

1 **PD-L1 upregulation by IFN- α/γ -mediated Stat1**
2 **suppresses anti-HBV T cell response**

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23 **Abstract**

24 Programmed death ligand 1 (PD-L1) has been recently shown to be a major
25 obstacle to antiviral immunity by binding to its receptor programmed death 1 (PD-1)
26 on specific IFN- γ producing T cells in chronic hepatitis B. Currently, IFN- α is widely
27 used to treat hepatitis B virus (HBV) infection, but its antiviral effect vary greatly
28 and the mechanism is not totally clear. We found that IFN- α/γ induced a marked
29 increase of PD-L1 expression in hepatocytes. Signal and activators of transcription
30 (Stat1) was then identified as a major transcription factor involved in
31 IFN- α/γ -mediated PD-L1 elevation both *in vitro* and in mice. Blockage of the
32 PD-L1/PD-1 interaction by a specific mAb greatly enhanced HBV-specific T cell
33 activity by the gp96 adjuvanted therapeutic vaccine, and promoted HBV clearance in
34 HBV transgenic mice. Our results demonstrate the IFN- α/γ -Stat1-PD-L1 axis plays an
35 important role in mediating T cell hyporesponsiveness and inactivating
36 liver-infiltrating T cells in the hepatic microenvironment. These data raise further
37 potential interest in enhancing the anti-HBV efficacy of IFN- α and therapeutic
38 vaccines.

40 **Introduction**

41 Up to 350 million individuals worldwide are currently chronically infected with
42 hepatitis B virus (HBV). Ultimate HBV clearance requires the coordination of the
43 potent T cell immune response and effective humoral immunity. However,

44 HBV-specific T cell response, which plays a vital role in HBV clearance, is severely
45 impaired in chronic hepatitis B (CHB) patients, leading to long-term immune
46 tolerance [1, 2]. Several mechanisms may contribute to HBV-specific T cell tolerance
47 and exhaustion, including upregulation of co-inhibitory molecules such as
48 programmed death 1 (PD-1), T - cell immunoglobulin and mucin domain -
49 containing molecule 3 (TIM-3), T - cell immunoglobulin and ITIM domain
50 (TIGIT), lymphocyte-activation gene 3 (LAG3), immunosuppressive prostaglandin
51 E2 (PGE2) receptors, cytotoxic T-lymphocyte antigen 4 (CTLA-4), and proapoptotic
52 protein Bcl2-interacting mediator (Bim) on HBV-specific CD8⁺ T cells, as well as on
53 CD4⁺ T cells and NK cells. [3-5]. Additionally, regulatory T cells and suppressive
54 cytokines also contribute to virus-specific T cell failure [6].

55 Among the co-expressed inhibitory receptors on T cells, programmed death
56 ligand 1 (PD-L1) plays a critical role in impaired T cell immune responses. Of note,
57 its ligand PD-L1, a 40 kDa transmembrane protein, is constitutively expressed on
58 liver DCs, Kupffer cells, stellate cells, liver sinusoidal endothelial cells, and
59 hepatocytes. Binding of PD-L1 to PD-1 leads to T cell dysfunction by inhibiting T
60 cell activation, causing T cell exhaustion, anergy, and T cell apoptosis, as well as by
61 inducing Treg differentiation [7-11]. In addition, elevated PD-L1 levels in liver were
62 observed in chronic necroinflammatory liver diseases and autoimmune hepatitis [12,
63 13]. These indicate the immune regulatory function of the liver microenvironment that
64 may lead to T cell tolerance.

65 As an first-line treatment option, IFN- α -based therapies achieve a sustained

66 off-treatment response and a more likely functional cure, and prevent occurrence of
67 hepatocellular carcinoma in patients with CHB [14, 15]. Virus-specific IFN- γ
68 secreting CD8+ and CD4+ T cells are believed to play a key role on HBV clearance
69 and control [16-18]. However, both type I/II interferons were shown to promote
70 PD-L1 expression in hepatocytes, which may induce T cell apoptosis [19-21].
71 Therefore, further elucidating the mechanism of hepatic PD-L1 expression induced by
72 IFN- α/γ and its role in T cell response will shed light on the underlying mechanism of
73 antiviral T cell tolerance and the unique immunological properties of liver.

74 Here, we aimed to explore the mechanism of PD-L1 upregulation in hepatocytes
75 by IFN- α/γ and the potential role of PD-L1 in regulating virus-specific T cell
76 responses in liver. The results could provide valuable insights into the modulation of
77 hepatic PD-L1 expression by type I/II interferons, and offer novel therapeutic
78 combination strategies for reversing T cell immune tolerance in CHB.

79 **Materials and Methods**

80 **Cell Lines**

81 The human hepatic cell line L02 originated from normal human liver tissue
82 immortalized by stable transfection with the human telomerase reverse transcriptase
83 (hTERT) gene [22, 23]. The L02 and Huh7 cell lines were obtained from the Cell
84 Bank of the Chinese Academy of Sciences (Shanghai, China) and maintained in the
85 lab. The L02 and Huh7 cell lines were cultured in Dulbecco's modified Eagle's
86 medium (DMEM) containing 10% heat-inactivated fetal bovine serum, 1 g/L of
87 glucose, 1 mmol/L of glutamine, 100 U/mL of penicillin, and 100 μ g/mL of

88 streptomycin, and incubated in 5% CO₂ at 37°C.

89 **Plasmids, Antibodies, and Reagents**

90 The Stat1 expression plasmid pCMV-Stat1, pGL3-PD-L1 promoter-luciferase
91 (PD-L1-wt) and pGL3-PD-L1 promoter-mutant-luciferase (PD-L1-mut) with mutated
92 Stat1 binding site were constructed by our lab. Rabbit Stat1 antibody and
93 phospho-Stat1 monoclonal antibodies were purchased from Cell Signaling
94 Technology (MA, USA). The anti-PD-1 monoclonal antibody (mAb) was kindly
95 provided by Beijing Combio Company (Beijing, China). Its binding capacity to PD-1
96 on T cells of mice was confirmed by flow cytometry analysis (data not shown). The
97 PD-L1 monoclonal antibody was obtained from eBioscience (MA, USA). The
98 specific Stat1 inhibitor fludarabine was from Selleck Chemicals (TX, USA). The
99 Dual-Glo® Luciferase Assay System was purchased from Promega Corporation (WI,
100 USA). The human IFN- α and IFN- γ proteins, as well as the murine IFN- α protein
101 were purchased from Sino Biological Inc (Beijing, China). The HBs protein was
102 kindly given by Beijing Tiantan Biological Products Company (Beijing, China). The
103 gp96 and HBc proteins were expressed and purified in our lab respectively as
104 described previously [24, 25]. The recombinant murine IFN- γ protein was purchased
105 from PeproTech Inc. (NJ, USA). Mouse IFN- γ precoated ELISPOT kit was provided
106 by Dakewe Inc. (Shenzhen, China). The HBsAg and HBeAg test kits were purchased
107 from Shanghai Kehua Bio-Engineering Ltd. (Shanghai, China). HBV nucleic acid
108 amplification fluorescent quantitative assay kit and Alanine Transaminase Assay kit
109 were purchased from Beijing Biodee diagnostics Ltd. (Beijing, China). The sequences

110 of PD-L1 promoter and Stat1-specific siRNA are listed in Table 1.

111 **Table 1. This is the sequences of promoter, siRNA**

Gene	promoter /siRNA
PD-L1 promoter	5'-TCATAACCAATGCAAGGGCTATCTCAATATTCAATTCTATT ATGCAGTATTTGAACCTGCAGTTGAAATGAATAAGAAGGA AAGGCAAACAACGAAGAGTCCAATTCTCAATTAGAAAA AGAGAAAAAAAAGAAAAGGGAGCACACAGGCACGGTGGC TCAAGCCTGTAATATCAGCACTTGGCGGATCACTTGAGGT CAAGGAGTTCGAGAAAAGAGAGCACCTAGAAGTTAGCG CGGGATAATACTTAAGTAAATTATGACACCATCGTCTGTCA TCTTGGGCCATTCACTAACCCAAAGCTTCAAAAGGGCTT TCTTAACCCTCACCTAGAATAGGCTCCGAGCCTTAATCC TTAGGGTGGCAGAATATCAGGGACCCCTGAGCATTCTAAA AGATGTAGCTCGGGATGGGAAGTTCTTTAATGACAAAGC AAATGAAGTTTCATTATGTCGAGGAACCTTGAGGAAGTCA CAGAATCCACGATTAAAAATATTTCTATTATACACCC ATACACACACACACACACTTCTAGAATAAAAAACCA AAGCCATATGGGCTGCTGCTGACTTTATATGTTAGA GTTATATCAAGTTATGTCAGATGTTCAAGATGTTCACTGCACCTGAAGA GGCTTTATCAGAAAGGGGACGCCTTCTGATAAAAGGTT AAGGGTAACCTAACGCTTACCCCTCTGAAGGTAAAAT CAAGGTGCGTTCAGATGTTGGCTTGTAAATTCTTTT TATTAATAACATACTAAATGTGGATTGCTTAAATCTCGA AACTCTTCCCGGTGAAAATCTCATTACAAGAAAATGGA CTGACATGTTCACTTCTGTTCACTTCTAGCAGCTTT ATTCTAGGACACCAACACTAGATACTAAACTGAAAGCT TCCGCCATTCAACCGAAGGTCAAGGAAAGTCCAACGCCG GCAAACGGATTGCTGCCTGGCAGAGGTGGCGGGAC CCCGCCTCCGGGCCTGGCGAACGCTGAGCAGCTGGCGCG TCCCGCGCGCCAGTTCTGCGCAGCTCCGAGGCTCCG CACCAAGCCCGCTTCTGTCGCGCTGCAGGTAGGGAGCGTT GTTCCCTCCGGGTGCCACGGCCAGTATCTCTGGCTAGC TCGCTGGCACTTAAAGGACGGAGGGTCTCTACACCCCTTCT TTGGGATGGAGAGAGGAGAAGGGAAAGGGAACGCGAT-3'.
Stat1-specific siRNA	5'-GGGCAUCAUGCAUCUUACU-3'

