

## Differential risk of incident cancer

## **in patients with heart failure**

## **: A nationwide population-based cohort study**

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## **Short title:** Differential cancer risk in heart failure

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27 **ABSTRACT**

28

29 **Background:** Heart failure (HF) and cancer are currently two leading causes of mortality, and  
30 sometimes coexist. However, the relationship between them is not completely elucidated. We  
31 aimed to investigate whether patients with HF are predisposed to cancer development using  
32 the large Korean National Health Insurance claims database.

33 **Methods and findings:** This study included 128,441 HF patients without a history of cancer  
34 and 642,205 age- and sex-matched individuals with no history of cancer and HF between 1  
35 January 2010 and 31 December 2015. During a median follow-up of 4.06 years, 11,808  
36 patients from the HF group and 40,805 participants from the control were newly diagnosed  
37 with cancer (cumulative incidence, 9.2% vs. 6.4%,  $p<0.0001$ ). Patients with HF presented a  
38 higher risk for cancer development compared to controls in multivariable Cox analysis  
39 (hazard ratio [HR] 1.64, 95% confidence interval [CI] 1.61 - 1.68). The increased risk was  
40 consistent for all site-specific cancers. To minimize potential surveillance bias, additional  
41 analysis was performed by eliminating participants who developed cancer within the initial 2  
42 years of HF diagnosis (i.e. 2-year lag analysis). In the 2-year lag analysis, the higher risk of  
43 overall cancer remained significant in patients with HF (HR 1.09, 95% CI 1.05 - 1.13),  
44 although the association was weaker. Among the site-specific cancers, three types of cancer  
45 (lung, liver/biliary/pancreas, and hematologic malignancy) were consistently at higher risk in  
46 patients with HF.

47 **Conclusions:** Cancer incidence is higher in patients with HF than in the general population.  
48 Active surveillance of coexisting malignancy needs to be considered in these patients.

49

50 **Keywords:** Heart failure; Cancer; Incidence

## 51 INTRODUCTION

52 Heart failure (HF) is one of the leading causes of mortality in developed countries  
53 [1]. Recent advances in the contemporary management of HF have improved survival [2].  
54 Hence, the relative contributions of non-cardiovascular causes to mortality cannot be ignored  
55 in patients with HF [3,4].

56 Cancer is a major cause of mortality in the general population, and its incidence  
57 increases steadily with age. Due to the extended life expectancy of patients with HF, a new  
58 diagnosis of cancer in patients with established HF is not infrequent, and cancer is now one  
59 of the most common causes of non-cardiovascular mortality, accounting for up to 10% of the  
60 reported causes of death [3,4]. However, whether HF itself predisposes to an increased risk of  
61 malignancy has been rarely discussed compared to the development of HF due to  
62 cardiotoxicity induced by cancer chemotherapy [5].

63 Recently, four large cohort studies reported an increased incidence of cancer in  
64 patients with HF [6-9], whereas this association was dispelled in a study exclusively  
65 involving male physicians [10]. Several limitations such as the small sample size of the  
66 cohort [8], lack of appropriate risk adjustment [6,9], and short follow-up period [6,8], as well  
67 as the specific selection of the participants [10], may be the reasons why previous studies  
68 reported discrepant results. Moreover, the possibility of a surveillance bias, which may act as  
69 a major confounder within the studies, cannot be excluded, since active follow-up with  
70 frequent and regular hospital visit might provide a better opportunity for earlier and easier  
71 detection of cancer. Thus, the relationship between these two severe diseases is still in  
72 question, and more compelling evidence is warranted in a larger study population.

73           Thus, we aimed to evaluate the association between HF and cancer using data from  
74           the Korean National Health Insurance Service (NHIS) claims database.

75

## 76           **METHODS**

### 77           **Data source and study population**

78           We conducted a nationwide population-based cohort study using data from the  
79           Korean NHIS claims database. Korean NHIS system is a mandatory universal health  
80           insurance program managed by the Korean government since 1989 and offers comprehensive  
81           medical care to 97% of the Koreans [11]. The remaining 3% of Koreans with evidence of low  
82           income are covered by the Medical Aid Program, whose information has been incorporated  
83           into a single database since 2006. The NHIS database includes detailed information of an  
84           individual, including demographic characteristics, health behavior, diagnosis, prescription,  
85           surgery or procedures received, health care utilization (i.e. hospitalization) [11]. This well-  
86           constructed database was used in many previously published studies, and its validity as a  
87           reliable data source has been established [12-14].

88           Among the NHIS representative sample cohort between 2010 and 2015, the data of  
89           participants newly diagnosed with HF and aged  $\geq 20$  years were collected. HF was defined by  
90           claims for diagnostic codes (*International Classification of Disease, Tenth Revision, Clinical*  
91           *Modification; ICD-10-CM*) (I50) with at least one hospital admission attributed to HF  
92           diagnosis. Patients who had a history of cancer defined by *ICD-10-CM* codes (C00 to C97) at  
93           the time of enrolment were systematically excluded. For comparison, an age- and sex-  
94           matched control group comprising individuals who had no history of either HF or cancer was  
95           randomly selected (1:5 ratio). The flow diagram of patient selection is presented in **Fig 1**.

96

97 **Fig 1. Flow chart for the selection of the study population**

98 Flow chart depicting the process of patient selection included in the study.

99 HF denotes heart failure.

100

101 The study protocol conformed to the ethical guidelines of the Declaration of Helsinki  
102 and was approved by the Institutional Review Board of our institution (Seoul National  
103 University Hospital, Seoul, Korea; IRB number, E-1806-018-949). As anonymized and  
104 unidentified information was used for the analysis, the need for informed consent was waived  
105 by the same ethic committee (Seoul National University Hospital).

106

107 **Diagnostic validity of cancer**

108 In Korea, all cancers fall under the category of *Rare Intractable Diseases*; all patients  
109 in this category are designated as special medical aid beneficiaries with the expanding benefit  
110 of the NHIS. Since 2006, the government has introduced an initiative covering 90% of all  
111 medical expenses claimed by these patients. Therefore, the diagnosis of cancer is strictly  
112 determined and monitored by a thorough verification with clinical, imaging, and pathological  
113 evidence, and rigorous reviews by medical experts and health insurance professionals,  
114 according to an act established by the Ministry of Health and Welfare [13,14]. Therefore,  
115 data for cancer used in this study can be considered validated and reliable.

