1   **Scientometric correlates of high-quality reference lists in ecological papers**

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Abstract

It is said that the quality of a scientific publication is as good as the science it cites, but the properties of high-quality reference lists have never been numerically quantified. We examined seven numerical characteristics of reference lists of 50,878 primary research articles published in 17 ecological journals between 1997 and 2017. Over this 20-years period, there have been significant changes in reference lists' properties. On average, more recent ecological papers have longer reference lists, cite more high Impact Factor papers, and fewer non-journal publications. Furthermore, we show that highly cited papers across the ecology literature have longer reference lists, cite more recent and impactful papers, and account for more self-citations. Conversely, the proportion of 'classic' papers and non-journal publications cited, as well as the temporal range of the reference list, have no significant influence on articles' citations. From this analysis, we distill a recipe for crafting impactful reference lists.

Keywords: Bibliography, Bibliometrics, Citations, Classic paper, Impact factor, Reference list, Scientometrics

Introduction

As young scientists moving our first steps in the world of academic publishing, we were instructed by our mentors and supervisors on the articles to read and cite in our publications. "Avoid self-citations", "Include as many papers published in *Nature* and *Science* as possible", "Don't forget the classics", and "Be timely! Cite recent papers" are all examples of such advices found in textbooks and blogs about scientific writing. Yet, to the best of our knowledge, intrinsic properties of high-quality reference lists have never been numerically quantified.

The success of a scientific publication varies owing to a range of factors, often acting synergistically in driving its impact. Apart from the scientific content of the article itself, which ideally should be the only predictor of its impact, factors that correlate to the number of citations that an article accumulates over time include its accessibility [1,2], the stylistic characteristics of its title [3–5] and abstract [6], the number of authors [7], and its availability as a preprint [8]. Furthermore, it is understood that the quality of a scientific publication should be related to the quality of the science it cites, but quantitative evidence for this remains sparse [7,9–11].

From a theoretical point of view, a reference list of high quality should be a balanced and comprehensive selection of up-to-date references, capable of providing a snapshot of the intellectual ancestry supporting the novel findings presented in a given article [12]. This is achieved by conducting a systematic retrospective search to select all papers with content that is strongly related to that of the article, to be read and potentially cited if deemed relevant. The most throughout and recent attempt to evaluate the quality and properties of a journal article reference list was made by Evans [9]. Using a database of >30 million journal articles from 1945 to 2006 Evans showed that, over time, there has been a general trend to referencing more recent articles, channelling citations toward fewer journals and articles, and shortening the length of the reference list. Evans predicted

that this way of citing papers "[…] *may accelerate consensus and narrow the range of findings and ideas built upon*", an observation that generated subsequent debate [13–15]. For example, in a heated reply to Evan's report, Von Bartheld et al. [13] argued that this claim was speculative because "*[…] citation indices do not distinguish the purposes of citations*". In their view, one should consider the ultimate purpose of each individual citation and the motivation of authors when they decided which papers to cite.

Yet, it is challenging to disentangle all factors driving an author choice of citing one or another reference [11,16], especially when dealing with large bibliometric databases such as the one used by Evans [9] to drawn his conclusions. In spite of the attempts made, the question remains as to how to objectively evaluate the quality and properties of a reference list. To address this gap, we extracted from Web of Science (Clarivate Analytics) all primary research journal articles published in low- to high-rank international journals in ecology in the last 20 years, and generated unique descriptors of their reference lists. We restricted our analysis to articles published in international journals in "Ecology" because, by focusing on a single discipline, it was possible to minimize the number of confounding factors. Moreover, this choice allowed us to incorporate in the analyses a unique descriptor of the reference list based on an analysis published in 2018 on seminal papers in ecology [17] (see "Seminality index" in Table 1).

We structured this research under two working hypotheses. First, if the quality of a scientific paper is connected to the reference it cites, we predict that, on average, articles characterized by a good reference list should accumulate more citations over time, where the goodness of a reference list is approximated via a combination of different indexes (Table 1). Second, we hypothesize that thanks to modern searching tools such as large online databases, bibliographic portals, and hyperlinks, the behavior through which scientists craft their reference lists should have change in the Internet era [15,18]. Thus, we

78   predict that this change should be reflected by variations through time in the proprieties of

79   articles' reference lists.

