

## Eukaryotic-like ribosomal RNA region in Lokiarchaeota

**Authors:** Petar I. Penev<sup>1,2</sup>, Sara Fakhretaha-Aval<sup>1,3</sup>, Vaishnavi J. Patel<sup>4</sup>, Jamie J. Cannone<sup>4</sup>, Robin R. Gutell<sup>4</sup>, Anton S. Petrov<sup>1,3\*</sup>, Loren Dean Williams<sup>1,2,3\*</sup>, Jennifer B. Glass<sup>1,2,5\*</sup>

## Affiliations:

<sup>1</sup>NASA Center for the Origin of Life, Georgia Institute of Technology, Atlanta, GA 30332-0400, USA

<sup>2</sup>School of Biological Sciences, Georgia Institute of Technology, North Avenue, Atlanta, GA 30332, USA

<sup>3</sup>School of Chemistry and Biochemistry, Georgia Institute of Technology, 901 Atlantic Dr, Atlanta, GA 30332, USA

<sup>4</sup>Department of Integrative Biology, The University of Texas at Austin, 2415 Speedway #C0930, Austin, TX 78712, USA

<sup>5</sup>School of Earth and Atmospheric Sciences, Georgia Institute of Technology, 311 Ferst Dr, Atlanta, GA 30332, USA

\*Correspondence to: [anton.petrov@biology.gatech.edu](mailto:anton.petrov@biology.gatech.edu); [loren.williams@chemistry.gatech.edu](mailto:loren.williams@chemistry.gatech.edu); [jennifer.glass@eas.gatech.edu](mailto:jennifer.glass@eas.gatech.edu)

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## Abstract

13

The ribosome's common core connects all life back to a common ancestor and serves as a window to relationships among organisms. In eukaryotes, the common core contains expansion segments (ES's) that vastly increase ribosomal RNA size. Supersized ES's have not been observed previously in Bacteria or Archaea, and the origin of eukaryotic ES's remains enigmatic. We discovered that the large subunit rRNA of *Lokiarchaeota*, the closest modern cell lineage to the last common ancestor of Archaea and Eukarya, bridges the gap in size between prokaryotic and eukaryotic rRNA. The long large subunit rRNA in *Lokiarchaeota* is largely due to the presence of two eukaryotic-like, supersized ES's, ES9 and ES39, which are transcribed *in situ*. We applied computational models, covariation analysis, and chemical footprinting experiments to study the structure and evolution of *Lokiarchaeota* ES9 and ES39. We also defined the eukaryotic ES39 fold for comparison. We found that *Lokiarchaeota* and eukaryotic ES's are structurally distinct: *Lokiarchaeota* ES39 has more and longer helices than the eukaryotic ES39 fold. Despite their structural differences, we found that *Lokiarchaeota* and eukaryotic ES's originated from a common ancestor that was "primed" for evolution of larger and more complex rRNAs than those found in Bacteria and other archaea.

28

## Introduction

29 The ribosome connects all life on Earth back to the Last Universal Common Ancestor (LUCA)  
30 (Woese and Fox 1977). The small ribosomal subunit (SSU) decodes mRNA and the large  
31 ribosomal subunit (LSU) links amino acids together to produce coded protein. Both subunits are  
32 made of ribosomal RNA (rRNA) and ribosomal protein (rProtein). All cytoplasmic ribosomes  
33 contain a structurally conserved universal common core, comprised of 2800 nucleotides and 28  
34 rProteins, and including the peptidyl transferase center (PTC) in the LSU and the decoding  
35 center (DCC) in the SSU (Melnikov, et al. 2012; Bernier, et al. 2018). The rRNA of the common  
36 core is a reasonable approximation of the rRNA in LUCA and is most similar to rRNA of extant  
37 bacteria (Melnikov, et al. 2012; Petrov, et al. 2014b; Bernier, et al. 2018).

38 In Eukarya, the rRNA of the common core is elaborated by expansion segments (ES's,  
39 **Fig. 1**) (Veldman, et al. 1981; Clark, et al. 1984; Hassouna, et al. 1984; Gonzalez, et al. 1985;  
40 Michot and Bachellerie 1987; Bachellerie and Michot 1989; Gutell 1992; Lapeyre, et al. 1993;  
41 Gerbi 1996; Schnare, et al. 1996). ES's emerge from a small number of conserved sites on the  
42 common core and are excluded from regions of essential ribosomal function such as the DCC,  
43 the PTC and the subunit interface (Ben-Shem, et al. 2010; Anger, et al. 2013). Expansion  
44 segments are larger and more numerous on the LSU than on the SSU; across phylogeny, size  
45 variation of the SSU rRNA is around 10% that of LSU rRNA (Gutell 1992; Gerbi 1996; Bernier,  
46 et al. 2018). Metazoan rRNAs contain supersized ES's of hundreds of nucleotides (nts).

47 The recent discovery and characterization of the Asgard archaeal superphylum suggests  
48 that the last archaeal and eukaryotic common ancestor (LAECA) contained key components of  
49 eukaryotic cellular systems (Spang, et al. 2015; Klinger, et al. 2016; Eme, et al. 2017; Zaremba-  
50 Niedzwiedzka, et al. 2017; Narrowe, et al. 2018; Spang, et al. 2019). Eukaryotic signature  
51 proteins (ESPs) found in Asgard archaea are involved in cytoskeleton, trafficking, ubiquitination,  
52 and translation. Asgard archaea also contain several homologs of eukaryotic ribosomal proteins  
53 (Hartman and Fedorov 2002; Spang, et al. 2015; Zaremba-Niedzwiedzka, et al. 2017). Before  
54 our work here, it was not known if Asgard rRNAs could contain eukaryotic-like features such as  
55 supersized ES's. Eukaryotic-like, supersized ES's have not been observed previously in Bacteria  
56 or Archaea and were considered unique to eukaryotes (Ware, et al. 1983; Clark, et al. 1984;  
57 Hassouna, et al. 1984; Gerbi 1996; Melnikov, et al. 2012).

58 Here, we apply computation and experiment to study the structure and evolution of  
59 Asgard rRNA. We find that LSU rRNA of the Asgard phylum, *Lokiarchaeota*, contains an  
60 archaeal common core and supersized eukaryotic-like ES's. No es's were found in SSU rRNA of  
61 *Lokiarchaeota*. In size and complexity, *Lokiarchaeota* LSU ES's exceed those of protists rRNAs  
62 and rival those of metazoan rRNAs. Our data suggests that the large ES's of *Lokiarchaeota* and  
63 Eukarya can be traced back to a common ancestor.

64

65 **Results**

66 **Comparative analysis reveals broad patterns of LSU rRNA size relationships.** Previously,  
67 we developed the SEREB MSA (Sparse and Efficient Representation of Extant Biology,  
68 Multiple Sequence Alignment) as a tool for comparative analysis of rRNA and rProtein  
69 sequences (Bernier, et al. 2018). The SEREB MSA is a structure-informed alignment of a sparse  
70 and unbiased group of sequences including all major phyla. The MSA was manually curated and  
71 extensively cross-validated. The SEREB MSA is useful as a seed to study a variety of  
72 evolutionary phenomena. Previously, we augmented the SEREB MSA to include additional  
73 metazoan sequences, allowing us to characterize ES's and their evolution in metazoans (Mestre-  
74 Fos, et al. 2019a; Mestre-Fos, et al. 2019b). Here, we augmented the SEREB MSA to include 21  
75 sequences from the Asgard superphylum (**supplementary datasets S1,2**).

76 The SEREB MSA indicates that size relationships of LSU rRNAs follow the general  
77 pattern: Bacteria (2725-2960 nts, n=61 [n is number of species]) < Archaea (2886 to 3094 nts,  
78 n=48, excludes *Lokiarchaeota*) < Eukarya (3300-5200 nts, n=30; **Fig. 2**). Archaeal rRNAs  
79 frequently contain micro-expansion segments ( $\mu$ -ES's; stem loops of less than 20 nts) at  
80 positions of eukaryotic ES's. Archaeal LSU rRNAs commonly contain  $\mu$ -ES's at the sites of  
81 attachment of ES9 and ES39 in eukaryotes. For example, in the archaeon *P. furiosus*,  $\mu$ -ES9 is  
82 33 nts and  $\mu$ -ES39 is 45 nts (**Fig. 1C, supplementary figure S2**). The archaeon *Haloarcula*  
83 *marismortui* contains  $\mu$ -ES9 but lacks  $\mu$ -ES39 (not shown).

