

1     *Keep Garfagnina alive. An integrated study on patterns of homozygosity, genomic*  
2     *inbreeding, admixture and breed traceability of the Italian Garfagnina goat breed.*

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## 1    **Abstract**

2       The objective of this study was to investigate the genomic background of the  
3       Garfagnina (GRF) goat breed that faces the risk of extinction. In total, 48 goats genotyped  
4       with the Illumina CaprineSNP50 BeadChip were analyzed together with 214 goats  
5       belonging to 9 Italian breeds (~25 goats/breed) from the AdaptMap project [Argentata  
6       (ARG), Bionda dell'Adamello (BIO), Ciociara Grigia (CCG), Di Teramo (DIT), Garganica  
7       (GAR), Grgentana (GGT), Orobica (ORO), Valdostana (VAL) and Valpassiria (VSS)]. We  
8       estimated i) runs of homozygosity (ROH), ii) admixture ancestries and iii) traceability  
9       success via discriminant analysis on principal components (DAPC) based on cross-  
10      validation. For GRF, an excess of frequent ROH (more than 45% in the GRF samples  
11      analyzed) was detected on CHR 12 at, roughly 50.25-50.94Mbp (ARS1 assembly),  
12      spanned between the CENPJ (centromere protein) and IL17D (interleukin 17D) genes.  
13      The same area was also present in DIT, while the broader region (~49.25-51.94Mbp) was  
14      shared among the ARG, CCG, and GGT. Admixture analysis depicted the uniqueness of  
15      the GRF breed, with a small part of common ancestry shared with BIO, VSS, ARG and  
16      CCG breeds. The DAPC model resulted in a 100% assignment success. We hope this  
17      work will contribute to the efforts of preventing the GRF from extinction and to add value  
18      to all the socio-agro-economic factors related with the farming of the GRF breed.

19

## 20    **Introduction**

21       Local breeds, that usually consist of a small number of animals, are increasingly  
22       recognized by E.U. action plans as a rule of rural land protection. There are several

23 reasons for this. To name some, local breeds are i) rustic and resistant to their local  
24 environment, ii) they represent a significant economic resource and have been used for  
25 the manufacture of niche products, especially in mountainous regions, iii) they represent  
26 an important and usually unique gene bank that could be essential to address the future  
27 climate changes, or potential disease outbreaks [1], and iv) they play an important role  
28 for the preservation of the human cultural inheritance. Especially for small ruminants, that  
29 can adapt in marginal and difficult areas, another reason of major importance is the  
30 provision of eco-system services. In mountainous regions of the Mediterranean basin,  
31 grazing can be used as a measure of protection against avalanches in winter and fire  
32 outbreaks during the summer period. Grazing, apart from cost-effective is also a  
33 nonpolluting, nontoxic, nearly carbon-neutral and an effective technique against fire  
34 propagation. In this context, goat grazing has been proposed as an alternative and eco-  
35 friendly solution [2]. Moreover, climate change has been identified as an additional  
36 pressure to the sustainability of livestock systems (e.g., health and productivity) and local  
37 breeds provide with an alternative through the adaptiveness in the regions they are  
38 reared. Despite this, usually the low productivity of local unimproved breeds, and thereby  
39 low farmer's income, endangers their existence.

40 Regarding goats (*Capra hircus*), their widespread presence and adaptation in a  
41 variety of agro-ecological conditions worldwide is well documented [3]. Goats, are closely  
42 related to the human kind history, since, together with sheep, cattle and pigs were from  
43 the earliest domesticated ungulates [3,4]. Nevertheless, based on the Domestic Animal  
44 Diversity Information System (DAD-IS) data, 21 goat breeds are extinct (18 from which  
45 were reared in the regions of Europe and Caucasus) and 41 are at critical situation (41 of

46 which from Europe and Caucasus) [6]. In Italy, 3 goat breeds are extinct and 12 are  
47 marked in a critical situation.

48 The Garfagnina breed (GRF) is one of those breeds that faces the risk of extinction.  
49 The latest recognition reported 1,468 animals spread in 29 different farms (ARAT,  
50 personal communication). The GRF is reared, mostly for dairy production, in central Italy,  
51 in the hills and mountains of the northwestern Tuscan Apennine area. The origins of this  
52 population are not clear. However, it is very likely that the breed was a result of crossings  
53 between native goats from Alps and from the Tuscan-Emilian Apennines. Moreover, the  
54 local breeders report that the population was reared for generations for its milk and meat  
55 production [4]. The breed is also closely linked to the production of typical products, such  
56 as the Controneria meat kid and the Caprino delle Apuane cheese. As it has been  
57 reported by Martini et al. [4], the milking of GRF goats is manual.

58 To support the management and conservation of the breed, and to provide support  
59 to the farmers and to the general region where the breed is reared (hills and mountains  
60 of the northwestern Tuscan Apennine area - central Italy), a few studies investigated  
61 various production characteristics [4,7], the adaptive profile (through physiological,  
62 haematological, biochemical and hormonal parameters) [8] and resistance to diseases  
63 [9,10] of GRF. Martini et al. [4] investigated various zootechnical characteristics of the  
64 GRF breed in comparison to other Italian and foreign goat breeds. Based on their results,  
65 the authors suggested the development of a breeding scheme based on pure bred  
66 animals. Nevertheless, no whole genome analysis has been conducted yet to investigate  
67 the genomic background of GRF and its ancestry. Genomic information, however, is  
68 essential for action measures to be taken for conservation purposes. The 50K SNP chip

69 (http://www.goatgenome.org; [11]) released in 2013 together with the recent results of the  
70 AdaptMap project [3] offered this opportunity.

71 Hence, the objective of the present study was to investigate the genomic background  
72 of the Garfagnina breed, relative to the native Italian goat breeds included in the  
73 AdaptMap dataset. A unified procedure on admixture, runs of homozygosity, and  
74 discriminant analysis was applied with results depicting the unique genetic structure of  
75 the breed.

76

## 77 **Materials and methods**

### 78 **Ethics statement**

79 Garfagnina goats belonged to commercial farms and blood sampling was conducted by  
80 veterinarians. No invasive procedures were applied. Thus, in accordance to the  
81 2010/63/EU guide and the adoption of the Law D.L. 04/03/2014, n.26 by the Italian  
82 Government, an ethical approval is not required in our study.

83

### 84 **Genomic data**

85 Blood samples of forty eight female GRF goats were collected and animals were  
86 genotyped with the Illumina GoatSNP50 BeadChip (Illumina Inc., San Diego, CA)  
87 containing 53,347 Single Nucleotide Polymorphisms (SNPs) [12]. Genomic data of nine  
88 Italian autochthonous goat breeds, namely Argentata dell'Etna (ARG), Bionda  
89 dell'Adamello (BIO), Ciociara Grigia (CCG), Di Teramo (DIT), Garganica (GAR),  
90 Girgentana (GGT), Orobica (ORO), Valdostana (VAL) and Valpassiria (VSS) were  
91 downloaded from the online repository

92 (<https://datadryad.org/stash/dataset/doi:10.5061/dryad.v8g21pt>) of the ADAPTmap  
93 project [3,13]. The breeds were selected based on the breed abbreviation on the plink  
94 fam file downloaded from the repository and the breed description (code and country)  
95 reported in Table 1 of [13]. The two datasets were merged and quality control was  
96 conducted in PLINK v1.9 [3, 4] on the final dataset based on the following criteria: 1) only  
97 autosomes were kept, ii) call rate per SNP >95% and ii) missing values per sample <10%.  
98 After editing, 260 samples and 48,716 SNP were retained (Table 1). The distribution of  
99 the SNP per chromosome (CHR) is presented in S1 Fig.

100

101 **Table 1. Name of breeds, breed code and number of animals analyzed before (pre-  
102 QC) and after (post-QC) quality control per breed.**

Breed name	Breed code	No. pre-QC	No. post-QC
Argentata dell'Etna	ARG	25	24
Bionda dell'Adamello	BIO	24	24
Ciociara Grigia	CCG	19	19
Di Teramo	DIT	24	24
Garganica	GAR	20	20
Girgentana	GGT	30	30
Garfagnina	GRF	48	48
Orobica	ORO	24	23
Valdostana	VAL	24	24
Valpassiria	VSS	24	24

103

## 104 **Runs of homozygosity**

105 Analysis of runs of homozygosity (ROH) was conducted in the R (v. 3.5.0) package  
106 *detectRUNS* v. 0.9.5 [16] using the consecutive method [17] that runs under the main  
107 function *consecutiveRUNS.run*. The required parameters were set to: i) minimum number  
108 of 15 SNPs/ROH, ii) 1 Mbp minimum length of ROH and iii) allow one heterozygous SNP

109 within an ROH (to account for genotyping errors). In addition, ROH lengths were split into  
110 five classes (0-2, 2-4, 4-8, 8-16 and >16 Mbp). For each of the class and breed,  
111 descriptive statistics of ROH per breed, per chromosome, per SNP and per length class  
112 were estimated. Principal component analysis (PCA) was used to identify (dis)similarities  
113 among breeds, relative to the average number of ROH identified per chromosome. In  
114 addition, genomic inbreeding ( $F_{ROH}$ ) was calculated per breed. Regions with an excess of  
115 frequent ROH ( $\geq 45\%$ ) were detected and surrounding genes (1 Mbp up/downstream)  
116 were identified using the *Capra hircus* ARS1 (<http://www.ensembl.org/index.html>) and the  
117 variant effect predictor (<https://www.ensembl.org/Tools/VEP>) Ensembl databases.

118

## 119 **Population stratification and ancestry**

120 PCA and admixture analysis were used to infer the presence of distinct populations  
121 based on the genomic data. The proportion of mixed ancestry in the breeds was assessed  
122 by the *ADMIXTURE* 1.22 software [7, 8]. The number of ancestries (K) to be retained in  
123 the admixture analysis (K = 2 to 10) was evaluated via a 10-fold cross-validation (CV).  
124 The final selection on the number of ancestries was done by inspecting the CV error.

125

## 126 **Discriminant analysis of principal components**

127 Discriminant analysis was applied to assess the traceability of the GRF goats using  
128 genomic data. To achieve this, the methodology of discriminant analysis of principal  
129 components (DAPC) [20] implemented in the R package *adegenet* [5, 10, 11] was  
130 adopted. In brief, DAPC is a 2-step approach: firstly, a PCA on the matrix of the genotypes  
131 is conducted and then, a small number of selected PCs (instead of the original SNP

132 genotypes) is used as an input for the linear discriminant analysis (LDA). The selection  
133 on the optimal number of PCs to be further used in the LDA is done via cross-validation  
134 (CV) were the data is split in training and validation sets. For the selection of PCs the  
135 following criteria were implemented: i) 10-fold CV with 30 repetitions, ii) a maximum  
136 number of 300 PCs were tested, and iii) the number of PCs to be retained was based on  
137 number of PCs associated with the highest mean success. Three different scenarios of  
138 DAPC were applied as described below:

139 1. Scenario 1 (supervised learning). The full dataset was analysed simultaneously.

