

Beware of White Matter Hyperintensities Causing Systematic Errors in Grey Matter Segmentations!

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http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

Abstract

Introduction: Volumetric estimates of subcortical and cortical structures, extracted from T1-weighted MRIs, are widely used in many clinical and research applications. Here, we investigate the impact of the presence of white matter hyperintensities (WMHs) on *FreeSurfer* grey matter (GM) structure volumes and its possible bias on functional relationships.

Methods: T1-weighted images from 1077 participants (4321 timepoints) from the Alzheimer's Disease Neuroimaging Initiative were processed with *FreeSurfer* version 6.0.0. WMHs were segmented using a previously validated algorithm on either T2-weighted or Fluid-attenuated inversion recovery (FLAIR) images. Mixed effects models were used to assess the relationships between overlapping WMHs and GM structure volumes and overall WMH burden, as well as to investigate whether such overlaps impact associations with age, diagnosis, and cognitive performance.

Results: Participants with higher WMH volumes had higher overlaps with GM volumes of bilateral caudate, cerebral cortex, putamen, thalamus, pallidum, and accumbens areas ($P < 0.0001$). When not corrected for WMHs, caudate volumes increased with age ($P < 0.0001$) and were not different between cognitively healthy individuals and age-matched probable Alzheimer's disease patients. After correcting for WMHs, caudate volumes decreased with age ($P < 0.0001$), and Alzheimer's disease patients had lower caudate volumes than cognitively healthy individuals ($P < 0.01$). Uncorrected caudate volume was not associated with ADAS13 scores, whereas corrected lower caudate volumes were significantly associated with poorer cognitive performance ($P < 0.0001$).

Conclusions: Presence of WMHs leads to systematic inaccuracies in GM segmentations, particularly for the caudate, which can also change clinical associations. While specifically measured for the *Freesurfer* toolkit, this problem likely affects other algorithms.

Keywords: White matter hyperintensities, grey matter segmentation, FreeSurfer, Alzheimer's disease

INTRODUCTION

White matter hyperintensities (WMHs) are defined as areas of increased signal on T2-weighted (T2w) and Fluid-attenuated inversion recovery (FLAIR) magnetic resonance images (MRIs) (Raman et al., 2016). WMHs are associated with a variety of underlying pathologies, such as amyloid angiopathy, arteriosclerosis, axonal loss, blood-brain barrier leakage, degeneration, demyelination, gliosis, hypoperfusion, hypoxia, and inflammation (Abraham et al., 2016). WMHs are commonly present in the otherwise asymptomatic aging population but are at higher prevalence in many diseases such as Alzheimer's disease (AD), diabetes, frontotemporal dementia, HIV, lewy body dementia, mild cognitive impairment (MCI), obesity, Parkinson's disease, and vascular dementia (Appelman et al., 2009; Debette and Markus, 2010; Caroppo et al., 2014; Dadar et al., 2018b; Gouw et al., 2008; Kandiah et al., 2013; Sudre et al., 2017; Barber et al., 1999).

On T1-weighted (T1w) MRI sequences, WMHs appear hypointense with respect to the normal-appearing white matter, with intensities that can be very similar to cortical and subcortical grey matter (GM) (Dadar et al., 2017a). The T1w intensity of WMHs is also associated with severity of damage to the tissue, with areas of higher damage appearing more hypointense (Dadar et al., 2019).

As T1w images are the most commonly used structural MRI sequences in clinical and neuroscience applications, especially for purposes of segmentation and estimation of volumes for all or specific structures of interest (Mateos-Pérez et al., 2018), the similarity in T1w intensity profiles of WMHs and GM gives rise to an important methodological question: can T1w MRI-based GM structure segmentation differentiate between WMHs and GM? If not, how much of WMHs will be tagged as GM in their segmentation estimates, and if so, is this error systematic enough to bias results?

To answer this question, we propose our study of WMHs segmentation bias in subcortical and cortical GM structures. More specifically, we investigated 1) whether there was any systematic overlap between WMHs and GM segmentations, and therefore volumetric biases; and 2) whether this overlap affected clinical findings (i.e. associations with cognitive scores). To these ends we used two segmentation tools, the first being *FreeSurfer*, one of the most commonly used publicly available brain segmentation tools (Fischl, 2012), and a previously validated tool for WMHs segmentation on multi-contrast MRIs (Dadar et al., 2017b), both applied on longitudinal data from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database.

