

# 1 Drift and termination of spiral waves 2 in optogenetically modified cardiac 3 tissue at sub-threshold illumination

4 **Sayedeh Hussaini**<sup>1,2,5</sup>, **Vishalini Venkatesan**<sup>1,4</sup>, **Valentina Biasci**<sup>7,10</sup>, **José M.**  
5 **Romero Sepúlveda**<sup>8</sup>, **Raúl A. Quiñonez Uribe**<sup>1,2,5</sup>, **Leonardo Sacconi**<sup>7,8,9</sup>, **Gil Bub**<sup>11</sup>,  
6 **Claudia Richter**<sup>1,4,5</sup>, **Valentin Krinski**<sup>1,5,6</sup>, **Ulrich Parlitz**<sup>1,2,5</sup>, **Rupamanjari**  
7 **Majumder**<sup>1,5</sup>, **Stefan Luther**<sup>1,2,3,5\*</sup>

\*For correspondence:  
[stefan.luther@ds.mpg.de](mailto:stefan.luther@ds.mpg.de)

8 <sup>1</sup>Research Group Biomedical Physics, Max Planck Institute for Dynamics and  
9 Self-Organization, Goettingen, Germany; <sup>2</sup>Institute for the Dynamics of Complex Systems,  
10 Goettingen University, Goettingen, Germany; <sup>3</sup>University Medical Center Goettingen,  
11 Institute of Pharmacology and Toxicology, Goettingen, Germany; <sup>4</sup>University Medical  
12 Center Goettingen, Clinic of Cardiology and Pneumology, Goettingen, Germany; <sup>5</sup>German  
13 Center for Cardiovascular Research, Partner Site Goettingen, Goettingen, Germany;  
14 <sup>6</sup>INPHYNI, CNRS, Sophia Antipolis, France; <sup>7</sup>European Laboratory for Non-Linear  
15 Spectroscopy, 50019, Sesto Fiorentino (FI), Italy; <sup>8</sup>Institute for Experimental  
16 Cardiovascular Medicine, University of Freiburg, 79110 Freiburg, Germany; <sup>9</sup>National  
17 Institute of Optics, National Research Council, 50125, Florence, Italy; <sup>10</sup>Division of  
18 Physiology, Department of Experimental and Clinical Medicine, University of Florence,  
19 50134, Florence, Italy; <sup>11</sup>Department of Physiology, McGill University, Canada

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## 21 **Abstract**

22 The development of new approaches to control cardiac arrhythmias requires a deep understanding  
23 of spiral wave dynamics. Optogenetics offers new possibilities for this. Preliminary experiments  
24 show that sub-threshold illumination affects electrical wave propagation in the mouse heart.  
25 However, a systematic exploration of these effects is technically challenging. Here, we use  
26 state-of-the-art computer models to study the dynamic control of spiral waves in a two-dimensional  
27 model of the adult mouse ventricle, using stationary and non-stationary patterns of sub-threshold  
28 illumination. Our results indicate a light intensity-dependent increase in cellular resting membrane  
29 potentials, which together with diffusive cell-cell coupling leads to the development of spatial  
30 voltage gradients over differently illuminated areas. A spiral wave drifts along the positive gradient.  
31 These gradients can be strategically applied to ensure drift-induced termination of a spiral wave,  
32 both in optogenetics and in conventional methods of electrical defibrillation.

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## 34 **Introduction**

35 Emergence of reentrant electrical activity, often in the form of spiral and scroll waves, is associ-  
36 ated with the development of life-threatening heart rhythm disorders, known as cardiac arrhyth-  
37 mias (Krinski, 1968; Davidenko et al., 1990, 1991; Pertsov et al., 1993). These abnormal waves  
38 stimulate the heart to rapid, repetitive and inefficient contraction, either in a periodic manner,  
39 as in the case of monomorphic ventricular tachycardia (mVT) (Cysyk and Tung, 2008), or in a

40 quasi-periodic to chaotic manner, as in the case of polymorphic ventricular tachycardia (pVT) and  
41 fibrillation (**Antzelevitch and Burashnikov, 2001**). The state-of-the-art technique for controlling the  
42 dynamics of these abnormal waves involves global electrical synchronization. This is achieved by  
43 applying high-voltage electric shocks to the heart (**Wathen et al., 2004a**). However, such shocks are  
44 often associated with severe side effects, such as unwanted tissue damage (**Babbs et al., 1980**) and  
45 the development of mental disorders such as anxiety and depression in patients who experience  
46 intense pain and trauma each time the shock is delivered (**Newall et al., 2007; de Ornelas et al., 2013**). Therefore, alternative low-energy approaches for treatment are in great demand.

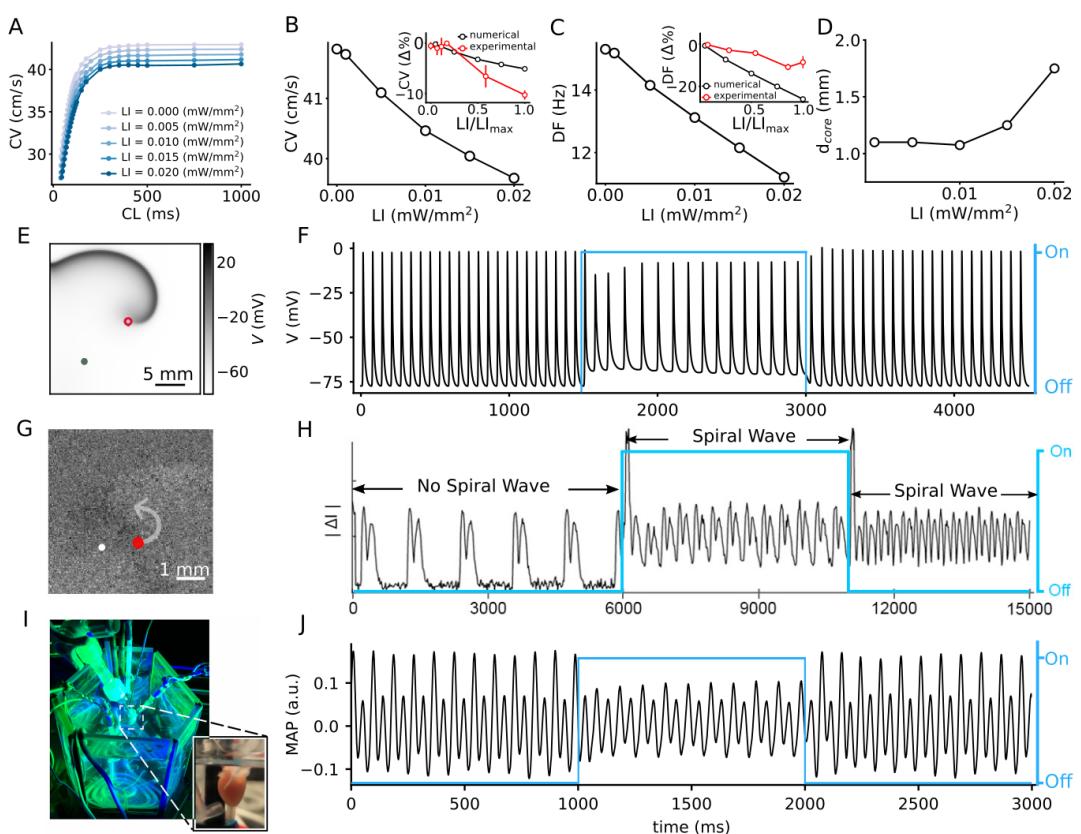
47 One of the most remarkable techniques that has emerged from the above-mentioned concept  
48 of low-energy control is Anti-Tachycardia Pacing (ATP) (**Wathen et al., 2004b**). A biomedical device  
49 such as a standard implantable cardioverter defibrillator (ICD) is designed to detect the occurrence  
50 of an arrhythmia. The ATP method is based on coupling this property of the device to a local source  
51 that sends a train of electric waves in the heart to drive the spiral wave in a desired direction (**Bittihn  
52 et al., 2008**). In a finite domain, the forced drift eventually causes the phase singularity at the tip of  
53 the spiral wave to collide with an inexcitable boundary, ensuring its elimination (**Gottwald et al.,  
54 2001**). Despite its ability to control mVT and pVT, (**Wathen et al., 2004b**), the ATP method proves to  
55 be sub-optimal in controlling high-frequency arrhythmias and arrhythmias associated with pinned  
56 spiral waves (**Pumir et al., 2010**). Subsequent improvements by **Fenton et al. (2009)**, **Luther et al.  
57 (2011)**, **Li et al. (2009)** and **Ambrosi et al. (2011)** reduced the defibrillation threshold and fatal side  
58 effects, but still leave room for further improvement in optimization.

