

PD-L1 upregulation by IFN- α / γ -mediated Stat1 suppresses anti-HBV T cell response

LanLan Liu^{1,2¶}, Junwei Hou^{1,3,¶}, Lijuan Qin^{1,3}, Weiwei Liu^{1,3}, Han Zhang^{1,3}, Yang Li^{1,3}, Mi Chen^{1,3}, Mengmeng Deng^{1,3}, Bao Zhao^{1,3}, Jun Hu^{1,3}, Huaguo Zheng^{1,3}, and Songdong Meng^{1,3,*}

¹CAS Key Laboratory of Pathogenic Microbiology and Immunology, Institute of Microbiology, Center for Biosafety Mega-Science, Chinese Academy of Sciences (CAS), Beijing, China

² Institutes of Physical science and information Technology, Anhui University, Hefei, China

³University of Chinese Academy of Sciences, Beijing, China

*Corresponding author

E-mail: mengsd@im.ac.cn (MSD)

¶These authors contributed equally to this work.

23 Abstract

24 Programmed death ligand 1 (PD-L1) has been recently shown to be a major
 25 obstacle to antiviral immunity by binding to its receptor programmed death 1 (PD-1)
 26 on specific IFN- γ producing T cells in chronic hepatitis B. Currently, IFN- α is widely
 27 used to treat hepatitis B virus (HBV) infection, but its antiviral effect vary greatly
 28 and the mechanism is not totally clear. We found that IFN- α/γ induced a marked
 29 increase of PD-L1 expression in hepatocytes. Signal and activators of transcription
 30 (Stat1) was then identified as a major transcription factor involved in
 31 IFN- α/γ -mediated PD-L1 elevation both *in vitro* and in mice. Blockage of the
 32 PD-L1/PD-1 interaction by a specific mAb greatly enhanced HBV-specific T cell
 33 activity by the gp96 adjuvanted therapeutic vaccine, and promoted HBV clearance in
 34 HBV transgenic mice. Our results demonstrate the IFN- α/γ -Stat1-PD-L1 axis plays an
 35 important role in mediating T cell hyporesponsiveness and inactivating
 36 liver-infiltrating T cells in the hepatic microenvironment. These data raise further
 37 potential interest in enhancing the anti-HBV efficacy of IFN- α and therapeutic
 38 vaccines.

40 Introduction

41 Up to 350 million individuals worldwide are currently chronically infected with
 42 hepatitis B virus (HBV). Ultimate HBV clearance requires the coordination of the
 43 potent T cell immune response and effective humoral immunity. However,

44 HBV-specific T cell response, which plays a vital role in HBV clearance, is severely
 45 impaired in chronic hepatitis B (CHB) patients, leading to long-term immune
 46 tolerance [1, 2]. Several mechanisms may contribute to HBV-specific T cell tolerance
 47 and exhaustion, including upregulation of co-inhibitory molecules such as
 48 programmed death 1 (PD-1), T - cell immunoglobulin and mucin domain -
 49 containing molecule 3 (TIM-3), T - cell immunoglobulin and ITIM domain
 50 (TIGIT), lymphocyte-activation gene 3 (LAG3), immunosuppressive prostaglandin
 51 E2 (PGE2) receptors, cytotoxic T-lymphocyte antigen 4 (CTLA-4), and proapoptotic
 52 protein Bcl2-interacting mediator (Bim) on HBV-specific CD8⁺ T cells, as well as on
 53 CD4⁺ T cells and NK cells. [3-5]. Additionally, regulatory T cells and suppressive
 54 cytokines also contribute to virus-specific T cell failure [6].

55 Among the co-expressed inhibitory receptors on T cells, programmed death
 56 ligand 1 (PD-L1) plays a critical role in impaired T cell immune responses. Of note,
 57 its ligand PD-L1, a 40 kDa transmembrane protein, is constitutively expressed on
 58 liver DCs, Kupffer cells, stellate cells, liver sinusoidal endothelial cells, and
 59 hepatocytes. Binding of PD-L1 to PD-1 leads to T cell dysfunction by inhibiting T
 60 cell activation, causing T cell exhaustion, anergy, and T cell apoptosis, as well as by
 61 inducing Treg differentiation [7-11]. In addition, elevated PD-L1 levels in liver were
 62 observed in chronic necroinflammatory liver diseases and autoimmune hepatitis [12,
 63 13]. These indicate the immune regulatory function of the liver microenvironment that
 64 may lead to T cell tolerance.

65 As an first-line treatment option, IFN- α -based therapies achieve a sustained

66 off-treatment response and a more likely functional cure, and prevent occurrence of
67 hepatocellular carcinoma in patients with CHB [14, 15]. Virus-specific IFN- γ
68 secreting CD8⁺ and CD4⁺ T cells are believed to play a key role on HBV clearance
69 and control [16-18]. However, both type I/II interferons were shown to promote
70 PD-L1 expression in hepatocytes, which may induce T cell apoptosis [19-21].
71 Therefore, further elucidating the mechanism of hepatic PD-L1 expression induced by
72 IFN- α/γ and its role in T cell response will shed light on the underlying mechanism of
73 antiviral T cell tolerance and the unique immunological properties of liver.

74 Here, we aimed to explore the mechanism of PD-L1 upregulation in hepatocytes
75 by IFN- α/γ and the potential role of PD-L1 in regulating virus-specific T cell
76 responses in liver. The results could provide valuable insights into the modulation of
77 hepatic PD-L1 expression by type I/II interferons, and offer novel therapeutic
78 combination strategies for reversing T cell immune tolerance in CHB.

79 **Materials and Methods**

80 **Cell Lines**

81 The human hepatic cell line L02 originated from normal human liver tissue
82 immortalized by stable transfection with the human telomerase reverse transcriptase
83 (hTERT) gene [22, 23]. The L02 and Huh7 cell lines were obtained from the Cell
84 Bank of the Chinese Academy of Sciences (Shanghai, China) and maintained in the
85 lab. The L02 and Huh7 cell lines were cultured in Dulbecco's modified Eagle's
86 medium (DMEM) containing 10% heat-inactivated fetal bovine serum, 1 g/L of
87 glucose, 1 mmol/L of glutamine, 100 U/mL of penicillin, and 100 μ g/mL of

streptomycin, and incubated in 5% CO₂ at 37°C.

Plasmids, Antibodies, and Reagents

The Stat1 expression plasmid pCMV-Stat1, pGL3-PD-L1 promoter-luciferase (PD-L1-wt) and pGL3-PD-L1 promoter-mutant-luciferase (PD-L1-mut) with mutated Stat1 binding site were constructed by our lab. Rabbit Stat1 antibody and phospho-Stat1 monoclonal antibodies were purchased from Cell Signaling Technology (MA, USA). The anti-PD-1 monoclonal antibody (mAb) was kindly provided by Beijing Combio Company (Beijing, China). Its binding capacity to PD-1 on T cells of mice was confirmed by flow cytometry analysis (data not shown). The PD-L1 monoclonal antibody was obtained from eBioscience (MA, USA). The specific Stat1 inhibitor fludarabine was from Selleck Chemicals (TX, USA). The Dual-Glo® Luciferase Assay System was purchased from Promega Corporation (WI, USA). The human IFN- α and IFN- γ proteins, as well as the murine IFN- α protein were purchased from Sino Biological Inc (Beijing, China). The HBs protein was kindly given by Beijing Tiantan Biological Products Company (Beijing, China). The gp96 and HBc proteins were expressed and purified in our lab respectively as described previously [24, 25]. The recombinant murine IFN- γ protein was purchased from PeproTech Inc. (NJ, USA). Mouse IFN- γ precoated ELISPOT kit was provided by Dakewe Inc. (Shenzhen, China). The HBsAg and HBeAg test kits were purchased from Shanghai Kehua Bio-Engineering Ltd. (Shanghai, China). HBV nucleic acid amplification fluorescent quantitative assay kit and Alanine Transaminase Assay kit were purchased from Beijing Biodee diagnostics Ltd. (Beijing, China). The sequences

of PD-L1 promoter and Stat1-specific siRNA are listed in Table 1.

