

1 Anaerobic metabolism of Foraminifera thriving below the seafloor

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15 **Abstract:** Foraminifera are single-celled eukaryotes (protists) of large ecological importance, as well as
16 environmental and paleoenvironmental indicators and biostratigraphic tools. In addition, they are capable
17 of surviving in anoxic marine environments where they represent a major component of the benthic
18 community. However, the cellular adaptations of Foraminifera to the anoxic environment remain poorly
19 constrained. We sampled an oxic-anoxic transition zone in marine sediments from the Namibian shelf,
20 where the genera *Bolivina* and *Stainforthia* dominated the Foraminifera community, and use
21 metatranscriptomics to characterize Foraminifera metabolism across the different geochemical
22 conditions. The relative abundance of Foraminifera gene expression in anoxic sediment depths increased
23 an order of magnitude, which was confirmed in a ten-day incubation experiment where the development of
24 anoxia coincided with a 27-fold increase in the relative abundance of Foraminifera protein encoding
25 transcripts. This indicates that many Foraminifera were not only surviving, but thriving under the anoxic
26 conditions. The anaerobic energy metabolism of these active Foraminifera was characterized by
27 fermentation of sugars and amino acids, dissimilatory nitrate reduction, fumarate reduction, and
28 dephosphorylation of creatine phosphate. This was co-expressed alongside genes involved in production of
29 reticulopodia, phagocytosis, calcification, and clathrin-mediated-endocytosis (CME). Thus, Foraminifera
30 may use CME under anoxic conditions to utilize dissolved organic matter as a carbon and energy source,
31 in addition to ingestion of prey cells via phagocytosis. These mechanisms help explain how some
32 Foraminifera can thrive under anoxia, which would help to explain their ecological success documented in
33 the fossil record since the Cambrian period more than 500 million years ago.

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35

36 **Introduction:** Foraminifera are one of the most ubiquitous free-living marine eukaryotes on Earth and
37 have been documented in the fossil record since the Cambrian period (1), surviving all mass extinction
38 events involving extensive ocean anoxia (2). Benthic foraminifera inhabit marine sediments (3), where
39 they can represent up to 50% of the sediment biomass in shallow depths of the seabed (4) and play a
40 significant role in the benthic carbon and nitrogen cycles (5). Foraminifera are known to be resistant to
41 oxygen depletion and may persist in the benthic community even under the development of anoxic and
42 sulfidic conditions (6-8). A key to their survival in the absence of oxygen is their ability to perform
43 complete denitrification (9), which appears to be a shared trait among many clades that likely evolved
44 early in the evolutionary history of the group (10). A better understanding of anaerobic metabolism in
45 Foraminifera under anoxic conditions could illuminate their ecological role in the benthos (11) and
46 explain the ecological success of Foraminifera throughout the Phanerozoic, across multiple mass
47 extinction events and associated widespread ocean anoxia (2).

48 To this end, we applied metatranscriptomics to study the active gene expression of anaerobic
49 benthic Foraminifera in anoxic Namibian shelf sediments, and reconstruct their active biochemical
50 pathways in situ. Our transcriptomic analysis showed the anaerobic pathways of ATP production, and
51 revealed the biosynthetic processes that consume ATP. Our data indicate that Foraminifera are not only
52 surviving under anoxic conditions, but that their activity is stimulated by anoxia. Analysis further shows
53 the anaerobic mechanisms of ATP production which benthic Foraminifera employ to produce sufficient
54 energy to power a multitude of energetically expensive cellular processes in the absence of oxygen.
55 Transcriptional activity could be stimulated by the development of anoxic conditions during a ten day
56 incubation indicating that many benthic Foraminifera are not only surviving, but appear to thrive under
57 anoxic conditions.

58

59 **Results:** A total of 14 sediment depth horizons were analyzed from a 28 cm long sediment core
60 sectioned every 2 cm, which was retrieved from 125 m water depth on the continental shelf off Namibia
61 (12). The core was sampled (sliced) immediately and stored at -20 C within 30 minutes after collection
62 for metatranscriptomics and quantitative community composition estimates via microscopy. The pore
63 water chemical analysis indicated that nitrate and nitrite were consumed quickly at the sediment surface
64 followed by an increased accumulation of ammonium and sulfide with depth (Fig 1). Intact Foraminifera
65 cells containing cytoplasm observed with light microscopy decreased in abundance with increasing depth,
66 but were still present in the deepest part of the core indicating that these Foraminifera cells were living
67 under anoxic conditions (Fig. 1). However, burrowing polychaete worms were observed throughout the
68 core indicating the potential for downward vertical transport of oxidized porewater (e.g., containing O₂,

69 NO_3^-) via bioirrigation processes. Throughout the entire core sequence, 95% of the Foraminifera
70 community at all depths was represented by the genera *Bolivina* and *Stainforthia*. We observed a bimodal
71 distribution of the foraminifera absolute abundance with the maximum density at the oxic-anoxic
72 transition at the surface layer of with ~ 260 benthic foraminifera individuals per gram of sediment,
73 followed by a steep decrease until 12-14 centimeters below sea floor (cmbsf) with 30 individuals per
74 gram of sediment followed by an increase to 80 individuals per gram of sediment at 20-22 cmbsf,
75 coinciding with nitrate-sulfide transition zone (Fig 1).

76 Metatranscriptomes were sequenced to a depth of on average 6 (+/- 5) million reads per sample
77 (Table S1). Analyses of the metatranscriptomes showed that the Foraminifera increased their gene
78 expression significantly under anoxic conditions, and they exhibited levels of gene expression far greater
79 than all other groups of protists identified in the transcriptomes (Fig 2). The absolute level of gene
80 expression by the Foraminifera increased with depth, because the total number of unique expressed
81 protein encoding open reading frames (ORFs) assigned to Foraminifera increased (Fig 2b). An higher
82 number of absolute unique ORFs expressed by Foraminifera cannot be explained by a reduction in gene
83 expression from other groups. Clearly, some of the Foraminifera that were observed with intact cytoplasm
84 in the deeper part of the core (Fig 1) increase their gene expression under anoxic conditions (Fig 2b, c).

85 Phylogenetic analyses of two Foraminifera 18S rDNA sequences recovered from the
86 metatranscriptomes had closest affiliation to previously reported *Stainforthia* and *Bolivina* 18S rDNA
87 sequences, also recovered from anoxic Namibian sediments (Fig. 3). *Stainforthia* and *Bolivina* tests
88 containing cytoplasm were also observed in the core, their relative abundance gradually increased with
89 depth, and *Bolivina* was the most abundant genus observed (Fig 1). Successful detection of its expressed
90 18S rRNA confirms that our metatranscriptomic approach captured the activity of this numerically
91 dominant group. This is also reflected by the read mapping statistics (Figure S2), which support the ratios
92 observed based on counts of cytoplasm containing tests with the *Bolivina* sp. 18S rRNA fragment showing
93 a maximum read coverage of 312x and an average coverage of 125x. In contrast, the 18S rRNA from the
94 comparatively less abundant cytoplasm containing tests from *Stainforthia* sp. (Fig 1) had lower maximum
95 and mean coverages 135x and 34x, respectively. In contrast to 18S rRNA sequences, metatranscriptomic
96 ORFs had the highest similarity to previously sequenced genomes and transcriptomes of *Ammonia*,
97 *Elphidium*, *Rosalina*, and *Globobulimina* cells (Fig S1), the very few previously sequenced transcriptomes
98 derived from Foraminifera (10, 13, 14). We could not find publicly available genome or transcriptome data
99 from *Stainforthia* or *Bolivina* to include in our database for annotating the metatranscriptome data. Thus
100 given that we could only detect 18S rRNA from *Stainforthia* and *Bolivina* (Fig 3) in the metatranscriptomes
101 (and none from *Ammonia*, *Elphidium*, *Rosalina*, and *Globobulimina*), we assume that most of the ORFs
102 with highest similarity to Foraminifera are likely derived from the numerically dominant *Stainforthia*

103 and *Bolivina* cells observed in the core (Fig 1), but have top hits to other Foraminifera (e.g., *Ammonia*,
104 *Elphidium*, *Rosalina*, and *Globobulimina*: Fig S1) since *Stainforthia* and *Bolivina* transcriptomes are
105 missing in our database. We then proceeded to analyze these Foraminifera-derived ORFs in the
106 metatranscriptomes to gain insights into possibly anaerobic biochemical pathways and physiologies, after
107 annotating all of the Foraminifera-derived ORFs against the clusters of Eukaryotic Orthologous Genes
108 (KOGs) database (15).

