

Perivascular pumping in the mouse brain: Realistic boundary conditions reconcile theory, simulation, and experiment

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1 **Cerebrospinal fluid (CSF) flows through the perivascular spaces sur-
2 rounding cerebral arteries. Revealing the mechanisms driving its
3 flow would bring improved understanding of brain waste transport
4 and insights for disorders including Alzheimer's disease, stroke, and
5 traumatic brain injury. In vivo CSF velocity measurements in mice
6 have been used to argue that flow is driven primarily by the pulsatile
7 motion of artery walls — perivascular pumping. However, fluid dy-
8 namics theory and simulation have predicted that perivascular pump-
9 ing produces flows differing from in vivo observations starkly, partic-
10 ularly in the phase and relative amplitude of flow oscillation. Here we
11 show that coupling theoretical and simulated flows to realistic end
12 boundary conditions, using resistance and compliance values mea-
13 sured in mice, results in velocities that match observations closely in
14 phase, relative amplitude of oscillation, and mean flow speed. This
15 new, quantitative agreement among theory, simulation, and in vivo
16 measurement further supports the idea that perivascular pumping is
17 a primary CSF driver in physiological conditions.**

cerebrospinal fluid, glymphatic system, brain, perivascular pumping, compliance, hydraulic resistance

1 **C**erebrospinal fluid (CSF) flows throughout the skull, and
2 its motion plays an important role in the mass transport.
3 A brain-wide fluid pathway, the glymphatic system (1), has
4 been proposed to bring flowing fluid close to much or all of
5 the brain parenchyma, enabling waste evacuation and nutri-
6 ent/neurotransmitter delivery at rates more rapid than would
7 be possible with diffusion alone, and acting almost exclusively
8 during sleep. In vivo observations in mice (2) and rats (3, 4)
9 have shown brain-wide mass transport consistent with that
10 proposal. CSF flow has been observed to vary with electro-
11 physiologically activity in the brain (5) and to play a key role
12 in stroke (6). CSF likely exits the skull via several routes,
13 including uptake at the arachnoid villi and efflux via lymph
14 vessels (7–9).

15 CSF has long been known to flow in the perivascular spaces
16 (PVSs) that surround arteries in the brain (10, 11). Real-
17 time in vivo imaging has provided strong evidence that CSF
18 in PVSs pulses in synchrony with the cardiac cycle and has
19 mean flow direction parallel, not antiparallel, to the blood
20 flow (12, 13). Though some papers argue that the mean
21 flow proceeds in the opposite direction (13–15) and through
22 basement membranes in the artery wall, fixation artifacts may
23 undermine post-mortem tracer distribution as an indicator
24 of flow (12, 16). A recent review (17) summarizes current
25 knowledge of mass transport in brain tissue.

26 Pulsation in synchrony with the cardiac cycle suggests
27 a causal link between CSF flow in PVSs and blood flow.

Hadaczek et al. (18) proposed that the dilations and constrictions traveling along artery walls with each heart beat might drive CSF in the same direction, in a peristalsis-like mechanism they dubbed “perivascular pumping.” As evidence, they presented experimental results showing that macromolecules injected into the central nervous systems of rats were transported further in animals with beating hearts than in animals whose hearts had recently been stopped. Iliff et al. (19) presented additional evidence in support of the hypothesis. Peristalsis is known to occur in other parts of the body, including the urethra and digestive system (20). More recent theoretical (14, 21) and numerical (22–24) studies have indeed shown that net fluid motion is possible (except when dilations and constrictions do not travel (25)).

CSF flow is linked to blood flow via another mechanism as well. Consistent with the conservation of mass (sometimes called the Monro-Kellie doctrine in this context), blood entry into the rigid skull must be accompanied by CSF exit, and vice-versa. Though local regions are more flexible than the skull, expansion of blood vessels often implies reduction of nearby PVS volumes. Local variation of blood flow with metabolic demand (functional hyperemia) affects CSF flow (26), causes important coupling to electrophysiological activity (5) and drives pathological CSF flow during stroke (6). It is a promis-

Significance Statement

The brain is immersed in cerebrospinal fluid, whose flow has long been thought to remove metabolic wastes and transport neurotransmitters, in addition to offering a potential path for drug delivery. Fluid has been hypothesized to enter the deep brain along spaces that surround arteries, but the mechanisms driving flow there have been debated. Experiments suggest artery wall pulsation drives the fluid in healthy conditions, but theories and simulations have predicted that wall-driven flows would have stronger oscillations and different phase than what is observed. We show that coupling those predictions to a simple but realistic model of the rest of the fluid pathway reconciles the differences, so that theory, simulation, and experiment agree.

ALGR carried out the laboratory experiments and analyzed the resulting data. JKS carried out the simulations and analyzed the resulting data. MN participated in the design of the study and revised the manuscript. DHK conceived of the study, designed the study, and drafted the manuscript. All authors gave final approval for publication and agree to be held accountable for the work performed therein.

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52 ing topic for further study which we will leave for future work.