116

117 **Outcome measures**

118 Participants with HF were followed up from the date of first HF diagnosis to the date  
119 of cancer diagnosis, or to the end of the study period (31 December 2017), whichever came  
120 first. For the controls, the follow-up time was from the date of assigned national health  
121 examination to the date of cancer diagnosis, or to the end of the study period (31 December  
122 2017), whichever came first. Participants who died before cancer diagnosis during follow up  
123 were censored. Development of cancer was confirmed by both the new assignment of ICD-  
124 10-CM codes of cancer, and at the same period, new registration of the patient to the NHIS  
125 enhanced benefits coverage registry by the cancer diagnosis. The incidence of overall and  
126 each site-specific cancer was investigated. Site-specific cancers include gastrointestinal (GI)  
127 cancer (esophagus, stomach, colorectal), liver/biliary/pancreas cancer (liver, biliary,  
128 pancreas), lung cancer, prostate cancer, hematologic cancer (leukemia, lymphoma, multiple  
129 myeloma), genitourinary cancer (renal, bladder), thyroid cancer, breast cancer, female  
130 reproductive cancer (cervical, ovarian, uterine), head and neck cancer (oral, laryngeal), and  
131 skin cancer. ICD-10-CM codes of cancers used in this study are summarized in **S1 Table**.  
132

### 133 Statistical analysis

134 Categorical variables (frequencies and percentages) were compared using the  $\chi^2$  test,  
135 and continuous variables (mean  $\pm$  standard deviation or median with interquartile range) were  
136 analyzed by the Student's *t*-test or Wilcoxon's rank sum test for independent samples  
137 between the HF group and the control group. The incidence rates of cancer were calculated  
138 per 1,000 person-years. The cumulative incidence of cancer was plotted and compared  
139 between the HF group and the control group by the log-rank test. Cox proportional hazard  
140 regression analysis was performed to evaluate the association between HF and cancer

141 development. The multivariable Cox models were adjusted for age, sex, income, diabetes  
142 mellitus, smoking, alcohol consumption, and body mass index. The adjusted hazard ratio  
143 (HR) was also calculated for the pre-specified subgroups (according to age, and the status of  
144 income, smoking, and drinking). The risk of cancer development in each site-specific cancer  
145 was expressed as HR with the corresponding 95% confidence interval (CI) in univariable and  
146 multivariable analyses.

147 To avoid potential surveillance bias, a sensitivity analysis was additionally  
148 performed by eliminating patients who were newly diagnosed with cancer within the initial 2  
149 years of HF diagnosis, as well as those followed for less than 2 years (i.e. 2-year lag  
150 analysis). The same statistical analyses were repeated in this 2-year lag cohort. SAS software  
151 version 9.4 (SAS, Cary, NC, USA) was used in all statistical analyses, and  $p$  values  $<0.05$   
152 were considered statistically significant.

153

## 154 RESULTS

### 155 Study population

156 In the present cohort ( $n = 770,646$ ; mean age, 67.1 years; men, 51.9%), patients with  
157 HF ( $n=128,441$ ) were compared with age- and sex-matched controls ( $n = 642,205$ ). **Table 1**  
158 summarizes the baseline characteristics of the study population. Briefly, patients with HF  
159 were more likely to be obese, to have a smoking history, and comorbidities such as diabetes  
160 mellitus, hypertension, and dyslipidemia (all  $p <0.0001$ ), whereas alcohol consumption was  
161 more prevalent in the controls ( $p <0.0001$ ).

162

163

164 **Table 1. Baseline characteristics of the study population.**

	HF (n=128,441)	Control (n=642,205)	<i>p</i> value
Male, n (%)	66,687 (51.9)	333,435 (51.9)	>0.99
Age, years	67.1±12.4	67.1±12.4	>0.99
20-34	1,483 (1.1)	7,415 (1.1)	
35-49	10,160 (7.9)	50,800 (7.9)	
50-64	37,617 (29.3)	188,085 (29.3)	
≥65	79,181 (61.7)	395,905 (61.7)	
Body mass index, kg/m <sup>2</sup>	24.1 ± 3.6	23.8 ± 3.1	<0.0001
≥25	49,708 (38.7)	219,991 (34.2)	<0.0001
Smoking, n (%)			<0.0001
Never-smoker	81,616 (63.5)	424,483 (66.1)	
Ex-smoker	25,627 (19.9)	119,582 (18.6)	
Current-smoker	21,198 (16.5)	98,140 (15.2)	
Alcohol, n (%)			<0.0001
Never	97,226 (75.7)	435,031 (67.7)	
Mild to moderate	26,062 (20.2)	177,241 (27.6)	
Heavy*	5,153 (4.0)	29,933 (4.6)	
Low income <sup>†</sup> , n (%)	30,550 (23.7)	131,267 (20.4)	<0.0001
Diabetes mellitus, n (%)	41,680 (32.4)	124,574 (19.4)	<0.0001
Hypertension, n (%)	101,461 (78.9)	353,761 (55.0)	<0.0001
Dyslipidemia, n (%)	70,959 (55.2)	222,156 (34.5)	<0.0001

165 \*Heavy drinking status was defined by alcohol consumption ≥30 grams/day.

166 <sup>†</sup>Low income is defined as household income ≤30% of the median.

167 HF denotes heart failure.

168

169

170 **Incidence and cumulative incidence of cancer**

171 The incidence of all cancers was higher in the HF group compared to that of the  
172 control group. During a median follow-up of 4.06 years (interquartile range, 2.75 – 5.76  
173 years), 11,808 participants from the HF group and 40,805 participants from the control group  
174 were newly diagnosed with cancer (9.2% vs. 6.4%), corresponding to an incidence rate of  
175 24.2 and 14.6 per 1,000 person-year, respectively (**Table 2**). The cumulative incidence of  
176 cancer in the HF group was higher than that of the control group ( $p < 0.0001$ ) (**Fig 2A**). The  
177 higher cancer incidence among the HF group was consistently observed for all site-specific  
178 cancers (**Table 2**). The cumulative incidence of the four most common site-specific cancers is  
179 shown in **Fig 3A**. Of note, the incidence rate of overall cancer was markedly increased in the  
180 HF group for the first 2 years of HF diagnosis (**Fig 2A**). The same trend was consistently  
181 observed for all major site-specific cancers (**Fig 3A**).