109 Expression of foraminiferal KOGs showed that at all depths the transcriptional activity was
110 dominated by genes involved in cell cycle and cell signaling processes, namely cell cycle control, signal
111 transduction, intracellular trafficking, cytoskeleton, and posttranslational modification (Fig 2). The
112 expression of genes involved in translation and biogenesis was detected only in the deepest, anoxic sample
113 indicating an increase in growth and biosynthesis in Foraminifera cells. There was also a general trend of
114 decreasing energy production and conversion (COG category C) with depth, together with an increasing
115 expression of genes involved in signal transduction under anoxic conditions (Fig 2). The gene expression
116 from Foraminifera was significantly different between the anoxic depth at 28 cmbsf, and the other shallower
117 depths (Fig 4a: ANOSIM, $P < 0.01$).

118 The Foraminifera gene expression data indicate four possible anaerobic mechanisms of ATP
119 production in benthic Foraminifera: [1] substrate level phosphorylation (SLP) of sugars and amino acids
120 via glycolysis and fermentation, [2] dephosphorylation of creatine phosphate via creatine kinase, [3] use of
121 fumarate as a terminal electron acceptor via fumarate-NADH reductase, and [4] dissimilatory reduction of
122 nitrite to generate proton gradient at the membrane for generation of ATP via ATP synthase (Fig 4c). A
123 partial foraminiferal denitrification pathway (10) was expressed including a putative dissimilatory nitrate
124 reductase (Nr), dissimilatory nitrite reductase (16), and nitric oxide reductase (Nos) (Fig 4a). Additionally,
125 genes encoding foraminiferal nitrate transporters (10) (Nrt) were expressed indicating active
126 transmembrane nitrate transport (Fig 4). No homologs to NarK type nitrate/nitrite anitporters that common
127 in denitrifying bacteria (17), were detected in the Formainifera transcriptomes. Apparently, these anaerobic
128 energy production mechanisms produce sufficient ATP in the Foraminifera cells to fuel energetically costly
129 biosynthesis pathways including production of reticulopodia, phagocytosis, and clathrin mediated
130 endocytosis (Fig. 4).

131 The anaerobic energy production mechanisms also produce sufficient ATP in the Foraminifera cells
132 to fuel biomineralization (Fig 4). Of note are the expression of Foraminifera ORFs encoding F-actin
133 proteins, that have been shown experimentally to be involved in the biomineralization of the calcium
134 carbonate test (18). Foraminiferal genes encoding ORFs with similarity to protein diaphanous homolog 1
135 (DIAPH1) were also expressed (Fig 4a), which respond to environmental stimuli and are responsible for
136 actin nucleation and elongation factor required for the assembly of F-actin structures (19). Since F-actin is

137 required for biomineralization and calcification of the Foraminifera test (18), the expression of DIAPH1 is
138 indicative of ongoing calcification in Foraminifera under anoxic conditions. This is consistent with prior
139 experimental evidence that Foraminifera can calcify under anoxia (20).

140 Foraminiferal genes encoding Rho proteins were expressed, that are responsible for the induction
141 of phagocytosis (21, 22). Furthermore, Foraminiferal vacuolar-type H⁺ ATPases were expressed (Fig 4),
142 which are responsible for lysing digested prey cells inside food vacuoles after phagocytosis (23) (Fig
143 4). Foraminifera ORFs were also expressed that encoded microtubules, kinesin, and dynein, the latter two
144 which are responsible for sending and receiving cellular cargo to and from the membrane, respectively (Fig
145 4). The expression of ORFs encoding “unconventional” (non muscle) myosin I, II, and VII (Fig 4) from
146 Foraminifera further indicate active phagocytosis. These nonmuscle myosins accumulate at the
147 “phagocytic synapse” (Fig 4b), the point of contact between the pseudopodia and prey cell, which suggests
148 a role for contractile motors proteins during particle internalization (24). Pseudopod extension and
149 engulfment has been shown experimentally to be mediated by myosin II that is recruited to the phagocytic
150 synapse (25). However, in addition to phagocytosis, myosin motor proteins play an important part in
151 several cytoskeletal processes involving movement such as cell adhesion, cell migration and cell division
152 (26). Thus, it is likely that myosins expressed by the Foraminifera under anoxic conditions play a role in a
153 wide range of cellular processes that require force and translocation, for example their motility through the
154 sediment matrix as they search for prey. Clathrin-encoding genes from Foraminifera were also expressed
155 in two samples (at 28 cmbsf) that are involved in clathrin-mediated-endocytosis (CME), an
156 additional form of endocytosis and involves an invagination of the membrane via clathrin proteins
157 (23). CME results in much smaller vesicles (30-200 nm) compared to those obtained from phagocytosis
158 (500 – 9,000 nm) (23) and are used to ingest signaling molecules and other forms of dissolved organic
159 matter. Collectively, these data highlight the key cellular processes needed for survival under anoxia in
160 benthic Foraminifera.

161 A 10-day incubation of sediment collected from the seafloor, showed that benthic Foraminifera
162 increased their gene expression 27 (+/- 9) fold after the development of anoxic conditions within 20 hrs
163 (Fig. 5). This dramatic increase was observed after oxygen consumption declined steadily over the first 20
164 hours of the incubation, which was consistent between all biological replicates (Fig. 5). After the
165 development of anoxic conditions, Foraminifera gene expression decreased progressively but still remained
166 10 to 20 times higher than the t₀ values up for at least 6 days (Fig 5). After 10 days, the gene expression
167 levels decreased further down to 0.36% (+/- 0.07) of total transcripts, but this was still elevated 2-fold
168 relative to the t₀ values.

169

170 **Discussion:**

171 On the Namibian shelf, Foraminifera live deep below the seafloor down to ca. 30 cmbsf (27), co-
172 existing with sulfate reducing bacteria in an anoxic environment that is extremely high in sulfide (28). The
173 steadily decreasing abundance of Foraminifera cells in the core with anoxic conditions (Fig 1) is consistent
174 with the reduced rate of heterotrophic metabolism in Foraminifera under anoxic conditions (29), and lower
175 levels of ATP in many Foraminifera under anoxia (11). The dominance of *Bolivina* throughout the core
176 and our detection of their 18S rRNA, even into the anoxic depths, is consistent with the known affinity of
177 *Bolivina* for oxygen-depleted habitats (30), including the studied region as it was observed previously in
178 multiple coring locations on the Namibian shelf (27). The “trophic oxygen model” developed by Jorissen
179 et al (31) predicts that the dynamic nature of microhabitats allows Foraminifera to migrate up and down in
180 the sediment with the prevailing redox conditions, which is controlled by the organic matter flux (32,
181 33). Hence, since we sampled during the southern Winter when bottom water oxygen levels in the
182 Namibian OMZ are higher (34, 35), it is possible that the penetration depth of the Foraminifera extends
183 relatively deep because of the higher oxygen concentration at the sediment surface.

