to
407 perforin, the CD56^{dim}perforin^{bright} subset expressed NKp30, Siglec-7, CD94, 2B4, CD2, FcR \square ,
408 CD38, Ki-67, DNAM-1, and NTB-A. CD38 and Ki-67 expression suggests that this is an
409 activated NK cell subset. This is further supported by the fact that these cells also express Siglec-
410 7, which has been reported as a marker of highly functional NK cells (40). Moreover, *in vitro*
411 studies have identified 2B4, CD2, and DNAM-1 as playing significant roles in mediating NK
412 cell interactions with DENV-infected monocyte-derived dendritic cells (mDCs) (26). Blocking
413 these proteins with antibodies resulted in decreased NK cell expression of CD69 as well as an

414 increase in viral replication. This suggests that CD56^{dim}perforin^{bright} NK cells are activated and
415 may be capable of killing DENV-infected cells. NKp30 and DNAM-1 potentially play a role in
416 mediating this activation. While the frequencies of the individual NK cell subsets are unchanged
417 during adult DENV infection, the frequency of CD56^{dim}perforin^{bright} NK cells increases in
418 DENV-infected children suggesting a shift towards a degranulation response. Degranulation is
419 an effective mechanism for killing virally infected cells. However, it is possible that in children
420 this response is not specific enough, contributing to pathogenesis rather than viral clearance.

421 Using adult cohorts, Azeredo et al. and Gandini et al. have shown an association between
422 NK cell activation and mild dengue (20, 41). Conversely, Green et al. observed a higher
423 frequency of CD69⁺ NK cells in children who developed dengue hemorrhagic fever (DHF)
424 compared to children with mild disease (19). While our cohort does not contain any patients
425 classified as having DHF, our data supports this idea of NK cells responding differently to
426 DENV infection in children versus adults. We found that expression of NK cell activation and
427 functional markers NKG2D, Syk, Fas-L, perforin, and CD69 was higher in adult dengue patients
428 compared to healthy controls and that expression of all these makers was predictive of adult
429 DENV infection. While CD69 expression was increased in DENV-infected children and
430 predictive of infection, the NK cell phenotype was also characterized by CD57 expression.
431 Importantly, NKG2A expression was higher in healthy children and significantly predictive of
432 that state. CD57 expression is induced upon NK cell stimulation and increases with age while
433 NKG2A expression decreases with age (17, 42, 43). CD57 also defines a subset of NK cells that
434 have a greater cytotoxic capacity and higher sensitivity to CD16 signaling (44). These data
435 suggest that DENV infection induces NK cell maturation in children. In all likelihood, the adults
436 in our cohort have greater immune experience than the children. Consequently, their NK cells are

437 already mature and can mount a more rapid, functional response that may limit viral spread more
438 effectively.

439 Importantly, our unsupervised clustering analysis complements the differential state tests
440 we performed using a generalized linear model. Occasionally the results from these different
441 analyses appear contradictory. For example, perforin expression was upregulated during adult
442 DENV infection, however there was no corresponding increase in the frequency of the
443 CD56^{dim}perforin^{bright} NK cell subset. Similarly, expression of CD57 was upregulated during
444 pediatric DENV infection, however no increase in the frequency of the CD56^{dim}CD57⁺ NK cell
445 subset was observed. These results can be explained by the fact that perforin and CD57
446 expression is likely upregulated across multiple NK cell subsets during acute DENV infection.
447 Consequently, an increase in their expression can be predictive of infection without changing the
448 distribution of the NK cell subsets.

449 Adult DENV infection led to increased expression of Fas across all myeloid cell subsets.
450 This, along with the fact that adult NK cells upregulate Fas-L, suggests that adult NK cells may
451 kill DENV-infected myeloid cells via the Fas-Fas-L pathway. CD14⁺CD16⁻ and CD141⁺
452 myeloid cells in DENV-infected children upregulated DR4/DR5 alone or in combination with
453 Fas. Unfortunately, our NK cell CyTOF panel did not include the DR4/DR5 ligand, TNF-related
454 apoptosis inducing ligand (TRAIL), and additional PBMC samples are not available. Therefore,
455 we cannot determine whether this pathway is contributing to myeloid cell apoptosis in children.
456 A previous study has shown an increase in the percentage of TRAIL⁺ NK cells as well as an
457 increase in TRAIL expression during adult DENV infection (41), making it likely that TRAIL-
458 mediated apoptosis is occurring.

459 Strikingly, acute DENV infection in adults led to fewer phenotypic changes in myeloid
460 cells than in children. Of the markers whose expression increased during adult DENV infection,
461 many would facilitate NK cell targeting. Besides Fas, two of the myeloid subsets expressed
462 MICA/MICB and/or Nectin-2. MICA/MICB are ligands for the activating receptor NKG2D
463 whose expression on NK cells was increased during adult DENV infection and was predictive of
464 infection. Nectin-2 is a ligand for the activating receptor DNAM-1, which was expressed on the
465 CD56^{dim}perforin^{bright} NK cell subset. Many ligands for activating NK cell receptors were
466 similarly upregulated during pediatric DENV infection. These included DR4/DR5, Fas, Nectin-2,
467 as well as DNAM-1 ligand CD155 and NKG2D ligands ULBP-1,2,5,6. However, unlike what
468 was observed in the adults, there was also significant induction of LLT-1, a ligand for the
469 inhibitory receptor CD161, and HLA class I molecules, which are known to suppress the anti-
470 DENV NK cell response (27–29). Only CD14⁺CD16[−] adult myeloid cells demonstrated an
471 increase in LLT-1 expression. Together, these findings suggest that during pediatric DENV
472 infection, myeloid cells adopt a more NK cell-suppressive phenotype than adult myeloid cells.
473 This potentially facilitates DENV-infected myeloid cell evasion of the NK cell response in
474 children.

