

# 1 IRIS: an accurate and efficient barcode

## 2 calling tool for *in situ* sequencing

### 3 Author list

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### 15 Abstract

16 **Summary:** The emerging *in situ* RNA sequencing technologies which can capture and  
17 amplify RNA within the original tissues provides efficient solution for producing spatial  
18 expression map from dozens to thousands of genes. Most of *in situ* RNA-seq strategies  
19 developed recently infer the expression patterns based on the fluorescence signals from the  
20 images taken during sequencing. However, an automate and convenient tool for decoding  
21 signals from image information is still absent. Here we present an easy-to-use software  
22 named IRIS to efficiently decode image signals from *in situ* sequencing into nucleotide  
23 sequences. This software can record the quality score and the spatial information of the  
24 sequencing signals. We also develop an interactive R shiny app named DAIBC for data  
25 visualization. IRIS is designed in modules so that it could be easily extended and compatible  
26 to new technologies.

27 **Availability and implementation:** IRIS and DAIBC are freely available under BSD 3-

28 Clause License at: [https://github.com/th00516/ISS\\_pyIRIS](https://github.com/th00516/ISS_pyIRIS).  
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30 **Supplementary information:** Supplementary information are available at xxx online.

## 31 Introduction

32 Spatial transcriptomics is an emerging field that aims to characterize the gene expression  
33 profiling together with the spatial context of the tissues(Burgess, 2019; Stark, et al., 2019). It  
34 offers solutions to address many fundamental questions on cellular function. Several *in situ*  
35 RNA-seq technologies have been developed recently allow the high throughput detection of  
36 gene expression *in situ* with the high resolution fluorescence image (Chen, et al., 2015; Ke, et  
37 al., 2013). These technologies usually involve the visualization and quantitative analyses of  
38 transcriptome with spatial resolution from the fluorescence images of tissue sections.  
39 However, there is no any software to decode the sequencing signals from images, which  
40 limits the application of these new technologies for downstream analyses. Here, we  
41 demonstrate an open source software IRIS (Information Recoding of *In situ* Sequencing) to  
42 decode image signals into nucleotide sequences along with quality and location information.  
43 We also present an R shiny app DAIBC (Data Analysis after ISS Base Calling) for interactive  
44 visualization of called results. IRIS shows good performance in both data processing  
45 efficiency and accuracy at gene expression and location levels. We also designed it in  
46 modules so its compatibility could also be further extended to other technologies.

## 47 Implementation

48 We employ image and directory structure of *in situ* sequencing (ISS) (Ke, et al., 2013) as our  
49 default input data structure. Images are organized as split channels and sorted in different  
50 cycles. Each cycle includes five image channels, which are marked by the fluorescent dyes,  
51 Y5, FAM, TXR, Y3, DAPI, representing dyes for base A, T, C, G and nucleus, respectively  
52 (Fig. 1A). Different with images in traditional next generation sequencing (NGS), ISS images  
53 contain not only fluorescent spots, but also background like nucleus and cytoskeleton  
54 (Supplementary Fig. 1), which produce background noise that need to be filtered before  
55 decoding. Thus, we took several steps including registration, blob detection and connection to  
56 decode image signals into barcodes.

57    Intermediate data structure and images registration among different  
58    cycles

59    Because the positions of cells and transcript amplification products in different cycles can be  
60    shifted during experiment operation, the first step of IRIS is image registration, which aligns  
61    images from different cycles to the same coordinate system. Images from the same cycles  
62    doesn't need to be registered as their differences are mainly raised from exposure time.  
63    During registration, key points are first identified from the images and used as makers to  
64    align images from different cycles. Then transformation matrices are calculated based on the  
65    matched key points pairs between two images and used to align images from different cycles  
66    to the same coordinate system.

