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3 Neural signatures of performance feedback in the paced

4 auditory serial addition task (PASAT): an ERP study

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20 **Abstract**

21 Due to its importance for successful human behavior, research into cognitive control
22 functioning has gained increasing interest. The paced auditory serial addition task (PASAT)
23 has been used to test and train this fundamental function. It is a challenging task, requiring a
24 high cognitive load in a stressful and frustrating environment. Its underlying neural
25 mechanisms, however, are still unclear. To explore the neural signatures of the PASAT and
26 their link to ongoing cognitive processing, feedback locked event-related potentials were
27 derived from healthy participants during an adaptive 2-back version of the PASAT. Larger
28 neural activation after negative feedback was found for feedback related negativity (FRN),
29 P300 and late positive potential (LPP). In early stages of feedback processing (FRN), a larger
30 difference between positive and negative feedback responses was associated with poorer
31 overall performance, whereas this association was inverted for the later stages (P300 and
32 LPP). This indicates stage-dependent associations of neural activation after negative
33 information and cognitive functioning. Conceivably, increased early responses to negative
34 feedback signify distraction whereas higher activity at later stages reflect cognitive control
35 processes to preserve ongoing performance.

36 **Introduction**

37 In a world full of competing information and sources of distraction, the ability to
38 maintain coordinated and purposeful behavior is essential to sustain goal directed processes.
39 This requires cognitive control, which comprises different cognitive functions including the
40 ability to pay selective attention, ignore distracting information, turn attention away from
41 stimuli when they prove irrelevant, and the ability to store and manipulate internal
42 representations of information [1]. Especially the inhibition of irrelevant but salient
43 information, like emotional stimuli challenges cognitive control [2]. Cognitive control is a
44 key factor for successful human behavior. Therefore, it is not surprising that dysfunctional
45 cognitive control is increasingly recognized as a key feature of various psychiatric disorders.
46 In fact, research shows that in particular patients suffering from depression are prone to a
47 heightened sensitivity towards negative stimuli, which receive more attention and working
48 memory capacity and therefore impede the maintenance of coordinated and purposeful
49 behavior [3]. This ‘negativity bias’ constitutes an important factor for the development and
50 maintenance of depression as well as a central mechanism of recovery via restoration of
51 cognitive control functioning [1]. Consistently, impairment of goal-directed behavior can be
52 observed in healthy participants when cognitive resources are occupied by emotionally
53 salient distractors [4].

54 A task used to investigate cognitive control is the ‘paced auditory serial addition task’
55 (PASAT) [5] in which digits are presented auditorily and participants add the current digit to
56 the digit they heard before. In its adaptive version, inter-stimulus intervals (ISI) decrease
57 (increase) when several consecutive trials are correct (incorrect). The PASAT has been used
58 as a cognitive control task in healthy [6 - 9] as well as clinically depressed [10, 11] and at-
59 risk participants [12], for an overview see [13, 14]. These comprehensive data indicate that
60 this task is particularly suitable to investigate and train cognitive control in both, healthy

61 subjects and psychiatric patients. Regarding its specific mechanism, it has been shown that
62 the PASAT induces frustration and negative affect presumably due to receiving continuously
63 feedback on current performance and working at the individual processing speed limit.
64 Furthermore, the negative affective change induced by the PASAT can in turn be correlated
65 with a lower performance [6] implying that task- or feedback-related irritation must be
66 compensated to maintain goal directed behavior. Therefore, the PASAT challenges cognitive
67 control by means of emotional and cognitive responses to feedback information at a high
68 cognitive load. Regarding the neurophysiological implementation of this competence,
69 prefrontal control on limbic areas plays a key role in overcoming the distraction by negative
70 information and to maintain goal directed behavior [6]. Actually, it has been shown that the
71 dorsolateral prefrontal cortex (dlPFC) is critically involved in the PASAT performance [15].
72 However, more studies are needed for a better understanding of the mechanisms of action
73 underlying the PASAT. Therefore, the goal of the current study is to investigate the highly
74 time dynamic neural signatures of the PASAT and to capture the conflict of competing
75 negative information and ongoing cognitive functioning in the PASAT. Due to their high
76 temporal resolution event related potentials (ERP) are best suited to find such neural
77 signatures of the PASAT performance.

78 The feedback related negativity (FRN) is a negative deflection peaking between 200-
79 300 ms after stimulus presentation and is an ERP commonly used to investigate feedback
80 [16, 17]. It is larger for negative than positive feedback and maximal at medial frontal
81 electrode sites [18]. Besides of its informative value concerning current task performance [19,
82 20] it has been suggested that the FRN indicates the emotional impact of a negative
83 expectation violation [21], implying that feedback does involve emotional processing that
84 captures cognitive resources. Since in our study negative information is operationalized by
85 feedback, we utilized the FRN to investigate early parts of negative information processing.

86 Of note, in our study its registration conditions however differ from the common
87 investigations of the FRN or feedback processing because it summarizes the processing of
88 new information (next digit) and performance feedback (last digit).

89 Attention allocation to task relevant as well as subsequent memory processes is
90 reflected by the P300. It is a positive deflection peaking between 300-400 ms following
91 stimulus presentation which is maximal at midline-parietal sites [22, 23]. Furthermore, an
92 enhanced amplitude for emotional compared to neutral stimuli can be observed for both,
93 positive and negative content [24 - 26] probably reflecting high inherent motivational
94 salience of emotional stimuli per se. Therefore, it seems to be best suited to study negative
95 information processing during a demanding cognitive task. Moreover, several studies have
96 linked larger P300 amplitudes with performance gains in non-emotional [27, 28] as well as in
97 emotional tasks [29] making it a suitable to investigate associations of neural feedback
98 processing and PASAT performance in our study.

99 The LPP is known to capture attention allocation toward emotional salient stimuli [30,
100 31]. It is recorded at centro-parietal sites and begins as early as 200-300 ms post-stimulus. In
101 contrast to the P300 it can outlast the stimulus presentation well beyond several seconds [32].
102 Therefore, besides its sensitivity to automatic attention allocation to emotional stimuli, it
103 reflects continued processing of emotional content and is regulated by top-down mechanisms.
104 Moreover, the magnitude of the LPP amplitude has also been linked to task performance [33 -
105 35]. We want to utilize the LPP in our study to capture late neural reactions to negative
106 information in the form of feedback and moreover to investigate its associations with the
107 PASAT performance.

108 Taken together, with this study, we investigate the time dynamic neural signatures
109 (FRN, P300 and LPP) of the PASAT and aim for a better understanding of its underlying
110 mechanisms. As negative feedback is associated with negative affect and competes with

111 ongoing performance, we assume to find larger amplitudes for negative than positive
112 feedback in all stages of feedback processing. Furthermore, we explore associations of
113 PASAT performance and ERP magnitudes and assume to find significant correlations of
114 cognitive control functioning and neural activation.