475 This study has limitations. The first is the small sample size of DENV-infected patients,
476 particularly in our NK cell analysis. While the numbers may be modest, this data provides a solid
477 foundation on which to design future studies comparing the adult and pediatric NK cell response
478 to DENV infection. Another limitation was the lack of functional assessments, which did not
479 allow us to directly evaluate whether adult NK cells are more responsive to DENV-infected cells
480 than pediatric NK cells. Unfortunately, we do not have sufficient samples to perform such
481 studies.

482 Overall, our results show that NK cells from pediatric and adult patients are uniquely
483 impacted by acute DENV infection. We discovered that the frequency of total NK cells
484 decreases in DENV-infected children, but not in DENV-infected adults. This decrease in
485 pediatric NK cell frequency is accompanied by an increase in the abundance of a
486 CD56^{dim}perforin^{bright} NK cell subset. During adult DENV infection, NK cells develop an
487 activated phenotype whereas the phenotype of pediatric NK cells is largely one of increased
488 maturation. Similarly, adult myeloid cells upregulate ligands for activating NK cell receptors that
489 may facilitate killing of DENV-infected cells by CD56^{dim}perforin^{bright} NK cells and the Fas-Fas-L
490 pathway. In contrast, myeloid cells from pediatric patients upregulate inhibitory ligands, which
491 may suppress the NK cell response. These findings need to be confirmed with a larger DENV
492 cohort that includes longitudinal samples. This will be critical to determining how the observed
493 differences in the anti-DENV immune response in children and adults change across the different
494 phases of infection. It would also be important to determine whether shifts in expression of
495 specific markers or the frequencies of certain immune cell subsets correlate with disease severity.
496 Such analyses may point to age-specific predictors of progression to severe DENV. Finally,
497 functional studies comparing the ability of NK cells derived from children and adults to respond
498 to DENV-infected cells are necessary to determine whether functional differences exist. Overall,
499 this study provides insight into the differences between the NK cell response to pediatric and
500 adult DENV infection which may have a bearing on disease severity.

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724 **Footnotes:**

725 **Author Contributions**

726 Conceptualization, JM, AF, DB, LJS, CB, SL-V; Methodology, JM, AF, DB, LS, RV, DE, AA,
727 OV, SH, SL-V; Formal Analysis, JM, AF, CB; Investigation, JM, DB, AF, CB, SL-V;
728 Resources, DB, CB, SL-V; Data Curation, JM, AF, CB; Writing – Original Draft Preparation,
729 JM, AF, CB; Supervision, SH, CB, SL-V; Project Administration, CB, SL-V; Funding
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747 **Figure legends:**

748 **Supplementary Figure 1:** Live PBMC gating scheme.

749 **Figure 1:** Acute DENV infection alters the frequencies of immune cell subsets. **(A)** UMAP
750 visualization of PBMCs from DENV⁺, undifferentiated febrile illness, and healthy control
751 pediatric and adult groups. The identity of each subset was determined based on expression of 14
752 lineage markers shown in the heat map. Each immune cell subset is color coded according to the
753 key on the right. The heat map shows the expression of each marker (value scaled from 0 to 1) in
754 each cluster. Clusters were hierarchically ordered based on similarity (dendrogram calculated
755 using Euclidean distance as a metric and average as a linkage). The percentages associated with
756 each cluster are the average of all pediatric and adult groups. **(B)** Results from differential
757 abundance tests comparing the frequencies of immune cell subsets in DENV-infected adults

758 (teal, n = 9) to healthy adults (pink, n = 31). **(C)** Results from a differential abundance test
759 comparing the frequencies of immune cell subsets in DENV-infected children (teal, n = 19) to
760 healthy children (pink, n = 22). Subsets whose frequencies were significantly different (adjusted
761 p-value < 0.05) between the two states are denoted by green boxes. The proportions of each
762 cluster in each sample are represented by the normalized frequencies. Grey boxes correspond to
763 under-representation and red boxes correspond to over-representation. The frequencies were
764 scaled using arcsine-square-root transformation and then z-score normalized in each cluster (**B**
765 **and C**).

766 **Supplementary Figure 2:** Undifferentiated febrile illness in pediatric patients uniquely affects
767 the frequencies of specific immune cell subsets. **(A)** Results from a differential abundance test
768 comparing the frequencies of immune cell subsets in children presenting with an undifferentiated
769 febrile illness (green, n = 7) to healthy children (pink, n = 22). **(B)** Results from a differential
770 abundance test comparing the frequencies of immune cell subsets in DENV-infected children
771 (teal, n = 19) and children presenting with an undifferentiated febrile illness (green, n = 7).
772 Subsets whose frequencies were significantly different (adjusted p-value < 0.05) between the two
773 states are denoted by green boxes. The proportions of each cluster in each sample are represented
774 by the normalized frequencies. Grey boxes correspond to under-representation and red boxes
775 correspond to over-representation. The frequencies were scaled using arcsine-square-root
776 transformation and then z-score normalized in each cluster (**A and B**).

777 **Supplementary Figure 3:** NK cell gating scheme.

