

1      Offspring performance is well buffered against stress experienced by ancestors

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23 **Abstract**

24 Evolution should render individuals resistant to stress and particularly to stress experienced  
25 by ancestors. However, many studies report negative effects of stress experienced by one  
26 generation on the performance of subsequent generations. To assess the strength of such  
27 transgenerational effects we used a strategy aimed at overcoming the problem of type I  
28 errors when testing multiple proxies of stress in multiple ancestors against multiple offspring  
29 performance traits, and applied it to a large observational data set on captive zebra finches  
30 (*Taeniopygia guttata*). We combined clear one-tailed hypotheses with steps of validation,  
31 meta-analytic summary of mean effect sizes, and independent confirmatory testing. With  
32 this approach we assess to what extent offspring performance in adulthood depends on (1)  
33 direct effects of own experiences during early development, (2) indirect condition-transfer  
34 effects of the early environment experienced by the parents and the grandparents, and (3)  
35 beneficial effects of a match between the environments experienced by the offspring and by  
36 its parents. Our study shows that drastic differences in early growth conditions (nestling body  
37 mass 8 days after hatching varied 7-fold between 1.7 and 12.4 gram) had only moderate  
38 direct effects on adult morphology (95%CI:  $r=0.19-0.27$ ) and small direct effects on fitness  
39 traits ( $r=0.02-0.12$ ). In contrast, we found no indirect effects of parental or grandparental  
40 condition ( $r=-0.017-0.002$ ; meta-analytic summary of 138 effect sizes), and mixed evidence  
41 for small benefits of matching environments, as the latter was not robust to confirmatory  
42 testing in independent data sets. This study shows that evolution has led to a remarkable  
43 robustness of zebra finches against undernourishment and that transgenerational effects are  
44 absent.

45 **Author Summary**

46 How the early life conditions of your ancestors might influence your own life, an aspect of  
47 epigenetic inheritance, has become a popular topic among evolutionary biologists and has  
48 sparked much interest by the general public. Many theoretical and empirical studies have  
49 addressed this question, leading to theories of adaptive programming and condition transfer  
50 and ideas of epigenetic or genetic organization. Despite the popularity of this topic, however,  
51 there is a lack of a standard framework to guide empirical studies, which are at risk of over-  
52 interpreting the most significant effects that might emerge by chance alone when conducting a  
53 large number of tests. In this study, we used long-term observational data on multiple  
54 morphological and life-history traits of hundreds of male and female zebra finches with  
55 information on the early life conditions of both the focal birds and their parents and  
56 grandparents. This allows us to comprehensively quantify the magnitude of direct and  
57 transgenerational effects of early developmental conditions. Our study (1) proposes a  
58 standardized statistical framework for future investigations, (2) summarizes the average effect  
59 size (in zebra finches) and indicates the sample sizes needed to pick up such an effect, and (3)  
60 provides a counter statement to a growing faith in the ubiquity of transgenerational effects  
61 despite their limited evolutionary or mechanistic plausibility.

62

63 **Introduction**

64 Early developmental stress experienced by an individual may have long-term negative effects  
65 on its morphology, physiology, behavior and reproductive performance later in life (i.e. 'direct  
66 effect') [1–7]. Effects of conditions in early life could also be transmitted to subsequent  
67 generations [8–12], potentially via the inheritance of epigenetic markers (e.g. altered DNA  
68 methylation, transmission of small interference RNAs or hormones) [13–15]. Such inheritance  
69 of acquired traits could exist either because of an inevitable transfer of condition from one  
70 generation to the next (i.e. 'condition transfer' or 'carry-over' or 'silver-spoon' effect, e.g. low-  
71 condition mothers produce low-condition offspring) [11,16–19], or because organisms have  
72 evolved mechanisms of adaptive programming, where offspring were 'primed' by their parents  
73 and perform the best if they grow up in an environment similar to that of their parents (i.e.  
74 'anticipatory effect', hypothesis of matching / mismatching environments) [10,20,21]. It is  
75 important to distinguish between the two types of trans-generational effects, i.e. (1) 'condition  
76 transfer' and (2) 'anticipatory effects', in experiments (e.g. match/mismatch), especially when  
77 the unavoidable intra-generational (3) 'direct effects' of early conditions experienced by the  
78 individual itself are substantial [10–12,17].

79

80 From an evolutionary perspective, we would expect that natural selection acts to minimize the  
81 susceptibility of organisms to harmful direct and indirect, condition-transfer effects. Fitness-  
82 related traits in particular are selected to be well-buffered against detrimental influences from  
83 the environment (evolution of stress tolerance, robustness and developmental canalization;  
84 e.g. [22,23]) and selection will disfavor mothers that handicap their own offspring. In general,

85 detrimental carry-over effects may be inevitable to some extent, but selection will work against  
86 them. In contrast, ‘transgenerational anticipatory effects’ are thought to have evolved because  
87 they have an adaptive function. Such “transgenerational anticipatory programming” of  
88 offspring may have evolved when the environments in which parents and offspring grow up are  
89 generally similar, e.g. [20,21], and when proximate mechanisms of epigenetic inheritance  
90 enable it, e.g. [13–15]. Studies of epigenetic inheritance boomed since the early 1990s, focusing  
91 mostly on organisms such as fungi and plants that are immobile and lack the differentiation  
92 between soma and germ cells [14,24], but also on nematodes, fruit flies, mice and humans  
93 [15,25–34]. However, in the latter group of organisms, the evolution of proximate mechanisms  
94 that would allow an adaptive programming seems less plausible, given that the environment  
95 experienced by the soma would need to be conveyed to the germ line [14]. In sum, the  
96 widespread existence of both types of transgenerational effects seems somewhat unlikely,  
97 because condition transfer is selected against and anticipatory effects may lack a plausible  
98 mechanism.

99

100 Although the mechanisms behind most of the observed epigenetic inheritance remain largely  
101 unclear [14,35], evolutionary biologists have studied transgenerational effects and have  
102 estimated the fitness consequence of stress experienced by one generation on individuals of  
103 subsequent generations in various animal systems [36,37], sometimes with individuals from the  
104 wild [38], but mostly with captive-bred animals e.g. [21,39–42]. Such effects have typically been  
105 investigated experimentally across two generations, i.e. effects of increasing stress experienced  
106 by the parents on the offspring, using brood or litter-size manipulation [39,40], restricted food

107 supply during female pregnancy or nestling or puppy stages [43], restraint stress exposure  
108 during early life where individuals were intermittently deprived from social interactions [44],  
109 corticosterone intake during female pregnancy or early individual development [45], and cold  
110 or heat shock (mostly for insects, e.g. in *Drosophila* and *Tribolium* [46,47]). In general, the  
111 reported significant effects are often accompanied by numerous non-significant test results,  
112 and sometimes involve a flexible interpretation of the direction of the effect. Moreover,  
113 transgenerational effects were sometimes reported as sex-specific (interaction effect between  
114 the sex of the parent and that of the offspring). For example, in humans, effects from (grand-)  
115 mother to (grand-) daughters and from (grand-) father to (grand-) sons have been reported  
116 [48,49]. To assess the importance of these effects, we suggest that rigorous testing of *a priori*  
117 hypotheses and meta-analytic summary of effect sizes are needed.

118  
119 Here, we use observational data of >2000 captive zebra finches from a long-term, error-free  
120 pedigree to study the sex-specific effects of multiple stressors experienced during early  
121 development on later-life morphology and fitness-related traits. We consider both direct, intra-  
122 generational effects and effects of developmental stress experienced by parental and  
123 grandparental generations. For all individuals, we systematically recorded variables that have  
124 previously been used as indicators of early developmental conditions (brood size [2,39,50],  
125 hatching order [42,51,52], laying order of eggs [53,54] and clutches [55], egg volume [56], and  
126 nestling body mass at 8 days old [3]), as well as morphological traits (tarsus [2,39] and wing  
127 length [21,39,42], body mass [2,21,42], abdominal fat deposition [3], beak color [2,3,42,57]) as  
128 dependent variables. For a subset of birds, we also measured lifespan (N = 821 individuals) and

129 aspects of reproductive performance (female clutch size in cages (N = 166 females) and in  
130 aviaries (N = 274 females), female fecundity (N = 230 females), male infertility in cages (N =  
131 132 males) and in aviaries (N = 237 males), male siring success (N = 281 males), female embryo  
132 mortality (N = 228 genetic mothers), nestling mortality for a given social mother (N = 233) and  
133 for a given social father (N = 228), female and male seasonal recruits (N = 126 males and N = 125  
134 females, details see Methods), e.g. [2,21,42,45,58].