140 In this scenario, all available data were used for model training and the  
141 discriminant functions were extracted based on all animals. This is not, however,  
142 a real case scenario, since the discriminant functions were developed utilizing the  
143 entire data set. The objective for a practical application is to identify an external  
144 individual membership to a group (i.e. external validation). Hence, two more  
145 scenarios were developed adopting a CV scheme also for the discriminant  
146 function.

147 2. Scenario 2 (semi-supervised learning). Assessment of correct assignment of GRF  
148 goats was done via a semi-supervised CV ( $CV_{SS}$ ). Five GRF goats were sampled  
149 representing the testing set of the DAPC analysis. The reference population was  
150 constituted by the rest of 43 GRF goats plus all the goats from the other breeds.  
151 The five GRF samples were classified in one of the 10 breeds presented in the  
152 reference population via the function *predict.dapc*. The procedure was repeated  
153 10 times and results were averaged over the 10 repetitions.

154 3. Scenario 3 (unsupervised learning). Assignment of GRF goats in a breed but  
155 without the presence of any GRF goats in the reference population and model  
156 training (unsupervised CV;  $CV_{US}$ ). This scenario could also be viewed as a  
157 method to assess the genomic similarity of the GRF with the rest of the breeds  
158 (i.e., type of clustering). The approach was similar to Scenario 2 other than the  
159 testing population consisted of the entire GRF set and GRF samples had to be  
160 classified in one or more of the other 9 breeds. To increase the number of the  
161 tested samples in each round of the CV, 80% of the GRF breed was sampled.  
162 Moreover, to test for the effect of the size in training the model (TRN) in the  
163 assignment of the GRF, different proportions of the reference population were  
164 sampled (20, 30, ..., 90%) 10 times each, and results were averaged over the 10  
165 repetitions. In other words, the size of the reference population varied between  
166 42 to 91 goats. All nine breeds were present in each scenario and all GRF goats  
167 were used in this scenario.

168 It should be noted that the terms (semi/un)-supervised should not be confused with  
169 the terminology used in machine learning. The introduction of these terms has been used  
170 in the manuscript to distinguish among the three approaches that have been used in the  
171 DAPC analysis, and, although they are, up to a point, analogous with the same terms  
172 used in machine learning they are not identical.

173

## 174 Results

### 175 Runs of Homozygosity

176 Summary results of the detected ROH regions as total counts or averaged based on  
177 the number of samples per breed are presented in Table 2 and Fig 1, respectively. A  
178 relative high number of ROH was detected for GRF (n=2,450), with the highest number  
179 being for GGT (n=2,762) followed by ORO (=2,693), while the smallest was found for  
180 ARG (n=465). For GRF, the number of ROH per *Capra hircus* chromosome (CHI) varied  
181 from 35 (CHI25) to 158 (CHI1). The maximum length of ROH per chromosome was found  
182 on CHI1 (568,887,711bp) and the minimum on CHI23 (113,323,345bp). In general, the  
183 total length of ROH per CHR followed the same pattern of the total ROH number per CHR  
184 (Fig 2).

185 For all breeds analyzed, except CCG and DIT, the number of ROH relative to the  
186 length on the genome was decreasing with an increased length (Fig 3a). In the DIT  
187 samples, the ROH were more frequent in length classes of 4-8 and 8-16 Mbp compared  
188 to the 2-4Mbp. The percentage of ROH with a length >16Mbp per breed varied between  
189 0.89% to 13.53% for ORO and DIT, respectively. For small ROH length (<2Mbp) the  
190 proportion over the total number detected reached ~78% in ARG, while only 35% of ROH  
191 was observed for DIT. The pattern of ROH length class was similar among GRF, GGT  
192 and ORO with ~50% of the ROH having a length < 2Mbp, ~25% between 2-4Mbp, ~13%  
193 between 4-8Mbp, ~5% and ~2% between 8-16Mbp and > 16Mbp, respectively (S1 Table).

194 For GRF, an excess of frequent ROH (more than 45% in the GRF samples analyzed)  
195 was detected on CHI12, between ~34.6-35.3 Mbp (Fig 4, Table 3). In total, 14 SNP were  
196 contained in this region. The same excess area was also present in the DIT breed, while

197 the broader region (~33,9-36.5 Mbp) was shared among the ARG, CCG, and GGT  
198 breeds. To identify similarities among breeds relative to the number of ROH per  
199 chromosome, a PCA was conducted on the average number of ROH identified per  
200 chromosome. In addition, a heatmap on the actual number of ROH per chromosome was  
201 produced. Both approaches placed GRF closer to ORO and GGT in respect to the rest of  
202 the breeds (Fig 5).

203  
204 **Table 2. Total number of runs of homozygosity (ROH) detected per breed.**

Breed	No. ROH
ARG	465
BIO	814
CCG	496
DIT	813
GAR	730
GGT	2,762
GRF	2,450
ORO	2,693
VAL	1,613
VSS	768

205  
206 **Fig 1. Average number of runs of homozygosity (ROH) detected per breed.**  
207 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
208 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
209 Valdostana and VSS: Valpassiria.

210  
211 **Fig 2. Number and length of runs of homozygosity (ROH) per chromosome (CHR)**  
212 **in Garfagnina breed.**

213

214 **Fig 3. Frequency distribution of the number of runs of homozygosity (ROH) (a), in**  
215 **the breeds analyzed per length class, and (b) in different length classes per breed.**  
216 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
217 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
218 Valdostana and VSS: Valpassiria.

219

220 **Fig 4. Number of times (%) each SNP was detected inside a run of homozygosity**  
221 **(ROH) in Garfagnina (GRF) goats.**

222

223 **Fig 5. a) Scatterplot of principal component analysis conducted on the average**  
224 **runs of homozygosity identified per chromosome and breed; b) heatmap on the**  
225 **number of runs of homozygosity identified per chromosome and breed.**

226 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
227 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
228 Valdostana and VSS: Valpassiria.

229

230 **Table 3. Most common (≥ 45% in each breed) runs of homozygosity (ROH)**  
231 **detected per breed on *Capra hircus* chromosome 12, with the start-end regions**  
232 **and number of SNP per ROH.**

Breed	Start-SNP	End-SNP	No. SNP	Start-region (bp)	End-region (bp)
ARG	snp30406-scaffold335-807385/ rs268262652	snp30399-scaffold335-501928/ rs268262645	6	34,949,980	35,255,437
CCG	snp30421-scaffold335-1558038/ rs268262666	snp30391-scaffold335-171300/ rs268262637	27	34,199,327	35,586,065
DIT	snp30413-scaffold335-1113038/ rs268262659	snp30397-scaffold335-418126/ rs268262643	14	34,644,327	35,339,239
GGT	snp30428-scaffold335-1839052/	snp11142-scaffold140-760668/	53	33,918,313	36,518,132

GGT	rs268262673 snp17454-scaffold1805-39262/ rs268250096	rs268243983 snp55342-scaffold855-361968/ rs268286936	26	40,620,364	42,257,561
GRF	snp30413-scaffold335-1113038/ rs268262659	snp30397-scaffold335-418126/ rs268262643	14	34,644,327	35,339,239
VAL	snp50169-scaffold717-4207960/ rs268281880	snp3193-scaffold1095-4352995/ rs268236233	87	24,592,901	28,744,348

233

234 Genomic inbreeding coefficients ( $F_{ROH}$ ) were found intermediate for GRF compared  
235 to the rest of the breeds analyzed, with a mean value of 0.069 (Fig 6a, S2 Table). The  
236 highest values were observed for GGT (0.143) and ORO (0.137). Moreover, the  
237 distribution of  $F_{ROH}$  calculated per CHR was similar, with some high values (>0.5)  
238 observed for CHI7, 9, 16, 22 and 25 (Fig 6b).

239

240 **Fig 6 Summary of the genomic inbreeding coefficients (a) per breed and (b) of the**  
241 **Garfagnina breed per chromosome (Chr).**

242 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
243 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
244 Valdostana and VSS: Valpassiria.

245

## 246 Population Stratification and Ancestry

247 At a first step, a PCA was conducted on the complete data to visualize the general  
248 structure and relationships among breeds. The first axis distinguished GRF goats from  
249 ARG, CCG, DIT, GAR and GGT, while the second axis further separated GRF from the  
250 rest of the breeds (Fig 7a). An inspection of all the pairwise comparison between the first  
251 10 axes (PCs) was carried out. By plotting the PC1 vs. PC6 (Fig 7b) four clusters were

252 observed, namely: i) DIT, GAR, and GGT, ii) ARG and CCG, iii) BIO and VSS while iv)  
253 GRF was grouped together with ORO and VAL.

254

255 **Fig 7. Scatterplot of (a) the first two and (b) first and sixth principal components.**

256 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
257 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
258 Valdostana and VSS: Valpassiria.

259

260 An admixture analysis was conducted to complement with the PCA results. A varying  
261 number of group ancestries was investigated, from K=2 up to 10. The model with the  
262 minimum CV error was the one with eight group ancestries (S2 Fig). In general, the  
263 admixture results were in agreement with PCA, depicting the uniqueness of the GRF  
264 genome. At K=4, the GRF was shown almost as a breed-specific ancestry, sharing a  
265 small degree of ancestry primarily with ORO and VAL and further with GGT and DIT (Fig  
266 8). At K=8, again, GRF had almost a breed-specific ancestry, with a small percentage of  
267 the GRF goats sharing co ancestry with i) BIO and VSS and ii) ARG and CCG and to a  
268 small extent with ORO, VAL, DIT and GGT. It should be noted that apart from GRF, group-  
269 specific ancestries, at least to a great extent, existed almost for all breeds but ARG, CCG,  
270 GAR and VSS.

271

272 **Fig 8. Admixture analysis with a) K=4 and b) K=8 coancestry groups.**

273 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
274 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
275 Valdostana and VSS: Valpassiria.

276

## 277 **Discriminant Analysis of Principal Components**

278 In the first scenario of DAPC, all data were used. The first 40 PCs, explaining  
279 ~35.75% of the total variability in the SNP data (S3 Fig), were used in the final DAPC  
280 model, resulting in an assignment success rate of 100% for all the GRF goats to its breed  
281 of origin. The pattern of the genetic diversity based on the DAPC is presented in Fig 9,  
282 where a clear genetic distance of GRF from the rest of the breeds can be observed.

283

284 **Fig 9** Scatterplot of the first two discriminant components of the DAPC. Breeds are  
285 presented by different colors and symbols.

286 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
287 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
288 Valdostana and VSS: Valpassiria.