112

113 Western blotting, flow cytometry analysis of cell membrane PD-L1 levels,

114 immunohistochemistry (IHC) analysis, and real-time PCR were performed as

115 described previously [26]. Luciferase reporter assays were performed as described
116 previously [27]. Flow cytometry and intracellular cytokine staining of CD4⁺ or CD8⁺
117 T cells, IFN- γ ELISPOT, serum ALT detection, and virology assessment (serum HBs
118 and HBe Ag, and HBV DNA copies) were performed as described previously [24].

119 **IFN- α and IFN- γ treatment in mice**

120 Six-week-old male BALB/c mice were purchased from Vital River Laboratories.
121 Mice were randomly divided into 5 groups (n=5/group) and injected with PBS, IFN- α
122 (5×10^4 U/kg), IFN- γ (1.6×10^4 U/kg), and/or the specific Stat1 inhibitor fludarabine
123 (40 mg/kg) every 3 days for 5 times, respectively. Three days after the last injection,
124 all the mice were sacrificed, and the mouse livers were fixed in formalin and prepared
125 for IHC analysis.

126 **Combined therapy with anti-PD-1 mAb and HBV
127 therapeutic vaccine**

128 Six-week-old male BALB/c HBV transgenic mice were purchased from
129 Transgenic Engineering Lab, Infectious Disease Center, Guangzhou, China. The HBV
130 transgenic mice were generated with a viral DNA construct, pHBV1.3, containing 1.3
131 copies of the HBV genome. All transgenic mice were tested positive for serum
132 HBsAg and viral DNA, as well as HBc expression in hepatocytes in their livers. Mice
133 were randomly divided into 4 groups (n=5/group). Mice were subcutaneously
134 immunized with HBV therapeutic vaccine (10 μ g HBs+10 μ g HBc + 25 μ g
135 gp96/mouse) at weeks 1, 2, and 4, respectively, and/or intraperitoneally injected with
136 anti-PD-1 mAb (100 μ g/mouse) at weeks 1, 2, 3, and 4, respectively. Mice were

137 sacrificed at week 9 for antiviral T cell analysis and virology assessment.

138 **Statistical analysis**

139 All data were presented as mean \pm SD, and significance was determined by
140 two-tailed Student's t test unless specified. A *P* value of less than 0.05 was considered
141 statistically significant. In figures * indicates for $P<0.05$, ** for $P<0.01$ and ***for
142 $P<0.001$.

143 **Study approval**

144 Animal studies were approved by the Institute of Microbiology, Chinese
145 Academy of Sciences of Research Ethics Committee (permit number
146 PZIMCAS2011001). All animal experiments were performed in strict accordance
147 with institutional guidelines on the handling of laboratory animals.

148

149 **Results**

150 **IFN- α/γ induce PD-L1 expression in hepatocytes**

151 We first tested whether IFN- α and IFN- γ could affect PD-L1 expression in
152 hepatocytes. As shown in Figure 1A, PD-L1 expression was increased by IFN- γ in a
153 dose-dependent manner from 10 to 800 U/ml 48 h after treatment. Similar results
154 were observed for IFN- α (Fig 1B). In vivo experiment, BALB/c mice were
155 intraperitoneally injected with IFN- α or IFN- γ , and PD-L1 expression in liver tissues
156 were examined by IHC. Treatment with IFN- α or IFN- γ induced abrupt increases of
157 PD-L1 levels in BALB/c mice (Fig 1C).

158 We then determine if IFN- α/γ upregulate the expression of PD-L1 by affect its
159 transcription level. Real-time PCR analysis showed that IFN- γ pronouncedly
160 increased PD-L1 mRNA levels (Fig 1D). Similar results were observed for IFN- α (Fig
161 1E).

162

163 **Fig 1. Effect of IFN- γ and IFN- α on PD-L1 expression both in vitro and in vivo.**

164 (A and B) L02 and Huh7 cells were treated with IFN- γ (A) or IFN- α (B) at indicated
165 concentrations for 48 h. Flow cytometric analysis was performed to detect cell
166 membrane PD-L1 levels. Cells stained with control IgG served as a negative control.
167 (C) BALB/c mice were treated with PBS, IFN- α , IFN- γ , as described in Materials and
168 Methods. IHC analysis was performed for detection of PD-L1 expression levels in
169 mouse livers. Scale bars, 50 μ m. (D and E) Real-time PCR analysis of PD-L1 mRNA
170 levels in L02 cells treated with IFN- γ (D) or IFN- α (E) or PBS as control for 48 h.

171 Data are presented as mean \pm SD for three independent experiments. *** $p<0.001$
172 compared to the control.

173

174 **IFN- α/γ induce PD-L1 expression through activation of**
175 **Stat1.**

176 The PD-L1 promoter sequence was subjected to bioinformatics analysis
177 (<http://gpminer.mbc.nctu.edu.tw/>), revealing that there are several putative
178 transcription factor binding sites in the promoter region, including sites for Stat1,
179 NF1, Stat3, PAX2, IRF1 and Stat4 (Fig 2A). We next tested the effect of IFN- γ or
180 IFN- α on expression of these transcription factors. L02 cells stimulated with IFN- γ
181 for 24 h, and Stat1 mRNA level was the mostly increased (around 10 times) compared
182 to the other transcription factors (Fig 2B). Same results were obtained for IFN- α
183 treatment (Fig 2C). The protein expression of Stat1 and its phosphorylation were also
184 stimulated by IFN- γ or IFN- α (Fig 2D). As shown in Figure 2E and 2F, Stat1
185 overexpression by transfection with the plasmid pCMV-Stat1 in L02 cells led to an
186 obvious increase in the wild-type but not mutant PD-L1 promoter activity (~ 3.5-fold)
187 ($P < 0.001$) and significant elevation of cell membrane PD-L1 levels. These results
188 indicate that IFN- α/γ upregulates the key PD-L1 transcription factor Stat1.

189

190 **Fig 2. Identification of Stat1 as a key transcription factor of PD-L1 induced by**
191 **IFN- α/γ .** (A) Sequence analysis of the PD-L1 promoter was performed online at
192 <http://gpminer.mbc.nctu.edu.tw/>. The putative binding sites for several cis-acting

193 elements are indicated. (B and C) Real-time PCR analysis of mRNA levels of putative
194 transcription factors in L02 cells treated with IFN- α (B) or IFN- γ (C) or PBS as
195 control for 24 h. The mRNA levels of control were arbitrarily set as 1.0. (D) L02 cells
196 treated with 80 U/ml IFN- γ or 50 U/ml IFN- α or PBS as control for 48h and Stat1
197 and phosphorylated Stat1 (p-Stat1) levels were determined by western blot. (E) L02
198 cells were co-transfected with PD-L1 promoter luciferase reporter plasmid with a wild
199 type (PD-L1 wt) or mutated Stat1 binding site (PD-L1 mut) and pCMV-Stat1 (Stat1)
200 or pCMV as a mock. The relative luciferase activity was determined using dual
201 luciferase assay kit 48 h later. (F) Cell membrane PD-L1 levels in L02 cells
202 transfected with pCMV-Stat1 were determined by flow cytometry. Data are presented
203 as mean \pm SD for three independent experiments. *** $p<0.001$ compared to the
204 control.

205

206 To further determine the role of Stat1 in IFN- α/γ -induced PD-L1 expression, L02
207 cells were transfected with PD-L1 luciferase reporter plasmid with a wild type or
208 mutated Stat1 binding site and incubated with IFN- γ for 48 h. As seen in Fig 3A,
209 IFN- γ treatment increased the activity of wild type but not the mutated promoter.
210 Moreover, stat1 depletion by siRNA or its inhibitor fludarabine largely abolished
211 IFN- γ -induced PD-L1 promoter activation (Fig 3B). Similar results were observed for
212 IFN- α (Fig 3C and 3D).