84 ***Lokiarchaeota* bridge Eukarya and Archaea in LSU rRNA size.** The Asgard  
85 augmentation of the SEREB MSA reveals unexpectedly large *Lokiarchaeota* LSU rRNAs.  
86 *Lokiarchaeota* LSU rRNAs range from 3100 to 3300 nts (n=7). *Lokiarchaeota* rRNAs are close  
87 to or within the observed size range of eukaryotic LSU rRNAs (**Fig. 2**). The Asgard-augmented

88 SEREB MSA reveals supersized ES's in *Lokiarchaeota* spp. These supersized ES's attach to the  
89 universal common core rRNA at the sites of attachment of eukaryotic ES9 and ES39 and  
90 archaeal  $\mu$ -ES9 and  $\mu$ -ES39 (Fig. 1). Here we explored the Asgard augmentation of the SEREB  
91 MSA to investigate the structure, distribution, and evolution of rRNA expansions of Asgard  
92 archaea. **ES9 and ES39 in some *Lokiarchaeota* are larger than  $\mu$ -ES's of other archaea and**  
93 **ES's of protists.** The MSA shows that ES39 in *Lokiarchaeota* ranges in size from 95 to 200 nts,  
94 compared to 138 nts in *Saccharomyces cerevisiae*, 178 nts in *Drosophila melanogaster*, and 231  
95 nts in *Homo sapiens* (Fig. 3). For *Candidatus* *Lokiarchaeota* archaeon 1244-F3-H4-B5  
96 (*Lokiarchaeota* F3H4\_B5), the primary focus of our work here, ES39 is 191 nts (Figs. 3, 4). ES9  
97 in *Lokiarchaeota* ranges from 29 to 103 nts, and in some species is larger than any known  
98 eukaryotic ES9 except the ES9 *Guillardia theta* (29 nts in *S. cerevisiae*, 44 nts in *D.*  
99 *melanogaster*, 44 nts in *H. sapiens*, and 111 nts in *G. theta*; **supplementary figure S2**). ES9 is  
100 86 nts in *Lokiarchaeota* F3H4\_B5 (**supplementary figure S2**). ES9 and ES39 contribute to the  
101 large size of *Lokiarchaeota* LSU rRNAs compared to the LSU rRNAs of other archaea. Outside  
102 of *Lokiarchaeota*, archaea lack supersized ES's. Some *Lokiarchaeota* also lack supersized ES's.

103 ***Lokiarchaeota* LSU rRNA contain the common core.** We have determined the extent  
104 of structural similarity of *Lokiarchaeota* LSU rRNAs with those of various eukaryotes. We  
105 combined computational and experimental methods to characterize the LSU rRNA secondary  
106 structure of *Lokiarchaeota* F3H4\_B5 (Fig. 1E; **supplementary figure S1**). Secondary and three-  
107 dimensional structures are known for ribosomes of several eukaryotes and archaea, providing a  
108 basis for modeling by homology. Like all other LSU rRNAs, *Lokiarchaeota* LSU rRNA contains  
109 the rRNA common core, which is trivial to model because the backbone atoms of the common  
110 core are highly conserved in all cytosolic ribosomes.

111 **ES39 has a well-defined fold in eukaryotes.** To determine similarities and differences  
112 between ES39's in various eukaryotes and archaea, we investigated the extent of conservation of  
113 eukaryotic ES39 over phylogeny. We compared experimental three-dimensional structures of  
114 rRNAs of species ranging from protists to primates (Ben-Shem, et al. 2010; Klinge, et al. 2011;  
115 Khatter, et al. 2015; Li, et al. 2017). The ES39 fold consists of H98 (20-30 nts), helix *b* (40-50  
116 nts), and the linkage of H98 and helix *b* by three unpaired segments of rRNA, which are each  
117 around 15 nts in length (Fig. 6; **supplementary figure S3**). The ES39 fold is conserved in  
118 structure but not in sequence.

119        **The ES39 fold has complex evolutionary history.** In general, ES's have increased in  
120 size over evolutionary history via accretion. Growth by addition of one rRNA helix to another is  
121 commonly marked an insertion fingerprint (Petrov, et al. 2014b; Petrov, et al. 2015). Eukaryotic  
122 ES39 lacks insertion fingerprints. Instead, the ES39 fold has three long non-duplex rRNA strands  
123 at its base that are tightly associated with the ribosomal surface. These unpaired regions are  
124 unique to eukaryotic ES39 and do not appear in archaeal ES39. Two of the unpaired regions  
125 interact with eukaryotic-specific helical extensions on rProteins uL13 and eL14 (**supplementary**  
126 **figure S4**) and the third one interacts with ES7 and rProtein aL33 (**supplementary figures S3,**  
127 **S5, S6**) (Khatter, et al. 2015). These eukaryotic-specific unpaired regions indicate a complex  
128 evolutionary history in which changes in ES39 structure were coupled with changes in other  
129 ribosomal components.

130        **The ES39 fold is decorated by a variable length helix.** Many, but not all, eukaryotes  
131 possess a third helix (helix *a*) that extends from the ES39 fold (**Fig. 6A**). Helix *a* expands in size  
132 from simple unicellular eukaryotes, such as *Tetrahymena thermophila* (no helix) and  
133 *Toxoplasma gondii* (10 nts), to more complex eukaryotes such the fungus *S. cerevisiae* (18 nts)  
134 and the insect *Drosophila melanogaster* (20 nts). Helix *a* is largest in the eukaryotic phylum  
135 *Chordata* (106 nts for *H. sapiens*; **supplementary figure S3**).

136        **Initial *Lokiarchaeota* ES39 secondary models were predicted by two methods.** One  
137 preliminary secondary structural model of ES39 of *Lokiarchaeota* F3H4\_B5 was generated using  
138 mfold (Zuker 2003) (**Fig. 4**). Mfold predicts a minimum free energy secondary structures using  
139 experimental nearest-neighbor parameters. We selected the mfold model with lowest free energy  
140 for further studies. A second model forced *Lokiarchaeota* ES39 to conform to the *H. sapiens*  
141 secondary structure. The mfold model was confirmed to be correct by covariation analysis and  
142 SHAPE reactivity data, below.

143        **Covariation supports the mfold model for secondary structure of ES39 of**  
144 ***Lokiarchaeota* F3H4\_B5.** Covariation, or cooperative changes of base-paired nucleotides across  
145 phylogeny, can help reveal RNA secondary structure (Levitt 1969; Ninio, et al. 1969; Woese, et  
146 al. 1980; Noller, et al. 1981; Gutell, et al. 1993; Gutell, et al. 1994). Base-pairs can be detected  
147 through covariation analysis. We calculated base-pairing conservation predicted by helical  
148 regions of both the mfold model and the *H. sapiens* homology model using available sequence  
149 data. Helical regions of the *Lokiarchaeota* ES39 secondary structural model predicted by mfold

150 show covarying nucleotides (**Fig. 5D**), with conservation of base-pairing (**Fig. 5C**). The  
151 observation of these covarying nucleotides supports the model determined by mfold.

152 **Chemical footprinting confirms the mfold model for secondary structure of ES39 of**  
153 ***Lokiarchaeota F3H4\_B5*.** We further tested the secondary structural model of *Lokiarchaeota*  
154 F3H4\_B5 ES39 using Selective 2' Hydroxyl Acylation analyzed by Primer Extension (SHAPE).  
155 This experimental method provides data on RNA flexibility at the nucleotide level (Merino, et al.  
156 2005; Wilkinson, et al. 2006). SHAPE reactivity is generally high in unpaired RNA, which is  
157 more flexible, and low in paired RNA, which is less flexible. SHAPE has been widely used to  
158 probe rRNA (Leshin, et al. 2011; Lavender, et al. 2015; Gomez Ramos, et al. 2017; Lenz, et al.  
159 2017) and other RNAs (Wilkinson, et al. 2005; Gilbert, et al. 2008; Stoddard, et al. 2008; Watts,  
160 et al. 2009; Novikova, et al. 2012; Spitale, et al. 2013; Huang, et al. 2014). The SHAPE results  
161 from *Lokiarchaeota F3H4\_B5* ES39 rRNA (**supplementary figure S7**) are in agreement with  
162 the secondary structure based on co-variation and mfold. Reactivity is low for paired nucleotides  
163 and is high in loops and bulges (**Fig. 5B**). The resolution and accuracy of the SHAPE data are  
164 supported by observation of relatively high reactivity at the vast majority of unpaired nucleotides  
165 and low reactivity for most paired nucleotides of the *Lokiarchaeota* ES39 secondary structure.  
166 The *Lokiarchaeota* SHAPE data are not consistent with models that force *Lokiarchaeota* ES39 to  
167 conform to the *H. sapiens* secondary structure.