59 Recently, optogenetics has emerged as a promising tool for studying wave dynamics in cardiac  
60 tissue, overcoming some major challenges in imaging and probing (**Deisseroth, 2011**). In particular,  
61 its capabilities have been extensively used to study the mechanisms underlying the incidence,  
62 maintenance and control of cardiac arrhythmias (**Bruegmann et al., 2010, 2016; Nyns et al., 2016;  
63 Crocini et al., 2017; Quiñonez Uribe et al., 2018**), and to address questions of a fundamental  
64 nature, e.g. the possibility to exercise control over the chirality (**Burton et al., 2015**) and core  
65 trajectories (**Majumder et al., 2018**) of spiral waves. All these studies demonstrate manipulation  
66 or abrupt termination of spiral waves by supra-threshold optical stimulation, i.e. stimulation that  
67 has the ability to trigger action potentials in individual cells and initiate new waves in extended  
68 media. However, very little is known about the use of optogenetics in the sub-threshold stimulation  
69 régime, which is why we have decided to investigate it in our present work. In this study we use two-  
70 dimensional (2D) simulation domains containing optogenetically-modified adult mouse ventricular  
71 cardiomyocytes to demonstrate the drift of spiral waves using sub-threshold illumination. The latter  
72 causes a shift in the resting membrane potential of optically modified heart cells without triggering  
73 action potentials. This shift affects the conduction velocity (CV) and wavelength of the propagating  
74 waves and allows spatiotemporal control of spiral wave dynamics. By applying patterned sub-  
75 threshold illumination with light intensity that is a function of space, we impose a spatial gradient  
76 on the recovery state of individual cells that make up the domain. This leads to a drift of the spiral  
77 wave along the direction of slower recovery. We show how this method can be used to ensure drift  
78 and termination of spiral waves in cardiac tissue.

## 80 Results

81 In cardiac tissue, the level of electrochemical stimulation required to induce an action potential,  
82 is called the excitation threshold. Application of external stimulation below this threshold, causes  
83 small positive increase in the membrane voltage, which is insufficient to produce new waves. In  
84 this study, we use optogenetics at sub-threshold light intensities (LI) to investigate the possibility of  
85 controlling spiral wave dynamics in light-sensitive cardiac tissue.

86 We begin with a study of the effect of uniform, global, constant sub-threshold illumination at  
87 different LI on the conduction velocity (CV) of plane propagating waves in a 2.5 cm x 0.25 cm pseudo  
88 domain. We find that, for electrically paced waves, CV shows a dependence on the pacing cycle  
89 length (CL) only when the CL is low (<200 ms). The CV restitution curve begins to flatten around a CL



**Figure 1.** Effect of sub-threshold illumination on *in silico* optogenetically-modified adult mouse ventricular tissue. A) Conduction velocity (CV) restitution at different light intensities (LI). B) CV decreases with increase in LI, for electrical excitation waves paced at 5 Hz. Inset shows a comparison of the reduction of CV in experiments (red) and simulations (black) at different LI, relative to the unilluminated planar wave. C) Dominant frequency (DF) of a spiral wave decreases with increase in LI. Inset shows a comparison of the reduction of DF in experiments (red) and simulations (black) at different LI, relative to the unilluminated spiral. D) Increase in diameter of the spiral wave core ( $d_{core}$ ) with increase in LI. Here, core represents the circle that encloses one cycle of the spiral tip trajectory in the stationary state. E) A representative snapshot of the spiral wave in a 2D simulation with a circular trajectory shown with red marker. The green marker indicates the location for extraction of the voltage timeseries in (F). G) A representative snapshot of the spiral wave in a cell culture with an anchored tip shown with red circle. The white marker indicated the location for extraction of contractile motion transient signal in (H). I) Our set-up of the intact mouse heart from which monophasic action potential (MAP) recordings in (J) were made. The blue traces in (F), (H), and (J) illustrate the status of illumination (on/off) during the simulation or experiment.

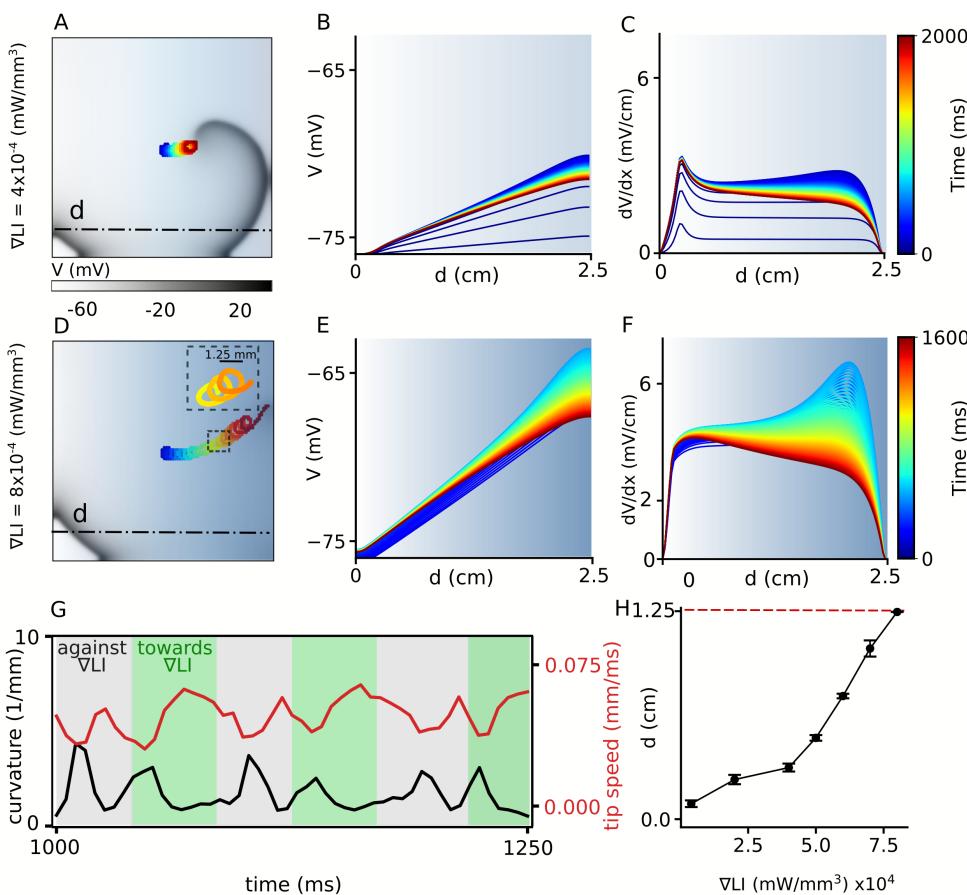
90 = 200 ms, for all LI (Figure 1 A). In particular, for electrical pacing at 5 Hz, CV shows an approximate  
91 5% decrease as LI is increased from 0 (no illumination) to 0.02 mW/mm<sup>2</sup> (Figure 1 B). This decrease  
92 in CV may be attributed to the limited availability of  $Na^+$  channels at elevated membrane voltages.  
93 In experiments, a decrease in CV was observed, with increase in LI. The reduction was two times  
94 more than in simulations, at the highest sub-threshold LI for intact mouse hearts ( $\approx 0.15$  mW/mm<sup>2</sup>)  
95 shown as an inset of Figure 1 B.