213

214 **Fig 3. IFN- α/γ upregulate PD-L1 expression in a Stat1 dependent manner.** (A and

215 C) L02 cells were transfected with PD-L1 promoter luciferase reporter plasmid with a
216 wild type or mutated Stat1 binding site and incubated with 80 U/ml IFN- γ (A) or 50
217 U/ml IFN- α (C) for 48 h. (B and D) L02 cells transfected with PD-L1 promoter
218 luciferase reporter plasmid were co-treated with IFN- γ (B) or IFN- α (D) and Stat1
219 siRNA or fludarabine (5 μ g/ml) for 48 h. The relative luciferase activity was
220 determined using dual luciferase assay. Data are presented as mean \pm SD for three
221 independent experiments. ** p <0.01, and *** p <0.001 compared to the control.

222

223 Similarly, PD-L1 upregulation by IFN- α/γ was mostly abrogated under Stat1
224 depletion by siRNA or inhibition by fludarabine (Fig 4A and 4B). Similar results were
225 observed in total PD-L1 mRNA levels and protein levels (Fig 4C). In addition,
226 treatment with IFN- α or IFN- γ induced abrupt increases of PD-L1 levels in BALB/c
227 mice, which was observably suppressed by fludarabine (Fig 4D). Based on these
228 results, it can be demonstrated that IFN-induced PD-L1 expression is mainly via
229 upregulation and activation of its transcription factor Stat1.

230

231 **Fig 4. Inhibition of Stat1 abrogates IFN- α/γ -induced upregulation of PD-L1.**
232 (A-C) Cell membrane PD-L1 levels were detected by flow cytometry in L02 cells
233 co-treated with 80 U/ml IFN- α (A) or 50 U/ml IFN- γ (B), and Stat1 siRNA or
234 fludarabine (5 μ g/ml) for 48 h. Cells stained with control IgG served as a negative
235 control. The mRNA and protein levels of PD-L1 were analyzed using real-time PCR
236 and western blotting, respectively (C). (D) BALB/c mice were treated with PBS,

237 IFN- α , and IFN- γ , and fludarabine as described in Materials and Methods. IHC
238 analysis was performed for detection of PD-L1 expression levels in mouse livers.
239 Scale bars, 50 μ m. The experiments were performed twice with similar results.

240

241 **Blockage of PD-L1/PD-1 interaction enhances the**
242 **HBV-specific T cell response and facilitates viral clearance**
243 **in HBV transgenic mice.**

244 Finally, based on our previous studies showing that a heat shock protein
245 gp96-based therapeutic vaccine induces a potent antiviral T cell response in HBV
246 transgenic mice [24, 25], we further investigated possible synergy between the
247 therapeutic vaccine and PD-L1/PD-1 blockage on induction of anti-HBV T cell
248 immunity. HBV transgenic mice were vaccinated with gp96 vaccine containing gp96
249 adjuvant, HBsAg and HBcAg, along with four doses treatment with an anti-PD-1
250 mAb. As shown in Fig 5A, gp96-based therapeutic vaccine induced IFN- γ -secreting
251 CD8 $^{+}$ and CD4 $^{+}$ T cells in mouse livers, and importantly, co-treatment with anti-PD-1
252 mAb resulted in a significant increase in IFN- γ -secreting T cells compared to the
253 vaccine alone(all P < 0.05 or 0.01). Similar results were obtained in ELISPOT assay
254 (Fig 5B). Besides, significant increases of antiviral T cell responses in the spleen of
255 immunized mice were also observed under treatment with anti-PD-1 mAb (Fig 5C
256 and 5D).

257

258 **Fig 5. Anti-PD-1 mAb treatment enhances the HBV-specific T cell responses**

259 **induced by gp96 therapeutic vaccine in HBV transgenic mice.** HBV transgenic
260 mice were immunized with the therapeutic vaccine containing HBsAg, HBcAg, and
261 gp96 adjuvant at wks 1, 2, and 4, and/or treated with anti-PD-1 mAb (n=5
262 mice/group). Mice were sacrificed at wk 9 for immunological analysis. Flow
263 cytometric analysis was performed to quantify IFN γ ⁺CD8⁺ or IFN γ ⁺CD4⁺ T cell
264 populations in the liver (A) or spleen (C) of mice. For IFN- γ ELISPOT assay,
265 lymphocytes from liver (B) or spleen (D) (5×10^5 cells/well) were stimulated with
266 HBsAg/HBcAg (5 μ g/ml each), or BSA as a negative control for background
267 evaluation. Data are presented as the mean \pm SD for five mice from two independent
268 experiments. * P < 0.05, ** P < 0.01, and *** P < 0.001 using t-tests.

269

270 Significant decreases in serum HBV DNA and HBsAg levels were observed in
271 gp96 vaccine-immunized mice treated with anti-PD-1 mAb compared to untreated
272 mice (both P < 0.05) (Fig 6A and 6B). Mice receiving the combined treatment of
273 gp96 vaccine with anti-PD-1 exhibited significantly reduced hepatic HBcAg
274 expression compared to either treatment alone (anti-PD-1 vs anti-PD-1+gp96 vaccine,
275 53 \pm 7.6 vs 4 \pm 1, P < 0.001; gp96 vaccine vs anti-PD-1+gp96 vaccine, 20 \pm 3 vs 4 \pm 1, P <
276 0.001) (Fig 6C). Moderate elevation of the serum ALT levels was observed in
277 anti-PD-1 mAb-treated and gp96 vaccine-immunized mice (Fig 6D). Taken together,
278 these data indicate that blockage of the interaction between PD-1 and PD-L1 which
279 expression may be upregulated by IFN- γ -secreting CD8⁺ and CD4⁺ T cells
280 significantly enhances vaccine-mediated T cell response against HBV.

281

282 **Fig 6. Suppression of HBV expression and replication by gp96 the therapeutic**
283 **vaccine is enhanced by treatment with anti-PD-1 mAb.** HBV transgenic mice were
284 immunized and treated as in Fig 5. Mice were sacrificed at wk 9 for virological
285 analysis. (A) Serum HBV DNA levels were quantified by real-time PCR. (B) Serum
286 HBsAg was detected by ELISA at wks 0, 3, 6, 9, respectively. (C) IHC analysis of
287 HBcAg expression in mouse liver tissues. The HBcAg-positive hepatocytes were
288 counted in ten random fields under the microscope and the average number was
289 calculated. Scale bars, 50 μ m. (D) Serum ALT levels were detected by ELISA at wks
290 0, 3, 6, 9. Data are presented as the mean \pm SD for five mice from two independent
291 experiments. *P < 0.05, **P < 0.01, and ***P < 0.001 using t-tests.

292

293

294 **Discussion**

295 In this study, our findings identified Stat1 as the key transcription factor for
296 hepatic PD-L1 expression through its phosphorylation induced by IFN- α/γ .
297 Importantly, the blockage of PD-L1/PD-1 enhanced vaccine-induced antiviral T cell
298 responses in HBV transgenic mice. Therefore, we present a new model in which
299 IFN- α/γ activates Stat1 which promotes PD-L1 transcription and expression, and
300 elevated PD-L1 expression in liver may contribute to impaired T cell responses,
301 hampering the development of the virus-specific immune response in CHB.

302 Previous studies show that PD-L1 expression is regulated by the transcription

303 factor Stat3 in T cell lymphoma and NF- κ B in myelodysplastic syndromes blasts [28,
304 29]. In screening of potential transcription factors of PD-L1 in hepatocytes we found
305 that Stat1 induced the most significant upregulation of PD-L1, suggesting that the
306 regulation of PD-L1 expression can be exquisitely cell-type specific. In this study,
307 IFN- α/γ pronouncedly promoted hepatic Stat1 phosphorylation both *in vitro* and in
308 mice, likely through the Jak/Stat1 pathway [30, 31]. Furthermore, inhibition of Stat1
309 activation by its inhibitor or Stat1 depletion by RNAi largely abolished IFN- α/γ
310 -mediated PD-L1 upregulation. Our results therefore indicate that Stat1 acts as a
311 major transcription factor in IFN- α/γ -induced PD-L1 elevation in liver.

312 IFN- γ and TNF- α , produced by T cells, reduce levels of HBV cccDNA in
313 hepatocytes by inducing deamination and subsequent cccDNA decay [32]. However
314 clinical evidence shows that the CD8+ T cells in CHB patients lose their antiviral
315 function and ability to proliferate, which is characterized by T cell exhaustion,
316 suppressed cytokine production and excessive inhibitory signals [33-35]. The
317 co-inhibitory receptor PD-1, expressed on T-cells, delivers negative signals when
318 engaged by its ligand PD-L1, expressed on dendritic cells, macrophages, endothelial
319 cells and hepatocytes; to attenuate T cell activation, effector functions and survival
320 [36]. Recent studies show that PD-1/PD-L1 pathway contributes to the suppression of
321 HBV-specific T cell function in both HBV transgenic mice and CHB patient [4,
322 37-40]. In addition, treatment with anti-PD-1 or anti-PD-L1 mAbs results in the
323 enhancement or restoration of antiviral T cell function in mice [37, 38, 41-43].
324 Furthermore, blockage of the interaction between PD-1 and PD-L1 by specific

325 antibodies also leads to restoration of HBV-specific T cell function, enhanced
326 antiviral immunity, and HBsAg decline in CHB[41, 42, 44-46]. In this study, we
327 found that the IFN- α/γ -Stat1 axis may play a role and serve as a potential drug target
328 for hepatic PD-L1 expression which may lead to T cell inactivation, and blockage of
329 PD-1/PD-L1 reversed liver-infiltrating virus-specific T cell activity and enhanced
330 gp96 vaccine-induced antiviral efficiency in HBV transgenic mice.