168 ***Lokiarchaeota* and Asgard ES39 deviate from the eukaryotic ES39 fold.** The  
169 eukaryotic ES39 junction of helices H98, *a*, and *b* contains significant extent of unpaired  
170 nucleotides; it consists of three 15-nt unpaired regions. By contrast, ES39 in *Lokiarchaeota*  
171 F3H4\_B5 contains more paired nucleotides than in eukaryotes and lacks unpaired regions greater  
172 than 8 nts (**Figs. 1E, 4**). *Lokiarchaeota F3H4\_B5* ES39 is composed of four short helical regions  
173 (H98, *a1*, *a2*, *b*; each up to 38 nts) and one long helical region (helix *a*: 72 nts). H98 and helix *b*  
174 connect in a three-way junction with helix *a* at the base of ES39. Helices *a1* and *a2* split helix *a*  
175 at the top of ES39 in a three-way junction.

176 We modeled and visualized (Cannone, et al. 2002) secondary structures of ES39  
177 sequences from additional Asgard species (**supplementary figure S8**). None of these modeled  
178 structures exhibited long unpaired regions. ES39 of all modelled Asgard archaea contain a three-  
179 way junction that connects helices H98, *a* and *b*. This three-way junction is similar to the one  
180 seen in *Lokiarchaeota F3H4\_B5* (**Fig. 4**). Additionally, some members of Asgard archaea

181 revealed an additional branching of helix *a* into *a1* and *a2* mirroring the morphology of ES39 in  
182 *Lokiarchaeota* F3H4\_B5. Despite the common branching morphology, the length of the  
183 individual helices substantially varies between different species (**Fig. 5D**).

184 **Supersized ES's of *Lokiarchaeota* are transcribed *in situ*.** To assess whether  
185 *Lokiarchaeota* ES's are transcribed, we assembled metatranscriptomic reads from sediment from  
186 the Gulf of Mexico (Yergeau, et al. 2015; Cai, et al. 2018). We found multiple transcripts from  
187 *Lokiarchaeota*-like LSU ribosomes that contain ES9 and ES39 sequences, confirming that  
188 *Lokiarchaeota* ES's are indeed transcribed *in situ* (**Fig. 5D; supplementary dataset**  
189 **S2).**

## **Discussion**

190 The recent discovery of the archaeal *Lokiarchaeota* phylum, which contain multitudes of ESPs,  
191 has redefined our understanding of eukaryotic evolutionary history (Spang, et al. 2015; Zaremba-  
192 Niedzwiedzka, et al. 2017). The incorporation of *Lokiarchaeota* sequences into phylogenies has  
193 brought Archaea and Eukarya close together in the tree of life (Hug, et al. 2016; Fournier and  
194 Poole 2018). Here, we extend the molecular comparison by identifying commonalities of rRNA  
195 of Eukarya and *Lokiarchaeota*.

196 ***Lokiarchaeota* rRNA has unique eukaryotic-like features.** The ribosome has been  
197 extensively studied as both an evolving system (Agmon, et al. 2005; Smith, et al. 2008; Bokov  
198 and Steinberg 2009; Fox 2010; Petrov, et al. 2015; Melnikov, et al. 2018) and as a window to  
199 relationships among organisms (Woese and Fox 1977; Hillis and Dixon 1991; Olsen and Woese  
200 1993; Fournier and Gogarten 2010; Hug, et al. 2016). Previous work revealed robust patterns  
201 that govern ribosomal variation over phylogeny (Hassouna, et al. 1984; Gerbi 1996; Melnikov, et  
202 al. 2012) and suggest mechanisms of ribosomal change over evolution (Petrov, et al. 2014b;  
203 Petrov, et al. 2015; Kovacs, et al. 2017; Melnikov, et al. 2018). Here, we extend structure-based  
204 methods of comparative analysis to *Lokiarchaeota* rRNA and demonstrate its distinctive  
205 eukaryotic-like features. We provide mechanistic models for the evolution of common rRNA  
206 features of eukaryotes and *Lokiarchaeota*.

207 We assessed the extent to which *Lokiarchaeota* ribosomes follow or deviate from  
208 previously established patterns of ribosomal structure. We found that *Lokiarchaeota* ribosomes  
209 follow several established patterns.

210 *Lokiarchaeota* ribosomes:

211 • contain the universal common core of rRNA and rProteins (this work) (Spang, et al.  
212 2015),  
213 • confine rRNA diversity of structure and size to ES's/μ-ES's (this work),  
214 • restrict ES's to universally conserved sites on the common core (Ware, et al. 1983;  
215 Clark, et al. 1984; Hassouna, et al. 1984; Michot and Bachellerie 1987; Bachellerie and  
216 Michot 1989; Lapeyre, et al. 1993; Gerbi 1996),  
217 • avoid ES attachment from the ribosomal interior or near functional centers (Ben-Shem,  
218 et al. 2010; Anger, et al. 2013), and  
219 • concentrate diversity in structure and size on LSU rRNA, not SSU rRNA (Gerbi 1996;  
220 Bernier, et al. 2018).

221 *Lokiarchaeota* ribosomes deviate from several previous patterns of variation of ribosome  
222 structure over phylogeny. *Lokiarchaeota* LSU rRNAs are larger than their place on the archaeal  
223 domain of the tree of life would predict. Excluding *Lokiarchaeota*, rRNA length increases in the  
224 order: Bacteria < Archaea ≪ protists ≪ metazoans (Melnikov, et al. 2012; Petrov, et al. 2014b;  
225 Bernier, et al. 2018). *Lokiarchaeota* rRNA is eukaryotic-like in length, eclipsing the rRNA of  
226 many protists. *Lokiarchaeota* ES39 is larger than ES39 in protists and some metazoans. ES9 of  
227 *Lokiarchaeota* is larger than ES9 of any system except *Guillardia theta*. Both ES9 and ES39 of  
228 *Lokiarchaeota* are larger than ES9 and ES39 of any other archaeal phylum known to date.

229 ***Lokiarchaeota* ES39 is located within an archaeal structural environment in the**  
230 **ribosome.** ES39 in Eukarya protrudes from helices 94 and 99 of the ribosomal common core  
231 (**Fig. 6B**). In three dimensions, ES39 is close to ES7 and rProteins uL13, eL14, and aL33  
232 (**supplementary figures S3, S4, S5**). These elements in *Lokiarchaeota* are more similar to  
233 Archaea than to Eukarya. Additionally, *Lokiarchaeota*, like all Archaea, contain helix 1 (H1),  
234 which is in direct contact with H98 at the base of ES39, whereas eukaryotes lack H1 (**Fig 4B**).  
235 Combined with the eukaryotic-like size of *Lokiarchaeota* ES39, these characteristics predict that  
236 *Lokiarchaeota* ribosomes have a unique structure in this region.

237 **The pathway of ES39 evolution is unique.** The ribosome has grown in size by a process  
238 of accretion (Petrov, et al. 2014b). Basal structure is preserved when new rRNA is acquired. For  
239 instance, ES7 shows continuous growth over phylogeny, expanding from LUCA to Archaea to  
240 protists to metazoans to mammals (Petrov, et al. 2014b; Bernier, et al. 2018). The accretion  
241 model predicts that H98, at the base of ES39, would superimpose in Bacteria, Archaea, and

242 Eukarya, but in fact H98 does not overlap in superimposed 3D structures (**Fig. 6B**). The  
243 archaeon *P. furiosus* has a slightly extended and bent H98 compared to the bacterium *E. coli*  
244 (**Fig. 6B**). This spatial divergence is likely due to the difference in how *E. coli* H98 and *P.*  
245 *furiosus* H98 interact with H1 of the LSU. *E. coli* H98 interacts within the H1 minor groove  
246 through an A-minor interaction, while in *P. furiosus* H98 is positioned on top of H1 (**Fig. 6B**).  
247 H1 is absent in eukaryotes (**Fig. 1**), allowing H98 to occupy the position of H1 (**Fig. 6B**).