Table 1. This is the sequences of promoter, siRNA

Gene	promoter /siRNA
PD-L1 promoter	5'-TCATAACCAATGCAAGGGCTATCTCAATATTCATTCATT ATGCAGTATTTTGAAGTGCAGTTGAAATGAATAAGAAGGA AAGGCAAACAACGAAGAGTCCAATTTCTCAATTTAGAAAA AGAGAAAAAAGAGAAAGGGAGCACACAGGCACGGTGGC TCAAGCCTGTAATATCAGCACTTTGGCGGATCACTTGAGGT CAAGGAGTTCGAGAAAAGAGAGCACCTAGAAGTTCAGCG CGGGATAATACTTAAGTAAATTATGACACCATCGTCTGTCA TCTTGGGCCCATTCACTAACCCAAAGCTTTCAAAGGGCTT TCTTAACCCTCACCTAGAATAGGCTTCCGCAGCCTTAATCC TTAGGGTGGCAGAATATCAGGGACCCTGAGCATTCTTAAA AGATGTAGCTCGGGATGGGAAGTTCTTTTAATGACAAAGC AAATGAAGTTTCATTATGTCGAGGAAGTTTGAGGAAGTCA CAGAATCCACGATTTAAAAATATATTTCTATTATACACCC ATACACACACACACACCTACTTTCTAGAATAAAAACCA AAGCCATATGGGTCTGCTGCTGACTTTTTATATGTTGTAGA GTTATATCAAGTTATGTCAAGATGTTTCAGTCACCTTGAAGA GGCTTTTATCAGAAAGGGGGACGCCTTTCTGATAAAGGTT AAGGGGTAACCTTAAGCTCTTACCCCTCTGAAGGTAAAAT CAAGGTGCGTTCAGATGTTGGCTTGTTGTAAATTTCTTTTTT TATTAATAACATACTAAATGTGGATTTGCTTTAATCTTCGA AACTCTTCCCGGTGAAAATCTCATTTACAAGAAAAGTGA CTGACATGTTTCACTTTCTGTTTCATTCTATACACAGCTTT ATTCCTAGGACACCAACACTAGATACCTAAAGTGAAGCT TCCGCCGATTTACCCGAAGGTCAGGAAAGTCCAACGCCCG GCAAAGTGGATTTGCTGCCTTGGGCAGAGGTGGGCGGGAC CCCGCCTCCGGGCCTGGCGCAACGCTGAGCAGCTGGCGCG TCCCGCGCGGCCCCAGTTCTGCGCAGCTTCCCGAGGCTCCG CACCAGCCGCGCTTCTGTCCGCTGCAGGTAGGGAGCGTT GTTCCTCCGCGGGTGCCACGGCCAGTATCTCTGGCTAGC TCGCTGGGCACTTTAGGACGGAGGGTCTCTACACCCTTTCT TTGGGATGGAGAGAGGAGAAGGGAAAGGGAACGCGAT-3'.
Stat1-specific siRNA	5'-GGGCAUCAUGCAUCUUACU-3'

Western blotting, flow cytometry analysis of cell membrane PD-L1 levels, immunohistochemistry (IHC) analysis, and real-time PCR were performed as

described previously [26]. Luciferase reporter assays were performed as described previously [27]. Flow cytometry and intracellular cytokine staining of CD4⁺ or CD8⁺ T cells, IFN- γ ELISPOT, serum ALT detection, and virology assessment (serum HBs and HBe Ag, and HBV DNA copies) were performed as described previously [24].

IFN- α and IFN- γ treatment in mice

Six-week-old male BALB/c mice were purchased from Vital River Laboratories. Mice were randomly divided into 5 groups (n=5/group) and injected with PBS, IFN- α (5×10^4 U/kg), IFN- γ (1.6×10^4 U/kg), and/or the specific Stat1 inhibitor fludarabine (40 mg/kg) every 3 days for 5 times, respectively. Three days after the last injection, all the mice were sacrificed, and the mouse livers were fixed in formalin and prepared for IHC analysis.

Combined therapy with anti-PD-1 mAb and HBV therapeutic vaccine

Six-week-old male BALB/c HBV transgenic mice were purchased from Transgenic Engineering Lab, Infectious Disease Center, Guangzhou, China. The HBV transgenic mice were generated with a viral DNA construct, pHBV1.3, containing 1.3 copies of the HBV genome. All transgenic mice were tested positive for serum HBsAg and viral DNA, as well as HBc expression in hepatocytes in their livers. Mice were randomly divided into 4 groups (n=5/group). Mice were subcutaneously immunized with HBV therapeutic vaccine (10 μ g HBs+10 μ g HBc + 25 μ g gp96/mouse) at weeks 1, 2, and 4, respectively, and/or intraperitoneally injected with anti-PD-1 mAb (100 μ g/mouse) at weeks 1, 2, 3, and 4, respectively. Mice were

sacrificed at week 9 for antiviral T cell analysis and virology assessment.

Statistical analysis

All data were presented as mean \pm SD, and significance was determined by two-tailed Student's t test unless specified. A *P* value of less than 0.05 was considered statistically significant. In figures * indicates for $P < 0.05$, ** for $P < 0.01$ and ***for $P < 0.001$.

Study approval

Animal studies were approved by the Institute of Microbiology, Chinese Academy of Sciences of Research Ethics Committee (permit number PZIMCAS2011001). All animal experiments were performed in strict accordance with institutional guidelines on the handling of laboratory animals.

Results

IFN- α / γ induce PD-L1 expression in hepatocytes

We first tested whether IFN- α and IFN- γ could affect PD-L1 expression in hepatocytes. As shown in Figure 1A, PD-L1 expression was increased by IFN- γ in a dose-dependent manner from 10 to 800 U/ml 48 h after treatment. Similar results were observed for IFN- α (Fig 1B). In vivo experiment, BALB/c mice were intraperitoneally injected with IFN- α or IFN- γ , and PD-L1 expression in liver tissues were examined by IHC. Treatment with IFN- α or IFN- γ induced abrupt increases of PD-L1 levels in BALB/c mice (Fig 1C).

We then determine if IFN- α / γ upregulate the expression of PD-L1 by affect its transcription level. Real-time PCR analysis showed that IFN- γ pronouncedly increased PD-L1 mRNA levels (Fig 1D). Similar results were observed for IFN- α (Fig 1E).

Fig 1. Effect of IFN- γ and IFN- α on PD-L1 expression both in vitro and in vivo.

(A and B) L02 and Huh7 cells were treated with IFN- γ (A) or IFN- α (B) at indicated concentrations for 48 h. Flow cytometric analysis was performed to detect cell membrane PD-L1 levels. Cells stained with control IgG served as a negative control. (C) BALB/c mice were treated with PBS, IFN- α , IFN- γ , as described in Materials and Methods. IHC analysis was performed for detection of PD-L1 expression levels in mouse livers. Scale bars, 50 μ m. (D and E) Real-time PCR analysis of PD-L1 mRNA levels in L02 cells treated with IFN- γ (D) or IFN- α (E) or PBS as control for 48 h.

Data are presented as mean \pm SD for three independent experiments. *** $p < 0.001$ compared to the control.

IFN- α / γ induce PD-L1 expression through activation of Stat1.

The PD-L1 promoter sequence was subjected to bioinformatics analysis (<http://gpminer.mbc.nctu.edu.tw/>), revealing that there are several putative transcription factor binding sites in the promoter region, including sites for Stat1, NF1, Stat3, PAX2, IRF1 and Stat4 (Fig 2A). We next tested the effect of IFN- γ or IFN- α on expression of these transcription factors. L02 cells stimulated with IFN- γ for 24 h, and Stat1 mRNA level was the mostly increased (around 10 times) compared to the other transcription factors (Fig 2B). Same results were obtained for IFN- α treatment (Fig 2C). The protein expression of Stat1 and its phosphorylation were also stimulated by IFN- γ or IFN- α (Fig 2D). As shown in Figure 2E and 2F, Stat1 overexpression by transfection with the plasmid pCMV-Stat1 in L02 cells led to an obvious increase in the wild-type but not mutant PD-L1 promoter activity (~ 3.5 -fold) ($P < 0.001$) and significant elevation of cell membrane PD-L1 levels. These results indicate that IFN- α / γ upregulates the key PD-L1 transcription factor Stat1.

Fig 2. Identification of Stat1 as a key transcription factor of PD-L1 induced by IFN- α / γ . (A) Sequence analysis of the PD-L1 promoter was performed online at <http://gpminer.mbc.nctu.edu.tw/>. The putative binding sites for several cis-acting

elements are indicated. (B and C) Real-time PCR analysis of mRNA levels of putative transcription factors in L02 cells treated with IFN- α (B) or IFN- γ (C) or PBS as control for 24 h. The mRNA levels of control were arbitrarily set as 1.0. (D) L02 cells treated with 80 U/ml IFN- γ or 50 U/ml IFN- α or PBS as control for 48h and Stat1 and phosphorylated Stat1 (p-Stat1) levels were determined by western blot. (E) L02 cells were co-transfected with PD-L1 promoter luciferase reporter plasmid with a wild type (PD-L1 wt) or mutated Stat1 binding site (PD-L1 mut) and pCMV-Stat1 (Stat1) or pCMV as a mock. The relative luciferase activity was determined using dual luciferase assay kit 48 h later. (F) Cell membrane PD-L1 levels in L02 cells transfected with pCMV-Stat1 were determined by flow cytometry. Data are presented as mean \pm SD for three independent experiments. *** $p < 0.001$ compared to the control.

To further determine the role of Stat1 in IFN- α/γ -induced PD-L1 expression, L02 cells were transfected with PD-L1 luciferase reporter plasmid with a wild type or mutated Stat1 binding site and incubated with IFN- γ for 48 h. As seen in Fig 3A, IFN- γ treatment increased the activity of wild type but not the mutated promoter. Moreover, stat1 depletion by siRNA or its inhibitor fludarabine largely abolished IFN- γ -induced PD-L1 promoter activation (Fig 3B). Similar results were observed for IFN- α (Fig 3C and 3D).

