

1 **Dental diversity in early**

2 **chondrichthyans and the multiple**

3 **origins of shedding teeth**

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15

16 **Abstract**

17 The teeth of sharks famously form a series of parallel, continuously replacing
18 files borne directly on the mandibular cartilages. In contrast, bony fishes
19 possess site-specific shedding dentition borne on dermal plates.
20 Understanding how these disparate systems evolved is challenging, not least
21 because of poorly understood relationships and the profusion of
22 morphologically and terminologically diverse bones, splints and whorls seen in
23 the earliest chondrichthyans. Here we use tomographic methods to
24 investigate the nature of mandibular structures in several early branching
25 'acanthodian'-grade stem-chondrichthyans. We characterise the gnathal
26 plates of ischnacanthids as growing bones, and draw similarities between
27 early chondrichthyan and stem gnathostome teeth and jaws. We further build
28 the case for *Acanthodopsis*, a Carboniferous taxon, as an acanthodid, and
29 show that, unexpectedly, its teeth are borne directly on the mandibular
30 cartilage. Mandibular splints are formed from dermal bone and appear to be
31 an acanthodid synapomorphy. The development of a unidirectionally growing
32 dentition may be a feature of the chondrichthyan total-group. More generally,
33 ischnacanthid and stem gnathostome gnathal plates share a common
34 construction and are likely homologous, and shedding teeth evolved twice in
35 gnathostomes.

36 **Keywords (3-6):** dentitions, early vertebrates, acanthodians,
37 chondrichthyans, tooth evolution, Palaeozoic

38 **1. Background**

39 The structure and position of teeth and jaws are one of the major anatomical
40 distinctions between osteichthyans (bony fishes: ray-finned fishes, lobe-finned
41 fishes, and tetrapods) and chondrichthyans (cartilaginous fishes: sharks, rays,
42 and chimaeras) (1). In osteichthyans, teeth are partially resorbed, shed, and
43 replaced in position on dermal bones lateral to and overlying endoskeletal jaw
44 cartilages. These form inner (dermal: coronoids, dermopalatines;
45 endoskeletal: Meckel's cartilage, palatoquadrate) and outer (dermal: dentary,
46 maxilla, premaxilla) dental arcades. In chondrichthyans, teeth grow, shed, and
47 are replaced in parallel rows of labially-directed series directly on the jaw
48 cartilages (endoskeletal: Meckel's cartilage, palatoquadrate). These two
49 conditions are difficult to reconcile. Their origins can be observed in the
50 morphologies of Palaeozoic gnathostome fossils, which suggest that the last
51 common ancestor of jawed fishes (gnathostomes), as well as crownward stem
52 gnathostomes (a paraphyletic assemblage referred to as 'placoderms'),
53 possessed non-shedding teeth fused to the underlying dermal jaw bone (2–4).

54 The advent of micro-computed tomography has led to a renewed interest in
55 tooth evolution and development in 'placoderms' (3–5) and osteichthyans (6–
56 10). However, 'acanthodians', the earliest-branching members of the
57 chondrichthyan total-group, have received comparatively little study (but see:
58 (11–13)), despite a bewildering array of dermal oral structures (e.g. (11,14–
59 18)).

60 Here we provide new tomographic data on teeth and jaws in several early-
61 diverging stem chondrichthyans. We place this in the context of what we know

62 about early chondrichthyan relationships, and discuss its implications for the
63 early evolution of gnathostome dentitions.

64

65 **2. Materials and Methods**

66 All specimens studied here are housed at the Natural History Museum,
67 London (NHM), and comprise: an isolated *Taemasacanthus erroli* jaw
68 (NHMUK PV P33706); an isolated *Atopacanthus* sp. jaw (NHMUK PV
69 P.10978); an isolated *Acanthodopsis* sp. jaw (NHMUK PV P.10383); and a
70 partial head of *Acanthodes* sp. (NHMUK PV P.8065). Full descriptions, as well
71 as details of an additional isolated *Ischnacanthus* sp. jaw (NHMUK PV
72 P.40124) are given in the supplement.