778 **Figure 2:** Acute DENV infection changes the composition of the NK cell compartment in
779 children but not adults. **(A)** UMAP visualization of NK cells from DENV⁺ and healthy control
780 pediatric and adult groups. The identity of each NK cell subset was determined based on the

781 expression of 35 NK cell markers shown in the heat map. Each immune cell subset is color
782 coded according to the key to the right of the heat map. The heat map shows the expression of
783 each marker (value scaled from 0 to 1) in each cluster. Clusters were hierarchically ordered
784 based on similarity (dendrogram calculated using Euclidean distance as a metric and average as a
785 linkage). The percentages associated with each cluster are the average of all pediatric and adult
786 groups. **(B)** Results from a differential abundance test comparing the frequencies of NK cell
787 subsets in DENV-infected adults (purple, n = 5) to healthy adults (blue, n = 30). **(C)** Results from
788 a differential abundance test comparing the frequencies of immune cell subsets in DENV-
789 infected children (purple, n = 7) to healthy children (blue, n = 14). NK cell subsets whose
790 frequencies were significantly different (adjusted p-value < 0.05) between the two states are
791 denoted by green boxes. The proportions of each cluster in each sample are represented by the
792 normalized frequencies. Grey boxes correspond to under-representation and red boxes
793 correspond to over-representation. The frequencies were scaled using arcsine-square-root
794 transformation and then z-score normalized in each cluster **(B and C)**.

795 **Figure 3:** Acute DENV infection results in an activated NK cell phenotype in adults and NK cell
796 maturation in children. **(A)** A generalized linear model with bootstrap resampling was used to
797 identify markers on total NK cells that were predictive of adult DENV infection (right, n = 9)
798 and healthy adults (left, n = 31). Black bars represent the 95% confidence interval. **(B)** A
799 generalized linear model with bootstrap resampling was used to identify markers on total NK
800 cells that were predictive of pediatric DENV infection (right, n = 19) and healthy children (left, n
801 = 22). Markers with an adjusted p-value less than 0.05 are shown in black and markers with an
802 adjusted p-values greater than 0.05 are shown in grey **(A and B)**. **(C)** Box plots showing the
803 mean signal intensity (MSI) for the top three markers associated with DENV infection in both

804 adults and children. Healthy adults ($n = 30$) are shown in dark green, DENV⁺ adults ($n = 5$) are
805 shown in light green, healthy children ($n = 14$) are shown in dark purple, and DENV⁺ children (n
806 = 7) are shown in light purple. **(D)** Volcano plot for adult NK cells illustrating markers whose
807 adjusted p-values in **A** were less than 0.05 in black and markers whose adjusted p-values were
808 greater than 0.05 in grey. The horizontal dashed line marks the 0.05 p-value cutoff. The $-\log_{10}$ p-
809 value for each marker is shown on the y-axis. The DENV/healthy \log_2 fold change for each
810 marker is shown on the x-axis. The two vertical dashed lines provide a reference point for
811 markers whose expression is increased 2-fold. The size of each point corresponds to the mean
812 signal intensity (MSI) for that specific marker. **(E)** Same as in **D**, but for pediatric NK cells. The
813 MSIs are assigned according to the key at the right and they correspond to the raw
814 (untransformed) MSI. The reported p-values are the adjusted p-values generated by the
815 generalized linear model with bootstrap resampling **(D and E)**.

816 **Figure 4:** Pediatric DENV infection results in a dramatic shift in the phenotype of myeloid
817 subsets and increased expression of inhibitory ligands compared to adult DENV infection. A
818 generalized linear model with bootstrap resampling was used to identify markers on adult
819 CD14⁺CD16⁻ **(A)**, CD14⁻CD16⁺ **(C)**, and CD141⁺ **(E)** myeloid cells and pediatric CD14⁺CD16⁻
820 **(B)**, CD14⁻CD16⁺ **(D)**, and CD141⁺ **(F)** myeloid cells that were predictive of DENV infection
821 (right, $n = 9$ adults or 19 children) and healthy patients (left, $n = 31$ adults or 22 children).
822 Volcano plots accompany each generalized linear model. The horizontal dashed line marks the
823 0.05 p-value cutoff. The $-\log_{10}$ p-value for each marker is shown on the y-axis. The
824 DENV/healthy \log_2 fold change for each marker is shown on the x-axis. The two vertical dashed
825 lines provide a reference point for markers whose expression is increased 2-fold. The size of
826 each point corresponds to the mean signal intensity (MSI) for that specific marker. The MSI key