Fig 3. IFN- α/γ upregulate PD-L1 expression in a Stat1 dependent manner. (A and

C) L02 cells were transfected with PD-L1 promoter luciferase reporter plasmid with a wild type or mutated Stat1 binding site and incubated with 80 U/ml IFN- γ (A) or 50 U/ml IFN- α (C) for 48 h. (B and D) L02 cells transfected with PD-L1 promoter luciferase reporter plasmid were co-treated with IFN- γ (B) or IFN- α (D) and Stat1 siRNA or fludarabine (5 μ g/ml) for 48 h. The relative luciferase activity was determined using dual luciferase assay. Data are presented as mean \pm SD for three independent experiments. ** $p < 0.01$, and *** $p < 0.001$ compared to the control.

Similarly, PD-L1 upregulation by IFN- α/γ was mostly abrogated under Stat1 depletion by siRNA or inhibition by fludarabine (Fig 4A and 4B). Similar results were observed in total PD-L1 mRNA levels and protein levels (Fig 4C). In addition, treatment with IFN- α or IFN- γ induced abrupt increases of PD-L1 levels in BALB/c mice, which was observably suppressed by fludarabine (Fig 4D). Based on these results, it can be demonstrated that IFN-induced PD-L1 expression is mainly via upregulation and activation of its transcription factor Stat1.

Fig 4. Inhibition of Stat1 abrogates IFN- α/γ -induced upregulation of PD-L1.

(A-C) Cell membrane PD-L1 levels were detected by flow cytometry in L02 cells co-treated with 80 U/ml IFN- α (A) or 50 U/ml IFN- γ (B), and Stat1 siRNA or fludarabine (5 μ g/ml) for 48 h. Cells stained with control IgG served as a negative control. The mRNA and protein levels of PD-L1 were analyzed using real-time PCR and western blotting, respectively (C). (D) BALB/c mice were treated with PBS,

IFN- α , and IFN- γ , and fludarabine as described in Materials and Methods. IHC analysis was performed for detection of PD-L1 expression levels in mouse livers. Scale bars, 50 μ m. The experiments were performed twice with similar results.

Blockage of PD-L1/PD-1 interaction enhances the HBV-specific T cell response and facilitates viral clearance in HBV transgenic mice.

Finally, based on our previous studies showing that a heat shock protein gp96-based therapeutic vaccine induces a potent antiviral T cell response in HBV transgenic mice [24, 25], we further investigated possible synergy between the therapeutic vaccine and PD-L1/PD-1 blockage on induction of anti-HBV T cell immunity. HBV transgenic mice were vaccinated with gp96 vaccine containing gp96 adjuvant, HBsAg and HBcAg, along with four doses treatment with an anti-PD-1 mAb. As shown in Fig 5A, gp96-based therapeutic vaccine induced IFN- γ -secreting CD8⁺ and CD4⁺ T cells in mouse livers, and importantly, co-treatment with anti-PD-1 mAb resulted in a significant increase in IFN- γ -secreting T cells compared to the vaccine alone(all P < 0.05 or 0.01). Similar results were obtained in ELISPOT assay (Fig 5B). Besides, significant increases of antiviral T cell responses in the spleen of immunized mice were also observed under treatment with anti-PD-1 mAb (Fig 5C and 5D).

Fig 5. Anti-PD-1 mAb treatment enhances the HBV-specific T cell responses

induced by gp96 therapeutic vaccine in HBV transgenic mice. HBV transgenic mice were immunized with the therapeutic vaccine containing HBsAg, HBcAg, and gp96 adjuvant at wks 1, 2, and 4, and/or treated with anti-PD-1 mAb (n=5 mice/group). Mice were sacrificed at wk 9 for immunological analysis. Flow cytometric analysis was performed to quantify IFN γ ⁺CD8⁺ or IFN γ ⁺CD4⁺ T cell populations in the liver (A) or spleen (C) of mice. For IFN- γ ELISPOT assay, lymphocytes from liver (B) or spleen (D) (5×10^5 cells/well) were stimulated with HBsAg/HBcAg (5 μ g/ml each), or BSA as a negative control for background evaluation. Data are presented as the mean \pm SD for five mice from two independent experiments. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$ using t-tests.

Significant decreases in serum HBV DNA and HBsAg levels were observed in gp96 vaccine-immunized mice treated with anti-PD-1 mAb compared to untreated mice (both $P < 0.05$) (Fig 6A and 6B). Mice receiving the combined treatment of gp96 vaccine with anti-PD-1 exhibited significantly reduced hepatic HBcAg expression compared to either treatment alone (anti-PD-1 vs anti-PD-1+gp96 vaccine, 53 ± 7.6 vs 4 ± 1 , $P < 0.001$; gp96 vaccine vs anti-PD-1+gp96 vaccine, 20 ± 3 vs 4 ± 1 , $P < 0.001$) (Fig 6C). Moderate elevation of the serum ALT levels was observed in anti-PD-1 mAb-treated and gp96 vaccine-immunized mice (Fig 6D). Taken together, these data indicate that blockage of the interaction between PD-1 and PD-L1 which expression may be upregulated by IFN- γ -secreting CD8⁺ and CD4⁺ T cells significantly enhances vaccine-mediated T cell response against HBV.

Fig 6. Suppression of HBV expression and replication by gp96 the therapeutic vaccine is enhanced by treatment with anti-PD-1 mAb. HBV transgenic mice were immunized and treated as in Fig 5. Mice were sacrificed at wk 9 for virological analysis. (A) Serum HBV DNA levels were quantified by real-time PCR. (B) Serum HBsAg was detected by ELISA at wks 0, 3, 6, 9, respectively. (C) IHC analysis of HBcAg expression in mouse liver tissues. The HBcAg-positive hepatocytes were counted in ten random fields under the microscope and the average number was calculated. Scale bars, 50 μ m. (D) Serum ALT levels were detected by ELISA at wks 0, 3, 6, 9. Data are presented as the mean \pm SD for five mice from two independent experiments. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$ using t-tests.

Discussion

In this study, our findings identified Stat1 as the key transcription factor for hepatic PD-L1 expression through its phosphorylation induced by IFN- α/γ . Importantly, the blockage of PD-L1/PD-1 enhanced vaccine-induced antiviral T cell responses in HBV transgenic mice. Therefore, we present a new model in which IFN- α/γ activates Stat1 which promotes PD-L1 transcription and expression, and elevated PD-L1 expression in liver may contribute to impaired T cell responses, hampering the development of the virus-specific immune response in CHB.

Previous studies show that PD-L1 expression is regulated by the transcription

factor Stat3 in T cell lymphoma and NF- κ B in myelodysplastic syndromes blasts [28, 29]. In screening of potential transcription factors of PD-L1 in hepatocytes we found that Stat1 induced the most significant upregulation of PD-L1, suggesting that the regulation of PD-L1 expression can be exquisitely cell-type specific. In this study, IFN- α/γ pronouncedly promoted hepatic Stat1 phosphorylation both *in vitro* and in mice, likely through the Jak/Stat1 pathway [30, 31]. Furthermore, inhibition of Stat1 activation by its inhibitor or Stat1 depletion by RNAi largely abolished IFN- α/γ -mediated PD-L1 upregulation. Our results therefore indicate that Stat1 acts as a major transcription factor in IFN- α/γ -induced PD-L1 elevation in liver.

IFN- γ and TNF- α , produced by T cells, reduce levels of HBV cccDNA in hepatocytes by inducing deamination and subsequent cccDNA decay [32]. However clinical evidence shows that the CD8⁺ T cells in CHB patients lose their antiviral function and ability to proliferate, which is characterized by T cell exhaustion, suppressed cytokine production and excessive inhibitory signals [33-35]. The co-inhibitory receptor PD-1, expressed on T-cells, delivers negative signals when engaged by its ligand PD-L1, expressed on dendritic cells, macrophages, endothelial cells and hepatocytes; to attenuate T cell activation, effector functions and survival [36]. Recent studies show that PD-1/PD-L1 pathway contributes to the suppression of HBV-specific T cell function in both HBV transgenic mice and CHB patient [4, 37-40]. In addition, treatment with anti-PD-1 or anti-PD-L1 mAbs results in the enhancement or restoration of antiviral T cell function in mice [37, 38, 41-43]. Furthermore, blockage of the interaction between PD-1 and PD-L1 by specific

antibodies also leads to restoration of HBV-specific T cell function, enhanced antiviral immunity, and HBsAg decline in CHB[41, 42, 44-46] . In this study, we found that the IFN- α/γ -Stat1 axis may play a role and serve as a potential drug target for hepatic PD-L1 expression which may lead to T cell inactivation, and blockage of PD-1/PD-L1 reversed liver-infiltrating virus-specific T cell activity and enhanced gp96 vaccine-induced antiviral efficiency in HBV transgenic mice.