73 CT scanning of two specimens took place at the Imaging and Analysis Centre,
74 NHMUK, using a Metris X-Tek HMX ST 225 with the following settings:
75 *Taemasacanthus erroli*: 3142 projections, 130 kV, 131 μ A, 0.1 mm copper
76 filter, voxel size 17.3 μ m; *Atopacanthus*: 3142 projections, 130 kV, 154 μ A,
77 0.1 mm copper filter, voxel size 19.508/07/2020 18:05:00 μ m.

78 CT scanning of three specimens took place at the Bristol University
79 Department of Life Sciences using a Nikon XT H 225 ST with the following
80 settings: *Acanthodopsis*: 3142 projections, 180 kV, 92 μ A, no filter, voxel size
81 22.6 μ m; *Acanthodes*: 3142 projections, 215 kV, 165 μ A, 0.1 mm tin filter,
82 voxel size 44.9 μ m; *Ischnacanthus*: 3142 projections, 222 kV, 105 μ A, 0.5 mm
83 copper filter, voxel size 24.6 μ m.

84 Reconstructed tomographic datasets were segmented in Mimics v.19
85 (biomedical.materialise.com/mimics; Materialise, Leuven, Belgium). Images of
86 the resulting models were then generated using Blender (blender.org).

87

88 **3. Results**

89 The left lower jaw of *Taemasacanthus* (previously described in Long (19): fig.
90 4A-C) comprises a tooth-bearing dermal gnathal plate (also referred to as a
91 dentigerous jaw bone: Burrow (15)) with a concave ventral surface overlying a
92 partially-ossified Meckel's cartilage (figure 1a,b, figure S1). The *Atopacanthus*
93 specimen examined here belongs to either a right lower or left upper jaw
94 (figure 1d,e, figure S2), and only the gnathal plate is preserved. The jaw
95 bones of both taxa have a broadly similar construction, which also
96 corresponds to that of *Ischnacanthus* (figure S3). The largest component of
97 the dentigerous jaw bone is the gnathal plate. Internally, this plate is highly
98 vascularised with interlinked antero-posteriorly polarised canals (figure 1c,f).
99 Three rows of teeth are borne on the biting edge of the gnathal plate: a lateral,
100 medial, and lingual row. Teeth within the lateral and lingual rows are fused to
101 the jaw but histologically separate from the underlying gnathal plate. The
102 medial row lies on the mesial ridge, formed by the occlusal corner of the
103 gnathal plate, and comprises small disorganised cusps (one row in
104 *Taemasacanthus*, two rows in *Atopacanthus*). All mesial teeth are
105 vascularised in *Atopacanthus*, but only the posteriormost ones are in
106 *Taemasacanthus*. The lateral and lingual rows of teeth in both taxa are much
107 larger, are ridged, and comprise a vascular base topped with an avascular

108 crown (figure 1a,c,f). Both grow by the addition of new teeth anteriorly, as
109 evidenced by anterior teeth partially overlying posterior ones, with cusps
110 becoming progressively larger in the direction of growth. The sole exception to
111 this is the penultimate lingual tooth in *Taemasacanthus* (figure 1a), which
112 partially overlies and is thus younger than the tooth anterior to it. The lateral
113 tooth row in both taxa lies on the dorso-lateral (or ventro-lateral) surface of the
114 gnathal plate. Its teeth are laterally unornamented and continuous with the
115 lateral surface of the dermal bone, connected to one another via antero-
116 posterior lateral ridges. The lingual tooth row grows on the lingual side of the
117 dermal plate, curving away from the occlusal surface anteriorly. These teeth
118 appear to sit on a bony lingual plate, with a distinct histology from the main
119 gnathal plate, something particularly obvious in *Atopacanthus* (figure S2).
120 The right lower jaw in *Acanthodopsis* comprises a tooth-bearing Meckel's
121 cartilage and a mandibular splint (figure 1g,i,j, figure S4). The Meckel's
122 cartilage is similar in form to that of *Acanthodes* (figure 1k–m, figure S5)
123 (15,20), with an identical articular cotylus and symphyseal fossa, and is
124 mineralised as a thick shell of perichondral bone which would have contained
125 cartilage in life. Unlike in *Acanthodes*, the Meckel's cartilage in *Acanthodopsis*
126 is mineralised along its entire length. A row of ten monocuspид, triangular
127 teeth runs along the dorsal surface of the Meckel's cartilage. The largest tooth
128 is in the middle of the jaw, with teeth becoming smaller and more closely set
129 anteriorly and posteriorly; they are slightly lingually convex, with a smooth (but
130 possibly weathered) lateral face and a longitudinally striated lingual face.
131 Rather than being tooth-shaped extensions of perichondral bone (15) these
132 are histologically distinct from Meckel's cartilage, formed from a thick outer

133 shell and a vascular inner pulp, with no obvious pulp cavity (figure 1i,j).