248 **LAECA likely had a large ES39.** The observation of supersized ES39's in species with  
249 and without H1 suggests that ES39 growth is independent of the presence or absence of H1.  
250 Whether LAECA had a large or small ES39 is difficult to ascertain because the Asgard  
251 superphylum shows wide size variability in ES39 (**Fig. 3**). However, the accretion model  
252 suggests that LAECA contained a large ES39, which fulfilled a patching role upon the loss of  
253 H1. In this model, ES39 was remodeled upon loss of H1. ES39 underwent strand dissociation in  
254 Eukarya to fill the space left by the deletion of H1; this unpaired ES39 structure was further  
255 stabilized by eukaryotic extensions of rProteins uL13 and eL14 (**supplementary figure S4**).  
256 This pattern of structural patching has been observed in mitoribosomes (Petrov, et al. 2019). If  
257 ES39 grew to its eukaryotic size after the loss of H1, one would not expect remodeling to form  
258 the unpaired structure; ES39 would have gradually accreted helices like other parts of the  
259 ribosome.

260 ***Lokiarchaeota* ES39 may extend in a different direction than eukaryotic ES39.**  
261 *Lokiarchaeota* spp. have larger ES39 than other archaea (**Fig. 3**) and possess H1, unlike Eukarya  
262 (**Fig 4B**). We predict that *Lokiarchaeota* ES39 has an archaeal-like interaction with H1 through  
263 H98 and helix *b* (**Fig. 6B**). *Lokiarchaeota* helix *a* likely grows out from the three-way junction  
264 between H98 and helix *b*, perpendicular to the eukaryotic helix *a* (**Fig. 6B**). While helix *a* of  
265 eukaryotic ES39 is pointed in the direction of the sarcin-ricin loop, helix *a* of *Lokiarchaeota* is  
266 likely pointed in the direction of the central protuberance or the exit tunnel.

267 **Growth of *Lokiarchaeota* ES9 is unrestricted due to absence of ES15.** To date, ES9 in  
268 *Lokiarchaeota* is longer than ES9 in any organism except the alga *Guillardia theta*  
269 (**supplementary figure S2**). In *H. sapiens*, ES9 forms a kissing-loop structure with ES15  
270 (**supplementary figure S6**). ES15 is not present in *Lokiarchaeota*, which may permit  
271 unrestricted growth of ES9.

272        **Lokiarchaeota have higher complexity of rRNA than other archaea, consistent with**  
273        **higher *Lokiarchaeota* molecular complexity.** Previously, we demonstrated that biological  
274        complexity is correlated with LSU rRNA sizes (Petrov, et al. 2014b). Here, we found that  
275        *Lokiarchaeota* spp. have ES39's with lengths that eclipse many eukaryotes and are close to those  
276        of Chordates, resulting in larger LSU rRNAs in *Lokiarchaeota* than other archaea. This size  
277        pattern is consistent with the idea that LSU rRNA size tracks organismal complexity because  
278        *Lokiarchaeota* have more complex cellular infrastructure than other archaea (Akil and Robinson  
279        2018; Imachi, et al. 2019).

280        The specific roles of  $\mu$ -ES's and ES's over phylogeny are unknown but are likely  
281        complex, polymorphic, and pleotropic. The observation of  $\mu$ -ES's in Archaea, ES's in Eukarya,  
282        and supersized ES's in *Lokiarchaeota* suggest that (i) the roots of ribosomal complexity are  
283        inextricably intertwined with the roots of Eukarya, and (ii) the roots of Eukarya penetrate more  
284        deeply into the archaeal domain than previously recognized, conclusions that are consistent with  
285        recent phylogenetic results that root Eukarya within the archaeal branch (Williams, et al. 2020).

286

## 287        **Conclusions**

288        *Lokiarchaeota* ribosomes contain supersized ES39's with structures that are distinct from  
289        eukaryotic ES39's. *Lokiarchaeota* ES9's are larger than eukaryotic ES9's. To date,  
290        *Lokiarchaeota* is the only prokaryotic phylum with supersized ES's, bringing the size range of  
291        archaeal LSU close to those of eukarya. *Lokiarchaeota* ES39 likely grows outward from the  
292        ribosomal surface in a different direction than eukaryotic ES39's. Our findings raise the  
293        possibility that eukaryotic-sized ES's existed on the ribosomal surface before LECA, suggesting  
294        that ribosomal complexity is more deeply rooted than previously known.

295 **Materials and Methods**

296

297 **Genome sequencing, assembly, and binning**

298 **Sample collection.** Sediments were cored from deep seafloor sediment at ODP site 1244  
299 (44°35.1784'N; 125°7.1902'W; 895 m water depth) on the eastern flank of Hydrate Ridge ~3 km  
300 northeast of the southern summit on ODP Leg 204 in 2002 (Tréhu, et al. 2003) and stored at -  
301 80°C at the IODP Gulf Coast Repository.

302 **DNA extraction.** DNA was extracted from sediment from F3-H4 (18.10 meters below  
303 the seafloor) using a MO-BIO PowerSoil total RNA Isolation Kit with the DNA Elution  
304 Accessory Kit, following the manufacturer protocol without beads. Approximately 2 grams of  
305 sediments were used for the extraction from six extractions (12 g total) and DNA pellets from the  
306 two replicates from each depth were pooled together. DNA concentrations were measured using  
307 a Qubit 2.0 fluorometer with dsDNA High Sensitivity reagents (Invitrogen, Grand Island, NY,  
308 USA). DNA yield was 7.5 ng per gram of sediment.

309 **Multiple displacement amplification, library preparation, and sequencing.** Genomic  
310 DNA was amplified using a REPLI-g Single Cell Kit (Qiagen, Germantown, MD, USA) using  
311 UV-treated sterile plasticware and reverse transcription-PCR grade water (Ambion, Grand  
312 Island, NY, USA). Quantitative PCR showed that the negative control began amplifying after 5  
313 hr of incubation at 30°C, and therefore, the 30°C incubation step was shortened to 5 hr using a  
314 Bio-Rad C1000 Touch thermal cycler (Bio-Rad, Hercules, CA, USA). DNA concentrations were  
315 measured by Qubit. Two micrograms of MDA-amplified DNA were used to generate genome  
316 libraries using a TruSeq DNA PCR-Free Kit following the manufacturer's protocol (Illumina,  
317 San Diego, CA, USA). The resulting libraries were sequenced using a Rapid-Run on an Illumina  
318 HiSeq 2500 to obtain 100 bp paired-end reads. Metagenomic sequences were deposited into  
319 NCBI as accession numbers SAMN07256342-07256348 (BioProject PRJNA390944).

320 **Metagenome assembly, binning, and annotation.** Demultiplexed Illumina reads were  
321 mapped to known adapters using Bowtie2 in local mode to remove any reads with adapter  
322 contamination. Demultiplexed Illumina read pairs were quality trimmed with Trim Galore  
323 (Martin 2011) using a base Phred33 score threshold of Q25 and a minimum length cutoff of 80  
324 bp. Paired-end reads were then assembled into contigs using SPAdes assembler (Bankevich, et  
325 al. 2012) with --meta option for assembling metagenomes, iterating over a range of k-mer values

326 (21,27,33,37,43,47,51,55,61,65,71,75,81,85,91,95). Assemblies were assessed with reports  
327 generated with QUAST (Gurevich, et al. 2013). Features on contigs were predicted through the  
328 Prokka pipeline with RNAmmer for rRNA, Aragorn for tRNA, Infernal and Rfam for other non-  
329 coding RNA and Prodigal for protein coding genes. Each genomic bin was searched manually  
330 for 23S rRNA sequences. The *Lokiarchaeota* F3-H4-B5 bin (estimated 2.8% completeness and  
331 0% contamination) was found to contain a 3300 nt 23S rRNA sequence. The *Lokiarchaeota* F3-  
332 H4-B5 bin was deposited into NCBI as BioSample SAMN13223206 and GenBank genome  
333 accession number WNEK00000000.