96 In 2D cardiac tissue, sub-threshold illumination seems to have a profound influence on the  
97 frequency of a spiral wave. Many theoretical and numerical studies have shown that heterogeneity  
98 in an excitable medium can cause a spiral wave to drift. This drift has a temporal component that is  
99 associated with a change in the rotation frequency of the spiral wave, and, a spatial component  
100 that is associated with the motion of the rotation center, or core, of the spiral wave (Krinsky,  
101 1968; Bikasheva *et al.*, 2010). In the absence of light, our spiral wave rotates periodically with a  
102 temporal frequency of  $\approx 15.3$  Hz, and a circular core trajectory. We apply uniform, global, constant

103 sub-threshold illumination at  $LI \leq 0.02 \text{ mW/mm}^2$ , for 1500 ms. Power spectra calculated from the  
104 voltage timeseries  $V(0.75 \text{ cm}, 1.75 \text{ cm}, t)$  shows periodic readouts with a single dominant frequency  
105 (DF) for each LI. We find that DF decreases with increase in LI (Figure 1 C). In particular, we observe  
106 a 26% reduction in the DF in simulations, in going from no illumination, to  $LI = 0.02 \text{ mW/mm}^2$ . In  
107 experiments on the intact mouse heart, a decrease in DF reduction was observed, with increase  
108 in LI; however, the reduction was two times less than in simulations, at the highest sub-threshold  
109 LI for intact mouse hearts ( $0.015 \text{ mW/mm}^2$ ) shown as an inset of (Figure 1 C). Application of sub-  
110 threshold light stimulation did not alter the general shape of the spiral tip trajectory. It remained  
111 circular at all LI considered. However, the core diameter gradually increased with increase in LI  
112 (Figure 1 D). A representative snapshot of the spiral wave in a simulation domain with uniform,  
113 global sub-threshold illumination at  $LI = 0.02 \text{ mW/mm}^2$  is shown in Fig. 1 E, with the corresponding  
114 voltage timeseries  $V(0.75 \text{ cm}, 0.75 \text{ cm}, t)$  in Fig. 1 F. Similar behavior is observed in cell culture  
115 experiment of neonatal mouse heart expressed by ChR2(H134R). A snapshot of a cell culture with  
116 the size of  $5.86 \text{ mm}^2$  with a spiral wave anchoring center is shown in Fig. 1 G. The red circle shows  
117 the anchored spiral wave core. Fig. 1 H shows the timeseries of the contractile motion artifact.  
118 During the first 6000 ms a planar wave originating from spontaneously active tissue outside of the  
119 imaging system's field of view propagates through the domain. At 6000 ms a spiral wave is induced  
120 by projecting patterned light in the wake of the wave. This spiral wave rotates with a period of 360  
121 ms while it is exposed to 5000ms of continuous illumination. Then at 11000 ms the light stimulus  
122 is removed and the period of the spiral wave drops to 285 ms (see Movie1 of the supplementary  
123 information). Thus the experimental data supports our finding that period of the spiral increases  
124 beyond normal in the presence of sub-threshold illumination.

125 Similar temporal drift is observed in experiments on the intact mouse heart (Figures 1 I and  
126 J) at  $LI = 0.015 \text{ mW/mm}^2$ . It is important to note that the effect of sub-threshold illumination is  
127 reversible, as is demonstrated by the voltage timeseries in (F) and (J), which show that the natural  
128 rotation frequency of the spiral can be restored upon removal of the light stimulus. To summarize,  
129 our results present substantial proof that the spiral wave demonstrates a temporal drift in response  
130 to uniform, global, constant sub-threshold illumination.

