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2 **Tumor-specific changes in Kaposi sarcoma-associated herpesvirus genomes in**

3 **Ugandan adults with Kaposi sarcoma**

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20 Abstract

21 Intra-host evolved tumor virus variants have provided insights into the risk, pathogenesis and
22 treatment responses of associated cancers. However, the intra-host variability of Kaposi sarcoma-
23 associated herpesvirus (KSHV), the etiologic agent of Kaposi sarcoma (KS), has not been explored at the
24 whole viral genome level. An accurate and detailed description of KSHV intra-host diversity in whole KSHV
25 genomes from matching tumors and oral swabs from Ugandan adults with HIV-associated KS was obtained
26 by deep, short read sequencing, using duplex unique molecular identifiers (dUMI) – random double-
27 stranded oligonucleotides that barcode individual DNA molecules before library amplification. This
28 allowed suppression of PCR and sequencing errors down to $\sim 10^{-9}$ /base. KSHV genomes were assembled
29 *de novo*, and identified rearrangements were confirmed by PCR. 131-kb KSHV genome sequences,
30 excluding major repeat regions and averaging 2.3×10^4 reads/base, were successfully obtained from 23
31 specimens from 9 individuals, including 7 tumor-oral pairs. Sampling more than 100 viral genomes in at
32 least one specimen per individual showed that KSHV genomes were virtually homogeneous within
33 samples and within individuals at the point mutational level. Heterogeneity, if present, was due to point
34 mutations and genomic rearrangements in tumors. In 2 individuals, the same mutations were found in
35 distinct KS tumors. The K8.1 gene was inactivated in tumors from 3 individuals, and all KSHV genomic
36 aberrations retained the region surrounding the first major internal repeat (IR1). These findings suggest
37 that lytic gene alterations may contribute to KS tumorigenesis or persistence.

38

39 Author summary

40 Kaposi sarcoma (KS) is a leading cancer in sub-Saharan Africa and in those with HIV co-infection.
41 Infection by Kaposi sarcoma-associated herpesvirus (KSHV) is necessary for KS, yet why only few KSHV
42 infections develop into KS is largely unknown. While strain differences or mutations in other tumor viruses

43 are known to affect the risk and progression of their associated cancers, whether KSHV genetic variation
44 is important to the natural history of KS is unclear. Most studies of KSHV diversity have characterized only
45 ~4% of its 165-kb genome and may have been impacted by PCR or cloning artifacts. Here, we performed
46 highly sensitive, single-molecule sequencing of whole KSHV genomes in paired KS tumors and oral swabs
47 from 9 individuals with KS. We found that KSHV genomes were virtually identical within individuals, with
48 no evidence of quasispecies formation nor multistrain infection. However, KSHV genome aberrations and
49 inactivating mutations appeared to be a common, tumor-associated phenomenon, with some mutations
50 shared by distinct tumors within an individual. Certain regions of the KSHV genome featured prominently
51 among tumor-associated mutations, suggesting that they are important contributors to the pathogenesis
52 or persistence of KS.

53

54 **Introduction**

55 Kaposi Sarcoma (KS) is one of the most common cancers of HIV-infected individuals [1,2], with the
56 burden of disease disproportionately borne by people in sub-Saharan Africa [3]. A gamma herpesvirus,
57 Kaposi sarcoma-associated herpesvirus (KSHV), is the etiologic agent of KS and consistently detected in
58 tumor tissues [4,5]. KSHV also can be shed in saliva, thought to be a primary mode of transmission [6–8].
59 Only a small fraction of KSHV infections progress to KS, and the factors contributing to KS pathogenesis
60 are poorly understood. The development of KS is associated with HIV infection and immunosuppression
61 [9], but other factors, including KSHV genome variation, may contribute to differential outcomes of KSHV
62 infection.

63 Studies of other human oncogenic viruses reveal that viral genetic variation or *de novo* mutations
64 may be important to their pathogenicity, as is the case for cancers associated with human papilloma
65 viruses and Merkel cell polyomavirus [10]. Epstein Bar virus (EBV), another gamma-herpesvirus like KSHV,

66 is associated with a variety of neoplasms. In EBV infections, intra-host evolved viruses may have a role in
67 pathogenesis of associated cancers [11–13]. Additionally, EBV strains isolated from nasopharyngeal
68 carcinoma (NPC) biopsies have unique genomic [14,15] and phenotypic [16–18] variations compared to
69 other strains and isolates from geographically clustered individuals without cancer [19]. NPC-associated
70 strains were also found to have increased tropism for epithelial- versus B-cells [16,20]. These findings
71 suggest that viral genetic heterogeneity can affect EBV virulence. Whether KSHV genetic variation can
72 similarly influence KS pathogenesis or manifestation is unknown.

73 KSHV encodes oncogenes that dysregulate cell cycle, cell-to-cell adhesion, inflammation and
74 angiogenesis [21–24], and heterogeneity in these genes might result in differences in KSHV infection
75 outcomes and clinical manifestations. For example, polymorphisms in the microRNA region of KSHV have
76 been correlated with the development of multicentric Castleman disease and KSHV-associated
77 inflammatory cytokine syndrome with and without KS [25]. The K1 gene, the most variable KSHV gene, is
78 conventionally used for KSHV strain subtyping. Subtype A has been associated with more aggressive KS
79 than subtype C [26,27], while subtype B has been associated with better KS prognoses [28]. However,
80 correlations of KSHV genetic subtypes with virulence has not been consistently observed [29–32].

81 KSHV whole genome sequences provide a far more comprehensive picture of KSHV diversity than
82 the KSHV variable regions alone. Most of the publicly available KSHV genomes have been reported in only
83 the last 5 years [33–37], and they demonstrated that polymorphisms in the ~130-kb KSHV non-repeat
84 genomic region outside of the K1 gene contribute much more to KSHV diversity than the 0.9 kb hyper-
85 variable K1 gene by itself [33,34]. KSHV genomes are, moreover, replete with signatures of recombination
86 [36], potentially complicating disease risk association solely with K1 subtypes. Principal component
87 analysis of 70 KSHV genomes, all from different individuals, failed to reveal strain specificity for the
88 development of KS [36].

89 Whether KSHV results in significant intra-host diversity is unclear. Some studies examining KSHV in
90 different compartments or multiple clones from a single individual have reported KSHV quasispecies,
91 multi-strain infections [38–42] and intra-host evolution [37,40,43], while only a single persisting strain is
92 typically found within individuals with AIDS-associated KS [44–47]. The existence of KSHV intertypic
93 recombinants [36,44,48–50] indicate that co-infection of divergent KSHV strains must be occurring at least
94 sporadically.

95 The assessment of intra-host diversity can be easily biased by artifacts introduced during sample
96 preparation and when sequencing from PCR products [45]. Short read, next generation sequencing can
97 have high error rates due to PCR misincorporation, end-repair artifacts, insufficient sequencing depth,
98 and DNA damage from long, repeated high-temperature incubations during PCR and enrichment reactions
99 [51–54]. These methodological limitations can undermine interpretation of observed intra-host KSHV
100 variations.

101 To accurately assess KSHV intra-host polymorphisms associated with KS tumors and detect minor
102 KSHV sequence variants, we sequenced KSHV genomes using a highly sensitive short-read sequencing
103 method termed “duplex sequencing” [55]. This method incorporates duplex unique molecular identifiers
104 (dUMI), which are double-stranded strings of random base pairs to barcode individual DNA molecules
105 before PCR amplification and RNA-bait enrichment [55]. By utilizing dUMI-consensus reads of each DNA
106 molecule in a sample library, PCR-associated errors are reduced to $\sim 10^{-9}$, revealing the original sequence
107 variation within a sample library [55]. In the present study, we report the results of duplex sequencing of
108 whole KSHV genomes in paired tumors and oral swabs of 9 Ugandan adults with HIV-associated KS.

109

110 **Methods**

111 **Study Cohort and Specimen collection.**

112 Specimens were obtained from participants enrolled in the “HIPPOS” Study, an ongoing prospective
113 cohort study, begun in 2012, of KS patients initiating treatment at the Uganda Cancer Institute (UCI) in
114 Kampala, Uganda. This protocol was approved by the Fred Hutchinson Cancer Research Center
115 Institutional Review Board, the Makerere University School of Medicine Research and Ethics Committee
116 (SOMREC), and the Uganda National Council on Science and Technology (UNCST). All participants provided
117 written informed consent.

118 Participants were eligible for the HIPPOS study if they were >18 years of age with biopsy-proven KS,
119 and ART- and chemotherapy-naïve at enrollment. Participants attended 12 study visits over a one-year
120 period and received treatment for KS consisting of ART and chemotherapy (combination bleomycin and
121 vincristine or paclitaxel) per standard protocols by UCI physicians. At each visit, participants received a
122 detailed physical exam to assess clinical response using the ACTG KS response criteria [56].

123 Participants provided plasma samples at each visit for KSHV, CD4 and HIV viral load testing, and in
124 addition, provided up to 9 biopsies of KS lesions before, during, and after KS treatment. KS tumor biopsies
125 were obtained using 4mm punch biopsy tools after cleaning the skin with alcohol, and either snap-frozen
126 at the clinic site and stored in liquid nitrogen (LN2) or placed in RNAlater and stored at -80°C. Study
127 clinicians collected swabs of the oral mucosa at each study visit and participants self-collected oral swabs
128 at home for 1 week after the visit after education on the sample collection technique, as has been
129 previously validated by our group in Uganda [57]. Briefly, a Dacron swab is inserted into the mouth and
130 vigorously rubbed along the buccal mucosa, gums, and hard palate. The swab is then placed in 1 mL of
131 filter-sterilized digestion buffer [58] and stored at ambient temperature [59] before being placed at -20°C
132 for storage.

133

134 **DNA preparation.** DNA was extracted from 300 μ L homogenized tumor lysates using the AllPrep DNA/RNA
135 Mini Kit (QIAGEN, Cat. # 80204) and eluted into 100 μ L EB Buffer. For oral swab specimens, DNA was
136 extracted from the swab tip eluate using the QIAamp Mini Kit (Qiagen, Cat.# 51304) following the
137 manufacturer's protocol. Purification of DNA from saliva stabilized in RNAProtect[®] Saliva Reagent (Qiagen)
138 was performed following the manufacturer's protocol with the following modifications: there was no
139 initial pelleting or PBS wash, 20 μ L proteinase K was used per 200 μ L specimen, and DNA was eluted in 50
140 μ L water. DNA was quantified using a NanoDrop[™] 1000 Spectrophotometer (ThermoScientific).

141

142 **PCR.** All PCR preparations were done in a PCR-clean room, except for the addition of control templates.
143 PCR was conducted using the PrimeSTAR GXL kit (Takara, Cat. # R050B) with ThermaStop[™] (Thermagenix)
144 added. Cycling conditions were: 98°C for 2 mins; 35 cycles of 98°C for 10 secs, 60-65°C (depending on
145 primer) for 15 secs, 68°C for 1min/kb; 68°C for 3 mins and then hold at 4°C. Primer sequences are listed
146 in **S1 Table**.

147

148 **Copy number quantification.** KSHV genome copy numbers were quantified by digital droplet PCR (ddPCR)
149 using the QX200[™] Droplet Generator and Reader (Bio-Rad), with ddPCR[™] SuperMix for Probes No dUTP
150 (Bio-Rad, Cat. # 186-3024). Primers and probes were designed (**S1 Table**) to detect 4 KSHV-unique genes
151 K2/vIL-6, ORF16/vBCL-2, ORF50/RTA and ORF73/LANA (**Fig 1A**), and the KSHV genome copy numbers
152 reported were the average of the 4 measures. 420 ng BCBL-1 cell line DNA diluted 1:10,000, about 475
153 genome copies, was used as positive control. 1 ng human genomic DNA (Bioline, Cat. # BIO-35025) was
154 used as negative control, and water as a no template control. Cycling conditions were: 95°C for 10 mins;
155 40 cycles of: 94°C for 30 secs, 56°C for 30 secs, 60°C for 1 min; one cycle at 98°C 10 for mins, and then

156 hold at 12°C. The KSHV on-target percent was calculated using the copy number quantification by ddPCR
157 normalized to the total nucleic acid concentration.

158

159 **UMI-addition and library preparation (Fig 2).** To obtain ~500-bp DNA fragments, 10-20 ng/µL of DNA
160 extract in 100 µL chilled TLE buffer (10mM Tris, pH8.0, 0.1mM EDTA) was sheared using a Bioruptor™
161 (Diagenode) on high power for up to 15 min. Fragment sizes were assessed on 1.5% agarose gels. Sheared
162 DNA was bead-purified using 1.2X volume of Agencourt AMPure XP Beads (Beckman Coulter Cat. # A63880)
163 and eluted in 50 µL water. Library preparation (end repair, A-tailing and adapter-ligation) was performed
164 using the KAPA HyperPrep Library Preparation Kit (Cat. # KR0961/KK8503). Double-stranded DNA adapters
165 contained a random 12-bp dUMI sequence and a defined 5-bp spacer sequence added to Illumina TruSeq
166 adaptor sequences [60] (**S1 Fig**). Subsequently, DNA was bead-purified with 1X volume of beads and
167 eluted in 50 µL water.

168 DNA libraries were subjected to pre-enrichment amplification with primers mws13 & mws20 (**S1**
169 **Table, S1 Fig**) and KAPA HiFi Hot Start polymerase. PCR conditions were: 95°C 4 mins; 5-8 cycles of 98°C
170 20 sec, 60°C 45 sec, 72°C 45 sec; 72°C 3 mins, 4°C hold. If the bead-purified elution from the end repair
171 and adapter step had more than 240 ng total, it was divided into 50 µL PCR reactions of \leq 240 ng and
172 pooled after amplification. PCR products were then bead-purified as above with 1.2X volume beads and
173 elution in 100 µL water, quantified with Nanodrop, and their sizes assessed using a Bio-analyzer (Agilent
174 DNA 7500) or Qiaxcel (QIAGEN AM420).