134 Although indistinct, growth lines suggest that the largest tooth is the oldest,

135 with younger teeth added both anteriorly and posteriorly. The mandibular

136 splint in *Acanthodopsis* is an unornamented, slightly sinusoidal bone that fits

137 into a groove on the ventro-lateral part of Meckel's cartilage, extending almost

138 its entire length. Internally, the element is pierced by a series of thin,

139 longitudinally oriented canals (figure 1i). This, combined with the fact that no

140 other endochondral mineralisation is preserved in either Meckelian element,

141 suggest that it is formed from dermal bone (21), rather than endochondral

142 tissue (15). In all respects the mandibular splint in *Acanthodes* (figure 1l,m,

143 figure S5) is almost identical to that of *Acanthodopsis* (20).

144 **4. Discussion**

145 Our new data show conclusively that the gnathal plates (also referred to as

146 dentigerous jaw bonens) of ischnacanthids were growing bones with new

147 teeth added in an anterior direction, as supposed by Ørvig (22) based on

148 directional wear. These teeth were fused to, but distinct from, the underlying

149 gnathal plate, which presumably grew with the rest of the jaw. This mode of

150 growth is comparable to that of stem-gnathostome arthrodire gnathal plates in

151 *Compagopiscis* and an unnamed buchanosteid, in which teeth are added in

152 multiple directions onto a growing basal dermal bone (3,5,23). This also

153 appears to be the case in the stem gnathostome acanthothoracid *Romundina*,

154 although its exact mode of growth is disputed (4,24–26). This organisation of

155 dental elements may be a plesiomorphic condition, shared with certain stem-

156 group gnathostomes (figure 2). Unlike these taxa however, the tooth growth in

157 ischnacanthids is unidirectional. This is more comparable to what is seen in
158 'acanthodian' tooth whorls (12,13), where non-shedding cusps are added
159 unidirectionally onto a bony base. This unidirectional mode of tooth growth
160 may be a chondrichthyan synapomorphy (figure 2).

161 The row of monocuspid dermal teeth borne directly on the Meckelian element
162 of *Acanthodopsis* is unlike any other known chondrichthyan (with the possible
163 exception of *Pucapampella*: (27)), and distinct from that seen in any other
164 known gnathostome. Although in the past *Acanthodopsis* has been
165 considered to have dermal dentigerous jaw bones (15,22), our CT data show
166 conclusively that the main body of the jaw is endoskeletal in origin. A
167 symphyseal fossa and *Acanthodes*-like mandibular splint further support
168 Burrow's (15) assertion that *Acanthodopsis* is closely related to
169 acanthodiforms, rather than ischnacanthiforms. However, beyond this its
170 dental morphology is difficult to interpret. The teeth are comparable to the
171 tooth whorls of more crownward chondrichthyans in that they are borne
172 directly on the Meckelian element and are apparently oriented perpendicular
173 to the direction of the jaw bone. In this sense they could be interpreted as a
174 non-growing tooth whorl with a single generation. However, this interpretation
175 is confounded by the fact that *Acanthodes* is completely toothless, and
176 phylogenetic analyses recover it as nested within a larger clade of toothless
177 acanthodiforms inferred to be filter-feeders, the earliest members of which
178 existed in the Early Devonian (28). If this phylogeny is correct, and the teeth
179 of *Acanthodopsis* are homologous with tooth whorls, it would demand at least
180 two convergent losses of teeth in this clade (i.e. in deeper-diverging
181 acanthodiforms and *Acanthodes*). Alternatively, teeth may simply be

182 unobserved in some acanthodiform taxa due to their small size: teeth were
183 recently found in the supposedly edentulous filter feeder *Gladbachus*
184 *adentatus* (28).