184 Although the diversity of Foraminifera is well constrained by morphological studies, the group is
185 not yet well represented in transcriptomic and genomic databases. The recently large transcriptome
186 sequencing effort of microbial eukaryotes helped to alleviate this problem (14), since it included several
187 Foraminifera that we could add to our database. Nevertheless, because of the relatively low number of
188 sequenced genomes and transcriptomes from Foraminifera (compared to bacteria for example), our
189 metatranscriptome approach cannot distinguish between ORFs derived from different Foraminifera
190 species. The ORFs assigned to Foraminifera here thus serves as a “group averaging”, but should correspond
191 to genetically similar populations since the *de novo* assemblies that are used to build the contigs from the
192 RNAseq data are based on genetic similarity (see Methods). Furthermore, our metatranscriptomes
193 contained the complete 18S rRNA sequence (Fig. 3) from the most abundant taxa, i.e., *Bolivina* sp. and
194 *Stainforthia* sp. (Fig S2) and thus we are confident that the ORFs assigned as Foraminifera are derived
195 primarily from these cytoplasm-containing Foraminifera tests that we could enumerate in the core (Fig.
196 1). It is further worth mentioning that despite the presence of two morphologically different *Bolivina* species
197 in the core, we could not find signs for the active expression of the 18S rDNA in the second species. This
198 indicates that most of the identified foraminiferan metatranscriptomic expression likely comes from one of
199 the *Bolivina* species in addition to *Stainforthia* sp. These findings implies that one foraminiferan species
200 can be active under anoxic conditions while a congeneric species might not be (as) active.

201 Foraminifera are predators, and are thought to act primarily as heterotrophs utilizing ingested prey
202 cells as carbon sources for growth (36). Our gene expression analysis provides insights into the
203 mechanisms of prey acquisition, and the metabolic processing of the ingested material. The expression of
204 ORFs encoding Rho proteins by Foraminifera indicate an active induction of phagocytosis, since Rho

205 proteins function in actin dynamics during phagocytosis (21, 22). Myosin motor proteins are recruited to
206 the cell membrane during phagocytosis in order to envelope and capture prey particles (37), and the prey
207 then enter the phagocytosing cell as a food vacuole (23). Food vacuoles are then transported in to the cell
208 via dynein along microtubules, where the contents are digested under acidic conditions via the activity of
209 vacuolar-type H⁺ ATPases (23) (Fig. 4). Such proton pumping ATPases are responsible for lysing digested
210 prey cells inside food vacuoles after phagocytosis, where the acidified lysosomal vesicles are loaded with
211 digestive enzymes (23). The metatranscriptome data indicate that under anoxic conditions, the
212 Foraminifera metabolize the hydrolyzed organics for ATP production via fermentation and fumarate
213 reduction, and dissimilatory nitrite reduction (Fig. 4). Because cells are mostly protein, anaerobic
214 fermentation of ingested prey cells by Foraminifera may include amino acid fermentations. By weight,
215 exponentially growing cells are made of roughly 50-60% protein, 20% RNA, 10% lipids, 3% DNA, 10-
216 20% sugars as cell wall constituents, and some metabolites (38-40). Amino acid fermentations provide
217 roughly one net ATP per amino acid fermented (23).

218 In addition to hydrolyzed organics from ingested prey, the transcriptomes suggest that CME is
219 another mechanism by which Foraminifera could utilize both high- and low-molecular weight dissolved
220 organic matter (dissolved in the pore water of the sediments) under anoxic conditions. Experiments using
221 ¹³C-labeled diatom prey showed that under anoxic conditions the benthic foram *Ammonia tepida* reduced
222 the number of phagocytosed diatom cells, and the ingested cells were apparently not digested inside
223 vacuoles but remained intact after 4 weeks (29). If a decreased utilization of ingested prey for energy
224 production is a general feature of anaerobic Foraminifera, it is possible that organic matter obtained via
225 CME (Fig. 4b, c) becomes a relatively more important carbon source as opposed to ingested prey cells.

226 Eukaryotic fermentations can produce a variety of end products, and our data indicate the
227 possibility for Foraminifera to produce ethanol, acetate, succinate (Fig. 4c). Under conditions of prolonged
228 anaerobiosis, propionate is preferentially formed as opposed to succinate in anaerobic mitochondria,
229 whereby one additional ATP and one CO₂ are formed from D-methylmalonyl-CoA via propionyl-CoA
230 carboxylase (41, 42). We detected expression of a Foraminifera ORF with similarity to propionyl-CoA
231 carboxylase at 28 cmbsf (data not shown) indicating that prolonged anoxic conditions stimulate production
232 of propionate in Foraminifera mitochondria.

233 A key intermediate in the anaerobic energy metabolism of most eukaryotes is malate (41,
234 42). During anaerobic respiration in many eukaryotes malate is converted to fumarate via the enzyme
235 fumarase running in reverse, and the resulting fumarate then can be used as the terminal electron acceptor
236 (41, 42). This fumarate reduction is coupled to an anaerobic electron transport chain in which electrons are
237 transferred from NADH to fumarate via a specialized complex I and a mitochondrial membrane associated
238 fumarate reductase (41, 42). This physiology is typical of anaerobic mitochondria, that exist in the

239 Foraminifera species *Valvulineria* and *Gromia*, and are widely distributed amongst eukaryotes including
240 Bivalvia, Polychaeta, Platyhelminthes, Nematoda, Euglenida, and Ciliophora (41).

241 The metatranscriptomes furthermore indicated that under anoxic conditions, Foraminifera utilize
242 creatine kinase and phosphocreatine to maintain cellular energy homeostasis (Fig. 4c). In eukaryotic cells,
243 creatine kinase acts as a mechanism for maintaining balance between ATP consuming and producing
244 processes (43). Our data indicate that this also occurs in anaerobic Foraminifera. In human cells, creatine
245 kinase acts as an ATP regenerator, and the phosphocreatine pool is used as a temporal energy buffer to
246 maintain ATP/ADP ratios inside the cell (43). By acting as an energy shuttle between ATP providing and
247 consuming processes, phosphocreatine might help facilitate more energetically costly cellular activities
248 under anoxic conditions for the Foraminifera, such as phagocytosis, by maintaining the spatial “energy
249 circuit” (44). For example, creatine kinase contributes to the build-up of a large intracellular pool of
250 phosphocreatine that represents an efficient temporal energy buffer and prevents a rapid fall in global ATP
251 concentrations (43). This likely helps to couple the energy producing and energy consuming processes
252 inside of Foraminifera cells during anaerobic metabolism.

253 Biogeochemical studies indicate that foraminiferans are capable of performing denitrification, that
254 is, the conversion of NO_3^- to N_2 (9). The enzymes behind the foraminiferal denitrification pathway in the
255 genus *Globobulimina* appear to be acquired relatively early in Foraminifera evolution (10), and it was
256 indicated that the foraminifera themselves, not associated prokaryotes, are performing the denitrification
257 reaction (45). The sequestration of nitrate by Foraminifera is highly suggestive that the protists themselves,
258 and not associated symbionts, are performing nitrate respiration (45).

259 Consistent with this prior evidence, we found the genes of the denitrification pathway in
260 Foraminifera to be expressed (Fig. 4). One of these genes was shown to be a putative assimilatory nitrate
261 reductase (Nr), but it may however function as a sulfite oxidase or dissimilatory nitrate reductase
262 (10). Evidence for the potential dissimilatory nitrate reduction comes from this enzyme being shown to
263 catalyze denitrification in the fungus *Cylindrocarpon tonkinense* under specific conditions (46). As
264 described previously, we interpret the Nr genes to be involved in dissimilatory nitrate reduction with caution
265 and refer to them as “putative nitrate reductases” since it is possible that the Nr genes function solely for
266 nitrate assimilation in Foraminifera (10). In any case, our data show that these Nr genes are transcribed
267 during anaerobic metabolism in benthic Foraminifera.