53 Perivascular pumping has been studied analytically (see
54 (27) for a recent review), but the flows predicted using rea-
55 sonable approximations and realistic parameters differ starkly
56 from in vivo observations. Schley et al. (14) produced an
57 analytic prediction of the flow due to perivascular pumping
58 in an open, two-dimensional, Cartesian space, based on the
59 lubrication approximation and rigorous in the case of long
60 wavelengths. For sinusoidal dilations and constrictions with a
61 $b = 0.3 \mu\text{m}$ half-amplitude traveling at $c = 1 \text{ m/s}$ on one wall
62 of a channel with width $H = 40 \mu\text{m}$, their theory predicts a
63 flow in which the mean downstream velocity is $0.034 \mu\text{m/s}$.
64 Later in vivo measurements found a mean downstream velocity
65 of $18.7 \mu\text{m/s}$ (12). Allowing for uncertainty in the input
66 parameters and for the analytic simplifications involved, par-
67 ticularly the geometric differences between a two-dimensional
68 Cartesian space and a three-dimensional annular space, the
69 prediction and observations seem to agree reasonably well.
70 Analysis and observations disagree, however, on the phase and
71 relative amplitude of oscillation. Flow oscillation is predicted
72 to lag the wall velocity (which we define as the rate of PVS
73 channel constriction, consistent with (12)) by $\varphi = 270^\circ$, but
74 in vivo observations indicate flow oscillations lag wall velocity
75 by $\varphi = 353^\circ$. The ratio of oscillatory to steady amplitude pre-
76 dicted analytically is $\gamma = 22, 200$, but in observations, dividing
77 the peak root-mean-square velocity oscillation by the mean
78 downstream velocity yields $\gamma = 0.53$. Thus if the mean flow
79 were the same, oscillations in observed flows would need to be
80 about 40,000 times faster in order to match the prediction.

81 Wang and Olbricht (21), also using lubrication theory and
82 the long-wavelength approximation, produced an analytic pre-
83 diction of the flow due to perivascular pumping in a cylindrical
84 annulus filled with a porous medium. For sinusoidal dilations
85 and constrictions with the same $0.3 \mu\text{m}$ half-amplitude and the
86 same speed 1 m/s , traveling on the inner wall of an annulus
87 with inner radius $r_1 = 30 \mu\text{m}$ and outer radius $r_2 = 70 \mu\text{m}$,
88 with porosity $\varepsilon = 1$, their theory predicts a flow with mean
89 downstream velocity $10.13 \mu\text{m/s}$, quite close to the $18.7 \mu\text{m/s}$
90 observed value. But disagreement again arises on oscillation
91 phase and amplitude. Like Schley et al. (14), Wang and Ol-
92 bricht predict a $\varphi = 270^\circ$ phase lag from wall velocity to
93 flow oscillations, disagreeing with observations. The Wang
94 and Olbricht theory predicts $\gamma = 443$, far from $\gamma = 0.53$, as
95 observed in vivo.

96 Perivascular pumping has also been studied using numerical
97 simulations, which likewise predicted flows that differ starkly
98 from in vivo observations. Kedarasetti et al. (24) recently
99 performed a series of simulations. The first set considered
100 axisymmetric flows in an open (not porous) cylindrical annulus
101 with inner radius $30 \mu\text{m}$ and outer radius $70 \mu\text{m}$. Sinusoidal
102 dilations and constrictions with half-amplitude on the order of
103 $0.3 \mu\text{m}$, speed 1 m/s , and frequency 8.67 Hz propagated on the
104 inner wall. The computational domain was one wavelength
105 long, with periodic end boundaries. Though the authors did
106 not report the mean flow speed or volume flow rate, they did
107 state that for realistic speeds, the phase of flow oscillations
108 lagged wall velocity by $\varphi = 270^\circ$, agreeing with predictions
109 from lubrication theory (14, 21) but not with in vivo obser-
110 vations (12). The authors also stated that $\gamma \sim 100$, again
111 disagreeing with in vivo observations.

112 The second set of simulations by Kedarasetti et al. (24)

113 considered flow in a three-dimensional domain whose cross-
114 sectional size and shape are similar to in vivo observations (1,
115 12, 13) and similar to annular shapes that have minimum
116 hydraulic resistance (28). Essentially, the domain lay between
117 a circular artery and an elliptical outer wall. Dilations and
118 constrictions on the inner wall propagated at $c = 1 \text{ m/s}$ with
119 frequency $f = 8.67 \text{ Hz}$ but were not sinusoidal; rather, their
120 shape and amplitude were taken from the in vivo observations
121 of Mestre, Tithof, et al. (12). The pressure was set to zero at
122 the end boundaries. The simulations predicted a time-averaged
123 centerline velocity of $102.1 \mu\text{m/s}$, in reasonable agreement with
124 the $18.7 \mu\text{m/s}$ observed in vivo. The phase difference between
125 wall velocity and flow oscillations is not stated, but judging
126 from Fig. 3c in (24), flow oscillations lag wall velocity by
127 $\varphi \approx 330^\circ$, significantly different from zero. And the ratio of
128 oscillations to steady flow was $\gamma = 290$, strikingly different
129 than $\gamma = 0.53$ as observed in vivo. Kedarasetti et al. (24) also
130 presented a third set of simulations, to be discussed below.

131 Repeatedly, analytic and numerical predictions of the mean
132 flow caused by perivascular pumping agree reasonably well (if
133 not perfectly) with each other and with mean flows observed
134 in vivo. Analytic and numerical predictions agree that flow
135 oscillations lag wall velocity by a substantial phase difference
136 (270° to 330°), but in vivo observations indicate nearly zero
137 phase difference. And when considering the relative amplitude
138 of oscillation γ , though the values vary, theory and simulations
139 have consistently predicted that perivascular pumping would
140 drive far stronger oscillations than have been observed in vivo.

141 One explanation might be that perivascular pumping is
142 not a primary driver of flows observed in vivo, as Kedarasetti
143 et al. (24) and others (23, 29–31) have argued. CSF produc-
144 tion by choroid plexus and uptake by arachnoid villi likely
145 drive some flow. Other osmotic processes might be at play.
146 Non-physiological flow induced by injection of tracer parti-
147 cles has been offered as an explanation (24, 30–34), though
148 Mestre, Tithof et al. (6) measured flow speeds that had in-
149 significant dependence on whether injection was in progress,
150 and insignificant decay after injection ceased. Those authors
151 also demonstrated that altering the artery wall motion sub-
152 stantly changed CSF flow characteristics and significantly
153 reduced the mean flow speed. Thus perivascular pumping is
154 likely to play some role. An explanation of the discrepancies
155 among theory, simulation, and experimental observation is
156 badly needed.