135

136 Regarding condition transfer, we focus our analyses on the *a priori* hypothesis that the stress  
137 that an individual's parents and grandparents experienced in early life has detrimental effects  
138 on the morphology and reproductive performance of that individual as an adult. We assume  
139 that the direction of effects is independent of the sex of the focal individual. We further  
140 hypothesize *a priori* that if such transgenerational effects were sex-specific, the environment  
141 experienced by mothers and grandmothers would affect daughters and grand-daughters  
142 whereas the environment experienced by fathers and grandfathers would affect sons and  
143 grand-sons. Such one-tailed expectations have the advantage that trends which are opposite to  
144 the expectation can be quantified as negative effect sizes. If the null hypothesis is true, i.e. if  
145 there is no effect, we expect a meta-analytic mean effect size that does not differ from zero.

146 Regarding anticipatory effects, we focus on the *a priori*, one-tailed hypothesis that offspring  
147 perform better as adults when they experienced similar early-life conditions as their parents.

148

149 First, we validate the six proxies of early developmental stress by examining their direct effects  
150 on the individual itself. Second, we use meta-analysis to average transgenerational effect sizes  
151 across multiple traits reflecting either morphology or reproductive performance of adult male  
152 and female zebra finches. Lastly, we use an independent dataset to assess whether the  
153 significant findings from the initial tests can be replicated.

154

## 155 **Results**

156 We examined the effects of six variables describing early developmental conditions (potential  
157 stressors) on ten measures of morphology and on 13 aspects of reproductive performance,  
158 resulting in 138 predictor-outcome combinations (6 x 23 tests). We thus obtained 138 effect sizes  
159 for the direct effects (intra-generational; Table S1), 828 effect sizes for the inter-generational  
160 condition-transfer effects (i.e. effects of the early-life experiences of the six ancestors: two  
161 parents and four grandparents, 6 x 138; Table S1) and 46 effect sizes for the anticipatory effects  
162 (i.e. effects of similarity in nestling mass between mother and offspring and between father and  
163 offspring for 23 performance traits; Table S2).

164

### 165 *Validation of stressors using direct effects*

166 Of the six putative indicators of early developmental conditions only one measure had significant  
167 consequences for the adult individual (Fig 1). Nestling body mass measured at 8 days of age  
168 affected both adult morphology (mean  $r=0.229$ , 95%CI: 0.186 to 0.272,  $P<0.0001$ ) and  
169 reproductive performance (mean  $r=0.070$ , 95%CI: 0.021 to 0.119,  $P<0.0001$ ; Fig 1, Table S3). The

170 mass of nestlings when 8 days old varied by a factor of 10 (range: 1.2-12.4 g, N=3,525 nestlings),

171 and light-weight nestlings had a clearly reduced chance of survival to adulthood (see Fig S1).

172 Among the survivors (N = 3,326) and among those individuals included in the analyses of direct

173 and transgenerational effects (N = 2,099), mass at day 8 still varied by a factor of 7 (range: 1.7-

174 12.4 g).

175 Other indicators of developmental conditions, despite being widely used as proxies in the

176 published literature, had little direct effect on the individual later in life. Therefore, in the

177 following analyses we only use nestling body mass at day 8 as the proxy of early-life condition of

178 parents and grandparents to assess the strength of the two types of transgenerational effects.

179

180 *Transgenerational effects of nestling mass: condition transfer*

181 We did not find any evidence for a transgenerational effect of nestling mass either of the

182 parents or of the grandparents on the adult offspring (mean estimate of 138 transgenerational

183 effects after accounting for some level of non-independence between the response variables

184  $r=-0.007$ , 95% CI: -0.017 to 0.002; Table S4; see also Fig 2B and 2D; Table S5). Among the many

185 correlations examined, only one was significant: the nestling mass of the mother correlated

186 positively with the reproductive performance (clutch size in cages and aviaries, fecundity in

187 aviaries, embryo survival, nestling survival, seasonal recruits and lifespan; Table S1) of her

188 daughters (mean  $r=0.071$ , 95% CI: 0.025 to 0.117,  $P=0.003$ , without correction for multiple

189 testing, Table S5; also see Fig 2D). This finding was mostly driven by a large positive effect of

190 maternal early growth on daughter fecundity (Fig 3F), which was even larger than the direct

191 effect of the daughters' own nestling mass at day 8 (Fig 3E). For all other dependent traits that  
192 were influenced by nestling mass, the direct effects (Fig 3A and 3C) exceeded the indirect  
193 maternal effects (Fig 3B and 3D).

194

195 The direct effects of nestling mass on the individual's adult traits are clearly stronger than  
196 expected under a random distribution of effect sizes (Fig 2E; see also Fig 2A and 2C). In  
197 contrast, the positive effects of the early-life condition of the mother (Fig 2F) are not much  
198 stronger than the presumably coincidental negative effects (opposite to expectations) of the  
199 early-life condition of the father and the grandparents (Fig 2G and 2H; see also Fig S2). The two  
200 significant maternal effects (upper right corner in Fig 2F) are those on daughter fecundity (see  
201 above, Fig 3F) and on daughter clutch size ( $r=0.103$ , 95% CI: 0.025 to 0.181,  $P=0.01$  without  
202 correction for multiple testing, Table S1). These findings are not independent, because clutch  
203 size and fecundity are strongly correlated ( $r=0.71$ ,  $N=230$  females, Fig S3 and Table S6), partially  
204 due to the fact that they are measured in the same breeding season ( $N=183$  females).

205

206 *Transgenerational effects of nestling mass: anticipatory effects*

207 Offspring performed significantly better when growing up under similar conditions as their  
208 parents (similarity in mass at day 8), but the effect size was small (mean estimate of 46  
209 transgenerational effects after accounting for some level of non-independence between the  
210 response variables  $r=0.028$ , 95%CI: 0.017-0.039; Table S7; see also Fig 4 and Table S8). This was  
211 mainly driven by the positive effects of (1) father-daughter similarity on the daughters' size (i.e.

212 tarsus and wing length) and (2) mother-daughter similarity on the daughters' fitness-related  
213 traits (Fig 4 and Table S8; see also Table S2).

214

215 *Confirmatory tests on independent data*

216 To independently verify the strongest and most plausible findings of (1) condition transfer from  
217 the mother affecting daughter fecundity, (2) anticipatory effects of similarity between mother  
218 and daughter in their nestling body mass on daughter fecundity and (3) anticipatory effects  
219 from the father-daughter similarity on daughter body size, we examined an independent data  
220 set. This confirmatory data set consists of females with incomplete data on transgenerational  
221 effects – missing data on nestling mass of grandparents –not used in the initial tests, population  
222 'Seewiesen') and of birds from two additional populations with shorter pedigrees (i.e. a recently  
223 wild-derived population 'Bielefeld' and a domesticated population generated from  
224 interbreeding between populations 'Krakow' and 'Seewiesen', population 'Krakow', see  
225 Methods for details). From this dataset, we used all available measures of female fecundity  
226 (including the three strongly correlated traits fecundity in aviaries, clutch size in cages and  
227 clutch size in aviaries) and size (including the two strongly correlated traits tarsus and wing  
228 length; see Table S6, Fig S3). All effect sizes of the confirmatory analysis are listed in Table S9.  
229 For all three tests, the initial effect size was clearly larger than the independent verification  
230 effect size (exploratory versus confirmatory, details in Fig 5 and Table S9) and besides the effect  
231 of father-daughter similarity on daughter tarsus length none of the confirmatory tests was  
232 significant.