115 **Material and Methods**

116 **Subjects**

117 Twenty-five healthy participants were recruited via internet advertisement. All
118 participants had normal or corrected to normal vision and normal hearing. Exclusion criteria
119 were current psychiatric disorders, neurological disorders, major head injuries or color
120 blindness. They received a financial compensation or course credit for their participation. All
121 participants gave their written informed consent. One participant had to be excluded due to
122 excessive noise in the electroencephalographic (EEG) data (see Electrophysiological Data
123 Processing). The remaining 24 participants (16 female, age: $M = 23.71$, $SD = 4.06$) were
124 included in the analysis. To asses neurophysiological characteristics of the participants, we
125 measured complex attention, motor speed, visual-motor conceptual screening and executive
126 functions with the Trail Making Test (TMT) [36]. As a measure for approximate general
127 intelligence we conducted the Multiple Choice Word Fluency Test (MWT-B) [37]. In
128 addition, we measured participant's working memory with a short version of a digit span test
129 of the Wechsler Adult Intelligence Scale [38]. In our digit span task participants had to
130 memorize 2-8 digits (two trials per level of difficulty, both were used for the calculation of
131 the score) and repeat them in the same order (digit span same order) or in the reverse order
132 (digit span reverse order). See table 1 for demographic and neuropsychological characteristics
133 of the sample and supporting information S1 file for the data underlying the sample
134 characteristics. The study was approved by the local ethics committee and was conducted in
135 compliance with the Declaration of Helsinki.

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139 **Table 1 - Demographic and neurophysiological characteristics of the participants**

Characteristic	%	M	SD	Range
Sex (female)	66.66			
Age		23.71	4.06	20-37
Level of education				
University entrance diploma (yes)	95.83			
TMT-A (s)		23.12	7.55	14-51
TMT-B (s)		50.28	12.51	28-78
Digit span same order		8.33	1.95	5-12
Digit span reverse order		7.38	2.20	4-12
MWT-B (IQ)		108.58	12.63	91-145

140 **Notes.** TMT-A = time to complete the Trail Making Test part A; TMT-B = time to complete the Trail Making Test part B; Digit span same order = number of correct remembered digits in the Digit span same order task; Digit span reverse order = number of correct remembered digits in the Digit span reverse order task; MWT-B IQ = Intelligence Quotient assessed with the MWT-B

141 **Tasks**

142 The tasks *PASAT* and *color presentation* outlined below were computer-based and
143 implemented using PsychoPy2 v1.80.02 [39, 40]. They were presented on a 17-inch monitor.

144
145 **Paced auditory serial addition task (PASAT)**

146 We used a 2-back version of an adaptive Paced Auditory Serial Addition Task (2-back

147 PASAT). Participants sat in front of a monitor (distance: approximately 65cm) and heard
148 digits (1-9, duration of presentation: 433-567 ms) via in ear headphones. The task was to add
149 the current digit to the digit they heard before the last one (2-back). Results were indicated by
150 pressing the corresponding key on a keyboard that was equipped with the response letters 2-
151 18. Feedback was given after each trial simultaneously with the presentation of the new digit
152 by presenting green (red) light after correct (incorrect) responses. In order to make the
153 feedback highly salient the whole monitor was filled with the corresponding feedback color
154 (e.g. 17 inch). The duration of the feedback presentation was 433 ms (matched to the
155 presentation duration of the shortest number). Initially the ISI was set to three seconds. The
156 ISI thereby refers to the time in between presented digits as well as feedback, since it was
157 presented simultaneously. The ISI was decreased (increased) after four consecutively correct
158 (incorrect) trials by 100ms to adapt to the capability of the participants. Therefore, the task
159 maintained difficult but manageable throughout the whole session. The task comprised three
160 blocks á 5 minutes with 30 seconds of break in between. The total number of correct trials
161 was used as the main outcome variable. Because the PASAT puts high demands on WM and
162 processing speed it is challenging to stay focused throughout the task and not getting
163 distracted by the feedback information. Accordingly, low cognitive control would result in
164 fewer consecutive correct responses. Therefore, we calculated the proportion of consecutive
165 correct relative to the overall correct responses (performance stability) as a second outcome
166 variable.

167 **Control Task ‘color presentation’**

168 Since we aimed to test the differential neural responses to feedback valence as
169 indicated by red and green screen color in contrast to the neural activation to red and green
170 color as such, we conducted a control task ‘color presentation’ (CP). Participants were asked

171 to sit in front of a monitor (distance: approximately 65cm) and perceive red and green light
172 peripheral by keeping their gaze on the keyboard just like they would do while performing
173 the 2-back PASAT. The task consisted of two blocks á 2.5 minutes. Red and green light was
174 presented for 433 ms (as in the 2-back PASAT) in random order with a jittered inter stimulus
175 interval (1500 -2500 ms).

176 In sum, there were four conditions for the calculation of the ERPs: green color after a
177 correct trial in the 2-back PASAT (green feedback), red color after an incorrect trial in the 2-
178 back PASAT (red feedback), green color in the CP and red color in the CP. For the 2-back
179 PASAT, only feedback following a response was used (e.g. trials with red feedback for a
180 missing response were excluded from analysis).

181

182 **Electroencephalography recording**

183 The electroencephalogram (EEG) was recorded using an elastic cap (EASYCAP
184 GmbH, Hersching, Germany), the actiCHamp amplifier system with 32 active Ag/AgCl
185 electrodes and the corresponding Brain Vision Recorder system (Brain Products GmbH,
186 Gilching, Germany). EEG was registered from 27 scalp sites (FP1, F7, F3, Fz, F4, F8, FC5,
187 FC1, FCz, FC2, FC6, C3, Cz, C4, CP5, CP1, CPz, CP2, CP6, P7, P3, Pz, P4, P8, O1, Oz,
188 O2). Additionally, an electrooculogram (EOG) was recorded. For horizontal eye movements
189 two electrodes were placed approximately one cm left and right of the eyes. One electrode
190 positioned approximately one cm below the left eye and the Fp1 electrode were used to
191 register vertical eye movements. Furthermore, electrodes were placed on the left and right
192 mastoid. The left mastoid served as the online reference and a forehead electrode as the
193 ground. The online sampling rate was 1000 Hz. Impedances were kept below 10kΩ before
194 initiation of the recording.

195 **Procedure**

196 The experiment took place in a dimly lit, quiet room. After the participants gave their
197 written informed consent, the EEG electrodes were attached to the scalp. Participants were
198 asked to sit quietly during the EEG recording. The experiment started with the CP. After that,
199 participants carried out the 2-back PASAT. To make sure participants understood the
200 instruction of the task, they completed 30 practice trials, which were excluded from analysis.
201 To control for affective effects of the 2-back PASAT, participants completed the 20 item
202 positive and negative affect schedule (PANAS) [41] immediately before and after the 2-back
203 PASAT. That followed a resting phase of 7 minutes during that heart rate measures were
204 obtained, which are not subject of the current paper.

205 **Electrophysiological Data Processing**

206 We analyzed the EEG data using the EEGLAB toolbox [42] running on MATLAB
207 9.2 R2017a (The MathWorks, Natick, MA, USA) and the EEGLAB toolbox ERPLAB [43].
208 The raw EEG was resampled offline to 250 Hz and re-referenced to an average of the left and
209 right mastoids. Band-pass filters with a low and high cutoff of 0.1 and 35 Hz, and a notch-
210 filter at 50 Hz were applied. Ocular artifacts were removed manually using independent
211 component analysis (JADE algorithm) [44]. Subsequently feedback locked epochs were
212 extracted ranging from -100 to 1000 ms relative to feedback (2-back PASAT) and color (CP)
213 onset respectively. Artifact correction was conducted in the epoched EEG. Epochs containing
214 EEG signals exceeding an amplitude of 65 μ V within a 100ms moving window or exceeding
215 -65 - 65 μ V within the epoch were considered artifacts and were rejected (using the ERPLAB
216 implemented automated artifact detection). Participants with more than 25% of rejected
217 epochs were excluded from further analysis (n = 1). In the 2-back PASAT on average M =

218 4.12% of the green feedback trials ($SD = 5.50\%$) and $M = 4.60\%$ of the red feedback trials
219 ($SD = 5.27\%$) were rejected. In the CP $M = 2.57\%$ of the green color trials ($SD = 4.67\%$) and
220 $M = 3.25\%$ of the red color trials ($SD = 6.76\%$) were rejected. Overall, 2610 green and 1245
221 red feedbacks of the 2-back PASAT and 1796 green and 1771 red color trials were included
222 in the ERP analysis. ERPs were constructed by separately averaging trials in the four
223 conditions (green feedback, red feedback, green color, red color). Subsequently we calculated
224 difference waves: positive feedback = green feedback- green color, negative feedback = red
225 feedback – red color. All further ERP analyses refer to these difference waves (see supporting
226 information Figures S1-6 depicting the raw waveforms and scalp maps separately for the 2-
227 back PASAT and CP).