331 In this study we found that IFN- γ pronouncedly promoted hepatic PD-L1
332 expression so it is conceivable that in CHB hepatocytes may predispose intrinsic
333 defects in hyporesponsiveness of virus-specific IFN- γ -secreting CD8+ and CD4+ T
334 cells by upregulation of PD-L1 expression in liver. PD-L1 overexpression may protect
335 HBV-infected hepatocytes from T cell-mediated viral inhibition, which underlies the
336 need for a combination strategy to directly activate T cells by vaccination and block
337 PD-L1/PD-1-mediated immune suppression for optimal anti-HBV immunity.

338 Meanwhile, it is possible that PD-L1 may exert beneficial effects by preventing
339 overactivation of inflammation and T cell responses in CHB [47, 48]. More studies
340 are needed to dissect the immunoregulatory mechanisms of PD-L1 in various States
341 of HBV infection, and its use as a potential prognostic marker in disease progression
342 as seen in cancer patients [7].

343 IFN- α , the first drug licensed to treat HBV infection, has been extensively used
344 as one of the major standard treatments for CHB [14, 15, 49, 50]. In this study, we
345 found that IFN- α significantly enhances PD-L1 expression in mouse livers, which
346 may negatively affect the anti-HBV efficiency of IFN- α . Our current work may

347 provide further dissection of the limited effectiveness of therapeutic IFN- α in CHB
348 and beneficial help in the design of a more efficient combined anti-HBV therapy for
349 this first-line drug.

350 In conclusion, our study provides further understanding of IFN- α/γ -induced
351 PD-L1 function in the complex regulatory networks that orchestrate T cell immune
352 defects and immunotolerance in chronic viral infections. Given the broad
353 immunoinhibitory function of PD-L1 and current promising anti-PD-L1/PD-1
354 therapies in cancer, our work provides valuable insights into IFN-induced PD-L1
355 elevation in hepatic microenvironment immunotolerance and raises further potential
356 interest in enhancing the anti-HBV efficacy of therapeutic HBV vaccines and IFN- α
357 by blocking PD-L1/PD-1.

358

359

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369

370

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372 Conceptualization: Songdong Meng.

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374 Funding acquisition: Songdong Meng.

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379 Writing – review & editing: Songdong Meng