289

290 An external validation scenario, that better reflects a practical application of the  
291 discriminant model, was further assessed. In the first analysis (CV<sub>SS</sub> scenario), the GRF  
292 breed had representative animals in the reference population where the model of DAPC  
293 was developed. Also, in this case, a 100% correct classification of the GRF goats was  
294 observed (S3 Table). Interestingly, the classification of the GRF was invariant to the  
295 number of PCs selected (ranged between 10 to 70) in DAPC (S4 Table). In the second

296 scenario (CV<sub>US</sub>) there were no representative GRF samples in training the model of  
297 DAPC. In that case the majority of animals were classified as CCG while few were  
298 assigned to DIT in some of the CV replicates (S5 Table). Similar results were obtained  
299 with an increased size of the reference population. In the majority of the scenarios, the  
300 GRF goats were classified either as CCG or DIT, where there were few cases in which  
301 GRF goats were also assigned as VSS, GAR or BIO, but in none of the cases as ORO,  
302 GGT or VAL (Fig 10).

303

304 **Fig 10. Percentage of assignment of the GRF goats in the CV<sub>US</sub> scenario.**

305 Results were averaged over 10 replicates (CV) in each data subset (from 20 to 90%).  
306 CV<sub>US</sub>: unsupervised CV, where GRF breed had no representative goats in model training,  
307 hence GRF goats had to be classified in one of the rest 8 breeds; ARG: Argentata  
308 dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di Teramo; GAR:  
309 Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL: Valdostana and  
310 VSS: Valpassiria.

311

## 312 **Discussion**

313 The GRF breed is one of the Italian native goat breeds facing the risk of extinction,  
314 with a total number of registered animals lower than 1,500. Given the risk status of the  
315 breed, scientists have focused in a better description the GRF population. Characteristics  
316 on various zootechnical parameters of the breed, for instance, the milk total and fatty acid  
317 composition, milk coagulation properties and casein genotypes have been previously

318 investigated [4], with authors encouraging the development of a purebred breeding  
319 scheme. Nevertheless, up to present, a whole-genome population analysis has not been  
320 carried out to study the GRF genome in terms of genetic diversity.

321 Following from the above, the present study aimed at describing the genomic profile  
322 of the GRF breed, relative to other Italian breeds for which genomic data were available.  
323 To achieve this, a sample of 48 genotyped GRF goats was merged with 214 genotyped  
324 goats coming from 9 Italian native breeds, 7 of them considered as dairy breeds and only  
325 2 as meat breeds (ARG and VSS). These last have recently been analysed and presented  
326 from the AdaptMap project [3], hence we focused in presenting the results for the GRF  
327 breed. Our analysis was split in three parts, namely: i) runs of homozygosity, ii) principal  
328 component and admixture ancestry and iii) discriminant analysis.

329

### 330 **Runs of homozygosity**

331 Runs of homozygosity can provide with a useful source of information on the historical  
332 background and the breeding management of a population. For instance, large ROH  
333 segments could be a result of recent intense selective breeding or of a potential bottleneck  
334 effect. As it has been highlighted in the work of Bertolini et al. in goats [23], crossbred  
335 populations tend to have smaller total ROH length and number compared to purebred  
336 populations. Same pattern was observed comparing unselected vs. selected populations  
337 undergoing breeding programs. Nevertheless, as it has been pointed out by [22,23] the  
338 50k chip could not be considered adequate for an accurate detection of the small ROH,  
339 resulting in underestimation of small ROH hits. Despite this, our analysis was based on  
340 purebred goats, and thereby a smaller bias is expected. Results of ROH and  $F_{ROH}$  for the

341 9 Italian breeds of the AdaptMap project were in agreement with the estimates already  
342 reported [23]. Hence, our discussion on ROH is focused on highlighting the results on  
343 GRF in comparison to the rest of the 9 breeds analyzed.

344 The general pattern of ROH (i.e. in terms of total – and by chromosome – number  
345 and length of ROH) for GRF was similar to GGT and ORO. Moreover, an excess of  
346 frequent ROH was found for GRF (more than 45% in the GRF samples analyzed) on CHR  
347 12 at, roughly, between 34.6-35.3 Mbp (Table 3). The same region was also detected in  
348 the DIT breed, while the broader region (~33.9-36.5 Mbp) was shared among the ARG,  
349 CCG, and GGT. Further, a search of genes presented in the top ROH region identified  
350 for GRF (~50.25-50.94Mbp, updated on the ARS1 assembly) and 1Mbp up-downstream  
351 (~49.25-51.94Mbp, updated on the ARS1 assembly) was carried out. Interestingly, the  
352 region ~49-52Mbp has been previously reported in goats [22–26]. It is worth noting that  
353 within this region lay the genes of the general gap junction protein family *GJA3* (gap  
354 junction protein alpha 3 ; ~50.642-50.644Mbp), *GJB2* (gap junction protein beta 2;  
355 ~50.675-50.676Mbp), *GJB6* (gap junction protein beta 6; ~50.694-50.695Mbp). The  
356 *GJB2* and *GJB6* are associated with the nervous system, hearing functions and  
357 ectodermal [24,25]. Moreover, the *SAP18* (Sin3A associated protein 18; ~51.136-  
358 51.141Mbp) that is related to gonad development [28], is also mapped in this region.

359 The narrow region of the detected top ROH runs for GRF on CHI12 was spanned  
360 between the *CENPJ* (centromere protein J; 50.23-50.27Mb) and the *IL17D* (interleukin  
361 17D; 50.91-50.93 Mb). More precisely, the SNP30397-scaffold335-418126 was found to  
362 be an intron of the *CENPJ* gene, while the SNP30413-scaffold335-1113038 was  
363 downstream the *IL17D*. There are a series of studies that have linked the *CENPJ* with

364 primary microcephaly in humans and in mice [28–31]. Moreover, *CENPJ* has been found  
365 to regulate in mouse the neurogenesis and the cilia disassembly in the developing corex  
366 [33]. Also in mouse, disruption of the *CENPJ* can cause the Seckel Syndrome [34].

367 Apart from the *CENPJ* and *IL17D* genes, within this genomic area, 9 more genes can  
368 be found, namely *PARP4* (poly(ADP-ribose) polymerase family member 4; ~50.29-  
369 50.35Mbp), *MPHOSPH8* (M-phase phosphoprotein 8; ~50.36-50.41Mbp), *PSPC1*  
370 (paraspeckle component 1; ~50.44-50.48Mbp), *ZMYM2* (zinc finger MYM-type containing  
371 2; ~50.56-50.63Mbp), as well as the *CRYL1* (crystallin lambda 1; ~50.77-50.83Mbp) and  
372 the *IFT88* (intraflagellar transport 88; ~ 50.84-50.91Mbp).

373 Downstream this region, in 1Mbp expansion, the genes *ATP12A* (ATPase H+/K+  
374 transporting non-gastric alpha2 subunit; ~50.08-50.11Mbp) and *RNF17* (ring finger  
375 protein 17; ~50.11-50.23Mbp) are located. Moreover, upstream the region there are  
376 mapped also the *EEF1AKMT1* (EEF1A lysine methyltransferase 1; ~50.94-50.95Mbp),  
377 *LATS2* (large tumor suppressor kinase 2; ~51.07-51.09Mbp), *ZDHHC20* (zinc finger  
378 DHHC-type containing 20; ~51.16-51.22Mbp), *MICU2* (mitochondrial calcium uptake 2;  
379 ~51.23-51.28Mbp), and the *FGF9* (fibroblast growth factor 9; ~51.34-51.37Mbp).

380

## 381 **Population Stratification and Ancestry**

382 Two approaches, complementary to each other, have been used to infer the GRF  
383 relationships with 9 native Italian breeds, namely principal component and admixture  
384 analysis. PCA is widely used to identify structure in the data and to distinguish between  
385 groups of the samples. In that sense, the objective of PCA is to summarize (dis)similarities  
386 over the different groups in the data rather than the individual itself. On the other hand,

387 admixture is focusing on the individuals; it provides with probabilities for each individual  
388 to be clustered in one of the pre-defined group ancestries. As such, the two approaches  
389 could be viewed as complementary rather antagonistic to each other.

390 Both of the analysis confirmed the distinguished and unique genetic background of  
391 the GRF breed and results were in general agreement. More precisely, the PCA  
392 scatterplot of PC1 vs. PC2 (Fig 7a) placed the GRF closer to VSS, BIO and CCG. This  
393 finding was similar to the admixture analysis with K=8 (Fig 8b), which was the final model  
394 selected after CV comparison. At K=4 in admixture, GRF shared co-ancestry with the  
395 ORO and VAL breeds (Fig 8a). In PCA this relationship has been visualized by plotting  
396 PC1 vs. PC6 (Fig 7b).

397 As a further step, we investigated the potential of breed traceability based on genomic  
398 data. To this purpose, an LDA model was used, where genotypes were firstly transformed  
399 into PCs, and a small set of those (not more than 300) was fitted in LDA (DAPC analysis).  
400 The DAPC model was able to classify with 100% success the GRF goats to its breed of  
401 origin (S3 Table). Moreover, an unsupervised learning was applied, where the GRF had  
402 no representative samples in the reference population. Results were consistent with the  
403 PCA and admixture and assigned the majority of the GRF goats in the CCG breed with a  
404 small number of goats (varied between 4 to 6) assigned as DIT (S5 Table).

405 As mentioned above and in M&M, the primary step of the DAPC analysis is to select  
406 the number of PCs to be used in the discriminant model. Hence, a basic question was on  
407 how robust the DAPC could be considered relative to the number of PCs used. Our  
408 analysis showed that, although the assignment success was invariant to the number of  
409 PCs in the semi-supervised DAPC analysis (number of PCs varied between 10-70), a

410 pattern was found in the case of the unsupervised model. More precisely, when the DAPC  
411 contained 40 PCs some of the goats were classified as DIT goats. In the rest of the cases,  
412 where 60 or 20 PCs were used, all of the GRF goats were assigned as CCG.

413 PCA analysis seems thus to individuate common ancestries between GRF goats and  
414 Alpine Arc goat breeds whereas the DAPC approach identifies similarities between GRF  
415 and the goat breeds from Central Italy. Both hypotheses are consistent with the history of  
416 the Tuscan goat populations that experienced migratory flows both from the North and  
417 from Central Italy. The genomic analysis confirms the hypothesis that the GRF breed is  
418 a result of crosses among goats from the Alpine Arc and Tuscan-Emilian Apennines  
419 regions. Nevertheless, at a great part, the GRF breed consists of a unique genetic pool,  
420 genetically distinguished from 9 other native Italian breeds, for which genomic information  
421 was available and analysed here. This, in turn, resulted in breed traceability with a 100%  
422 success rate after CV. To sum up, our analysis complements previous work on various  
423 zootechnical and adaptive characteristics [4,7,8] of the GRF population and provides with  
424 a more complete description of the breed.