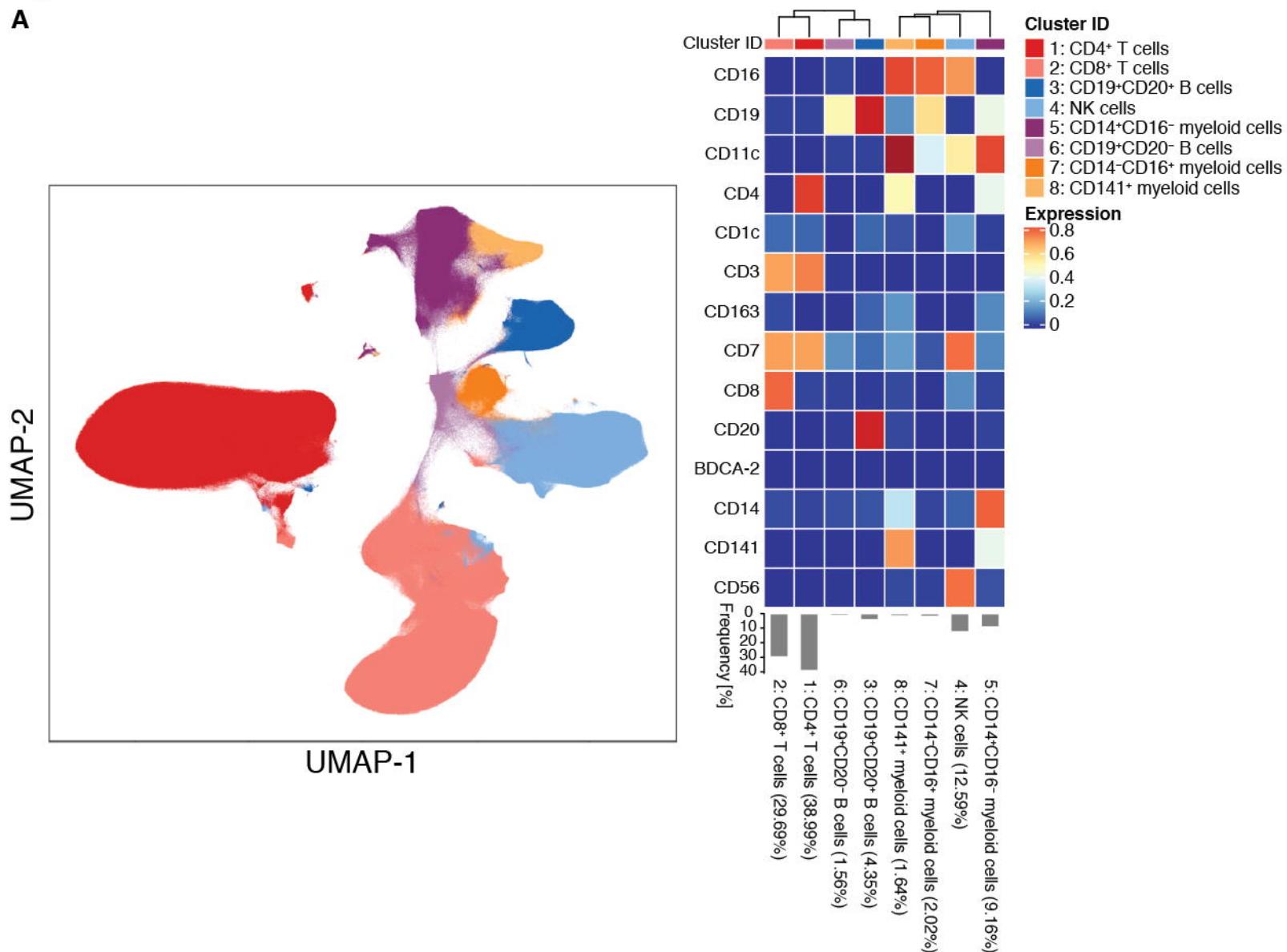
827 is the same for adult and pediatric myeloid cells within the same subset and they correspond to
828 the raw (untransformed) MSI. Both the generalized linear models and volcano plots illustrate
829 markers whose adjusted p-values were less than 0.05 in black and markers whose adjusted p-
830 values were greater than 0.05 in grey.

Characteristic	Adult		Pediatric	
	DENV ⁺ (n = 9)	Healthy (n = 31)	DENV ⁺ (n = 19)	Healthy (n = 22)
Age, y, median (range)	29 (21 - 57)	31 (16 - 57)	9 (2 - 13)	7 (2 - 15)
Females	3	19	8	8
Males	6	12	11	14
Days of symptoms, median	3	N/A	3	N/A

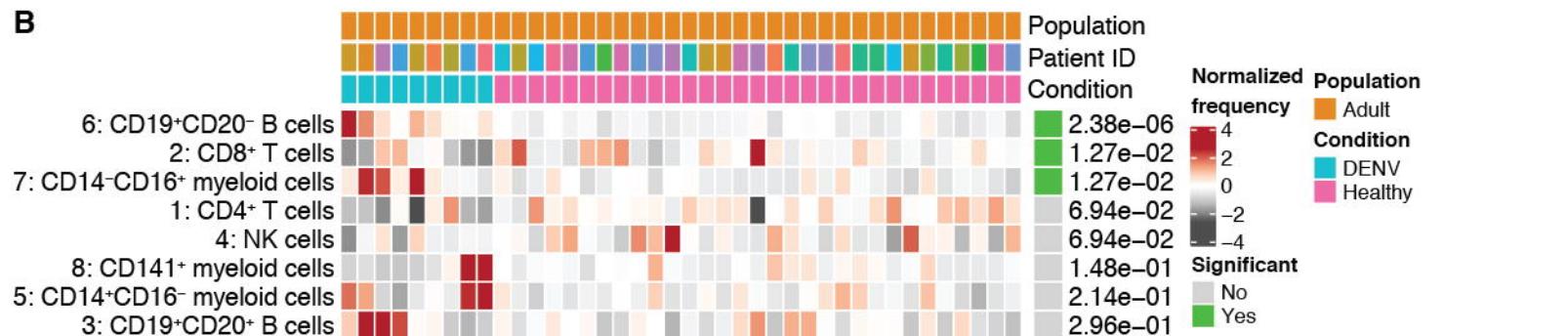
Table I: Panamanian DENV cohort demographics.