In this study we found that IFN- γ pronouncedly promoted hepatic PD-L1 expression so it is conceivable that in CHB hepatocytes may predispose intrinsic defects in hyporesponsiveness of virus-specific IFN- γ -secreting CD8⁺ and CD4⁺ T cells by upregulation of PD-L1 expression in liver. PD-L1 overexpression may protect HBV-infected hepatocytes from T cell-mediated viral inhibition, which underlies the need for a combination strategy to directly activate T cells by vaccination and block PD-L1/PD-1-mediated immune suppression for optimal anti-HBV immunity.

Meanwhile, it is possible that PD-L1 may exert beneficial effects by preventing overactivation of inflammation and T cell responses in CHB [47, 48]. More studies are needed to dissect the immunoregulatory mechanisms of PD-L1 in various States of HBV infection, and its use as a potential prognostic marker in disease progression as seen in cancer patients [7].

IFN- α , the first drug licensed to treat HBV infection, has been extensively used as one of the major standard treatments for CHB [14, 15, 49, 50]. In this study, we found that IFN- α significantly enhances PD-L1 expression in mouse livers, which may negatively affect the anti-HBV efficiency of IFN- α . Our current work may

provide further dissection of the limited effectiveness of therapeutic IFN- α in CHB and beneficial help in the design of a more efficient combined anti-HBV therapy for this first-line drug.

In conclusion, our study provides further understanding of IFN- α / γ -induced PD-L1 function in the complex regulatory networks that orchestrate T cell immune defects and immunotolerance in chronic viral infections. Given the broad immunoinhibitory function of PD-L1 and current promising anti-PD-L1/PD-1 therapies in cancer, our work provides valuable insights into IFN-induced PD-L1 elevation in hepatic microenvironment immunotolerance and raises further potential interest in enhancing the anti-HBV efficacy of therapeutic HBV vaccines and IFN- α by blocking PD-L1/PD-1.

Acknowledgments

The authors are grateful to Beijing Combio Company for the anti-PD-1 monoclonal antibody (mAb). and thankful to Beijing Tiantan Biological Products Company for the HBs protein. This work was supported by a grant from the Strategic Priority Research Program of the Chinese Academy of Sciences (XDB29040000), a grant from One Belt and One Road International Science and Technology Cooperation of Chinese Academy of Sciences (153211KYSB20170001), and grants from the National Natural Science Foundation of China (81761128002, 81621091, 81871297, 81672815, 81471960, 81903142).

Author Contributions

Conceptualization: Songdong Meng.

Formal analysis: Lanlan Liu, Junwei Hou.

Funding acquisition: Songdong Meng.

Investigation: Lanlan Liu, Junwei Hou, Lijuan Qin, Weiwei Liu, Han Zhang, Yang Li.

Mi Chen, Mengmeng Deng, Bao Zhao, Jun Hu, Huaguo Zheng

Supervision: Songdong Meng.

Writing – original draft: Lanlan Liu, Junwei Hou.

Writing – review & editing: Songdong Meng

References

1. Knolle PA, Thimme R. Hepatic immune regulation and its involvement in viral hepatitis infection. *Gastroenterology*. 2014;146(5):1193-207.
2. Han Q, Lan P, Zhang J, Zhang C, Tian Z. Reversal of hepatitis B virus - induced systemic immune tolerance by intrinsic innate immune stimulation. *Journal of gastroenterology and hepatology*. 2013;28:132-7.
3. Schurich A, Khanna P, Lopes AR, Han KJ, Peppas D, Micco L, et al. Role of the coinhibitory receptor cytotoxic T lymphocyte antigen - 4 on apoptosis - Prone CD8 T

cells in persistent hepatitis B virus infection. *Hepatology* (Baltimore, Md).
2011;53(5):1494-503.

4. Sung PS, Park DJ, Kim J-H, Han JW, Lee EB, Lee GW, et al. Ex vivo detection
and characterization of hepatitis B virus-specific CD8+ T cells in patients considered
immune tolerant. *Frontiers in Immunology*. 2019;10.

5. Chen JH, Perry CJ, Tsui Y-C, Staron MM, Parish IA, Dominguez CX, et al.
Prostaglandin E2 and programmed cell death 1 signaling coordinately impair CTL
function and survival during chronic viral infection. *Nature medicine*. 2015;21(4):327.

6. Manigold T, Racanelli V. T-cell regulation by CD4 regulatory T cells during
hepatitis B and C virus infections: facts and controversies. 2007.

7. Ostrand-Rosenberg S, Horn LA, Haile ST. The programmed death-1
immune-suppressive pathway: barrier to antitumor immunity. *Journal of immunology*
(Baltimore, Md : 1950). 2014;193(8):3835-41.

8. Cabrera R, Tu Z, Xu Y, Firpi RJ, Rosen HR, Liu C, et al. An immunomodulatory
role for CD4(+)CD25(+) regulatory T lymphocytes in hepatitis C virus infection.
Hepatology (Baltimore, Md). 2004;40(5):1062-71.

9. Park JJ, Wong DK, Wahed AS, Lee WM, Feld JJ, Terrault N, et al. Hepatitis B
Virus--Specific and Global T-Cell Dysfunction in Chronic Hepatitis B.
Gastroenterology. 2016;150(3):684-95.e5.

10. Butte MJ, Peñacruz V, Kim MJ, Freeman GJ, Sharpe AH. Interaction of human
PD-L1 and B7-1. 2008;45(13):3567-72.

11. Protzer U, Maini MK, Knolle PA. Living in the liver: hepatic infections. *Nature Reviews Immunology*. 2012;12(3):201-13.
12. Kassel R, Cruise MW, Iezzoni JC, Taylor NA, Hahn YS. Chronically Inflamed Livers Up-Regulate Expression of Inhibitory B7 Family Members. *Hepatology* (Baltimore, Md). 2009;50(5):1625-37.
13. Agina HA, Ehsan NA, Abd-Elaziz TA, Abd-Elfatah GA, Said EM, Sira MM. Hepatic expression of programmed death-1 (PD-1) and its ligand, PD-L1, in children with autoimmune hepatitis: relation to treatment response. *Clinical and experimental hepatology*. 2019;5(3):256.
14. Ren H, Huang Y. Effects of pegylated interferon - α based therapies on functional cure and the risk of hepatocellular carcinoma development in patients with chronic hepatitis B. *Journal of viral hepatitis*. 2019;26:5-31.
15. Isorce N, Lucifora J, Zoulim F, Durantel D. Immune-modulators to combat hepatitis B virus infection: From IFN- α to novel investigational immunotherapeutic strategies. *Antiviral research*. 2015;122:69-81.
16. Wang H, Luo H, Wan X, Fu X, Mao Q, Xiang X, et al. TNF- α /IFN- γ profile of HBV-specific CD4 T cells is associated with liver damage and viral clearance in chronic HBV infection. *Journal of hepatology*. 2019.
17. Lang J, Neumann-Haefelin C, Thimme R. Immunological cure of HBV infection. *Hepatology international*. 2019;13(2):113-24.
18. Benechet AP, Iannacone M. Determinants of hepatic effector CD8⁺ T cell dynamics. *Journal of hepatology*. 2017;66(1):228-33.

19. Muhlbauer M. PD-L1 is induced in hepatocytes by viral infection and by interferon-alpha and-gamma and mediates T cell apoptosis. 2006;45(4):520-8.
20. Zeng Z, Li L, Chen Y, Wei H, Sun R, Tian Z. Interferon-gamma facilitates hepatic antiviral T cell retention for the maintenance of liver-induced systemic tolerance. The Journal of experimental medicine. 2016;213(6):1079-93.
21. Li N, Wang J, Zhang N, Zhuang M, Zong Z, Zou J, et al. Cross-talk between TNF- α and IFN- γ signaling in induction of B7-H1 expression in hepatocellular carcinoma cells. Cancer Immunology, Immunotherapy. 2018;67(2):271-83.
22. An J, Guo P, Shang Y, Zhong Y, Zhang X, Yu Y, et al. The "adaptive responses" of low concentrations of HBCD in L02 cells and the underlying molecular mechanisms. Chemosphere. 2016;145:68-76.
23. Li C, Wang Y, Wang S, Wu B, Hao J, Fan H, et al. Hepatitis B virus mRNA-mediated miR-122 inhibition upregulates PTTG1-binding protein, which promotes hepatocellular carcinoma tumor growth and cell invasion. Journal of virology. 2013;87(4):2193-205.
24. Zhao B, Wang Y, Zhang Y, Li Y, Zhang X, Xu Y, et al. TAT-mediated gp96 transduction to APCs enhances gp96-induced antiviral and antitumor T cell responses. Vaccine. 2013;31(3):545-52.
25. Wang S, Qiu L, Liu G, Li Y, Zhang X, Jin W, et al. Heat shock protein gp96 enhances humoral and T cell responses, decreases Treg frequency and potentiates the anti-HBV activity in BALB/c and transgenic mice. Vaccine. 2011;29(37):6342-51.

