

1 LAVA: a streamlined visualization tool for longitudinal analysis of viral alleles

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24 **Abstract**

25 With their small genomes, fast evolutionary rates, and clinical significance, viruses have long  
26 been fodder for studies of whole genome evolution. One common need in these studies is the  
27 analysis of viral evolution over time through longitudinal sampling. However, there exists no  
28 simple tool to automate such analyses. We created a simple command-line visualization tool  
29 called LAVA (Longitudinal Analysis of Viral Alleles). LAVA allows dynamic and interactive  
30 visualization of viral evolution across the genome and over time. Results are easily shared via a  
31 single HTML file that also allows interactive analysis based on read depth and allele frequency.  
32 LAVA requires minimal input and runs in minutes for most use cases. LAVA is programmed  
33 mainly in Python 3 and is compatible with Mac and Linux machines. LAVA is a user-friendly  
34 command-line tool for generating, visualizing, and sharing the results of longitudinal viral  
35 genome evolution analysis. Instructions for downloading, installing, and using LAVA can be  
36 found at <https://github.com/michellejlin/lava>.

37 **Keywords:** LAVA, viral evolution, longitudinal, viral allele, antiviral resistance, bioinformatics,  
38 visualization, NGS

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48 **Introduction**

49 With the rapid and significant advancements in sequencing technologies in recent years,  
50 whole-genome sequencing has become more cost-effective, more efficient, and more accurate  
51 than ever (1). A common area of bioinformatics research in virology is the comparison of viral  
52 evolution in longitudinal samples. At a basic level, viral genome evolution may be examined  
53 over routine passage in cell culture (2,3). Drug manufacturers routinely check for the  
54 development of resistance mutations in response to *in vitro* antiviral pressure (4,5). Clinical  
55 researchers want to know how viruses evolve longitudinally in normal or immunocompromised  
56 patients, in response to a drug pressure, or in different areas of the body (3,6,7).

57 In order to facilitate these routine analyses of viral evolution, we developed a simple  
58 command-line tool called Longitudinal Analysis of Viral Alleles (LAVA) for analyzing and  
59 visualizing the evolution of minor variants in viral genomes over time. The basic tenor of these  
60 analyses involves the calling of a consensus genome for the initial sample and then using that  
61 genome as a reference for downstream samples. Viral sequence data is plotted both across the  
62 genome to show where mutations cluster and over time to show allele frequency changes. The  
63 metadata associated with the experiment may be minimal, consisting simply of sample names  
64 and units of time. The units of time are arbitrary and may be minutes, hours, days, months,  
65 years or even different categorical experimental conditions. LAVA also generates interactive  
66 HTML files for sequence data analysis. The HTML files may be manipulated by users without  
67 significant bioinformatic experience according to the nature of their biological question,  
68 alleviating a significant conundrum for sequencing and bioinformatics groups as demand for  
69 their services continues to increase.

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71 **Methods**

72 LAVA (Longitudinal Analysis of Viral Alleles) can be downloaded at  
73 <https://github.com/michellejin/lava>. Installation and usage instructions, a folder with example  
74 inputs, and the full source code, are also available at this link. The general workflow is shown in  
75 Figure 1. A brief explanation is also given here, but a more in depth look into the pipeline is  
76 available at the GitHub link, including options and arguments passed to third party tools, the full  
77 LAVA source code, as well as an informative readme document.

78 Installation of LAVA and all required dependencies is performed by an install script  
79 which is included in the GitHub repository. The install script only requires Python, a Java  
80 runtime environment, brew/apt-get for Mac/Linux systems, and an Internet connection. All third  
81 party tools except for ANNOVAR (8), which must be manually registered for and downloaded,  
82 are also automatically installed. The install script can also be run in 'check mode' and the script  
83 will check for all required dependencies and print error messages with instructions for how to fix  
84 any missing dependencies. The GitHub readme also contains a walkthrough for manually  
85 installing all dependencies and LAVA.

86 Before execution, LAVA requires a reference genome for sequencing read alignment,  
87 which can be provided as either an NCBI GenBank Accession number, or a local nucleotide  
88 FASTA file along with a GFF file to provide gene and protein annotations (9). Sequencing reads  
89 for all samples are input as adapter and quality-trimmed FASTQ files. LAVA currently does not  
90 perform adapter or quality trimming so this needs to be done beforehand as required. A two-  
91 column CSV metadata file is also required to match sample with its longitudinal temporal  
92 information such as passage number or day number. Other available options include removing  
93 PCR duplicates for metagenomic sequencing, manually specifying the name of the output  
94 folder, analyzing variants by nucleotide changes instead of amino acid changes, saving all  
95 intermediate files (which LAVA by default removes), automatically specifying an allele frequency  
96 cutoff (which is by default set at 1%), and saving output as PNG files.