157 Here we present evidence that the discrepancies originate
158 from — and can be resolved with — the end boundary condi-
159 tions. The flow produced by a perivascular pump depends on
160 the pathways coupled to the pump, into which the pumped
161 fluid must pass. Those pathways can be characterized with
162 simple but realistic lumped parameters: hydraulic resistance
163 and compliance. We present in vivo measurements of those
164 parameters, then demonstrate that coupling existing analytic
165 and numerical perivascular pumping models to a lumped-
166 parameter pathway model produces flows that closely match
167 in vivo observations.

1. Lumped-parameter model for boundary conditions

168 The stunning intricacy of the brain makes it impossible to
169 study the global CSF pathway in full detail. Some mechanisms
170 are unknown, some processes occur at length and time scales
171 unmeasurable with current technology, and a full numerical

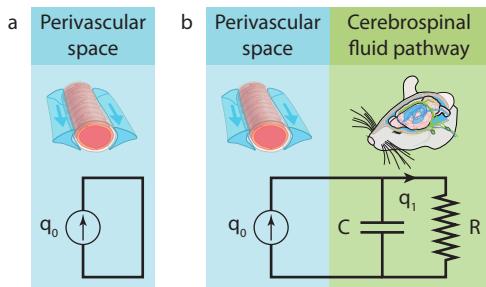


Fig. 1. (a), A lumped-parameter characterization of perivascular pumping, uncoupled from other fluid pathways. (b), A lumped-parameter characterization of perivascular pumping coupled to other fluid pathways.

simulation would overwhelm supercomputers. Thus it is practical to separate the CSF pathway into components that can be considered separately. Perivascular pumping in a PVS is most simply represented as a source that produces an average volume flow rate q_0 . Considered in isolation, it can be represented by the closed-loop fluid pathway sketched in Fig. 1a. This uncoupled pathway is the lumped-parameter representation of perivascular pumping as considered by all past theoretical and computational studies, including those described above. Periodic end boundary conditions, zero-pressure boundary conditions, and infinite domains are equivalent, in the lumped-parameter characterization, to making a direct connection between the PVS inlet and outlet.

Realistic modeling, however, requires accounting for interactions when components are connected. To understand how a peristaltic pump interacts with the rest of the CSF pathway, additional lumped parameters must be introduced, as sketched in Fig. 1b. We will characterize the rest of the CSF pathway using a compliance C , which accounts for elasticity of tissues that bound CSF spaces, and a resistance R . The hydraulic resistance of a component or pathway characterizes the difficulty of CSF passing, is analogous to electrical resistance, and is defined as the pressure difference across the component (or pathway) divided by the volume flow rate through it. More complex lumped-parameter characterizations are possible, but this one is sufficient for the discussion at hand. In particular, including both a compliance and a resistance is essential because we are interested in pulsatile flows and need to account for the characteristic timescale of the CSF pathway: RC . (Some studies discuss the same mechanics in terms of the elastance C^{-1} .)

With components modeled as in Fig. 1b, conservation of mass and energy together require that the volume flow rate q_1 through the rest of the CSF pathway satisfy

$$\frac{\partial q_1}{\partial t} + \frac{q_1}{RC} = \frac{q_0}{RC}. \quad [1]$$

Lumped-parameter characterizations of perivascular pumping and of the rest of the CSF pathway make it possible to predict the flow in the *coupled* system from the flow in the uncoupled system, if the resistance R and compliance C can be determined.

To characterize the resistance and compliance of the CSF pathway, we performed bolus-injection experiments in 7 mice, as described in Methods, using the setup shown in Fig. 2. The resulting variation of ICP over time is shown in Fig. 3. From

an average value $P_0 = 2.830 \pm 0.381$ mmHg before injection, the ICP increased suddenly to a maximum value P_{\max} , then decayed gradually. The decay was nearly exponential, as we would expect from a linear RC system. We calculated the compliance C from the pressure-volume index (PVI), as described in Methods. The resulting R and C values for $N = 7$ mice are shown in Fig. 3. The resistance is $R = (8.772 \pm 0.722) \times 10^{12}$ Pa·s/m³ = 1.097 ± 0.090 mmHg/(μL/min) (mean ± standard error of the mean). The compliance is $C = (1.349 \pm 0.139) \times 10^{-11}$ m³/Pa = 1.798 ± 0.185 μL/mmHg. The corresponding time constant is $RC = 118.3$ s.

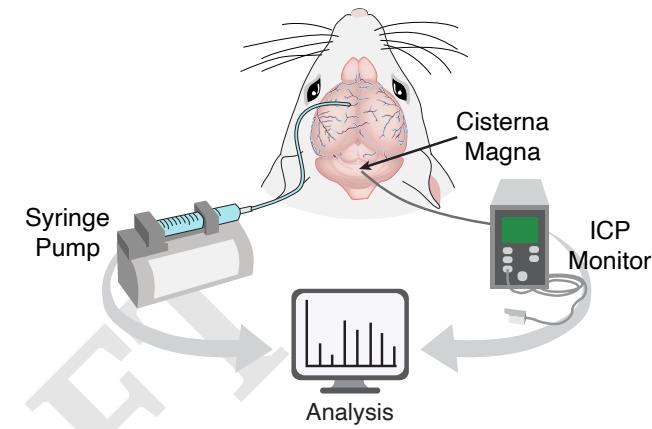


Fig. 2. Experimental setup. Injecting artificial CSF into the brain of an anesthetized mouse, we measure the resulting intracranial pressure (ICP) to determine the resistance and elastance of brain CSF spaces.