67

68    In order to reduce error in registration, we first remove noise in each image. A low-pass filter  
69    is performed to filter out pixels with the 40% highest signal frequency after Fast Fourier  
70    Transformation. We by default implement of 'ORB' algorithm (Rublee, et al., 2011) to collect  
71    the coordinates and measure the scales and orientations of key points (i.e. description of key  
72    points). We further identify matched key point pairs with similar description between every  
73    image from cycle N to image from cycle 1 with k-Nearest Neighbor (kNN) on the  
74    description matrix of key points (Altman, 1992) (Supplementary Fig. 2). Then we iteratively  
75    filter out matched key point pairs outlier with large distance that might be false-positive  
76    caused during matching process. The final key point pairs are used to calculate homography  
77    after being sorted by pair distance. This process generates one transform matrix for each  
78    cycle, which can be used to register every channel in each cycle respectively (Fig. 1C,  
79    Supplementary Fig. 2). In some ISS technologies, DAPI is used to capture nucleus structure  
80    thus is present in all cycles. In each cycle it harbors more key points thus provides an ideal  
81    information for image registration. If this image is available, we make it as the default images  
82    for registration in IRIS (Fig. 1A).

83    Blobs detection in each cycle

84    Hybridization signals are presented as light-spot of certain size under dark background in the  
85    image, thus can be treated as blobs in computer vision area. Blobs of registered image in each  
86    channel will be exposed by tophat transformation under 15x15 ellipse kernel. We roughly  
87    detected blobs from each exposed image with 'SimpleBlobDetector' of OpenCV (Bradski

88 and Kaehler, 2000). To obtain a non-redundant blob set for each cycle, the detected blobs  
89 from all channels in the same cycle will be mapped to a single size-equivalence layer with no  
90 background to obtain a non-redundant blob set for each cycle (Fig. 1D and E, Supplementary  
91 Fig. 3)