Figure 1

A



B



C

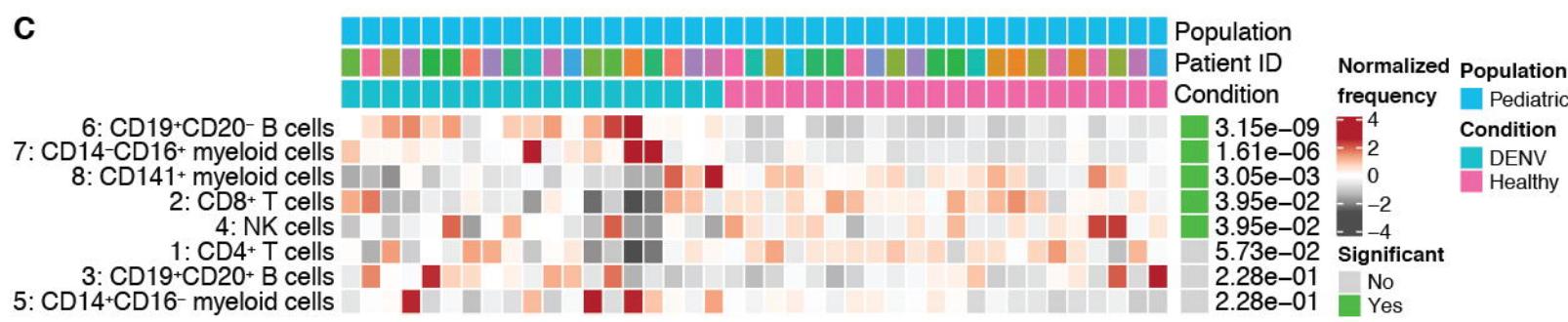


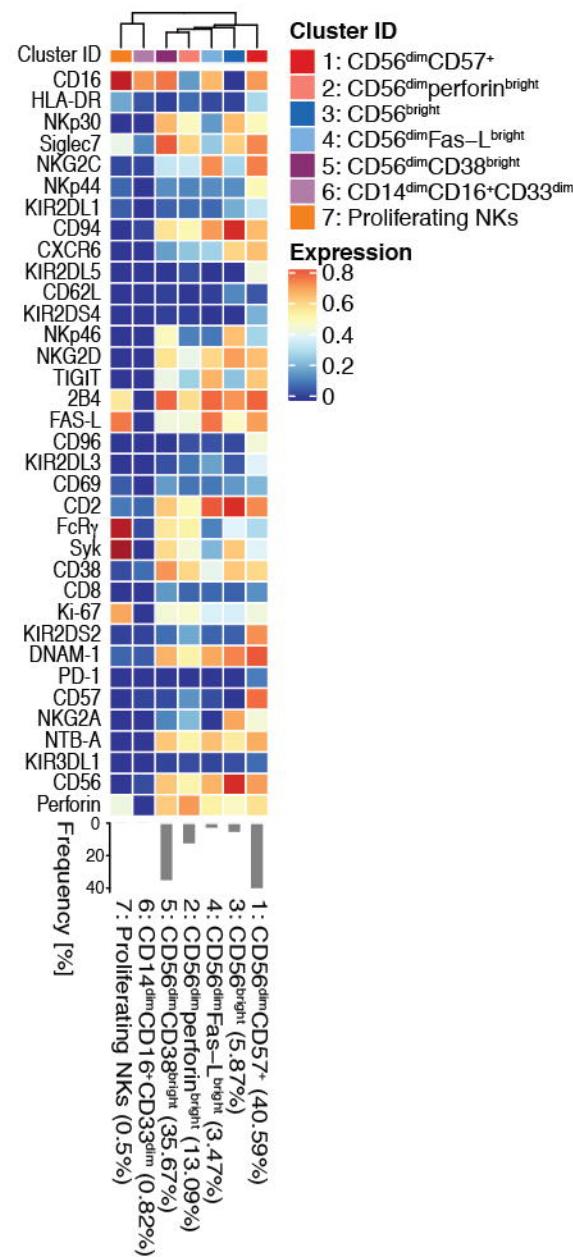
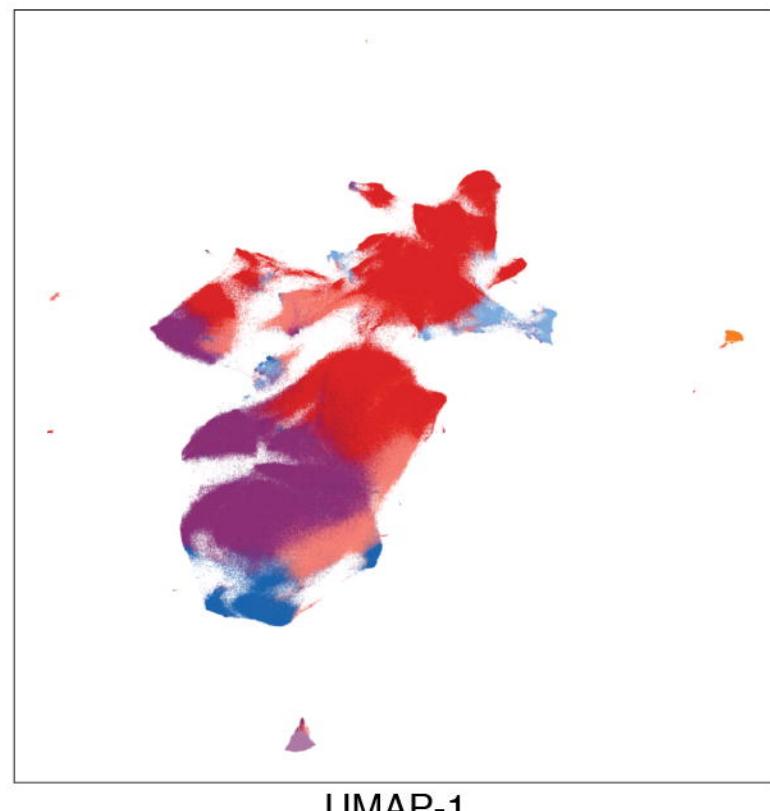