334

### 335 Environmental 23S rRNA transcript assembly and analysis

336 **Assembly.** Publicly available environmental meta-transcriptomic reads were downloaded  
337 from NCBI BioProject PRJNA288120 (Yergeau, et al. 2015). Quality evaluation of the reads  
338 was performed with FastQC (Andrews 2012) and trimming was done with TrimGalore (Martin  
339 2011). Assembly of SRR5992925 was done using the SPADES (Bankevich, et al. 2012)  
340 assembler with --meta and --rna options, to evaluate which performs better. Basic statistic  
341 measures such as Nx, contig/transcript coverage and length were compared (**Supplementary**  
342 **Datasets S3, S4**) yielding better results for the rnaSpades assembler. All subsequent meta-  
343 transcriptomic datasets were assembled with rnaSpades.

344 **Identifying ribosomal RNA sequences.** BLAST databases were constructed (Altschul,  
345 et al. 1990) from the resulting contig files and they were queried for ribosomal regions  
346 characteristic of the Asgardian clade (ES39/ES9 sequences from GC14\_75). Additionally, the  
347 program quast (Gurevich, et al. 2013) with --rna-finding option was used.

348 **SEREB Multiple Sequence Alignment (MSA) augmentation.** High scoring transcripts,  
349 as well as genomic sequences with Asgard origin, were included in the SEREB MSA (Bernier, et  
350 al. 2018) using the program mafft (Katoh and Standley 2013) with the --add option. Known  
351 intronic regions (Cannone, et al. 2002) were removed from new sequences. The highly variable  
352 region of ES39 was manually aligned using structural predictions from mfold (Zuker 2003).

353 **LSU size comparison.** The LSU size comparison was based on the transcribed gene for  
354 the LSU, which is comprised of a single uninterrupted rRNA sequence for bacteria and archaea  
355 (**Fig. 1A,C,E**), and is comprised of multiple concatenated rRNA sequences for the fragmented  
356 eukaryotic rRNA gene (**Fig. 1B,D,F**). The 5S rRNA, which is essentially constant, is excluded

357 from the size calculation. The comparison excludes rRNAs of endosymbionts and pathogens,  
358 which tend to contain reduced genomes, metabolisms, and translation systems (Peyretailleade, et  
359 al. 1998; Moran 2002; McCutcheon and Moran 2011).

360 **Secondary structure models.** To model the secondary structure of *Candidatus*  
361 *Lokiarchaeota archaeon* 1244-F3-H4-B5 LSU rRNA, we used the secondary structure of *P.*  
362 *furirosus* (Petrov, et al. 2014a) and a multiple sequence alignment (MSA) of archaeal LSU rRNAs  
363 broadly sampled over the phylogeny (**supplementary dataset S1**). Locations of expansion  
364 segments were unambiguously identified from the MSA. Due to the low percent identity (<50%)  
365 (Bernhart and Hofacker 2009) we applied *ab initio* modelling for ES regions. The secondary  
366 structures of the ES's were predicted by mfold (Zuker 2003).

367 **Covariation.** To verify the secondary structures of the highly variable ES regions base-  
368 pairing conservation was calculated with the program Jalview (Waterhouse, et al. 2009). Gaps  
369 from the MSA were ignored in the calculation to produce comparable results about available  
370 regions. The base-pairing model of secondary structures of ES9 (**supplementary figure S9**) and  
371 ES39 (**Fig. 4C,D**) was generated in the Jalview annotation format and used for the base-pairing  
372 conservation calculation.

373 **Defining the eukaryotic ES39 fold.** To identify the structurally invariant part of ES39 in  
374 Eukaryotes, we used superimposition based on the common core within domain VI of the  
375 ribosomal structures from 4 eukaryotes (*Tetrahymena thermophila*, *Toxoplasma gondii*,  
376 *Saccharomyces cerevisiae*, *Homo sapiens*; **supplementary figure S3**). Initially the *Drosophila*  
377 *melanogaster* ribosomal structure (PDB ID: 4V6W) was used in identifying the core. However,  
378 as it has additional loops elongating the unpaired regions, we excluded it from our analysis.  
379 *Drosophila melanogaster* is known to have AU-enriched ES's; therefore, it is not surprising that  
380 it has perturbations in its ES39.

## 381 382 **ES39 rRNA SHAPE analysis**

383 **Synthesis of *Lokiarchaeota* ES39 rRNA.** pUC57 constructs containing T7 promoter and  
384 the gene encoding *Lokiarchaeota* ES39 rRNA was linearized using HindIII restriction enzyme.  
385 *Lokiarchaeota* ES39 rRNA was synthesized by *in vitro* transcription using HiScribe™ T7 High  
386 Yield RNA Synthesis Kit; New England Biolabs. RNA was then precipitated in  
387 ethanol/ammonium acetate and purified by G25 size exclusion chromatography

388 (illustraTMNAPTM-10, GE Healthcare). RNA purity was assayed by denaturing gel  
389 electrophoresis.

390 **SHAPE reaction.** Selective 2'-hydroxyl acylation analyzed by primer extension  
391 (SHAPE; Wilkinson, et al. 2006) was performed to chemically probe local nucleotide flexibility  
392 in ES39 rRNA. *In vitro*-transcribed ES39 rRNA was added to folding buffer (180mM NaOAc,  
393 50mM Na-HEPES (pH 8.0) and 1 mM 1,2- diaminocyclohexanetetraacetic acid (DCTA))to  
394 obtain 400nM RNA in total volume of 80  $\mu$ L. RNA was annealed by cooling from 75 °C to  
395 25 °C at 1 °C/min. RNA modification reaction was performed with final concentration of  
396 100mM benzoyl cyanide (Sigma) prepared in dimethyl sulfoxide (DMSO). Non-modified RNA  
397 samples were incubated with DMSO. Reactions were carried out for 2 min at room temperature.  
398 Modified RNAs and control sample were purified by precipitation in ethanol and ammonium  
399 acetate at 20 °C for 2 hr. RNA samples were centrifuged at 4°C for 10 min. The RNA pellets  
400 were washed out with 100  $\mu$ L of 80% ethanol for two times and dried out using Speedvac. 22  $\mu$ L  
401 of TE buffer [1mM EDTA and 10mM Tris-Cl (pH 8.0)] were added into each samples and pellet  
402 were resuspended.

403 **Reverse transcription.** Reverse transcription was conducted on 20  $\mu$ L of modified RNAs  
404 and unmodified RNA sample as a control, in presence of 8 pmol 5'[6-FAM] labeled primer (5'-  
405 GAACCGGACCGAAGCCG-3'), 2 mM DTT, 625  $\mu$ M of each deoxynucleotidetriphosphate  
406 (dNTP), and 5  $\mu$ L of reverse transcription (RT) 5X first-strand buffer [250 mM Tris-HCl (pH  
407 8.3), 375 mM KCl, 15 mM MgCl<sub>2</sub>]. To anneal the primer, samples were heated at 95°C for 30  
408 secs, held at 65°C for 3 min, and then 4°C for 10 min. RT mixtures were incubated at 52°C for  
409 2 min before addition of 1  $\mu$ L(200 U) of Superscript III Reverse transcriptase (Invitrogen) and  
410 reactions were incubated at 55°C for 2 hr. later, RT terminated by heating smaples at 70°C for 15  
411 min. Chain termination sequencing reaction was performed on 10 pmol unmodified RNA  
412 prepared in TE buffer, 8 pmol 5'[6-FAM] labeled primer, with a ratio of 1:1 dideoxynucleotide  
413 (ddNTP) to dNTP. A sequencing reaction was performed with the same condition without  
414 ddNTPs.

415 **Capillary electrophoresis of RT reaction products and data analysis.** Capillary  
416 electrophoresis of RT reactions was performed as described previously (Hsiao, et al. 2013). For  
417 each reaction 0.6  $\mu$ l DNA size standard (Geneflo™ 625), 17.4  $\mu$ l Hi-Di Formamide (Applied  
418 Biosystems), and 2  $\mu$ l of RT reaction mixture were loaded in a 96-well plate. Samples were

419 heated at 95°C for 5 min before electrophoresis and the RT products were resolved using applied  
420 biosystems. SHAPE data were processed using a Matlab scripts as described previously  
421 (Athavale, et al. 2012). SHAPE profile was mapped onto ES39 rRNA secondary structure with  
422 the RiboVision program (Bernier, et al. 2014).