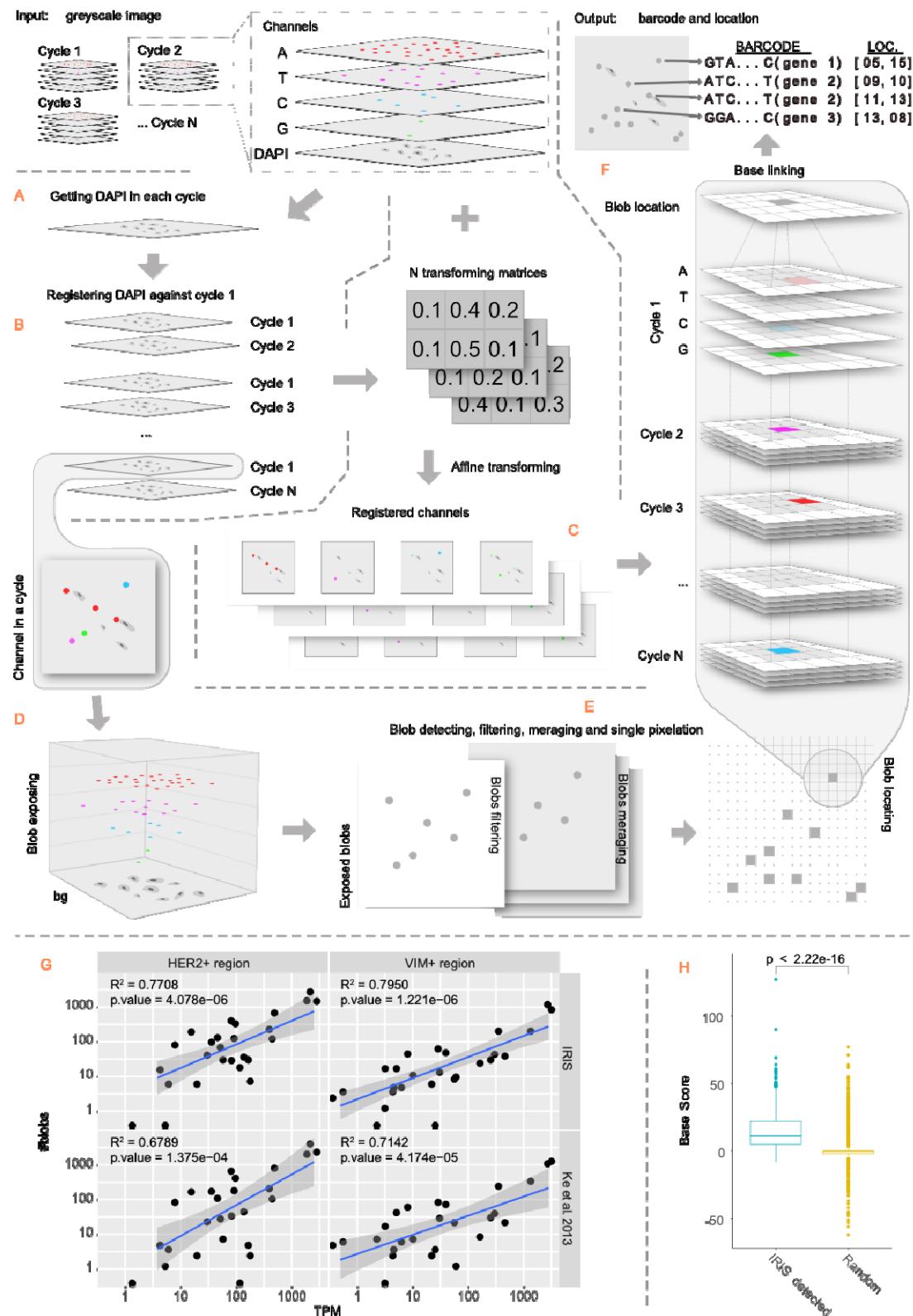
92

93 A crucial feature of a real blob is that pixel grayscale increases dramatically in its core region  
94 compared with its periphery. While previous detection step could expose a number of blobs,  
95 it would also include some false-positive because some regions in the images might have  
96 elevated background brightness or noise surrounding which might be overexposed and falsely  
97 detected as blobs. To reduce false-positive, for each blob, we utilize the difference between  
98 the mean of grayscale in the core region (4x4) and that in the periphery (10x10), which  
99 reflects the signal strength difference between candidate blob and its surrounding background,  
100 defined here as 'base score'. Subsequently, for each blob, base scores from different channels  
101 in the same cycle will be sorted, and the base channel with the highest base score is  
102 considered as the true base of this cycle. We further calculate the error rate  $P$  as  $1-q$ , where  $q$   
103 is defined as the maximum base score (i.e. the score of the assigned base in the cycle) divided  
104 by the sum of score of all channels produced in that cycle. Then we calculate the base calling  
105 quality  $Q$  by  $Q = -10 \log_{10}(P)$  similar as the Phred quality score in NGS platform.

## 106 Bases sequence connection among different cycles

107 Linking bases at the same location from different cycles to generate barcode sequences is a  
108 crucial and the most time-consuming step. Blobs from different cycles might not be  
109 completely overlap with each other, thus we collect all detected blobs from all cycles and  
110 project them into a new layer called 'reference layer' and detect blobs on this layer again to  
111 remove redundancy. This reference layer should cover all potential blobs without redundancy.  
112 Then, we take each blob in reference layer and connect bases from the first cycle to the last at  
113 each blob location. When there's no blob detected at the location in one cycle, we add an 'N'  
114 with quality of one. In addition, although registration at the first step aligns most regions of  
115 images, blobs' location might not be accurate at pixel level. To resolve this problem, we first  
116 project the location of each blob in reference layer to cycle N (defined as the searching  
117 center), and search for any candidate linked base in a 6x6 region near the center (Fig. 1F).  
118 Error rate for each candidate base detected from the searching process would need to be  
119 calibrated. The distance from a blob center at reference layer to the pixel of searching center

120 at cycle N is defined to be one, and the distance from a searched pixel at cycle N to the  
121 searching center is defined to be D, then, we could adjust the error rate for the base at cycle N  
122 by multiplying the raw rate by  $\sqrt{1^2 + D^2}$  (Supplementary Fig. 4). Thus, the longer distance  
123 between the candidate and the searching center is, the harder for the error rate will be  
124 penalized. The candidate with the smallest penalized error rate thus is selected as the base of  
125 the position in cycle N. All called sequences are included in the final raw output even when  
126 there's one or more 'N's. And users could further filter the sequences based on the designed  
127 barcode list, base quality, etc.