185 Mandibular splints (variously termed dentohyooids, extramandibular spines,
186 splenials, and mandibular bones (29)) have been reported in a range of
187 ‘acanthodian’-grade taxa including acanthodids (29,30), mesacanthids
188 (31,32), cheiracanthids (21), ischnacanthids (33,34), and diplacanthids
189 (35,36). Their small size and unclear association with other bones of the
190 mandibular arch has made them difficult to characterise, of unclear homology,
191 and prone to being mixed up with other mandibular elements; the supposed
192 mandibular splint in diplacanthids has for example been shown to be an
193 “occlusal bone” (18). Our characterisation of the mandibular splint in
194 *Acanthodopsis* and *Acanthodes* as a dermal bone with a distinctive shape
195 allows reassessment of these mandibular bones in other taxa. The
196 morphology in other acanthodids appears likely to match that in the two taxa
197 we describe in that they have a slightly sinusoidal shape, for example in other
198 species of *Acanthodes* (20,29,37), *Halimacanthodes* (30), and *Howittacanthus*
199 (38). In *Ischnacanthus* (figure S3), the ventral margin of Meckel’s cartilage is
200 reinforced and laterally flattened; we suggest this is also likely to be the case
201 in other ischnacanthids with “mandibular splints” (33). This may also be the
202 case in mesacanthids, in which the mandibular splint is not convincingly
203 separate from the Meckel’s cartilage or branchiostegal plates, for example in
204 *Promesacanthus* (31). The condition is uncertain in cheiracanthids: a
205 mandibular splint is absent in *Cheiracanthus* and *Homalacanthus*, and while
206 *Protogonacanthus* is described as having a mandibular splint (21) its

207 morphology looks more similar to the reinforced ventral margin in
208 ischnacanthids. Thus it is possible that a separate “true” mandibular splint, as
209 seen in *Acanthodopsis* and *Acanthodes*, is an acanthodid synapomorphy. Its
210 similarity to the ventral branchiostegal rays in *Acanthodes* suggest that it may
211 simply be part of this series that has been co-opted to support the jaw.

212 Our new data on ‘acanthodian’ jaw elements feed into an emerging picture of
213 stem chondrichthyan evolution. A unidirectional mode of tooth growth appears
214 to be a chondrichthyan synapomorphy (with a possible reversal in
215 *Acanthodopsis*; figure 2). While fine-scale relationships remain poorly
216 understood, phylogenetic analyses increasingly recover a stemward grade of
217 ischnacanthiforms, acanthodiforms, and diplacanthiforms (28), with a
218 climatiid-grade more proximate to the chondrichthyan crown. Consequently, a
219 dentition consisting entirely of tooth whorls—which extend along the entire jaw
220 length—as well as absence of dermal gnathal plate, is restricted to climatiids
221 and more crownward taxa (figure 2), although possibly homologous dentitions
222 may be seen in ischnacanthid tooth whorls, *Acanthodopsis*, and
223 *Latviacanthus*. Tooth shedding is restricted to the node proximate to the
224 chondrichthyan crown. Some uncertainty remains, however, largely due to the
225 uncertain position of edentulous taxa such as *Lupopsyrus* and
226 *Kathemacanthus*, and the peculiar site-specific dentition apparently present in
227 *Pucapampella* (27).

228 Our new data also have a bearing on the broader question of jaw and tooth
229 evolution in gnathostomes. Ischnacanthid gnathal plates (also referred to as
230 dentigerous jaw bones) appear homologous with the gnathal plates of stem-
231 gnathostomes: they are positionally, structurally and histologically similar,

232 being vascularised dermal bones overlying the mandibular cartilage, with non-
233 shedding tooth cusps added onto an underlying dermal plate. These gnathal
234 plates have been homologised with the inner dental arcade of osteichthyans
235 (39–41), and more recently with the outer dental arcade (42,43). In either
236 case, assuming homology between gnathal plates and either the inner or
237 outer dental arcade, this is a rare example of a macromeric skeletal structure
238 preserved on all three branches incident to the gnathostome crown node.