METHODS

Participants

We used longitudinal data from 1077 participants (4321 individual images from various timepoints) from the ADNI-1, ADNI-2, and ADNI-GO database (adni.loni.usc.edu) that had T1w and either T2w/PDw or FLAIR MRIs available. The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test whether serial MRI, positron emission tomography, other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of MCI and early AD. The study was approved by the institutional review board of all participating sites and written informed consent was obtained from all participants before inclusion in the study.

MRI acquisition and preprocessing

Table 1 summarizes MR imaging parameters for the data used in this study.

Table 1 – Scanner information and MRI acquisition parameters for ADNI1, and ADNI2/GO datasets.

	Sequence	T1w	T2w/PDw	FLAIR
ADNI1	Slice thickness	1.2 mm	3 mm	
	No. of slices	160	56	
	Field of view	192×192 cm ²	256×256 cm ²	
	Scan Matrix	192×192 cm ²	256×256 cm ²	
	Repetition time (TR)	3000 ms	3000/3000 ms	
	Echo time (TE)	3.55 ms	95.2/10.5 ms	
ADNI2/GO	Slice thickness	1.2 mm		5 mm
	No. of slices	196		42
	Field of view	256×256 cm ²		256×256 cm ²
	Scan Matrix	256×256 cm ²		256×256 cm ²
	Repetition time (TR)	7.2 ms		11000 ms
	Echo time (TE)	3.0 ms		150 ms

GM Segmentations

All T1w images were identically processed using *FreeSurfer* version 6.0.0 (*recon-all -all*). *FreeSurfer* is an open source software (<https://surfer.nmr.mgh.harvard.edu/>) that provides a full processing stream for structural T1w data (Fischl, 2012). The final segmentation output (*aseg.mgz*) was then used to obtain structure masks and volumes based on the look up table available at <https://surfer.nmr.mgh.harvard.edu/fswiki/FsTutorial/AnatomicalROI/FreeSurferColorLUT>.

WMH Segmentations

T1w, T2w/PDw, and FLAIR scans were pre-processed as follows: (a) image denoising (Manjón et al., 2010); (b) intensity inhomogeneity correction; and (c) intensity normalization to a 0-100 range. For each subject, the T2w, PDw, or FLAIR scans were then co-registered to the structural T1w scan of the same timepoint using a 6-parameter rigid registration and a mutual information objective function (Dadar et al., 2018a). We used a previously validated WMH segmentation method that employs a set of location and intensity features in combination with a random forests classifier to detect WMHs using either T1w+FLAIR or T1w+T2w/PDw images. The training library consisted in manual, expert segmentations of WMHs from 100 subjects from ADNI (not included in the current sample) (Dadar et al., 2017c, 2017b, 2018b). WMHs were automatically segmented at all timepoints and then co-registered to the T1w images using the obtained rigid registrations, in order to assess overlaps between WMHs and GM segmentations.

Cognitive Evaluations

All subjects received a comprehensive battery of clinical assessments and cognitive testing based on a standardized protocol (adni.loni.usc.edu) (Petersen et al., 2010). At each visit, participants underwent a series of assessments including the Alzheimer's Disease Assessment Scale-13 (ADAS13) (Mohs and Cohen, 1987), which was used to assess cognitive performance.

Statistical Analyses

Overlaps between GM and WMH segmentations were calculated (number of overlapping voxels in mm³) for each subcortical and cortical GM region. The following mixed effects models were used to assess whether the WMH-GM overlaps were associated with overall WMH burden, controlling for age and sex.

$$GM-WMH\ Overlap \sim I + WMH + Age + Sex + (I|Subject) + (I|Scanner\ Model) + (I|Field\ Strength) \quad (eq.1)$$

Mixed effects models were also used to assess the relationships between GM volumes and age and diagnostic cohort, and GM volumes and cognition, once using the GM volume estimates obtained directly from the FreeSurfer segmentation, and once after removing the regions overlapping with the WMH segmentations.