182 **Table 2. Comparison of the incidence of cancer between the HF and the control group.**

Outcomes	No lag					2-year lag				
	HF (n=128,441)		Control (n=642,205)		<i>p</i> value	HF (n=101,924)		Control (n=578,266)		<i>p</i> value
	Event	IR	Event	IR		Event	IR	Event	IR	
Overall cancer	11,808 (9.2)	24.2	40,805 (6.4)	14.6	<0.0001	3,857 (3.8)	8.4	22,127 (3.8)	8.1	0.0001
<b>Site-specific cancers</b>										
Gastrointestinal	4,236 (3.3)	8.4	16,009 (2.5)	5.6	<0.0001	1,236 (1.2)	2.6	8,004 (1.4)	2.9	0.0615
Liver/Biliary/Pancreas	2,762 (2.2)	5.4	8,460 (1.3)	2.9	<0.0001	859 (0.8)	1.8	4,560 (0.8)	1.6	0.0010
Lung	2,584 (2.0)	5.0	6,725 (1.0)	2.3	<0.0001	781 (0.8)	1.6	3,760 (0.7)	1.3	<0.0001
Prostate*	1,159 (1.7)	4.5	4,986 (1.5)	3.4	<0.0001	420 (0.8)	1.8	2,838 (1.0)	2.0	0.9534
Hematology	924 (0.7)	1.8	1,895 (0.3)	0.6	<0.0001	210 (0.2)	0.4	1,111 (0.2)	0.4	0.0033
Genitourinary	719 (0.6)	1.4	2,628 (0.4)	0.9	<0.0001	250 (0.2)	0.5	1,498 (0.2)	0.5	0.2958
Thyroid	538 (0.4)	1.0	2,135 (0.3)	0.7	<0.0001	149 (0.1)	0.3	960 (0.2)	0.3	0.4239
Breast†	386 (0.6)	1.5	1,432 (0.5)	1.0	<0.0001	147 (0.3)	0.6	721 (0.3)	0.5	0.0484
Female reproductive†	354 (0.6)	1.3	985 (0.3)	0.7	<0.0001	101 (0.2)	0.4	496 (0.2)	0.3	0.2213
Head and neck	248 (0.2)	0.4	891 (0.1)	0.3	<0.0001	87 (0.09)	0.1	476 (0.08)	0.1	0.3684
Skin	49 (0.04)	0.09	188 (0.03)	0.06	0.0155	18 (0.02)	0.03	114 (0.02)	0.04	0.8730

183 \*Prostate cancer is analyzed only in men (n=66,687 in HF and n=333,435 in control for 'no lag' cohort; n=51,456 in HF and n=297,780 in

184 control for '2-year lag' cohort).

185 †Female reproductive malignancies and breast cancer are analyzed only in women (n=61,754 in HF and n=308,770 in control for 'no lag' cohort;

186 n=50,468 in HF and n=280,486 in control for '2-year lag' cohort).

187 HF denotes heart failure

188 IR denotes incidence ratio (1,000 person-year)

189 **Fig 2. Cumulative incidence of overall cancer in the HF group and the control group.**

190 Kaplan-Meier curves of overall cancer incidence were compared between the HF group and  
191 the control group using the log-rank test.

192 *A.* Overall cancer incidence after HF diagnosis; *B.* Overall cancer incidence after 2 year of  
193 HF diagnosis (2-year lag analysis).

194 HF denotes heart failure.

195

196 **Fig 3. Cumulative incidence of site-specific cancers in the HF group and the control**  
197 **group.**

198 Kaplan-Meier curves for each site-specific cancer incidence were compared between the HF  
199 group and the control group using the log-rank test.

200 *A.* Cumulative incidence of GI, prostate, liver/biliary/pancreas, and lung cancer in the no lag  
201 cohort.

202 *B.* Cumulative incidence of GI, prostate, liver/biliary/pancreas, and lung cancer in the 2-year  
203 lag cohort.

204 GI denotes gastrointestinal and HF, heart failure.

205

206

207 **Risk of cancer development**

208 **No lag analysis**

209 During a median follow-up of 4.06 years (interquartile range, 2.75 – 5.76 years), the  
210 HF group showed an increased risk of overall cancer in the unadjusted analysis (HR 1.68,  
211 95% CI 1.64-1.71;  $p < 0.0001$ ), and in multivariable-adjusted analysis (HR 1.64, 95% CI 1.61  
212 - 1.68;  $p < 0.0001$ ) (**Table 3**). The risk of all site-specific cancers was consistently higher in

213 the HF group in both the unadjusted and multivariable-adjusted analysis (**Table 3**), with the  
214 highest HRs noted for hematologic and lung malignancy. This association remained constant  
215 in the separate analysis by sex except for skin cancer (**S2 Table**). The adjusted risk of overall  
216 cancer was also significantly increased in all pre-specified subgroups (**S1 Fig**).

**Table 3. Association of heart failure with cancer development in the 'no lag' and '2-year lag' analysis**

Outcomes	No lag				2-year lag			
	Unadjusted	p value	Adjusted*	p value	Unadjusted	p value	Adjusted*	p value
Overall cancer	1.68 (1.64-1.71)	<0.0001	1.64 (1.61-1.68)	<0.0001	1.11 (1.08-1.15)	<0.0001	1.09 (1.05-1.13)	<0.0001
Site-specific groups								
Gastrointestinal	1.51 (1.46-1.56)	<0.0001	1.49 (1.44-1.54)	<0.0001	0.99 (0.93-1.05)	0.7839	0.97 (0.91-1.03)	0.3794
Liver/Biliary/Pancreas	1.90 (1.82-1.98)	<0.0001	1.80 (1.72-1.88)	<0.0001	1.22 (1.13-1.31)	<0.0001	1.16 (1.08-1.25)	<0.0001
Lung	2.26 (2.16-2.37)	<0.0001	2.22 (2.12-2.32)	<0.0001	1.38 (1.28-1.49)	<0.0001	1.35 (1.25-1.46)	<0.0001
Prostate <sup>†</sup>	1.40 (1.32-1.50)	<0.0001	1.40 (1.31-1.49)	<0.0001	1.01 (0.91-1.12)	0.8304	1.01 (0.91-1.12)	0.8040
Hematology	2.79 (2.58-3.02)	<0.0001	2.77 (2.55-3.00)	<0.0001	1.22 (1.05-1.42)	0.0065	1.20 (1.03-1.39)	0.0159
Genitourinary	1.61 (1.48-1.75)	<0.0001	1.55 (1.43-1.69)	<0.0001	1.10 (0.96-1.26)	0.1515	1.05 (0.92-1.20)	0.4423
Thyroid	1.34 (1.22-1.48)	<0.0001	1.30 (1.18-1.43)	<0.0001	0.89 (0.75-1.06)	0.1949	0.84 (0.70-1.00)	0.0545
Breast <sup>‡</sup>	1.44 (1.28-1.61)	<0.0001	1.36 (1.21-1.52)	<0.0001	1.16 (0.97-1.39)	0.0905	1.09 (0.91-1.31)	0.3143
Female reproductive <sup>‡</sup>	1.95 (1.72-2.20)	<0.0001	1.90 (1.68-2.15)	<0.0001	1.19 (0.96-1.48)	0.0979	1.14 (0.92-1.42)	0.2164
Head and neck	1.61 (1.40-1.86)	<0.0001	1.62 (1.41-1.87)	<0.0001	1.18 (0.93-1.48)	0.1556	1.19 (0.94-1.50)	0.1354
Skin	1.52 (1.11-2.09)	0.0085	1.53 (1.11-2.11)	0.0081	1.01 (0.61-1.67)	0.9496	1.00 (0.60-1.65)	0.9835

Values are expressed as hazard ratios (95% confidence interval).