26. Hou J, Li X, Li C, Sun L, Zhao Y, Zhao J, et al. Plasma membrane gp96 enhances invasion and metastatic potential of liver cancer via regulation of uPAR. *Molecular oncology*. 2015;9(7):1312-23.
27. Fan H, Yan X, Zhang Y, Zhang X, Gao Y, Xu Y, et al. Increased expression of Gp96 by HBx-induced NF-kappaB activation feedback enhances hepatitis B virus production. *PloS one*. 2013;8(6):e65588.
28. Marzec M, Zhang Q, Goradia A, Raghunath PN, Liu X, Paessler M, et al. Oncogenic kinase NPM/ALK induces through STAT3 expression of immunosuppressive protein CD274 (PD-L1, B7-H1). *Proc Natl Acad Sci U S A*. 2008;105(52):20852-7.
29. Kondo A, Yamashita T, Tamura H, Zhao W, Tsuji T, Shimizu M, et al. Interferon-gamma and tumor necrosis factor-alpha induce an immunoinhibitory molecule, B7-H1, via nuclear factor-kappaB activation in blasts in myelodysplastic syndromes. *Blood*. 2010;116(7):1124-31.
30. Park EH, Koh SS, Srisuttee R, Cho IR, Min HJ, Jhun BH, et al. Expression of HBX, an oncoprotein of hepatitis B virus, blocks reoviral oncolysis of hepatocellular carcinoma cells. *Cancer gene therapy*. 2009;16(5):453-61.
31. Matsuda Y, Ichida T. Impact of hepatitis B virus X protein on the DNA damage response during hepatocarcinogenesis. *Medical molecular morphology*. 2009;42(3):138-42.
32. Xia Y, Stadler D, Lucifora J, Reisinger F, Webb D, Hosel M, et al. Interferon-gamma and Tumor Necrosis Factor-alpha Produced by T Cells Reduce the

476 HBV Persistence Form, cccDNA, Without Cytolysis. Gastroenterology.
477 2016;150(1):194-205.

478 33. Klenerman P, Hill A. T cells and viral persistence: lessons from diverse
479 infections. Nature immunology. 2005;6(9):873-9.

480 34. van der Burg SH, Arens R, Melief CJ. Immunotherapy for persistent viral
481 infections and associated disease. Trends in immunology. 2011;32(3):97-103.

482 35. Hakim MS, Rahmadika N, Jariah RO. Expressions of inhibitory checkpoint
483 molecules in acute and chronic HBV and HCV infections: Implications for
484 therapeutic monitoring and personalized therapy. Reviews in Medical Virology. 2019.

485 36. Weyand CM, Berry GJ, Goronzy JJ. The immunoinhibitory PD - 1/PD - L1
486 pathway in inflammatory blood vessel disease. Journal of leukocyte biology.
487 2018;103(3):565-75.

488 37. Boni C, Fisicaro P, Valdatta C, Amadei B, Di Vincenzo P, Giuberti T, et al.
489 Characterization of hepatitis B virus (HBV)-specific T-cell dysfunction in chronic
490 HBV infection. Journal of virology. 2007;81(8):4215-25.

491 38. Maier H, Isogawa M, Freeman GJ, Chisari FV. PD-1: PD-L1 interactions
492 contribute to the functional suppression of virus-specific CD8⁺ T lymphocytes in the
493 liver. The Journal of Immunology. 2007;178(5):2714-20.

494 39. Frebel H, Richter K, Oxenius A. How chronic viral infections impact on antigen
495 - specific T - cell responses. European journal of immunology. 2010;40(3):654-63.

496 40. Yong YK, Saeidi A, Tan HY, Rosmawati M, Enström PF, Batran RA, et al.
497 Hyper-expression of PD-1 is associated with the levels of exhausted and

dysfunctional phenotypes of circulating CD161⁺⁺ TCR α 7. 2⁺ mucosal-associated invariant T cells in chronic hepatitis B virus infection. *Frontiers in immunology*. 2018;9:472.

41. Liu J, Zhang E, Ma Z, Wu W, Kosinska A, Zhang X, et al. Enhancing virus-specific immunity in vivo by combining therapeutic vaccination and PD-L1 blockade in chronic hepadnaviral infection. *PLoS pathogens*. 2014;10(1):e1003856.

42. Bengsch B, Martin B, Thimme R. Restoration of HBV-specific CD8⁺ T cell function by PD-1 blockade in inactive carrier patients is linked to T cell differentiation. *Journal of hepatology*. 2014;61(6):1212-9.

43. Isogawa M, Chung J, Murata Y, Kakimi K, Chisari FV. CD40 activation rescues antiviral CD8⁺ T cells from PD-1-mediated exhaustion. *PLoS pathogens*. 2013;9(7):e1003490.

44. Fisicaro P, Valdatta C, Massari M, Loggi E, Biasini E, Sacchelli L, et al. Antiviral intrahepatic T-cell responses can be restored by blocking programmed death-1 pathway in chronic hepatitis B. *Gastroenterology*. 2010;138(2):682-93. e4.

45. Gane E, Verdon DJ, Brooks AE, Gaggar A, Nguyen AH, Subramanian GM, et al. Anti-PD-1 blockade with nivolumab with and without therapeutic vaccination for virally suppressed chronic hepatitis B: a pilot study. *Journal of hepatology*. 2019;71(5):900-7.

46. Jacobi FJ, Wild K, Smits M, Zoldan K, Csernalabics B, Flecken T, et al. OX40 stimulation and PD-L1 blockade synergistically augment HBV-specific CD4 T cells

in patients with HBeAg-negative infection. Journal of hepatology.

2019;70(6):1103-13.

47. Dong H, Zhu G, Tamada K, Flies DB, van Deursen JM, Chen L. B7-H1

determines accumulation and deletion of intrahepatic CD8(+) T lymphocytes.

Immunity. 2004;20(3):327-36.

48. Fletcher SP, Chin DJ, Cheng DT, Ravindran P, Bitter H, Gruenbaum L, et al.

Identification of an intrahepatic transcriptional signature associated with self-limiting

infection in the woodchuck model of hepatitis B. Hepatology (Baltimore, Md).

2013;57(1):13-22.

49. Penna A, Laccabue D, Libri I, Giuberti T, Schivazappa S, Alfieri A, et al.

Peginterferon-alpha does not improve early peripheral blood HBV-specific T-cell

responses in HBeAg-negative chronic hepatitis. Journal of hepatology.

2012;56(6):1239-46.

50. Hao J, Jin W, Li X, Wang S, Zhang X, Fan H, et al. Inhibition of alpha interferon

(IFN-alpha)-induced microRNA-122 negatively affects the anti-hepatitis B virus

efficiency of IFN-alpha. Journal of virology. 2013;87(1):137-47.