233

234 **Discussion**

235 Our study supports the general idea that individuals are resilient to stress and particularly to  
236 stress experienced by ancestors. Even though individuals differed 7-fold in body mass when 8  
237 days old, nestling mass only had small effects on morphology and reproductive success later in  
238 life. Our results clearly reject the hypothesis of condition transfer between generations, in line  
239 with the idea that selection acts against transmitting a handicap to the next generation. We  
240 found some evidence for transgenerational anticipatory effects, but the mean effect was small  
241 ( $r = 0.028$ ), and did not hold up in an independent confirmatory test (Fig 5B and 5C). These  
242 mixed results indicate that the effect size for transgenerational anticipatory effects must be  
243 exceedingly small [59,60]. In conclusion, transgenerational effects were absent or minuscule and  
244 direct effects on fitness traits were relatively small given that some of the offspring were  
245 seriously undernourished. Thus, the notion of organismal robustness seems more noteworthy  
246 than the claim of sensitivity to early-life conditions within and across generations, which  
247 dominates the literature (e.g. [2,8–10,21,42]). This begs the question whether the  
248 underrepresentation of studies emphasizing “robustness” in the literature is the result of the  
249 predominating framework of hypothesis testing, where the rejection of the null hypothesis is  
250 almost a pre-condition of getting published [61].

251

252 We found that direct intragenerational effects of early environment on morphology were of  
253 moderate magnitude while effects on fitness-related traits were small, which is largely in line

254 with previous findings [2,7]. Regarding transgenerational effects of early stress, we examined  
255 the existing zebra finch literature [21,39,40,42,45,58] and found that studies typically report a  
256 large number of tests (median number of discussed combinations of stressors, traits and sex:  
257 18, range: 7-150). Only 15% of all tests were statistically significant, which is not far from the  
258 random expectation, especially if some non-significant findings were not reported. Additionally,  
259 an experimental study on zebra finches found no transgenerational anticipatory effect [21] and  
260 a meta-analysis of studies on plants and animals found no effect of transgenerational condition  
261 transfer [59]. A more recent meta-analysis found stronger transgenerational effects [62], yet  
262 this may be a consequence of selective inclusion of large effects. In three out of three randomly  
263 checked studies included in this meta-analysis [45,63,64], we found that only 38%, 75% and  
264 50% of reported effects had been included, respectively.

265

266 Given the small (expected) effect sizes, we argue that transgenerational effects can sensibly  
267 only be studied within a framework that ensures a comprehensive reporting of all effect sizes  
268 and a meta-analytic summary of these effects. Focus on a subset of tests (e.g. those that are  
269 significant) leads to bias, but selective attention may be advisable in two situations. Firstly,  
270 when there is an independent selection criterion. For example, we limited our analysis of  
271 transgenerational effects to those involving only the most powerful indicator of early  
272 developmental conditions. In this case, the selection criterion (magnitude of direct effects, Fig  
273 1) was established independently of the outcome variable (magnitude of transgenerational  
274 effects). Secondly, when there is an independent data set. For example, we selected the largest  
275 transgenerational effects from a first data set, and assessed them independently using a second

276 data set (Fig 5). Consistent with the phenomenon of the winner's curse [65], we found that  
277 selective attention to large effects yields inflated effect size estimates compared to the  
278 independent replication.

279  
280 Selective attention to large effects makes the published effect size estimates unreliable. Thus,  
281 we propose to base conclusions on meta-analytic averages of all effect sizes that have been  
282 judged worth of investigation before any results were obtained. With this approach we shift our  
283 attention from identifying the supposedly best predictor and best response towards the  
284 quantification of the magnitude of an average predictor on an average response. Clearly, the  
285 latter is more reliable than the former, just as the average of many numbers is more robust  
286 than the maximum. Accordingly, the meta-analytic summary yields narrow confidence intervals  
287 around the estimated mean effect size. Note, however, that the estimated 95% CI might be  
288 somewhat anti-conservative (i.e. too narrow), because the summarized effect sizes are not fully  
289 independent of each other (multiple response variables are correlated; see Fig S3 and Table S6).  
290 In the cases where we summarize a large number of effect sizes (138 estimates in Table S4 and  
291 44 estimates in Table S7) we fitted a random effect that controls for some of this non-  
292 independence, and this led to confidence intervals that are about 20% wider (compared to  
293 dropping the random effect). This approach of modelling and quantifying the degree of non-  
294 independence cannot be applied when summarizing only few effect size estimates (between 2  
295 and 13 estimates in Figs 1, 2, 4, and 5), meaning that the indicated confidence intervals will be  
296 somewhat too narrow.

297

298 In our study, five out of six putative indicators of early developmental stress had little or no  
299 direct effect on an individual's morphology and fitness later in life (Fig 1). This suggests that it is  
300 not worth to examine these traits for transgenerational effects ([14]; see also Fig S2), unless  
301 one can plausibly assume that some indicators of early stress cause direct effects, while others  
302 cause transgenerational effects. This differs from previous studies that showed various direct  
303 effects (e.g. of brood size [2,66,67], laying order [53,68] and hatching order [42]), but did not  
304 attempt to meta-summarize all examined effects. In contrast to the other five variables,  
305 nestling mass (8 days old) was clearly associated with both nestling survival (Fig S1) and adult  
306 performance. However, its strongest effects were on morphology (highest  $r=0.41$ , Fig 3A, Table  
307 S1), which is somewhat trivial. Food shortage during the developmental period reduces growth  
308 and this in turn affects body size later in life [3]. Because body size *per se* has little direct causal  
309 effect on fitness in zebra finches [69], more complete developmental canalization for size-  
310 related traits may not have evolved. Indeed, despite large variation in mass at day 8 (1.7-12g),  
311 the effect of nestling mass on reproductive performance and lifespan was weak, suggesting that  
312 fitness is remarkably resilient to variation in early-life conditions [22,38].

313  
314 Note that our study is non-experimental and on captive individuals. The latter implies that  
315 individuals were kept in a safe environment with *ad libitum* access to food (but with intense  
316 social interactions including competition for mates and nest sites). Direct and transgenerational  
317 effects on reproductive performance traits may be different in free-living populations, where  
318 individuals live and reproduce under potentially more stressful environmental conditions.  
319 Additionally, our data set was not ideal to test 'anticipatory parental effects'. This hypothesis

320 predicts that offspring have higher fitness when the offspring environment matches the  
321 parental environment (e.g. [10,59]). In an ideal experiment, one would manipulate the parents'  
322 and the offspring's breeding environments in a fully factorial design and examine the effects of  
323 matching versus mismatching on offspring performance [8,10,12,59]. Our study only uses  
324 observational data and only regarding the similarity of the early growth environments (but not  
325 breeding environments). However, a meta-analysis of experimental studies on plants and  
326 animals only found a weak trend for small beneficial anticipatory parental effects (effect size  
327  $d=0.186$ , highest posterior density:  $-0.030, 0.393$ ) [59]. Experimental studies are better suited  
328 to test causality, but when analyses of observational data suggest no effect, experiments may  
329 not provide much insight (note that the 95% confidence interval for the mean effect excluded  
330 all biologically relevant effect sizes, e.g. the estimated condition-transfer effects ranged from -  
331 0.017 to 0.002, and anticipatory effects ranged from 0.017 to 0.039). Our approach had the  
332 advantage that we could make use of the entire range of observed growth conditions (7-fold  
333 difference in mass at day 8), while experimental studies often only induce a 10-15% difference  
334 in nestling mass between treatment groups (because ethical concerns prohibit strong  
335 treatments; [3,67]). This then requires larger sample sizes to detect similar phenotypic effects.  
336 Our additional confirmatory data sets had smaller sample sizes than the initial data set (Fig 5)  
337 and the data were more heterogeneous, because they included individuals from different  
338 populations that differ in genetic background, body size and domestication history. It is also  
339 noteworthy that the significant effect of father-daughter similarity on daughter tarsus length  
340 held up in one confirmatory data set (Fig 5C). Furthermore, one should keep in mind that mass  
341 at day 8 is not exclusively a descriptor of the extrinsic growth conditions experienced by the

342 nestling, but may also be influenced by genetic differences among individuals in their ability of  
343 convert food into growth. Cross-fostering experiments in the same population indicated a  
344 heritability of nestling mass of  $h^2 = 0.13$  [3].