380

381

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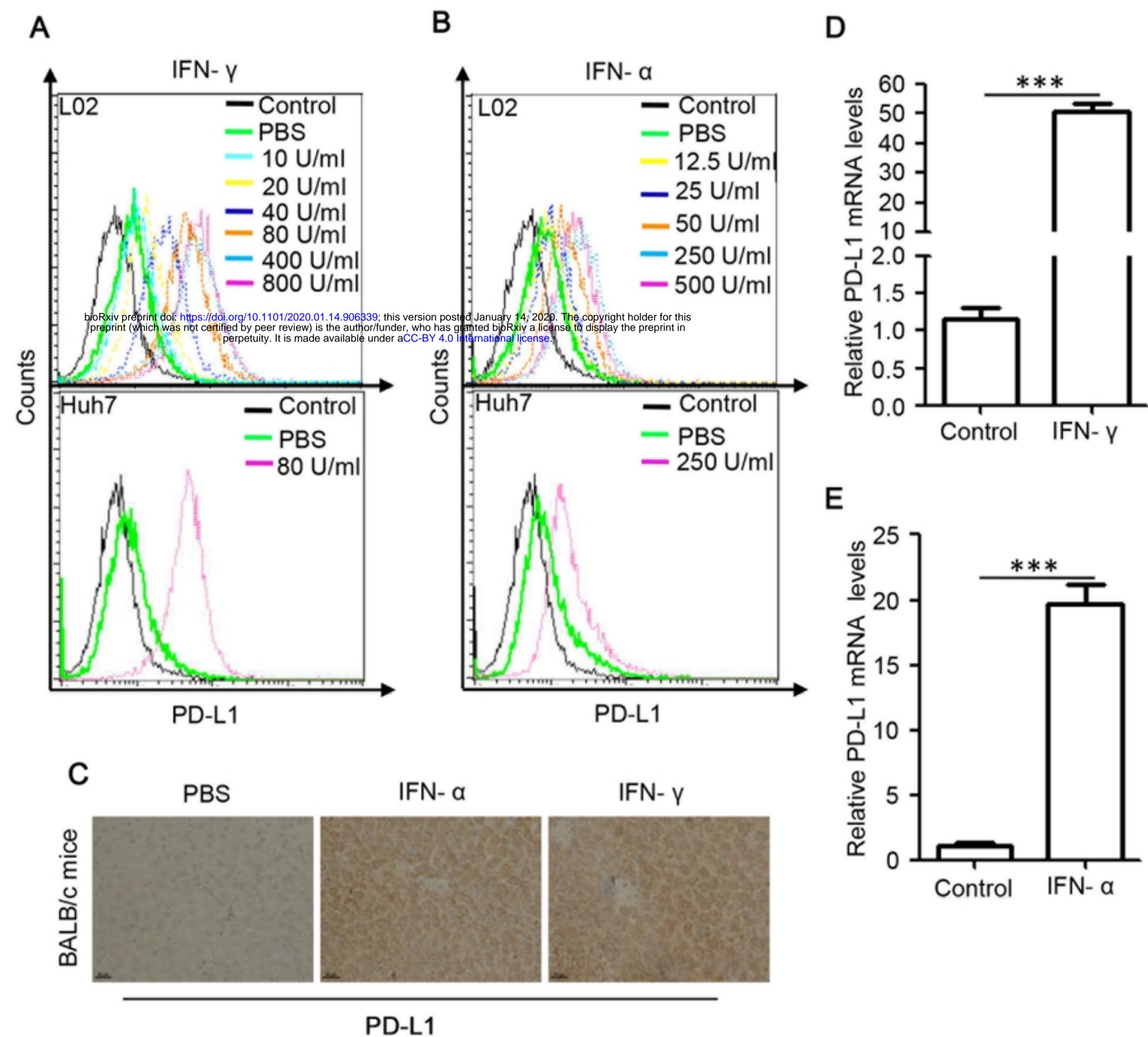
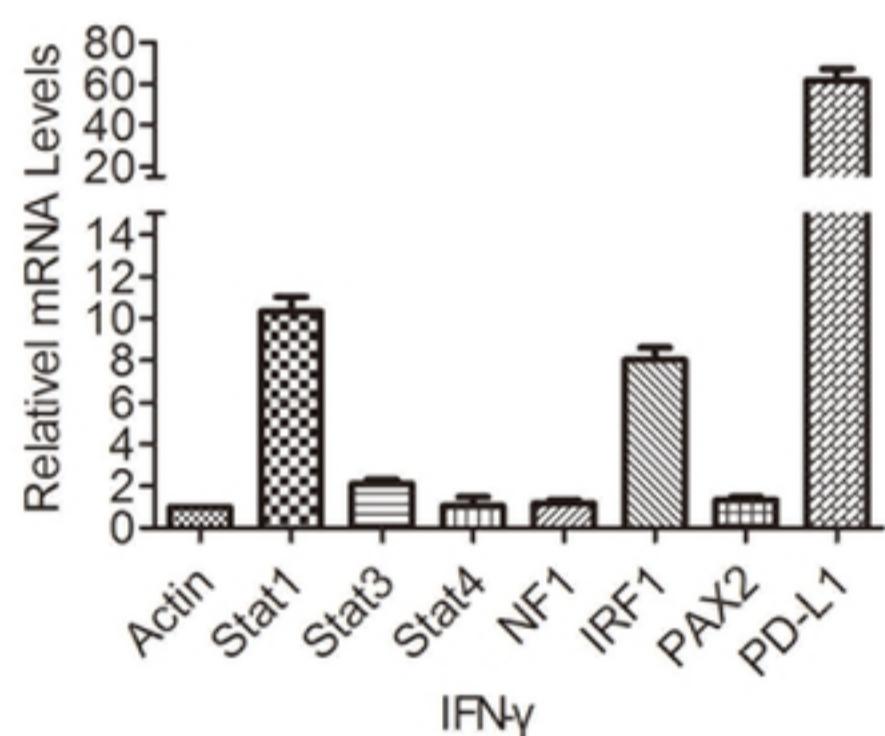
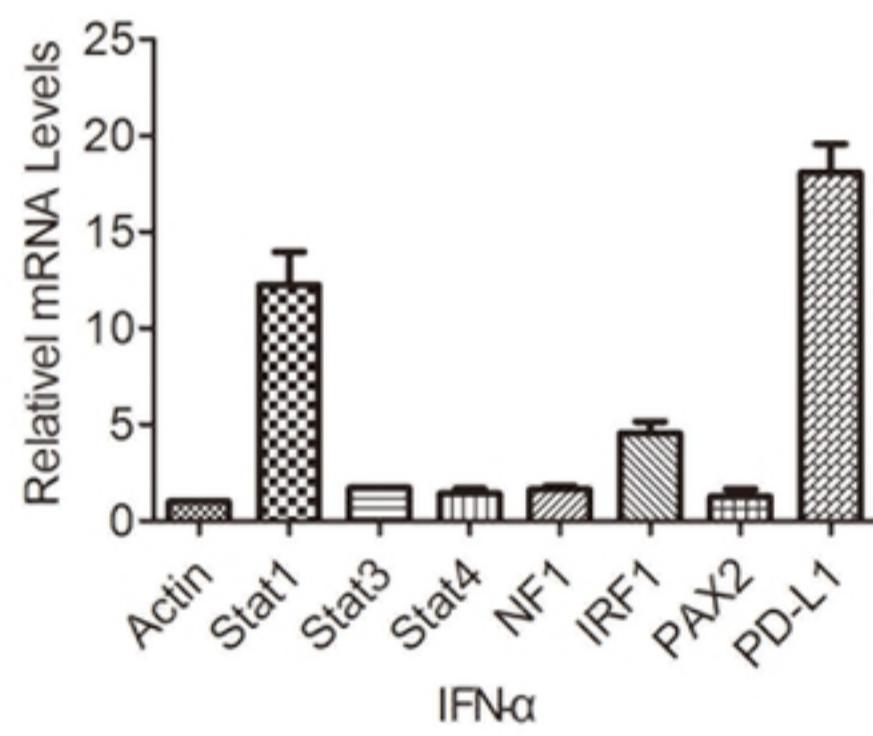
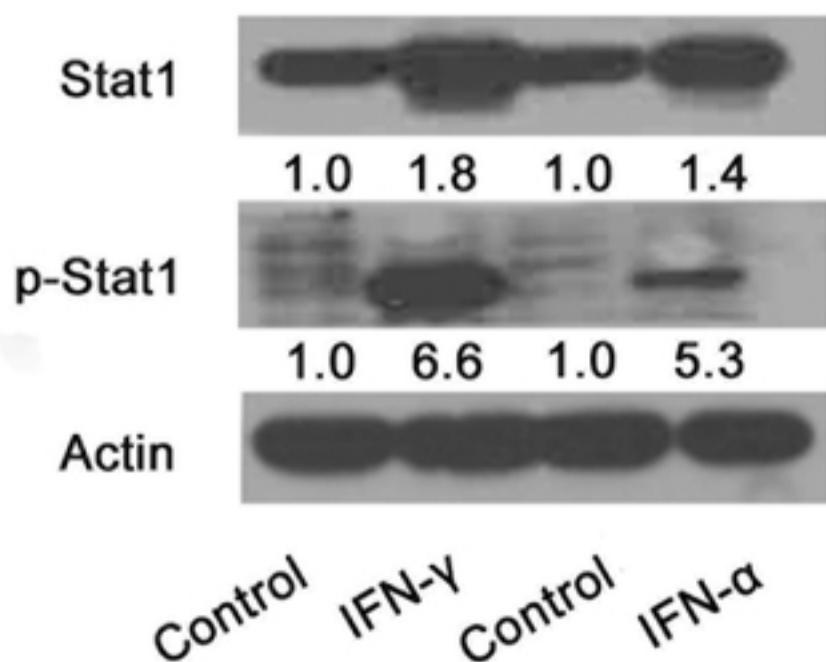
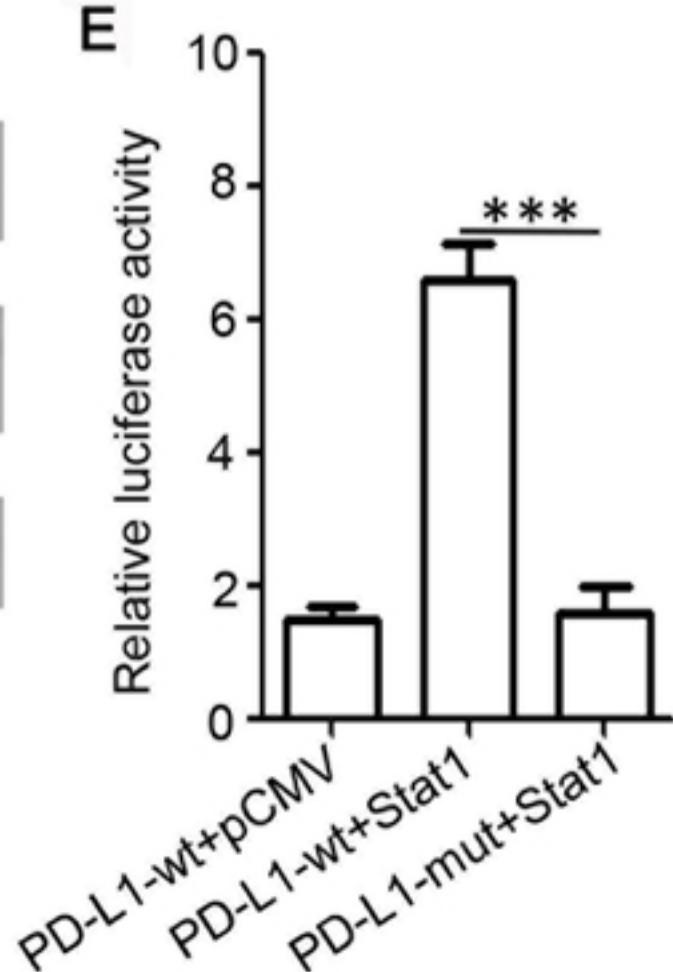
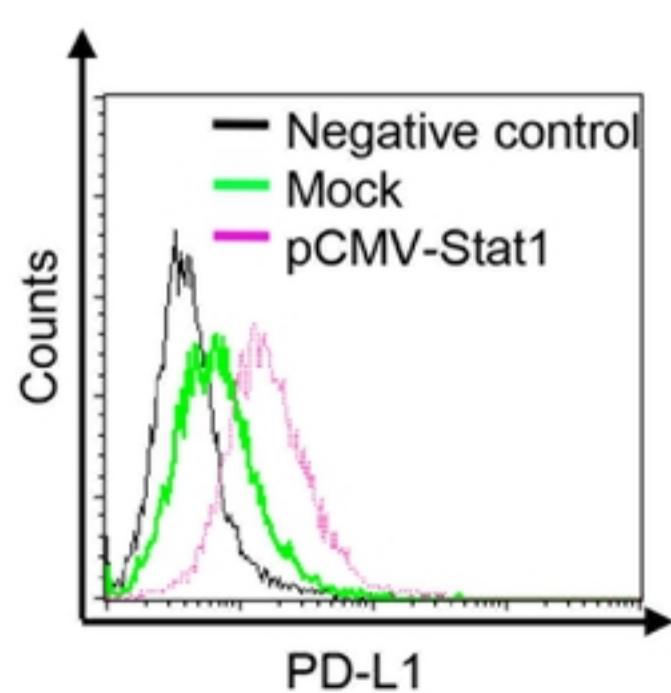


Fig1

A

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 -297 AGATGTTCAGTCACCTTGAAGAGGGCTTTATCAGAAAGGGGGACGCCTTCTGATAAAGGTT
Stat1
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NF1
 -171 TTGTTGTAATTTCTTTTATTAAATAACATACTAAATGTGGATTGCTTAATCTTCGAA
 -108 ACTCTTCCCGGTAAAATCTCATTACAAGAAAACTGGACTGACATGTTCACTTCTGTT
Stat3 PAX2 IRF1
 -45 CATTCTATACACAGCTTATTCTAGGACACCAACACTAGATACTAAACTGAAAGCTTCC
Stat4 **+1**
 18 GCCGATTCACCGAAGGTAGGAAGTCCAACGCCGGCAACTGGATTGCTGCCTTGG