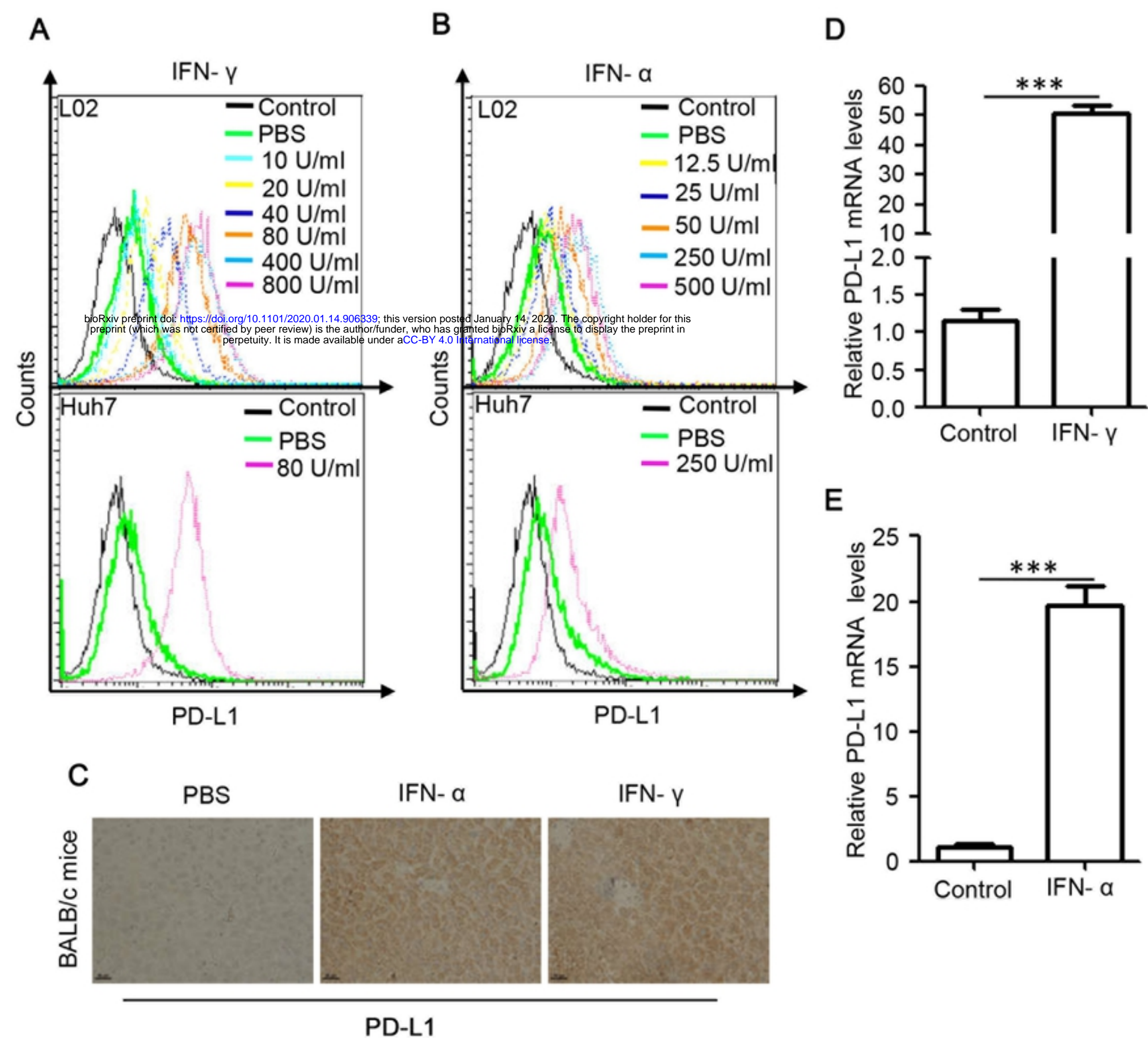


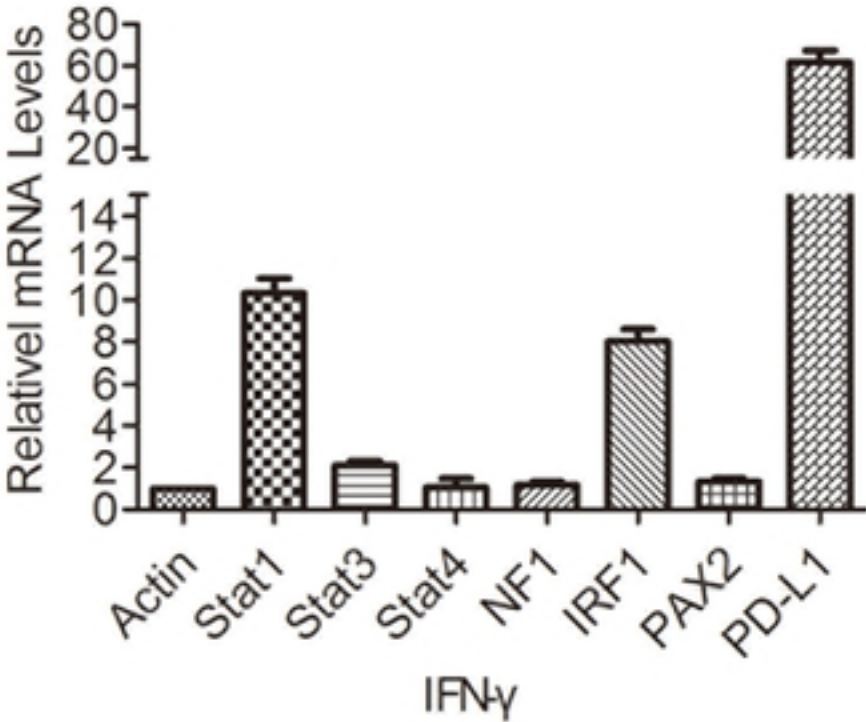
Fig1

A

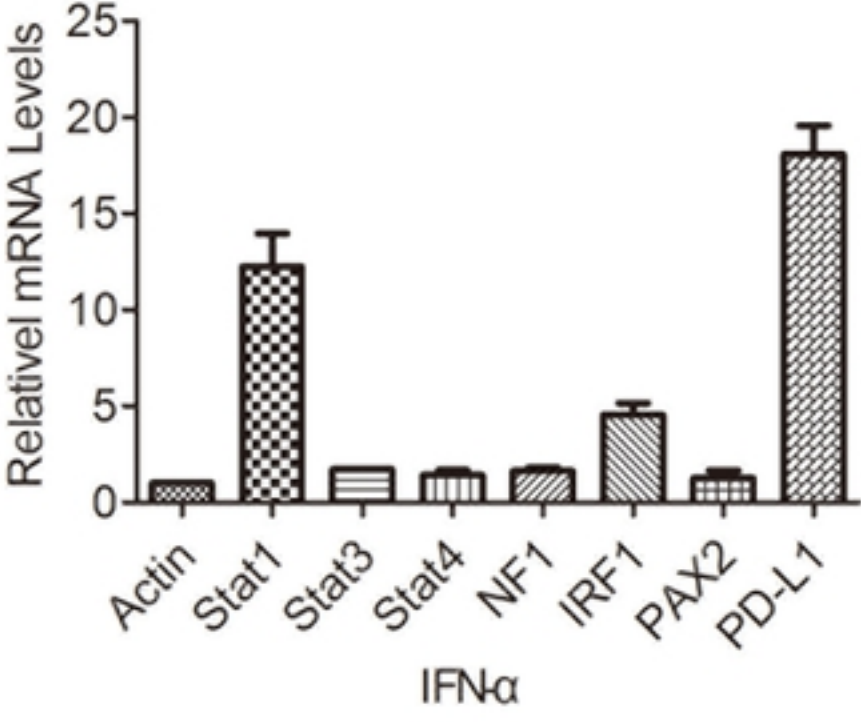
-360 CAAAGCCATATGGGTCTGCTGCTGACTTTTTATATGTTGTAGAGTTATATCAAGTTATGTCA
-297 AGATGTTTCAGTCACCTTGAAGAGGCTTTTATCAGAAAGGGGGACGCCTTTCTGATAAAGGTT
Stat1
-234 AAGGGGTAACCTTAAGCTCTTACCCCTCTGAAGGTAAAATCAAGGTGCGTTCAGATGTTGGC
NF1
-171 TTGTTGTAAATTTCTTTTTTTTATTAATAACATACTAAATGTGGATTTGCTTTAATCTTCGAA
-108 ACTCTTCCCGGTGAAAATCTCATTTACAAGAAAAGTGGACTGACATGTTTCACTTTCTGTTT
Stat3 PAX2 IRF1
-45 CATTCTATACACAGCTTTATTCTAGGACACCAACACTAGATACCTAAACTGAAAGCTTCC
Stat4 +1
18 GCCGATTTACCCGAAGGTGAGGAAAGTCCAACGCCCGGCAAACTGGATTTGCTGCCTTGG

bioRxiv preprint doi: <https://doi.org/10.1101/2019.01.14.906339>; this version posted January 14, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.