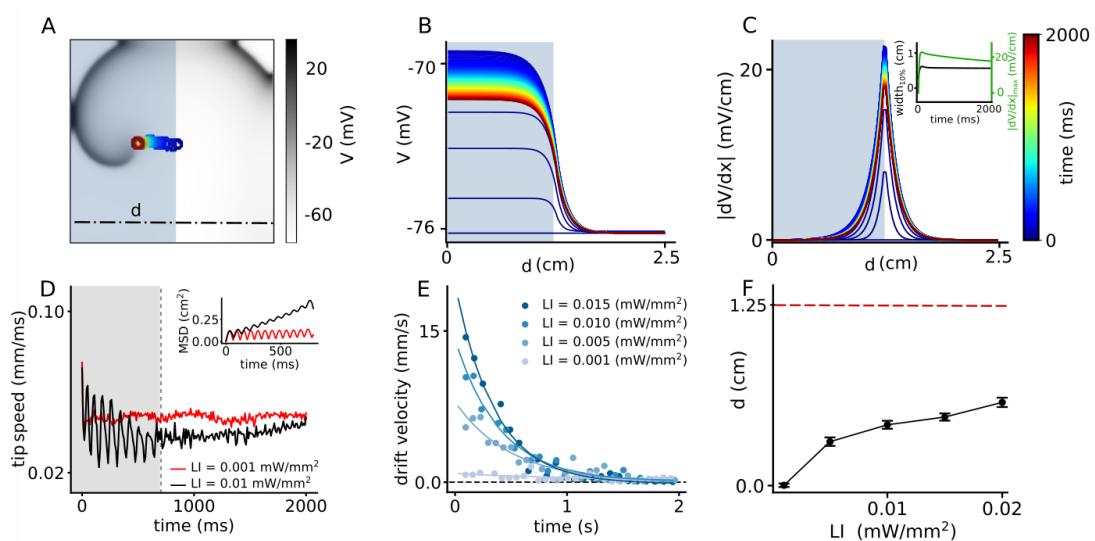
131 Next, we investigate the possibility to induce spatial drift of a spiral wave using sub-threshold  
132 illumination. To this end, we generate a spiral wave in the non-illuminated 2D domain, and use it  
133 to define the configuration of the system at  $t = 0 \text{ s}$ . We apply a linear gradient of sub-threshold  
134 illumination to this spiral wave. Figure 2 A shows the spiral at  $t = 2 \text{ s}$ , when the applied linear  
135 gradient in LI ranges from  $0 \text{ mW/mm}^2$ , to  $0.01 \text{ mW/mm}^2$ , across the length of the domain in the  
136 x-direction. The spatiotemporal evolution of voltage  $V$  in a quiescent domain, in response to the  
137 applied light gradient, is illustrated in Figure 2 B, together with that of the magnitude of the spatial  
138 derivative of the  $V$  ( $dV/dx$ ) in Figure 2 C. Figures 2 D-F show the corresponding results obtained  
139 with a linear LI gradient ranging from  $0 \text{ mW/mm}^2$ , to  $0.02 \text{ mW/mm}^2$ , in the x-direction. In this  
140 case, we observe drift-induced termination of the spiral wave (see Movie2 of the supplementary  
141 information). It is important to note that establishment of the voltage gradients in Figures 2 B  
142 and E impose a spatial non-uniformity in the refractory period of cells that constitute the domain.  
143 Regions with higher LI experience higher shifts in membrane voltage compared to regions with  
144 lower LI, and consequently display longer refractory period. Thus, irrespective of the range of LI  
145 used to produce the applied light gradient, the spiral wave always drifts along the direction of the  
146 longer refractory period, i.e., higher LI (Panfilov, 2009). Figure 2 G shows the instantaneous speed  
147 of the spiral tip (red), as a function of time, during 1000 to 1250 ms, corresponding to the spiral  
148 wave trajectory shown as inset in Figure 2 D. The grey zones in Figure 2 G indicate drift against the  
149 gradient, whereas, the green zones indicate the drift along the direction of the applied gradient in  
150 LI. The same figure also shows the instantaneous curvature of the tip trajectory (black). Each peak  
151 of the curvature curve corresponds to a minimum value of the speed showing drift of the spiral,  
152 against the gradient. Finally, Figure 2 H shows the horizontal displacement of the spiral core relative  
153 to its initial position (i.e., the center of the domain), at the end of 2 s of simulation. We observe that



**Figure 2.** Spatial drift of a spiral wave imposed by a gradient of sub-threshold illumination. A) Trajectory of a drifting spiral tip in a domain with an illumination gradient ranging from  $LI = 0 \text{ mW/mm}^2$  at the left boundary to  $LI = 0.01 \text{ mW/mm}^2$  at the right boundary. B) Time evolution of the voltage distribution along the dashed line indicated in (A), in a quiescent domain with the same LI gradient. C) Spatial derivative of the voltage distribution ( $dV/dx$ ) along the dashed line in (A), at different times, for the same applied LI gradient as in (A-B). (D)-(F) show plots corresponding to (A)-(C), but for an LI gradient ranging from  $0 - 0.02 \text{ mW/mm}^2$ . In this case, the spiral drifts all the way to the right boundary, within the given time frame, and terminates itself (Due to the kinetics of the IChR2 there is an initial peak which exceeds the excitation threshold and leads to the generation of excitation waves during the first 150 ms (see Figure S2 of the supplementary information)). The inset in (D) shows a portion of the cycloidal tip trajectory of the spiral. G) Timeseries of the tip speed (red) and curvature of the spiral tip trajectory (black), as the spiral drifts along the LI gradient (green band) or against it (grey band). The profiles correspond to the part of the trajectory shown in the inset of panel D. H) Increase in the maximum horizontal displacement ( $d$ ) of the spiral core, with increase in the applied LI gradient (in  $\text{mW/mm}^3$ ), within the given time frame (2 s) of the simulation.

154 within the given time frame spiral termination occurs only for the highest LI gradient used. The  
 155 main advantage of this method is that termination can occur irrespective of the initial position of  
 156 the spiral wave core.

157 Inspired by the success of this method to ensure drift-induced termination of spiral waves, we  
 158 now work to optimise the protocol so as to improve its efficiency. The first step is to compare the  
 159 efficacy of a spatially discrete approach, with our previously-applied spatially continuous approach  
 160 of gradient-type illumination. To this end, we replace the smooth gradient pattern by a step-like  
 161 distribution of LI. We apply uniform sub-threshold illumination to one half of the domain such that  
 162 the spiral wave core is located at the interface between illuminated and non-illuminated regions,  
 163 where the spatial derivative of the membrane voltage, in the quiescent state, is highest (see Movie3  
 164 of the supplementary information). Figure 3 B demonstrates the spatiotemporal response of



**Figure 3.** Spatial drift of a spiral wave in a domain that is partially illuminated with sub-threshold LI. A) Trajectory of the spiral wave tip, as it drifts from the non-illuminated region to the region illuminated with  $LI = 0.01 \text{ mW/mm}^2$ . B) Time evolution of the voltage distribution along the dashed line indicated in (A), in a quiescent domain with the same illumination. C) Spatial derivative of  $V$  ( $dV/dx$ ) along the dashed line in (A), at different times, for the same illumination as in (A-B). Inset shows the characterization of the distribution of  $dV/dx$  at the interface between the illuminated and non-illuminated regions. The green curve shows the timeseries of the peak  $dV/dx$ , whereas the black curve shows the corresponding timeseries for the width of the distribution in (C). We defined 'width' as the horizontal distance between two points in the domain where  $|dV/dx| = 0.1 |dV/dx|_{max}$ . D) Timeseries of the spiral tip speed at  $LI = 0.001 \text{ mW/mm}^2$  (red) and  $0.01 \text{ mW/mm}^2$  (black). Inset shows the mean square displacement profiles corresponding to the first 800 ms of illumination, shaded grey in the speed plot. E) Timeseries of the drift speed of the spiral core at  $LI = 0.001$  (grey),  $0.005$  (light blue),  $0.01$  (dark blue), and  $0.015 \text{ mW/mm}^2$  (indigo). We observe that for any LI, drift speed decreases exponentially with time as the spiral core crosses the interface. F) Slow increase in the maximum horizontal displacement ( $d$ ) of the spiral core, with increase in LI applied to one half of the domain.