\*Adjusted by age, sex, income, diabetes mellitus, smoking, alcohol consumption and body mass index.

220 †Prostate cancer is analyzed only in men (n=66,687 in HF and n=333,435 in control for ‘no lag’ cohort; n=51,456 in HF and n=297,780 in  
221 control for ‘2-year lag’ cohort).

222 ‡Female reproductive malignancies and breast cancer are analyzed only in women (n=61,754 in HF and n=308,770 in control for ‘no lag’ cohort;  
223 n=50,468 in HF and n=280,486 in control for ‘2-year lag’ cohort).

224 HF denotes heart failure.

225 **2-year lag analysis**

226 To avoid the potential surveillance bias, the same analyses were repeated after  
227 eliminating patients with either cancer diagnosis established within 2 years of HF diagnosis  
228 or having a follow-up duration of less than 2 years. About 80% of patients remained in the  
229 HF group (n = 101,924), and 578,266 participants remained in the control group for  
230 comparison. Similar to the original cohort, the HF group in the 2-year lag cohort showed a  
231 higher prevalence of comorbidities, including obesity, smoking history, diabetes mellitus,  
232 hypertension, and dyslipidemia, except for alcohol consumption (**S3 Table**).

233 The mean follow-up period of the 2-year lag cohort was 4.48 years (interquartile  
234 range, 3.17 – 5.97 years). The number of cancer diagnoses and incidence rate per 1,000  
235 person-year in the 2-year lag cohort are shown in **Table 2**. The cumulative incidence of  
236 overall cancers in the 2-year lag cohort was still significantly higher in the HF group ( $p$   
237 =0.0001), although the gap between the two groups became smaller than that analyzed in the  
238 original cohort (**Fig 2B**). Cox proportional hazard analysis showed that the HF group  
239 demonstrated a significantly increased risk of overall cancers in both the unadjusted (HR  
240 1.11, 95% CI 1.08 - 1.15;  $p < 0.0001$ ) and multivariable-adjusted analysis (HR 1.09, 95% CI  
241 1.05 - 1.13;  $p < 0.0001$ ), with a smaller HR compared to that of no lag analysis (**Table 3**). The  
242 adjusted risks of all cancers in all pre-specified subgroups are illustrated in **S2 Fig**.

243 With regard to the site-specific cancers, the risk remained higher for  
244 liver/biliary/pancreas, lung, and hematologic malignancies, while the statistical difference  
245 was lost for the other site-specific cancers in this analyses (**Table 3**). The cumulative  
246 incidence of the four most common site-specific cancers in this 2-year lag analysis is shown  
247 in **Fig 3B**.

248

## 249 DISCUSSION

250 In this study, we evaluated the relationship between HF and cancer development by  
251 analyzing data from a large Korean NHIS claims database. The current population-based  
252 cohort study has two main findings. Firstly, an abrupt increase of the new cancer diagnosis  
253 was observed in the first 2 years of HF diagnosis. Subsequently, the 2-year lag analysis  
254 (performed to minimize potential surveillance bias) proved a higher risk of overall cancer in  
255 the HF group compared to the control group, although the difference in the cancer incidence  
256 was much smaller. Secondly, the association of HF with the development of site-specific  
257 cancers was variable. While the ‘no lag’ analysis showed consistently increased risk of all  
258 site-specific cancers in both the unadjusted and multivariable-adjusted models, three subtypes  
259 of malignancies (liver/biliary/pancreas, lung, and hematologic malignancies) remained at  
260 higher risk in the ‘2-year lag’ unadjusted and multivariable-adjusted analyses.

261 A decade ago, HF and cancer were considered unrelated, with no influence on each  
262 other. Recent studies, however, suggested a shared pathophysiologic link between HF and  
263 cancer. For instance, chronic inflammation is a well-established mechanism of cancer  
264 development [15], which can also act as a crucial disease modifier in HF [16,17]. This is  
265 advocated by the elevated levels of pro-inflammatory cytokines that are also closely  
266 associated with the adverse outcomes in patients with HF [17-19]. This plausible hypothesis  
267 is further supported by a higher risk of cancer in other chronic inflammatory disorders  
268 [6,20,21]. More recently, Meijers *et al.* reported that precancerous lesions developed more  
269 frequently in HF-induced mice [22]. They found that several proteins, such as serpin A3 and  
270 A1, fibronectin, ceruloplasmin, and paraoxonase 1, were associated with enhanced tumor  
271 growth, independent of hemodynamic impairment, suggesting a potential mechanism of

272 tumorogenesis induced by circulating factors produced by the failing heart [22]. In addition,  
273 they proved that elevated levels of inflammatory biomarkers in healthy participants had a  
274 predictive value for new-onset cancer independent of cancer-related risk factors, such as age,  
275 smoking status, and obesity [22]. Thus, the study provided evidence that HF is closely related  
276 to cancer development by enhanced inflammation.

277 An increased risk of cancer in HF patients was previously suggested in four cohort  
278 studies. In 2013, Hasin *et al.* reported a 68% higher risk of cancer development in a cohort  
279 including 596 patients with HF after adjusting for cancer-related risk factors, including  
280 obesity, smoking status, and comorbidities [7]. This finding was reproduced in a cohort of HF  
281 patients caused by myocardial infarction (n = 1,081) [8], in the Danish HF cohort (n = 9,307)  
282 [6] and in the Japanese population (n=5,238) [9]. In contrast, only one study refuted the  
283 association between HF and cancer development [10]. The study exclusively enrolled male  
284 population and used a patients-self report for HF and cancer diagnosis, and as such this issue  
285 is still under debate. Hence, additional, sizable studies with diagnostic validation and  
286 inclusion of both sexes are required to establish the association between these two grave  
287 diseases [23].

288 The current study presented an association between HF and cancer, with a HR of  
289 1.64 for overall cancer and HRs in the range of 1.30 to 2.77 for site-specific cancer subtypes  
290 in the ‘no lag’ analysis. The most powerful advantage of our study compared to previous  
291 reports was the largest sample size of the cohort including 128,441 patients with HF and  
292 642,205 community-based age- and sex-matched controls. In addition, a cancer diagnosis was  
293 verified by strict nationwide monitoring due to unique national insurance system and *Rare*  
294 *Intractable Disease* program of Korea, enabling accurate estimation of cancer development.  
295 More importantly, ‘2-year lag’ analysis was performed to minimize any possibility of co-

296 existence of HF and hidden or subclinical malignancy, and the surveillance bias. In fact,  
297 during the first 2 years after HF diagnosis, the detection rate of cancer was substantially  
298 elevated compared to that of the general population, suggesting that surveillance bias may  
299 play a role due to the intensified medical evaluation. Nevertheless, the HF group still  
300 presented an increased cancer risk in the '2-year lag' analysis, although the association was  
301 weaker, supporting the link between the two diseases.