$$GM\ volume \sim I + Age + Sex + Cohort + (I|Subject) + (I|Scanner\ Model) + (I|Field\ Strength) \quad (eq.2)$$

$$ADAS13 \sim I + Age + Sex + GM\ volume + (I|Subject) + (I|Scanner\ Model) + (I|Field\ Strength) \quad (eq.3)$$

All volumes were normalized by the individual's intracranial volume. Total WMH loads and WMH-GM overlaps were log-transformed to obtain normal distributions. All mixed effects models included Subject as well as Scanner Model and Field Strength as categorical random variables, to account for any potential

variabilities caused by contrast differences in the images from different scanners. The results were corrected for multiple comparisons using the false discovery rate (FDR) controlling method with a significance threshold of $P = 0.05$ (Benjamini and Hochberg, 1995).

Data and Code Availability Statement

Data used in this article is available at <http://adni.loni.usc.edu/>. FreeSurfer and the WMH segmentation pipeline used are also publicly available at <https://surfer.nmr.mgh.harvard.edu/> and <http://nist.mni.mcgill.ca/?p=221>, respectively.

RESULTS

Study participants

Preprocessed and registered images were visually assessed for quality control (presence of imaging artifacts, failure in registrations). WMH segmentations were also visually assessed for missing hyperintensities or over-segmentation. Either failures resulted in the participant being removed from the analyses. All MRI processing, segmentation and quality control steps were blinded to clinical outcomes. All cases passed co-registration QC. Figure 1 summarizes the QC information for the subjects that were excluded. The final sample included 1077 participants (4321 timepoints) with WMH and FreeSurfer segmentations.

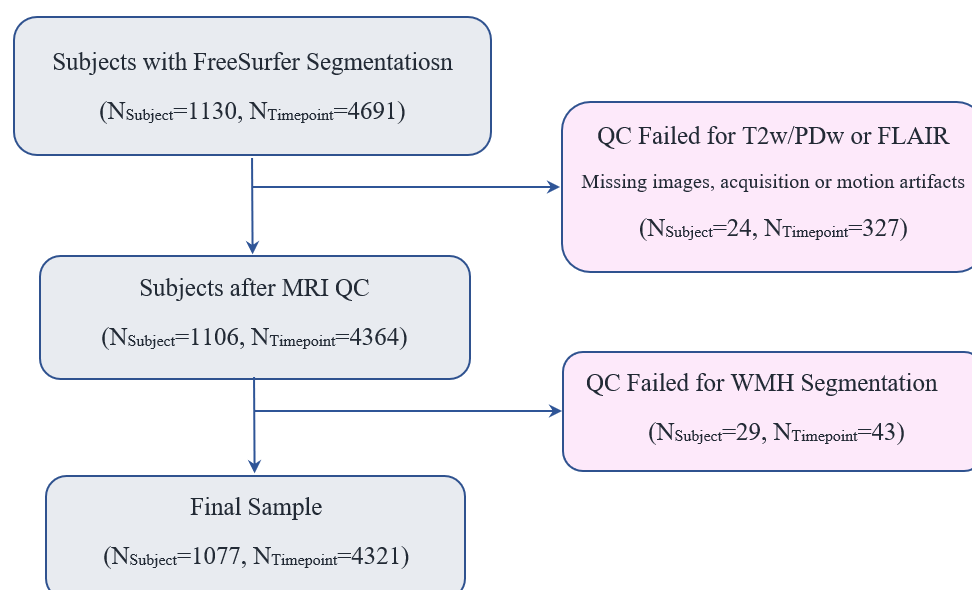


Figure 1. Flowchart of subjects in the study.

Segmentations

Figure 2 shows WMH and caudate segmentations for three subjects with no overlap, some overlap, and high overlap as an example.