80

81   **Table 1**. Proxy variables used to characterized the reference list of the papers.

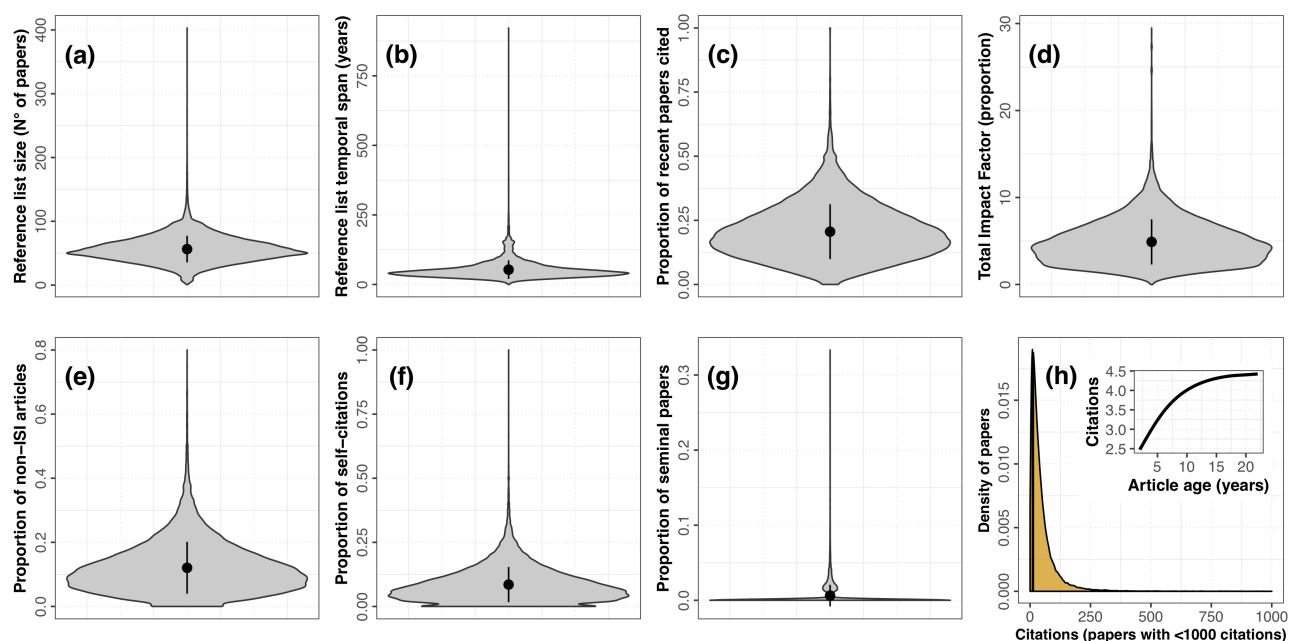| Variable	Description	Construction	Type
Length	Total number of reference items cited in the paper reference list.	Sum of reference items cited (variable "NR" in WoS database).	Real value
non-ISI papers (non-journal publication cited)	Number of non-ISI items cited in the reference list, such as books, theses, websites, and grey literature.	Number of non-journal items cited divided by Length.	Proportion
Self-citations	Number of self-citations in the reference list.	Number of self-citations divided by Length. Note that only first author self-citations are counted, namely those in which any of the authors of the paper appear as first author in items of the reference list.	Proportion
Temporal span	Temporal span of the reference list.	Year of most recent reference item cited – year of oldest item cited.	Real value
Immediancy	Number of recent reference items cited.	Number of papers published in the previous three years divided by Length.	Proportion
Seminality	Number of seminal ecological papers cited	Number of cited items in the list "*100 articles every ecologist should read*" [17] divided by Length.	Proportion
Total IF	Sum of the IF values of all the reference cited in the paper.	Total IF is calculated using the JIF of each reference at the year of publication, based on annual JCRs. To calculate the proportion, Total IF is divided by the number of reference with JIF (Length – number of non-ISI items).	Proportion

82

83   IF= Impact Factor; ISI= International Scientific Indexing; JCR= Journal Citation Reports; JIF= Impact Factor; WoS= Web of Science

84 **Results**

85 *Reference list characteristics in ecology*

86 After excluding non-primary research articles and omitting incomplete WoS records, we

87 ended up with 50,878 unique papers distributed across the 17 journals that covered the