345

346 In summary, for future studies on transgenerational effects, we suggest an approach that  
347 renders multiple testing a strength rather than a burden and that consists of four simple steps.  
348 (i) Start with clear, one-tailed hypotheses [70], (ii) validation by assessing the direct effects (Fig  
349 1), (iii) meta-analysis of all effects (Fig 2) and – if feasible – (iv) verify the effects with an  
350 independent confirmatory dataset (Fig 5). Using this approach, our study shows convincing  
351 evidence for small direct effects, and – at best – weak evidence for small transgenerational  
352 effects on morphology and fitness. Hence, our study supports the null hypothesis that selection  
353 buffers individual fitness against detrimental epigenetic effects, such that the detrimental  
354 effects due to stress experienced early in life by the ancestors are not carried on across  
355 generations [22,71].

356

## 357 **Methods**

### 358 *General procedures*

359 The zebra finch is an abundant, opportunistic breeder in Australia in the wild [72] that also  
360 breeds easily in captivity. We used birds from a domesticated zebra finch population with a 13-  
361 generation error-free pedigree, maintained at the Max Planck Institute for Ornithology,  
362 Seewiesen, Germany (#18 in [73]). We used all individuals (N=2099) for which information was

363 available on laying and hatching dates, egg volume and nestling mass at 8 days of age, both  
364 from the focal individual itself, but also from its parents and grandparents. During episodes of  
365 breeding in aviaries or in cages, nests were checked daily on weekdays and occasionally during  
366 weekends (for further details, see [6,57,74]).

367

368 *Early developmental stressors*

369 We examined six parameters that have been used in previous studies as potential proxies of  
370 nutrition or stress experienced during early development: (i) the laying order of eggs within a  
371 clutch (range: 1-18, mean =3.1, SD=1.7, note that only 5 birds hatched from eggs with laying  
372 order >10; such large clutches were found in communal aviary breeding, whereby clutch size  
373 was defined as the number of eggs laid consecutively by a focal female allowing for laying gaps  
374 of maximally 4 days between subsequent eggs) [53,54], (ii) the order of clutches laid within a  
375 breeding season (range: 1-8, mean =2.1, SD=1.2) [55], (iii) the order of hatching within a brood  
376 (range: 1-6, mean =2.1, SD=1.1) [51,52], (iv) brood size (number of nestlings reaching 8 days of  
377 age; range: 1-6, mean =3.3, SD=1.2) [2,50], (v) relative egg volume (i.e. centered to the mean  
378 egg volume laid by a given female; range: -0.26-0.30, mean =0.01, SD=0.07) [56] and (vi)  
379 nestling body mass at 8 days of age (range: 1.7-12.4 g, mean =7.2 g, SD=1.6) [3]. Egg volume  
380 was calculated as  $V = \frac{1}{6}\pi Width^2 Length$ , whereby egg length and width were measured to the  
381 nearest 0.1mm. Egg volume was not influenced by whether the egg was laid in an aviary or a  
382 cage. Nestlings were somewhat heavier in aviaries than in cages ( $b = 0.19$ ,  $SE = 0.12$ ,  $Z = 1.58$ ,  $P$   
383 = 0.12).

384

385 We hypothesized that individuals (or their parents and grandparents) developed under more  
386 stressful conditions if they came from eggs later in the laying, clutch or hatching sequence,  
387 were raised in a larger brood, hatched from an egg that was relatively small and had a lower  
388 body mass at 8 days of age. For the measure of similarity of parent-offspring early  
389 developmental condition (predictor of 'anticipatory effect'), we calculated the absolute  
390 difference in nestling mass at 8 days between parent (mother or father) and offspring (mother-  
391 offspring range: 0-8.4 g, mean =1.8 g, SD=1.4; father-offspring range: 0-7.9 g, mean =1.7 g,  
392 SD=1.3).

393

394 To aid interpretation, we scored all stressors in such a way that all estimated effects are  
395 expected to be positive (multiplication by -1 where necessary). Thus, positive effect sizes  
396 indicate detrimental effects of a stressor on a trait.

397

398 *Morphological and fitness-related traits*

399 We studied the following morphological traits, measured when the individual reached  
400 adulthood (median = 115 days of age, range 93-229 days, >95% of birds were 100-137 days  
401 old): (i) body mass (measured to the nearest 0.1 g using a digital scale, N = 947 females and  
402 1012 males), (ii) length of the right tarsus (measured from the bent foot to the rear edge of the  
403 tarsometatarsus, including the joint, using a wing ruler to the nearest 0.1 mm, N = 944 females  
404 and 1008 males; see method 3 in Forstmeier et al. 2007), (iii) length of the flattened right wing

405 (measured with a wing ruler to the nearest 0.5 mm, N = 939 female and 1004 males), (iv) visible  
406 clavicular and abdominal fat deposition, scored from 0 to 5 in 0.5 increments (N = 932 females  
407 and 989 males), and (v) redness of the beak [57], scored by comparison to a color standard  
408 following the Munsell color scale from 0 to 5.5 in 0.1 increments (N = 947 females and 1012  
409 males). Male and female traits were analyzed separately, leading to a total of 10 morphological  
410 traits.

411  
412 We studied the following 13 fitness-related traits (data taken from [6]): (i) female clutch size  
413 measured in cages (N = 166 females) or (ii) in aviaries (N = 274 females), (iii) female fecundity,  
414 i.e. total number of eggs laid in aviaries without nestling rearing (N = 230 females), (iv) male  
415 infertility, measured in cages as the proportion of non-developing eggs (N = 132 males) and (v)  
416 in aviaries as the proportion of eggs not fertilized by the social male (N = 237 males), (vi) male  
417 siring success, measured in aviaries as the total number of eggs sired (within- and extra-pair; N  
418 = 281 males), (vii) female embryo mortality, measured as the proportion of a genetic mother's  
419 embryos dying (N = 228 genetic mothers), (viii) nestling mortality, measured as the proportion  
420 of hatchlings in a brood that died before day 35, for a given social mother (N = 233) and (ix) for  
421 a given social fathers (N = 228), (x) female and (xi) male seasonal recruits as the total number of  
422 independent offspring produced (defined as offspring that survived until day 35; N = 126 males  
423 and N = 125 females), (xii) female (N = 409) and (xiii) male lifespan (N = 412). In cages, single  
424 pairs were kept whereby the partners were assigned to each other; in semi-outdoor aviaries, a  
425 group of females and males were kept whereby birds freely formed breeding pairs.

426

427 For infertility, embryo and nestling mortality, we used raw data based on the fate of single  
428 eggs, while controlling for pseudo-replication by adding male and female identities as random  
429 effects in all models (see Statistical Analysis). Female clutch size was analyzed at the clutch  
430 level, controlling for female identity, because 94% of females produced multiple clutches. For  
431 fecundity, siring success and seasonal recruits, we used the data from individuals within a given  
432 breeding season (96% of females and 78% of males had multiple measures for fecundity and  
433 siring success, while for seasonal recruits, females and males were only measured once). For  
434 easy interpretation of the results, we scored all fitness-related traits in such a way that high  
435 trait values refer to better reproductive performance (multiplication by -1 where necessary).

436

437 The morphological and fitness-related traits are in general positively correlated within female  
438 and male zebra finches (Fig S3 and Table S6).