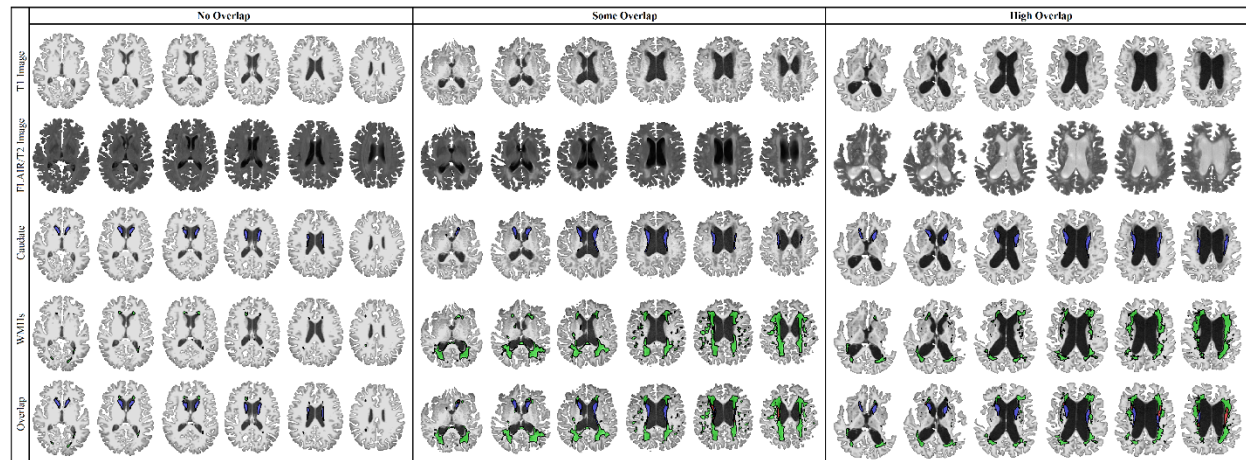


Figure 2. Overlap between WMH and caudate segmentations. WMH= White Matter Hyperintensity. Blue= Caudate. Green= WMHs. Red= The overlapping voxels between caudate and WMH segmentations.

Segmentation overlap

Table 2 shows the average amount of overlap between WMHs and FreeSurfer segmentations for each GM structure, as well as their association with the overall WMH burden, controlling for age and sex (eq.1). Caudate segmentations had by far the highest percentage of overlapping WMHs (6% of the mean caudate volume). The overlapping volumes were significantly related to the overall WMH burden for bilateral caudate, cerebral cortex, putamen, thalamus, pallidum, accumbens area, and the right hippocampus ($P < 0.0002$). Figure 2 shows the associations for the top six regions.

Table 2. Overlaps between WMHs and FreeSurfer GM segmentations, and their associations with overall WMH burden. The regions are sorted based on effect size. Significant results after FDR correction are indicated in bold font.

Structure Name	FreeSurfer Label	Volume (mm ³)	Overlap with WMH (mm ³)	Percentage of Overlap (%)	Association with WMH Tstat	Pvalue
Caudate - Right	50	3532.9 ± 596.2	217.2 ± 271.0	6.153	45.80	<0.0001
Caudate - Left	11	3395.9 ± 556.4	222.9 ± 283.9	6.565	45.21	<0.0001
Cerebral Cortex - Left	3	202864 ± 25017	73.8 ± 240.5	0.036	31.41	<0.0001
Cerebral Cortex - Right	42	203763 ± 25182	79.8 ± 218.8	0.039	29.54	<0.0001
Putamen - Right	51	4235.6 ± 644.1	21.9 ± 74.4	0.518	22.77	<0.0001
Putamen - Left	12	4194.8 ± 648.3	17.4 ± 56.1	0.416	20.88	<0.0001
Thalamus - Left	10	6598.1 ± 729.3	1.01 ± 5.72	0.015	11.94	<0.0001
Thalamus - Right	49	6499.9 ± 711.3	0.55 ± 4.07	0.008	8.53	<0.0001
Pallidum - Right	52	1829.0 ± 256.6	0.85 ± 3.50	0.047	8.52	<0.0001
Accumbens Area - Left	26	405.1 ± 86.6	0.23 ± 1.96	0.057	7.67	<0.0001
Pallidum - Left	13	1863.1 ± 255.1	0.45 ± 2.50	0.024	5.70	<0.0001
Accumbens Area - Right	58	452.7 ± 90.7	0.17 ± 0.88	0.038	4.67	<0.0001
Hippocampus - Right	53	3572.6 ± 600.3	0.22 ± 2.24	0.006	3.72	0.0002
Ventral Diencephalon - Right	60	3754.9 ± 459.5	0.10 ± 1.78	0.003	1.86	0.10
Amygdala - Right	54	1469.7 ± 319.3	0.04 ± 0.88	0.003	1.58	0.11
Amygdala - Left	18	1275.3 ± 299.1	0.01 ± 0.23	0.0001	0.70	0.48
Ventral Diencephalon - Left	28	3782.2 ± 473.9	0.06 ± 2.03	0.002	0.69	0.48
Hippocampus - Left	17	3476.3 ± 564.9	0.17 ± 4.77	0.005	0.36	0.71