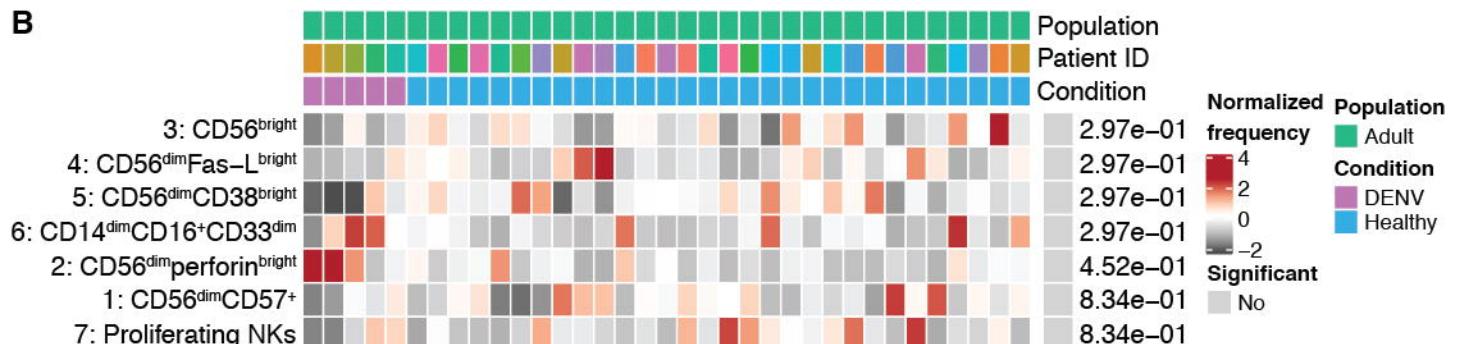
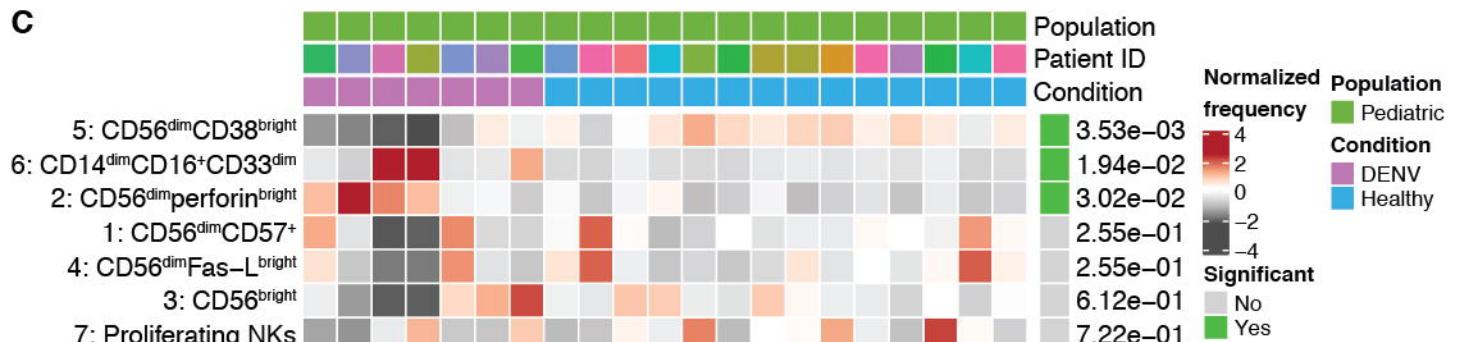
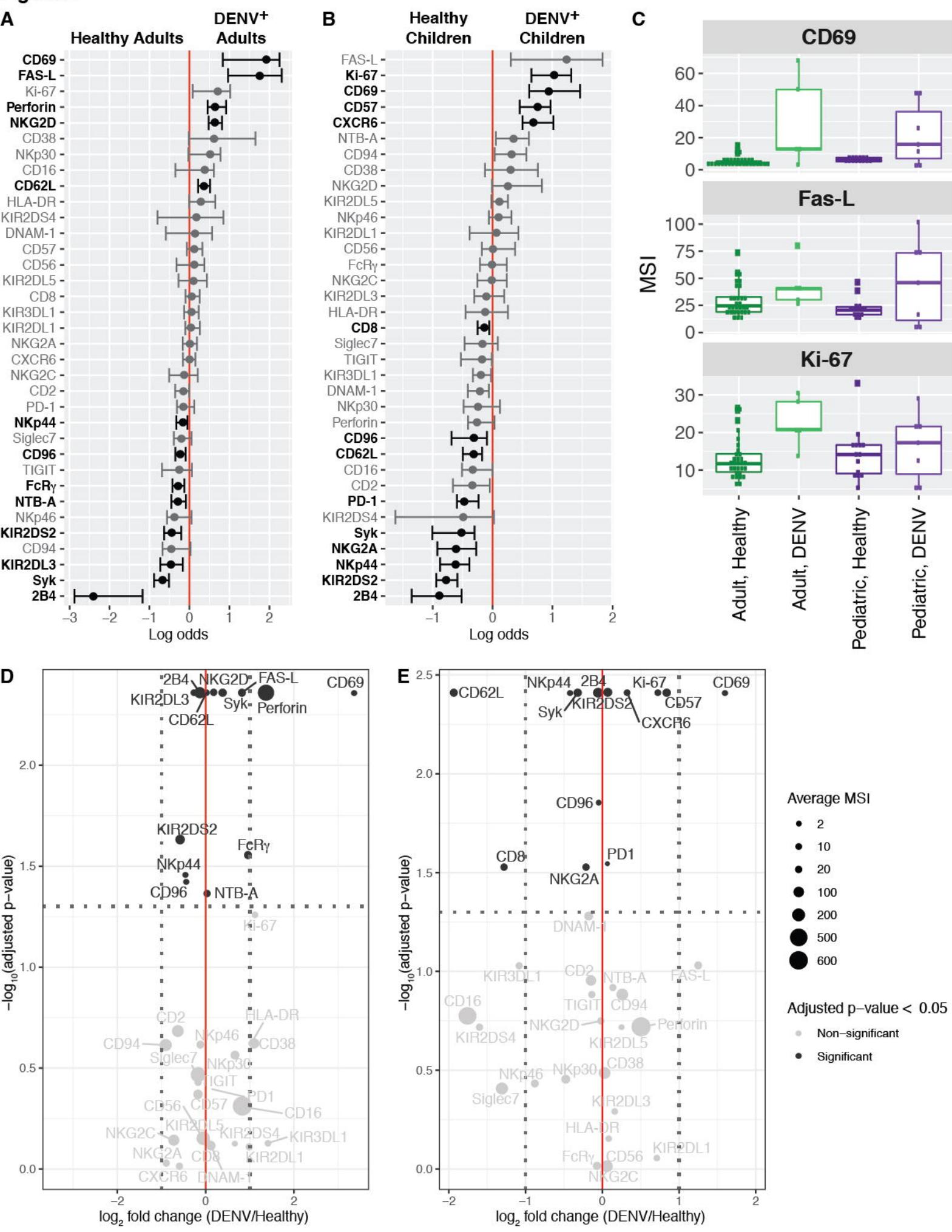
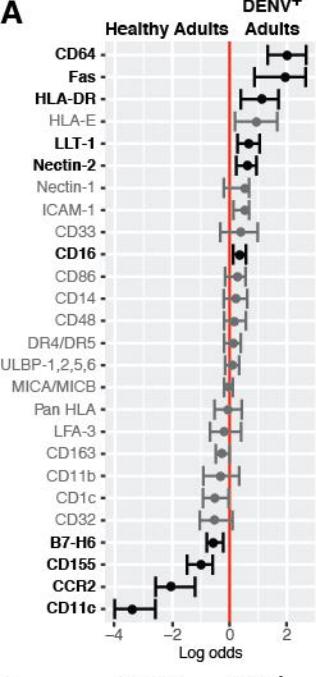
Figure 2**A****B****C**