425

## 426 **Conclusions**

427 Our genomic analysis suggests a unique genetic pool of the Garfagnina breed, with  
428 small parts of common ancestry shared with Bionda dell'Adamello, Valpassiria, Argentata  
429 dell'Etna and Ciociara Grigia. Moreover, GRF can be successfully discriminated by the  
430 rest of the breeds analysed using genomic information with a success rate of 100%. This  
431 could help in breed traceability and controlling the amount of crossbreeding in the future.  
432 A ROH on CHI12 associated with the *CENPJ* gene should be further investigated in the

433 population. We suggest conservation and breeding measures to be taken for the  
434 Garfagnina goat. We hope our work will add value to the GRF farming and the local region  
435 where the breed is reared.

436

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528

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531

## 532 **Supporting information**

533 **S1 Fig. Number of SNPs per chromosome after quality control. The plot has been  
534 produced with the *synbreed R* package [15].**

535 **S2 Fig. Cross-validation results for assessing the number of ancestry groups in the  
536 admixture analysis.**

537 **S3 Fig. Cross-validation results for the selection of principal components to be  
538 retained in the DAPC analysis.**

539

540 **S1 Table. Percentage of the number of runs of homozygosity per length class and  
541 breed.**

542 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
543 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
544 Valdostana and VSS: Valpassiria.

545

546 **S2 Table. Descriptive statistics of the genomic inbreeding coefficients per breed.**

547 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
548 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
549 Valdostana and VSS: Valpassiria.

550 **S3 Table. Assignment results of the Garfagnina goats in the semi-supervised  
551 cross-validation (CV<sub>ss</sub>) scenario with 10 repetitions.**

552 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
553 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
554 Valdostana and VSS: Valpassiria.

555 **S4 Table. Number of principal components selected via cross-validation (CV) in the  
556 1<sup>st</sup> scenario of the DAPC analysis of the Garfagnina breed (GRF).**

557 CV<sub>ss</sub>: semi-supervised CV, where some GRF goats are present in model training; CV<sub>us</sub>:  
558 unsupervised CV, where GRF breed had no representative goats in model training.

559 **S5 Table. Assignment results of the Garfagnina goats in the external cross-  
560 validation (CV<sub>us</sub>) scenario with 10 repetitions.**

561 ARG: Argentata dell'Etna; BIO: Bionda dell'Adamello; CCG: Ciociara Grigia; DIT: Di  
562 Teramo; GAR: Garganica; GGT: Girgentana; GRF: Garfagnina; ORO: Orobica; VAL:  
563 Valdostana and VSS: Valpassiria.