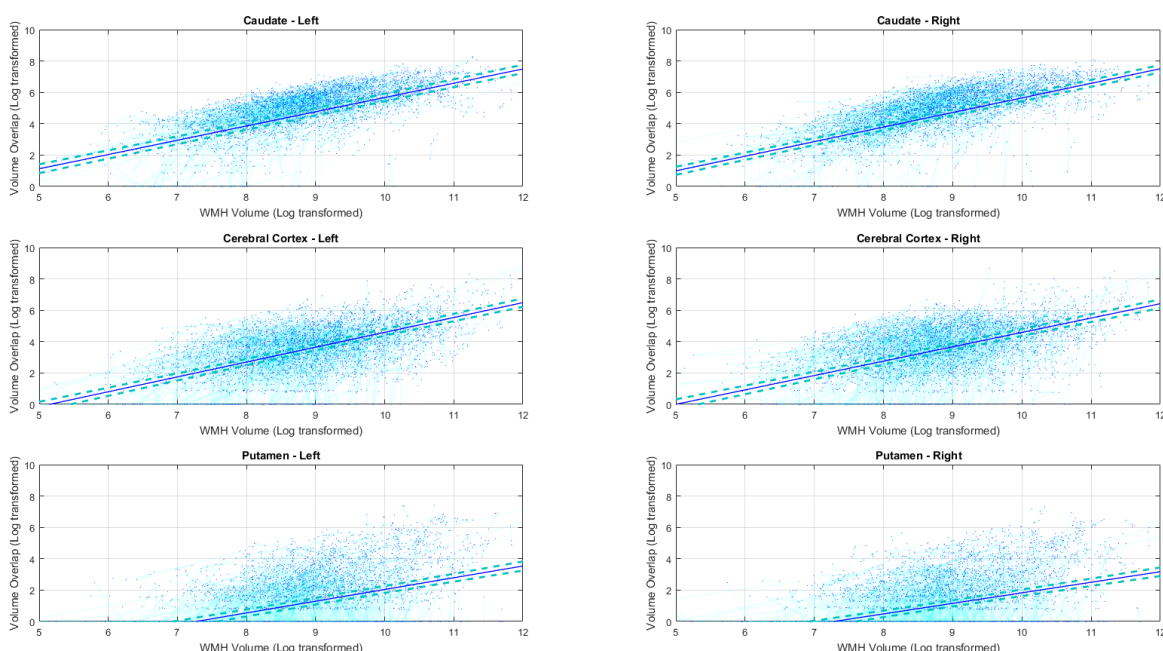


Figure 3. The association between overlapping GM and WMH volumes and overall WMH burden (Table 2).

To better demonstrate how much the misclassification of WMHs as caudate might increase caudate volume estimates, we have also plotted volume overlaps for caudate without log-transformation (i.e. volumes in mm^3) in Figure 4. In extreme cases, for individuals with very high WMH burden (log transformed value of 11, equivalent to $50,000 \text{ mm}^3$), caudate volume can be over-estimated by more than 1000 mm^3 , equivalent to 30% of the average caudate volume.

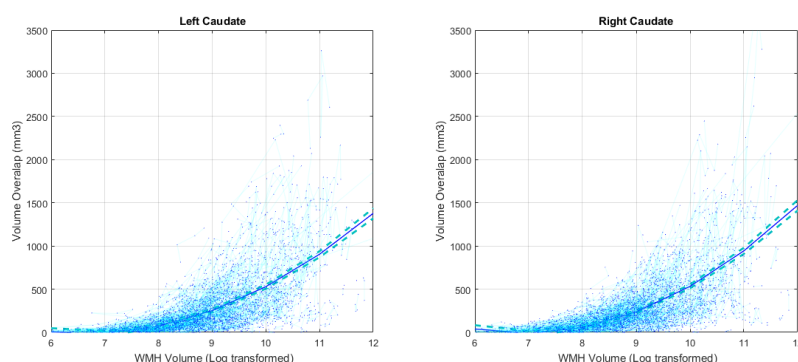


Figure 4. The association between overlapping Caudate and WMH volumes (in mm^3) and overall WMH burden.