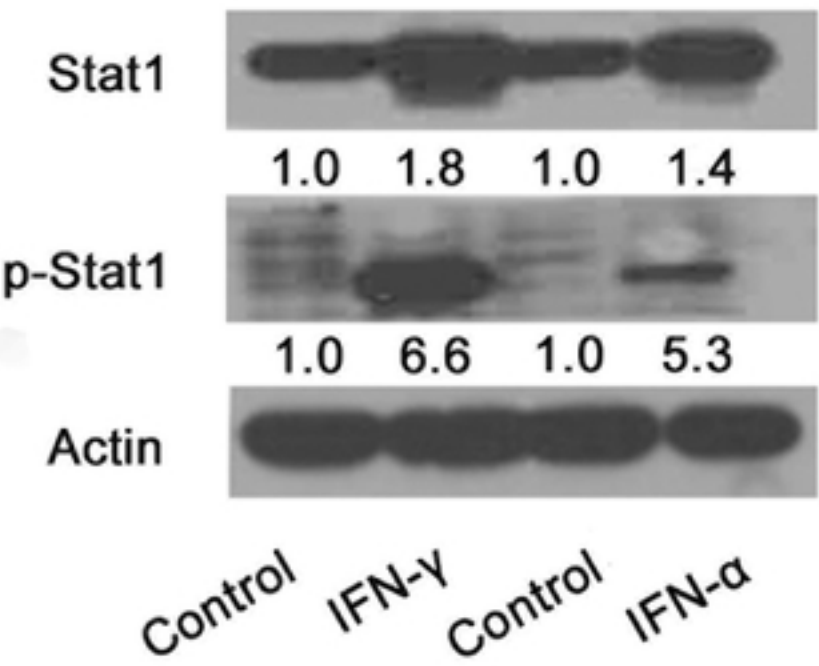
B



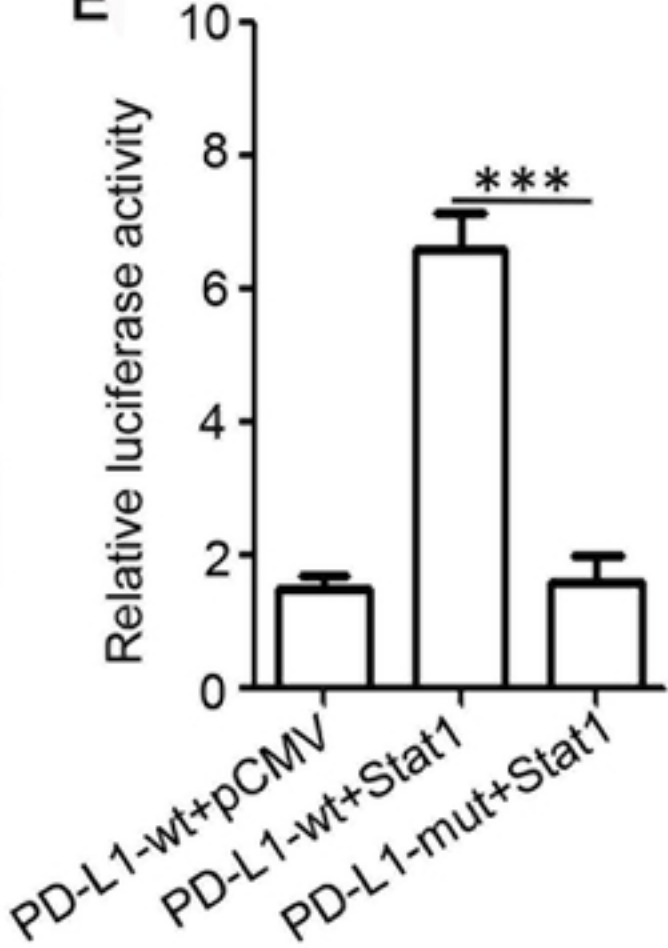
C



D



E



F

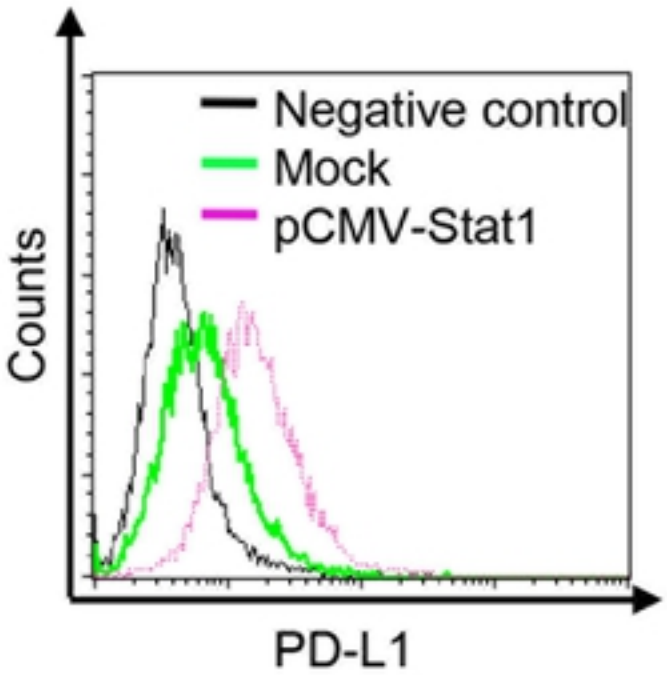


Fig2