228 We chose the electrode sites and time windows to measure the FRN, P300 and LPP
229 according to previous literature. The FRN was defined as the mean amplitude within a time
230 window between 200-300 ms following feedback at Fz [18]. The P300 was scored as the
231 average of three centro-parietal sites (Cz, CPz, Pz). Since according to visual inspection there
232 is a large shift in the P300 waveforms due to the FRN, the P300 was defined as the base-to-
233 peak amplitude as follows: we first calculated the peak amplitude (PA) of the most negative
234 peak between 200-300 ms post feedback presentation separately for positive and negative
235 feedback. Then we calculated the PA of the most positive peak between 300-400 ms post
236 feedback presentation separately for positive and negative feedback (PA P300). Afterwards
237 the difference between the peak and the base was calculated separately for positive and
238 negative feedback (base-to-peak P300 = PA P300 - PA of the negative peak between 200-300
239 ms post feedback presentation [22, 45, 46]. The LPP was scored as the average of five centro-
240 parietal sites (Cz, CP1, CPz, CP2, Pz) and defined as the mean amplitude within a time
241 window between 400-1000 ms following feedback [32, 47].

242

243 Data Analysis

244 All statistical analyses were performed using SPSS Statistics for Microsoft Windows
245 (version 24.0). See supporting information S1 file for the data underlying the results. To
246 analyze a differential neural activation to positive vs. negative feedback we performed paired
247 t-tests separately for the FRN, P300 and the LPP. To further analyze associations of the
248 valence-specific neural activation (Δ = negative-positive feedback) and changes in the affect
249 ratings with the 2-back PASAT performance (number of correct trials and performance
250 stability) we calculated bivariate correlation analyses using Pearson's correlation coefficient.
251 Additionally, it could be assumed that a better 2-back PASAT performance would be
252 associated with fewer incorrect trials and therefore with fewer negative feedback. In turn, the
253 mere difference in the presentation frequency of good vs. bad performers could lead to a
254 differential neural reaction to negative feedback and we would not know if an association of
255 the valence-specific neural activation (Δ = negative-positive feedback) and the 2-back
256 PASAT performance could just occur due to this difference and not due to differences in
257 cognitive control functions. Therefore, we additionally calculated the correlation of the
258 number of incorrect trials and the 2-back PASAT performance (number of correct trials). For
259 all analyses, two-tailed tests were used, and a 0.05 level of significance was employed. Post-
260 hoc paired t-tests were conducted where appropriate.

261 **Results**

262 **Changes in Affect and Behavioral Data**

263 After the 2-back PASAT, overall affect deteriorated significantly as indicated by the
264 PANAS: positive affect ratings decreased [before: $M = 29.13$, $SD = 5.06$; after: $M = 26.21$,
265 $SD = 5.67$; $t(23) = 2.41$, $p = .025$] and negative affect ratings increased [before: $M = 13.29$,
266 $SD = 2.93$; after: $M = 20.96$, $SD = 9.51$; $t(23) = -4.86$, $p < .001$]. There were no significant
267 correlations of the affect ratings with the 2-back PASAT performance (all $p \geq .472$).
268 Concerning the 2-back PASAT performance, on average, participants gave 113.04 ($SD =$
269 31.32) correct, and 52.42 ($SD = 19.68$) incorrect responses with 239.54 ($SD = 31.45$) trials
270 overall (including trials without a response).

271

272 **Electrophysiological Data**

273 **Feedback related negativity**

274 Figure 1 displays the grand average waveform (panel A) of the FRN and the mean
275 voltage distribution across the scalp (panel B) for negative and positive feedback separately
276 (note that higher negative values indicate a larger FRN). The mean amplitude FRN for
277 negative feedback was significantly larger ($M = -0.817$, $SD = 3.223$) than for positive
278 feedback [$M = 0.846$, $SD = 2.843$; $t_{(23)} = 2.671$, $p = .014$]. The correlation analysis for the
279 valence-specific neural activation of the FRN (Δ FRN = negative-positive feedback, e.g. a
280 more negative value indicates that the FRN for negative feedback was larger than for positive
281 feedback), revealed a significant association between the Δ FRN and the number of correct
282 trials in the 2-back PASAT (see Figure 1C, note that for all scatterplots the Y-axis is ordered

283 ascendingly according to the values indicating larger ERPs, e.g. for the FRN values are
284 ordered from positive to negative). A smaller Δ FRN (e.g. more positive Δ FRN) was linked to
285 a larger amount of correct trials over all [$r_{(22)} = 0.425, p = .038$]. In addition, we found a
286 significant correlation of the Δ FRN and the performance stability. A smaller Δ FRN (e.g.
287 more positive Δ FRN) was linked to a higher performance stability [$r_{(22)} = 0.433, p = .034$].

288 --- FIGURE 1 ABOUT HERE ---

289 **FIGURE 1. Feedback related negativity.** Panel **A** displays the grand average waveform
290 separately for negative and positive feedback at Fz. Panel **B** shows the scalp map displaying
291 the mean voltage distribution for negative and positive feedback separately (200 - 300 ms post
292 feedback). Panel **C** shows a scatterplot displaying the 2-back PASAT performance as a
293 function of the valence-specific FRN (Δ FRN = negative-positive feedback). Note, for the
294 Δ FRN, more negative values indicate a larger amplitude by negative than positive feedback.
295 Therefore, negative values are at the top of the Y-axis.

296

297 **P300**

298 Figure 2 displays the grand average waveform of the P300 (panel A) and the mean
299 voltage distribution across the scalp (panel B) for negative and positive feedback separately.
300 Note that to avoid carry over effects of the shifts in the waveform in the time range of the
301 FRN to the P300, we conducted base-to-peak analyses to define the P300 amplitudes. A
302 paired t-test revealed a significant difference between the P300 for positive and negative
303 feedback. The P300 was significantly larger for negative feedback ($M = 10.648, SD = 4.047$)
304 than for positive feedback [$M = 8.812, SD = 3.464; t_{(23)} = 3.64, p = .001$]. For the valence-
305 specific neural activation of the P300 (Δ P300 = negative-positive feedback), we found a
306 significant correlation between the Δ P300 and the number of correct trials in the 2-back

307 PASAT (see Figure 2C). We observed that a larger P300 elicited by negative as compared to
308 positive feedback (e.g. a more positive Δ P300) was linked to more correct trials [$r_{(22)} = 0.422$,
309 $p = .040$]. In addition, we found a significant correlation of the Δ P300 and the performance
310 stability [$r_{(22)} = 0.465$, $p = .022$]. A larger P300 by negative as compared to positive feedback
311 was linked to increases in performance stability.