302 Of interest, each site-specific cancer had different risks in the 2-year lag adjusted  
303 model; specifically, hematologic malignancy, lung cancer, and liver/biliary/pancreas cancer  
304 presented persistently elevated risk. Similar findings were demonstrated in the Danish HF  
305 cohort study [6]. This result implies that the impact of HF on cancer development may differ,  
306 and we can speculate that the mechanisms involved in the link between the two devastating  
307 disorders can be multifactorial.

308 Clinicians are apt to focus on cardiovascular consequences in patients with HF.  
309 However, with improved survival in HF and the aging societies, cancer has become non-  
310 negligible morbidity among the patients with HF [24], accounting for 10% of total deaths  
311 [3,4]. Outcomes of superimposed cancer in patients with HF are more dismal than in patients  
312 with HF alone [7], or cancer patients without HF [6,25]. Furthermore, the clinical decision  
313 can be modified in the presence of the concurrent malignancy; for example, the decision to  
314 implant a defibrillator or a left ventricular assist device can be rejected in patients diagnosed  
315 with cancer at an advanced stage [26]. Therefore, timely detection of de novo malignancy in  
316 patients with HF can be critical. Our findings demonstrated the higher cancer incidence in  
317 patients with HF than that of the general population, which implies that physicians are more  
318 encouraged to keep the possibility of coexisting malignancy in mind, and to perform active  
319 cancer screening in these population at risk. In particular, the surveillance could be targeted

320 on the certain types of malignancies (i.e., lung cancer), given the differential risk of each site-  
321 specific cancer. Future studies are warranted to investigate whether surveillance of cancer  
322 screening can lead to an improvement in clinical outcomes in patients with HF.

323 This study is not without limitations. First, more detailed information of cancer-  
324 associated covariates, such as a family history of cancer and physical activity, was lacking.  
325 Medication data regarding cardiovascular drugs, such as angiotensin-converting enzyme  
326 inhibitors, angiotensin-receptor blockers, and beta-blockers, were also unavailable. Although  
327 a positive correlation between some HF medications, like angiotensin-receptor blockers, and  
328 cancer risk was previously reported [27,28], more recent publications refuted their  
329 associations [29-31]. Second, the estimation of left ventricular ejection fraction was not  
330 available. Although no substantial difference in the risk of cancer by left ventricular ejection  
331 fraction was reported, data on left ventricular systolic function would have strengthened our  
332 data [7,8,9]. Finally, the median follow-up of 4.06 years may not be long enough to  
333 adequately assess the impact of HF on cancer development. However, we believe that this  
334 limitation can be overcome by the large sample size of our cohort and by complete follow-up  
335 data obtained because of the unique medical reimbursement system of our country.

336

## 337 **CONCLUSIONS**

338 This sizable, population-based cohort study found that patients with HF may carry a  
339 substantial risk of cancer development. In particular, the risk of liver/biliary/pancreas, lung,  
340 and hematologic malignancies was increased, even after excluding HF patients who  
341 developed cancer within 2 years after HF diagnosis in order to minimize potential

342 surveillance bias. Therefore, from the clinical point of view, increased awareness and active  
343 surveillance of malignancy need to be considered in patients with HF.

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345 None

346

## 347 **Author Contributions**

348 Conceptualization: Soongu Kwak and Hyung-Kwan Kim;

349 Data curation: Soongu Kwak, Soonil Kwon, Seo-Young Lee, Seokhun Yang, Hyun-Jung Lee,

350 Heesun Lee, Jun-Bean Park, and Hyung-Kwan Kim;

351 Formal analysis: Soongu Kwak, Kyungdo Han, and Hyung-Kwan Kim;

352 Funding acquisition: Hyung-Kwan Kim

353 Investigation: Soongu Kwak, Kyungdo Han, and Hyung-Kwan Kim;

354 Methodology: Kyungdo Han and Hyung-Kwan Kim;

355 Project Administration: Soongu Kwak and Hyung-Kwan Kim;

356 Resources: Kyungdo Han and Hyung-Kwan Kim;

357 Software: Kyungdo Han;

358 Supervision: Yong-Jin Kim and Hyung-Kwan Kim;

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363 Hyun-Jung Lee, Heesun Lee, Jun-Bean Park, Yong-Jin Kim, and Hyung-Kwan Kim;

364

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459 **Supporting information**

460 **S1 Table. ICD-10 codes of overall and subtypes of cancers. (DOCX)**

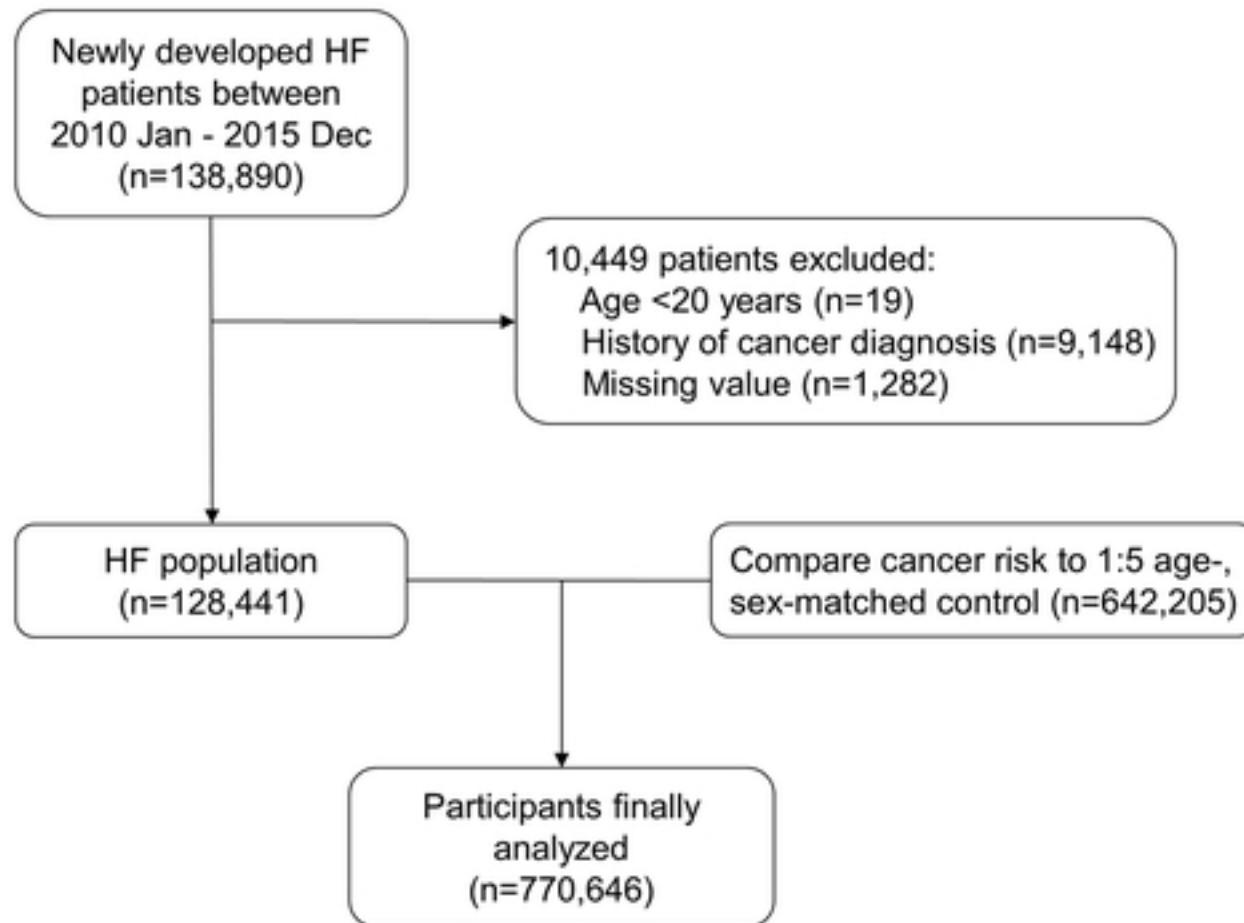
461 **S2 Table. Association of heart failure with cancer development in a separate analysis by**  
462 **sex. (DOCX)**