175

176 **Library enrichment & sequencing.** Biotinylated RNA baits for enriching KSHV sequences in the library were
177 those designed in [61] and were obtained from Agilent, Inc. (Santa Clara, CA). The design was a 120-bp,
178 12X tiling of the genome of KSHV isolate GK18 (Genbank ID: AF148805.2). The diversity of the bait library

179 was further increased by including K1, ORF75, K15, ORF26 and TR sequences of strains JSC-1 (Genbank ID:
180 GQ994935.1), DG1 (Genbank ID: JQ619843.1), BC-1 (Genbank ID: U75698.1), BCBL-1 (Genbank ID:
181 HQ404500.1), Sau3A (Genbank ID: U93872.2), and all Western and African strain sequences in [29,33]
182 (Genbank ID: AF130259, AF130266, AF130267, AF130281, AF130305, AF133039, AF133040, AF133043,
183 AF133044, AF151687, AF171057, AF178780, AF178810, AF220292, AF220293, AY329032, KT271453,
184 KT271454, KT271455, KT271456, KT271457, KT271458, KT271459, KT271460, KT271461, KT271462,
185 KT271463, KT271464, KT271465, KT271466, KT271467, KT271468).

186 Target enrichment was performed using SureSelect Target Enrichment Kit v1.7 (Agilent) with all
187 suggested volumes reduced by half. DNA hybridized to biotinylated-RNA baits was captured with
188 streptavidin beads (Dynabeads MyOne Streptavidin T1, Invitrogen) and resuspended in 20µL water. The
189 DNA-streptavidin bead mixture was used directly in post-enrichment PCR amplification with primers
190 mws13 and mws21, the latter of which includes a sample index sequence (**S1 Table**, **S1 Fig**). The PCR cycle
191 number ranged from 10-16, with products monitored every 2 to 3 cycles on a TapeStation (Agilent) to
192 ensure correct fragment sizes (~500bp). When over-amplification resulted in library fragment sizes much
193 larger than expected, a single “reconditioning” PCR cycle with fresh reagents was done [62]. PCR products
194 were cleaned using 1.2X volume AMPure XP beads and the eluted DNA library was sequenced using
195 Illumina HiSeq 2500 with 100-bp paired end reads. For some tumor samples with low KSHV copy numbers
196 and all oral swab samples, a second library enrichment was performed.

197

198 ***De novo* assembly of sample-consensus genomes.** Initially, a sample-consensus KSHV genome (**Fig**
199 **2**) was generated *de novo* from paired-end reads of each sample using custom scripts (**S2 Fig**,
200 <https://github.com/MullinsLab/HHV8-assembly-SPAdes>). At this stage, the first 17-bp from read ends
201 were trimmed to remove dUMI sequences. Next, reads were subjected to windowed quality filtering using

202 *sickle pe* [63] with a quality threshold of 30 and a window size 10% of read length. Filtered reads were
203 aligned to a human genome (GRCh38 p12, GenBank GCA_000001405.27) using *bwa mem* [64]. Unmapped
204 reads were used as input into the de novo assembler SPAdes v3.11.1 [65], with the setting *-k*
205 *21,35,55,71,81*. This oftentimes yielded 3 to 4 scaffolds that together encompassed the entire 131-kb
206 unique sequence regions of KSHV, bounded by the major repeat regions: Internal Repeat 1 (IR1), Internal
207 Repeat 2 (IR2), LANA central repeat and Terminal Repeats (TR) (**Fig 1A**). Next, all scaffolds over 500 bp
208 were aligned using *bwa mem* to the genome of reference KSHV strain GK18. From the aligned scaffolds a
209 draft genome was generated in Geneious (Biomatters, Ltd) with manual correction as needed. To finish
210 the assembly, GapFiller v1.1 [66] was used, setting *bwa* as the aligner and filtered paired-end reads as the
211 input library. The genomes were annotated in Geneious from the GK18 reference, also adding the T1.4
212 annotation based on [67]. The major repeat regions were masked with Ns since they were poorly resolved
213 by assembly of short reads that can map to multiple locations within the repeat regions.

214

215 **Variant identification from dUMI-consensus reads.** Paired-end reads, including their dUMI sequence tags,
216 were mapped by *bwa* to sample-consensus genomes (**Fig 2**) using a Makefile adapted from [60]
217 (<https://github.com/MullinsLab/Duplex-Sequencing>). Briefly, all reads mapping to the same genomic
218 position were collapsed by single strand UMIs (sUMI) to make sUMI-consensus reads (**S2 Fig**).
219 Complementary UMI tags from opposing strands were matched to create dUMI-consensus reads,
220 removing nearly all PCR polymerase misincorporation and chimera artifacts. Nine bases from both read
221 ends were then trimmed to minimize read end artifacts. Discrepancies between mapped dUMI-consensus
222 reads and the sample-consensus genomes were manually inspected and corrected in Geneious as needed.
223 Only the remaining discrepancies were considered to be sequence variants that existed prior to PCR
224 amplification.

225 All genome and subgenome sequence alignments were done using MAFFT [68] [algorithm FFT-NS-i
226 x1000, scoring matrix 1PAM/k=2], and all phylogenetic trees were inferred using RAxML [69] (-f d, GTR
227 gamma, N=100 starting trees), using a representative KSHV genome from each individual. The
228 NeighborNet phylogenetic network was generated using SplitsTree5, excluding gap sites [70].

229 Consensus genome sequences were deposited in GenBank (Accession numbers: XXX) with
230 coordinates of rearrangements, when present, indicated.

231

232 **Integration analysis.** Systematic searches for KSHV integration into the human genome were done in two
233 ways. First, each library was searched using local BLASTN against both human and KSHV sequences and
234 then using the Perl script SummonChimera [71] to extract coordinates of potential integration sites.
235 Second, a sample-consensus KSHV genome was appended as an extra chromosome to the human genome
236 reference GRCh38 p12. The appended human genome reference was used to map sUMI consensus reads
237 via Speedseq [72] to generate alignment files with only discordant or split reads. These were input into
238 LUMPY for structural variant analysis [73]. Human chromosomes linked to KSHV sequences were taken to
239 be putative integration sites.

240

241 **Results**

242 **Assessment of the dUMI sequencing protocol with a KSHV infected cell line**

243 As part of the optimization of the dUMI-sequencing protocol, KSHV genome sequences were first
244 obtained from an early passage of BCBL-1, a KSHV-infected PEL cell line [74]. BCBL-1 cells were grown as
245 previously described [75]. After DNA extraction, KSHV sequences corresponded to ~0.16% of the total
246 DNA using a ddPCR assay for ORF73 and T0.7-K12, and normalized by comparison to the human gene

247 POLG. Following a single round of bait capture, the fraction of sequence reads corresponding to KSHV
248 from BCBL-1 DNA extracts (i.e., the “on-target” level), was 15.6%, corresponding to 173-fold enrichment.

249 Sequencing of the BCBL-1 KSHV genome produced a mean coverage of >10,000 reads per base
250 excluding the repeat regions. Collapsing raw reads by identical sUMI to generate sUMI-consensus reads
251 results in a mean of 2,552 sUMI reads per base. When collapsed further into consensus sequences derived
252 from both strands, a mean of 286 dUMI reads per base was obtained that was essentially free of PCR
253 errors (**Table 1; Fig 2B**). Since each dUMI tags a unique DNA molecule before PCR, the number of unique
254 dUMI tags indicates the number of unique viral templates sequenced [55,76]. Using this measure, 286
255 also approximates the number of KSHV genomes sampled from BCBL-1.

256 **Table 1. Origin and processing results from specimens for KSHV genome analysis.**

| Pt ID | Age | Sex | Plasma HIV RNA (copies/mL) | CD4 T cells/µL | Sample ID | Sample Type | % On-target | | Times enriched | Fold enrichment | Mean read coverage | Mean dUMI-consensus read coverage | Genome length (excluding repeats and Ns) | # |
|-------|-----|-----|----------------------------|----------------|-----------|-------------|----------------|-----------------|----------------|-----------------|--------------------|-----------------------------------|--|---|
| | | | | | | | Pre-enrichment | Post-enrichment | | | | | | |
| n/a | n/a | n/a | n/a | n/a | BCBL-1 | cell line | 0.09% | 15.61% | 1x | 173 | 7,586 | 286 | 132,676 | |
| U003 | 25 | M | 759,635 | 45 | U003-C | Tumor | 0.37% | 7.15% | 1x | 1,925 | 15,635 | 259 | 131,292 | |
| | | | | | U003-o1 | Oral swab | <0.01% | 35.20% | 2x | 2,919,559 | 47,445 | 7 | 131,102 | |
| | | | | | U003-o2 | Oral swab | 0.03% | 31.60% | 2x | 120,023 | 63,258 | 292 | 131,129 | |
| | | | | | U003-o3 | Oral swab | <0.01% | 41.90% | 2x | 1,007,251 | 58,467 | 41 | 131,143 | |
| U004 | 37 | M | 277,655 | 85 | U004-C | Tumor | 0.16% | 17.20% | 1x | 10,567 | 18,787 | 32 | 131,277 | |
| | | | | | U004-D | Tumor | 0.17% | 75.10% | 1x | 45,228 | 58,120 | 1,263 | 131,237 | |
| | | | | | U004-o1 | Oral swab | 0.01% | 18.90% | 2x | 335,500 | 36,466 | 55 | 131,277 | |
| U007 | 26 | M | 91,096 | 136 | U007-B | Tumor | 0.06% | 86.90% | 2x | 137,642 | 67,373 | 1,040 | 131,352 | |
| | | | | | U007-o1 | Oral swab | 0.01% | 27.60% | 2x | 402,068 | 41,922 | 198 | 131,126 | |
| U008 | 56 | M | 860,937 | 488 | U008-B | Tumor | 0.49% | 16.97% | 1x | 3,470 | 19,553 | 644 | 131,142 | |
| | | | | | U008-D | Tumor | 0.40% | 29.98% | 2x | 7,534 | 25,341 | 188 | 131,102 | |
| | | | | | U008-o1 | Oral swab | <0.01% | 23.50% | 2x | 846,462 | 46,611 | 111 | 131,116 | |
| U020 | 27 | M | 118,191 | 370 | U020-B | Tumor | 0.70% | 1.47% | 1x | 210 | 12,774 | 154 | 131,102 | |
| | | | | | U020-C | Tumor | 0.03% | 34.38% | 2x | 98,720 | 15,438 | 0 | 131,471 | |
| | | | | | U020-o1 | Oral swab | <0.01% | 77.30% | 2x | 3,090,161 | 7,807 | 80 | 131,115 | |
| | | | | | U020-o2 | Oral swab | <0.01% | 51.40% | 2x | 3,702,047 | 6 | 0 | 128,518 | |
| U023 | 33 | F | 338,285 | 191 | U023-o1 | Oral | 0.01% | 2.70% | 2x | 25,189 | 19,877 | 1 | 131,122 | |
| U030 | 40 | M | 100,184 | 70 | U030-C | Tumor | 1.35% | 15.68% | 1x | 1,162 | 36,741 | 468 | 131,282 | |
| | | | | | U030-o1 | Oral swab | <0.01% | 5.40% | 2x | 196 | 9,402 | 0 | 128,676 | |
| U032 | 23 | F | 587,149 | 274 | U032-B | Tumor | 0.03% | 43.50% | 2x | 127,887 | 66,595 | 854 | 131,266 | |
| | | | | | U032-o1 | Oral | <0.01% | 7.70% | 2x | 4,422,546 | 7,303 | 1 | 130,842 | |
| U034 | 47 | F | 130,375 | 237 | U034-B | Tumor | 0.14% | 84.40% | 2x | 41,282 | 71,473 | 1,653 | 131,248 | |
| | | | | | U034-C | Tumor | 0.13% | 30.70% | 2x | 22,056 | 17,074 | 126 | 131,088 | |
| | | | | | U034-o1 | Oral swab | <0.01% | 7.30% | 2x | 3,073,915 | 7,635 | 2 | 130,754 | |
| | | | | | U034-o2 | Oral swab | <0.01% | 6.00% | 2x | 6,411,538 | 4,087 | 1 | 130,884 | |

258 Eighty-one base positions (0.06%) in the BCBL1 consensus KSHV genome had detectable variants in
259 dUMI-consensus reads, and the average frequency of minor variants was 1.35%. No variant exceeded 14%
260 of the total dUMI-consensus reads at any position (**Fig 1C**). No doubling of read coverage was found within
261 the 19-kb genomic region previously reported in the BCBL-1-derived KSHV recombinant clone BAC-36 [77].

262 The consensus, *de novo*-assembled KSHV genome in BCBL-1 had 3 differences from the published
263 BAC-36 sequence: a C→A change in the noncoding sequence before ORF K5 (BAC-36 position 24,630), 2
264 additional Gs in a homopolymer run at BAC-36 position 25,210), and a synonymous T→C change in the K7
265 gene (BAC-36 position 28,409). No variant bases were found in dUMI-consensus reads at the equivalent
266 positions, indicating that the 3 BAC-36 sequence variants were not present in this passage of the BCBL-1
267 line at detectable levels (i.e., <1 copy per 286 genomes).

268

269 **KSHV sequence derivation from tumor tissues and oral swabs**

270 KSHV genome sequences were successfully obtained from samples provided by 9 participants with
271 HIV-associated KS, including 12 KS tumors and 11 oral swabs. (**Table 1**). The representation of KSHV DNA
272 in a sample was determined by ddPCR analysis of KSHV genes vIL-6, vBCL-2, RTA and LANA (**Fig 1A**) and
273 provided as the percentage “on-target” KSHV DNA. These levels ranged from 0.03% to 1.35% (median
274 0.17%) in tumors, while most oral swab samples were below 0.01% on-target (**Table 1**). Following one
275 enrichment with RNA baits, KSHV DNA corresponded to a median of 1.3% on-target, a >6,000-fold increase,
276 and after a second enrichment a median of 24.2% on-target was obtained, for a total of 120,000-fold
277 enrichment (**Table 1**).