439

440 *Statistics*

441 We estimated the effect of each potential stressor experienced either by the individual itself, or  
442 by one of its parents or grandparents on each trait in a separate model (6 stressors x 7 sources  
443 x 23 traits = 966 models). We used mixed-effect models and animal models to control for the  
444 non-independence of data points due to shared random effects including genetic relatedness.  
445 For animal models, we used the package ‘pedigreeMM’ [75] and for mixed-effect models we  
446 used ‘lme4’ [76] in R V3.5.1. The 95% CIs of estimated effect sizes were calculated using the

447 'glht' function in the 'multcomp' R package while controlling for multiple testing [77], unless  
448 stated otherwise.

449

450 Morphological traits typically show high heritability, so we included the between-individual  
451 relatedness matrix (using pedigree information) as a random effect to control for the genetic  
452 relatedness of individuals. In contrast, fitness-related traits typically have low heritability [6], so  
453 we analyzed fitness-related traits in mixed-effect models while only controlling for repeated  
454 measurements from the same focal individual, parent or grandparent. To compare and  
455 summarize the effects of the variables indicating early-life conditions on different traits, we Z-  
456 scaled all dependent and all predictor variables (stressors), assuming a Gaussian distribution.

457

458 Details on model structures, all scripts and underlying data are provided in the Open Science  
459 Framework at <https://osf.io/wjg3q/>. In brief, for all morphological traits, we fitted sex (male  
460 and female), fostering experience (three levels: no cross-fostering, cross-fostered within or  
461 between populations), and inbreeding level (pedigree-based inbreeding coefficient,  $F_{ped}$ , where  
462 outbred birds have  $F_{ped}=0$  and full-sib matings produce birds with  $F_{ped}=0.25$ ) as fixed effects. For  
463 models with beak color, wing and tarsus length as the dependent variable, we also fitted the  
464 identity of the observer that measured the trait as a fixed effect to control for between-  
465 observer variation. We included the identity of the peer group in which the individual grew up  
466 as a random effect. We fitted individual identity twice in the random structure, once linked to  
467 the pedigree to control for relatedness between individuals and once to estimate the

468 permanent environmental effect. Additionally, for models with body mass, beak color, wing and  
469 tarsus length and fat score as the dependent variable, we included the identity of the batch of  
470 birds that were measured together as a random effect (group ID) to control for batch effects  
471 between measurement sessions.

472

473 For models of fitness-related traits, we controlled for individual age, inbreeding level ( $F_{ped}$ ),  
474 number of days the individual was allowed to breed (in aviaries), the sex ratio (i.e. the  
475 proportion of males) and pairing status (force-paired in cages or free-paired in aviaries) by  
476 including them as fixed effects, whenever applicable. Additionally, for egg-based models (male  
477 fertility, embryo and nestling survival), we controlled for clutch order and laying or hatching  
478 order of the egg that was laid/potentially sired by the focal female or male. For models on  
479 embryo and nestling survival, we also controlled for the inbreeding level of the offspring. In all  
480 models, we included individual identity, breeding season identity, clutch identity, identity of the  
481 partner of the focal individual and the pair identity, as appropriate.

482

483 We meta-summarized effect sizes using the 'lm' function in the R package 'stats' V3.6.1,  
484 whereby we weighted each effect size by the inverse of the standard error of the estimate to  
485 account for the uncertainty of each estimate. Intercepts were removed to estimate the mean of  
486 each category unless stated otherwise. First, we meta-summarized the direct effect of each of  
487 the six stressors on the individual's own morphological versus fitness-related traits ('trait type',  
488 2 levels). In this model, we fitted the pairwise combination of the trait type and the potential

489 stressor as a fixed effect with 12 levels. Second, we summarized the direct or transgenerational  
490 effects (from the individual, its parents and grandparents, 7 levels, 'stress experienced by a  
491 certain individual') of the most powerful proxy of developmental stress (nestling body mass at 8  
492 days old, see Results) on the morphological versus fitness related traits (2 levels) of males and  
493 females (2 levels, 'sex'). In this model, we fitted the pairwise combination of stress experienced  
494 by a certain individual, trait type and sex as a fixed effect with 28 levels. Third, we meta-  
495 summarized the transgenerational anticipatory effect of the similarity between parent-  
496 offspring in their nestling mass (mother or father in combination with daughters or sons, 4  
497 levels) on the offspring's morphological versus fitness-related traits (2 levels). Here we included  
498 the pairwise combination of parent, offspring sex and trait type as a fixed effect with 8 levels.

499  
500 Then, we meta-summarized the overall transgenerational effects of conditional transfer and  
501 anticipatory effects in two mixed-effect models using the 'lmer' function in the R package  
502 'lme4' [76], where we weighted each estimate by the multiplicative inverse of its standard error  
503 to account for their level of uncertainty. To account for the non-independence between  
504 response variables (see Fig S3), we fitted a random effect that reflects their dependencies. For  
505 this purpose we grouped all 23 performance traits based on their pairwise correlation  
506 coefficients (table S6) into 11 categories (see table S4). The fitted random effect groups the  
507 performance traits into 11 categories separately for each ancestor (22 levels for the parents  
508 and 44 levels for grandparents). We meta-summarized the overall transgenerational effects of  
509 conditional transfer of mass at day 8 experienced by the ancestors (parents and grandparents)  
510 on the traits of individuals, by only including an intercept. Last, we meta-summarized the

511 overall transgenerational anticipatory effect of similarity between parent-offspring in their  
512 mass at day 8 on the traits of offspring, by only including an intercept.

513

514 For visualization, we calculated the expected Z-values with 95% CIs from a normal distribution  
515 given the number of Z-values for each group of effects due to each stressor experienced by the  
516 focal individual, its mother, its father and its grandparents formulas as follows: expected Z-  
517 values as 'qnorm(ppoints(N Z-values))' (i.e. the integrated quantiles assuming a uniformly  
518 distributed probability of a given number of observations) and 95% CIs of the expected Z-values  
519 as 'qnorm(qbeta(p = (1 ± CI) / 2, shape1 = 1: N Z-values, shape2 = N Z-values:1))' (i.e. the  
520 integrated quantiles of quantiles of a uniformly distributed probability of a given number of  
521 observations from a beta distribution) in the R package 'stats' V 3.6.1. We visually inspected the  
522 ZZ-plots for the expected versus observed Z-values dependent on the direction of the effects. Z-  
523 values larger than 1.96 were considered to be significant.

524

525 We calculated the sample size for detecting a given effect size with a power of 80% at  $\alpha=0.05$   
526 for a one-tailed hypothesis in the R package 'pwr' v1.2-2 [78]. We used the function 'pwr.r.test'  
527 for observational data and 'pwr.t.test' for treatment-based experimental data.

528

529 *Confirmatory analysis*

530 For the confirmatory analysis, we used additional birds, including the remaining Seewiesen  
531 birds whose maternal nestling mass was known and birds from two other captive populations

532 with short pedigrees: 'Krakow' (interbreeding between populations 'Krakow' #11 in [73] and  
533 'Seewiesen') and 'Bielefeld' (wild-derived in the late 1980s, #19 in [73]). To replicate the tests  
534 that showed significant effects of maternal early condition and the similarity between mother-  
535 daughter early condition on daughter fecundity-related traits (see Results), we used the  
536 following samples: (i) female clutch size measured in cages ( $N = 156$  'Seewiesen' and 30  
537 'Krakow' females) or (ii) in aviaries ( $N = 84$  'Seewiesen', 66 'Krakow' and 53 'Bielefeld' females),  
538 (iii) female fecundity, measured in aviaries ( $N = 31$  'Seewiesen' females). We Z-scaled nestling  
539 body mass at 8 days of age within each population before further analysis, because birds in the  
540 recently wild-derived population 'Bielefeld' were smaller compared to those of the  
541 domesticated 'Seewiesen' and 'Krakow' populations. We used the 'lmer' function from the R  
542 package 'lme4' to estimate the maternal nestling mass effect on daughters' fecundity-related  
543 traits. The same model structure was used as in the initial tests, but we additionally controlled  
544 for between-population differences by including the population where the female came from  
545 as a fixed effect. In the model of female fecundity, we removed the variable "number of days  
546 the female stayed in the experiment" (because there was no variation) and the random effect  
547 "female identity" (because each individual contributed only one data point). To replicate the  
548 tests that showed a significant effect of similarity of father-daughter early condition on the  
549 daughters' size-related traits, we used (1) tarsus length of  $N = 480$  'Seewiesen', 290 'Krakow'  
550 and 357 'Bielefeld' females and (2) wing length of  $N = 256$  'Seewiesen', 287 'Krakow' and 356  
551 'Bielefeld' females. We analysed the animal model for each population separately, using the  
552 same model structure as in the initial test, using the R function 'pedigreeMM' from package

553 ‘PedigreemMM’. In the confirmatory models for the Seewiesen population, we removed

554 “author identity” because all birds were measured by the same person.