312 --- FIGURE 2 ABOUT HERE ---

313 **FIGURE 2. P300.** Panel **A** displays the grand average waveform of the P300 separately for
314 negative and positive feedback averaged across Cz, CPz, Pz. Note that a base-to-peak analysis
315 was performed for the P300. Panel **B** shows the scalp map displaying the mean voltage
316 distribution for negative and positive feedback separately (300 - 400 ms post feedback). Panel
317 **C** shows a scatterplot displaying the 2-back PASAT performance as a function of the valence-
318 specific P300 (Δ P300 = negative-positive feedback).

319

320 **Late positive potential**

321 The grand average waveform of the LPP (panel A) and the mean voltage distribution
322 across the scalp (panel B) for negative and positive feedback separately, are depicted in
323 figure 3. We found a significant difference between the mean amplitude LPP for positive and
324 negative feedback. The LPP for negative feedback was significantly larger ($M = 3.125$, $SD =$
325 3.118) compared to positive feedback [$M = 2.368$, $SD = 3.026$; $t(23) = 2.215$, $p = .037$].
326 Further, there was a medium effect sized correlation of the valence-specific neural activation
327 of the LPP (Δ LPP = negative-positive feedback) and the number of correct trials, which
328 failed to reach significance [$r(22) = 0.300$, $p = .155$]. However, we found a significant
329 correlation of Δ LPP and performance stability in the 2-back PASAT [$r(22) = 0.407$, $p = .049$,

330 see Figure 3C]. A larger LPP by negative as compared to positive feedback was linked to
331 increases in performance stability.

332 --- FIGURE 3 ABOUT HERE ---

333 **FIGURE 3. Late positive potential.** Panel **A** displays the grand average waveform of the LPP
334 separately for negative and positive feedback averaged across Cz, CPz, Pz, CP1, CP2. Panel **B**
335 shows the scalp map displaying the mean voltage distribution for negative and positive
336 feedback separately (400 - 1000 ms post feedback). Panel **C** shows a scatterplot displaying the
337 2-back PASAT performance as a function of the valence-specific LPP (Δ LPP = negative-
338 positive feedback).

339 Discussion

340 In this study, we examined electrophysiological characteristics of cognitive control
341 processes and their relation to task performance by means of a challenging and adaptive 2-
342 back PASAT. The main findings are (a) that positive and negative feedback induce a
343 differential neural activation throughout the time course of feedback processing (b) that the
344 valence-specific neural activation (negative-positive feedback) is associated with the 2-back
345 PASAT performance, and (c) that the direction of this association is critically dependent on
346 the stage of feedback processing.

347 We found that negative information in the form of performance feedback produced
348 similar neural signals for the FRN time range as in common studies investigating feedback
349 processing [48]. Thus, in line with our hypothesis, the FRN was larger for negative feedback
350 than for positive feedback. This observation for the FRN is frequently interpreted as a
351 stronger neural reaction of the anterior cingulate cortex (ACC) for negative than for positive
352 feedback. Since the pMFC including the ACC is known to reflect the motivational value of
353 stimuli [49] this further suggests that in early stages of feedback processing in the 2-back
354 PASAT, negative feedback is probably perceived as more salient than positive feedback. This
355 makes sense, concerning the fact that negative feedback contains important information to
356 adapt behavior according to changing task demands. Therefore, it could be assumed that a
357 more pronounced reaction to errors is beneficial for task performance. In accordance with this
358 assumption several authors describe a beneficial effect of larger FRN and error-related
359 negativity (ERN) amplitudes on task performance [50 - 53]. For example, when learning a
360 sequence of button presses by trial and error the FRN was significantly larger for trials that
361 were followed by a correct response indicating that a larger FRN was associated with a better
362 learning efficacy [54]. However, in our study we found that a larger valence-specific FRN

363 amplitude was associated with poorer task performance, indicating that in the 2-back PASAT
364 the feedback plays a different role compared to common studies investigating the FRN. To
365 understand this, it must be considered that in the present study, besides of its informational
366 value, the negative feedback had also the potential to fundamentally distract from task
367 performance, since it was presented simultaneously with the next target. Therefore, we
368 interpret the FRN as a neural signature of attention allocation towards a distractive negative
369 information as opposed to the task relevant target. This is in line with the assumption that the
370 FRN indicates the emotional impact of negative expectation violation [21]. In accordance
371 with the well-established evidence of a negativity bias linked to a decreased cognitive control
372 in depression, it has been shown that the FRN is enhanced in patients suffering from current
373 as well as remitted depression indicating a hypersensitivity to loss, punishment or negative
374 related stimuli in depression [55 - 57] which reflects reduced cognitive control over emotions.
375 This is consistent with our finding of a poorer 2-back PASAT performance (number of
376 correct trials as well as the performance stability) in healthy participants with larger FRNs.
377 Moreover, this finding suggests that a larger neural activation following negative than
378 positive feedback is linked to an enhanced sensitivity to negative stimuli, which leads to
379 increased distraction, by the valence-specific neural activation in this early stage of feedback
380 processing.

381 For the P300 we could also confirm our hypothesis of a stronger neural activation for
382 negative than for positive feedback. Consistent with findings showing that the P300 reflects
383 attention allocation towards motivationally and/ or emotionally relevant content, this
384 indicates that negative feedback in the 2-back PASAT is associated with greater resource
385 allocation than positive feedback. This assumption is bolstered by the correlation of the
386 Δ P300 (negative-positive feedback) and the 2-back PASAT: in contrast to the FRN a larger
387 P300 to negative than positive feedback was associated with a larger number of correct trials

388 and a higher performance stability. This finding is in accordance with previous studies
389 showing comparable associations. For instance it has been observed that a better performance
390 in an n-back working memory task was associated with a larger P300 amplitude [27, 28].
391 Moreover, a larger P300 was found to be associated with more remembered stimuli of
392 emotional content [29]. Therefore, our finding adds further evidence that the additional
393 recruitment of neural activity at this stage of processing leads to performance gains and the
394 maintenance of goal-oriented behavior.

395 In line with our hypothesis, we also found a larger amplitude for negative than for
396 positive feedback for the LPP. Since a large body of evidence shows that the LPP is larger for
397 emotional than for non-emotional stimuli this indicates that negative feedback was perceived
398 as emotionally more relevant than positive feedback [30]. The fact that negative feedback
399 captures more resources than positive feedback reflected by the LPP suggests that in later
400 stages of feedback processing a negativity bias can be observed. Regarding the valence-
401 specific neural activation of the LPP (Δ LPP = negative-positive feedback) we could observe
402 a similar pattern as for the Δ P300. Although the medium effect sized correlation of the Δ LPP
403 with the number of correct trials in the 2-back PASAT failed to reach significance, we found
404 a significant correlation of the Δ LPP and the performance stability, indicating the same
405 association: a larger LPP by negative than positive feedback was associated with a higher
406 performance stability. Just like the association of the Δ P300 with performance, a stronger
407 neural reaction to negative than positive feedback in later processing stages seems to reflect
408 the recruitment of additional cognitive resources, which increase the effective maintenance of
409 coordinated behavior. Our data are in accordance with results showing a positive relationship
410 between larger LPP amplitudes and task performance. This was observed for example in
411 a delayed working memory task: larger Δ LPPs (negative - positive) evoked by emotional
412 pictures serving as distractors were associated with better task performances [35].