88 time span from 1997 to 2017. The median size of the reference list in ecological journals is

89 54 cited items (range= 1–403) (Fig. 1a). Cited references cover a median temporal span of

90 45 years (0–922) (Fig. 1b). The mean proportion of recent papers in the reference lists is

91 0.21 (0–1); the proportion of non-ISI articles is 0.12 (0–0.8), whereas the average impact

92 factor of the papers cited in references lists is 4.9 (0–29.5) (Fig. 1). The mean proportion of

93 self-citations is 0.07 (Fig. 1f) and the proportion of cited seminal papers is 0.006 (0–0.33)

94 (Fig. 1g).

95 We predicted the expected curve of citations over article age with a Poisson

96 generalized additive model (GAM). We observed a significant parabolic trend in the

97 number of citations over time (F= 2724.8; p< 0.001), with number of citations reaching a

98 plateau of ~4 after 10 years from publication (Fig. 1h, inset).

99

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Figure 1. Main numerical features of reference list of ecological journals. a–g) Violin plots showing the

distribution of the seven numerical properties of reference lists considered in this study. For each graph,

black dot and vertical bar is mean ± s.d. **h**) Distribution of citations among the articles considered in this

study. Inset show the predicted relationship between citations and articles age, based on the prediction of a
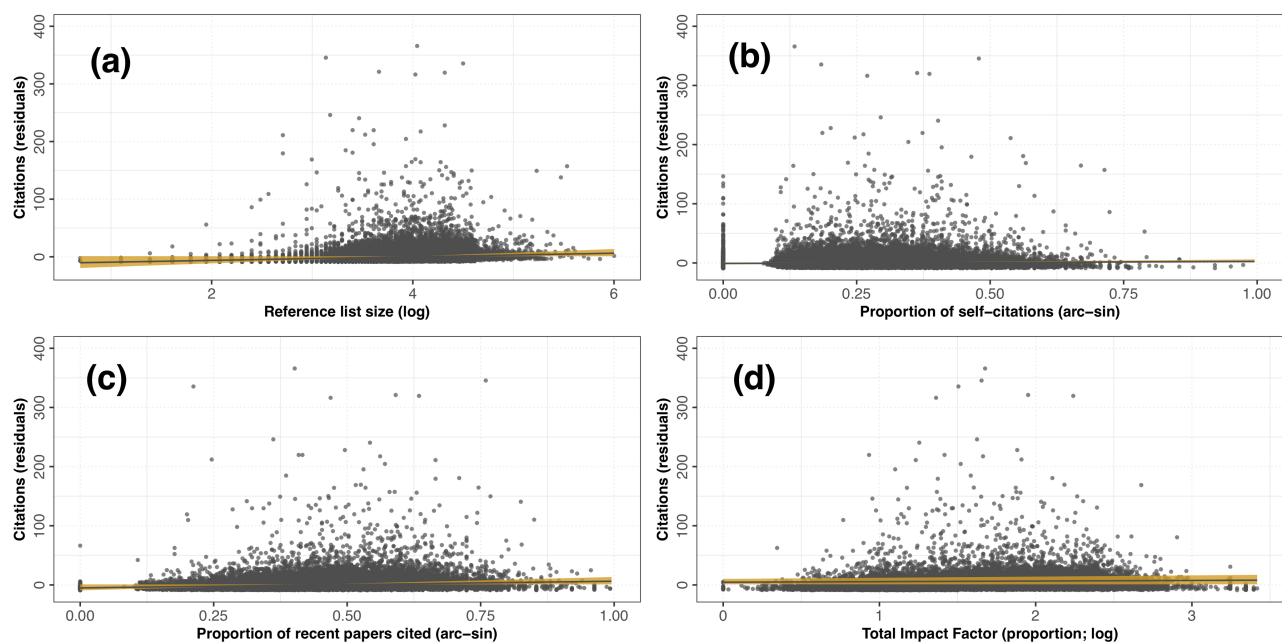
generalized additive model.

Relationship between reference list features and article impact

To normalized the number of citations for each article by its age, we expressed citations as the Pearson residuals from the regression curve shown in Fig. 1h (inset). We modeled residuals of citations as a function of the different features of the reference list, using a linear mixed effects model with journal identity and publication year as random factors.