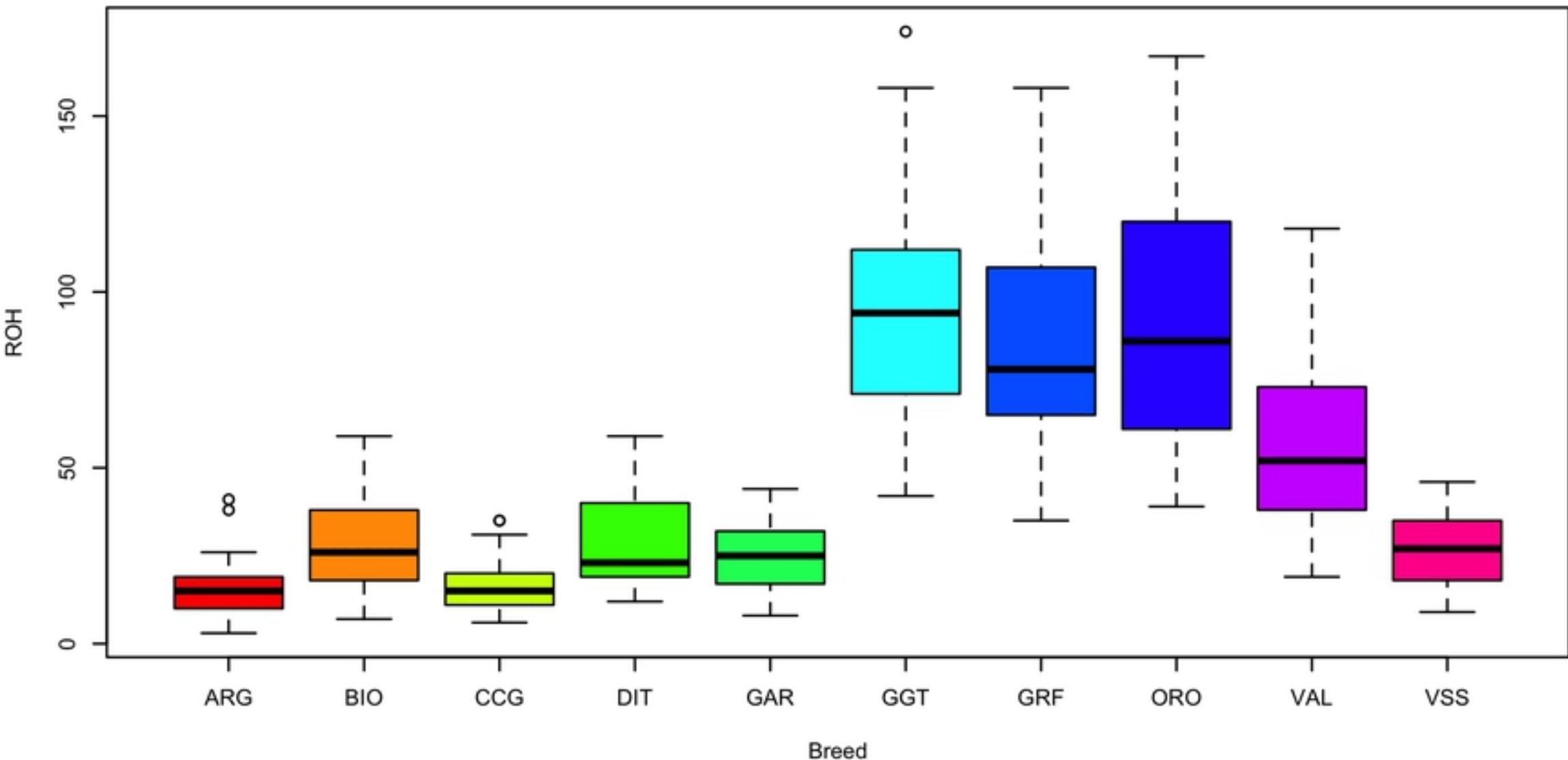


Fig 1

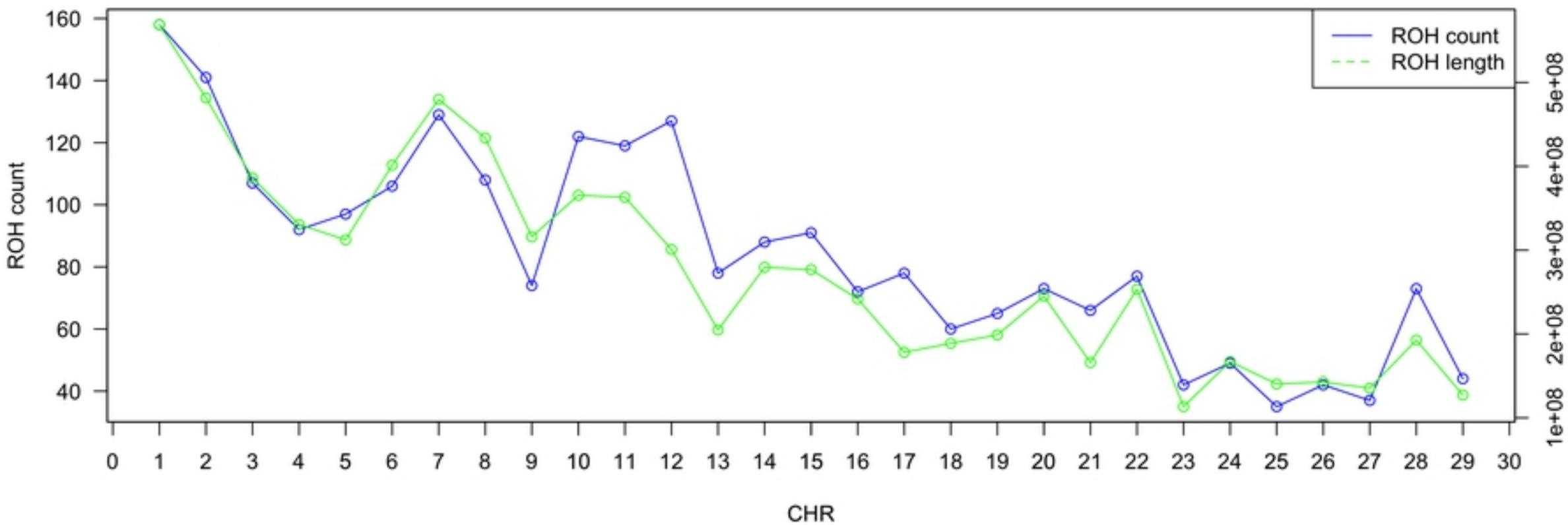


Fig 2

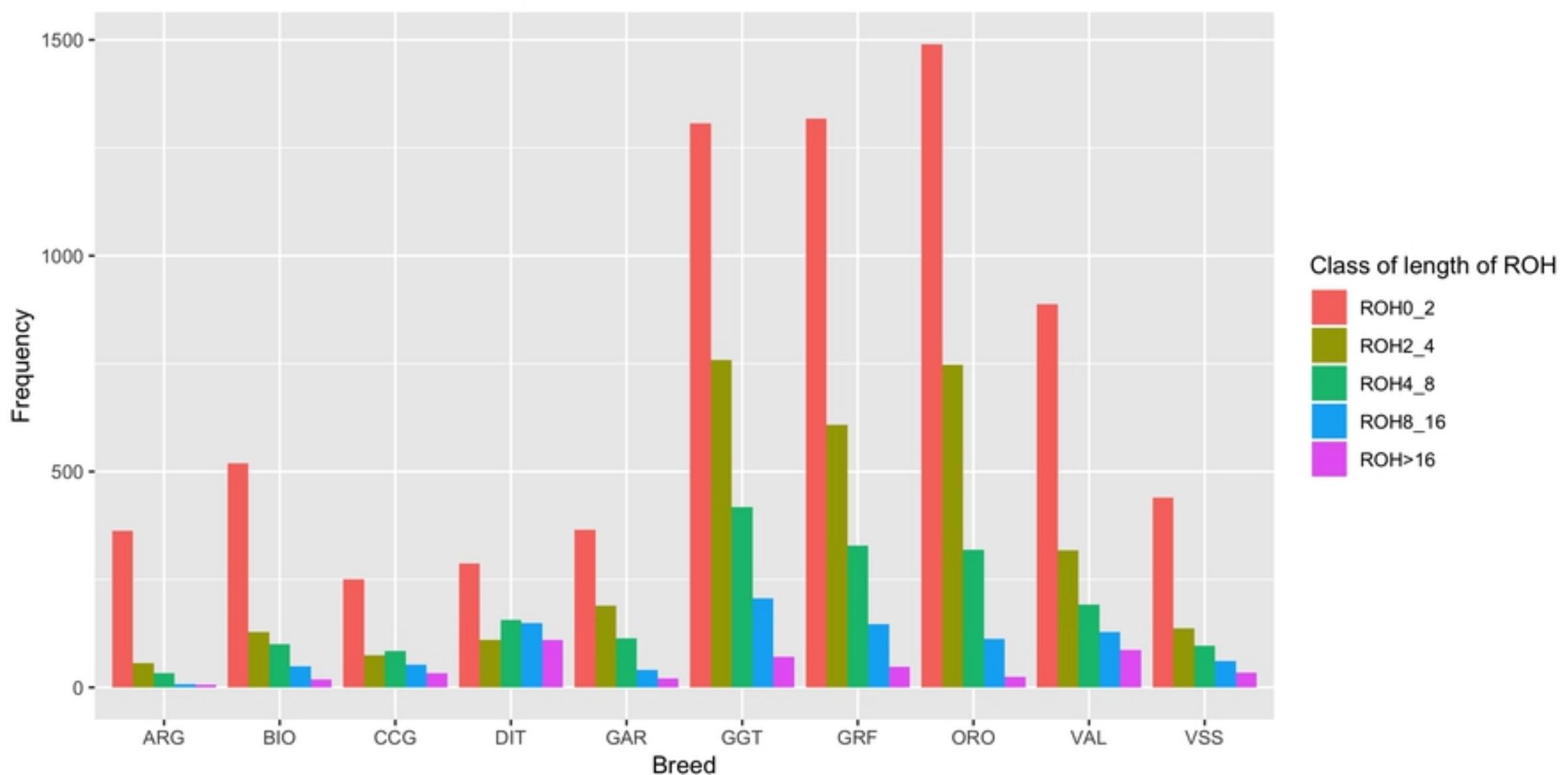


Fig 3a

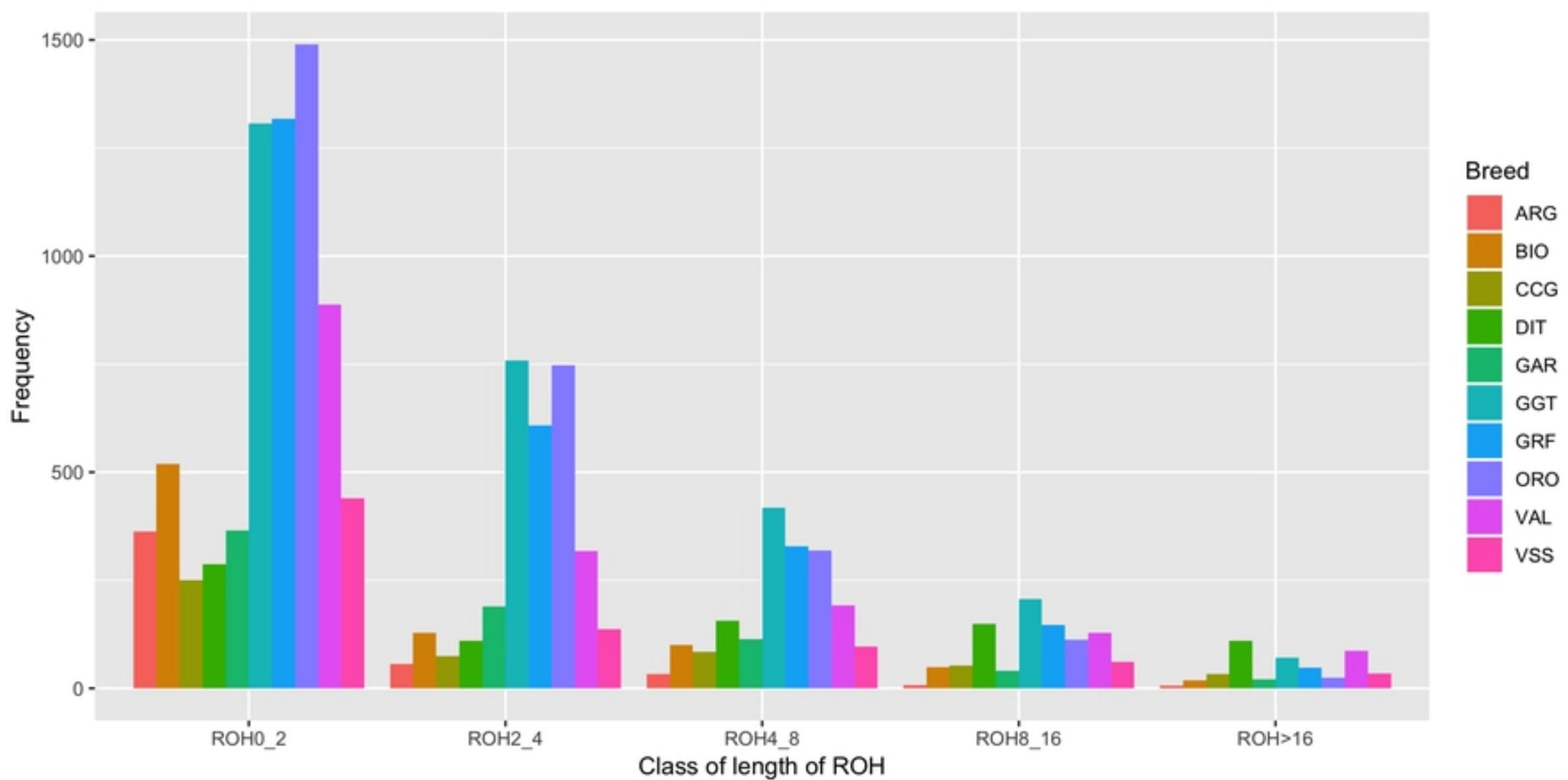


Fig 3b