413 Furthermore, also in an approach avoidance task it was found that larger LPP amplitudes
414 were linked to faster RTs [34]. In contrast, there is also a finding of larger LPP amplitudes
415 associated with performance deteriorations, indicating increased engagement with a
416 distracting stimulus [33]. However, it must be considered that no WM task was used in this
417 study, but a speeded response task, focusing on the investigation of attentional processes and
418 less demanding cognitive functions as opposed to our study. In sum, our results for the LPP
419 and the P300 seem to be in line with the idea of an additional recruitment of cognitive
420 resources by emotional stimuli [58], at least in these late stages of feedback processing.

421 Consistent with previous studies, we found affect ratings significantly decreased after
422 2-back PASAT performance [6, 59, 60]. However, there was no significant correlation
423 between the PANAS affect ratings and the 2-back PASAT performance [6]. Nevertheless,
424 their functional association is underlined by the correlation between the evoked potentials
425 indicating emotional processing and task performance. For that matter, the use of self-report
426 questionnaires like the PANAS might be not sufficiently precise to detect latent affect
427 changes.

428 Taken together it appears that in the early stages of feedback processing (<300 ms
429 following feedback) in the 2-back PASAT, less automatic resource allocation towards
430 negative than positive feedback is beneficial for task performance. Whereas in later stages
431 (>300 ms following feedback) this association is inverted: a more extensive neural
432 recruitment following negative feedback is linked with better performance. Conceivably, in
433 bad performers increased early (< 300 ms) activation after negative feedback interferes with
434 successful memory updating. Apparently, through largely bottom up driven processing,
435 attentional resources are diverted away from target processing and towards distractive
436 negative information, which is reflected by a large neural response to negative feedback.
437 Accordingly, good performance is associated with the ability to engage top-down control

438 already at early processing stages and maintaining attentional resources to targets and not
439 negative feedback information. Opposingly, in later stages of feedback processing (> 300
440 ms), large valence-specific amplitudes seem to reflect resource allocation towards goal
441 directed task processing, indicating successful implementation of top-down control.
442 Therefore, good performers are apparently capable of using the feedback information in a top
443 down driven manner to achieve goal directed behavior, reflected by a large valence specific
444 neural activation in late processing stages.

445 Overall the ERP signatures we found contribute to a better understanding of the neural
446 mechanisms underlying the PASAT and furthermore help to gain better understand why the
447 PASAT is an efficient cognitive control training and could be a promising, innovative
448 treatment option for patients suffering from depression. Our results suggest that poor
449 performance is associated with increased sensitivity to negative information in early
450 processing stages and reduced allocation of cognitive resources in later stages. As stated
451 above, depressed patients depict a hypersensitivity to negative feedback and negative
452 information in general. PASAT training may help to reduce this hypersensitivity by
453 implementing cognitive control strategies in early processing stages to cope with the
454 frustration caused by PASAT. At the same time, these activated cognitive resources could
455 lead to an effective use of the feedback information in later processing stages. This
456 hypothesis is supported by findings showing a critical involvement of the dlPFC in the
457 PASAT performance [15], which in turn is a neuronal structure underlying cognitive control
458 functioning and has been found to be hypoactive in depressed patients [61]. Our results
459 provide useful tools to test such a possible training mechanism and to determine which
460 patients can benefit from a cognitive control training in the long run.

461 There are some limitations of the current study. It could be assumed that a better
462 performance in the 2-back PASAT would be confounded by fewer incorrect trials and

463 therefore fewer negative feedback. This would indicate that a differential neural reaction to
464 negative vs. positive feedback could be a result of this difference as opposed to be a marker
465 of cognitive control functions. However, due to the adaptive design of the 2-back PASAT, a
466 good performance goes along with a faster stimulus presentation and as a result, participants
467 make more mistakes. This is also reflected by the missing association of the 2-back PASAT
468 performance and the amount of negative feedback: good performers receive as much negative
469 feedback as bad performers. In addition, there have been a lot of misses in the task (e.g. trials
470 without a response) that were excluded from data analysis. Probably also these misses reflect
471 meaningful information. However, during the experiment we observed that the cause for the
472 misses are manifold: participants simply processed the digits not fast enough; sometimes
473 there actually was a response, but it occurred at the same time the feedback was presented
474 (meaning it was not recorded) or sometimes participants zoned out and did not process the
475 stimuli at all for several trials. Unfortunately, we cannot distinguish between these cases
476 afterwards but at the same time it can be assumed that their informative value for cognitive
477 functions and neural responses to feedback are quite different. Therefore, we decided to
478 exclude misses completely from the analysis.

479 To conclude, by elucidating the neural mechanisms underlying the PASAT
480 performance, we demonstrate that enhanced neural activity in early processing stages of
481 negative feedback indicates a diversion of cognitive resources towards negative information
482 resulting in reduced goal-oriented behavior. In turn, additional allocation of resources after
483 salient negative information as indicated by a higher P300 and LPP is linked with enhanced
484 performance and may thus represent a neural signature of successful cognitive control of
485 distractive negative information. Our results provide the basis for further studies using and
486 investigating the PASAT as an effective cognitive control task. Based on these results, future
487 studies will further elucidate associations and malleability of negative information

488 processing, cognitive performance and mood regulation in sensitive population groups and
489 psychiatric disorders.

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491

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672 **Supporting information**

673 **S1 File. Dataset underlying the findings.** The sav. file contains all data concerning sample
674 characteristic as well as behavioral and electroencephalographic results underlying our
675 findings.

676 **S1 Figure. Raw FRN for the 2-back PASAT.** The grand average waveform separately for
677 red and green feedback (left panel) and the scalp map displaying the mean voltage
678 distribution for red and green feedback separately (right panel, 200 - 300 ms post feedback).

679 **S2 Figure. Raw FRN for the control task ‘color presentation’.** The grand average
680 waveform separately for red and green color (left panel) and the scalp map displaying the
681 mean voltage distribution for red and green color separately (right panel, 200 - 300 ms post
682 feedback).

683 **S3 Figure. Raw P300 for the 2-back PASAT.** The grand average waveform separately for
684 red and green feedback (left panel) and the scalp map displaying the mean voltage
685 distribution for red and green feedback separately (right panel, 300 - 400 ms post feedback).

686 **S4 Figure. Raw P300 for the control task ‘color presentation’.** The grand average
687 waveform separately for red and green color (left panel) and the scalp map displaying the
688 mean voltage distribution for red and green color separately (right panel, 300 - 400 ms post
689 feedback).

690 **S5 Figure. Raw LPP for the 2-back PASAT.** The grand average waveform separately for
691 red and green feedback (left panel) and the scalp map displaying the mean voltage
692 distribution for red and green feedback separately (right panel, 400 - 1000 ms post feedback).

693 **S6 Figure. Raw LPP the control task ‘color presentation’.** The grand average waveform
694 separately for red and green color (left panel) and the scalp map displaying the mean voltage
695 distribution for red and green color separately (right panel, 400 - 1000 ms post feedback).