239 Our reassessment of early chondrichthyan dentitions also presents an
240 opportunity to reconsider the homology of tooth whorls. The rows of denticles
241 on the marginal jaw bones of the stem-osteichthyans *Lophosteus* and
242 *Andreolepis* have been said to recall the tooth “families” of chondrichthyans
243 (6). However, given that multiple rows of tooth whorls are absent in the
244 deepest-diverging chondrichthyans, and that the parasympyseal tooth whorls
245 that are present grow from the lingual side of the jaw cartilage as in living
246 chondrichthyans (6), we consider any resemblance superficial (9). Similarly,
247 ischnacanthids cheek scales have been argued to be incipient tooth whorls
248 formed from cheek denticles (11,44). However, given the phylogenetic
249 position of ischnacanthids, and the propensity of dermal ornament bordering
250 the mouth to resemble dentition (e.g. *Mimipiscis*, *Ptومacanthus* (45,46)), we
251 consider these structures removed from hypotheses of early tooth evolution.

252 Parasympyseal tooth whorls in which the teeth replace via resorption and
253 anterior rotation (10) are also known in osteichthyans (e.g. in *Onychodus*
254 (10,47)), but these are phylogenetically and structurally removed from
255 chondrichthyan tooth whorls and unlikely to be homologous. However, it is
256 difficult to assess whether non-shedding parasympyseal whorls are

257 homologous due to the unclear condition in psarolepids (variably interpreted
258 as stem sarcopterygians or stem osteichthyans (48–52)), in which a whorl is
259 inferred (48,52) but is yet to be described. Finally, the interposition of many
260 non-shedding stem-chondrichthyan taxa between shedding chondrichthyans
261 and shedding osteichthyans confirms that a shedding dentition evolved twice,
262 in two different ways, in crown-gnathostomes (7,10). The teeth of extant
263 chondrichthyans, borne directly on endoskeletal mandibular cartilages, are
264 positionally distinct from both the inner and outer dental arcades of
265 osteichthyans, where teeth are borne on dermal bones.

266

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279 **Ethics.** This research is based exclusively on specimens from natural history
280 collections.

281 **Data accessibility.** Raw data (.vol or .tiff stacks), Mimics files, and 3D PLY
282 files for each specimen are deposited in Dropbox (see links in supplementary
283 file) and will be permanently deposited in Dryad upon manuscript acceptance.

284 **Authors' contributions.** S.G. conceived the project and selected specimens.
285 S.G. and R.P.D. carried out CT scanning. R.P.D. segmented the specimens,
286 made Blender renders and constructed figures with input from S.G. S.G. and
287 R.P.D. drafted the manuscript. Both authors revised and edited the
288 manuscript, approved the final version and agree to be accountable for all
289 aspects of the work.

290 **Competing interests.** We have no competing interests.