278 Median total read coverage across KSHV genomes was 22,000 for tumors and 38,000 for oral swab
279 samples. After collapsing mapped reads by dUMI, the median read coverage, corresponding to the
280 number of viral genomes assessed, was 364 for tumors and 7 for oral swabs (**Table 1, S3A-B Fig**). Tumor

281 sample U032-B had the highest number of genomes analyzed at 1,653. We set the lowest number of reads
282 accepted for confident assignment of variant frequencies to be 100 (**S4A Fig**); below this number dUMI-
283 consensus read coverage was judged to be too sparse. U020-C was an exception because its low mean
284 dUMI-consensus read coverage was due to most of the KSHV genome being deleted, as discussed below.
285 For other samples with mean dUMI-consensus read coverage below 80, all from oral swabs, the dUMI-
286 consensus reads generated were insufficient to cover the entire KSHV genome, although whole KSHV
287 genomes could be assembled from raw reads. Overall, read coverage was relatively uniform along the
288 KSHV genome for most tumors (**S3A Fig**) and all adequately sampled oral swabs (**S3B Fig**).

289 Very few point mutations were found in dUMI-consensus reads from either tumors (**Fig 3A**) or oral
290 swabs (**Fig 3B**). Excluding the major repeat regions, the number of positions with a detectable intrasample
291 variant base ranged from 2 – 218 (<0.01 – 0.17%) (**Table 1**). These frequencies were lower or comparable
292 to those in the BCBL-1 cell line (**Table 1**). The sample-consensus genome was generally the only KSHV
293 sequence present in each sample, hence, there was no evidence for the existence of quasispecies [78].
294 However, in contrast to that observed in BCBL-1 viral genomes, clinical samples had detectable variation
295 in long homopolymer runs.

296 Artifacts resulting from the end-repair step in DNA library preparation, which precedes the
297 application of dUMI tags, cannot not be corrected by duplex sequencing [55,60,79]. Hence, 9 bases were
298 removed from ends of dUMI-consensus reads before analyses, and this substantially reduced the variation
299 observed in the raw data (data not shown). The minor base variants remaining in all samples revealed a
300 preponderance of C→A and G→T substitutions (**S4B Fig**) as well as differences in homopolymer run
301 lengths (**Fig 3A & B**). Most minor variants were supported by only one dUMI-consensus read. Overall,
302 there was an inverse relationship between mean variant frequency and mean dUMI-consensus read
303 coverage (**S4A Fig**). Thus, true minor variant frequencies could be even lower than reported here.

304

305 **KSHV genomes were virtually identical at the point mutational level between tumors and oral swab**
306 **samples from the same individual**

307 Intra-individual single nucleotide differences between tumor and oral swab samples ranged in
308 number from 0 – 2 across the entire ~131-kb genomes, not counting the major repeat regions. Notably,
309 there were almost no intra-individual polymorphisms in the KSHV hypervariable gene K1 (**Fig 3A-B**). Hence,
310 no evidence for minor KSHV variants or multi-strain infections was found in these individuals.

311 KSHV genomes were distinct across the 9 participants, with sequence differences ranging from 3.06-
312 4.85%. These genomes corresponded to K1 subtypes A5, B1 and C3 (**S5A Fig**) and K15 alleles P and M (**S5B**
313 **Fig**). While K1 and K15 are the most variable KSHV genes, polymorphisms along the rest of the genome
314 have been reported to contribute more in aggregate to the total diversity of KSHV [33,34,36]. Consistent
315 with this, maximum-likelihood phylogenetic trees using entire KSHV genomes (**S5C Fig**) were topologically
316 distinct from those of K1 or K15. Moreover, due to numerous signatures of recombination in the
317 evolutionary history of KSHV [36,48], differing phylogenies across sections of the KSHV genome may be
318 better represented by a phylogenetic network (**S5D Fig**), in which higher degrees of conflict result in a
319 more web-like structure rather than a tree.

320

321 **Aberrant KSHV genome structures in tumors**

322 Among the 12 tumor-derived KSHV genomes examined, 7 had anomalous read coverage that shifted
323 abruptly once or twice along the viral genome (**S3A Fig**). In contrast, oral swab KSHV genomes from the
324 same individuals had uniform read coverage. This argues against preferential target capture by RNA baits
325 or other biases. Enrichment and sequencing in some were repeated, and the distinctive read coverages
326 were reproduced. Split reads accumulated at the points of abrupt shifts in read coverage and remained

327 after collapsing all reads by their dUMI consensus, which removes PCR chimera artifacts. Individual
328 anomalies observed are detailed below, along with any additional evidence showing that these
329 represented real structural aberrations in viral genomes:

330 **Tumor 003-C.** Read coverage in U003-C was high (average of 15,635) and uniform across the KSHV
331 genome except for a 6-bp gap within the K8.1 gene intron up to the first base of the second K8.1 exon (**Fig**
332 **4A**). No read indicated a deletion, nor was any read found with its mate pair located across the 6-bp gap.
333 This region was PCR amplified from unsheared U003-C tumor DNA using conserved primers flanking the
334 gap (**Fig 4B**), and no PCR product was detectable. In contrast, an intact K8.1 intron sequence was amplified
335 and sequenced from the oral swab of the same participant (**Fig 4C**).

336 *De novo* assembly revealed that the reverse complement of TR sequences continued from the
337 deleted K8.1 intron sequences of U003-C (**Fig 4B**). The K8.1-TR junctions were confirmed by PCR with
338 primers flanking the junctions (**Fig 4D**) and Sanger sequencing. Inversion of the 60-kb 3' half of the U003-C
339 genome, starting inside K8.1, is a parsimonious explanation for the breakpoints.

340 **Tumor U004-D.** The first 3kb, from K1 to the end of gene ORF4, had 1.5X read coverage compared to the
341 rest of the KSHV genome (**S3A Fig**). However, no split reads or chimeric read pairs were found to explain
342 this result from a genome rearrangement or deletion.

343 **Tumor U008-B and D.** U008-B had 1.7X greater read coverage over a 14.8-kb segment from inside K3 to
344 inside ORF19 (GK18 reference positions 19,168 to 33,980, **Fig 5A**), including IR1 (masked). This was
345 corroborated by ddPCR quantitation of vBCL-2, inside the 1.7X coverage region, with 1.7 – 1.9-fold higher
346 gene copy number in the tumor compared to vIL-6, RTA and LANA (**Table 2**).

347

348 **Table 2. Gene copy numbers in tumor DNA.**

| Sample | vIL-6 | vBCL-2 | RTA | LANA |
|---------|--------|--------|--------|--------|
| U003-C | N/A | N/A | N/A | 9,664 |
| U003-o1 | 127 | 100 | 120 | 135 |
| U003-o2 | 1,298 | 1,238 | 1,452 | 1,628 |
| U003-o3 | 191 | 184 | 169 | 199 |
| U004-C | 1,243 | 1,274 | 1,205 | 998 |
| U004-D | 4,433 | 4,466 | 4,543 | 4,290 |
| U004-o1 | 117 | 128 | 120 | 112 |
| U007-B | 4,433 | 4,466 | 4,543 | 4,290 |
| U007-o1 | 376 | 356 | 322 | 344 |
| U008-B | 19,140 | 33,629 | 19,910 | 19,195 |
| U008-D | 24,360 | 34,755 | 12,737 | 17,189 |
| U008-o1 | 129 | 136 | 119 | 138 |
| U020-B | 49,600 | 55,850 | 4,550 | 5,500 |
| U020-C | 3,658 | 5,033 | 145 | 139 |
| U020-o1 | 254 | 231 | 234 | 248 |
| U020-o2 | 100 | 183 | 123 | 225 |
| U023-o1 | 62 | 57 | 50 | 66 |
| U030-C | N/A | N/A | N/A | 59,730 |
| U030-o1 | 2 | 5 | 5 | 7 |
| U032-B | 476 | 520 | 494 | 466 |
| U032-o1 | 9 | 0 | 2 | 0 |
| U034-B | 1,920 | 1,887 | 1,870 | 1,793 |
| U034-C | 13,083 | 13,335 | 8,148 | 6,552 |
| U034-o1 | 9 | 6 | 9 | 7 |
| U034-o2 | 6 | 11 | 0 | 1 |

349 N/A, did not quantify

350 Inferring from split reads, the 14.8-kb segment was translocated to inside IR2 (to GK18 position
351 119,496, **Fig 5D**). This was confirmed in the unsheared tumor DNA extract by PCR and Sanger sequencing
352 using primers spanning the breakpoint (**Fig 5D & E**, lanes 4 & 5). Other primer pair combinations were
353 tested to see if there were DNA species with the 14.8-kb segment inverted, deleted in place, duplicated
354 in tandem or rearranged in other ways. None generate detectable PCR products except for primer pairs

355 showing that the 14.8-kb segment also exists in the native configuration (**Fig 5E**). Thus, the 14.8-kb
356 segment was copied into IR2 but had not been deleted from its original location.

357 In a parallel study of viral transcriptomes [80], abundant expression of a chimeric Kaposin transcript
358 fused to the 14.8-kb segment was found in tumor U008-B, consistent with the viral genome structure we
359 observed. Another tumor from the same participant, **U008-D** (**Fig 5B**), had 100% nucleotide identity and
360 was confirmed to have the same duplication and breakpoint junctions (**Fig 5F**).

361 **Tumor U020-B.** Read coverage abruptly dropped 12.8-fold over the last ~90 kb of the KSHV genome in
362 this tumor (**Fig 6A**). This was consistent with ddPCR quantitation, with vIL-6 and vBCL-2 gene amplicons
363 having 9.0 – 12.3-fold higher levels than RTA and LANA (**Table 2**). The coverage shifted before the end of
364 ORF25 (GK18 position 46,615) and reads at this breakpoint continue into TR sequences ~90 kb
365 downstream (**Fig 6C**). Thus, U020-B appeared to have KSHV genome variants with a ~90-kb deletion, or
366 formally, a 12.8X amplification of a 46-kb subgenomic region. No U020-B tumor DNA remained to allow
367 confirmation of this breakpoint.

368 **Tumor U020-C.** This tumor from participant U020 had (30-fold) shift in read coverage and different
369 breakpoints inside ORF11 and ORF18 (**Fig 6B**). ddPCR quantitation demonstrated gene copy numbers of
370 vIL-6 and vBCL-2 amplicons to be 25 – 36-fold higher than for RTA and LANA (**Table 2**). The spike in read
371 coverage occurred over a 16.2 kb region (GK18 positions 16,942 to 33,011). Again, chimeric reads were
372 found at either ends of this region continuing into TR sequences (**Fig 6D**), indicating fusion with the TR
373 (**Fig 6E**). Junction fragment-specific PCR and Sanger sequencing confirmed the 3' junction (**Fig 6G**, lane 5).
374 No PCR product was produced from the other putative breakpoint junction, TR-ORF11 (**Fig 6F**, lane 4
375 primers in K2 and TR). However, the latter result is likely due to GC-rich TR sequences being largely
376 unsuitable for primer binding. Many potential forward TR primers paired with a functional reverse TR
377 primer (**Fig 6F**, lane 5) yielded no PCR product when a control BCBL-1 DNA was used as template.

378 **Tumor U030-C.** A uniform >30,000 reads/position was observed throughout most of the KSHV genome.
379 However, coverage dropped or was missing within the K15 gene (**S3A Fig**). The remaining K15 sequences
380 corresponded to the K15 M-allele, which is less common than the P allele but was included in our RNA
381 bait design (GenBank U75698). PCR amplification and Sanger sequencing of this region showed that the
382 U030-C tumor did contain some copies of the entire M-allele K15 sequence. The U030-C sample-
383 consensus genome was finished with this sequence, but no reads mapped to the gaps in K15. In the
384 parallel RNAseq study of the same participants, transcripts of K15 were lacking in U030-B and C, despite
385 being produced in all other tumor samples [80].

386

387 **The same aberrant KSHV genomes are found in multiple lesions from the same individual**

388 When breakpoint junction sequences marking an aberrant KSHV genome were confirmed by PCR in
389 a tumor, PCR primers across those breakpoints were used to screen for the same structures in other
390 available tumors from the same individual. In the case of U008-B and U008-D, full-length genome
391 sequencing showed that they had the same 14.8 kb subgenomic sequence duplicated in IR2 (**Fig 5F**). These
392 two tumors were biopsied from distinct lesions on the left leg (**S6 Fig; S2 Table**). Nested PCR screening for
393 this breakpoint junction sequence in 6 other distinct lesions (**S2 Table**) from this individual showed that
394 no other tumors had this duplication (not shown).

395 In contrast, four additional tumors tested from participant U003 had the same inversion breakpoints
396 as tumor U003-C (**Fig 7**). Moreover, no intact K8.1 sequences were detected in 2 of these 4 tumors by
397 nested PCR of the region spanning the K8.1 intron gap (**Fig 7**). These biopsies came from distinct lesions
398 in the left leg (**S2 Table**). Lastly, in participant U020, the ORF18-TR junction sequences found spanning the
399 U020-C genomic deletion was not detected in the 2 other tumors tested.

400

401 **Mutations in sample-consensus KSHV genomes from tumors impacted protein coding sequences**

402 Among the 7 participants with KSHV sequences from at least one oral swab and one tumor, sample-
403 consensus KSHV genomes were identical in the oral and tumor samples of 2 participants and differed in 4
404 others. In the remaining participant, U004, the sample-consensus KSHV genome in one tumor was
405 identical to that in oral but the second tumor had mutations. The mutations unique to tumors were
406 typically nonsynonymous point mutations resulting in highly dissimilar residues or other mutations likely
407 to disrupt their expression (**Table 3**).