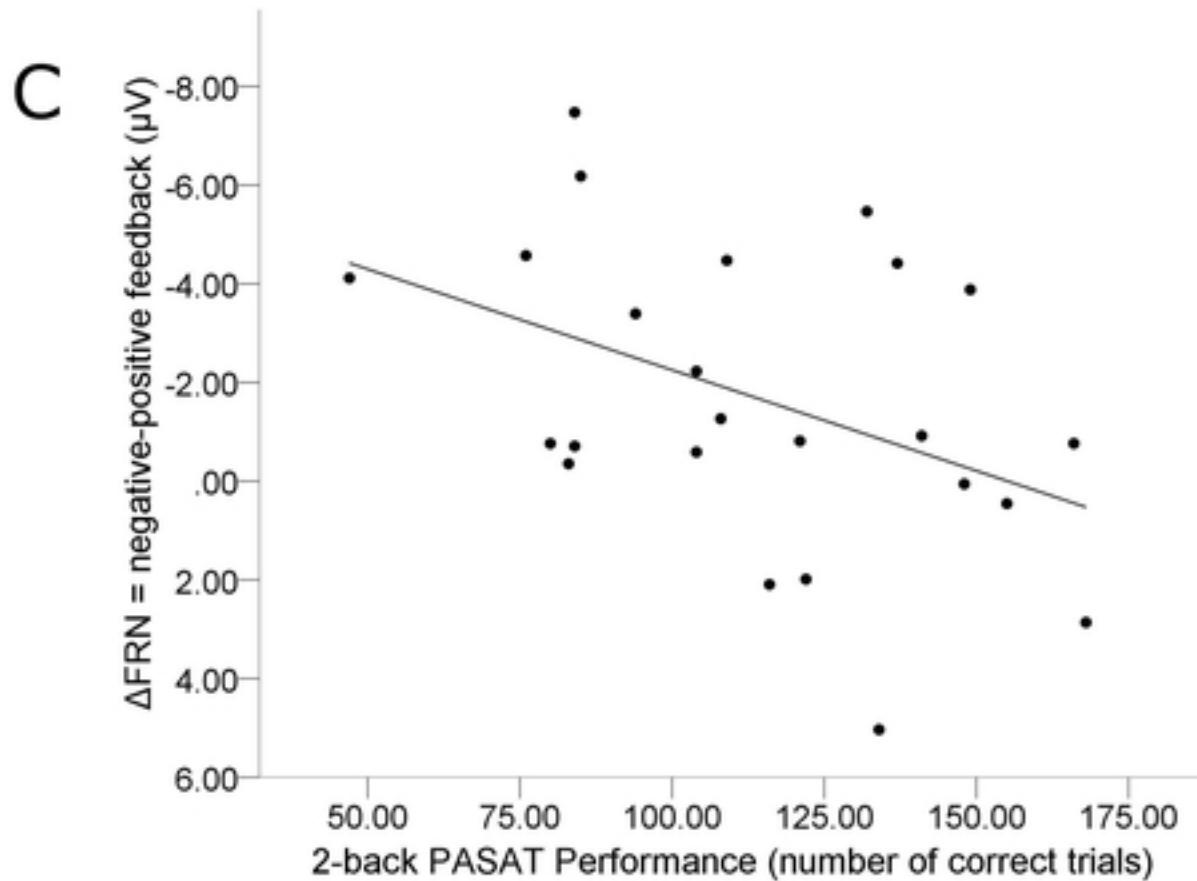
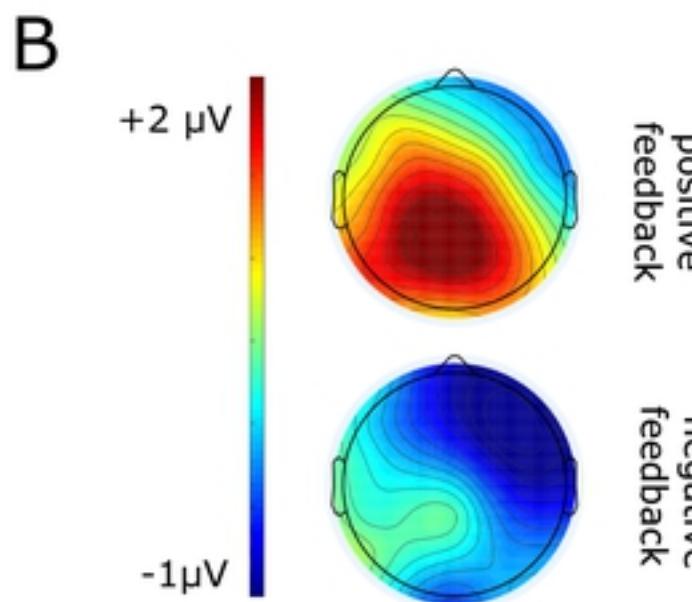
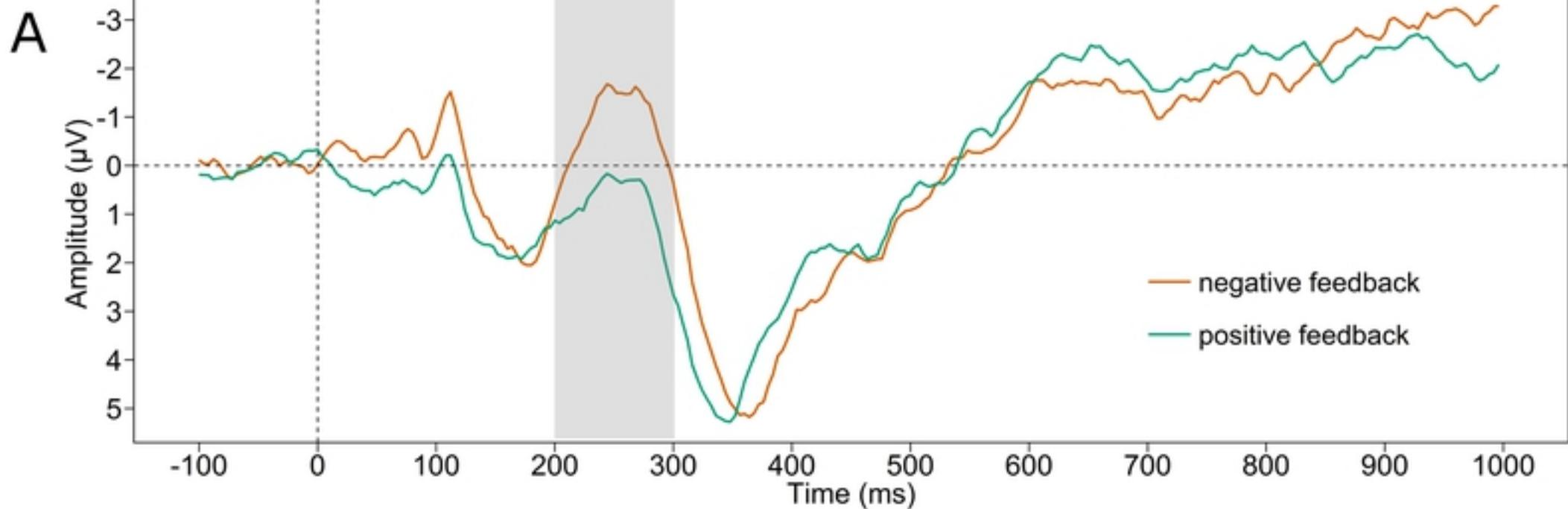