423  
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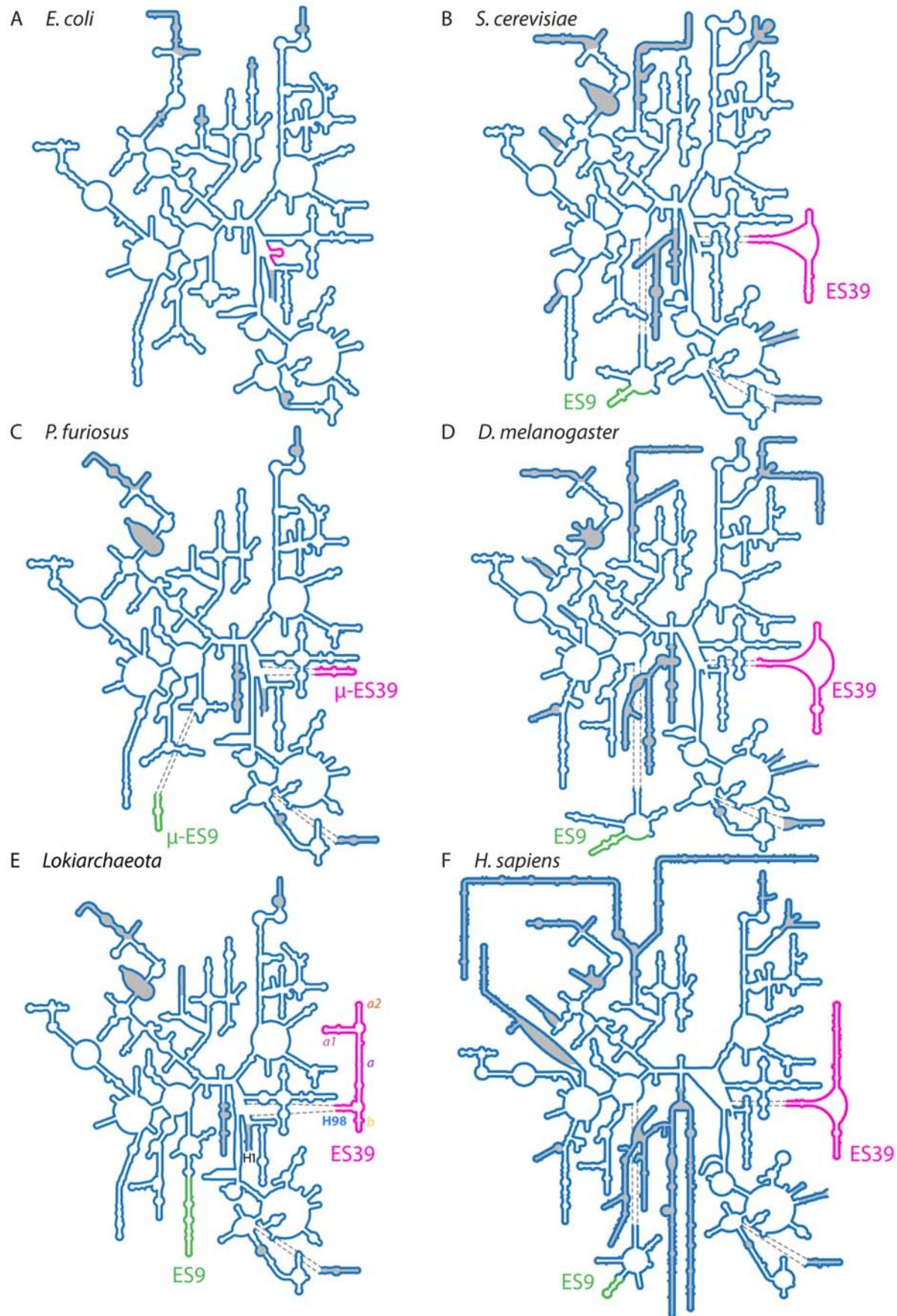
434 **Author contributions:** ASP, LDW, and JBG conceptualized the research. PIP curated the data.  
435 ASP, LDW, and JBG acquired the funding and administered the project. SF-A performed the  
436 SHAPE experiments. JJC and VJP performed analyses. ASP, LDW, RRG, and JBG supervised  
437 the research. RRG validated the research. PIP and VJP prepared the figures. PIP, JBG, and LDW  
438 wrote the manuscript with input from all authors.

439 **Competing interests:** Authors declare no competing interests.

440 **Data and materials availability:** Metagenomic sequences were deposited into NCBI as  
441 accession numbers SAMN07256342-07256348 (BioProject PRJNA390944). The *Lokiarchaeota*  
442 F3-H4-B5 bin was deposited into NCBI as BioSample SAMN13223206 and GenBank genome  
443 accession number WNEK00000000. The *Lokiarchaeota* F3H4\_B5 23S rRNA gene is in the  
444 reverse complement of contig WNEK01000002.1, nucleotide positions 251-3579.

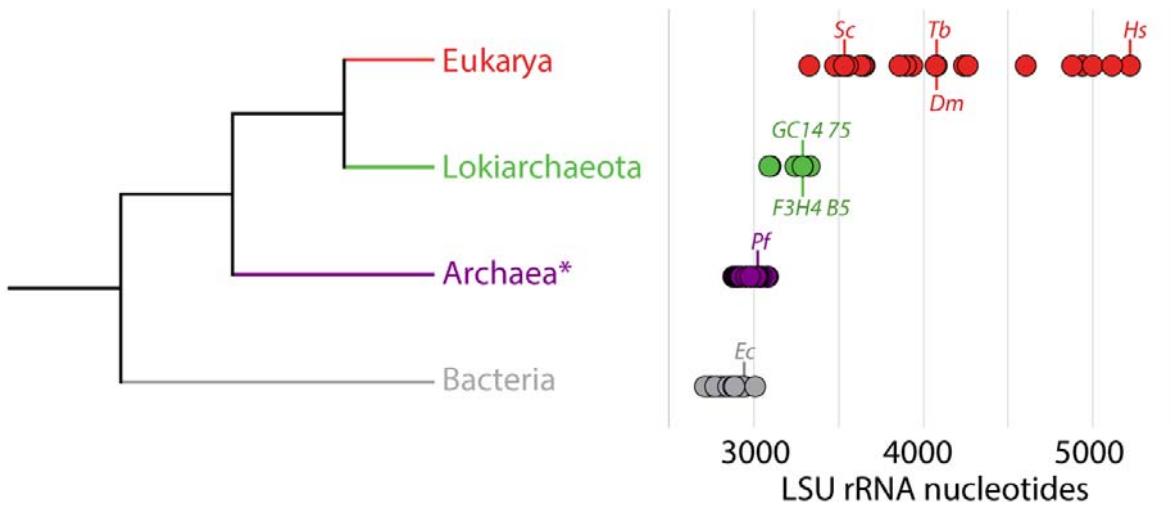
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## Figures



446 **Figure 1: Secondary structures of the LSU rRNA from Bacteria, Archaea, and Eukarya.** (A)  
447 *Escherichia coli* (Bacteria), (B) *Saccharomyces cerevisiae* (fungus, Eukarya); (C) *Pyrococcus furiosus*  
448 (Archaea); (D) *Drosophila melanogaster* (insect, Eukarya); (E) *Lokiarchaeota F3H4\_B5* (Archaea); (F)  
449 *Homo sapiens* (primate, Eukarya). Secondary structures in panels A, B, C, D, and F are taken from  
450 *Petrov, et al. (2014a)*. Secondary structure in panel E is from this study. Universal rRNA common core is  
451 shown in blue lines (not shaded). ES9 is shown with a green line. ES39 is shown with a magenta line. H1  
452 and ES39 helices are labeled on *Lokiarchaeota* (panel E). ES's and helices not present in the common  
453 core are shaded in gray. Sizes of secondary structures are to scale. The numbering scheme of *Noller, et al.*  
454 (*1981*) and *Leffers, et al. (1987)* were used to label the helices and ES's.  
455

456



457 **Figure 2. Length of LSU rRNA increases from Bacteria, to Archaea (excluding Asgard archaea), to**  
458 **Lokiarchaeota, to Eukarya.** LSU rRNA lengths were obtained from the updated SEREB database.  
459 Abbreviations: *Ec*, *Escherichia coli*; *Pf*, *Pyrococcus furiosus*; *F3H4\_B5*, *Lokiarchaeota F3H4\_B5*; *GC14*  
460 *75*, *Lokiarchaeota GC14\_75*; *Tb*, *Trypanosoma brucei*; *Sc*, *Saccharomyces cerevisiae*; *Dm*, *Drosophila*  
461 *melanogaster*; *Hs*, *Homo sapiens*.