165 the membrane voltage to the applied light pattern, along the dashed-dot line in Figure 3 A. The  
 166 corresponding evolution of the magnitude of the spatial derivative of  $V$  is illustrated in Figure 3  
 167 C, with detailed analyses into the temporal growth of the peak  $|dV/dx|$  and width of the  $|dV/dx|$   
 168 profile at 10% maximum height (Figure 3 C, inset). A comparison of the peak  $|dV/dx|$  in Figures 2  
 169 C, 2 F and 3 C-D, shows that  $|dV/dx|_{max}$  in Figure 3 is an order of magnitude larger than those  
 170 in Figure 2. This large value of  $|dV/dx|_{max}$  at the interface leads to a rapid drift of the spiral wave  
 171 in the initial phase, followed by gradual deceleration, which in course of time may or may not  
 172 end up in stationarity in the case of stepwise illumination (Figure 3 D). At high LI, the tip speed  
 173 shows large oscillations as the spiral moves along or against the voltage gradient imposed by the  
 174 illumination. These oscillations are restricted to the width of the interface, which correlates with the  
 175 width of  $|dV/dx|$  at 10% peak height. However, once the spiral wave enters the illuminated region,  
 176 its tip speed begins to decrease (Figure 3 D) until it reaches a constant value. Inset of Figure 3  
 177 D illustrates the mean squared displacement of the spiral wave tip during first 800ms shown as  
 178 shaded grey region in the speed plot. We calculated the drift velocity, for each LI and found that  
 179 it decays exponentially with time as the spiral transits from the interface toward the illuminated  
 180 region (Figure 3E). We used a function (Eq.1) to fit the instantaneous drift velocity as a function of  
 181 time:

$$V(t) = V_0 e^{(-t/\tau)}. \quad (1)$$

182 We found that by increasing LI, the initial velocity  $V_0$  increases by more than one order of  
 183 magnitude and it declines faster as manifested in the calculated decay time constant  $\tau$  values

184 (Table 1). At  $\approx 0.6$  cm from the interface, the influence of  $dV/dx$  becomes so negligible, that the  
185 spiral establishes a stable core, bounded by a circular trajectory of its tip. A measurement of the  
186 net horizontal displacement ( $d$ ) of the spiral tip from its initial location, at different LI during 2 s of  
187 simulation shows that  $d$  increases only slightly with increase in LI (Figure 3 F). To understand the  
188 basis for the flattening of the  $d$ -LI curve, we cross-checked the mean displacements at each LI with  
189 our data on drift speed. In order to do so, we integrated the exponential fit of the instantaneous  
190 drift speed, over the time required by the spiral wave to attain stationarity, and found that the  
191 calculated displacement falls in the range of 0% to 22% tolerance of the measured numbers for  $d$   
192 in Figure 3 F. These values are presented in Table 1. A study of the trends of the exponential fits  
193 presented in Figure 3 E shows that higher the LI, faster is the drift velocity across the interface.  
194 However, once the width of the interface has been crossed, drift velocity decreases rapidly to zero.  
195 Thus, the net displacement of the spiral core during the total time is comparable for different LI at  
196 high sub-threshold intensities.

197 To summarize, our results indicate that with a single step-like illumination gradient, even at the  
198 highest LI considered, the spiral wave does not drift sufficiently to collide with the boundary and  
199 terminate itself. Therefore, stepwise illumination is attractive enough to draw the spiral into an  
200 illuminated area, but stabilization of the core occurs afterwards.

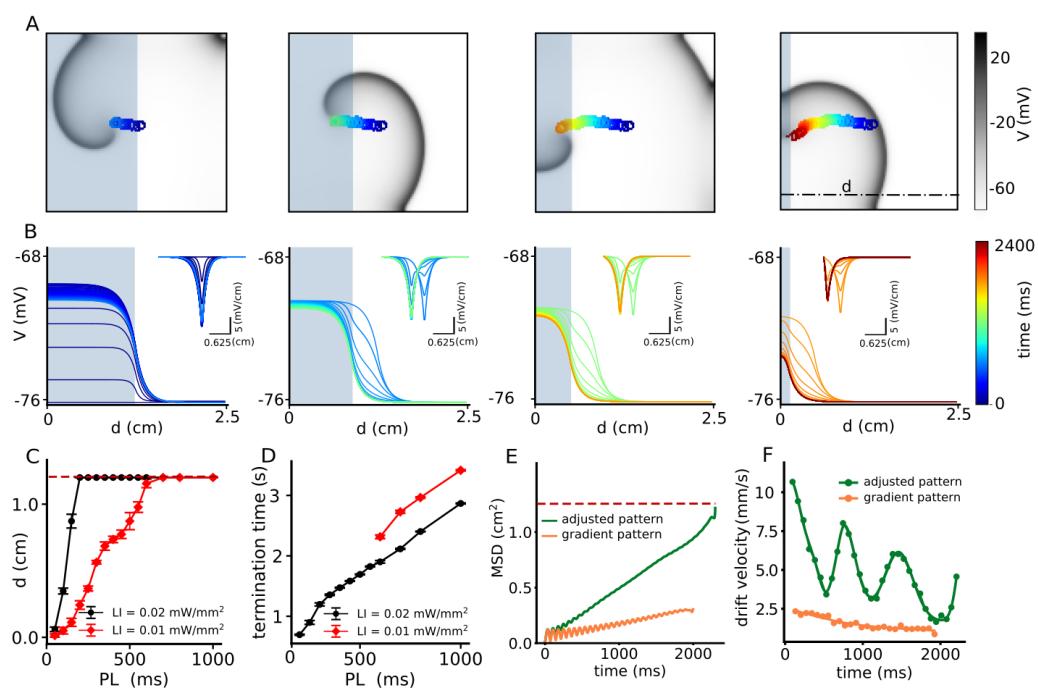
201 Our results with a single step-like gradient of illumination suggest that we need not one but  
202 a sequence of such steps to attract a spiral wave and drag it towards an inexcitable boundary to  
203 ensure its termination. Thus, we apply the following modification to the current protocol: Once  
204 the spiral wave enters an illuminated region, as in the case of half-domain illumination, we adjust  
205 the position of the interface to further pull the spiral towards an inexcitable domain boundary,  
206 resulting in continuous drift of the core. To this end, we decrease the size of the illuminated region  
207 in three steps, from half ( $1.25 \times 2.5$  cm $^2$ ) to a twentieth ( $0.125 \times 2.5$  cm $^2$ ) of the domain size, with  
208 a spatial interval of 0.375 cm. At each step, we apply uniform illumination with a constant pulse  
209 width. Figure 4 A illustrates drift-induced termination of a spiral wave at LI = 0.01 mW/mm $^2$  and  
210 500 ms pulse width (see Movie4 of the supplementary information). As the illuminated area is  
211 reduced, the sharp peak in  $dV/dx$  at the interface between illuminated and non-illuminated regions  
212 shifts towards the boundary (Figure 4 B). In consonance with our predictions, the spiral drifts  
213 continuously towards the boundary and terminates itself in the process. Figure 4 C shows the  
214 maximum horizontal displacement  $d$  of the spiral wave core, as it is subjected to the multi-step  
215 illumination protocol, using regional sub-threshold illumination with LI = 0.01, and 0.02 mW/mm $^2$ ,  
216 respectively, and a range of pulse lengths (PL) varying from (50 - 1000 ms). Figure 4 D shows the  
217 dependence of spiral termination time on PL, for the two chosen LI, in the cases where successful  
218 termination did occur.

219 Finally, we compared the efficacy of the two different spiral termination techniques using sub-  
220 threshold illumination, by looking at the mean squared displacement (MSD) of the spiral wave core  
221 in the case of smooth gradient illumination and adjusted step-wise illumination. We observed that  
222 larger voltage gradients across the interface for step-wise and adjusted patterns (Figure 4 E), as  
223 compared to smooth gradient patterns, ensured a  $\approx 4$  times higher drift velocity of the spiral wave in the  
224 first two cases (Figure 4 F).