Figure 3

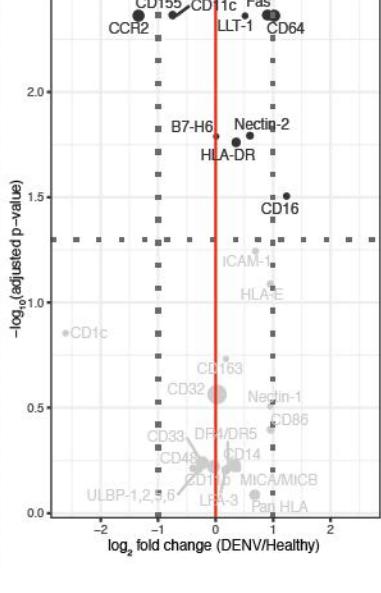
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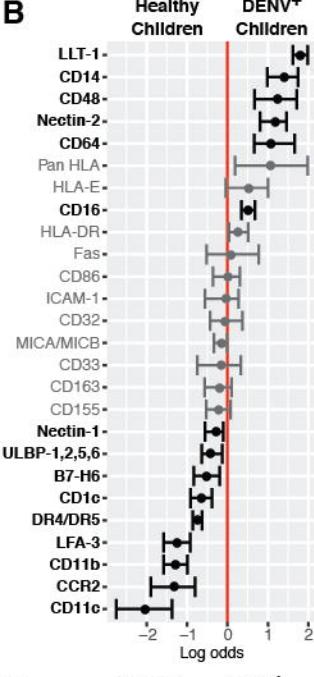
A