**Figure 1. General workflow and evaluation of IRIS.** We import all images from all cycles as matrices and store them into a stack data structure. (A) DAPI images from different cycles

131 are used as the representative image of each cycle for registration. (B) DAPI of each cycle is  
132 registered with DAPI of cycle 1 to obtain the transform matrix for each cycle. (C) These  
133 transform matrices are used to register all channels in their own cycles. (D) Blobs in each  
134 registered channel in all cycles are exposed by tophat transformation, and their coordinates  
135 are recorded. (E) Blobs' coordinates from all cycles are map into a reference layer for  
136 redundancy removal and to generate a coordinate reference of all blobs. (F) This reference is  
137 used to connect all bases called from registered channels of different cycles. At last, base  
138 calling information is produced as output, composing of five columns for each blob,  
139 including blob ID, barcode sequence, quality, row and column in cycle 1 DAPI image. (G)  
140 The correlation between the expression signal detected by IRIS and TPM inferred from  
141 RNA-seq in HER2+ and VIM+ region. (H) The base score distribution of blob detected by  
142 IRIS is substantially higher than the score from random pixels.

## 143 Application and evaluation

144 We utilized the published ISS data (Supplementary Table 1) to evaluate the performance of  
145 IRIS. When dealing with the co-culture of human and mouse cells sample (HM), IRIS could  
146 detect 225 barcodes with 88.58% true positive rate (TPR). Specifically, when dealing with  
147 blob-dense regions, IRIS could detect all blobs without merging the spatially close ones  
148 (Supplementary Fig. 5). TPR could reach 72.42% in another breast tumor slice sample, where  
149 IRIS also achieved a higher correlation with RNA-seq expression level than previous result  
150 (Fig. 1G). In both cases, base score distribution of IRIS detected blobs was significantly  
151 higher than that of random pixels (Fig. 1H, Supplementary Fig. 6), implying the high  
152 accuracy rate of IRIS' detecting blobs. Moreover, IRIS could deal with ISS data efficiently.  
153 For example, when dealing with the HM dataset, including a total of 20 images each with  
154 1330x980 resolution, IRIS could finish the run (4 cycles) in approximately 11.7 CPU seconds  
155 in a one-line command. And when dealing with larger dataset like the breast tumor slices,  
156 which included 80 images each with c.a. 1390x1040 resolution, it took approximately 87.5  
157 CPU seconds in a parallel and a total of 725.2 CPU seconds for all 16 slices (Supplementary  
158 Table 3). We also found the computation performance was affected more by the number of  
159 detected blobs rather than the total input image size (Supplementary Fig. 8).  
160  
161 IRIS can also handle image data generated by other ISS technologies by adding the  
162 corresponding input parser modules. For example, MERFISH utilizes binary barcodes to

163 represent genes, so two instead of four channels are treated in each cycle (Chen, et al., 2015).  
164 After minor modification of the input data structures, the following steps can be unified and  
165 barcode sequences and locations could be called automatedly.

## 166 Contribution

167 G. Z. designed and administered this project; Y. Z., H. Y., Q.L., G.Z wrote this article; Y. Z.  
168 took part in the development of IRIS, developed the code of DAIBC and performed  
169 evaluation; H. Y. designed and developed IRIS, and took part in the development of DAIBC  
170 and evaluation; R. K. provided ISS data set and suggestions for the development and  
171 evaluation of IRIS and DAIBC; G. Z. provide all the funding and resources for this work.

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## 178 Conflict of interest

179 None declared

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