Other studies have determined the resistance and compliance of the CSF pathway. Jones (35) used a constant-rate infusion technique to measure the resistance of CSF spaces during development in normal and hydrocephalus mice. The author measured a resistance of 1.88 ± 0.37 mmHg/(μL/min) in 5-week-old mice, in good agreement with the R value reported here. The marginally higher value reported by Jones (35) may be due to the infusion method. The bolus injection method is known to underestimate the resistance derived by the constant-rate infusion method (36, 37). We also measured the resistance using the constant-rate infusion method and obtained a value of $R = 1.927 \pm 0.315$ mmHg/(μL/min) which closely matches the value reported by Jones (35). Oshio et al. (38) measured a resistance of 5.149 ± 1.103 mmHg/(μL/min) in CD-1 wild-type mice using a similar constant-rate infusion method. This higher resistance also explains their elevated resting ICP (6.988 ± 1.030 mmHg) as compared to other studies with lower ICP levels (≈ 4 mmHg) (39–41). This overestimation of the resistance and resting ICP may be due to the high pressure gradient established by the authors while the pipette was in the brain parenchyma to assess ventricle puncture (≈ 29 mmHg). In another study from the same group, Papadopoulos et al. (42) measured the PVI in CD-1 wild-type mice using the bolus injection method. They reported a value of $PVI \approx 19$ μL, higher than the values measured here ($PVI \approx 10$ μL). However, based on their resting ICP, their compliance would be $C = 1.12$ μL/mmHg. This is in the range of our C value but smaller which agrees with exponential behavior of the CSF volume-pressure curve and a higher resting ICP (43).

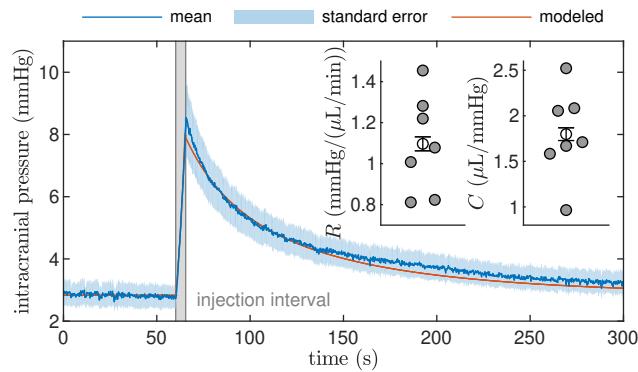


Fig. 3. Resistance and compliance of the cerebrospinal fluid pathway in mice, measured *in vivo*. After a brief and rapid fluid injection (1 $\mu\text{L}/\text{s}$ for 5 s), intracranial pressure decays with dynamics well-modeled by an RC boundary condition, as sketched in Fig. 1. From pressure variations measured in $N = 7$ animals we calculate resistance $R = 1.097 \pm 0.090 \text{ mmHg}/(\mu\text{L}/\text{min})$ and compliance $C = 1.798 \pm 0.185 \mu\text{L}/\text{mmHg}$.

2. Theoretical predictions with realistic end boundary conditions

Having characterized the perivascular pump and the CSF pathway in terms of the parameters R and C , we can now use Eq. 1 to determine the flow rate q_1 in the coupled system if the uncoupled flow rate q_0 is known. We will first determine q_1 from two analytic predictions of q_0 .

Schley et al. (14) considered a two-dimensional Cartesian domain in which one wall dilates and constricts such that the channel width varies over time and space. Here we consider the general case of sinusoidal wall motion that follows $h = \mathcal{R}\{H + ibe^{i2\pi f(\frac{x}{c}-t)}\}$, where H is the mean channel width, b is the half-amplitude of dilation and constriction, c is the wave speed, x is the streamwise spatial coordinate, and $\mathcal{R}\{\cdot\}$ denotes the real part. Henceforth, whenever complex quantities appear, we consider only their real part, dropping the $\mathcal{R}\{\cdot\}$ notation. Applying lubrication theory and considering the long-wavelength case, Schley et al. found that perivascular pumping in the uncoupled system produces flow rate $\hat{q}_0 = c(h - h_0)$, where $h_0 = \bar{h}^{-2}/\bar{h}^{-3}$. From this expression, the quantities tabulated above can be calculated directly. The mean downstream velocity is $\bar{q}_0/H = 0.034 \mu\text{m}/\text{s}$. The ratio of the amplitude of the oscillatory component to the amplitude of the steady component is $\gamma = b/(H - h_0) = 22, 200$. The phase of the oscillatory component of \hat{q}_0 is identical to the phase of h and therefore lags the wall velocity $-\partial h/\partial t$ by $\varphi = 270^\circ$.

Because the system is two-dimensional, \hat{q}_0 is an area (not volume) flow rate and Eq. 1 becomes

$$\frac{\partial \hat{q}_1}{\partial t} + \frac{\hat{q}_1}{\hat{R}\hat{C}} = \frac{\hat{q}_0}{\hat{R}\hat{C}}, \quad [2]$$

where \hat{q}_1 is the area flow rate in the coupled system, $\hat{R} = R_w$, $\hat{C} = C/w$, and w is the width of the channel in the third dimension. Since w was not part of the original theory, we must choose it. Imagining extending the two-dimensional domain to produce a rectangular channel, we match its cross-sectional area to that of the annular channel considered in (24): $w = \pi(r_2^2 - r_1^2)/H = 94 \mu\text{m}$. The solution to Eq. 2 is

$$\hat{q}_1 = c(H - h_0) - \frac{bc}{2\pi f \hat{R} \hat{C} + i} e^{i2\pi f(\frac{x}{c}-t)} + \hat{q}_2 e^{-\frac{t}{\hat{R}\hat{C}}}. \quad [3]$$

The last term is a starting transient that decays over time. Focusing our attention on fully-developed dynamics, we choose the integration constant $\hat{q}_2 = 0$. The wall velocity $\partial h/\partial t$, the uncoupled flow rate \hat{q}_0 , and the coupled flow rate \hat{q}_1 are shown in Fig. 4.