463 **S3 Table. Baseline characteristics of the study population excluding patients with either**  
464 **cancer diagnosis within 2 years of HF diagnosis or having follow-up duration of less than**  
465 **2 years. (DOCX)**

466 **S1 Fig. Association of cancer risk with HF in major subgroups (no lag cohort). (DOCX)**

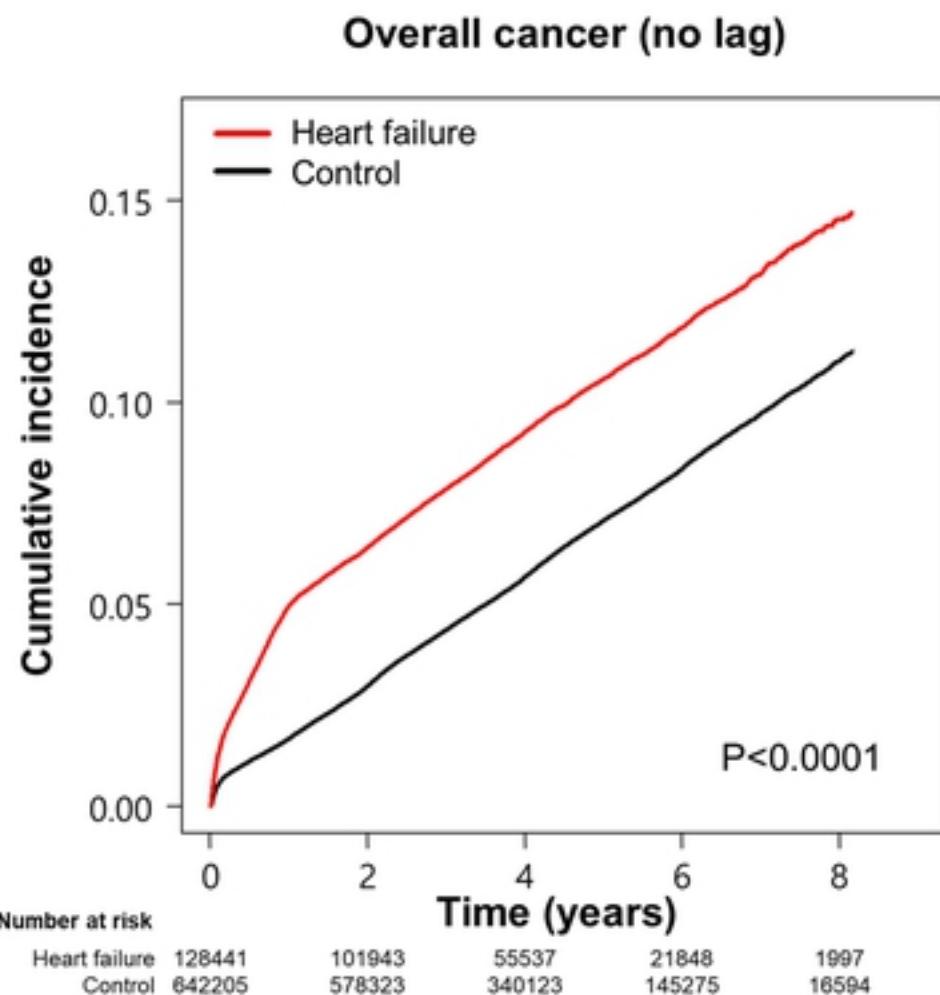
467 **S2 Fig. Association of cancer risk with HF in major subgroups (2-year lag cohort). (DOCX)**