268 The expression of nitrate transporters (Nrt) from Foraminifera at 28 cmbsf (Fig. 4a) seems
269 contradictory to the geochemical conditions, since nitrate and nitrite were both below detection at this depth
270 in the core (Fig 1). However, this can be explained by the fact that many benthic Foraminifera can store
271 nitrate in vacuoles under anoxic conditions and use the stored nitrate and nitrite as terminal electron
272 acceptors for anaerobic respiration (9, 45, 47). Thus, the expression of the nitrate transporter genes seen

273 here could be responsible for transporting nitrate out of the vacuole (and regulating the cytosolic
274 concentration of nitrate), and into the mitochondrion, as has been proposed previously for denitrifying
275 Foraminifera based on genome data (10). The expression of the NirK and Nor genes indicate that the
276 Foraminifera were actively performing two key steps of denitrification – nitrite and nitric oxide reduction
277 (Fig 4c). Some *Bolivina* and *Stainforthia* species lack a nitrous oxide reductase and reduce nitrate only
278 to N₂O (45, 48), and we did not detect any expression of NosZ indicating that the denitrifying *Bolivina* and
279 *Stainforthia* species in our samples were also likely reducing nitrite to nitric oxide, that is then reduced to
280 N₂O via Nor (Fig 4c). The lack of expression of the NosZ gene raises the possibility that the denitrifying
281 Foraminifera in Namibian sediments are a source of N₂O, an important greenhouse gas (49, 50). This might
282 be a common feature of denitrifying eukaryotes in the benthos, since denitrifying Fungi in marine sediments
283 also do not contain a nitrous oxide reductase and are an important source of N₂O (51).

284 The large increase in Foraminifera gene expression upon the onset of anoxic conditions in the
285 incubation (Fig 5) provides experimental support for the observation of increasing Foraminifera gene
286 expression with increasing depths and sulfidic conditions in the core (Fig 2). Thus, the transcriptional
287 activity of many benthic Foraminifera is indeed stimulated by anoxic conditions, which is consistent with
288 experiments that showed benthic Foraminifera can survive for at least 80 days under anoxic conditions with
289 H₂S (8, 47). The peak stimulation of Foraminifera gene expression after 18 hrs at the onset of anoxic
290 conditions might indicate the utilization of nitrate and or nitrite by anaerobic denitrifying foraminifera, once
291 the oxygen had been consumed to below detection values. This indicates that the *Bolivina* and *Stainforthia*
292 species in the Namibian sediments are anaerobes that prefer anoxic conditions, as this clearly stimulated
293 their activity compared to aerobic conditions.

294

295 **Conclusions.** The increased gene expression by Foraminifera under sulfidic conditions shows for the first
296 time that some foraminifera apparently not only survive, but are thriving, under anoxic conditions in the
297 seafloor. Looking at the data, it becomes evident that the anaerobic energy metabolism of these
298 Foraminifera is sufficient to support phagocytosis, clathrin-mediated-endocytosis, and biocalcification
299 under anoxia. The data also confirm that clades of *Stainforthia* and *Bolivina* utilize pathway for
300 denitrification and identified four pathways of ATP generation including [1] substrate level phosphorylation
301 and fermentation, [2] fumarate reduction, [3] dissimilatory nitrate reduction, and [4] dephosphorylation of
302 creatine-phosphate. This all indicates that anoxic sediments are a primary habitat of some benthic
303 Foraminifera where they are capable to perform all necessary cellular functions. This anaerobic metabolism
304 is consistent with the evidence for the emergence of Rhizaria in the Precambrian where widespread oxygen
305 depletion was present (52). This aided the survival of benthic Foraminifera over multiple mass extinctions

306 over the last 500 million years associated with oxygen depletion, thus enabling the utility of their preserved
307 tests as important proxies for paleoclimate and paleoceanography.

308

309 **Methods:**

310

311 *Sampling:* A 30 cm long sediment core was obtained from a water depth of 125 m the Namibian continental
312 shelf (18.0 S, 11.3 E) during *F/S Meteor Expedition M148-2 ‘EreBUS’* on July 10th, 2018. In brief, the
313 core was acquired with a multi corer (diameter 10 cm), which yielded an intact sediment/water interface
314 and the upper 30 cm of sediment. After retrieval, cores were moved immediately to a 4 °C cold room and
315 sliced every 2 cm within 24 hours. Sections were transferred immediately into sterile, DNA/RNA free 50
316 mL falcon tubes and then frozen immediately at -20 °C until DNA and RNA extractions. Pore water
317 geochemistry measurements were performed acquired from the same core, methodology and data have
318 been published elsewhere (12) and the results are reported in this publication in the Figure 1B.

319

320 *Cell counting and enumeration:* Between 1 and 4 grams of deep-frozen sediment from 9
321 sediment depths were thawed and washed over a 63 micron mesh. The residue was immediately
322 wet-sorted and tests of cytoplasm containing Foraminifera were separated, identified to a genus
323 level following Altenbach and Leiter (2010) and enumerated. Representative specimens were
324 photographed using a KEYENCE VHX-6000.

325

326 *RNA extraction:* RNA was extracted as previously described (12). In brief, RNA was extracted from 0.5
327 g of sediment using the FastRNA Pro Soil-Direct Kit (MP Biomedicals) following the manufacturer’s
328 instructions with final elution of templates in 40 µL PCR water (Roche) as described previously (12) with
329 some modifications to maximize RNA yield and reduce DNA contamination. The first modification was
330 that, after the supernatant was removed after first homogenization step, a second homogenization was
331 performed with an additional 500 µL RNA Lysing Buffer. The tubes were centrifuged once again for 5
332 minutes at maximum speed, and the supernatant from the second homogenization was combined with that
333 resulting from the first homogenization, continuing with the protocol from the manufacturer. Second, we
334 added glycogen at a concentration of 1 µg/mL during the 30-minute isopropanol precipitation in order to
335 maximize recovery of the RNA pellet. To reduce DNA contamination, we extracted all RNA samples in a
336 HEPA-filtered laminar flow hood dedicated only for RNA work (no DNA allowed inside) that also
337 contains dedicated RNA pipettors used exclusively inside the hood with RNA samples. All surfaces were

338 treated with RNase-Zap prior to extractions and exposed to UV light for 30 minutes before and after each
339 extraction.

340

341 *Metatranscriptomics:* Metatranscriptomes were prepared as previously described (12). In brief,
342 DNase treatment, synthesis of complementary DNA and library construction were obtained from 10 µL of
343 RNA templates by processing the Trio RNA-Seq kit protocol (NuGEN Technologies). Libraries were
344 quantified on an Agilent 2100 Bioanalyzer System, using the High Sensitivity DNA reagents and DNA
345 chips (Agilent Genomics). The libraries constructed using specific (different) barcodes, pooled at 1 nM,
346 and sequenced in two separate sequencing runs with a paired-end 300 mid output kit on the Illumina
347 MiniSeq. A total of 40 million sequences were obtained after Illumina sequencing, which could be
348 assembled *de novo* into 41,230 contigs. Quality control, *de novo* assembly, and ORFs searches were
349 performed as described previously (12), with the additional step of using the eukaryotic code for translations
350 and ORF predictions.

351

352 *Gene identification:* A total of 8,556 ORFs were found that were then searched for similarity using
353 BLASTp against a database (12) containing predicted proteins from all protist, fungal, bacterial, and
354 archaeal genomes and MAGs in the JGI and NCBI databases using DIAMOND (53). This database also
355 contained all ORFs from the >700 transcriptomes of microbial eukaryotes from the MMETS project (14)
356 and the recently published foraminiferal genome and transcriptome containing the novel denitrification
357 pathway (10). Cutoff for assigning hits to specific taxa were a minimum bit score of 50, minimum amino
358 acid similarity of 30, and an alignment length of 50 residues. Extraction blanks were also sequenced
359 alongside the environmental samples to identify contamination, and ORFs from contaminant taxa. We
360 assigned ORFs as being derived from Foraminifera if they had a significant similarity above this threshold
361 to a predicted protein from a previously sequenced Foraminifera transcriptome or genome. Because our
362 database contains predicted proteins from >700 transcriptomes of other microbial eukaryotes, we are
363 confident that this level of stringency is sufficient to make a broad level of taxonomic assignment of ORFs
364 from the metatranscriptomes to Foraminifera in general (as opposed to being actually derived from other
365 protist groups).