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**Table 1.** Comparison between calculated drift-induced displacement of the spiral wave (calculated  $d$ ), and the observed maximum displacement ( $d$ ) at different LI, for the single step illumination pattern

LI (mW/mm $^2$ )	$V_0$ (mm/s)	$\tau$ (s)	calculated $d$ (cm)	$d$ (cm)	tolerance (%)
0.001	1	1.1	0.09	0.09	0
0.005	8	0.49	0.375	0.39	4
0.010	14	0.39	0.477	0.53	11
0.015	20	0.35	0.55	0.66	22



**Figure 4.** Continuous spatial drift of a spiral wave using a multi-step adjusted pattern illumination protocol. A) Trajectory of the spiral wave tip, during different steps of the illumination protocol. B) Time evolution of the voltage distribution and its spatial derivative  $dV/dx$  (inset) for each step of the protocol, as measured along the dot-dashed line shown in the last sub-figure of panel (A). C) Horizontal displacement  $d$  of the spiral wave core at  $LI = 0.01$  (red) and  $0.02$  (black)  $\text{mW/mm}^2$ , respectively, at different pulse lengths (PL). D) Termination time for the cases in which the spiral drifted all the way to the boundary and annihilated itself through collision. Red and black curves represent data for  $LI = 0.01 \text{ mW/mm}^2$ , and  $0.02$  (black)  $\text{mW/mm}^2$ , respectively. E) Mean squared displacement of the spiral wave core with two different illumination protocols: multi-step adjusted pattern (green) and gradient pattern (orange). F) Drift velocity of the spiral wave core for each case of illumination patterns, multi-step adjusted pattern (green) and gradient pattern (orange).

## 225 Discussion

226 Two major factors responsible for the induction of spiral wave drift in cardiac tissue, are (i) intrinsic  
 227 tissue heterogeneity (*Sanjay et al., 2015*) and (ii) perturbation by an external force (*Wellner et al.,  
 228 2010; Biktashev et al., 2011*). In the first case, the heterogeneity of the tissue may impose a gradient  
 229 of refractoriness, or result in a non-stationary refractory period of the spiral wave, which would  
 230 force the spiral to drift (*Krinsky, 1968; Ermakova and Pertsov, 1988*). Heterogeneity in cardiac  
 231 tissue can occur in two forms: in structure and in function. Structure-induced drift of spiral waves  
 232 was studied by *Kharche et al. (2015)* and *Woo et al. (2008)*, among others. They found that the  
 233 anatomy of the heart, along with differences in cell structure, is responsible for the induction of  
 234 drift. However, spiral wave drift can also occur because of functional heterogeneities resulting  
 235 from dispersion of electrophysiological parameters such as APD and CV within the tissue. This  
 236 phenomenon is, in fact, more common.

237 Regardless of the origin of such functional heterogeneity, *Biktasheva et al. (2010)* studied its  
 238 effect on the dynamics of spiral waves in generic FitzHugh-Nagumo and Barkely models, with a  
 239 stepwise distribution of heterogeneity, similar to what we used in our study (Figure 3). They showed  
 240 that the center of rotation of the spiral wave can move towards one side of the step and then  
 241 gradually freeze over time or continue to drift along the step with constant velocity. Our current  
 242 study, which considers a realistic ionic model with 44 dynamical variables, shows similar dynamical  
 243 behavior. In our case, the drift velocity is not constant. The spatial profile of the light-induced  
 244 voltage gradient allows the spiral to drift with high speed while crossing the interface (see Figure 3  
 245 E). However, as the spiral leaves the interface, the drift velocity gradually decreases until it reaches

246 a very small positive value at large distances from the interface within the time frame of our  
247 simulations.

248 Of particular interest is the drift velocity trend for different LI and  $\nabla$ LI. We observe that the  
249 spiral wave drifts more slowly at small values of  $\nabla$ LI, compared to large  $\nabla$ LI (Figure 2 H). This can be  
250 explained by studying the general dynamic behaviour of the spiral wave at different LI. Figure 1 D  
251 shows that at small LI ( $< 0.01 \text{ mW/mm}^2$ ), the properties of the spiral core (e.g.  $d_{\text{core}}$ ) are unaffected  
252 by the applied illumination. Thus, the application of a light gradient at small  $\nabla$ LI ( $< 4 \times 10^4 \text{ mW/mm}^3$   
253 in Figure 2 H), has a negligible effect on the dynamics of the spiral, resulting in very slow drift. On  
254 the contrary, at LI  $> 0.01 \text{ mW/mm}^2$  (Figure 1 D),  $d_{\text{core}}$  increases rapidly, leading to a strong decrease  
255 of the rotation frequency of the spiral wave. This means that the spiral now needs a little more time  
256 ( $\tau$ ) to complete a single cycle of its rotation, before it can move one step ( $L_{\text{step}}$ ) in space. It should  
257 be noted, however, that the rapid increase of  $d_{\text{core}}$  causes a corresponding increase of  $L_{\text{step}}$ , which  
258 more than compensates for the increase of  $\tau$ . So the drift velocity effectively increases.

259 If, on the other hand, the case is considered with a single step of illumination (Figure 3 F),  
260 the maximum displacement ( $d$ ) of the spiral wave core saturates with increase of LI. This can be  
261 explained by looking at the spatiotemporal distribution of  $dV/dx$  at the interface between the  
262 illuminated and non-illuminated regions. Our studies show that when light is applied to one half  
263 of the domain, a spatial profile of  $|dV/dx|$  is established, which, over time, evolves such that the  
264 peak height decreases and width increases to saturation values. Both  $\left| \frac{dV}{dx} \right|_{\text{max}}$  and width of  $|dV/dx|$ ,  
265 at the instant of first illumination, increases with the LI. The gradual spatiotemporal evolution of  
266 the  $|dV/dx|$  profile ensures nonlinearity in the drift velocity, which shows an exponential decrease  
267 over time. Once the spiral leaves the zone of influence of the interface, drift either stops, or  
268 becomes constant, and occurs in the direction parallel to the interface. The net displacement of  
269 the spiral core can be calculated by integrating the drift velocity over time as the spiral crosses the  
270 interface. Drift velocity for high LI ( $v_{\text{drift, high}}$ ) is initially larger than that for low LI ( $v_{\text{drift, low}}$ ). However,  
271 because of the nature of the spatial profile of  $|dV/dx|$ ,  $(v_{\text{drift, high}})$  decreases at a rate that is much  
272 faster than  $(v_{\text{drift, low}})$ , such that,  $(v_{\text{drift, high}})$  drops to zero sooner than  $(v_{\text{drift, low}})$ . Consequently, the  
273 corresponding displacement of the spiral core in the different cases with high LI come comparable.  
274 Hence the flattening of the curve in Figure 3 F.

275 In our simulations we observed that the effective drift direction of a spiral wave always follows  
276 the direction of increasing light intensity. This is consistent with the findings of **Davydov et al. (1988)**.  
277 Similar observations were also made by **Markus et al. (1992)**, in light-sensitive Belousov-Zhabotinsky  
278 (BZ) reactions, where they used experiments to demonstrate positive phototaxis of a spiral wave, in  
279 the presence of a gradient of illumination. In our study we use this feature strategically to remove  
280 spiral waves from the domain by drift-induced collision with the boundary in favor of termination.