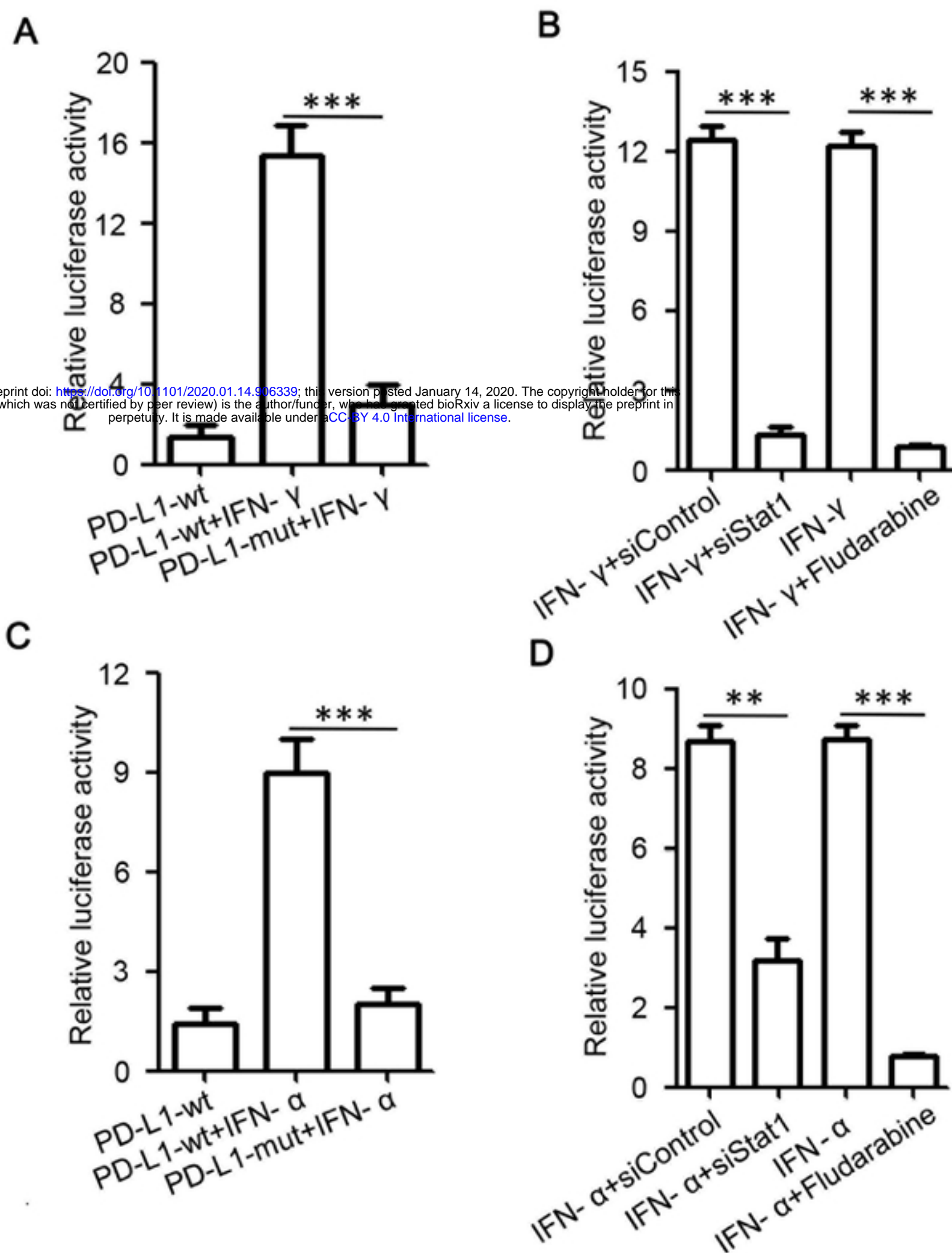


Fig3

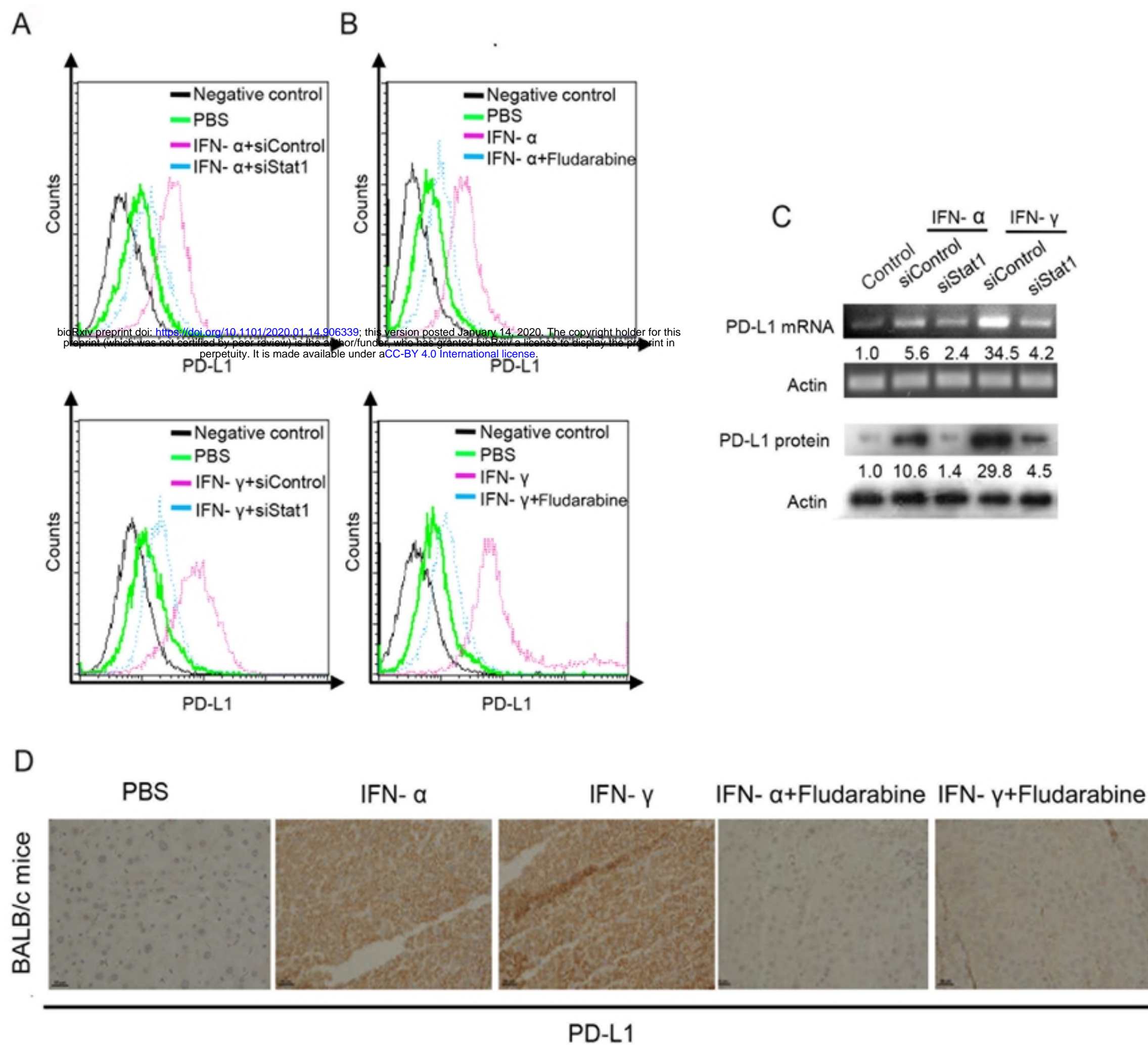


Fig4

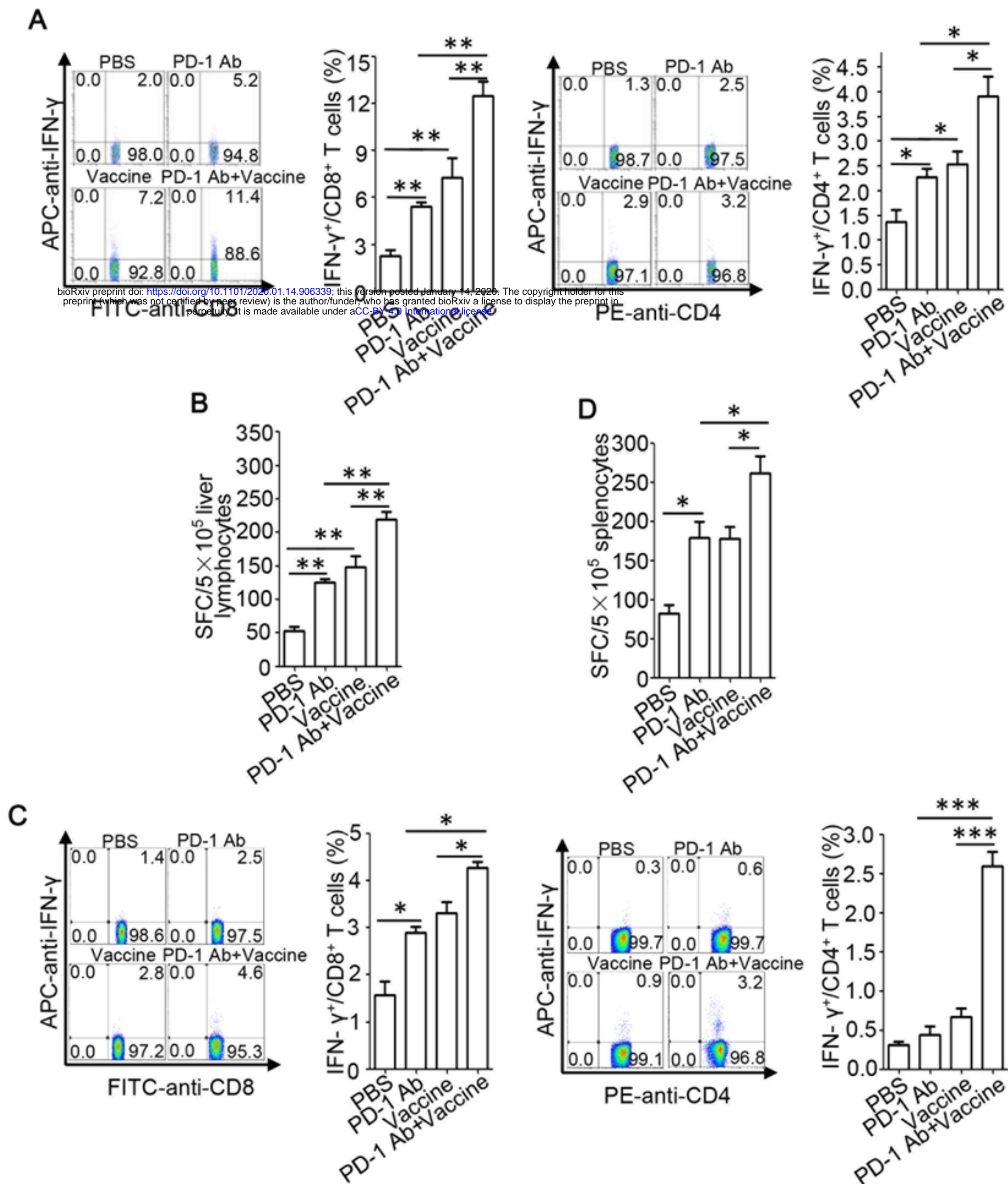


Fig5

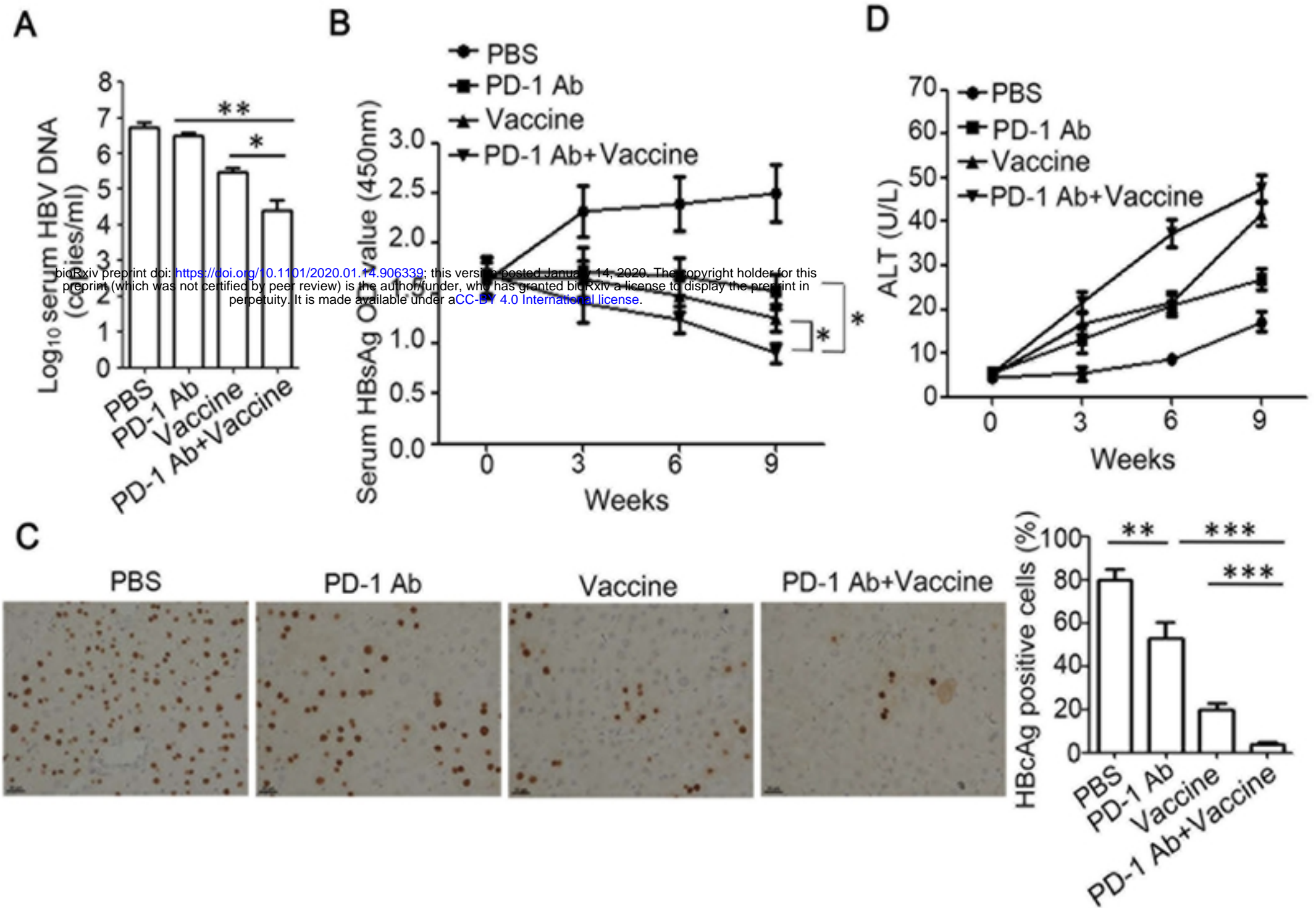


Fig6