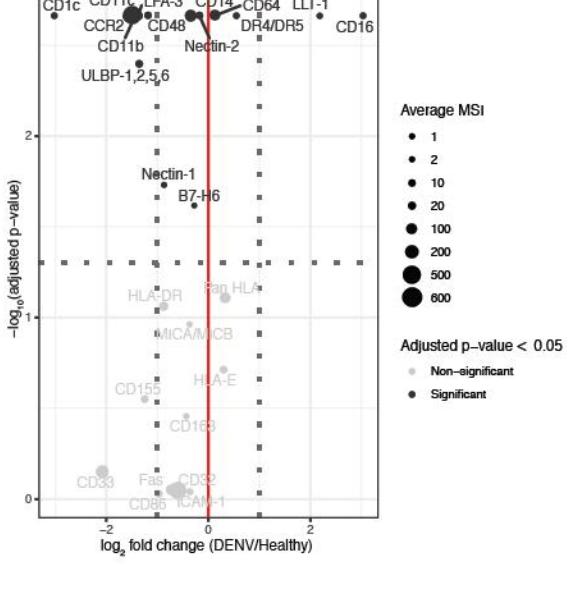
CD14⁺CD16⁺ myeloid cells



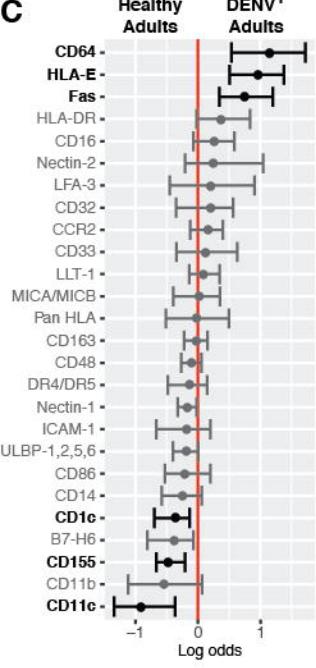
B



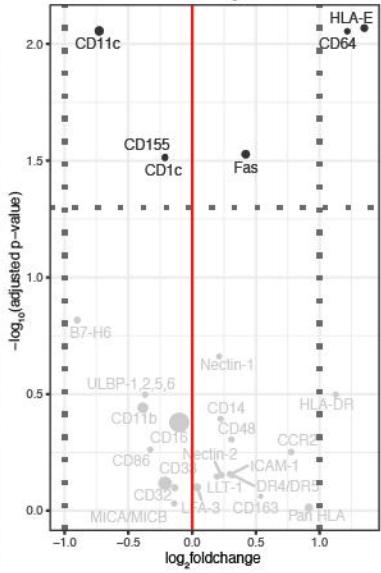
CD14⁺CD16⁺ myeloid cells



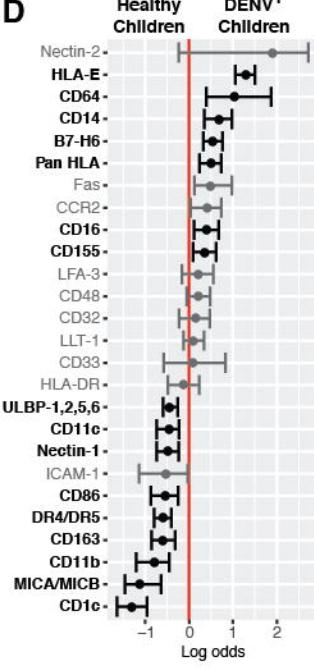
C



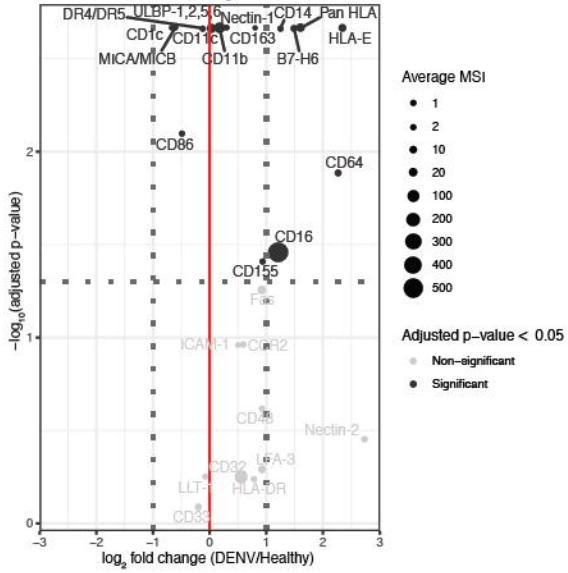
CD14⁺CD16⁺ myeloid cells



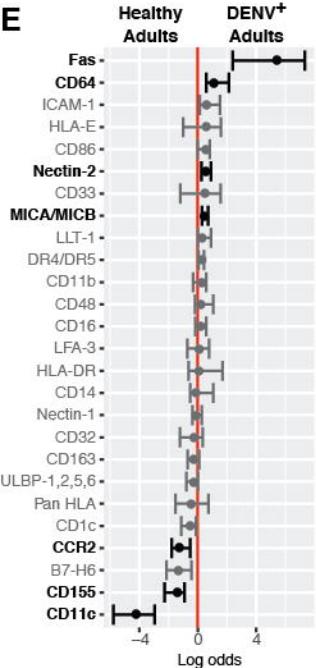
Healthy Children



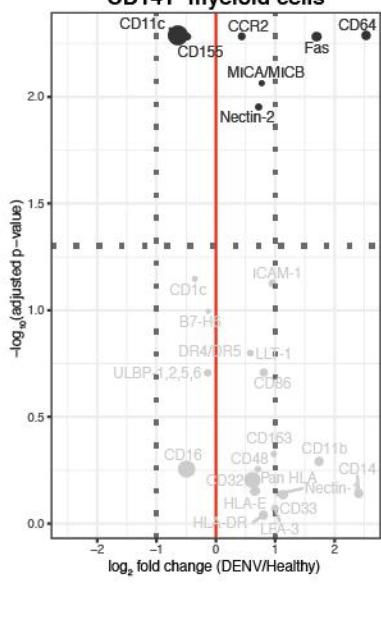
CD14⁺CD16⁺ myeloid cells



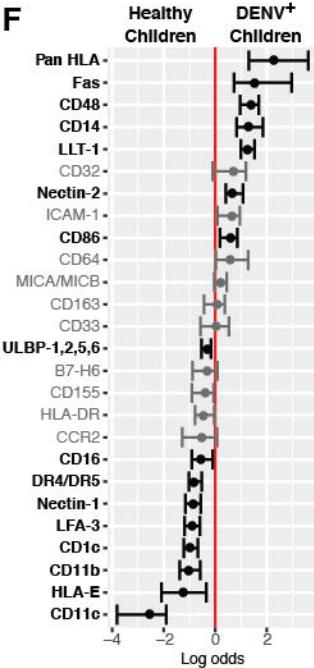
E



CD141⁺ myeloid cells



Healthy Children



CD141⁺ myeloid cells