We observed a positive and significant relationship between citations of a paper and the number of cited references (Estimated β ± s.e. 3.11±0.12 p< 0.001), with articles with longer reference lists accumulating more citations over time (Fig. 2a). The number of citations also significantly increased with an increase in the proportion of self-citations (Estimated β ± s.e.: 3.45±0.34, p< 0.001; Fig. 2b) and reference list total Impact Factor (IF) (Estimated β ± s.e.: 0.99±0.12, p< 0.001; Fig. 2d). Furthermore, we found a positive relationship between citations and immediacy of the reference list, namely articles citing a greater proportion of recent papers accumulated more citations over time (Estimated β ± s.e.: 11.28±0.39, p< 0.001; Fig. 2c). Proportion of non-ISI journal article referenced, total temporal span of the reference list, and proportion of cited seminal papers had no significant effect on citations (non-ISI Estimated β ± s.e.: –0.22±0.39, p= 0.554; Temporal span: –0.13±0.35, p= 0.164; Seminality: 0.46±0.644, p= 0.470).

126

128   **Figure 2. Relationships between articles citation and reference lists numerical properties.** Predicted

129   relationships (filled lines) and 95% confidence intervals (orange surfaces) between the residuals of citation

130   over articles' age and **a**) length of the reference list, **b**) proportion of self-citation, **c**) proportion of recent

131   papers cited, and **d**) total impact factor of the reference list, according to the Linear mixed models analysis.

132   Variables are transformed to homogenize their distribution. Only fixed effects are shown.

133   *Temporal variations in reference list features*

134   Over the 20-years period considered (1997–2017), the total IF of the reference list steadily

135   and significantly increased. The average (±s.d.) IF of articles cited in the reference list was

136   2.35±1.83 in 1997, and 6.19±2.23 in 2017 (Fig. 3c). Yet, it is worth noting that over the 20-

137   years period considered the overall IF of scientific journals also significantly increased, a

138   feature that may have inflated this trend [19]. In parallel, the proportion of non-journal articles

139   referenced significantly decreased over time. In 1997, on average, non-journal articles

140   accounted for 14% of the reference list, while this value dropped to 8% in 2017 (Fig. 3b).

141   We also observed that the number of cited items in the reference list steadily increased

142   from an average of 45.3±20.5 in 1997 to 68.2±25.5 in 2017 (Fig. 3a). We observed stabler

143   trends for the temporal span of the reference list (Fig. 3d), proportion of self citations (Fig.

144   3e), recent papers (Fig. 3f), and seminal papers (Fig. 3g). Models estimated parameters

145   are in Fig. 3.