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B**C****D****E****F**

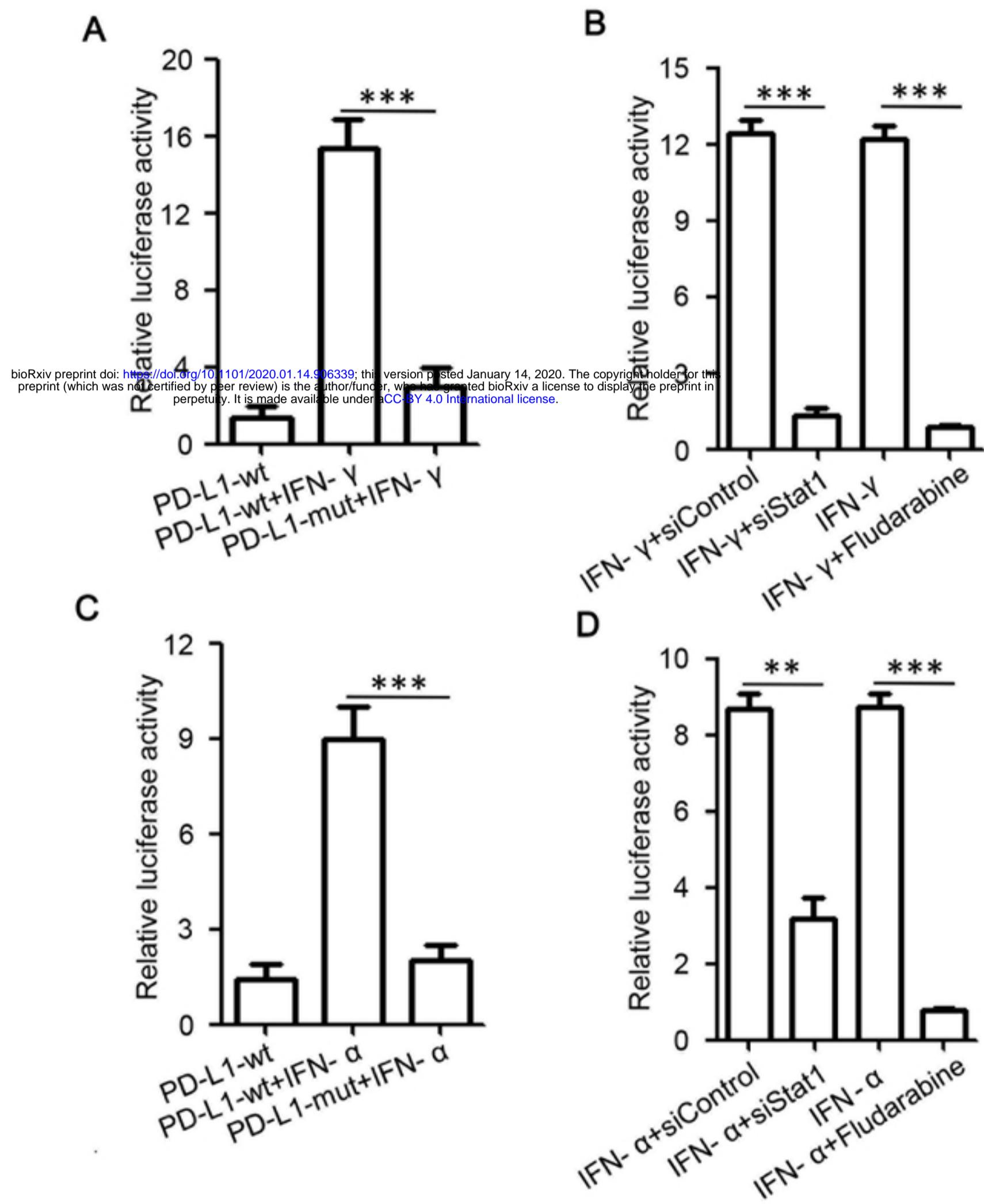
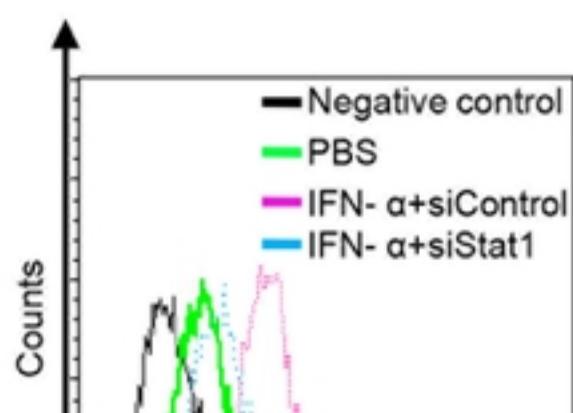
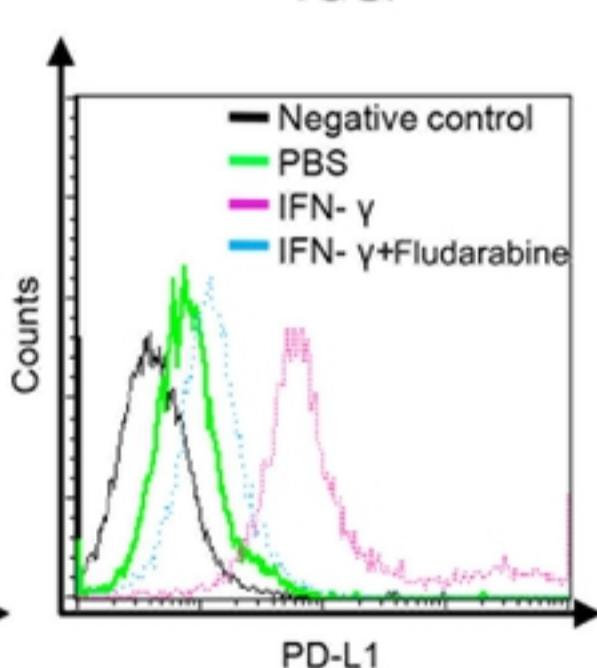
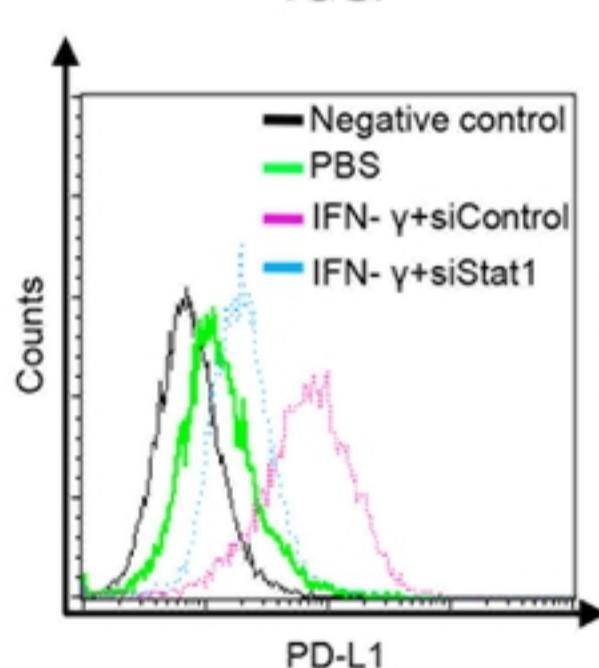
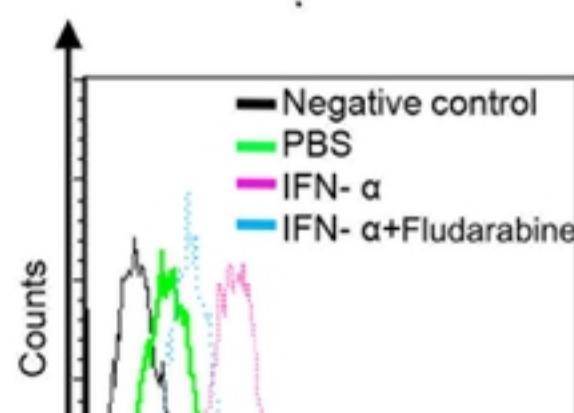