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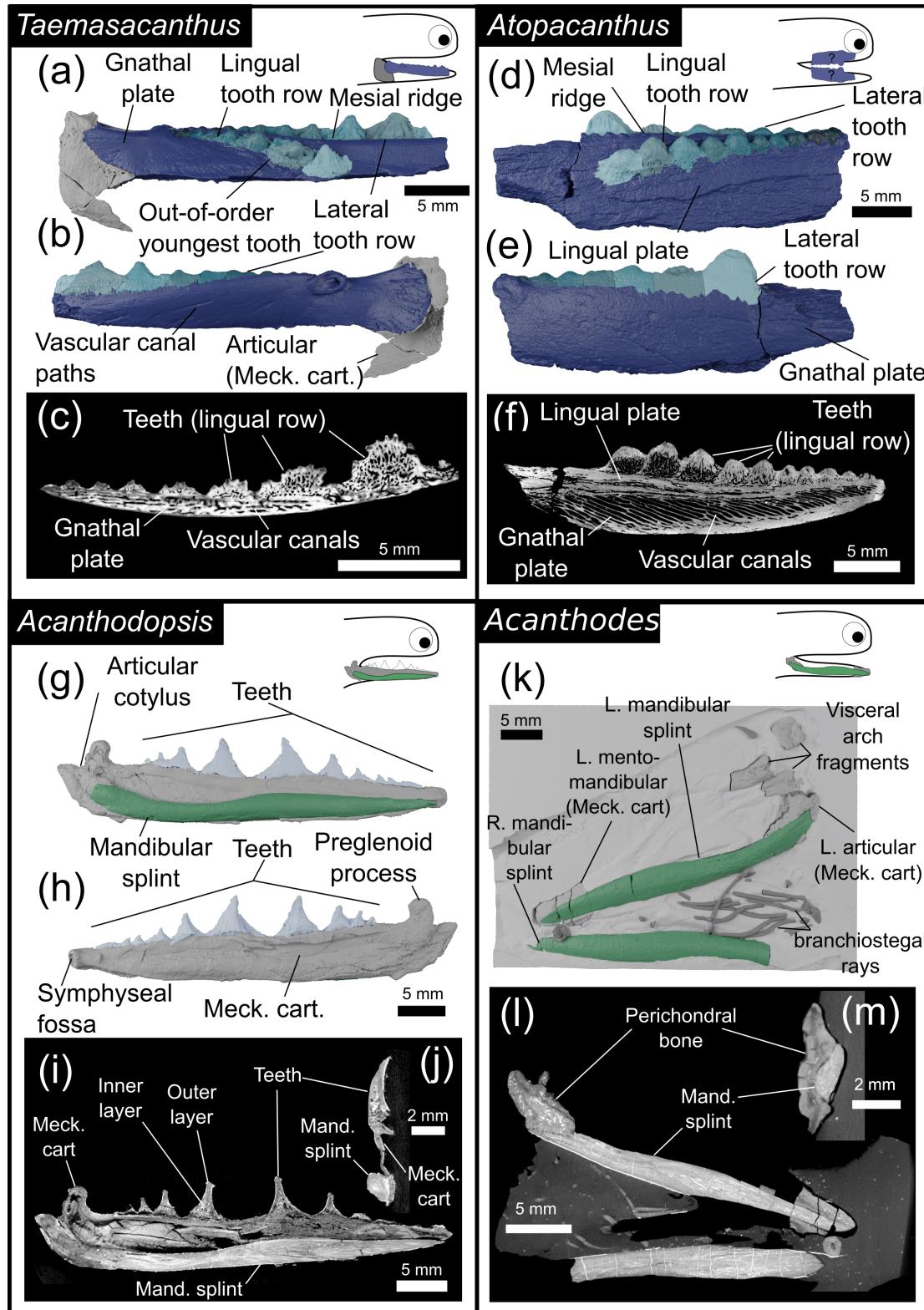
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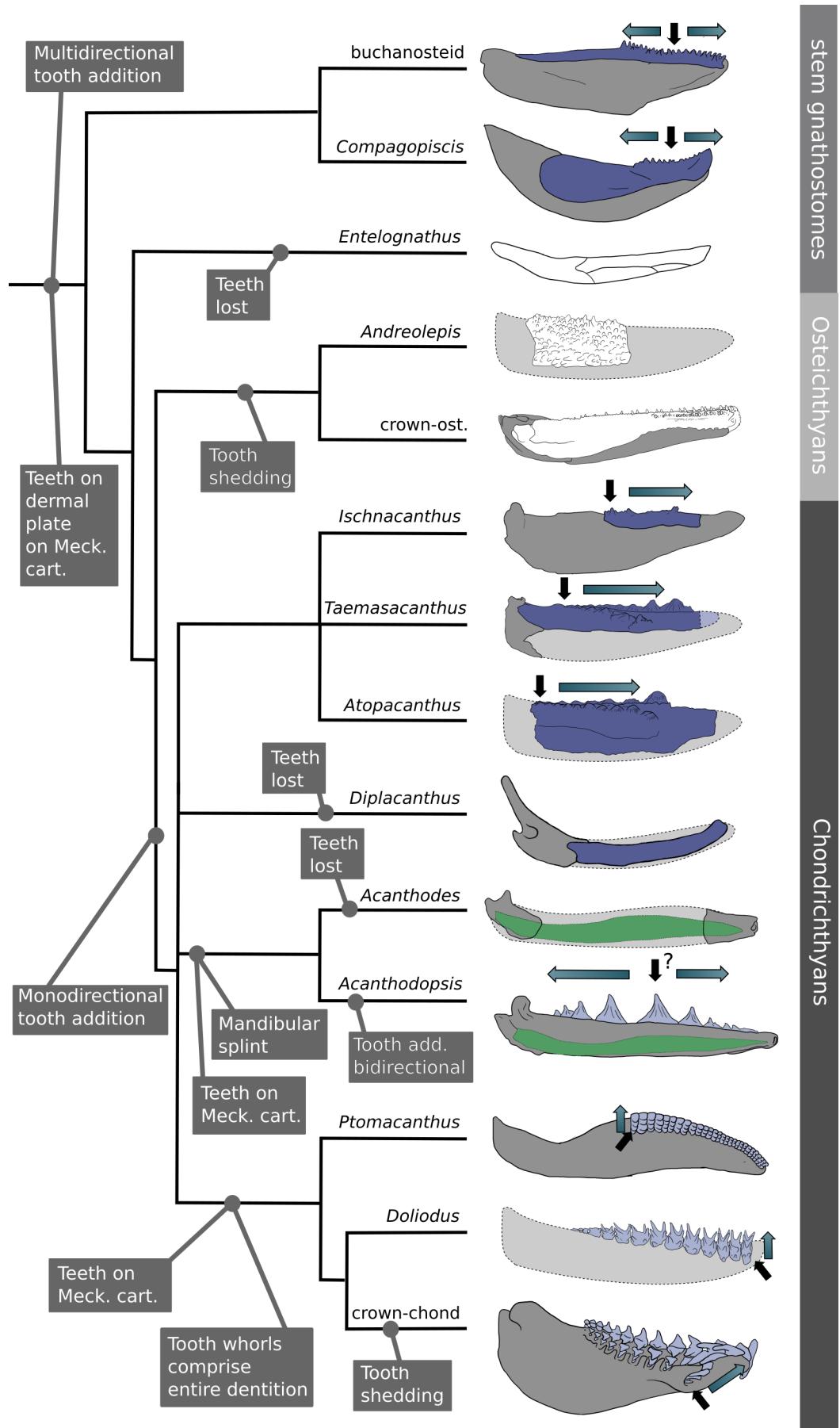
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Figures