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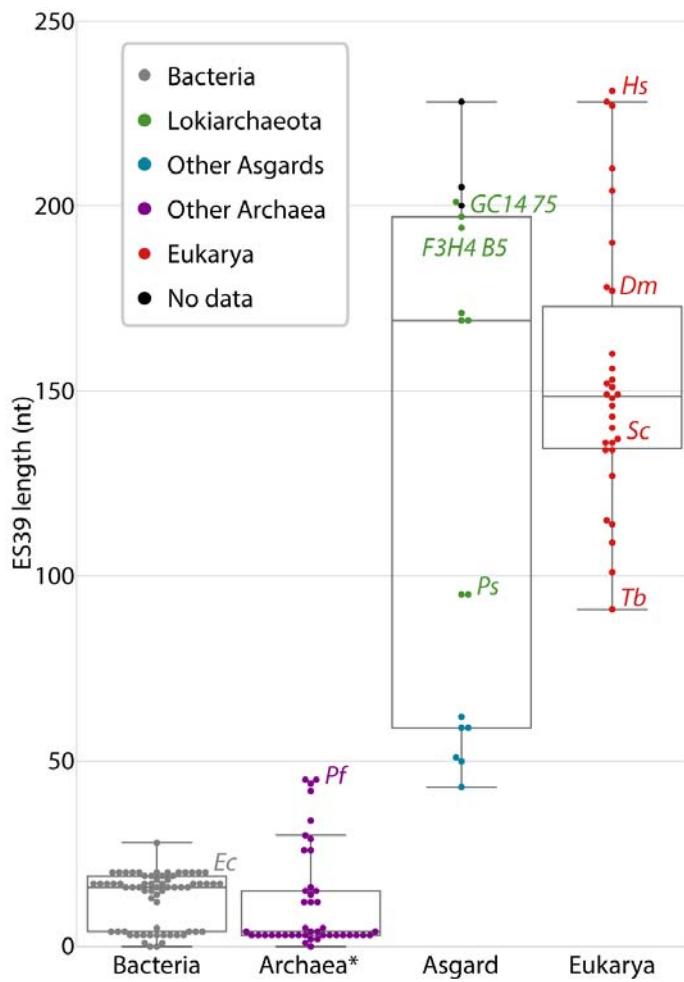
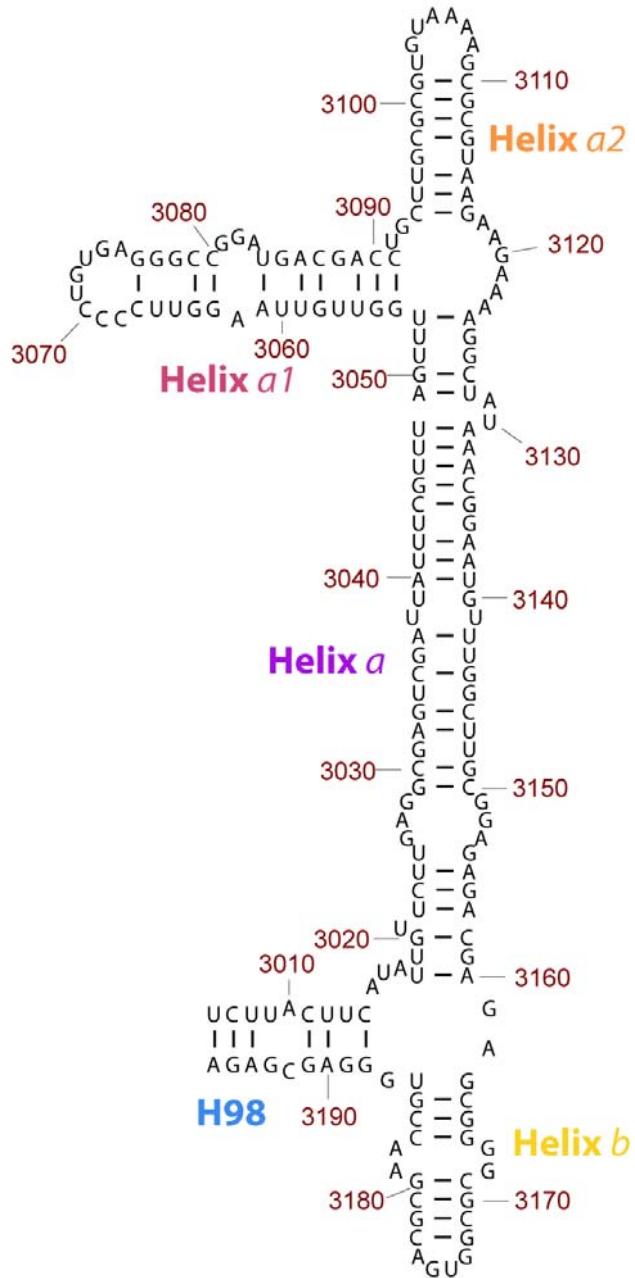


Figure 3: Distribution of ES39 lengths from the three domains of life, including Asgard archaea.

The number of nucleotides calculated between alignment positions 4891 and 5123 (*H. sapiens* numbering) of the LSU alignment for each species (supplementary dataset S1). The box shows the quartiles of the dataset. Whiskers extend to show the rest of the distribution, except for points that are determined to be outliers using a function of the inter-quartile range. Bacteria sequences are gray, *Lokiarchaeota* sequences are green, other Asgard sequences are blue, other archaeal sequences are purple, eukaryotic sequences are red, sequences from metatranscriptomic contigs (supplementary dataset S2) for which there is no species determination are black. Abbreviations: *Ps*: *Prometheoarchaeum syntrophicum*; the rest are described in Figure 2.

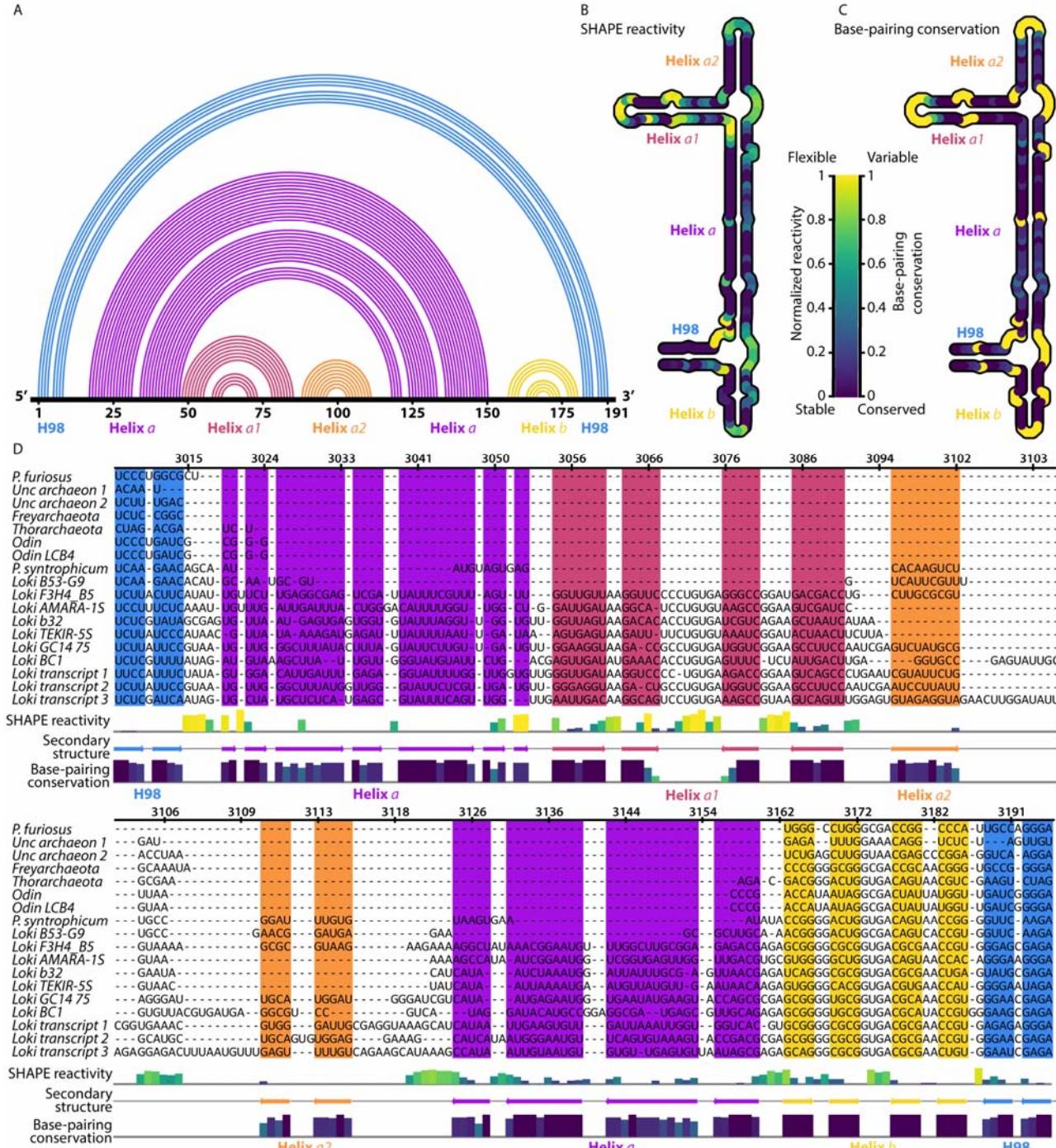
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476 **Figure 4: Secondary structure model of *Lokiarchaeota* F3H4\_B5 ES39.** The secondary structure of  
477 ES39 spans nucleotide positions 3006-3196 of the F3H4\_B5 LSU rRNA sequence. Canonical base-  
478 pairing positions are indicated with black lines. Helices are annotated with colored labels: blue – H98,  
479 purple – Helix *a*, pink – Helix *a1*, orange – Helix *a2*, gold – Helix *b*. Figure was generated with  
480 RiboVision (Bernier, et al. 2014).