Table 3. Unique KSHV mutations observed in tumors compared to oral swabs from the same individual

| Sample ID | Tumor-specific differences |
|--------------------------------|---|
| U003-C | K12 synonymous mutation within miR-K10 genomic inversion starting at K8.1 |
| U004-C U004-D | NONE ORF32 nonsynonymous mutation R56Q K15 nonsynonymous mutation A290P 28-nt deletion within the K8.1 promoter 3-kb segment duplication from before K1 to after ORF4 |
| U007-B | NONE |
| U008-B U008-D | duplication of 14.8 kb segment around IR1 into IR2 - breakpoints inside K3 & ORF19 - genes duplicated: ORF70, K4.1, K4.2, K5, K6, K7, ORF16, ORF17, ORF17.5, ORF18 same as U008-B |
| U020-B U020-C | ORF25 nonsynonymous mutation Q594K genomic deletion connecting end of ORF25 coding sequence to TR sequences, 47 kb remaining ORF11 nonsynonymous mutation T396P K3 nonsynonymous mutation F88L in transmembrane domain K8.1 nonsense mutation at start of 2nd exon genomic deletion leaving only 16 kb segment surrounding IR1 connected to TR sequences - breakpoints inside ORF11 and ORF18 - ~30X coverage for: K2, ORF2, K3, ORF70, K4, K4.1, K4.2, K5, K6, K7, ORF16, ORF7, ORF17.5 |
| U032-B | ORF63 nonsynonymous mutation T848A |
| U034-B U034-C | NONE NONE |

408 Several tumor-unique mutations or genome aberrations occurred in structural genes (**S3 Table**), and
409 frequently involved the K8.1 gene, which encodes an envelope glycoprotein. The U003 inversion
410 breakpoint cleaved the K8.1 gene. U004-D had an R56Q mutation in its ORF32 tegument protein coding
411 sequence, as well as a 28-nt deletion in the promoter region of K8.1 (**S7A Fig**). The deletion was after the
412 K8.1 core promoter sequence [81], but encompassed the K8.1 transcription start site [82]. The ORF25
413 major capsid protein in U020-B had a Q594K mutation, in addition to the U020-B genomic deletion that
414 started downstream of ORF25. U020-C had a nonsense mutation at the beginning of the second K8.1 exon.
415 Finally, U032-B had a T848A mutation in ORF63, a tegument protein.

416 The only intra-host synonymous point mutation observed was in ORF K12 of U003-C (GK18 position
417 118,082). This C to T change occurred within the oncogenic microRNA K10 (miR-K10) sequence in the
418 Kaposin A transcript. The three oral swab samples from this participant maintained the consensus C at
419 this position (**S7B Fig**), whereas the 4 other tumors from this participant examined had T at this position,
420 with tumor U003-G having a minor population of viruses with the consensus C (**S7C Fig**). Among published
421 KSHV genomes, only ZM106 (GenBank KT271458), also derived from a KS tumor, had a T at this position.

422

423 **Lack of evidence for integration of KSHV sequences into human chromosomes**

424 No *de novo*-assembled scaffolds, split reads or improperly-paired read mappings suggested any
425 instance of KSHV sequences fused to human DNA. Nevertheless, attempts were made to systematically
426 search for human-KSHV chimeric sequences. The methods employed were those used to screen for all
427 integrated herpesviruses sequences in public databases [83] and EBV integration sites in primary gastric
428 and nasopharyngeal carcinomas [84]. The KSHV genome inversions, duplications and deletions described
429 above were detected by LUMPY with high confidence values. In contrast, putative breakpoints that joined

430 human and KSHV sequences were supported by only tens of reads, about 2 orders of magnitude lower in
431 number, and often involved LANA repeats into low-complexity human repeat sequences.

432

433 **Co-infection with EBV detected predominantly in oral swabs**

434 Some scaffolds during *de novo* assembly correspond to EBV sequences. Nearly all oral swab samples
435 yielded multiple EBV-mapping scaffolds up to 73 kb, with no region of the EBV genome over-represented.
436 In contrast, EBV-sequences were detected in only 5 of 12 tumors, and in all cases were sequences flanking
437 the EBNA-1 repeat (**S8 Fig**). The proportion of reads mapping to EBV in oral swabs ranged from 0 - 33%,
438 median 1.8%, whereas in tumors the range was from 0 - 0.5%, median 0.002% (**S4 Table**). No other
439 eukaryote viruses were identified, including HIV, with which every participant was known to be infected.

440

441 **Discussion**

442 This study is the first to explore KSHV intra-host diversity at the whole genome level and provided an
443 unprecedented level of precision to herpesvirus genome sequence analysis in clinical specimens,
444 with >100 essentially error-free genome sequences obtained from most tumors. KSHV genomes were
445 obtained from 11 oral swabs and 12 KS tumors of 9 Ugandan adults with KS. By incorporating dUMI, PCR
446 misincorporation errors and template switching artifacts were substantially eliminated, permitting
447 detection variants as infrequent as 0.01% and a theoretical error rate of $1/10^9$, or approximately the DNA
448 replication error rate in eukaryotic cells [55].

449 There were no signs of KSHV quasispecies, consistent with large dsDNA viruses having the lowest
450 mutation rates among viruses [85]. Less than 0.01% of all base positions in the 131-kb KSHV genomes
451 (excluding the major repeat regions) were found to have a detectable variant, typically supported by only

452 one dUMI-consensus read. This exceedingly low intra-sample variation is within the published resolution
453 of duplex sequencing [55]. While there are reports of intra-host KSHV variability in certain KSHV-endemic
454 populations [38], in children [43], in iatrogenic settings [39–41] and in blood of AIDS-KS patients [42],
455 these findings were arrived at by Sanger sequencing of PCR amplicon clones of hypervariable regions in
456 K1 or other genes. Such protocols are more likely to detect errors that occurred during PCR. Our study
457 found virtually no intra-sample or intra-host diversity even at K1 in the 9 individuals examined.
458 Recombination is evident in the evolutionary history of KSHV [36,44,48,49]; hence, co-infections by
459 multiple KSHV strains must occur, if sporadically.

460 The most striking observation in this study was the frequency and tumor-specificity of aberrant KSHV
461 genomes, summarized in **Figure 8**. Up to 7 of the 12 KS tumors examined had major inversions, deletions
462 or duplications comprising the majority of KSHV genomes in those tumors. In stark contrast, no aberrant
463 genome structures were found in oral swabs. It is unclear whether the tumor-specific mutations observed
464 were required for tumorigenesis or tumor persistence, or whether they were a consequence of localized
465 genomic instability known to occur in tumors [86], but several observations suggest that these changes
466 were not random. Rearrangement breakpoints and other mutations almost always occurred inside coding
467 sequences of lytic genes (**Tables 3 & S3**), with many truncating protein coding sequences. These mutations
468 may have been selected for, if for instance expressing these proteins exposed host cells to immune
469 targeting. Mutations that disrupt genes may also indicate that those genes were not necessary for
470 sustaining tumorigenic growth. Conversely, regions of the KSHV genome that were duplicated,
471 conspicuously intact or translocated next to strong promoters (as in 008-B and 008-D) may point to KSHV
472 genes that are influential in driving tumor cell proliferation.

473 The genomic region around IR1 featured prominently in genomic rearrangements in 4 tumors,
474 potentially leading to their over-expression relative to other KSHV genes. For example, tumors U008-B
475 and U008-D had a 14.8-kb portion of their genomes, from inside K3 to ORF19, duplicated into within IR2

476 (Fig 5). In a parallel RNAseq study, tumor U008-B had been found to abundantly express a chimeric
477 transcript of the 14.8 kb section fused to IR2 sequences transcribed from a strong latency-associated
478 promoter [80]. Distinct deletions were observed in tumors U020-B and U020-C from another participant,
479 but the genomic regions retained, aside from TR sequences, again included the IR1 region (Fig 6). There is
480 evidence to suggest that KSHV lytic gene expression is crucial to KS pathogenesis [87], and that residual
481 lytic gene expression plays a role in latent KSHV persistence *in vivo* [88]. IR1 is one of the origins of lytic
482 replication, and transcripts around IR1 are among the most highly expressed in KS tumors [80]. These
483 include two long non-coding RNAs that have indispensable roles during lytic reactivation of KSHV, T1.4
484 [67,89,90] and PAN [91–93]. PAN has been shown to interact with promoters of cellular genes involved in
485 inflammation, cell cycle regulation and metabolism, and exogenous expression of PAN alone enhanced
486 cell growth phenotypes [94]. Recently, virally-encoded circular RNAs encoded within PAN were discovered
487 to be abundant in clinical samples and were inducible in KSHV-infected cell lines [95–97]. Other non-
488 coding transcripts are potentially expressed from this region but their biological significance is unknown
489 [93,98]. Finally, most ORFs encoded in the 14.8-kb retained region are intermediate-early or delayed-early
490 lytic genes that may have functions in subverting adaptive (K5/MIR2) [99,100] or innate immunity
491 (K4/vCCL-2, K4.1/vCCL-3, K4.2 and K6/vCCL-1)[100–103], and apoptosis (K7 and ORF16/vBCL-2) [100,103].

492 Other than genomic rearrangements, sample-consensus KSHV genomes in tumors and oral swabs
493 within the same individuals differed by at most two point mutations or a short deletion. No mutations
494 occurred in intergenic regions, and almost all were nonsynonymous changes resulting in highly dissimilar
495 amino acids. Notably, the sole intra-host synonymous mutation found occurred inside K12/Kaposin A of
496 tumor U003-C (GK18 position 118,082), within the embedded microRNA miR-K10. The oral swab
497 counterpart maintained the database consensus. Expression of the Kaposin A transcript is tumorigenic
498 [104] and a single base change has been observed to abolish this effect [105], although a different
499 mutation was observed here.

500 The late lytic gene K8.1 was found to be mutated in KS tumors from 3 individuals. U003-C had an
501 inversion breakpoint at the K8.1 intron, U004-D had a 28-bp deletion ending at 4 bases upstream of the
502 first K8.1 exon, and U020-C had a nonsense mutation at the start of the second K8.1 exon (**Fig 8**).
503 Furthermore, intact K8.1 gene sequences were undetectable by PCR in most of participant 003's tumors
504 tested (**Figs 4C & 7**). Truncations in K8.1 had been reported previously, all from KS tumor isolates. The
505 original GK18 isolate had a 74-bp deletion at the 3' end (see GenBank ID AF148805 K8.1 annotation); the
506 Zambian isolate ZM124 (GenBank ID: KT271466) had a 25-nt deletion resulting in a frameshift and
507 premature stop [33]; finally, Japanese isolate Miyako1 has a stop codon early in its first exon (see GenBank
508 ID LC200586 miscellaneous annotation). Collectively, these findings suggest selection pressure against
509 K8.1 expression in tumors.

510 Gene K8.1 encodes an envelope glycoprotein that interacts with heparin sulfate for attachment [106–
511 109]. It is not required for entry into endothelial [107] or 293 cells [110], although it had recently been
512 shown to be necessary for infection of primary and cultured B-cells [111]. The K8.1 protein is often used
513 as an indicator of the late lytic stage and is among the most immunogenic KSHV proteins [112–114]. It is
514 therefore conceivable that the preponderance of K8.1 mutations might be due to potent immune
515 targeting of cells expressing K8.1 glycoproteins.

516 Some of the aberrant KSHV genomes we observed would be unable to produce infectious virions, yet
517 the same viral genome rearrangements were sometimes found in multiple lesions. All 5 tumors tested of
518 Participant U003 had the K8.1-TR junction present, but intact K8.1 sequence was detectable by PCR in
519 only 3 (**Fig 7**). Participant U008 had the same sequence duplication in 2 distinct tumor lesions (**Figs 5 &**
520 **S6**). Thus, spread of these mutated genomes could have occurred by tumor metastasis or with a helper
521 virus.

522 Detection of aberrant KSHV genomes is not without precedent. The first whole genome sequence of
523 KSHV published reported a 33-kb portion of the KSHV unique central region duplicated into the TR region
524 [115]. A study of 16 tumor-derived KSHV whole genomes from Zambia reported 4 that had regions with
525 3-fold more coverage than the sample average, although these were not examined [33] . A PCR screen for
526 some KSHV genes showed that some KS tumors and KSHV-infected B-cell lines can harbor deleted KSHV
527 genomes [116], and one such B-cell line proliferated faster than the parental BCBL-1 line [116]. The
528 infecting KSHV had an 82-kb deletion from the 5' end of its genome, was lytic replication-incompetent,
529 and could be packaged by a helper virus.

530 The LANA protein tethers the KSHV episome and is required for maintaining KSHV latency and for
531 latent replication of TR sequence-containing plasmids [117,118], but the deleted 020-B and 020-C
532 genomes had the entire latency locus, including LANA, missing. Remaining intact KSHV genomes in the
533 same cell could be supplying LANA, as latently infected cells frequently harbor multiple KSHV copies per
534 cell [118,119], and KSHV episomes are inherited by daughter cells in clusters [120].

535 Two remaining observations of note in this study were that, in contrast to larger studies of EBV in
536 tumors [84,121], we found no integrations of KSHV into human chromosomal DNA. Secondly, our cohort
537 was infected also with EBV, as seen in the abundance of sequence reads corresponding to EBV especially
538 in oral swabs. Tellingly, the rare EBV sequences found in tumors were consistently of EBNA-1, whose GC-
539 rich repeat domain has nucleotide homology to LANA repeats [122] and hence were probably co-captured
540 by the RNA baits. No sequences of HIV or other eukaryote viruses were detected.

541 In summary, highly accurate deep sequencing of whole KSHV genomes in paired oral swab and KS
542 tumors from individuals with advanced KS were virtually identical at the point mutational level. Where
543 there were differences, the oral viruses had the database consensus genotype while tumor viruses had
544 novel mutations. KS tumors can harbor KSHV with genomic aberrations or other mutations that may alter

545 lytic gene expression, and these viral mutations can be shared by distinct KS tumors within an individual.
546 Our study points to associations with KS tumors of the region surrounding IR1 and the K8.1 gene. As
547 inactivating mutations seem to be a frequent feature of tumor-derived KSHV, whole genome or targeted
548 sequencing may reveal more viral genomic regions important to the pathogenesis or persistence of KS.