449

450 **Figure 1.** Tomographic models of jaw elements in acanthodian-grade stem-
451 chondrichthyans: (a-c) the left lower jaw of *Taemasacanthus erroli* NHMUK
452 PV P.33706 in (a) medial view, (b) lateral view, and (c) a reconstructed
453 tomogram showing a sagittal section through the lingual tooth row; (d-f) a
454 gnathal plate of *Atopacanthus* sp. NHMUK PV P.10978 in (d) medial view, (e)
455 lateral view, and (f) a reconstructed tomogram showing a sagittal section
456 through the lingual tooth row; (g-j) the right lower jaw of *Acanthodopsis* sp.
457 NHMUK PV P.10383 in (g) lateral view, (h) medial view, (i,j) reconstructed
458 tomograms showing (i) a sagittal section through the entire jaw and (j) a
459 transverse section through the jaw; (k-m) the lower jaws of *Acanthodes* sp.
460 NHMUK PV P.8085 in (k) ventral view against the digital cast of the surface
461 with (l,m) reconstructed tomograms showing (l) a coronal section through the
462 specimen, and (m) a transverse section through a lower jaw. Abbreviations:
463 Mand. splint., Mandibular splint; Meck. cart, Meckel's cartilage; L., Left; R.,
464 Right.



466 **Figure 2.** Hand-drawn cladogram showing relationships of total-group
467 gnathostomes pertinent to tooth evolution and schematics of their lower jaw
468 morphologies. Anterior to right and all specimens in lingual view except for
469 *Compagopiscis*, *Entelognathus*, and *Andreolepis*. Black arrow indicates
470 position of initial tooth growth, blue graded arrow indicates direction of
471 subsequent growth. Colour scheme: grey, Meckel's cartilage; dark blue,
472 gnathal plates and attached teeth; green, mandibular splints; light blue, teeth
473 placed directly on endoskeleton; white, dermal bones of uncertain homology
474 and attached teeth. Abbreviations: Add., addition; Meck. cart, Meckel's
475 cartilage. Images redrawn from (3,5,8,11,16,32,41,45,53,54).

476 **Supplementary material (separate pdf)**

477 Supplementary information for this manuscript is included as a single
478 separate file. It includes supplementary figures 1-5, supplementary text
479 comprising a full description of the elements scanned and a review of
480 acanthodian jaw elements, and supplementary references. It also contains
481 links to 3D models (as plys), mimics files, and tomographic data (as volume
482 files or TIFFs) for all the data used in this study.