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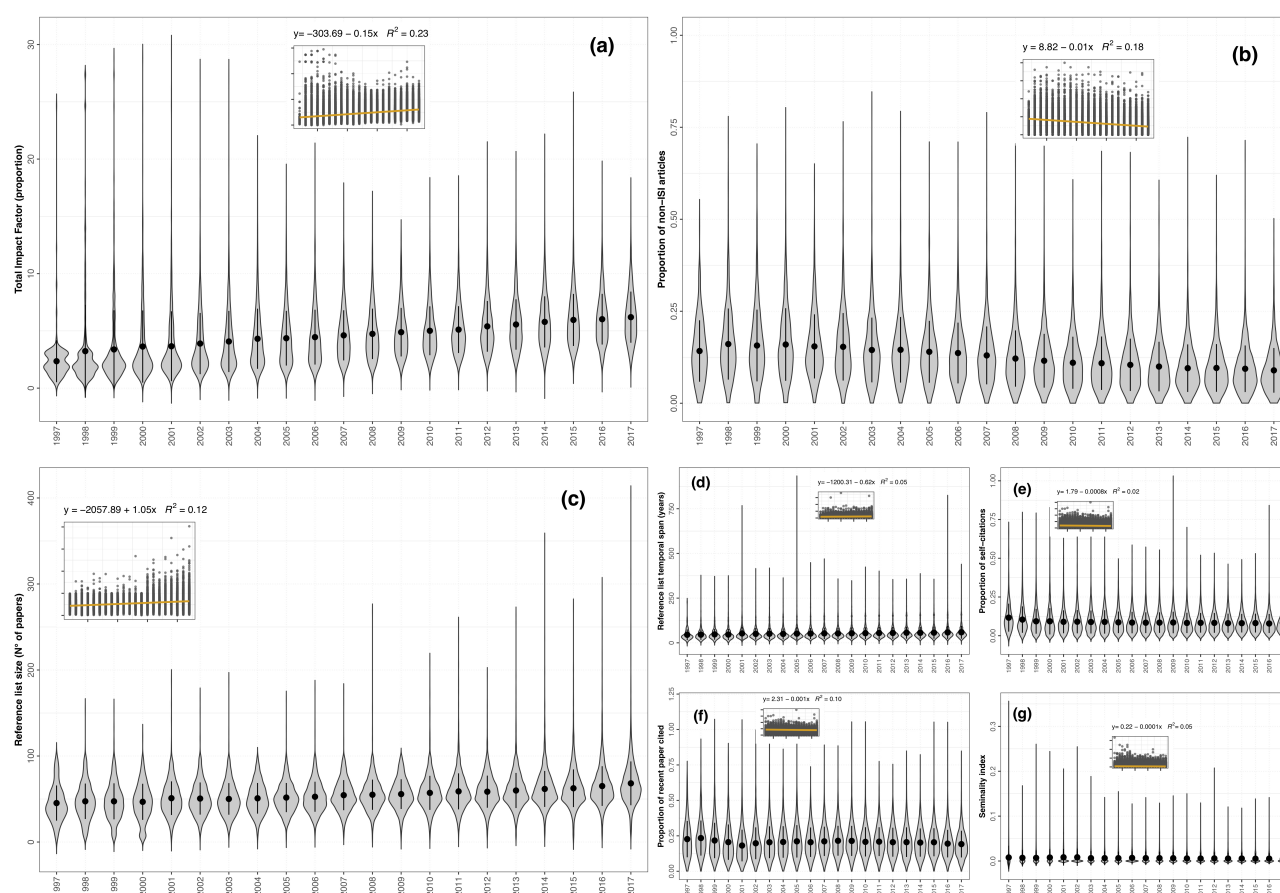**Figure 3**. Variations in reference lists numerical properties between 1997 and 2017. a–g) Violin plots

showing the annual variations in the seven numerical features of reference lists. Insets show the predicted

relationships (filled line) and 95% confidence intervals (orange surfaces) based on linear mixed models.

Larger graph (a–c) illustrate non-flat temporal trends. Only fixed effects are shown.

Discussion

We showed that, on average, papers with longer reference lists are more cited across the ecological literature than papers with shorter reference lists, a result that parallels findings of previous studies [7,9]. One explanation is that longer reference lists may make papers more visible in online searches. Also, it was hypothesized that papers with longer reference lists may address a greater diversity of ideas and topics [7], thus containing more citable information. Furthermore, a longer reference list may attract *tit-for-tat* citations, that is, the tendency of cited authors to cite the papers that cited them [20]. It is interesting to emphasize that this result directly questions the practice of most journals to set a maximum in the number of citable references per manuscript. Since most journals are switching to online-only publishing systems where space limitation is not an issue, this limitation seems unjustified.

We also found that papers citing a greater proportion of recent articles and high-IF articles are, on average, more cited. Citing recent references generally implies that scientists are working on 'hot', timely eco-evolutionary topics. The latter frequently end up published in journals with greater impact factor, which on average attract a greater share of citations. A complementary explanation for this result may be searched for in the recent changes in academic publishing. It was pointed out that, since the volume of available scientific information in the Internet era is growing exponentially [18,21], scientists are not anymore able to keep pace with relevant papers published every year about any given scientific topic. As a result, they often end up reading almost exclusively the latest 'hot' papers [17,18] while avoiding older literature [9].

Furthermore, we found that papers including a greater proportion of self-citations are more highly cited. Given that excessive self-citations are usually despised and discouraged, this results may come at a surprise. On the one hand, it is true that self-citations are sometimes unjustified, used by authors as a way to increase their scientific

179 visibility and to boost their own citation metrics [10]. An irrelevant self-citation breaking the

180 flow of a paragraph, such as this one[22], is an instructive example of this behavior. On the

181 other hand, self-citations are an integrant part of scientific progress, as they usually reflect

182 the cumulative nature of individual research [23]. Indeed, 88% of the papers in our dataset

183 included at least one self-citation. This may ultimately lead to accumulate more citations,

184 because papers that are part of a bigger research line are often more visible and citable.