366 ORFs assigned as Foraminifera were then additionally annotated against the Cluster of Eukaryotic
367 Orthologous Genes (KOG) database (15), using DIAMOND with the same parameters as above. The lack
368 of metatranscriptomic ORFs having highest similarity to *Bolivina* and *Stainforthia* (Fig S1) is easily
369 explained by the lack of transcriptome data from the species in public databases. Nevertheless, because we
370 cannot be sure from which species each of our metatranscriptome ORF derives, we annotated all of the

371 ORFs having highest similarity to a previously sequenced Foraminifera transcriptome or genome, as being
372 derived from Foraminifera.

373 Contamination in the metatranscriptomes were primarily diatoms (“lab weeds”), cyanobacteria,
374 *Streptococcus*, *Acinetobacter*, *Staphylococcus*, *Rhizobium*, *Ralstonia*, and *Burkholderia*. All ORFs that
375 were shared between contaminant samples and the metatranscriptomes were removed prior to
376 analysis. Incorporation of protist transcriptomes⁴⁹ greatly reduced the amount of laboratory contamination
377 from eukaryotic algae such as diatoms (“lab weeds”) introduced during the library prep. All
378 metatranscriptomes had <10% ORFs from contaminating taxa.

379

380 *Incubation experiment:* Immediately after core retrieval and freezing of the core top samples, 2 g aliquots
381 of sediment from the core top was added to four 20 mL sterile glass vials (for t₁, t₂, t₃, t₄ timepoints)
382 containing sterile oxygen sensor spots (PreSens Precision Sensing). Oxygen was measured non-invasively
383 using the Fibox (PreSens Precision Sensing) as described previously (54). The sediment was overlaid with
384 ca. 18 mL of the natural hypoxic bottom water collected in the multicore leaving no air in the headspace,
385 and crimp sealed with grey rubber butyl stoppers. The flasks were incubated on the side and oxygen sensor
386 spots were positioned at the top (to measure oxygen in the overlying seawater) and bottom (to measure
387 oxygen at the base of the sediment) of the flask (see Fig 5 for a photo of the setup). The flasks were
388 incubated in the dark at 10 °C and taped to the surface of the bench to prevent rolling and mixing of the
389 tube. Each of the four flasks for the timepoints were frozen separately at the respective timepoints t₁ (18
390 hrs), t₂ (3 days), t₃ (7 days), and t₄ (10 days) immediately at -20 °C. Because the incubation was set up
391 immediately after core retrieval and freezing the core top samples, the frozen core top samples served as
392 the t₀ samples for the start of the incubation. RNA extractions, metatranscriptomes, and bioinformatic
393 processing was performed as described above.

394

395 *Phylogenetics:* To identify the likely active foraminifera taxa in the sediments, we searched for
396 foraminiferan 18S rDNA OTUs present within the metatranscriptomes. We performed BLASTn searches
397 (Discontiguous Megablast, e-value 1E-10). As query we used a small custom made database of complete
398 foraminifera sequences based on Pawłowski *et al.* (55) and Holzmann and Pawłowski (56). The resulting
399 OTUs were reciprocally blasted against NCBI’s nr database (Discontiguous Megablast, e-value 1E-10).
400 The two OTUs with highest similarity to Foraminifera 18S rDNA were further used for sequence
401 extensions using a greedy approach. For this, 10bp on both ends were trimmed from the putative
402 foraminiferan 18S rRNA OTUs to remove possible erroneous bases due to dropping read quality towards
403 the ends of reads. We only extended the OTU fragment matching the last 1000bp of the foraminiferan
404 18S rRNA sequences since this is a commonly used foraminifera barcoding region and allows the

405 comparison with a wide diversity of previously barcoded foraminiferan taxa (57). We performed 20
406 iterations of greedy extension in GENEIOUS Prime 2019 (58) by mapping trimmed metatranscriptomics
407 reads (trimmed with TRIMMOMATIC v.0.38 (Bolger, 2014 #5074) and default options) to the end-
408 trimmed 18S rDNA OTUs. This extended 5' and 3' ends of the 18S rRNA OTUs. Both sequences were
409 manually error corrected based on the mapped reads. We carefully and manually proved that read pairs
410 spanned regions of high sequence similarity with other foraminiferans, i.e. highly conserved stem regions
411 of the 18S rRNA. This approach allowed us to unambiguously extend both OTUs to yield the full 18S
412 rRNA barcoding region. These sequences were blasted against the NCBI nr database and showed strong
413 sequence similarity to the benthic foraminifera genera *Stainforthia* and *Bolivina*. In order to confirm their
414 taxonomic affiliation and to refine their placement, we established two separate alignment that included
415 30 sequences of the genus *Bolivina* (59) on the one hand, and on the other hand 30 sequences of sister
416 genus *Stainforthia* (56). The two separate sequence sets were automatically aligned with MAFFT v.7 (60)
417 and a phylogenetic inference was calculated with 1000 non-parametric bootstrapping pseudo replicates
418 based on a BioNJ starting tree using PhyML (61). The best substitution models were automatically
419 selected using the Smart Model Selection (62) under Akaike Information Criterion and the model
420 GTR+I+G was selected for the *Bolivina* alignment and the model TN93+G+I was selected for the
421 *Stainforthia* alignment. Both trees were visualized using iTOL and are provided in Figure 3.
422

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435

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437 G. F. produced data. W.D.O., R.M., A.V., M.E., G.W., and T. G. F. analyzed data. All authors participated
438 in editing the manuscript and interpreting the results.

439

440 **Competing interests.** The authors declare no competing financial interests.

441

442 **Additional information.** Supplementary Information includes supplemental figures Fig S1 and S2 and
443 Table S1. All sequence data is publicly accessible in NCBI through BioProject number PRJNA525353.

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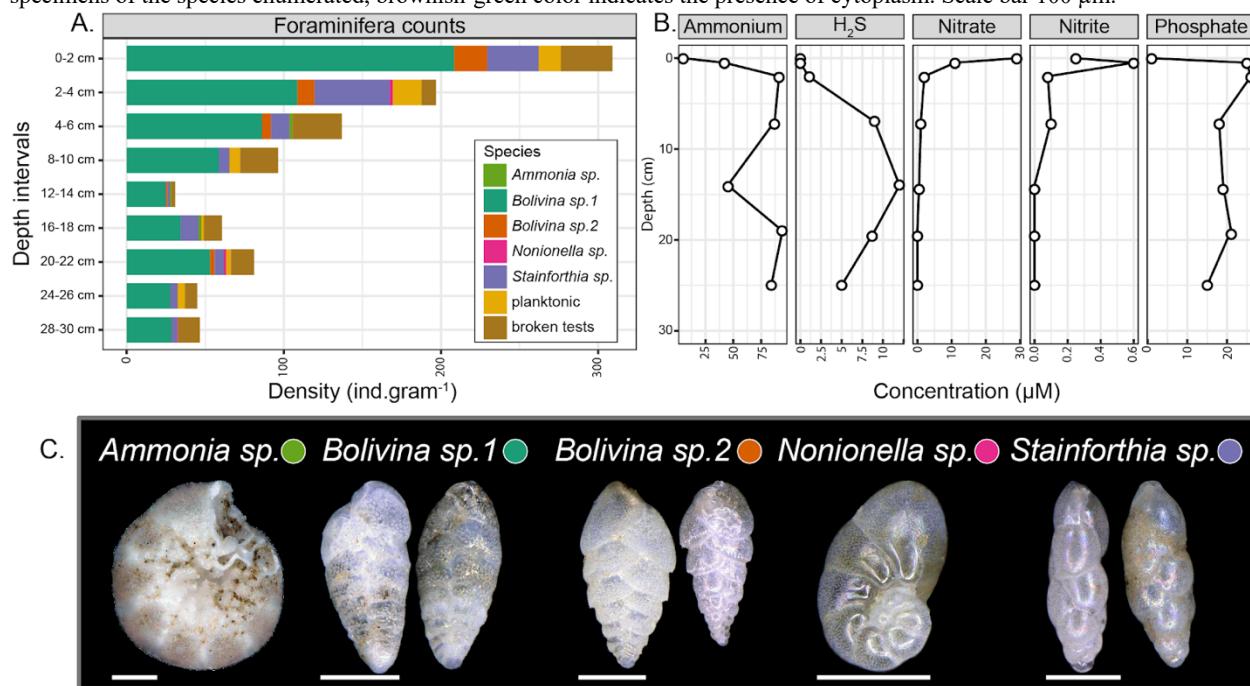
445 **Correspondence and requests for materials** should be addressed to W.D.O.