549

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554

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916

917

918 **Figure Legends**

919

920 **Figure 1. KSHV genomes in BCBL-1 cells have low point mutational diversity.** (A) Schematic
921 representation of a linear KSHV genome, with genes colored in green and the major repeat regions in
922 orange. The locations of the K1, vIL-6, vBCL-2, RTA, LANA and K15 genes used for genome quantitation
923 are indicated. (B) Raw (light blue), sUMI-consensus (blue) and dUMI-consensus (dark blue) read
924 coverage along the *de novo* assembled, BCBL-1 KSHV genome. Major repeat regions were masked (gray
925 columns). (C) Bubble plot of minor sequence variants. Each bubble represents a position within the
926 genome at which a variant base or indel was detected, colored by whether they were predicted to be
927 silent or protein-altering mutations. Mutations likely to be silent included synonymous and intergenic
928 point mutations, while protein-altering mutations included non-synonymous, nonsense and frameshift
929 mutations. Bubble height represents variant base frequency among dUMI-consensus reads at that
930 position. Vertical grey columns represent the masked repeat regions.

931

932 **Figure 2. Workflow for analyzing intra-host KSHV genome diversity from clinical samples.** Each study
933 participant contributed KS tumors and oral swabs. Sequencing libraries were prepared from DNA
934 extracts from each sample with adaptors containing duplex Unique Molecular Identifiers (dUMIs).
935 Adaptor-labelled DNA libraries were enriched using biotinylated RNA baits homologous to KSHV
936 sequences. Captured DNA was PCR-amplified to levels sufficient for Illumina HiSeq sequencing. For most
937 samples, libraries were subjected to a second round of enrichment and PCR amplification. Upon
938 sequencing, whole KSHV genomes were first assembled *de novo* from each sample without the use of
939 dUMIs. The sample-specific genomes generated (sample-consensus) were then used as reference to
940 map the consensus of reads with identical dUMI-tags (i.e., dUMI-consensus reads).

941

942 **Figure 3. Point mutational diversity in KSHV genomes from tumors and oral swabs.** Bubble plots of
943 minor sequence variants remaining after removal of PCR errors, in KSHV genomes from tumors (A) and
944 oral swabs (B). Each bubble represents a variant base or indel, colored by whether they were predicted
945 to be silent or protein-altering mutations. Silent mutations include synonymous and intergenic point
946 mutations, while protein-altering mutations included non-synonymous, nonsense and frameshift
947 mutations. Hollow circles represent mutations occurring in homopolymer runs. Bubble heights
948 represent the frequency of the variant base among dUMI-consensus reads at that position. Vertical gray
949 columns represent the masked repeat regions. The region containing the K1 gene is indicated with
950 arrows at the bottom of the figure.

951

952 **Figure 4. KSHV genomes in the U003-C tumor harbor a deletion within the K8.1 gene.** (A) Read
953 coverage of the U003-C KSHV genome, showing a 6-bp gap (red arrow) where no read pairs were
954 mapped. (B) Cartoon of the *de novo*-assembly sequences generated at either side of the gap, both
955 ended within the K8.1 gene intron and continued into terminal repeat (TR) sequences. Green and yellow
956 arrows show the directions of the K8.1 gene and terminal repeat sequences, respectively. Blue arrows
957 show the position of PCR primers used to confirm breakpoint junctions, with the expected PCR product
958 sizes. (C) PCR products generated from U003-C tumor DNA using primers flanking the gap. The 443-bp
959 PCR product expected if the K8.1 gene intron was intact was not detected from U003-C (left column),
960 whereas the expected band was detected in tumor U007-B (right column) from another person. (D)
961 Hemi-nested PCR of U003-C tumor DNA for the K8.1-TR (left) and TR-K8.1 (right) junctions produced
962 products of the predicted sizes. These structures were confirmed by Sanger sequencing (data not
963 shown). No K8.1-TR or TR-K8.1 junction fragment was produced from BCBL-1 DNA. The light bands at

964 the TR-K8.1 lane under BCBL-1 were found to be amplicons generated from the forward primer
965 sequence (indicated with * in panel B) overlapping with K8.1; this primer was used since the rest of the
966 connected TR sequence was GC-rich and unsuitable for primer design.

967

968 **Figure 5. KSHV genomes in two tumors from participant U008 had a 14.8-kb region flanking Internal**
969 **Repeat 1 (IR1) duplicated and translocated to Internal Repeat 2 (IR2).** Total, sUMI and dUMI-consensus
970 read coverage of tumor B (A) and D (B) genomes from individual U008. (C) Annotations of the region
971 with 1.5-2X read coverage, with genes in green, repeat regions in orange, and long non-coding RNAs in
972 red. Many reads on the edge of this region continue into IR2 (red arrows). Annotations are from the
973 KSHV reference strain GK18. (D) Cartoon showing the duplication of the 14.8 kb region into IR2 and the
974 PCR primers used to examine the genomic rearrangement in unsheared tumor DNA extracts from
975 tumors U008-B and U008-D. PCR products produced from primer pairs numbered in D from U008-B and
976 BCBL-1 (E) and in U008-D (F). All visible bands were excised from the agarose gel and sequenced,
977 confirming the junction sequences. Primer pairs # 9-12 produced no PCR products discernible on an
978 agarose gel and are not shown here.

979

980 **Figure 6. KSHV genomes in U020-B and U020-C have large, distinct deletions.** Total and dUMI-
981 consensus read coverages of U020-B (A) and U020-C (B) KSHV genomes. GK18 reference annotations in
982 the high-coverage regions of U020-B (C) and U020-C (D). (E) Cartoon showing the region encompassing
983 the high coverage region of U020-C viral genomes, leaving a 16.5-kb region connected to TR. (F) PCR
984 primers used to examine the deletions. Primers to unique genomic sequences are in blue, while primers
985 to repeat sequences are in orange. (G) PCR products produced from primer pairs numbered in E, with
986 DNA from U020-C and BCBL-1 as templates. Bands from lanes 1, 2 and 5 were excised from the agarose

987 gel and sequenced. Attempts to sequence the light bands in U020-C #7 and #9 were unsuccessful. Row
988 11 primers, in which the forward primer binds to unique genomic sequences preceding the TR, yielded
989 no discernible product (not shown).

990

991 **Figure 7. KSHV genome structures in participant U003.** Junction sequences marking the genomic
992 aberration in U003-C were detected in all 4 other tumors tested, while intact K8.1 sequences were
993 detected in only 2. The cartoon shows the breakpoints in the K8.1 intron of U003-C extending into TR
994 sequences, along with PCR primers used to confirm the genome structure. PCR products from other
995 tumors of participant U003 and from the BCBL-1 cell line are shown. All visible bands were excised from
996 the agarose gel and their structures confirmed by Sanger sequencing.

997

998 **Figure 8. Schematic representation of the 5 aberrant KSHV genomes discovered in KS tumors.** Specific
999 details and evidence for each are referred to in the text and in Table 3.

1000

1001 **S1 Figure. dUMI-adaptors and primers for duplex sequencing.** During library preparation, sheared DNA
1002 fragments were A-tailed and ligated with forked, double-stranded oligonucleotides containing Illumina
1003 TruSeq universal adaptor sequences, 12-random base pairs as dUMI and spacer sequences. The adapted
1004 DNA libraries were PCR amplified before enrichment with primers mws13 and mws20, which bind to
1005 Illumina Truseq adaptors. Primer mws21 containing sample index ID for multiplex sequencing was used
1006 for PCR following enrichment. DNA libraries post processing are shown at the bottom.

1007

1008 **S2 Figure. Workflow of genome assembly and variant analysis.** KSHV genomes were first assembled *de*

1009 *novo* from sequence reads of each sample, before being used as reference for mapping their respective
1010 dUMI-consensus reads. See details in the Methods section. Discrepancies in bases between the sample-
1011 consensus genome and mapped dUMI-consensus reads were taken to be real intra-sample variants.

1012

1013 **S3 Figure. Read coverage.** Raw (light blue), sUMI (blue) and dUMI-consensus (dark blue) read coverage
1014 in log scale along the de novo assembled, sample-consensus KSHV genomes in tumors (A) and oral
1015 swabs (B) examined in this study. Major repeat regions were masked with inserted Ns in all sample-
1016 consensus genomes.

1017

1018 **S4 Figure. Potential sequencing artifacts.** (A) KSHV intrasample variant frequency as a function of read
1019 coverage. Sample variant frequencies were estimated (Table 1) when at least 100 viral genomes were
1020 sampled. (B) Minor variants detected in dUMI-consensus reads of all samples, by type of base
1021 substitution.

1022

1023 **S5 Figure. KSHV phylogenetic relationships by variable regions K1 and K15 and by whole genomes.**
1024 Phylogenetic trees of (A) K1 genes, (B) K15 genes and (C) whole genomes from this study and of select
1025 genomes from other publications. K1 and K15 subtypes are indicated to the right of the K1 (A) and K15
1026 (B) trees. (D) A neighbor-net phylogenetic network of all published KSHV genomes to date, color-coded
1027 by genome types proposed in [36]: P1 in green, P2 in blue, N in purple, M1 in red and M2 in maroon. All
1028 *de novo*-assembled genomes from this study are in bolded italics.

1029

1030 **S6 Figure. U008-B and U008-D were from distinct lesions on the left leg.** U008-B biopsy was obtained

1031 from lesions in the upper thigh, while U008-D was biopsied from a large lesion on the knee.

1032

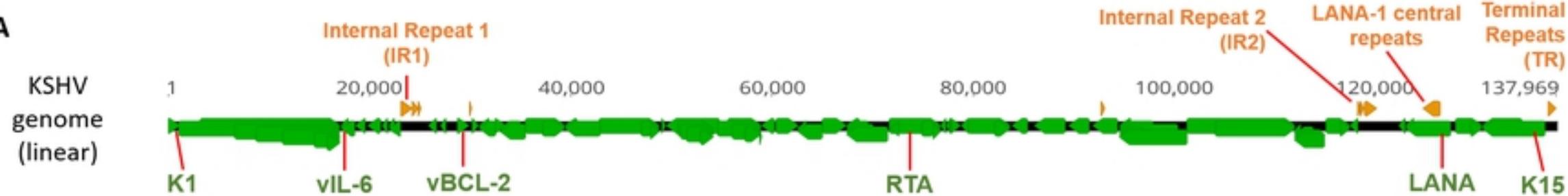
1033 **S7 Figure. Mutations of KSHV genomes in tumors from participants 004 and 003.** (A) Alignment of
1034 KSHV genomes from Participant 004, showing a 28-bp deletion in the K8.1 promoter in U004-D. U004-D
1035 and U004-C are from tumors while U004-o1 is from an oral swab. (B) The only intra-host synonymous
1036 mutation found in this study, within miR-K10 in participant 003. (C) Sequence chromatograms of miR-
1037 K10 in other tumors of participant U003, with a T in all tumors and a mixture of T and the database
1038 consensus C in a minority of viruses in U003-G.

1039

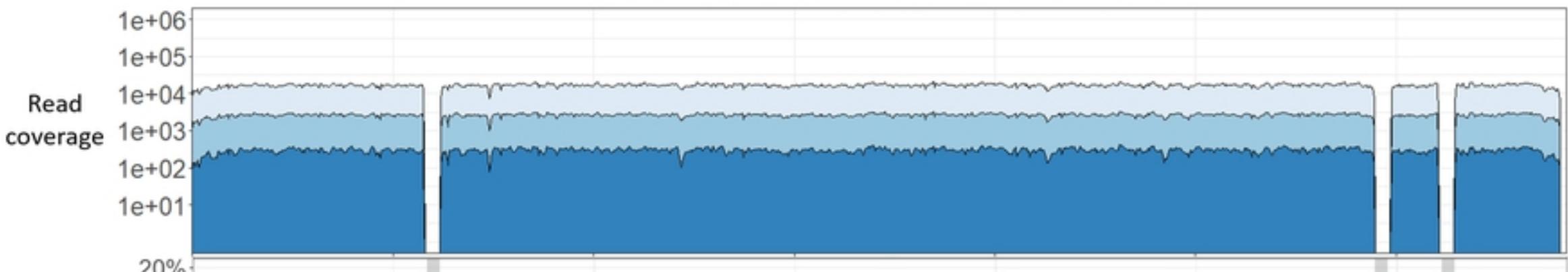
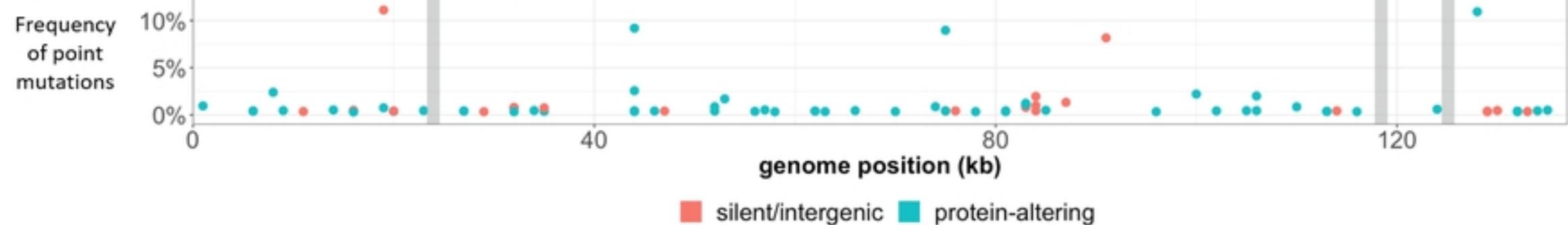
1040 **S8 Figure. Scaffolds of EBV sequences produced in 4 KS tumors map to the EBNA-1 gene.** A portion of
1041 the EBV genome is illustrated with an example of the 2 scaffolds (grey striped bars) generated from the
1042 reads of 4 KS tumors. Vertical stripes inside the gray bars indicate mismatches to the EBV reference
1043 (GenBank ID: DQ279927).