**Figure 5: Secondary structure of *Lokiarchaeota* F3H4\_B5 ES39 from experiment and computation.**  
 (A) 1-D topology map of base pairs. The primary sequence of ES39 is on the horizontal line, Arcs indicate base pairs. Each helix is a distinct color. (B) SHAPE reactivity for ES39 mapped onto the secondary structure. Darker color indicates less flexible (paired) rRNA. (C) Base pairing covariation within the Asgard superphylum mapped on the secondary structure. Darker color indicates covarying

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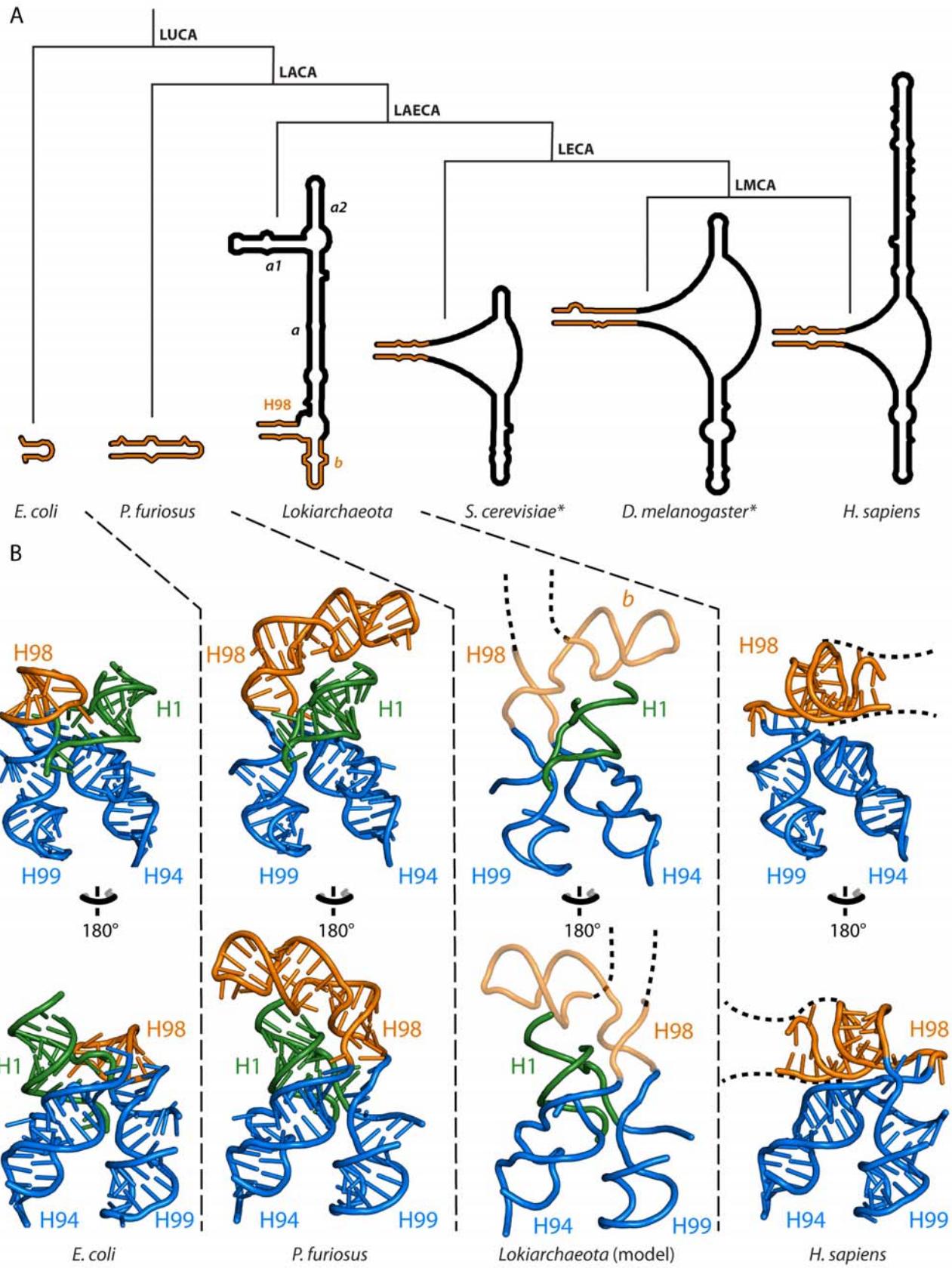
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487 (paired) rRNA across Asgard. Unpaired rRNA, for which no covariation data can be calculated, is gold.  
488 (D) SHAPE reactivity and base-pairing conservation mapped onto the ES39 MSA of Asgard sequences.  
489 *Lokiarchaeota* F3H4\_B5 numbering was used. The secondary structure is indicated with colored arrows  
490 bellow the alignment and as colored background. SHAPE reactivity is indicated with a bar graph above  
491 the secondary structure annotation, colors of the bars are consistent with panel B. Base-pairing  
492 conservation is indicated with a bar graph bellow the secondary structure annotation; colors of the bars  
493 are consistent with panel C. Panel D was generated with Jalview (Waterhouse, et al. 2009). Helices are  
494 labeled with colored text in each panel; blue, H98; violet, helix *a*; pink, helix *a1*; orange, helix *a2*; yellow,  
495 helix *b*. Full sequence names and sequencing project identifiers are available in **supplementary dataset**  
496 **S2**. Both SHAPE reactivity and covariation are normalized.

497



499     **Figure 6: Secondary and tertiary structures of ES39 mapped on the tree of life** (A) secondary structures of  
500     *Escherichia coli* (Bacteria), *Pyrococcus furiosus* (Archaea), *Lokiarchaeota F3H4\_B5* (Archaea), *Saccharomyces*  
501     *cerevisiae* (Eukarya), *Drosophila melanogaster* (Eukarya), and *Homo sapiens* (Eukarya). Ancestral clades on the  
502     phylogenetic tree are labeled as LUCA: last universal common ancestor; LACA: Last Archaeal Common Ancestor;  
503     LAECA: Last Archaeal and Eukaryotic Common Ancestor; LECA: Last Eukaryotic Common Ancestor; LMCA:  
504     Last Metazoan Common Ancestor. H98 is highlighted in orange. (B) Three dimensional structures of ES39 and its  
505     neighborhood. H98 is orange, H1 is green, H94 and H99 are blue. The *P. furiosus* structure is used as model for the  
506     *Lokiarchaeota* structure. Likely position and direction of the *Lokiarchaeota* ES39 continuation is indicated with a  
507     black dashed line. Direction of eukaryotic ES39 continuation is indicated with a black dashed line. \*3D structures  
508     for *S. cerevisiae* and *D. melanogaster* are identical to *H. sapiens* in this region and are not shown.

509

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