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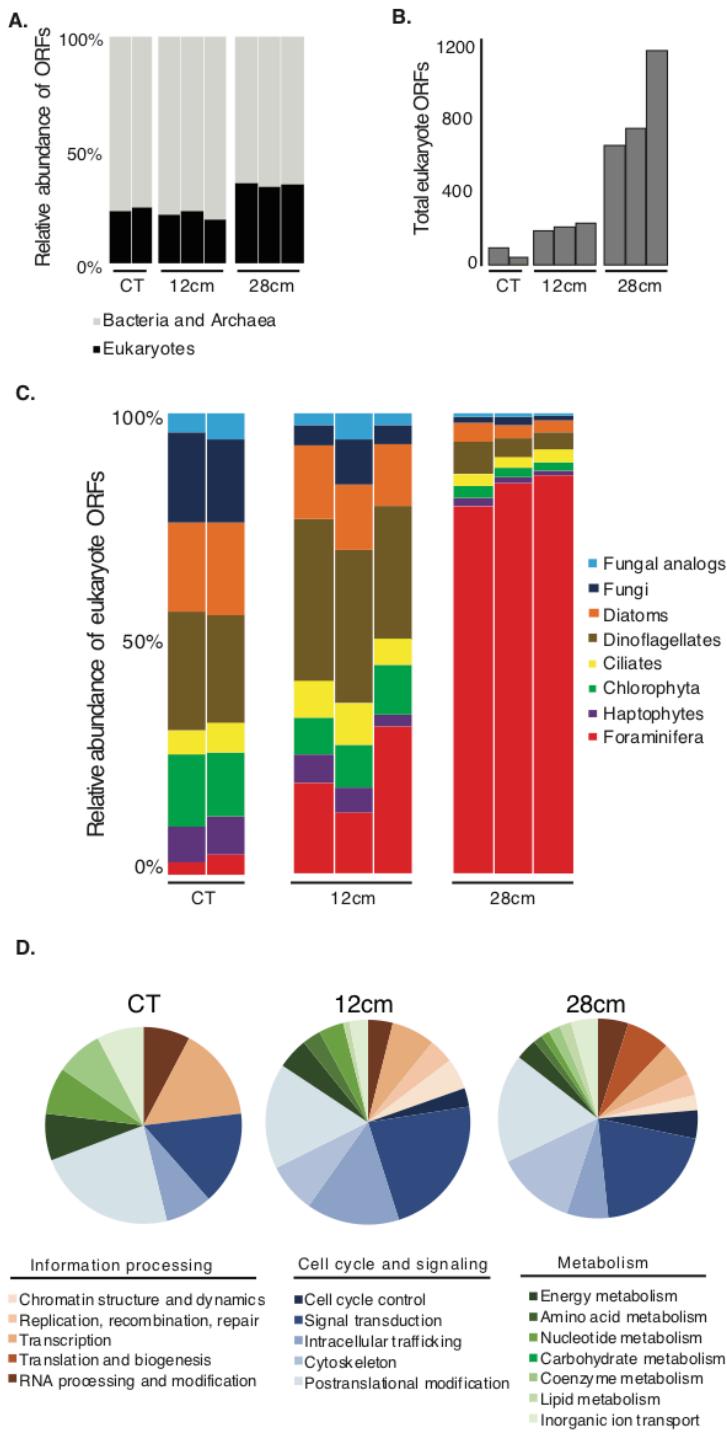
447 Figures

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449 **Figure 1. Census count of cytoplasm-containing foraminifera tests and corresponding geochemical profiles in anoxic**
450 **Namibian sediment.** (A) Density of the foraminifera species in the nine intervals processed compared against (B) the changing
451 **redox profile of in sediment pore water, note the accumulation of hydrogen sulphide with depth below 6 cm. (C) Representative**
452 **specimens of the species enumerated, brownish-green color indicates the presence of cytoplasm. Scale bar 100 µm.**



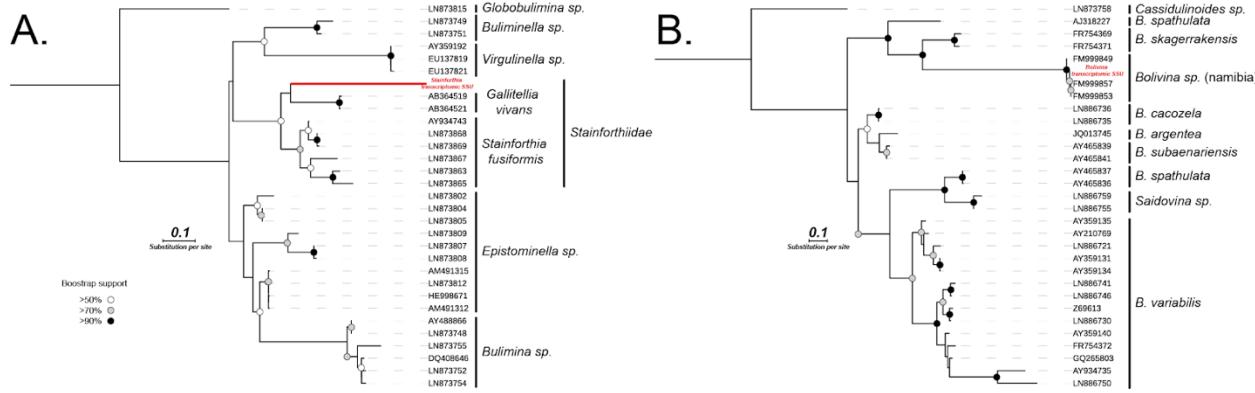
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Figure 2. Foraminifera exhibit high levels of gene expression under anoxia. (A) The relative abundance of total expressed ORFs per sample that were assigned to prokaryotes (Bacteria and Archaea) and eukaryotes (including Foraminifera). Multiple histograms per depth represent biological replicates. (B) The total number of ORFs that were assigned to eukaryotes per sample. Multiple histograms per depth represent biological replicates. (C) The relative abundance of expressed ORFs from different protist Phyla (from panel B), note the dominance of Foraminifera gene expression in the deepest, most anoxic sample at 28 cm. (D) The relative abundance of functional eukaryotic gene (KOG) families in the three sediment zones that were assigned to expressed Foraminifera ORFs. Pie charts represent average values from the biological replicates shown in panels A-C. CT: core top sample.