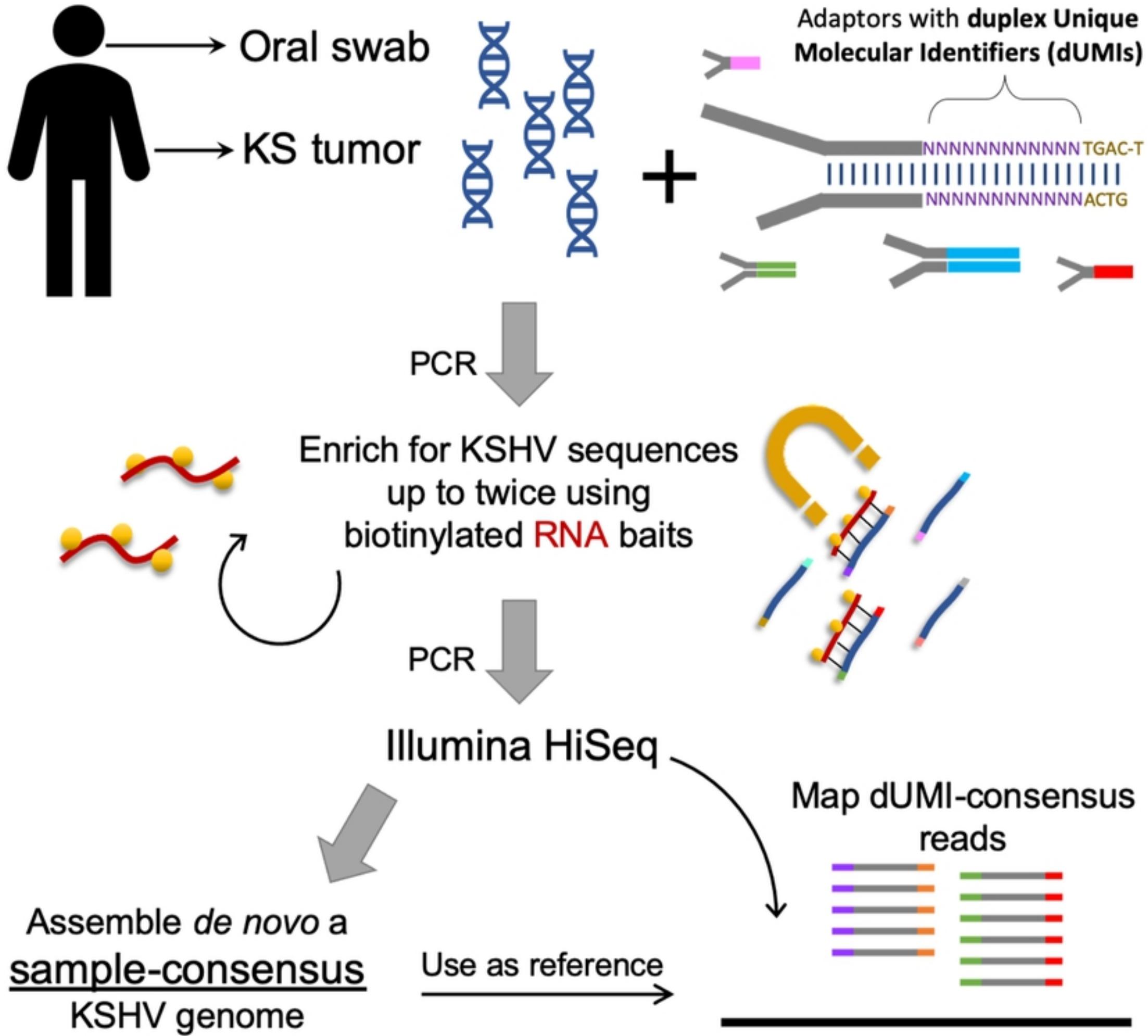
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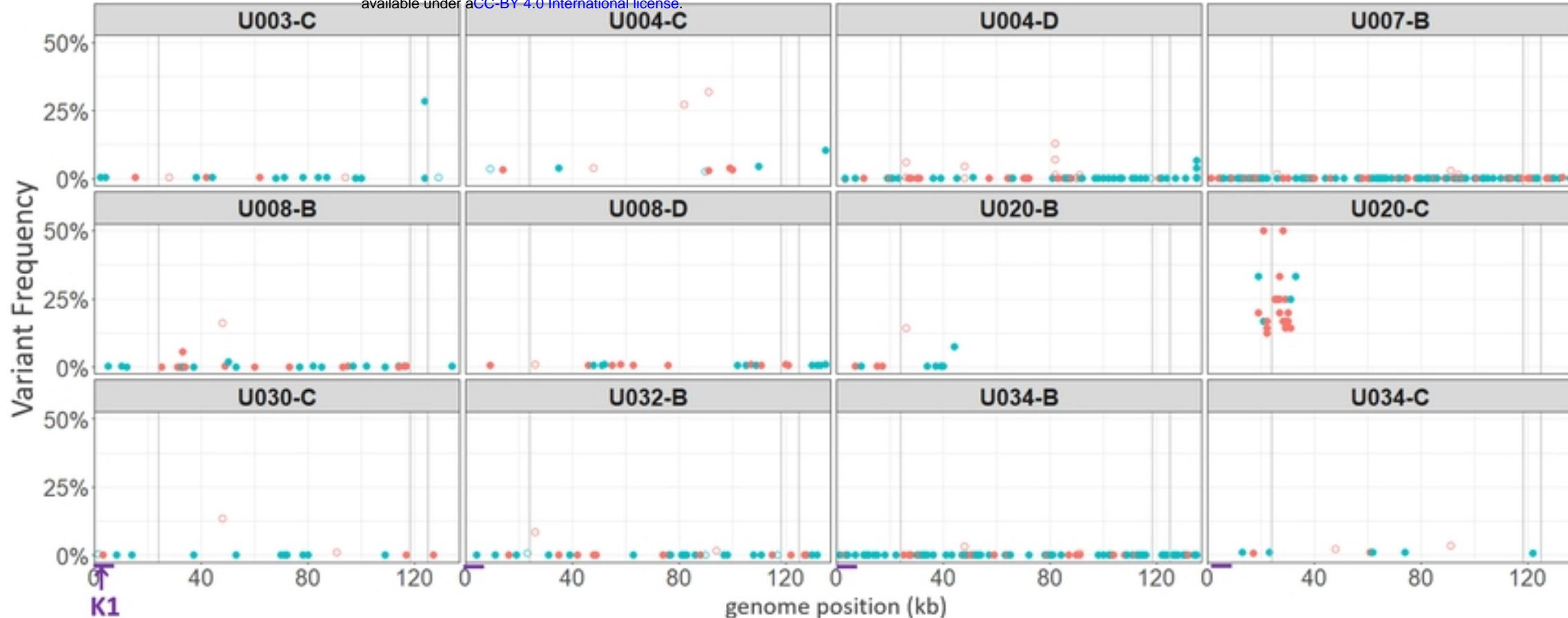
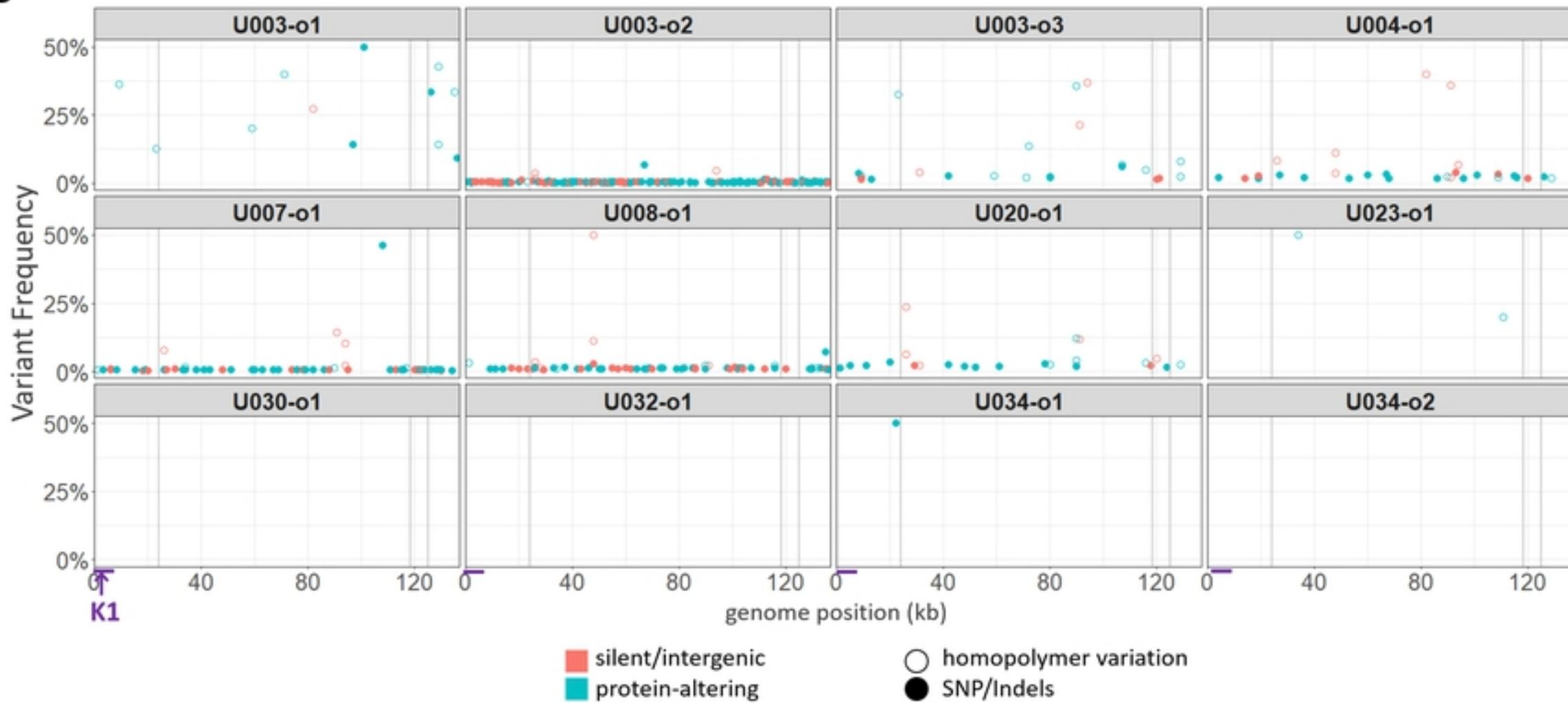
1045

A**B**

raw_read sUMI_consensus dUMI_consensus

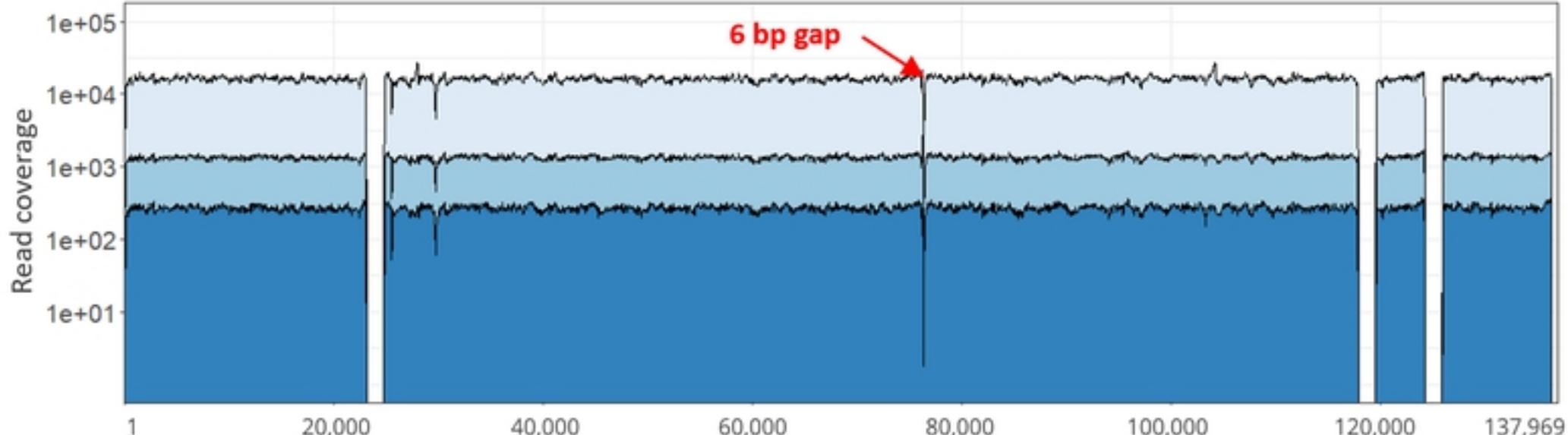
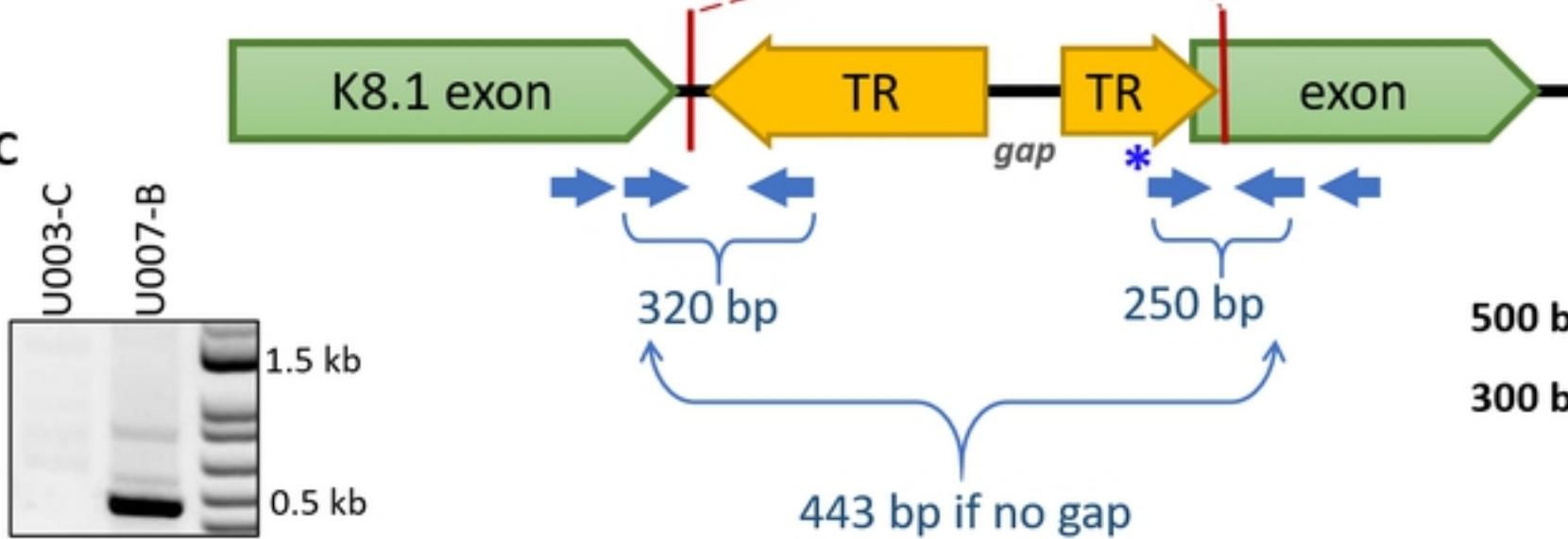
**C**