555

556 We meta-summarized the effects of (1) maternal mass at 8 days old, (2) similarity of mother-

557 daughter early condition on her daughters’ fecundity-related traits and (3) similarity of father-

558 daughter early condition on his daughters’ size (see Results) in a ‘lm’ model, by fitting the

559 pairwise combination of test (initial or confirmatory) and the three effects as a fixed effect with

560 6 levels and the multiplicative reverse of the standard error of each estimate as ‘weight’.

561

562 **Data accessibility**

563 Supporting data and R scripts can be found in the Open Science Framework at <https://osf.io/wjg3q/> and

564 the fitness-related data can be found at <https://osf.io/tgsz8/>.

565

566 **Authors’ contributions**

567 W.F. and B.K. designed the study. W.F. collected the morphological data. Y.P. and W.F. analyzed the data

568 and interpreted the results with input from B.K. Y.P. and W.F. wrote the manuscript with help from B.K.

569

570 **Competing interests**

571 We have no competing interests.

572

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576

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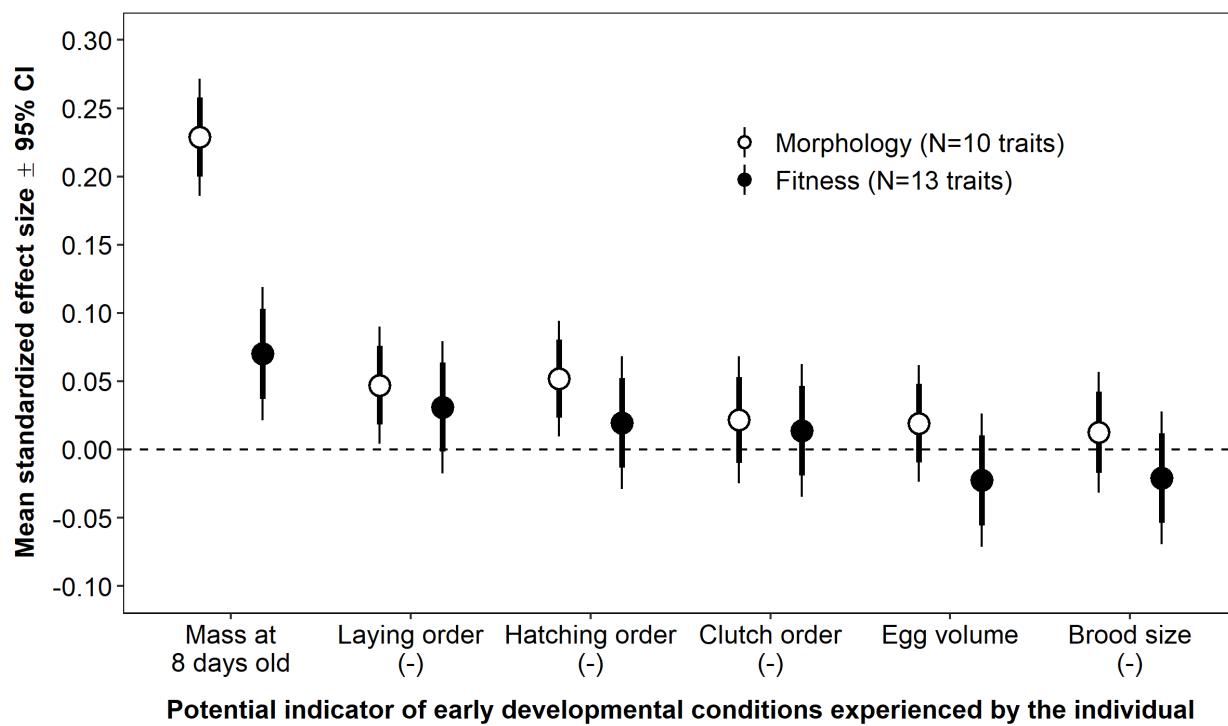
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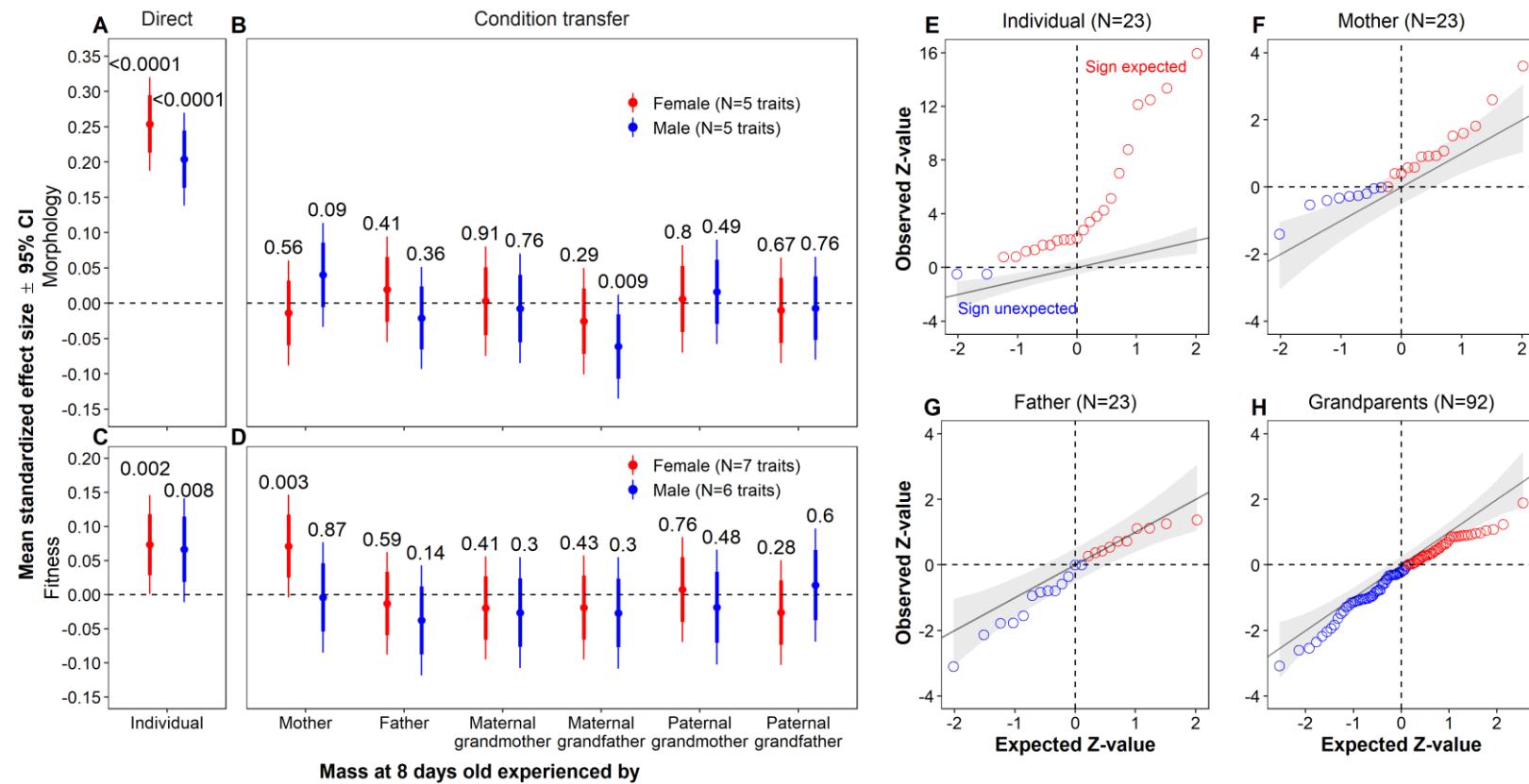
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782 **Fig 1. Average magnitude of direct effects** of six potential indicators of early developmental  
783 conditions experienced by the individual itself on their adult morphology (averaged across 10  
784 traits; open symbols) and fitness-related traits (13 traits; filled; Table S3). Error bars show two  
785 types of 95% CIs: thick lines refer to the single estimate and thin lines are Bonferroni adjusted  
786 for conducting 12 tests (figure-wide significance). Morphological traits (sex specific body mass,  
787 tarsus length, wing length, fat score and beak colour, median N = 944 females and 1008 males)  
788 were measured when individuals were 93-229 days old. Fitness-related traits include male and  
789 female lifespan, male and female number of seasonal recruits, female clutch size (in cages and  
790 aviaries) and fecundity, male fertility (in cages and aviaries), male siring success in aviaries,  
791 female embryo survival, and female and male nestling survival (median N = 228 individuals).  
792 Four out of the six indicators of early-life conditions were multiplied by -1 (indicated by (-)) such  
793 that positive effect sizes reflect better performance under supposedly better conditions.  
794 Morphological and fitness-related traits as well as indicators of early-life conditions were Z-  
795 transformed to yield effect sizes in the form of Pearson correlation coefficients.