Fig3

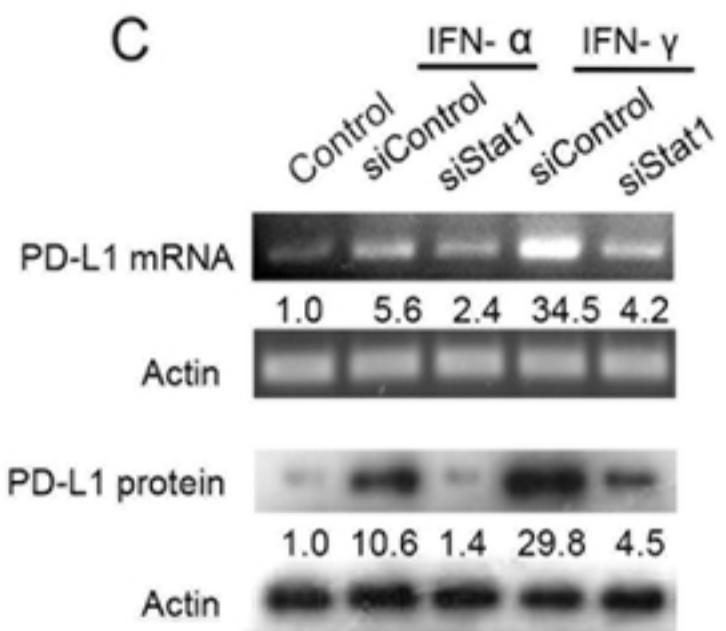
A



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C



D

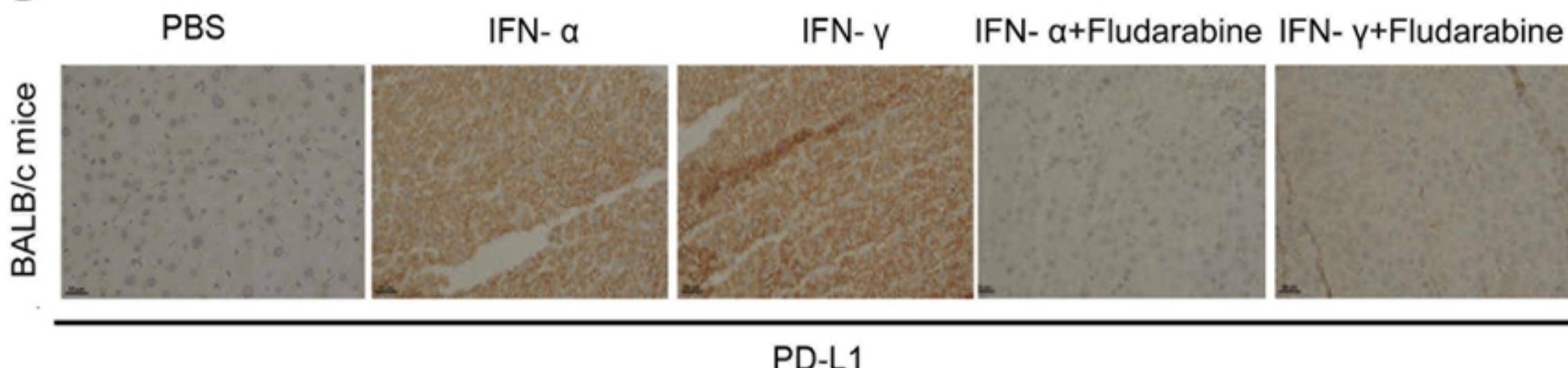
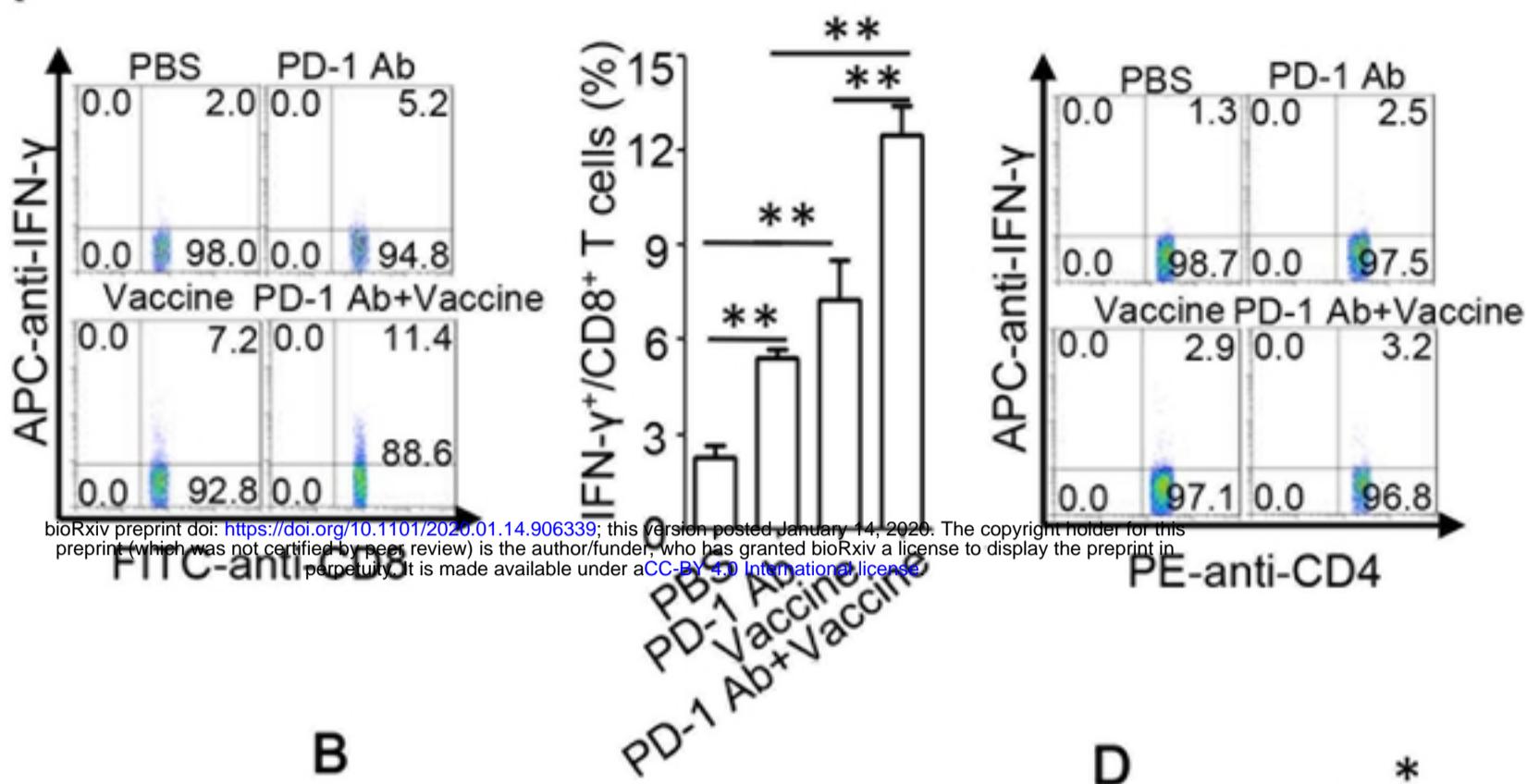
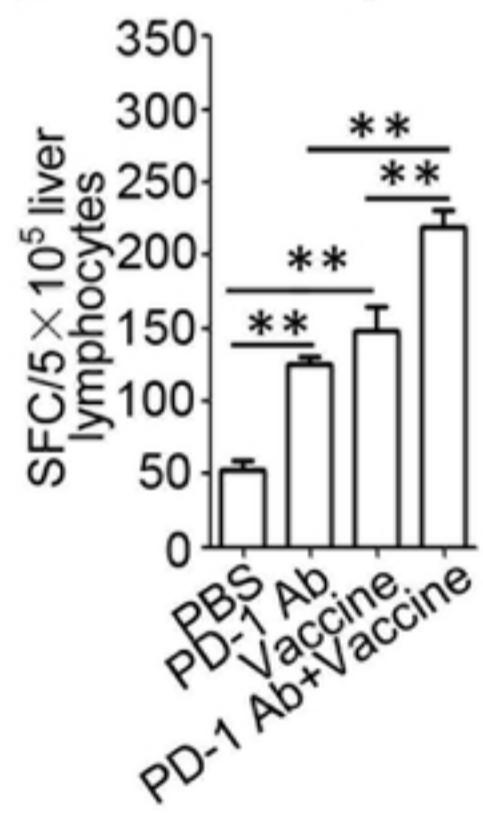
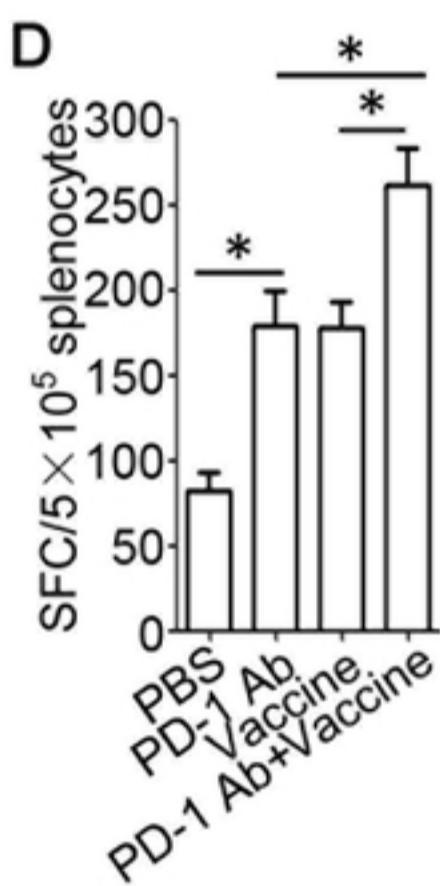