Associations

Table 3 shows the associations between GM volumes and age (eq.2), before and after removing the voxels overlapping with WMHs. Uncorrected caudate volumes increased with age, and MCI and AD groups had slightly higher volumes than the normal aging (NA) cohort (although not significant), whereas the corrected caudate volumes decreased with age, and MCI and AD groups had slightly lower volumes than the NA group (the AD vs NA difference was significant). The uncorrected and corrected results were similar in terms of effect size and direction of associations for other regions, with the corrected volumes having slightly larger effects sizes for putamen.

Table 3. Associations between uncorrected and corrected GM volumes, age, and diagnostic cohort. Significant results after FDR correction are indicated in bold font.

Structure Name		Age		MCI vs NA		AD vs NA	
		Tstat	Pvalue	Tstat	Pvalue	Tstat	Pvalue
Caudate - Right	Uncorrected	4.24	<0.0001	0.61	0.54	0.58	0.56
	Corrected	-3.77	<0.0001	-0.94	0.34	-2.46	0.01
Caudate - Left	Uncorrected	5.45	<0.0001	0.97	0.33	0.37	0.71
	Corrected	-6.30	<0.0001	-1.19	0.23	-2.60	0.009
Cerebral Cortex - Left	Uncorrected	-32.59	<0.0001	-6.39	<0.0001	-12.14	<0.0001
	Corrected	-32.57	<0.0001	-6.41	<0.0001	-12.18	<0.0001
Cerebral Cortex - Right	Uncorrected	-32.41	<0.0001	-6.21	<0.0001	-11.33	<0.0001
	Corrected	-32.42	<0.0001	-6.22	<0.0001	-11.36	<0.0001
Putamen - Right	Uncorrected	-18.74	<0.0001	-4.57	<0.0001	-5.88	<0.0001
	Corrected	-19.46	<0.0001	-4.79	<0.0001	-6.37	<0.0001
Putamen - Left	Uncorrected	-15.56	<0.0001	-4.61	<0.0001	-7.19	<0.0001
	Corrected	-16.30	<0.0001	-4.84	<0.0001	-7.59	<0.0001
Thalamus - Left	Uncorrected	-18.84	<0.0001	-4.93	<0.0001	-7.52	<0.0001
	Corrected	-18.84	<0.0001	-4.94	<0.0001	-7.52	<0.0001
Thalamus - Right	Uncorrected	-18.68	<0.0001	-4.10	<0.0001	-6.92	<0.0001
	Corrected	-18.69	<0.0001	-4.09	<0.0001	-6.92	<0.0001
Pallidum - Right	Uncorrected	-12.75	<0.0001	-2.50	0.01	-2.43	0.01
	Corrected	-12.81	<0.0001	-2.51	0.01	-2.45	0.01
Accumbens Area - Left	Uncorrected	-19.77	<0.0001	-4.08	<0.0001	-7.10	<0.0001
	Corrected	-19.83	<0.0001	-4.08	<0.0001	-7.11	<0.0001
Pallidum - Left	Uncorrected	-13.76	<0.0001	-2.25	0.02	-2.71	0.006
	Corrected	-13.78	<0.0001	-2.25	0.02	-2.72	0.006
Accumbens Area - Right	Uncorrected	-19.77	<0.0001	-3.32	0.0002	-6.41	<0.0001
	Corrected	-19.83	<0.0001	-3.34	0.0002	-6.42	<0.0001
Hippocampus - Right	Uncorrected	-33.26	<0.0001	-11.32	<0.0001	-16.27	<0.0001
	Corrected	-33.27	<0.0001	-11.32	<0.0001	-16.27	<0.0001
Ventral Diencephalon - Right	Uncorrected	-25.30	<0.0001	-5.39	<0.0001	-6.76	<0.0001
	Corrected	-25.31	<0.0001	-5.39	<0.0001	-6.76	<0.0001
Amygdala - Right	Uncorrected	-23.92	<0.0001	-10.12	<0.0001	-15.30	<0.0001
	Corrected	-23.93	<0.0001	-10.12	<0.0001	-15.30	<0.0001
Amygdala - Left	Uncorrected	-27.29	<0.0001	-9.85	<0.0001	-16.27	<0.0001
	Corrected	-27.29	<0.0001	-9.85	<0.0001	-16.28	<0.0001
Ventral Diencephalon - Left	Uncorrected	-25.23	<0.0001	-5.24	<0.0001	-7.54	<0.0001
	Corrected	-25.23	<0.0001	-5.23	<0.0001	-7.54	<0.0001
Hippocampus - Left	Uncorrected	-32.25	<0.0001	-11.1	<0.0001	-16.92	<0.0001
	Corrected	-32.25	<0.0001	-11.1	<0.0001	-16.92	<0.0001