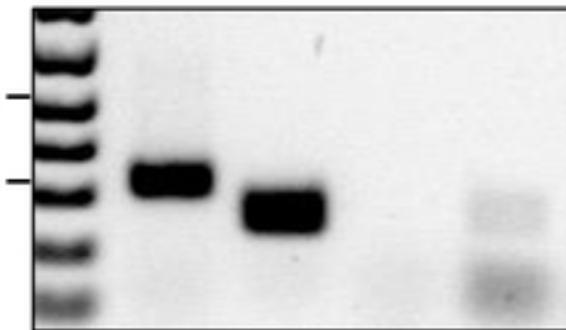
A**B****ORAL SWABS**

A**U003-C**

raw_read sUMI_consensus dUMI_consensus

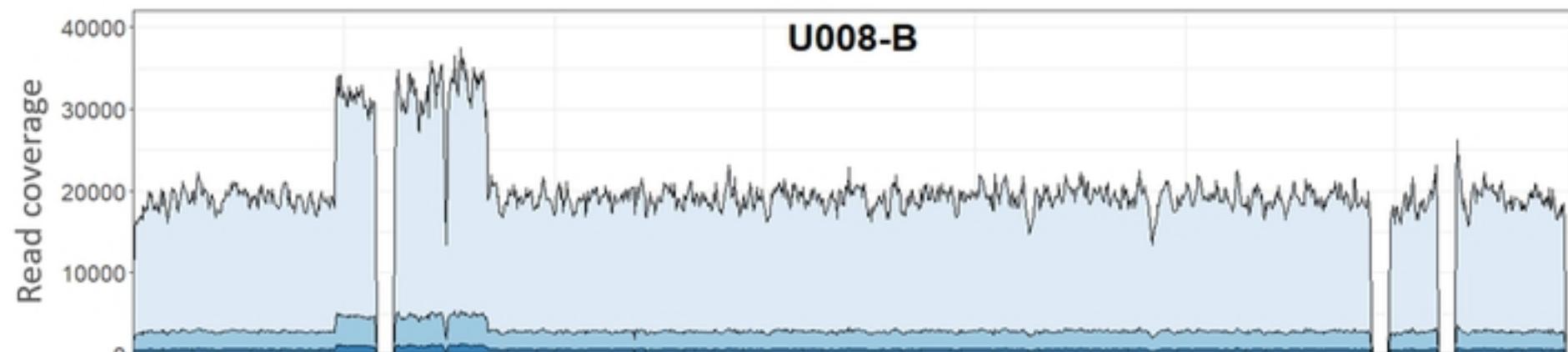
**B****C****D**

| | 003-C tumor | BCBL-1 cell line |
|-------|--------------------|--------------------|
| | K8.1- TR- TR- K8.1 | K8.1- TR- TR- K8.1 |
| K8.1- | | |
| TR | | |
| TR | | |
| K8.1 | | |

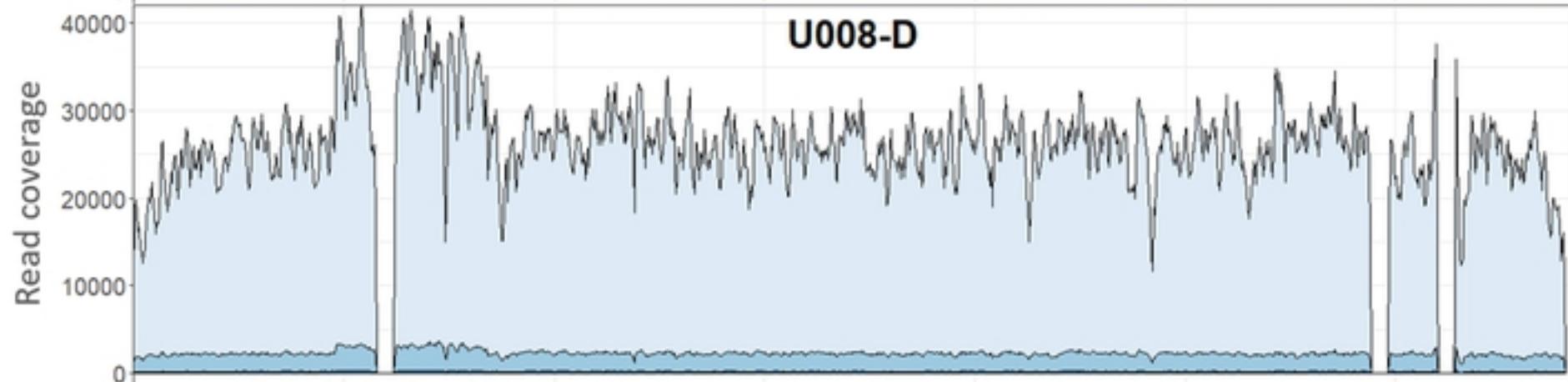


raw_read sUMI_consensus dUMI_consensus

A

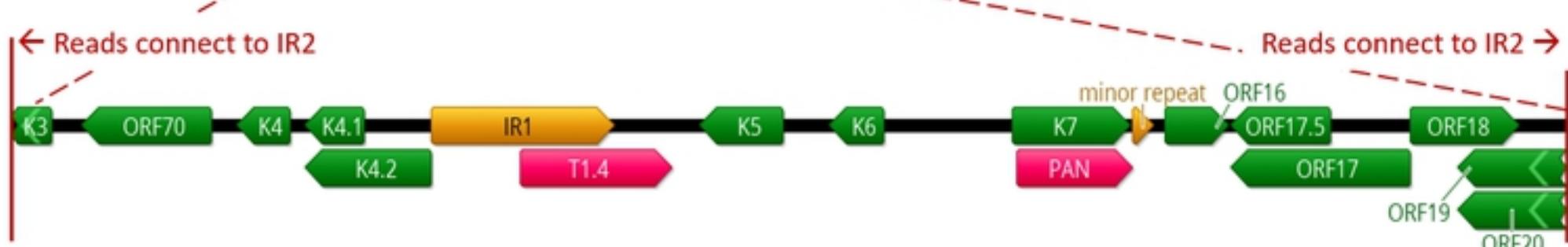


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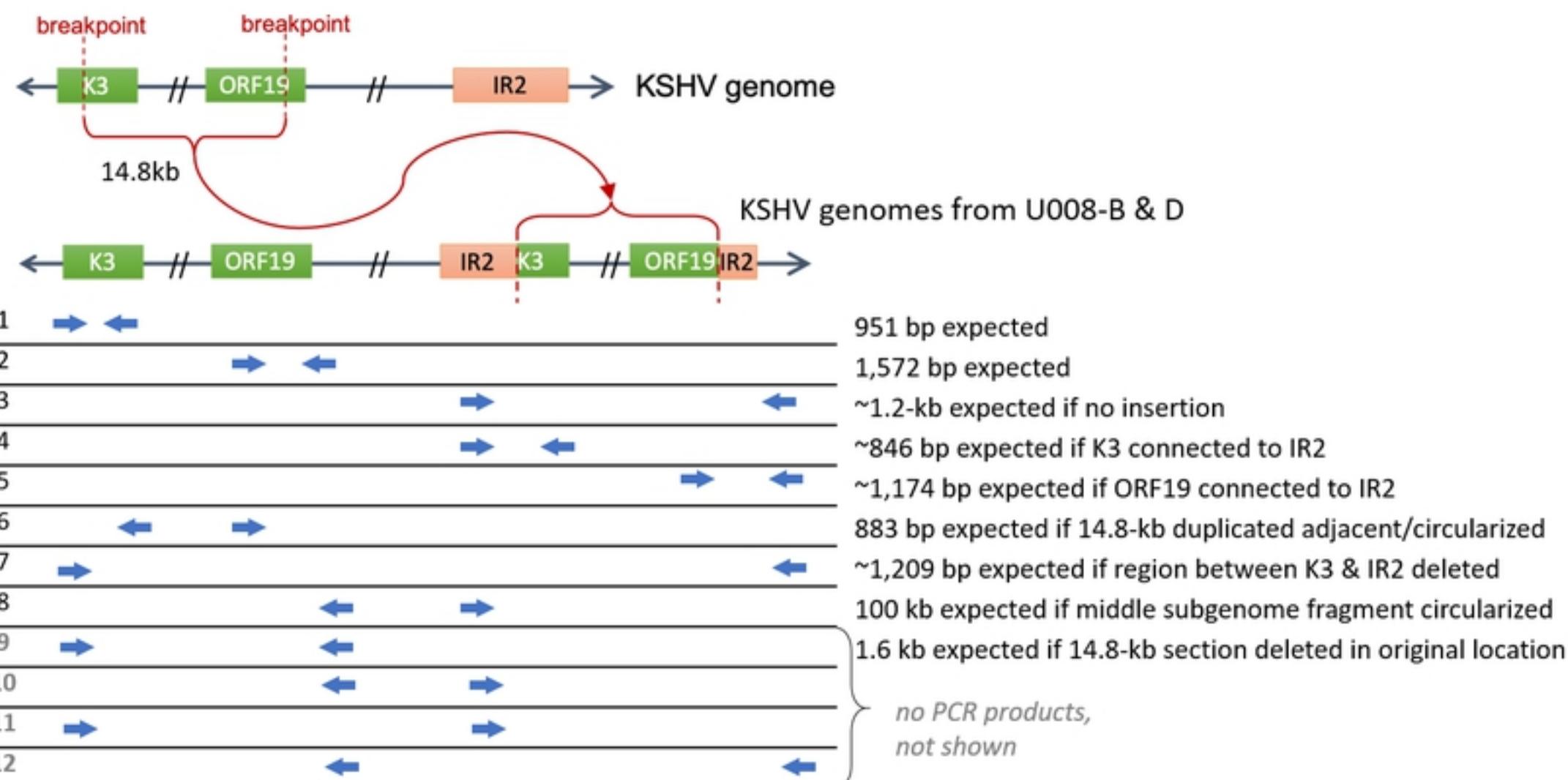