**Figure 1**

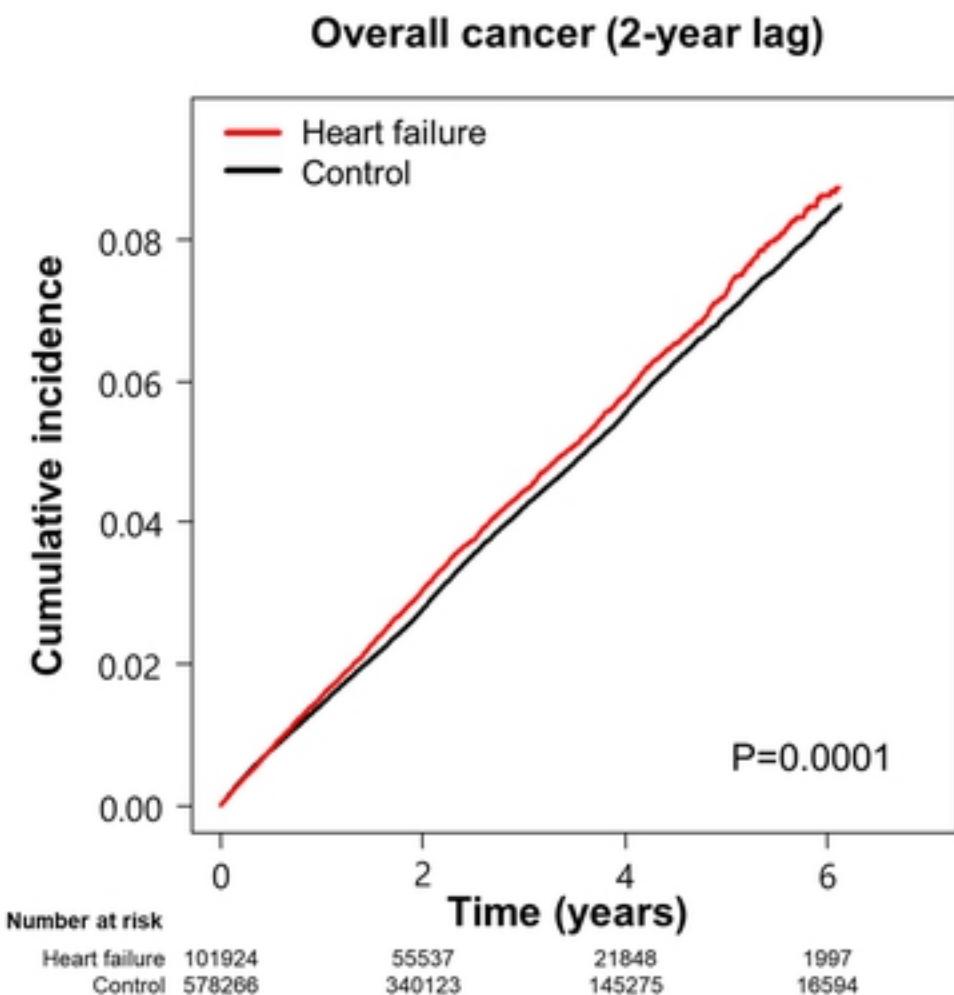


**Figure 2**

**A**



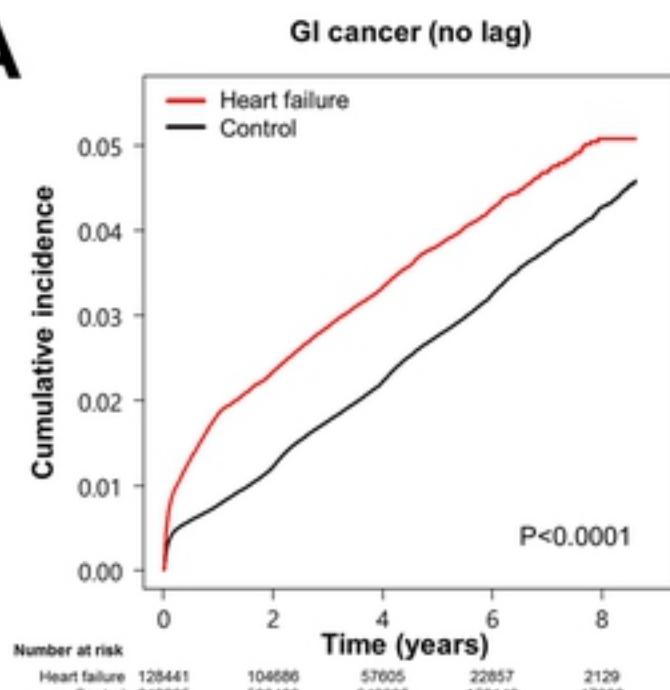
**B**



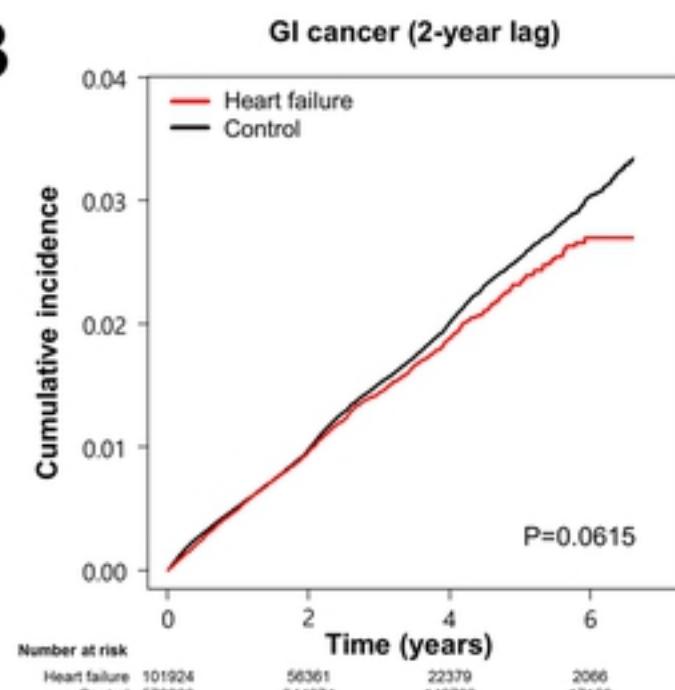
**Fig2**

# Figure 3

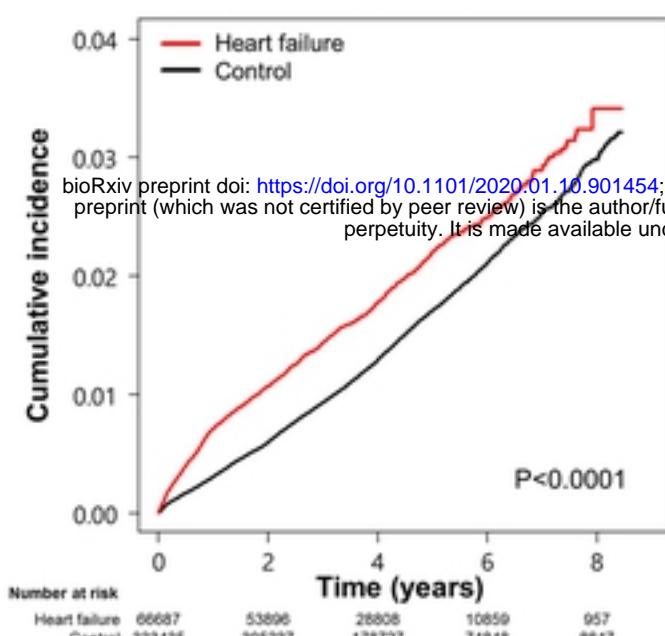
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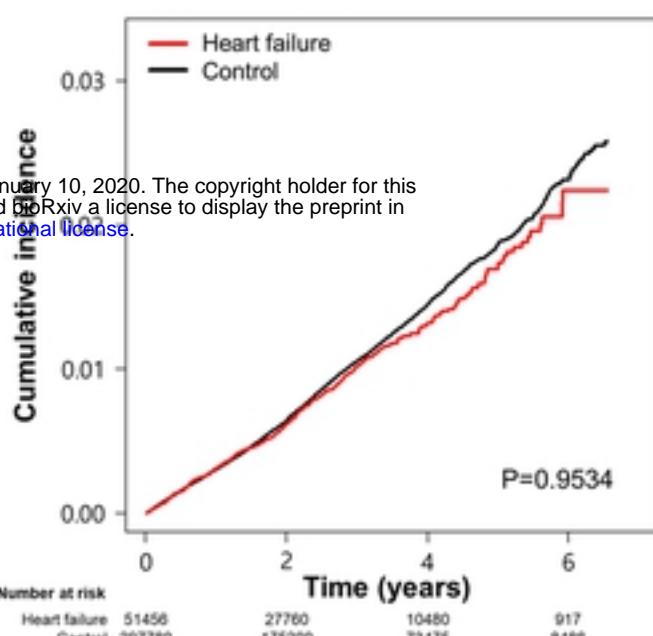
**B**