281 While previous studies by **Feola et al. (2017)** and **Majumder et al. (2018)** have proven that full  
282 spatio-temporal control over the dynamics of a spiral wave can be achieved by manipulating its  
283 core with supra-threshold illumination, the efficacy of their respective methods at sub-threshold  
284 illumination, remained untested. In this study, we exploited the power of regional sub-threshold  
285 illumination, to manipulate spiral wave dynamics with or without prior knowledge about the location  
286 of the spiral core.

287 Spiral wave drift can be investigated by many different approaches; each approach has its  
288 advantages and disadvantages and is designed based on the specific parameters of the system.  
289 The general conclusion is that controlled drift can lead to effective termination of spiral waves. It  
290 is therefore important to have efficient control over spiral wave drift. To this end, it is essential  
291 to develop a deeper understanding of the dynamics of drift. It has been established so far, that  
292 light-sensitive BZ reaction is the easiest-to-control excitable system for the study of spiral wave  
293 drift in experiments. Thus, optogenetics, which is the analogous tool for light-sensitivity in cardiac  
294 tissue, is expected to hold great potential in studies that involve exercising control over spiral wave  
295 dynamics in the heart (**Braune and Engel, 1993**). Our results prove the validity of this statement by  
296 demonstrating the use of optogenetics to study and control spiral wave drift in 2D cardiac tissue.

297 Finally, controlled spiral wave drift finds its main application in optogenetic defibrillation. Current  
298 techniques for such defibrillation use global or structured illumination patterns applied to the  
299 epicardial surface of the heart. Due to the poor penetration of light into cardiac tissue, most of the  
300 applied light is scattered or absorbed before it can reach the endocardium. This is considered a  
301 major limitation of optogenetics, as the applied supra-threshold illumination cannot be expected to  
302 affect sub-surface electrical activity in the heart wall. However, *ex vivo* studies on small mammalian  
303 hearts consistently demonstrate the success of optogenetic defibrillation, without providing a clear  
304 mechanism for the same (Bruegmann *et al.*, 2016). Some studies try to explain the mechanism  
305 behind this success, using the critical mass hypothesis (Zipes *et al.*, 1975). According to this  
306 hypothesis, a spiral (scroll) wave requires a minimum area (volume) of excitable tissue for its  
307 sustainment. By applying supra-threshold light to the surface of the epicardium, one can effectively  
308 reduce available area (volume) of excitable tissue to below the threshold requirement for spiral  
309 sustainability, thereby forcing the wavefront of the spiral (scroll) wave to collide with its waveback,  
310 resulting in its termination. However, our studies postulate an alternative theory. We propose  
311 that the application of light to the epicardial surface effectively leads to a transmural illumination  
312 gradient within the heart wall, with both sub-threshold and supra-threshold illumination régimes.  
313 Our study shows that a linear gradient of pure sub-threshold illumination has the potential to  
314 induce a drift of a spiral wave into the region of higher illumination (i.e., the epicardial surface in a  
315 transmural section of the heart wall), thereby protecting the internal tissue from hidden electrical  
316 activity. Once such activity is drawn out to the surface by the induced drift, it can be terminated  
317 using global supra-threshold illumination, which then ensures electrical synchronization. Thus, our  
318 study provides new mechanistic insights into the theory of successful optogenetic defibrillation in  
319 animal hearts with relatively thin walls, where the light-induced transmural voltage gradient can  
320 be assumed to be approximately linear. This hypothesis can be also extended to the conventional  
321 defibrillation methods. Applying an electric field to excite the heart tissue results in the development  
322 of a transmural depolarization gradient (Dosal *et al.*, 2010). The functional heterogeneity caused  
323 by these depolarization gradients may force spiral waves to drift. Such a drift occurs in the direction  
324 of the positive gradient, resulting in the emergence of the spiral cores on the surface, where they  
325 are eliminated through synchronisation.

## 326 Materials and Methods

### 327 Numerical study

328 Electrical activity in single cardiac cells was modeled according to Eq. 2. Here,  $V$  is the transmem-  
329 brane voltage that arises from ionic gradients that develop across the cell membranes.

$$\frac{dV}{dt} = -\frac{I_{ion} + I_{stim}}{C_m} \quad (2)$$

330 The total ionic current  $I_{ion}$ , flowing across the membrane of a single cell, was mathematically  
331 described using the electrophysiological model of an adult mouse ventricular cardiomyocyte, first  
332 introduced by Bondarenko *et al.* (2004), including the model improvements in Petkova-Kirova  
333 *et al.* (2012). The model contains of 40 dynamical variables solved by a fourth-order Runge-Kutta  
334 method with the temporal resolution of  $10^{-4}$  ms. Solving these variables describes 15 different  
335 currents as per Eq. 3.

$$I_{ion} = I_{Na} + I_{CaL} + I_{pCa} + I_{Kto,f} + I_{Kto,s} + I_{Kr} + I_{Kur} + I_{Kss} + I_{K1} + I_{Ks} + I_{NaCa} + I_{NaK} + I_{Cl,Ca} + I_{Cab} + I_{Nab} \quad (3)$$

336 Here,  $I_{Na}$  is the fast  $Na^+$  current,  $I_{CaL}$  is the L-type  $Ca^{2+}$  current,  $I_{pCa}$  is the  $Ca^{2+}$  pump current,  
337  $I_{Kto,f}$  is the rapidly recovering transient outward  $K^+$  current,  $I_{Kto,s}$  is the slowly recovering transient  
338 outward  $K^+$  current,  $I_{Kr}$  is the rapid delayed rectifier  $K^+$  current,  $I_{Kur}$  is the ultrarapidly activating  
339 delayed rectifier  $K^+$  current,  $I_{Kss}$  is the non-inactivating steady-state voltage-activated  $K^+$  current,  
340  $I_{K1}$  is the time-independent inwardly rectifying  $K^+$  current,  $I_{Ks}$  is the slow delayed rectifier  $K^+$

341 current,  $I_{NaCa}$  is the  $Na^+/Ca^{2+}$  exchange current,  $I_{NaK}$  is the  $Na^+/K^+$  pump current,  $I_{Cl,Ca}$  is the  
342  $Ca^{2+}$ -activated  $Cl^-$  current,  $I_{Cab}$  is the background  $Ca^{2+}$  current and  $I_{Nab}$  is the background  $Na^+$   
343 current.

344 In spatially extended media, such as 2D, cardiac cells communicate with each other through  
345 intercellular coupling. The membrane voltage is then modeled using a reaction-diffusion type  
346 equation (Eq. 4):

$$\frac{dV}{dt} = \nabla(D\nabla V) - \frac{I_{ion} + I_{stim}}{C_m} \quad (4)$$

347 The first term on the right side of the equation shows the intercellular coupling.  $D$  is the  
348 diffusion tensor, which is assumed here to be a scalar and has the value 0.00014 cm/ms. In  
349 this 2D monodomain model the excitation wave propagates with an isotropic conduction velocity  
350 of 43.9 cm/s. This simulation domain consists of  $100 \times 10$  or  $100 \times 100$  grid points. We use a  
351 spatial resolution of 0.025 cm and time step  $10^{-4}$  ms. We apply no-flux boundary conditions at the  
352 inexcitable domain boundaries.