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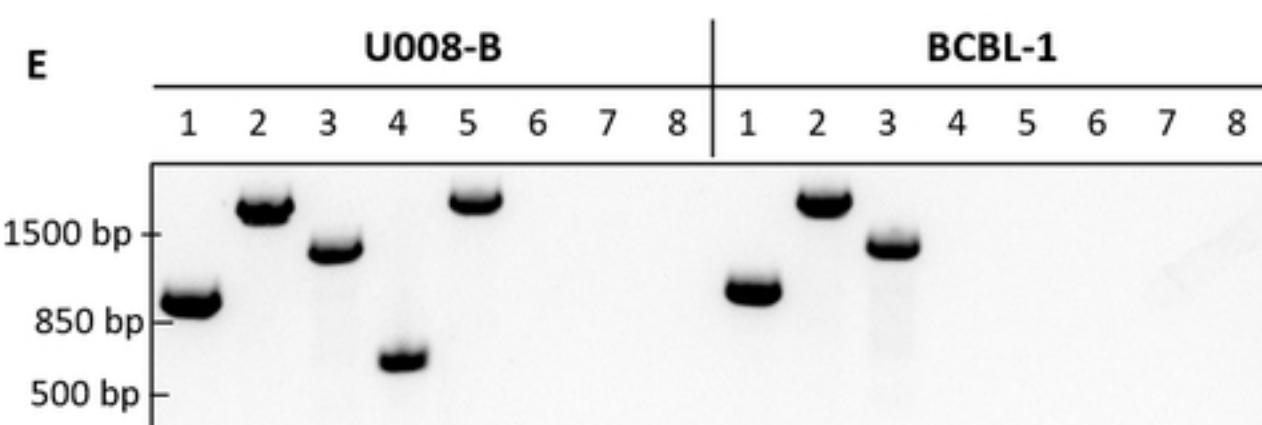
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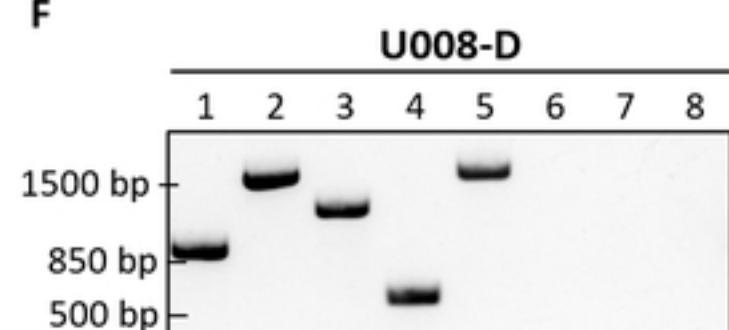
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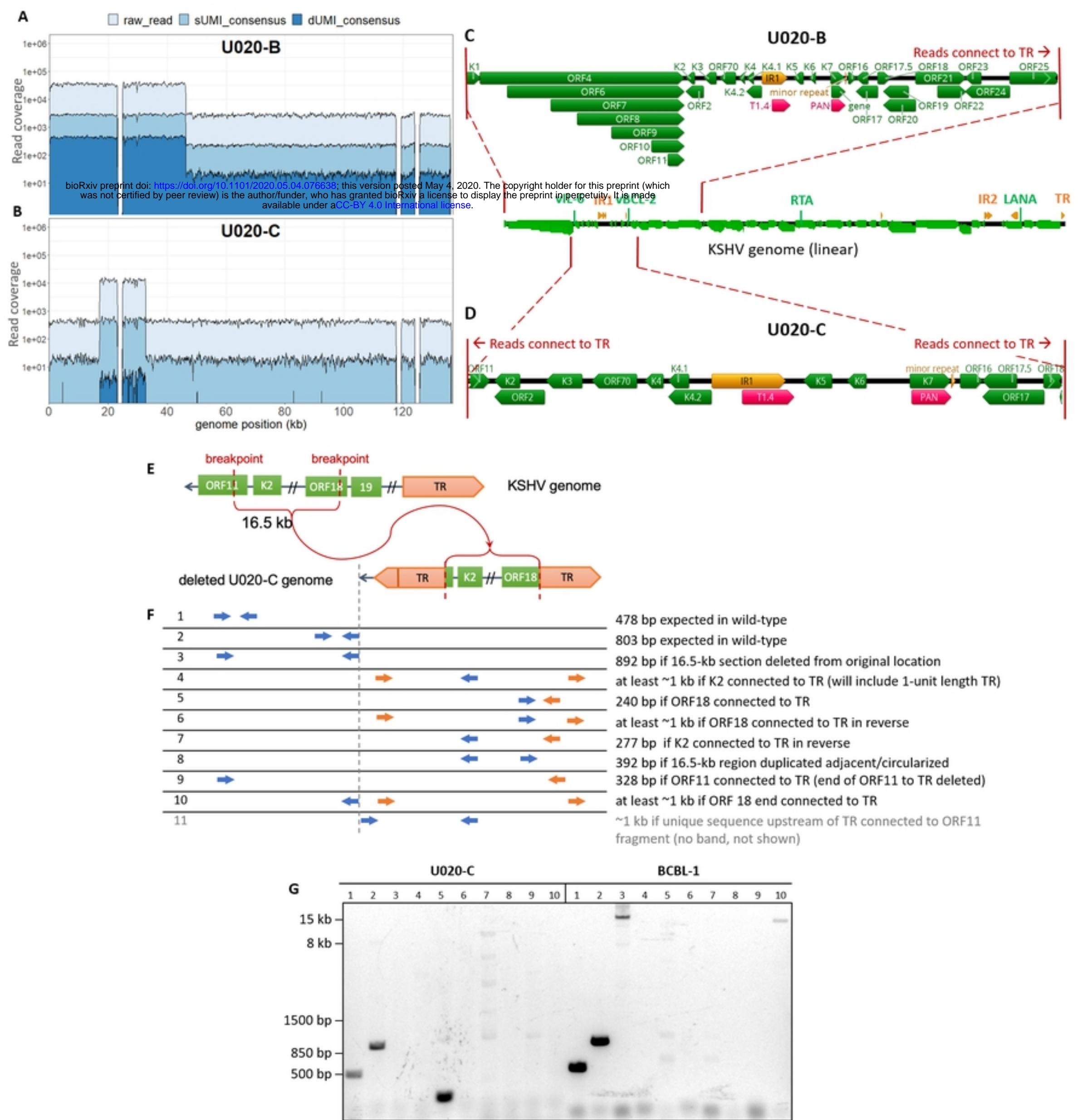


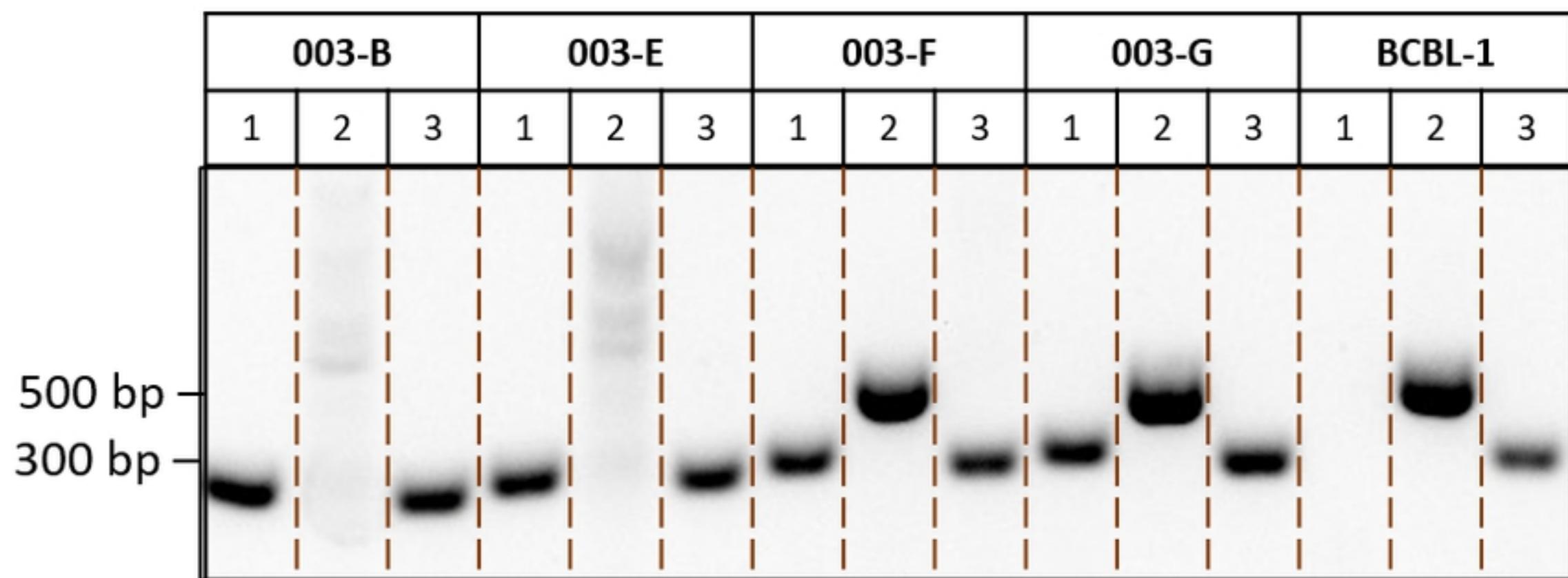
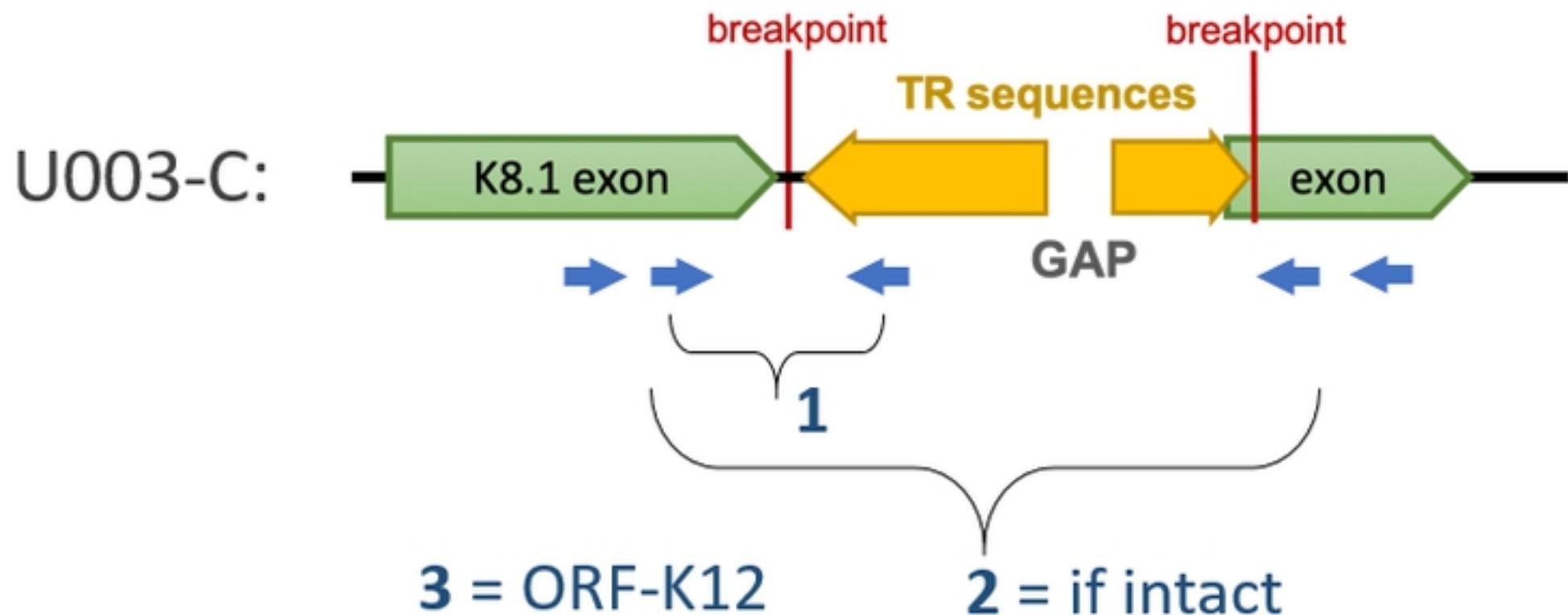
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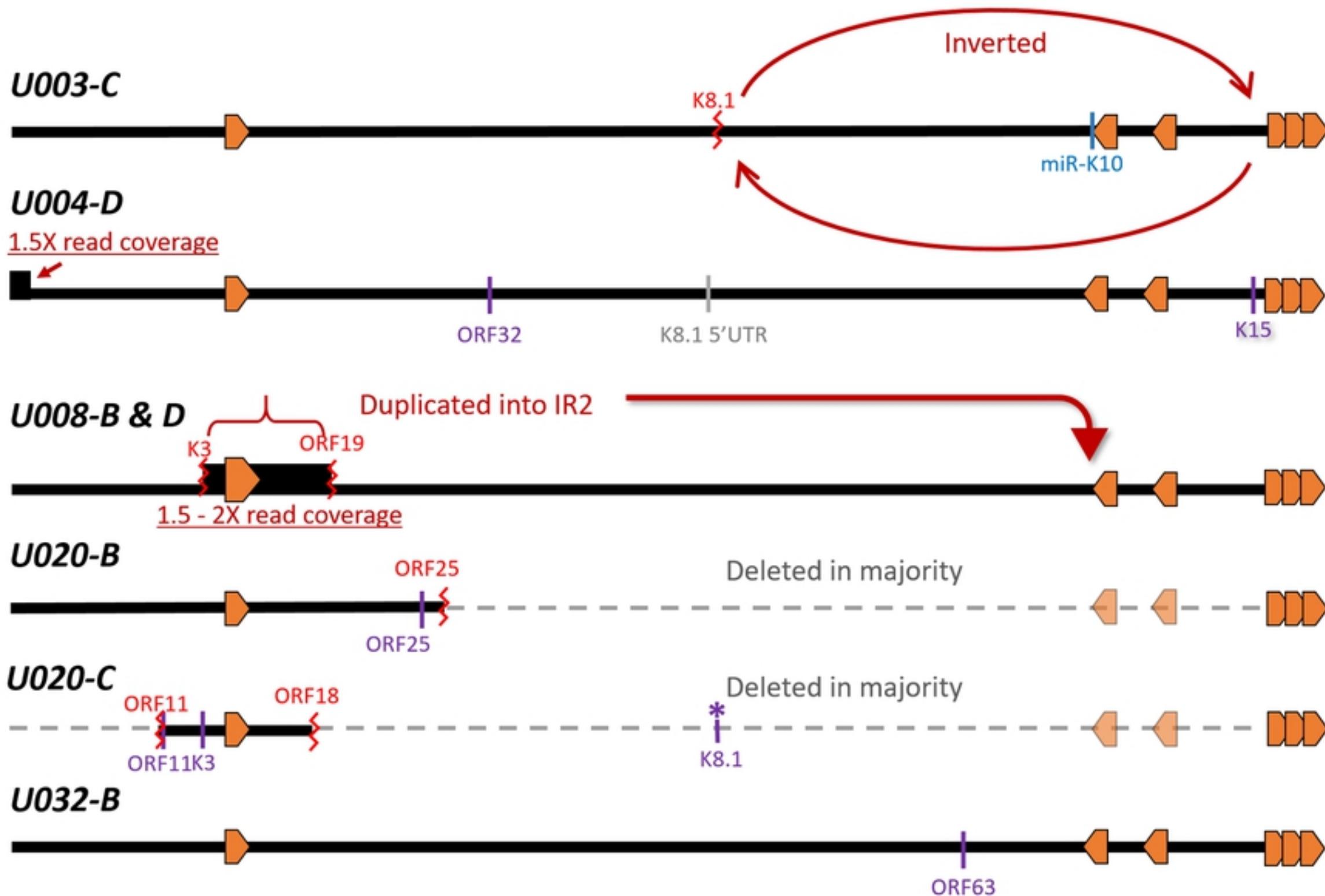


F









⌞ breakpoint

| nonsynonymous mutation

| synonymous mutation

*| STOP codon

| short deletion