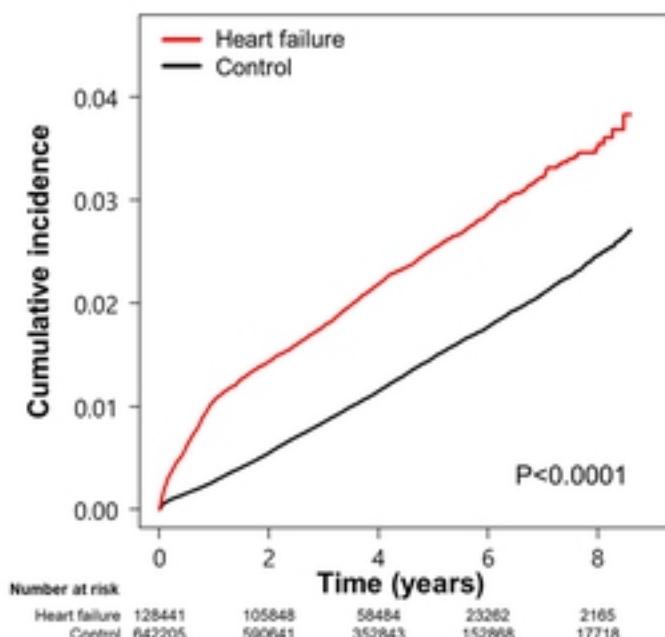
**Prostate cancer (no lag)**



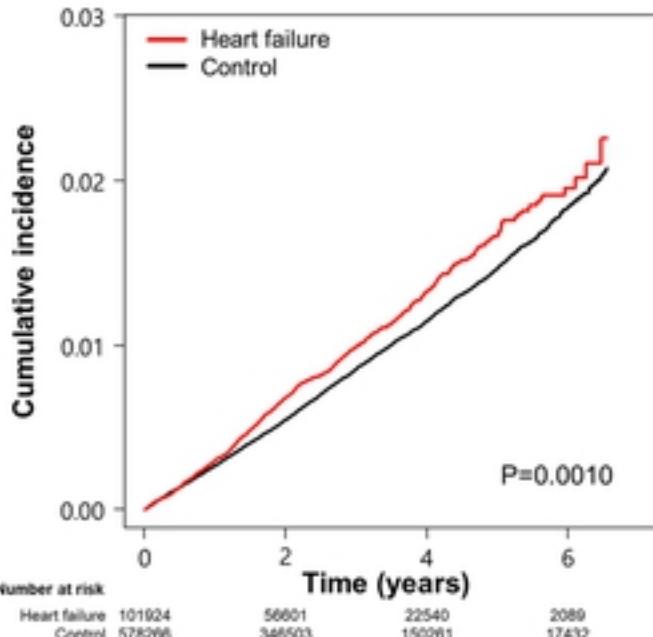
**Prostate cancer (2-year lag)**



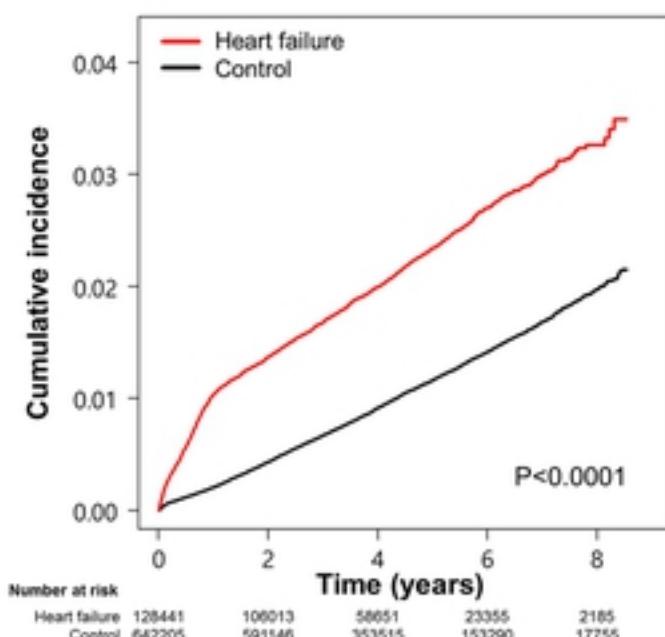
**Liver/Biliary/Pancreas cancer (no lag)**



**Liver/Biliary/Pancreas cancer (2-year lag)**



**Lung cancer (no lag)**



**Lung cancer (2-year lag)**

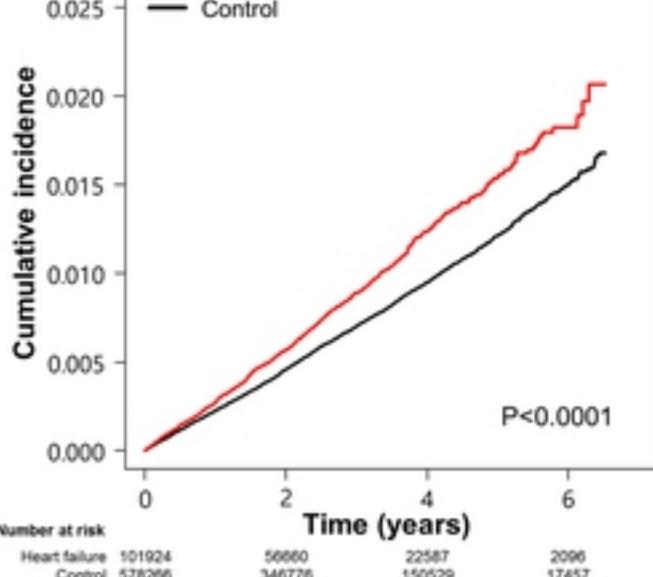


Fig3