Manhattan Plot – % SNP in Runs for GRF

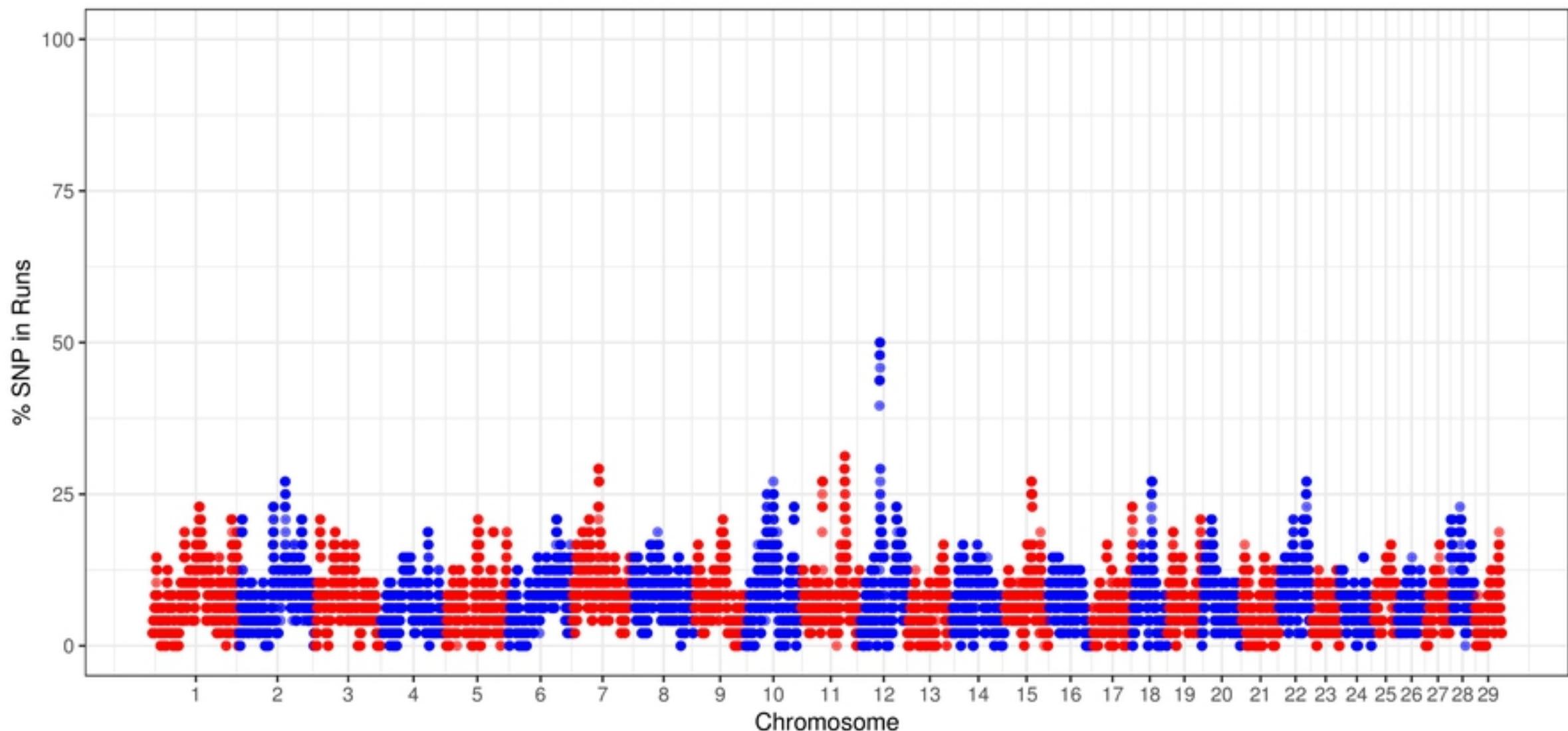


Fig 4

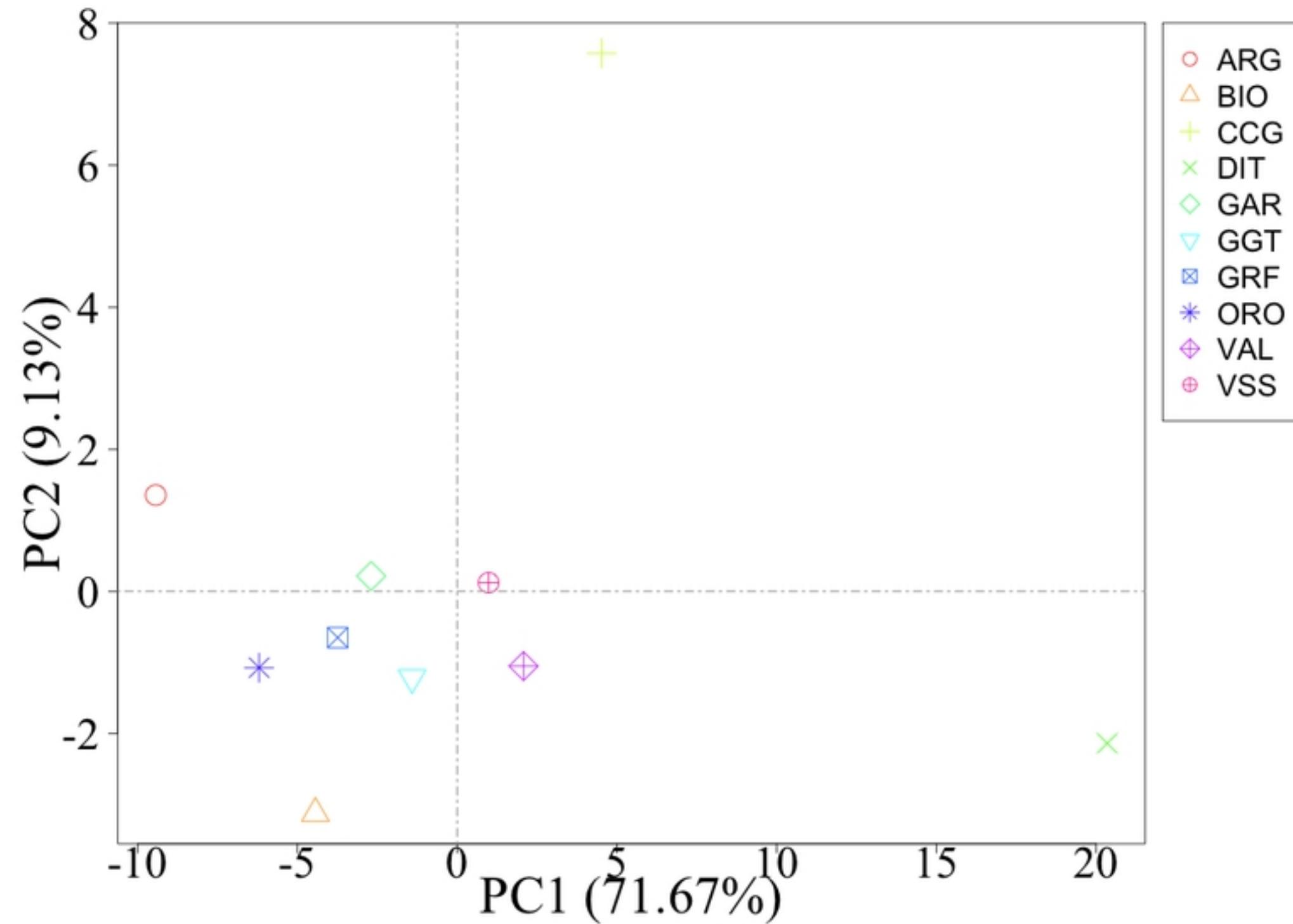


Fig 5a

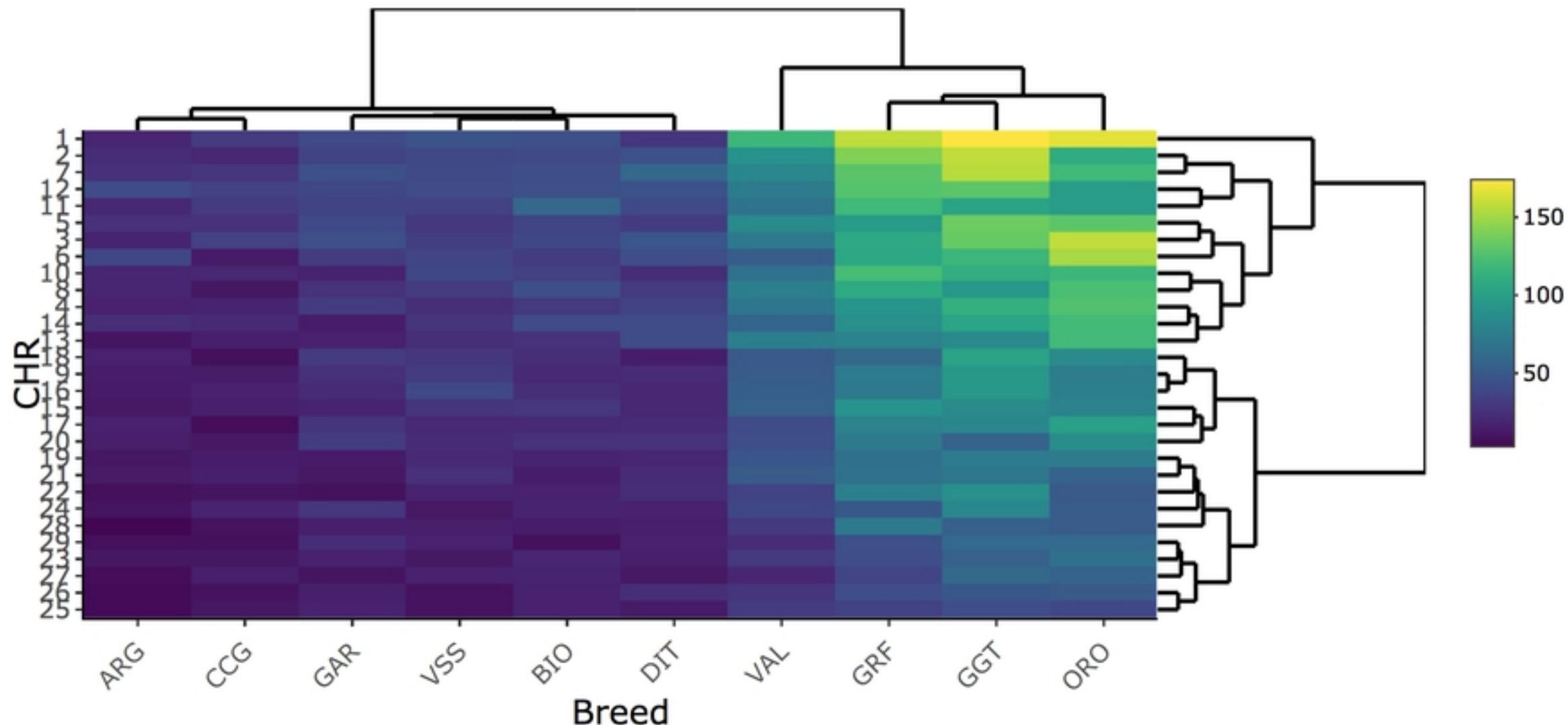


Fig 5b

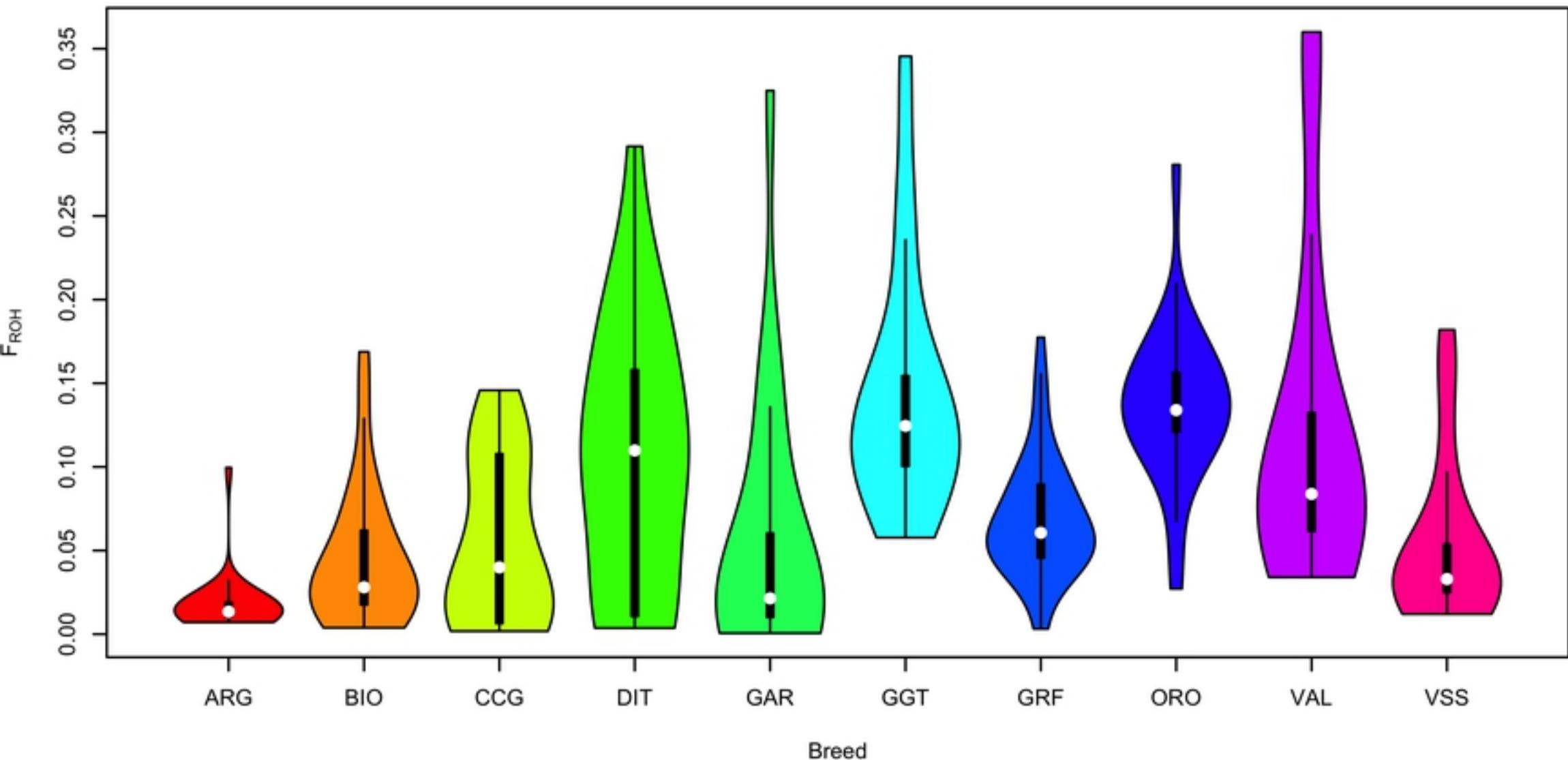