185   According to our analyses, other features of the reference list have not significant

186 effect on citations. Probably, the least intuitive result is a lack of relationship between the

187 number of cited seminal papers and the number of citations. The list of seminal papers

188 was generated using the results of a recent expert-based opinion paper, providing a list of

189 the 100 "must-read" articles in ecology [17]. A manuscript citing any of those classical papers

190 should focus, on average, on broader and long-debate topics in ecology, and therefore it is

191 expected to receive more citations. But this is not the case. If one assumes that the

192 number of citations for a paper is an index of its importance for the field, such a result may

193 question the "must-read" value of some of the articles included in Courchamp & Bradshaw

194 [17] compilation. However, most of these seminal papers are relatively old and they thus

195 have inspired more recent studies, which may be cited instead of the original ones.

196

197 *Change in reference lists structures over time*

198 We observed significant changes in the structure of articles' reference lists from 1997 to

199 2017. We argue here that most of these changes are directly related to a shift in the

200 academic publishing behaviors of the Internet era [24] from browsing paper in print to

201 searching online through the use of hyperlinks [9,15]. While the volume of available scientific

202 information has grown exponentially [18], retrieving relevant bibliography has become

203 simpler and quicker thanks to online searching tools [15]. This seemingly explain why, on

204 average, the length of reference lists across ecological journals has steadily increased.

205   The last two decades have also seen an exponential rise in the use of journals

206   metrics, especially the impact factor [19], and the consequent desire of authors to publish in

207   high-ranking journals and cite papers published therein. This may explain why we

208   observed a significant increase in the total impact factor of reference lists over time.

209   Concomitantly, there has been a reduction in the number of non-ISI publications cited in

210   reference lists. In general, both these features are a direct product of the changes in

211   academic publishing behaviors of the "publish or perish" era. More and more authors are

212   now exploring new ways to maximize the impact of their publications [25,26]. Citing papers

213   with higher impact factor and a lower proportion non-journal articles may be perceived as

214   an effective way to achieve such goal.

215

216   **Concluding remarks**

217   While we are writing, identifying and citing the most relevant articles that provide the

218   scientific foundation for our research questions is not trivial. Time is against us: most

219   researchers are overloaded by academic duties and have busy schedules, preventing to

220   read classic papers and keep up with the latest advances in the main and nearby fields of

221   research. Memory failures, perhaps increased by the haste of finishing the manuscript in

222   time, do not help either. Accordingly, reference lists are almost inevitably characterized by

223   faulty citations, including incorrect references, quotation errors, and omitted relevant

224   papers [16]. In a more cynical reasoning, May [12] even argued that omissions of relevant

225   papers might be due to the simple fact that *"[…] the author selects citations to serve his*

226   *scientific, political, and personal goals and not to describe his intellectual ancestry*."

227   But once we accept that making the perfect reference list is not possible, three

228   heuristic rules will help us getting close to it:

229   1) Size matters. Not only in terms of reference list, but also in the number of

230   characters [27,28]. Investing extra resources into reading others research it improves

231   the scientific basis of the study while building argumentation links with relevant

232   manuscripts, making the paper more visible and useful to peers.

233 2) Hotness. During the last twenty years we have seen the advent of the Internet and

234   changes in the way information is found, read, and spread. Keep track of impactful

235   latest research, even exploiting novel tools such as social media [29] and blogs [30], is a

236   crucial premise to produce highly citable science.

237 3) Narcisism. Not only self-citations directly increase the citations of past work, but

238   they have been shown to improve chances of being cited by others [10]. Furthermore,

239   the probability of self-citation increases with professional maturity in a given field of

240   study, showing that that is a direct consequence of the cumulative nature of

241   individual research [23].

242

243 **Methods**

244 *Criteria for articles inclusion*

245 We extracted from WoS all primary research articles published in the ecological journals

246 between 1997 and to 2017 (Table 2). The year 1997 was chosen because approximately

247 around this date the use of impact factor (IF) started to grow exponentially [19]. We selected

248 only those ecological journals covering more than 75% of the 20-years period considered,

249 thus allowing to explore temporal trends with confidence. For example, *Nature Ecology*

250 *and Evolution* (2016–ongoing) was excluded as it covered only 10% of this temporal

251 interval. We selected exclusively primary research articles because review and opinion

252 papers, methodological papers, corrections, and editorials may have atypical reference

253 lists.