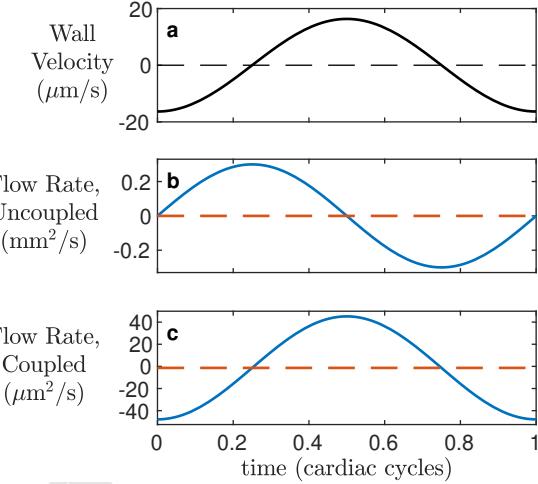


Fig. 4. Realistic boundary conditions alter the phase and relative amplitude of flow pulsations in the Schley et al. (14) solution for peristaltic pumping. (a), Artery wall velocity at $x = 0$, over one cycle. (b), Flow rate \hat{q}_0 when the peristaltic pump is uncoupled from the CSF pathway, at $x = 0$, over one cycle. (c), Flow rate \hat{q}_1 when the pump is coupled to the CSF pathway, at $x = 0$, over one cycle. Note that different units are used in panels (b) and (c). The phase and relative amplitude of flow oscillation agree closely with *in vivo* observations when coupled, but not when uncoupled.

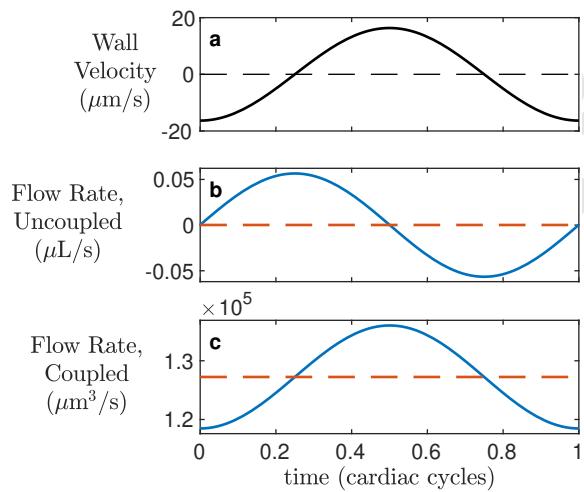
The first term in Eq. 3 gives the steady component of the flow, unchanged from the uncoupled case, and still in reasonable agreement with the *in vivo* observations, given the approximations involved in this theory. The second term gives the oscillatory component, which lags the wall velocity $-\partial h/\partial t = 2\pi f b e^{i2\pi f(x/c-t)}$ by $\varphi = \arg(-bc(2\pi f \hat{R} \hat{C} + i)^{-1}) - \arg 2\pi f b = \arctan(-2\pi f \hat{R} \hat{C})^{-1} - 0 = 0$. Coupling the perivascular pump to the rest of the CSF pathway shifts the phase of oscillation by 90° , so that the flow oscillates at nearly the same phase as the wall velocity. That phase shift is consistent with our expectations from the lumped-parameter model shown in Fig. 1: the CSF pathway acts like a first-order low-pass filter with cutoff frequency $(\hat{R} \hat{C})^{-1}$. Since $f \gg (\hat{R} \hat{C})^{-1}$, the phase shift imposed by the filter is well-approximated by $\arctan 2\pi f \hat{R} \hat{C} = 89.96^\circ$. Because of that shift, the analytic solution of (14), when coupled to the rest of the CSF pathway, predicts that wall velocity and flow oscillations will have nearly the same phase, as observed *in vivo*.

The ratio of the amplitudes of the oscillatory and steady terms in Eq. 3 is $\gamma = b(H - h_0)^{-1}(4\pi^2 \hat{R}^2 \hat{C}^2 f^2 + 1)^{-1/2} = 34.5$. Coupling the perivascular pump to the rest of the CSF pathway decreases γ by a factor of more than 600. That decrease is consistent with our expectations from the lumped-parameter model shown in Fig. 1. Since $f \gg (\hat{R} \hat{C})^{-1}$, the gain of the lowpass filter at frequency f is well-approximated by $(1 + 2\pi f \hat{R} \hat{C})^{-1} = 1.551 \times 10^{-4} = 1/645$. Without coupling, $\gamma = 22, 200$, disagreeing by many orders of magnitude with $\gamma = 0.53$ measured *in vivo*. Coupling the analytic prediction of (14) to the rest of the CSF pathway, however, brings much closer agreement to *in vivo* observations, especially considering

329 that the theory is two-dimensional and Cartesian.

330 Wang and Olbricht (21) considered a porous, axisymmetric
 331 cylindrical annulus in which the inner wall dilates
 332 and constricts such that the channel width (distance be-
 333 tween inner and outer walls) varies over time according
 334 to $h = r_2 - r_1 + i b e^{i 2 \pi f (\frac{x}{c} - t)}$. Applying lubrication the-
 335 ory and considering the long-wavelength case, they found
 336 that perivascular pumping in the uncoupled system and in
 337 the absence of other pressure gradients produces flow rate
 338 $q_0 = -2\pi\varepsilon c r_2^2 / (\alpha_- + \alpha_+) + \pi\varepsilon c (r_2^2 - h^2)$, where ε is the poros-
 339 ity of the space, which we presume to be open ($\varepsilon = 1$), and
 340 $\alpha_{\pm} = ((1 \pm r_1/r_2)^2 - (b/r_2)^2)^{-1/2}$. From these expressions,
 341 the quantities tabulated above can be calculated directly. The
 342 mean downstream velocity is $\bar{q}_0 / \pi / (r_2^2 - r_1^2) = 10.13 \mu\text{m/s}$. The ratio of the amplitude of the oscillatory component to the
 343 steady component is $\gamma = 443$. The phase of the oscillatory
 344 component of q_0 is identical to the phase of h and therefore
 345 lags the wall velocity $-\partial h / \partial t$ by $\varphi = 270^\circ$.