Fig 6a

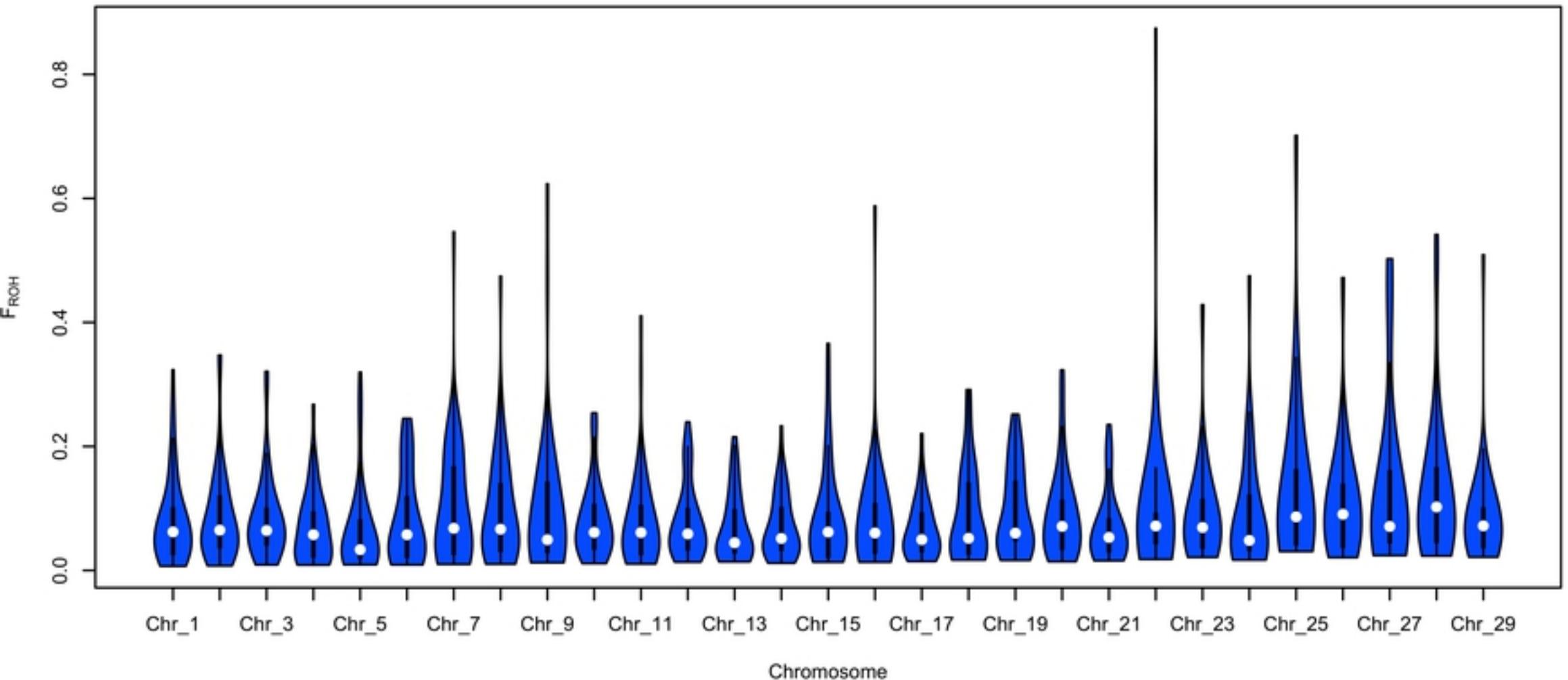


Fig 6b

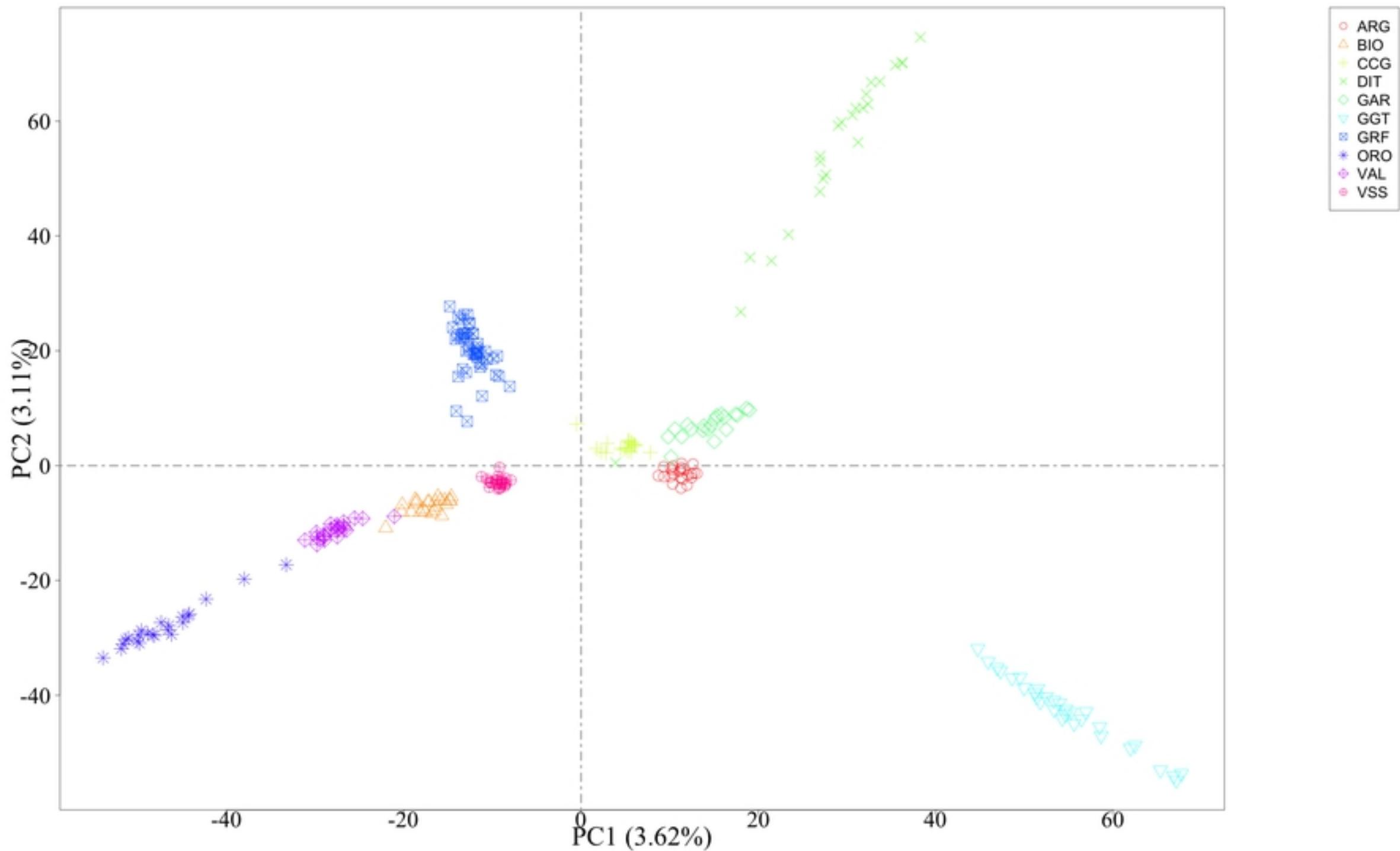


Fig 7a

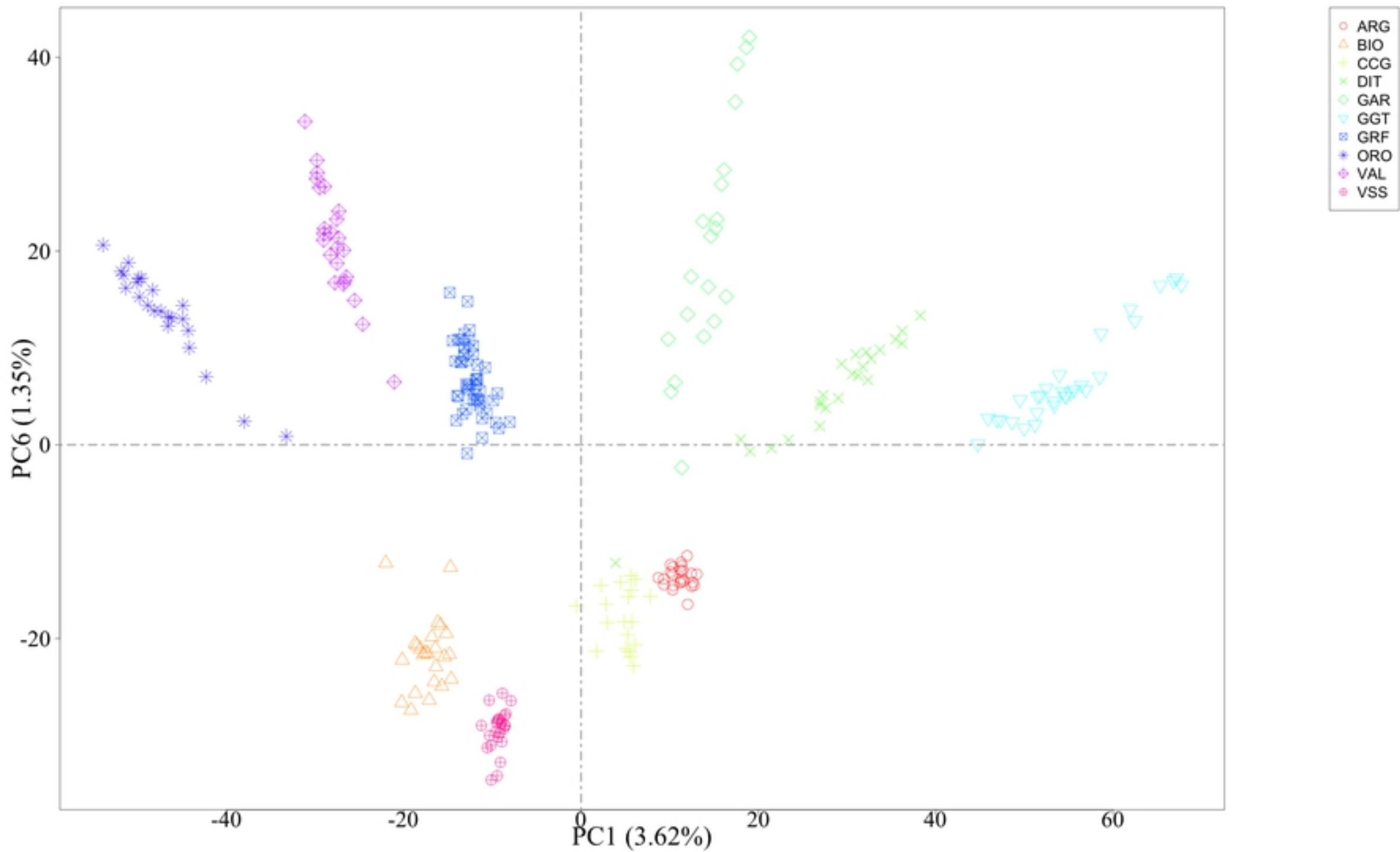


Fig 7b

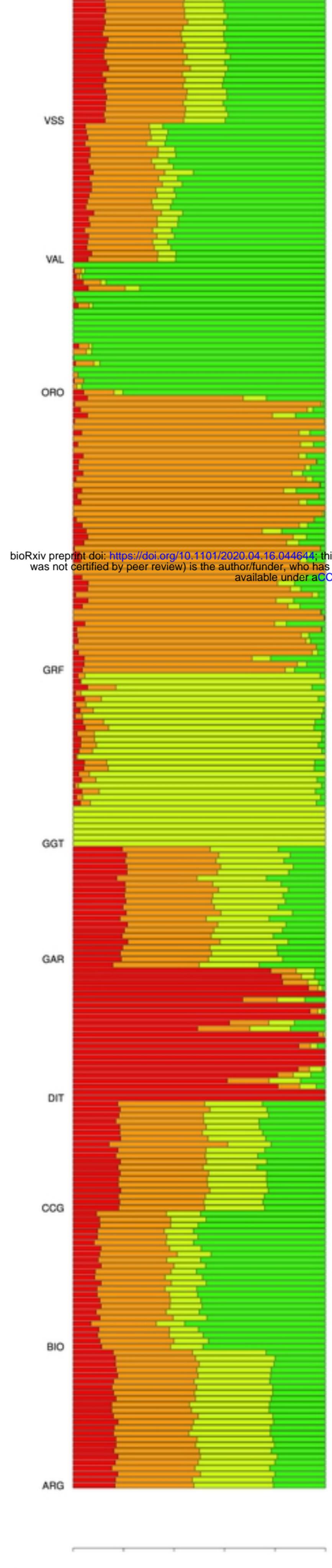


Fig 8a