254   We generated seven descriptors of reference lists properties, and used these as

255 variables in subsequent analyses. A description of each variable and the rationale for its

256 construction are in Table 1. Note that most of the reference list descriptors are expressed

257   as proportions, in order to normalize variables to the number of papers cited in the

258   reference list [31].

259   **Table 2.** Journal selected for the analysis.

Journal name	Initial year	Temporal span selected	Totale N° of articles	N° of primary research articles
Acta Oecologica	1983	1997–2017	1,571	1,408
American Naturalist	1867	1997–2017	3,417	2,852
Austral Ecology	2000	2000–2017	1,659	1,434
Ecography	1978	1997–2017	2,051	1,743
Ecological Applications	1991	1997–2017	3,641	3,051
Ecology	1920	1997–2017	6,584	5,505
Ecology Letters	1998	1998–2017	2,636	2,098
Functional Ecology	1987	1997–2017	2,889	2,326
Global Change Biology	1995	1997–2017	4,573	3,937
Global Ecology and Biogeography	1993	1997–2017	1,570	1,377
Journal of Animal Ecology	1932	1997–2017	2,639	2,250
Journal of Applied Ecology	1964	1997–2017	2,993	2,407
Journal of Biogeography	1974	1997–2017	3,541	2,852
Journal of Ecology	1913	1997–2017	2,603	2,170
Molecular Ecology	1992	1997–2017	7,853	6,209
Oecologia	1968	1997–2017	6,417	5,446
Oikos	1949	1997–2017	4,687	3,812

260

261

262 *Relationship between citations and reference list characteristics*

263 We conducted all analyses in R [32]. To test our first working hypotheses, we conducted

264 regression-type analyses following the general protocol by Zuur & Ieno [33]. We initially

265 explored our dataset following a standard protocol for data exploration [34], whereby we: i)

266 checked for outliers in the dependent and independent variables; ii) explored the

267 homogeneity of variables distribution; and iii) explored collinearity among covariates based

268 on pairwise Pearson correlations—threshold for collinearity set at $|r| > 0.7$ [35].

269 As a result of data exploration, we removed three outliers from articles citations,

270 corresponding to three papers cited over 6,500 times in WoS. We homogenized the

271 distribution of our explanatory variables by log-transforming reference list size and

272 temporal span, and square-root arcsin transforming all proportional variables. We also

273 observed that over 40% of the articles in our dataset were never cited (Fig. 1a), but since

274 these represent "true zeros" [36] we didn't apply zero-inflated models to infer citation patterns

275 over time [37]. No collinearity was detected among the seven explanatory variables—all $|r| <$

276 0.7.

277 We used a Poisson generalized additive model (GAM) to predict the expected

278 pattern of citations over article age, and expressed the number of citations as the Pearson

279 residuals from the curve (Fig. 1a). To test which reference list features correlate with

280 residuals in number of citations, we generated a linear mixed effects model (LMM) by

281 including journal identity and publication year as random terms to account for data non-

282 independence. We fitted LMM with the R package "nlme" [38], and validated models using

283 residuals and fitted values [33].

284

285 *Change in reference list characteristics over time*

286   We used LMMs to predict annual variations in reference list characteristics over time,

287   including journal identity as a random factor. Seven LMMs were constructed, one for each

288   variable described in Table 1. In these case, as the seven variables were included as

289   dependent variables, we didn't log- and square-root arcsin transformed variables.

290

291   **Conflict of interest statement**

292   The authors declare no competing financial interests.

293

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296

297   **Author contributions**

298   SM conceived the study. SM and FC designed methodology. FC mined data from WoS.

299   SM and FC developed code for data processing. SM performed the analyses, with

300   suggestions by FC, DF, and AM. SM wrote the first draft. All authors contributed to the

301   writing of the manuscript through comments and additions.

302

303   **Data availability.** All data used to generate this study can be freely downloaded from Web

304   Of Science. The cleaned database and R script to generate the analysis will be deposited

305   in a public repository upon acceptance of the peer-review version of this paper.

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