Table 4 shows the associations between ADAS13 and GM volumes, before and after removing the voxels overlapping with WMHs. Uncorrected caudate volumes were not significantly associated with ADAS13 scores, whereas lower corrected caudate volumes were significantly associated with higher ADAS13 scores

(i.e. poorer cognitive performance). Figure 5 shows the associations between uncorrected and corrected caudate volumes and ADAS13 scores. The uncorrected and corrected results were similar in terms of effect size and direction of associations for other regions, with the corrected volumes having slightly larger effects sizes for putamen.

Table 4. Associations between uncorrected and corrected GM volumes and ADAS13. Significant results after FDR correction are indicated in bold font.

Structure Name	Uncorrected		Corrected	
	Tstat	Pvalue	Tstat	Pvalue
Caudate - Right	0.51	0.62	-5.71	<0.0001
Caudate - Left	0.66	0.50	-8.57	<0.0001
Cerebral Cortex - Left	-29.22	<0.0001	-29.23	<0.0001
Cerebral Cortex - Right	-26.54	<0.0001	-26.54	<0.0001
Putamen - Right	-13.85	<0.0001	-14.26	<0.0001
Putamen - Left	-13.24	<0.0001	-13.53	<0.0001
Thalamus - Left	-7.30	<0.0001	-7.30	<0.0001
Thalamus - Right	-7.23	<0.0001	-7.23	<0.0001
Pallidum - Right	-3.40	0.0006	-3.44	0.0005
Accumbens Area - Left	-12.27	<0.0001	-12.37	<0.0001
Pallidum - Left	-4.41	<0.0001	-4.42	<0.0001
Accumbens Area - Right	-11.11	<0.0001	-11.14	<0.0001
Hippocampus - Right	-24.36	<0.0001	-24.36	<0.0001
Ventral Diencephalon - Right	-12.42	<0.0001	-12.42	<0.0001
Amygdala - Right	-20.92	<0.0001	-20.92	<0.0001
Amygdala - Left	-24.49	<0.0001	-24.49	<0.0001
Ventral Diencephalon - Left	-13.20	<0.0001	-13.20	<0.0001
Hippocampus - Left	-25.18	<0.0001	-25.18	<0.0001

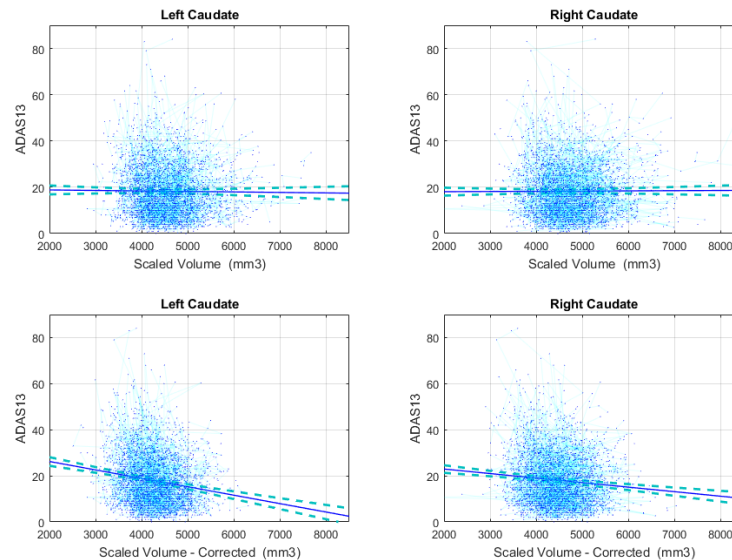


Figure 5. The association between uncorrected and corrected Caudate volumes ADAS13 scores.