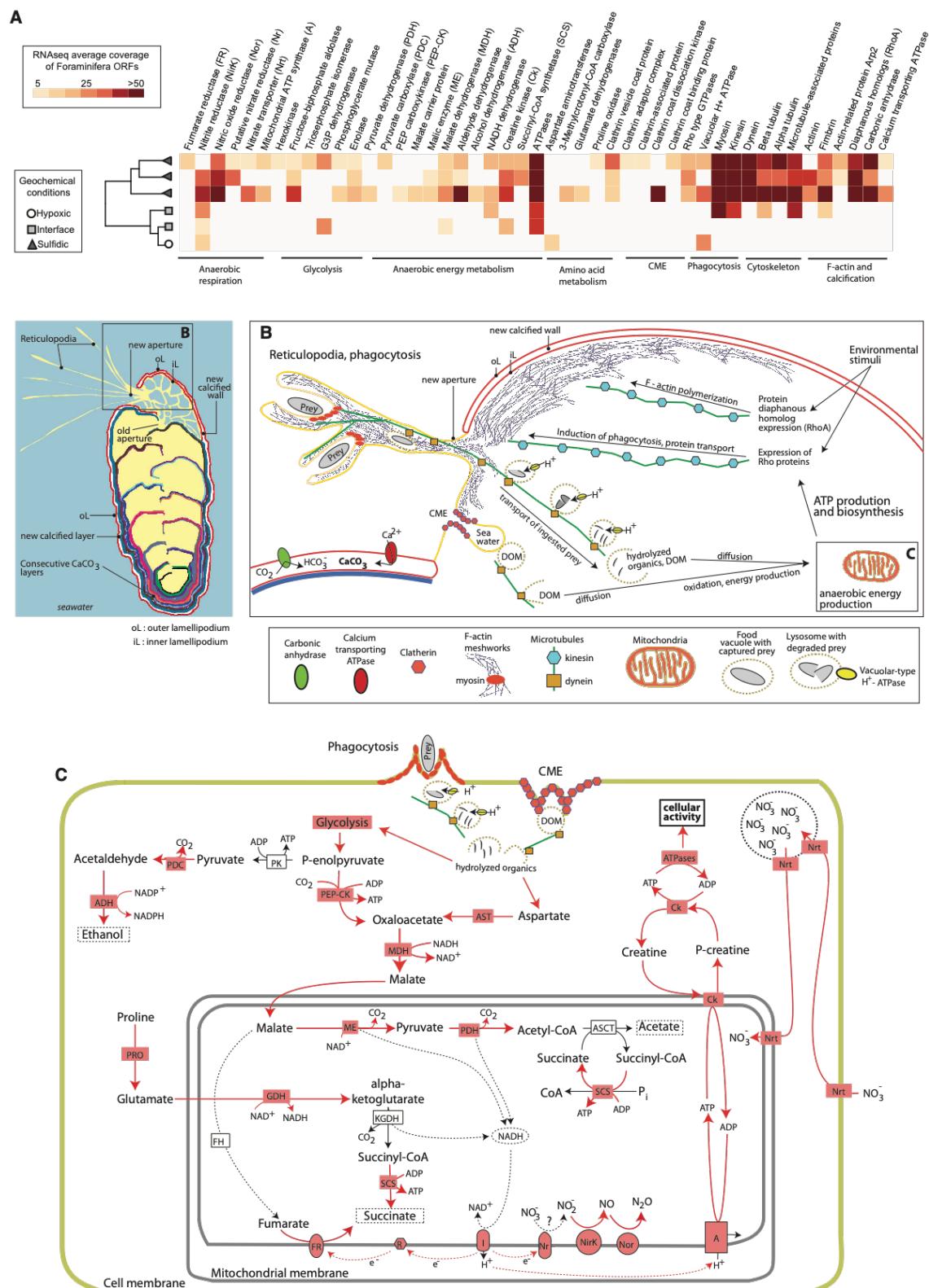
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474 **Figure 3. Phylogenetic analysis of Foraminifera affiliated 18S rDNA sequences recovered from the metatranscriptome,**
475 **that are affiliated to the (A) Stainforthiidae family and (B) *Bolivina* genus.** The sequence affiliated to the Stainforthiidae
476 family clearly cluster with the only two representative genus of the family, *Stainforthia* and *Gallitellia* but the position of the
477 metatranscriptomic 18S rDNA sequence is not clearly resolved, but intact test of *Stainforthia* were observed in the sample (See
478 Fig. 1). The metatranscriptomic 18S rDNA sequence related to *Bolivina* is nearly identical to reference sequences deposited on
479 NCBI and that were generated from *Bolivina* specimens collected in Namibia in previous studies. Furthermore, *Bolivina*
480 specimens dominated the morphological assemblages within the core (Fig. 1). The *Bolivina* and *Stainforthia* 18S rDNA contigs
481 were generated by semi-automated greedy extension of 18S rDNA OTUs with trimmed metatranscriptomic paired-end reads (See
482 methods).

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Figure 4. Expression of Foraminifera ORFs involved in key anaerobic physiologies. (A) Heatmap displaying the expression levels of Foraminifera ORFs involved in anaerobic energy production and physiology. Dendrogram shows hierarchical clustering

501 (UPGMA) of the samples based on the RNAseq data. One metatranscriptome from the core top and one from the 12 cm sample
502 did not have any detectable expression of the ORFs of interest and are thus not shown. (B) Reconstruction of anaerobic cellular
503 activities in Foraminifera including biomineralization, phagocytosis, CME, and transport of ingested cargo (e.g., prey cells) based
504 on the gene expression data shown in panel A. (C) Reconstruction of potential anaerobic energy production pathways in
505 Foraminifera based on the gene expression data shown in panel A. Red colors show genes that were expressed, red arrows show
506 reactions that are predicted to occur based on the expression of the corresponding gene. Where expressed, gene abbreviations
507 (e.g., Nrt) are shown in red boxes, that correspond to the same labels in panel A. Gene abbreviations that are not highlighted in
508 red are present in the genome of the benthic foraminifera species *Globobulimina turgida* and *G. auriculata*¹¹, but their
509 expression was not detected. These include FH: fumarase, KGDH: alpha-ketoglutarate dehydrogenase, PK: pyruvate kinase, and
510 ASCT: acetate:succinate CoA-transferase. The nitric oxide reductase (Nor) gene is not encoded in the benthic Foraminifera
511 genome, but its true absence is uncertain¹¹. This updated representation of Foraminifera anaerobic energy production is
512 modified from anaerobic energy metabolism pathways in eukaryotes that were previously reviewed^{39,40}.
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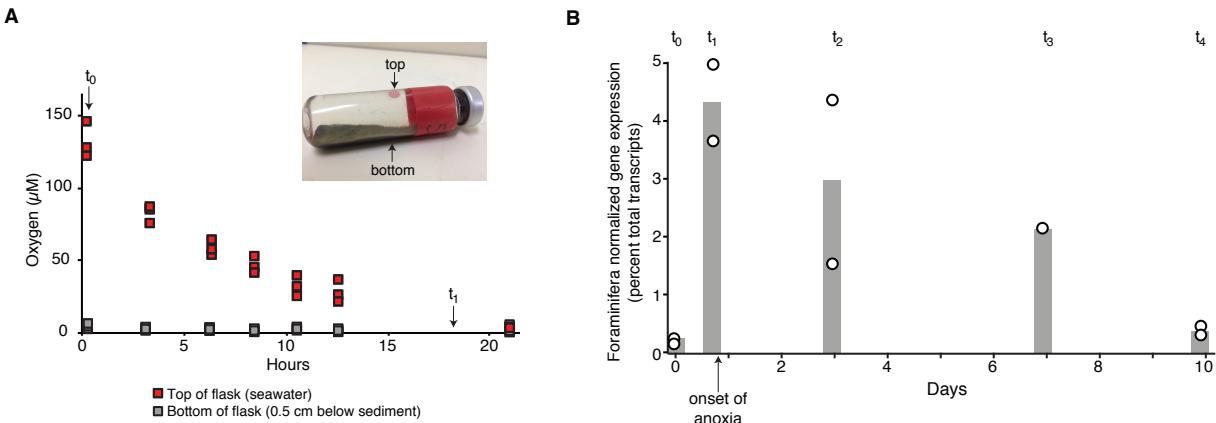
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539 **Figure 5. Oxygen consumption and Foraminifera gene expression in a 10-day incubation.** (A) Oxygen consumption at the
540 top (in seawater) and bottom (underneath the sediment) of the incubated sediments, the photo shows the experimental setup and
541 the positioning of the two oxygen sensor spots where measurements were made. After the onset of anoxia after 20 hours, the top
542 and bottom of the flask remained anoxic for the duration of the incubation. The flask was incubated in the dark at 10 °C. The
543 individual data points represent O_2 measurements made on the four separate flasks incubated for the t_1 , t_2 , t_3 , t_4 timepoints. The
544 21 hr point includes only the t_2 , t_3 , t_4 flasks since t_1 was already taken at 18 hrs. (B) The relative abundance of Foraminifera
545 transcripts (percent of total transcripts) at t_0 and the four timepoints, individual points represent replicates and histograms
546 represent the average values. Note the sharp increase in gene expression that coincides with the onset of anoxia after 20 hrs.