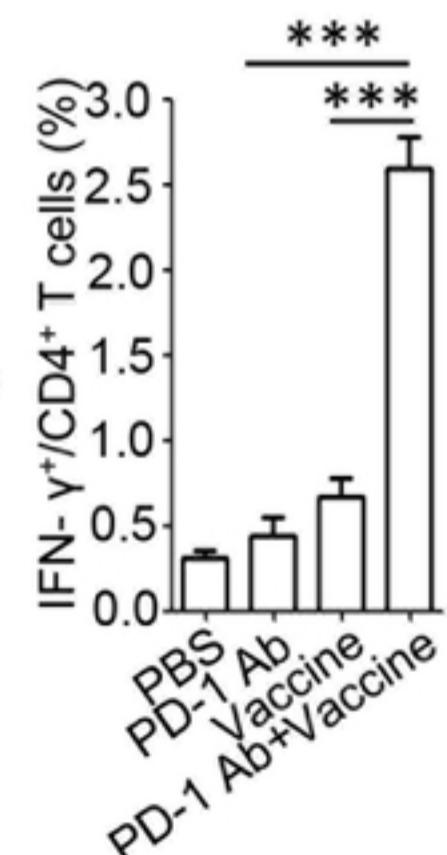
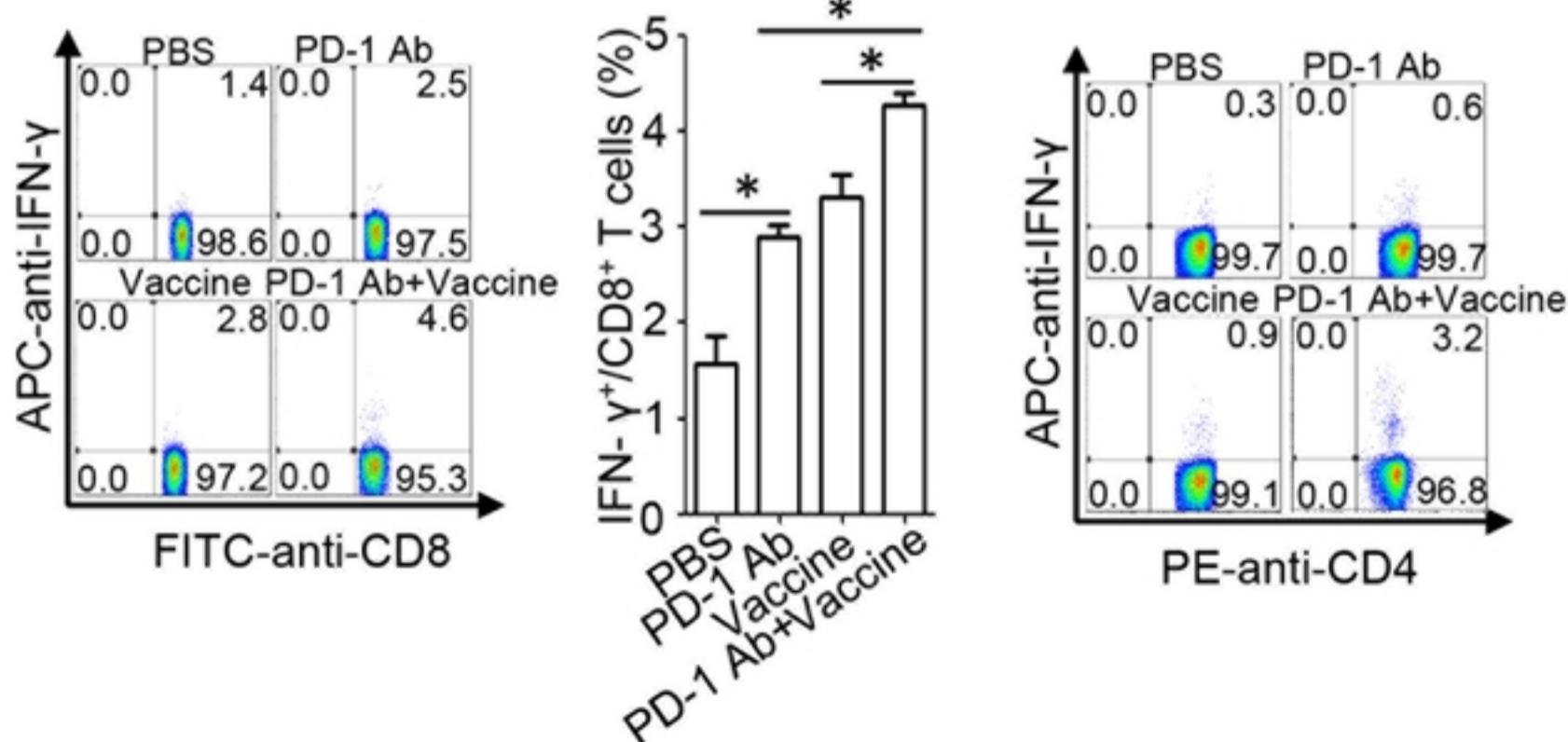
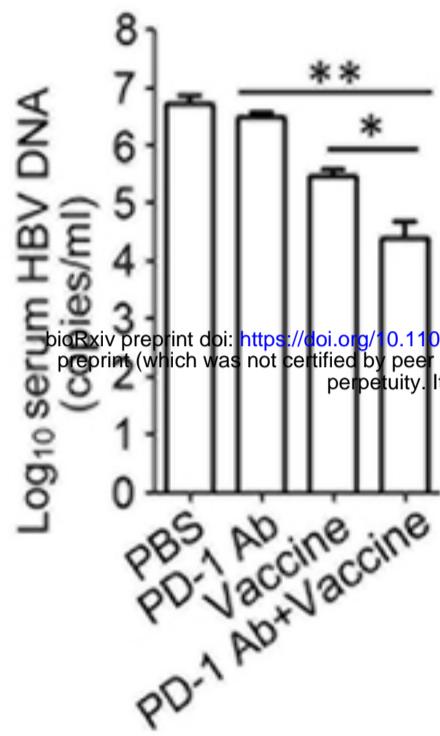
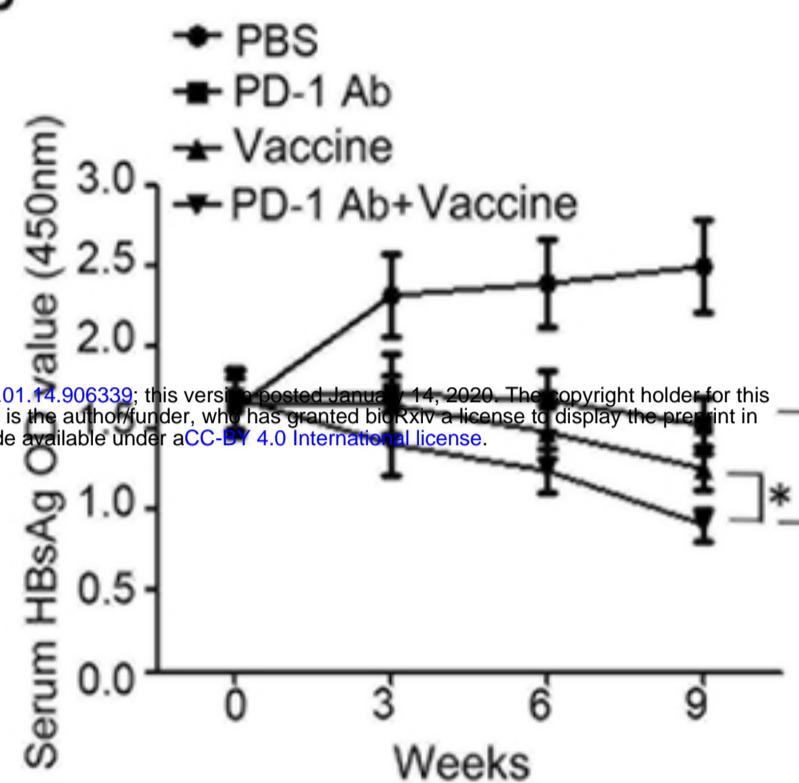
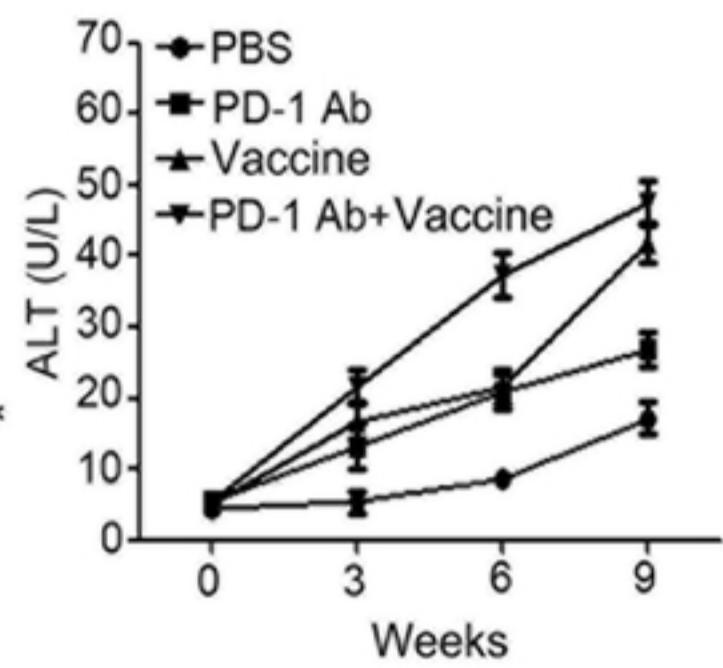


Fig4

A**B****D****C****Fig5**

A**B****D****C**