DISCUSSION

In this study, we investigated the impact of WMHs on GM segmentations, specifically for the *Freesurfer* segmentation tool, in order to determine whether WMHs might lead to systematic segmentation errors in certain GM regions, and whether such errors might impact associations between GM volumes and clinical outcomes. We found such errors in a number of regions, and in the caudate in particular it propagated to the association with clinical variables.

We found that overlapping voxel volumes between WMH and GM segmentations were significantly associated with overall WMH burden (Table 2), indicating higher error rates for subjects with high WMH loads. This affected both cortical and subcortical structures, and in particular the caudates bilaterally. Uncorrected, caudate volumes showed a significant *increase* with age, which is highly unlikely to be a real effect given that all regions, except the caudate, have been shown to decline in late-life cognitively healthy individuals (Potvin et al., 2016b, 2016a). This was further improbable given that the population of this study consists of not only aging individuals but also patients with MCI and AD, which are known to have increasing levels of atrophy across cortical areas. In contrast, the corrected caudate volumes significantly decreased with age, as could be expected. Given that WMHs are highly prevalent in the periventricular regions (i.e. white matter areas surrounding the caudate), the significant increase estimate is likely due to the fact that WMH burden increases with age and AD and MCI patients tend to have higher WMH loads and faster WMH progression.

Along the same line, corrected caudate volumes showed significant differences between AD and cognitively healthy cohorts, as well as significant associations with cognitive performance in the expected directions, whereas the uncorrected volumes were not significantly different and in the opposite direction. This again highlights the fact that if uncorrected for overlapping WMHs, estimates of caudate volumes can lead to incorrect or unreliable findings, particularly in populations with high prevalence of WMHs.

While other GM regions also had overlaps with WMHs that were significantly associated with the overall WMH burden (e.g. cerebral cortex, putamen), the amount and percentage of these overlaps were not nearly as high as caudate (17, 22, 80, and 74 mm³ versus 217 and 223 mm³ or 0.4%, 0.5%, 0.04%, and 0.04% versus 6.1% and 6.5%), and therefore, they did not affect their overall estimates and associations with age, diagnosis, and ADAS13. However, this might not be the case in other populations, where WMHs are more prevalent, or have different distributions.

FreeSurfer is one of the most widely used publicly available brain segmentation tools. Large databases such as the UK Biobank provide GM structure volumes derived from *FreeSurfer* to researchers. In line with our findings, other researchers have reported a positive association between WMH load and *FreeSurfer* caudate volumes in the UK biobank participants (Morys et al., 2020) and in another large sample of cognitively healthy individuals (Potvin et al., 2017a, 2017b). Studies investigating a larger age range report a U-shape curve for caudate volumes, decreasing from early adulthood to the 60s, and then increasing afterwards (Fjell et al., 2013, 2009; Goodro et al., 2012; Pfefferbaum et al., 2013; Potvin et al., 2016b; Walhovd et al., 2011). Given that WMHs generally occur in this same age range (i.e. after 60s), these results are also likely due to the segmentation errors caused by presence of WMHs in older participants.

Specifically for this algorithm, our study emphasizes the need for correcting *FreeSurfer* GM volume estimates for WMHs, particularly for the caudate. However, it is likely that other algorithms exhibit the same behavior. Evidence can be found in the literature, for example in the works by Goodro et al. and Pfefferbaum et al. using FSL volumes (Goodro et al., 2012; Pfefferbaum et al., 2013). Developers and users alike should therefore be aware of the possibility of systematic bias from not taking into account WMHs in GM segmentation from T1w images.

In conclusion, the presence of WMHs can lead to systematic errors in GM segmentations in certain regions, particularly in the caudate, which, if not corrected, can impact findings in populations with high WMH prevalence.

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