346 Using Eq. 1, we can solve for q_1 . The result is plotted in
 347 Fig. 5, along with the wall velocity $-\partial h / \partial t$ and the uncoupled
 348 flow rate q_0 . (The analytic form of q_1 is lengthy, so we do
 349 not repeat it here.) Again, we neglect the transient term, and
 350 the mean downstream velocity is not changed by coupling
 351 the perivascular pump to the rest of the CSF pathway. The
 352 oscillatory component of q_1 lags the wall velocity $-\partial h / \partial t$ by
 353 $\varphi = 359.9^\circ$, agreeing well with in vivo observations. The ratio
 354 of the amplitude of the oscillatory component to the steady
 355 component is $\gamma = 0.069$, agreeing well with $\gamma = 0.53$ observed
 356 in vivo.



357 **Fig. 5.** Realistic boundary conditions alter the phase and relative amplitude of flow
 358 pulsations in the Wang and Olbricht (21) solution for peristaltic pumping. (a), Artery
 359 wall velocity over one cycle. (b), Flow rate \dot{q}_0 when the peristaltic pump is uncoupled
 360 from the CSF pathway, at $x = 0$, over one cycle. (c), Flow rate \dot{q}_1 when the pump is
 361 coupled to the CSF pathway, at $x = 0$, over one cycle. Note that different units are
 362 used in panels (b) and (c). The phase and relative amplitude of flow oscillation agree
 363 closely with in vivo observations when coupled, but not when uncoupled.

3. Simulation predictions with realistic end boundary conditions

364 Having demonstrated the effects of realistic end boundary
 365 conditions on two existing theoretical predictions, we now
 366 demonstrate the effect on existing predictions from simulation.

367 As described above, the second set of simulations presented by
 368 Kedarasetti et al. (24) considered flow in a three-dimensional
 369 domain whose cross-sectional shape and size are similar to
 370 in vivo observations. The inner wall was made to dilate and
 371 constrict according to wall velocity measured in vivo (12); the
 372 wall velocity is plotted in Fig. 6a. The pressure was set to
 373 zero at end boundaries, again with the system isolated from
 374 the rest of the CSF pathway. Perivascular pumping produced
 375 the centerline velocity shown in Fig. 6b. As mentioned above,
 376 the time-averaged centerline velocity was $102.1 \mu\text{m/s}$, the flow
 377 oscillations lag wall velocity by $\varphi \approx 330^\circ$, and the ratio of
 378 oscillations to steady flow was $\gamma = 290$.

379 The cross-sectional mean velocity is not given in (24), but
 380 it is surely similar to the centerline velocity, perhaps smaller
 381 by 20-40%. Approximating the mean velocity as the centerline
 382 velocity, we can use the data shown in Fig. 6b to solve Eq. 1
 383 numerically with a simple forward-Euler scheme. The cross-
 384 sectional area that relates mean velocities to volume flow rates
 385 is arbitrary, being the same for both q_0 and q_1 . The result,
 386 shown in Fig. 6c, shows the centerline velocity predicted by the
 387 Kedarasetti et al. (24) simulation with realistic end boundary
 388 conditions, accounting for coupling to the rest of the CSF
 389 pathway. The time-averaged centerline velocity is $102.1 \mu\text{m/s}$,
 390 in good agreement with the $18.7 \mu\text{m/s}$ observed in vivo. The
 391 peak of the centerline velocity lags the peak of the wall velocity
 392 by $\varphi = 356^\circ$, similar to the in vivo observations. The ratio
 393 of the amplitude of oscillations to steady flow is $\gamma = 0.021$,
 394 similar to the $\gamma = 0.53$ observed in vivo. For comparison,
 395 Fig. 6 shows the oscillatory velocity as measured in vivo. Its
 396 magnitude, phase, zero-crossing, and shape all resemble the
 397 prediction we can make by coupling the simulation results to
 398 realistic end boundary conditions.

4. Discussion

399 The central point of the Kedarasetti et al. study (24), as stated
 400 in its title, was to disprove the perivascular pumping hypoth-
 401 esis, particularly as supported by the experimental evidence
 402 of Mestre, Tifhof, et al. (12). To do so, those authors per-
 403 formed a series of simulations, finding disagreement with the
 404 in vivo observations and going on to conclude that perivascular
 405 pumping could not possibly drive the flows observed in vivo.
 406 However, those authors failed to couple their simulations to
 407 realistic end boundary conditions, and therefore did not rep-
 408 resent the physiological system realistically. When we couple
 409 their results to realistic end boundary conditions, we find that
 410 their simulations of flow driven by perivascular pumping —
 411 and only perivascular pumping — closely match the flows
 412 observed in vivo by Mestre, Tifhof, et al. Moreover, coupling
 413 two prior theoretical predictions (14, 21) to realistic boundary
 414 conditions likewise produces flows that closely match the in
 415 vivo observations. That broad agreement among four inde-
 416 pendent studies provides perhaps the strongest evidence yet that
 417 perivascular pumping is indeed the primary driver of CSF flow
 418 in PVSs under physiological conditions.