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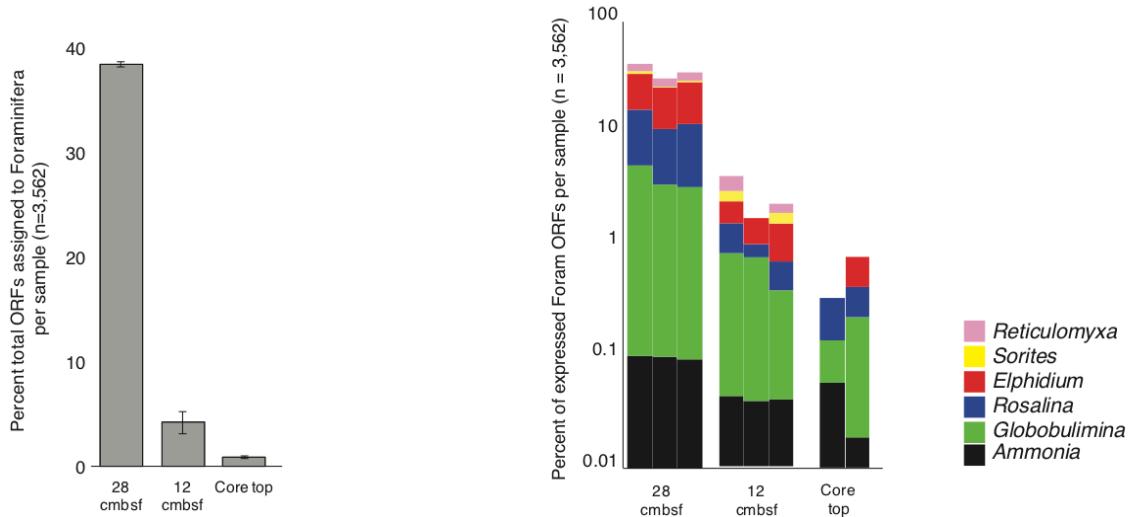
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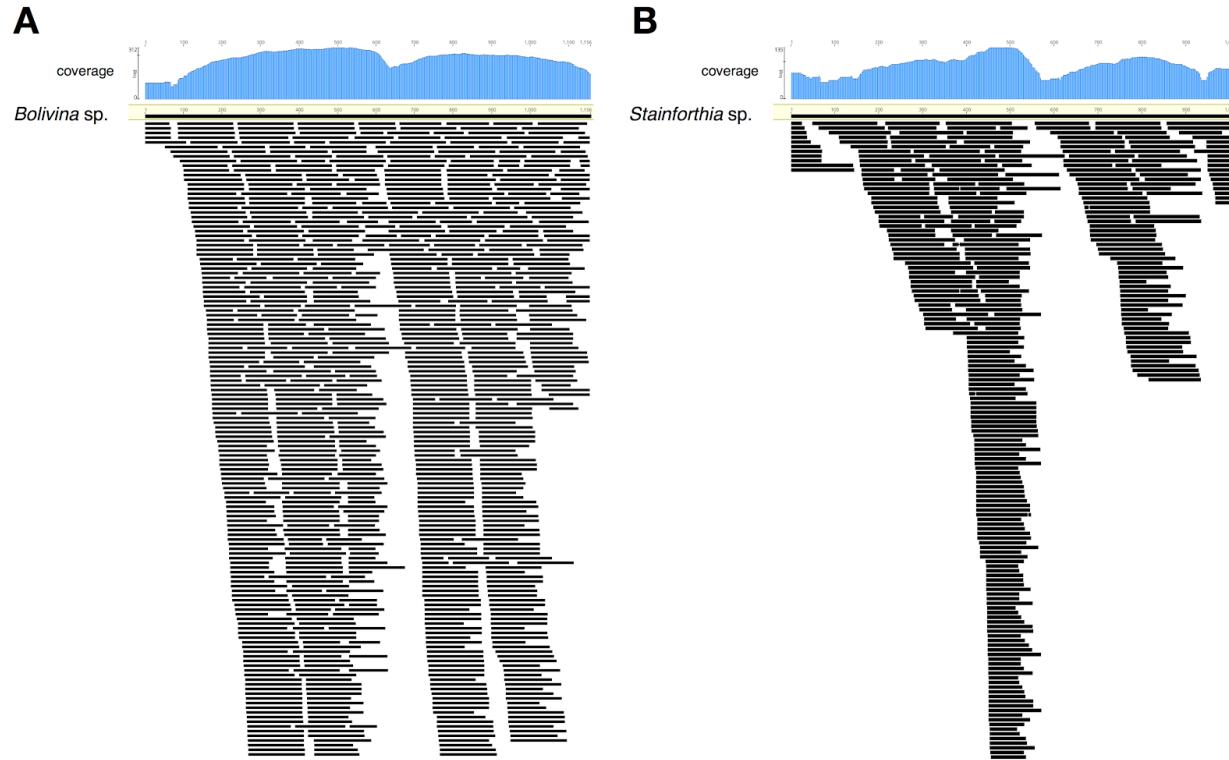
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567 **Figure S2. Schematic representation of trimmed metatranscriptomic reads mapped to the**
568 **previously sequenced 18S rRNA genes *Bolivina* sp. (A) and *Stainforthia* sp. (B).** The coverage of each
569 fragment is indicated with blue histograms on the top. Each read is shown as black bar. Mapping was
570 performed with GENEIOUS prime as indicated in the methods section. Note that more reads map to
571 *Bolivina*, which is consistent with the dominance of cytoplasm bearing tests from *Bolivina* throughout the
572 core (Fig 1).

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583 **Table S1. Sequencing and assembly statistics.**

Sample	Replicate	Reads (millions)	# contigs	# reads mapped (millions)	ORFs
core top (frozen, t0)	a	4.7	2,602	3.7	695
	b	11.1	2,927	9.2	687
12 cmbsf (frozen)	a	3.9	4,362	2.7	539
	b	2.2	2,726	1.3	1,075
	c	3.5	5,888	2.1	1,113
28 cmbsf (frozen)	a	3.9	7,429	2.4	995
	b	5.8	9,636	4.2	1,993
	c	4.1	5,660	2.9	1,459
incubation 18 hours	a	2	3,854	0.83	996
	b	2	3,811	0.73	947
incubation 3 days	a	3	7,167	1.2	1636
	b	3	3,860	1.3	913
incubation 7 days	a	3	4,222	1.3	904
incubation 10 days	a	3	2,463	1.0	553
	b	2	2,279	1.2	543

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