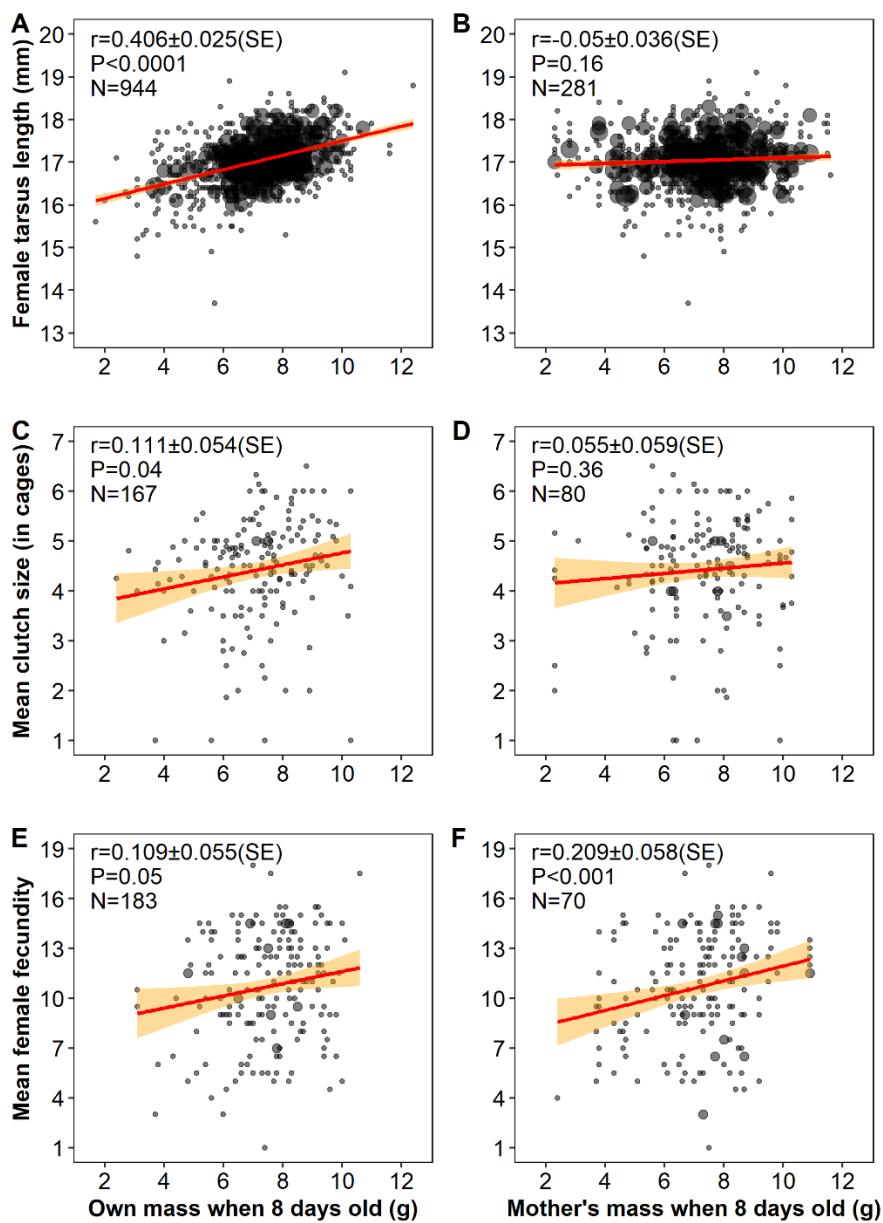


796

797 **Fig 2. Trans-generational condition transfer effects of early developmental conditions (measured as nestling body mass at 8 days**  
 798 **of age).** (A-D) Average magnitude of condition transfer effects from 6 types of ancestors (B, D) in comparison to the direct effects of  
 799 the experience of the individual itself (A, C) on morphological (mean of 5 traits; A, B) and fitness-related traits (mean of 6 or 7 traits;  
 800 C, D) for individual females (red) and males (blue; Table S5). Error bars show two types of 95% CIs: thick lines refer to the single  
 801 estimate and thin lines are Bonferroni adjusted for conducting 28 tests (figure-wide significance among A-D). Indicated P-values  
 802 refer to each average effect estimate without correction for multiple testing. For further explanations see legend of Fig 1. (E-H) ZZ-  
 803 plots of expected versus observed Z-values of the effects of early developmental conditions (mass at 8 days old) experienced by the  
 804 focal individual itself (E), its mother (F), its father (G) and its four grandparents (H) on 10 morphological and 13 fitness-related traits.  
 805 N indicates the number of tests. Red indicates that the sign of the estimate is in the expected direction, blue indicates that the sign is  
 806 in the opposite direction. Lines of identity (where observation equals prediction) and their 95% CIs are shown.