419 Our quantitative results are summarized in Table 1. The
 420 velocity \bar{u} is averaged over the channel, except in the case of the
 421 Kedarasetti predictions, where centerline velocity was given.
 422 Uncoupled, predictions from theory and simulation all produce
 423 mean speeds roughly similar to in vivo observations, phase
 424 shifts much larger than in vivo observations, and oscillation
 425 ratios much larger than in vivo observations. Coupling to

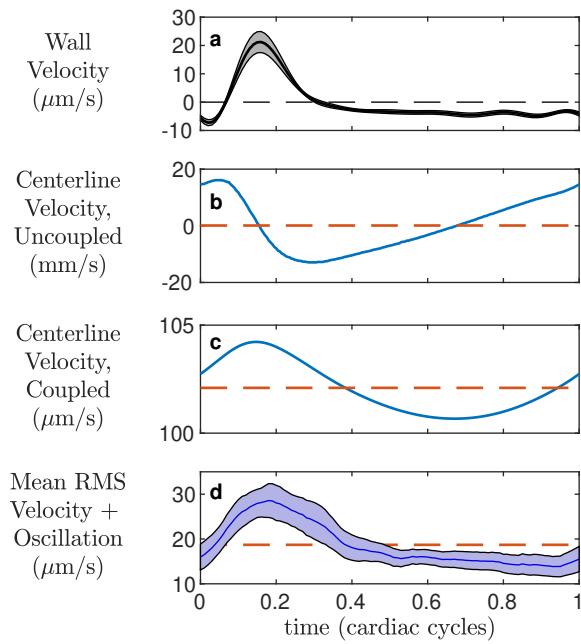


Fig. 6. Realistic boundary conditions bring good agreement between the fluid dynamical simulations of Kedarasetti et al. (24) and in vivo measurements. (a), In vivo measurements of artery wall velocity in the peri-arterial space surrounding the middle cerebral arteries of mice. The curve indicates the mean, and the shaded region indicates the standard error of the mean, over 7 mice. From (12). (b), Centerline fluid velocity in the simulations of (24), as driven by the wall velocity shown in (a). (c), Centerline fluid velocity after coupling to realistic boundary conditions, calculated numerically using Eq. 1, from the simulation results in (b). (d), In vivo measurements of oscillation of the root-mean-square velocity, in the same 7 experiments as in (a). The curve indicates the mean, and the shaded region indicates the standard error of the mean. Note that different units are used in panels (b) and (c). With realistic boundary conditions, the phase, relative oscillation amplitude, and oscillation shape are similar in simulations and in vivo observations.

realistic, lumped-parameter boundary conditions, based on our in vivo measurements, brings agreement in phase and oscillation ratio, in addition to mean speed.

One key implication of our findings is the general importance of using realistic boundary conditions when making predictions from theory or simulation. To the extent that the dynamics are linear, a lumped-parameter model can be coupled to theory or simulation a posteriori, as we have done here. However, in a case where nonlinear behaviors are appreciable, likely if the Womersley number or Reynolds number is large, accuracy requires including realistic boundary conditions in the theory or simulation itself. Lumped-parameter models are used routinely in simulations of cardiovascular flows, either as standalone models of the circulatory physiology, or coupled

to hydrodynamic models as boundary conditions (44–46). Unfortunately, the $RC = 118.3$ s $\gg f^{-1}$ time constant we measure presents a particular challenge when simulating the CSF pathway. Transients decay on the RC timescale (see Eq. 3), so observing fully-developed dynamics will require simulating many cardiac cycles, at substantial and perhaps impractical computational expense.

The lumped-parameter model used in this study was the simplest two-element Windkessel model; the model successfully captures the decay constant and phase relation in our study of perivascular flows. The three-element model, which adds a resistance (or impedance) in series with the RC circuit, captures high-frequency dynamics measured for aortic impedance in vivo, and hence is used widely in the cardiovascular community (47–49). Without measurements of glymphatic impedance over a wide frequency range, however, the need for a more complex model for perivascular flow is currently speculative, and may be the subject of future work.

Kedarasetti et al. (24) presented a third set of simulations in which the outer PVS wall was compliant, deforming in response to fluid pressure changes. As Sec. 1 describes, adding compliance to the system results in the dynamics of a lowpass filter, consistent with the fact that the oscillation ratio was much lower in that set of simulations. Also included was a prescribed pressure difference of order 0.01 mmHg, which drove mean flow through the low-resistance PVS. However, that boundary condition is again unrealistic, because the pressure at the ends of the PVS would be affected by coupling to the rest of the CSF pathway. Pial PVSs connect to a network of distal PVSs and interstitial space with much higher resistance, implying that much greater pressure differences would be required to drive flow.

We presented results of coupled flows in domains that are at least one wavelength in length. Asgari et al. (23) simulated a domain that is much shorter than the peristaltic wavelength, 0.1 to 0.2%, which is more physiologically realistic. Similar to others (14, 21, 24), they predict a flow rate with large $\gamma = 4,280$. However, their flow rate is nearly in phase with the wall velocity, in agreement with the in vivo measurements of Mestre, Tithof, et al. (12). The domain length likely results in a phase shift, which was also observed by Kedarasetti et al. in their simulation of a short domain (24). Coupling these sub-wavelength simulations to realistic resistance and compliance would likely match the γ of Mestre, Tithof, et al. (12), but the effect of realistic end boundary conditions on perivascular pumping in a domain shorter than a wavelength is unknown. We plan to study the effects of domain length in future work.

Our findings are subject to caveats. Most importantly, we have approximated the resistance R and compliance C of the rest of the CSF pathway — that is, all but the pial PVS — with values calculated from brain-wide measurements following cisterna magna injections. The pial perivascular space itself likely influences the dynamics we measured. Pathways parallel to the pial PVS, not connected to it, are also likely to affect R and C . Measuring those parameters more locally, in a way that distinguishes the resistance and compliance of the CSF pathway connected to a pial PVS from other CSF pathways, is an important topic for future work. That said, inaccuracies in R and C are unlikely to affect our key conclusions, since $RC \gg f^{-1}$ in any case. As mentioned above, the phase is less sensitive than the relative oscillation amplitude. We

Table 1. Summarized flow characteristics from theoretical predictions, simulation predictions, and in vivo observations.