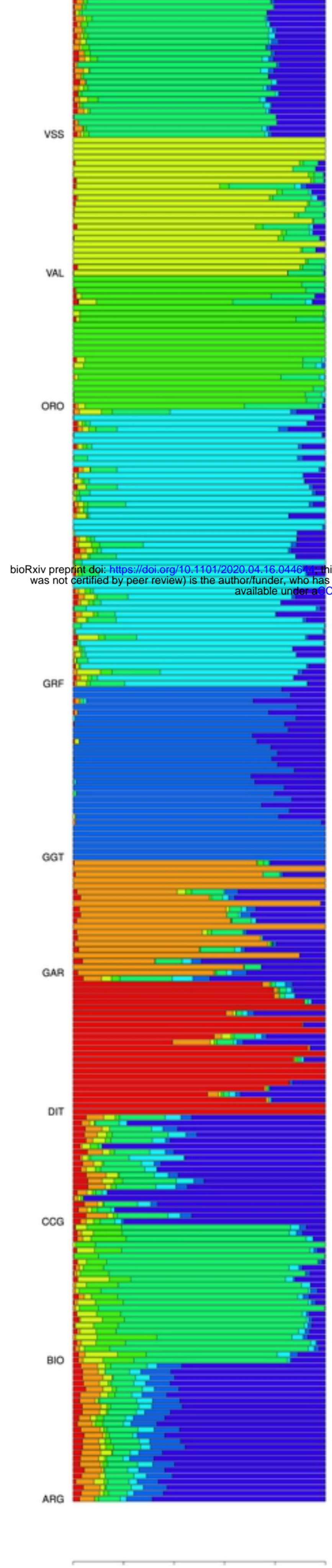


Fig 8b

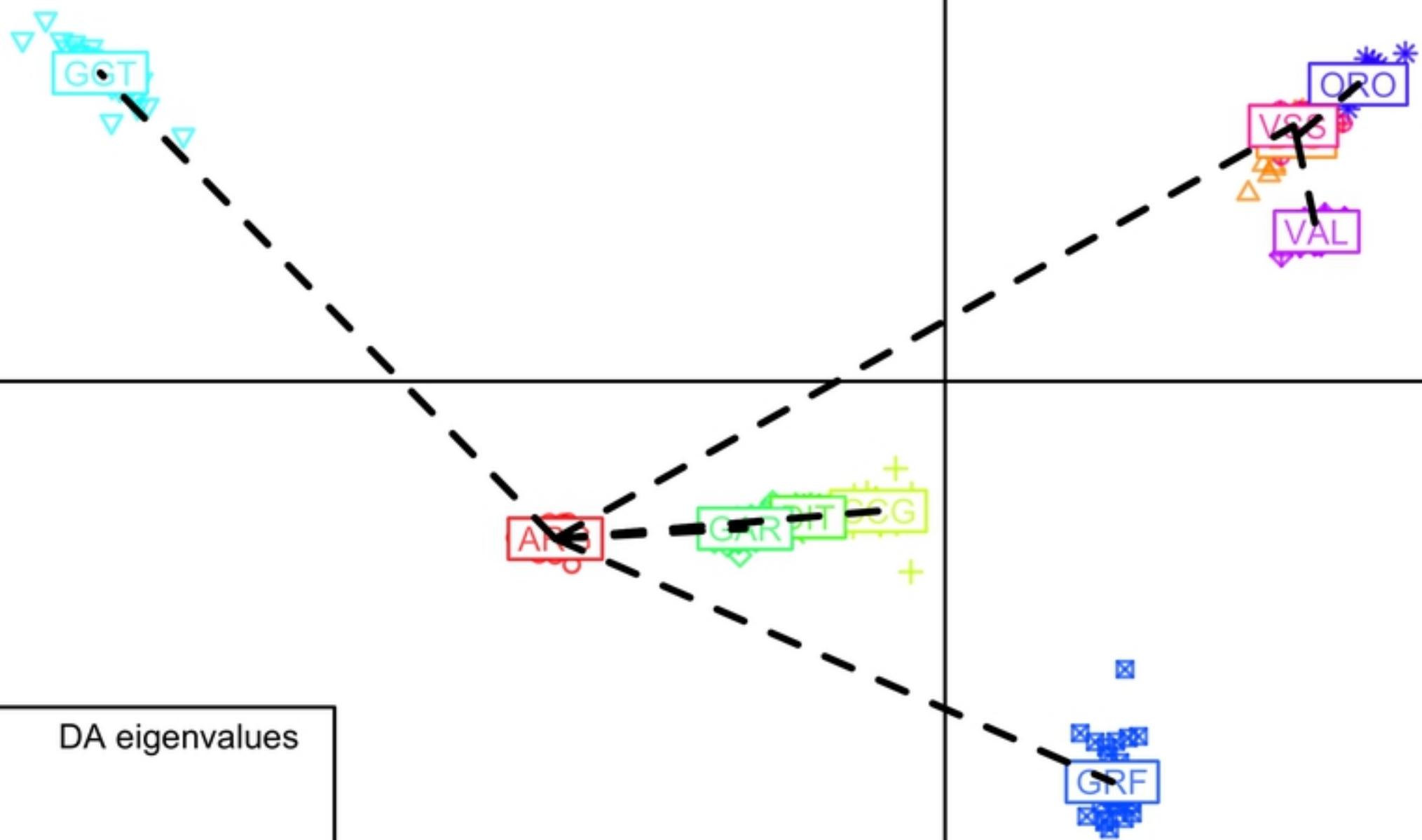
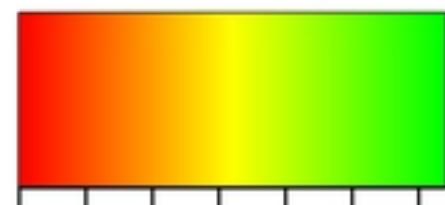


Fig 9

Color Key



0 10 30 50

Value

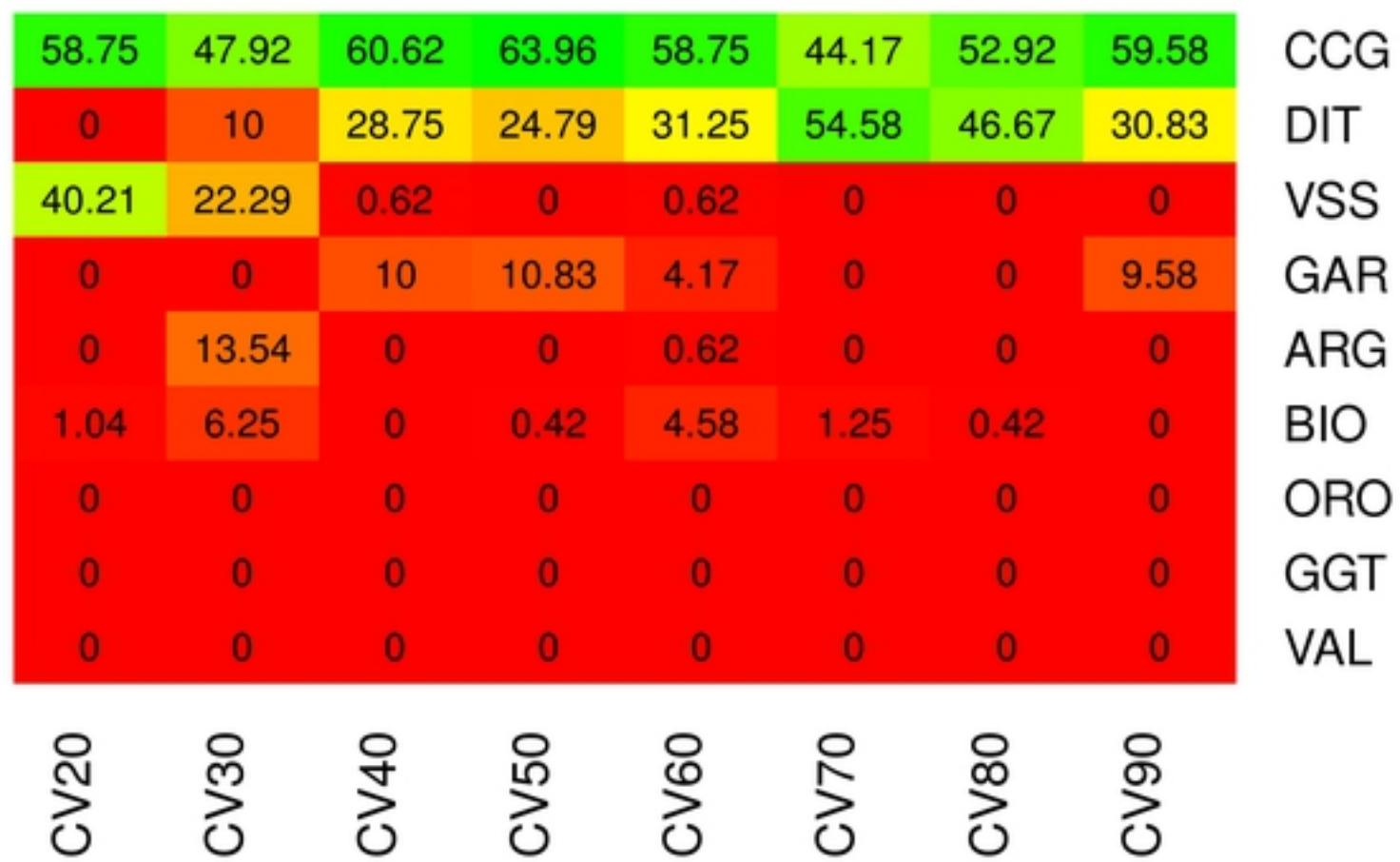


Fig 10