Figure 1

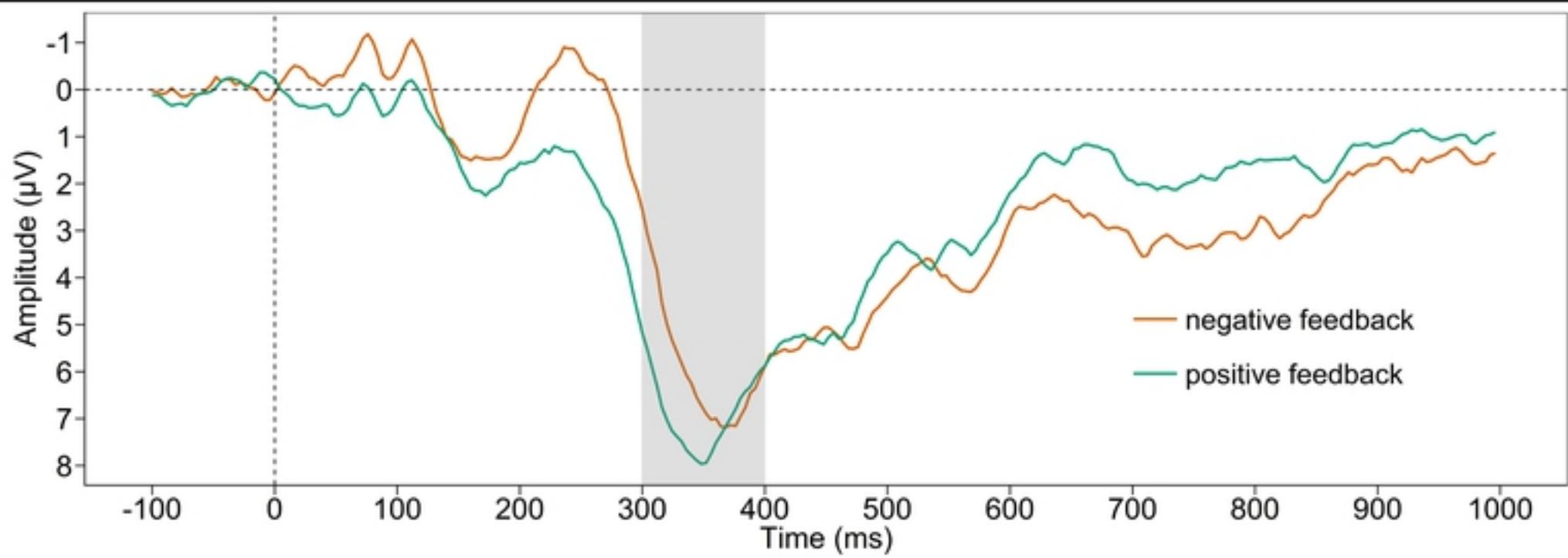
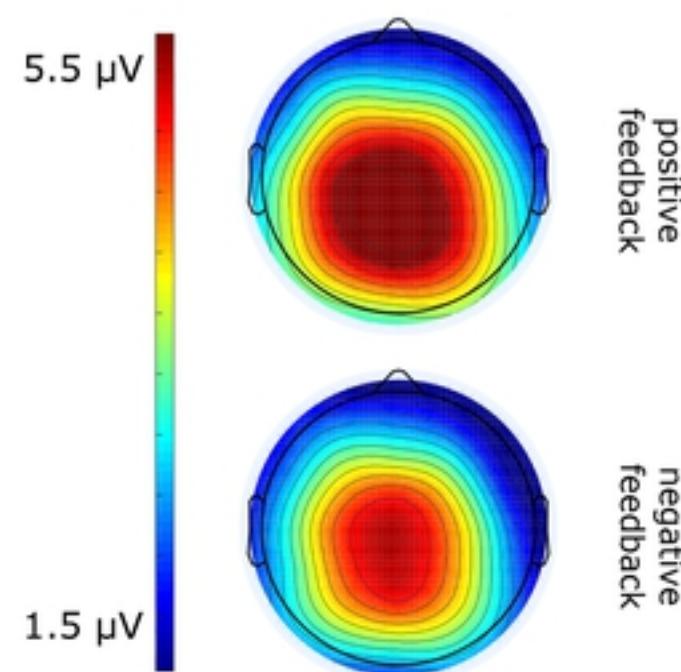
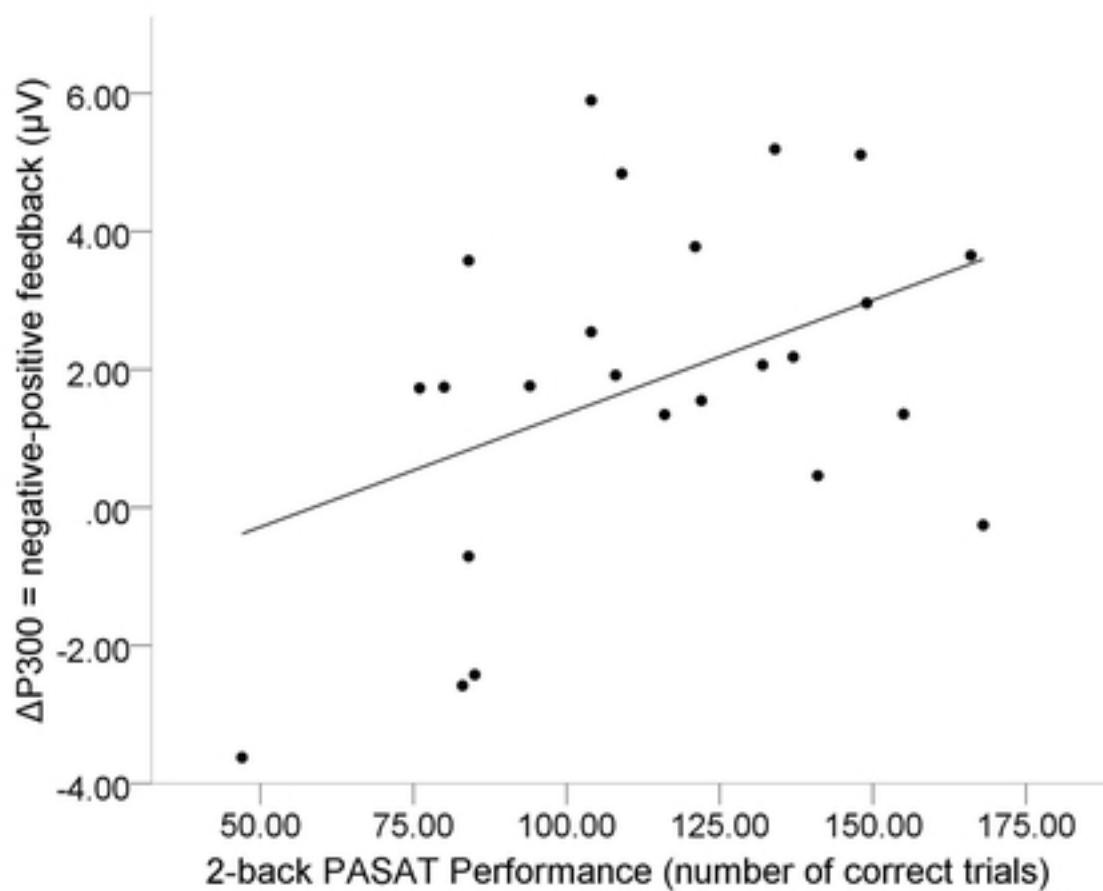
A**B****C**