353 To create a spiral wave in the domain, we divide the domain into four sections and initialize  
354 each section with four different values of cell membrane voltage. Each value represents different  
355 phases of AP in the individual cell: resting state, depolarization and repolarization (two values  
356 in this state) (see Figure S1 of the supplementary information). The upper right and upper left  
357 sections are initialized with the voltage values of depolarization and quiescent state, respectively.  
358 The lower right section is initialized with a voltage value of the almost starting repolarization phase  
359 when the cell is at the beginning of the refractory period. The lower left section is initialized with  
360 a voltage value of the repolarization phase almost coming to an end when the cell is at the end  
361 of the refractory period. A planar wave begins to propagate from the upper right to the upper  
362 left section. Since the two lower sections are in the refractory period, the wave cannot propagate  
363 through these sections. Over time, the lower left section reaches the resting phase first, and the  
364 wave rotates towards this section. Then the lower right section reaches the resting phase, and the  
365 wave continues to propagate towards this section, and finally a spiral wave is formed.

366 To include light sensitivity, the model is coupled to the mathematical model of a light-activated  
367 protein called channelrhodopsin-2 (ChR2) ([Williams et al., 2013](#)). This protein is a non-selective  
368 cation channel that reacts to blue light with a wavelength of 470 nm. The inward ChR2 current  
369 ( $I_{ChR2}$ ) is mathematically described by the following equation:

$$I_{ChR2} = g_{ChR2}G(V)(O_1 + \gamma O_2)(V - E_{ChR2}) \quad (5)$$

370 Here  $g_{ChR2}$  is the conductance,  $G(V)$  is the voltage rectification function,  $O_1$  and  $O_2$  are the open  
371 state probabilities of the ChR2,  $\gamma$  is the ratio  $O_1/O_2$ , and  $E_{ChR2}$  is the reversal potential of this channel.  
372 By including the mathematical model of the ChR2 to this model, we can stimulate the system  
373 optically at the single cell level or the 2D monodomain level. In our studies a stationary spiral  
374 had a circular core with constant curvature. Over time, the induction of drift led to a change in  
375 the curvature of the tip trajectory. The instantaneous curvature ( $k$ ) of the spiral tip trajectory was  
376 calculated according to Eq. 6, where  $(x, y)$  represents the coordinate of each point of the trajectory.  
377 For studies on spiral wave drift in the presence of gradient and stepwise illumination, we calculated  
378 maximum displacement  $d$  at the end of 2 s, as mean of 10 different initial conditions of the spiral.

$$k = \frac{x'y'' - y'x''}{(x'^2 + y'^2)^{\frac{3}{2}}} \quad (6)$$

379 **Experimental measurements of arrhythmia frequency in the intact mouse heart**  
380 To observe the effects of sub-threshold illumination on the arrhythmia frequency, we applied light  
381 globally to the hearts of Langendorff-perfused adult  $\alpha$ -MHC-ChR2 transgenic mice ([Quiñonez Uribe](#)  
382 *et al.*, 2018). The expression of channelrhodopsin-2 (ChR2) in these hearts was restricted to  
383 cardiac tissue. For perfusion, we used the standard protocol of retrograde Langendorff perfusion

384 with tyrode solution (130mM NaCl, 4mM KCl, 1mM MgCl<sub>2</sub>, 24mM NaHCO<sub>3</sub>, 1.8mM CaCl<sub>2</sub>, 1.2mM  
385 KH<sub>2</sub>PO<sub>4</sub>, 5.6mM glucose, 1% albumin/BSA, aerated with carbogen [95% oxygen and 5% CO<sub>2</sub>]). All  
386 experiments were performed at 37°C. Arrhythmia was induced by applying 30 electrical pulses (2.3-  
387 2.5 V amplitude), at frequencies of 30 to 50 Hz, using a needle electrode. To stabilize the arrhythmia,  
388 (i) the concentration of KCl in tyrode solution was reduced from 4mM to 2mM, and (ii), 100μM  
389 Pinacidil, (a KATP channel activator) was added to the tyrode. To exclude the possibility of self-  
390 termination, we considered only those cases in which the arrhythmia lasted longer than 5s. Next, a  
391 single blue light pulse ( $\lambda=470\text{nm}$ , pulse duration = 1s) was applied using 3 LEDs positioned at angular  
392 separation 120°, around the bath, to provide global illumination. We repeated the experiments for  
393 LI = 0.0011, 0.0041, 0.0078, 0.0124, and 0.0145 mW/mm<sup>2</sup>, respectively, and measured the dominant  
394 frequency of the arrhythmia using a method of Fourier transform (FT) in those experiments that  
395 did not result in the termination of the arrhythmia. We considered data from 2 hearts with 7  
396 experiments on each.

### 397 **Experimental measurements of arrhythmia frequency in the cell culture**

398 Neonatal mice ventricular myocytes (NMVM) were isolated from pups between P0 and P3 and  
399 plated at a density of 156x10<sup>3</sup> cells/cm<sup>2</sup> to form confluent monolayers in 24-well culture plates.  
400 Cultures were kept in DMEM supplemented with 10% FBS, 1% P/S and incubated at 37 °C with  
401 5% CO<sub>2</sub> humidity. Two days after culture, beating cardiac monolayers were transfected using a  
402 modified version of a published protocol (*Ambrosi and Entcheva, 2014*).

403 Cells were genetically modified by adenoviral transduction (serotype 5, dE1/E3) to express  
404 ChR2(H134R) in the cell membrane. For verification of infection rates, the applied vector was tagged  
405 with enhanced yellow fluorescent protein (eYFP). Experiments were carried in a label-free imaging  
406 system described in *Burton et al. (2015)* which tracks motion transients as surrogate measure  
407 for voltage. Samples were illuminated continuously for 5 seconds using a computer-controlled,  
408 monochrome blue LED light projector (ViALUX GmbH) with a 470 nm wavelength connected to a  
409 modified Olympus MVX10 macroscope (Olympus) to provide high-spatial precision and patterned  
410 illumination of binary images. Records show a 1000x1000 pixel image from the camera with a  
411 frame period of 15ms.

### 412 **Experimental measurements of conduction velocity in the intact mouse heart**

413 A wide-field mesoscope operating at a frame rate of 2 kHz (*Scardigli et al., 2018*) was used to  
414 map the action potential propagation in Langendorff horizontally perfused adult mouse hearts  
415 expressing ChR2 (under the control of  $\alpha$ -MyHC-ChR2 promoter) and stained with a red-shifted  
416 voltage sensitive dye (di-4-ANBDQPO; (*Matiukas A, 2007*)). To observe the effect of sub-threshold  
417 ChR2 stimulation on action potential conduction velocity, the heart was uniformly illuminated with  
418 blue light during electrical pacing at the heart apex (5 Hz). Conduction velocity was calculated  
419 by measuring the AP propagation time between two regions place at a known distance. The  
420 experiments were repeated for 4 different hearts at the LI = 0, 0.0104, 0.0175, 0.0262, 0.0894,  
421 0.1528 mW/mm<sup>2</sup>.

### 422 **Acknowledgments**

423 We thank Dr. Florian Spreckelsen, Babak Vajdi Hokmabad, and all the members of biomedical  
424 physics group (bmpg) of Max Planck Institute for Dynamics and Self-organization (MPIDS) for their  
425 fruitful discussions and input.

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