	\bar{u} (μm/s)	φ	γ
uncoupled Schley prediction	0.034	270°	22,200
uncoupled Wang prediction	10.13	270°	443
uncoupled Kedarasetti prediction	102.1	330°	290
coupled Schley prediction	0.034	0°	34.5
coupled Wang prediction	10.13	359.9°	0.069
coupled Kedarasetti prediction	102.1	356.4°	0.021
in vivo observations	18.7	353°	0.53

498 expect that more accurate measurements of the rest of the
499 CSF pathway would find resistance to be higher, not lower,
500 because our brain-wide measurements are likely affected by
501 shunt paths that allow CSF to exit the skull without passing
502 through the brain parenchyma, as proposed recently (50, 51).
503 Accuracy might also be improved by accounting for the internal
504 resistance of the perivascular pump itself. However, when we
505 estimated it using the known resistance of a concentric circular
506 annulus of realistic size, our results changed little.

507 Our findings suggest that not only the mean flow \bar{u} , but
508 also the phase φ and the normalized oscillation amplitude
509 may vary with the state of wakefulness. Iontophoresis
510 measurements have shown that the interstitial space in murine
511 brain parenchyma increases 60% during sleep, and tracer
512 measurements showed that mass transport through brain tissue
513 increased by an order of magnitude (2). Thus it seems the
514 mean flow increases during sleep. We hypothesize that the
515 expanded interstitial space lowers the resistance R of the CSF
516 pathway and therefore changes γ and φ as well, as expected
517 from Eq. 1. We expect γ to be more sensitive to wakefulness
518 state than φ , because the phase shift of a lowpass RC filter
519 is nearly flat when $f \gg RC$. Future work might test this
520 hypothesis. Other physiological changes that resize interstitial
521 spaces, such as altering the osmotic potential (52), are likely
522 to have similar effects.

523 An improved understanding of the mechanisms that drive
524 CSF flow in the brain remains an important topic for future
525 work. We have shown here that results from theory, simulation,
526 and experiment are all consistent with perivascular pumping
527 being a primary driver in physiological conditions. We hope
528 our analysis will lead to more precise quantification of flows
529 and driving mechanisms. Other mechanisms are known to
530 dominate in pathological conditions like stroke (6) and to
531 play a role in physiological conditions as well. Seeking flow
532 and mechanisms at frequencies other than the heart rate,
533 including the 0.05 Hz range of ventricular flow observed by (5),
534 is a promising topic for future study. With realistic boundary
535 conditions, first-principles simulations might be precise enough
536 to quantify what fraction of the mean flow, if any, cannot be
537 driven by arterial pulsations.

538 Materials and Methods

539

540 **Animals and surgical preparation.** We used 8- to 12-week-old male
541 C57BL/6 mice acquired from Charles River Laboratories (Wilmington,
542 MA, USA). In all experiments, animals were anesthetized with
543 a combination of ketamine (100 mg/kg) and xylazine (10 mg/kg)
544 administered intraperitoneally. Depth of anesthesia was determined
545 by the pedal reflex test. The pedal reflex was tested every 5 to
546 10 min during the infusion experiment to ensure proper anesthesia
547 throughout the study. If the mouse responded to toe pinch an
548 additional 1/10 of the initial dosage was given and the infusion
549 experiment was delayed until full unconsciousness was obtained.
550 Body temperature was maintained at 37.5°C with a rectal probe-
551 controlled heated platform (Harvard Apparatus). Anesthetized mice
552 were fixed in a stereotaxic frame, and two cannulae were implanted
553 into the right lateral ventricle (0.85 mm lateral, 2.10 mm ventral and
554 0.22 mm caudal to bregma) and the cisterna magna, as previously
555 described (53). All experiments were approved by the University
556 Committee on Animal Resources of the University of Rochester
557 Medical Center (Protocol No. 2011-023), and an effort was made
558 to minimize the number of animals used.

559 **Evaluation of CSF dynamics.** We measured hydraulic resistance and
560 compliance using bolus injection, an approach introduced by Mar-

561 marou et al. (43). We injected fluid briefly and rapidly, measuring
562 the resulting change in intracranial pressure (ICP), to estimate
563 an impulse response, approximating the CSF pathway as a linear
564 RC system. Using a computer-controlled syringe pump (Harvard
565 Apparatus Pump 11 Elite), we injected $V = 5 \mu\text{L}$ of artificial CSF
566 (126 mM NaCl, 2.5 mM KCl, 1.25 mM NaH_2PO_4 , 2 mM MgSO_4 ,
567 2 mM CaCl_2 , 10 mM glucose, 26 mM NaHCO_3 ; pH 7.4 when gassed
568 with 95% O_2 and 5% CO_2) at 1 $\mu\text{L/s}$ into the right lateral ventricle.
569 We monitored ICP via the cisterna magna cannulation connected to
570 a transducer attached to a pressure monitor (BP-1, World Precision
571 Instruments Inc., Sarasota, FL). We have verified that the results do
572 not change appreciably if we instead inject into the cisterna magna
573 and measure ICP in the ventricle. ECG and respiratory rate were
574 also acquired using a small animal physiological monitoring device
575 (Harvard Apparatus). All the signals were recorded at 1 kHz and
576 digitized with a Digidata 1550A digitizer and AxoScope software
577 (Axon Instruments).

578 We calculated the compliance C from the pressure-volume index
579 (PVI): $C = \log_{10} e \cdot \text{PVI}/P_0$, where e is the base of the natural
580 logarithm. The PVI is defined as the volume of fluid required to
581 cause a tenfold pressure increase during bolus injection:

$$\text{PVI} = \frac{V}{\log_{10} \frac{P_{\max}}{P_0}}.$$

582 The resistance R can be estimated as

$$R = \frac{tP_0}{\text{PVI} \log_{10} \frac{P(t)(P_{\max}-P_0)}{P_{\max}(P(t)-P_0)}}, \quad [4]$$

583 where $P(t)$ is the pressure measured at time t . We expect R to be
584 nearly constant, but to increase accuracy, we estimate R for each
585 animal by averaging the results of Eq. 4 at five evenly-spaced times
586 during the experiment.

587 Data is available from the authors upon reasonable request.

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