Figure 2

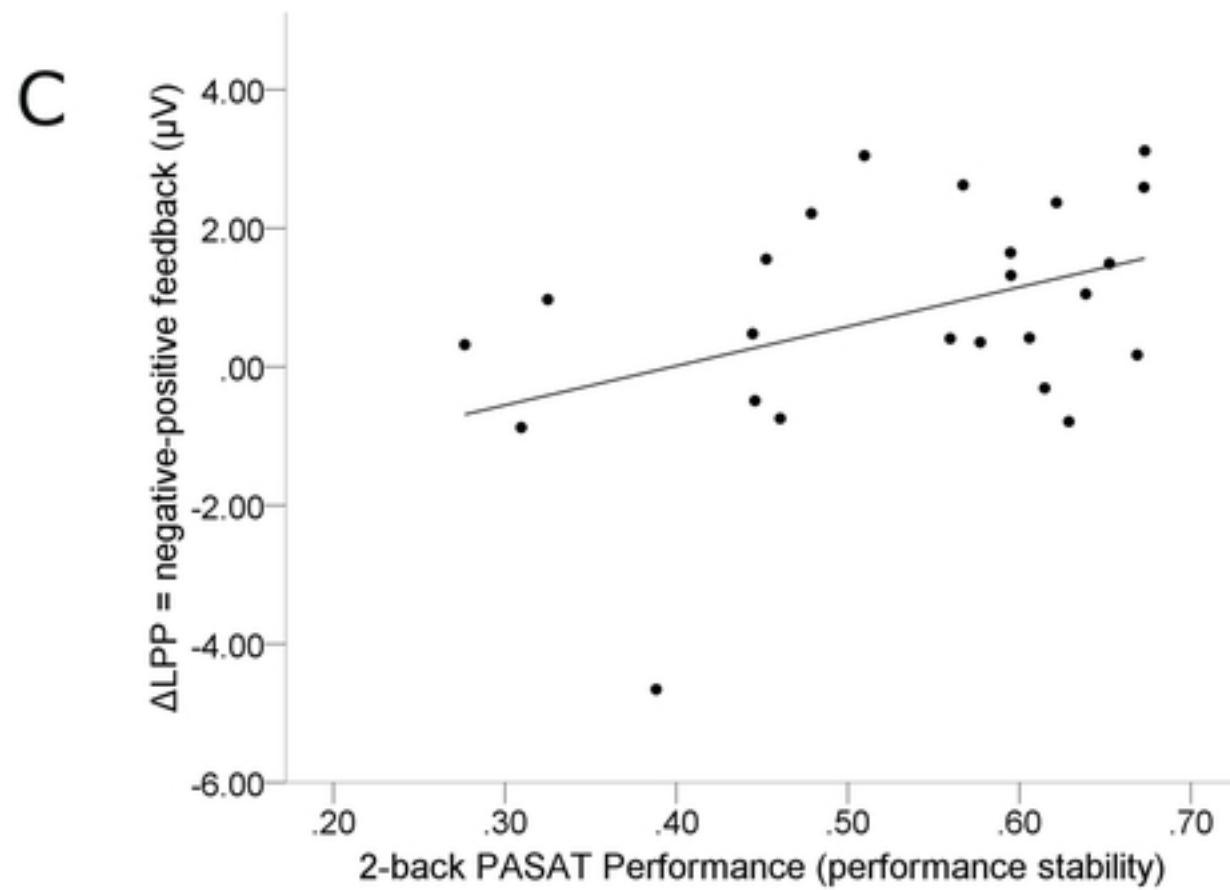
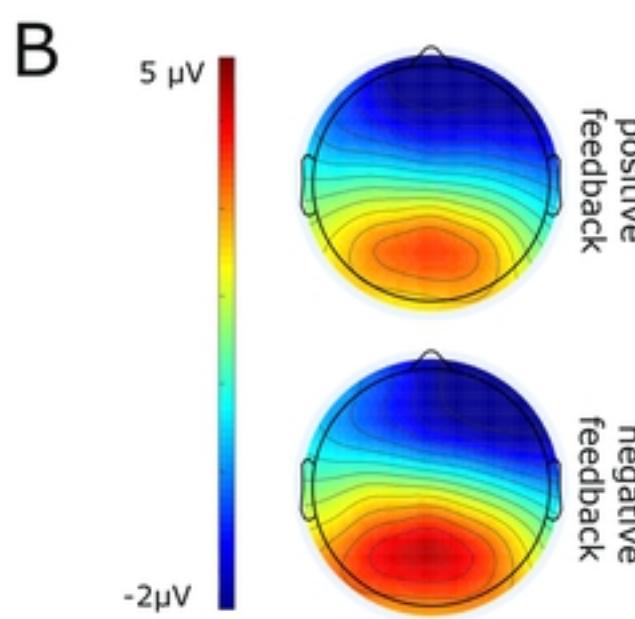
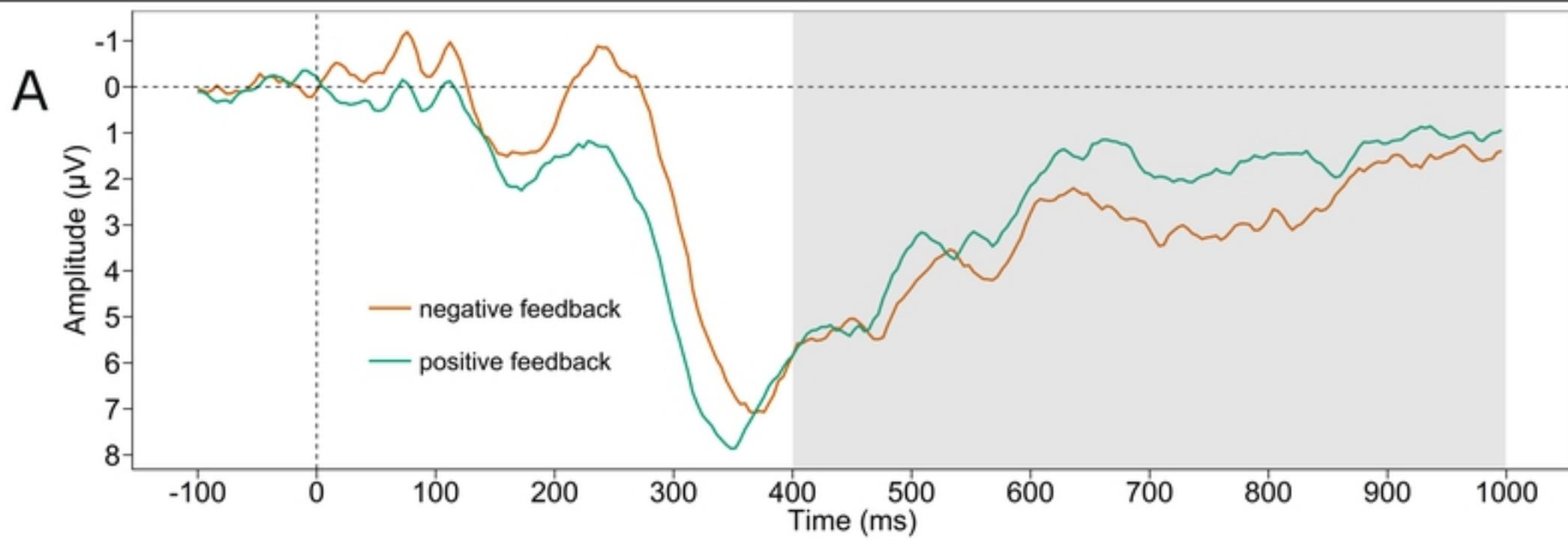


Figure 3