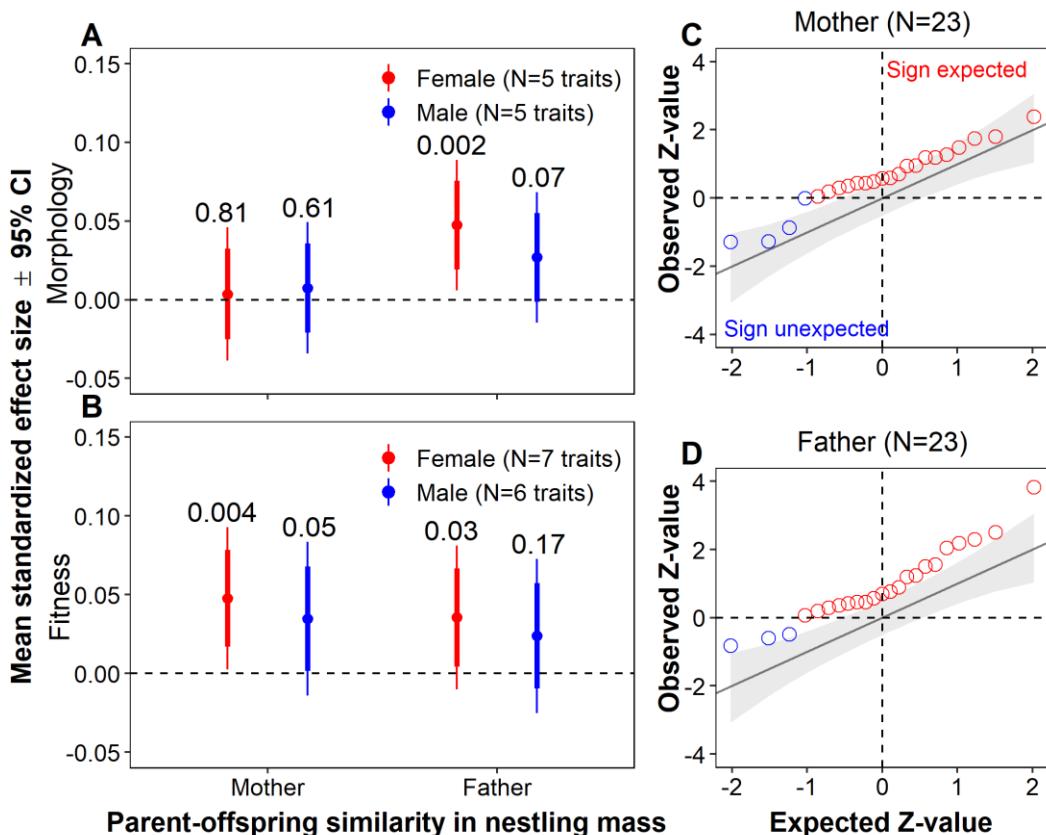


808 **Fig 3. Comparison of direct effects (left column) and effects of condition transfer from mother**  
809 **to daughter (right column).** Relationship between nestling mass at 8 days old experienced by  
810 the focal female (A, C, E) or by her mother (B, D, F) and tarsus length (A, B), mean clutch size in  
811 cage breeding (C, D) and mean female fecundity when breeding in an aviary (E, F). Dot size  
812 reflects sample size. Red lines are the linear regression lines ( $\pm 95\%$  CI, orange shading) on the  
813 data shown, while indicated effect sizes ( $r$  with SE, P, N: number of females for A, C, E, and  
814 number of mothers for B, C, D) reflect estimates from mixed-models. Note that each  
815 individual's own mass when 8 days old corresponds to one value of the dependent variable  
816 whereas each mother's mass at 8 days old can correspond to multiple values of the dependent  
817 variable (one for each of her daughters).



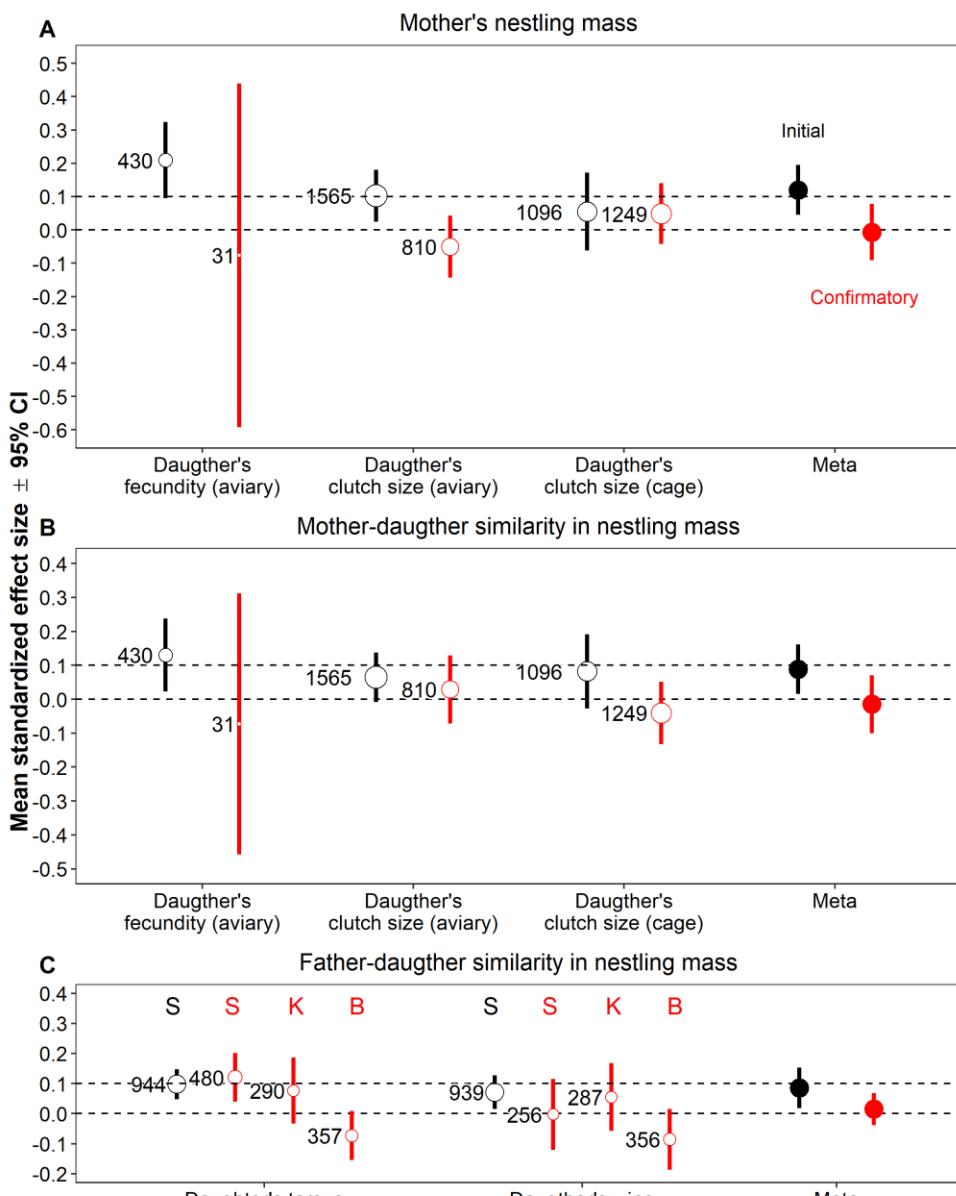
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819 **Fig 4. Transgenerational anticipatory effects (of similarity in early growth conditions between**  
820 **parents and offspring).** (A-B) Average magnitude of anticipatory effect on morphological (mean  
821 of 5 traits; A) and fitness-related traits (mean of 6 or 7 traits; B) for individual females (red) and  
822 males (blue; Table S8). Error bars show two types of 95% CIs: thick lines refer to the single  
823 estimate and thin lines are Bonferroni adjusted for conducting 8 tests (figure-wide significance  
824 in A-B). Indicated P-values refer to each average effect estimate without correction for multiple  
825 testing. (C-D) ZZ-plots of expected versus observed Z-values of the effects of similarity in  
826 conditions (mass at 8 days old) between the focal individual itself and its mother (C), and  
827 between the focal individual itself and its father (D) on 10 morphological and 13 fitness-related  
828 traits (Table S2). For further explanations of A-B see legends of Fig 1 and C-D see legends of Fig  
829 2.



830

831 **Fig 5. Confirmatory analysis of transgenerational condition transfer (A) and anticipatory (B-C) 832 effects.** Effect sizes (mean  $\pm$  95% CI without controlling for multiple testing) of mother's mass at 833 8 days old (A) and the similarity between mother and daughter in their mass at 8 days old (B) on 834 daughters' fecundity, clutch size in cage and aviary (open) and the similarity of father and 835 daughter in their mass at day 8 on the daughters' tarsus and wing length (open symbols; C). The 836 filled symbols show the meta-summarized effect sizes from the initial data set (black) and from 837 the confirmatory data set (red). Numbers in the plots refer to the number of individuals (tarsus 838 and wing length), clutches (clutch size in aviary and cage) or breeding seasons (fecundity). 839 Daughter fecundity-related and size-related traits, mother's mass at 8 days old, and similarity 840 between mother-daughter and father-daughter in nestling mass were Z-transformed to yield 841 effect sizes in the form of Pearson correlation coefficients. Tarsus and wing length were 842 analysed by population due to the between-population difference in body size (C), where 'S', 'K' 843 and 'B' refer to populations 'Seewiesen', 'Krakow' and 'Bielefeld'. Additional details see Table 844 S9.



845