97        There are two different methods of selecting annotations for the reference sequence:  
98        automatic and manual. In automatic mode LAVA begins with searching GenBank for a user  
99        provided accession number corresponding to the viral species to be analyzed (10). This record  
100       is then downloaded both as a nucleotide FASTA file and the complete GenBank record. LAVA  
101       aligns the first FASTQ file provided to the downloaded reference (11,12) and calls a majority  
102       consensus sequence based off this alignment using samtools (13–15). Then coding sequence  
103       annotations are pulled from the GenBank record and transferred to the new majority consensus  
104       FASTA using a MAFFT alignment (16). In manual mode the user specifies a reference FASTA  
105       and a GFF file containing protein annotations for this reference sequence. LAVA assumes that  
106       the FASTA is the majority consensus for the first sample and the GFF is a correct annotation of  
107       the reference nucleotide sequence. The result of both the automatic and manual processes is  
108       an annotated majority consensus of the first sample.

109       LAVA then aligns each of the FASTQ files specified in the metadata CSV to this newly  
110       generated file, using bwa-mem (17). By default, LAVA does not remove PCR duplicates given  
111       the common use of AmpliSeq-like approaches for viral genome sequencing; however, this  
112       option can be added in cases where removing PCR duplicates would give a more accurate  
113       representation of the data, such as the analysis of metagenomic samples. Variants are called  
114       for every position in the genome for every sample using VarScan and saved as standard VCF  
115       files (18). These files are removed from the output folder during cleanup to keep disk usage low,  
116       but can be saved using the --save option. Variants for all bases are annotated using Annovar  
117       and GATK as nonsynonymous, synonymous, complex, stop-gain, or stop-loss, along with the  
118       coverage and allele percentage at each base (8,19). The main text file generated by the pipeline  
119       is a table called merged.csv containing all the samples, their metadata, and all the amino acid  
120       changes. This file, along with reads.csv, the individual .bam files, and the individual  
121       .genomcov files, is used for generating the interactive visualization but can also be manually  
122       parsed and examined for more in-depth or non-standard analysis. Reads.csv provides read

123 mapping information for each sample, such as total number of reads in sample and percentage  
124 of reads mapping to reference. A .bam file is generated for each sample during the alignment  
125 process, and these can be viewed for understanding the alignments and how the reads were  
126 mapped. Genome coverage for each base in each sample is parsed and extracted into a file  
127 with extension .genomcov, so genome-wide depth can be examined and analyzed.

128 LAVA then visualizes this information with the Bokeh Python module (20–22), allowing  
129 for an easily readable and interactive data visualization. The output for this step is an HTML file  
130 containing two interactive plots (Fig 2). The first plot depicts allele frequency changes for each  
131 variant across the genome for each sample. Tabs at the top of the plot allow easy switching  
132 between samples. Sliders to the right of the main plot allow the user to dynamically change the  
133 visibility of variants by depth and by coverage. To the right side of the plot, a line graph shows  
134 per-base coverage across the whole genome to help inform the user of reasonable coverage  
135 thresholds. The second plot shows allele frequency across the samples over time. Given that  
136 the samples will be representing different time points (passages, cultures, days past infection,  
137 etc.) of a single virus, this plot shows the longitudinal evolution of amino acid changes,  
138 separated by protein. Tabs at the top of the plot allow the user to specify which protein they  
139 want to examine. Allele frequencies for all changes in the selected protein are plotted over time.  
140 Here, variants can also be filtered by depth and coverage. Both plots support zooming and  
141 panning and each mutational change has an associated tooltip which can be viewed by  
142 hovering with the mouse over the associated data point to display locus-associated metadata.  
143 Data can be filtered by type of mutation (synonymous, non-synonymous, stopgains/stoplosses,  
144 and complex mutations), as well as if the same mutation occurs across multiple samples.  
145 Another available option in the command line is to show nucleotide changes such as  
146 transversions and transitions rather than amino acid changes, which may be relevant to cases  
147 when examining nucleoside-analog antivirals directed against viral polymerases, base editors  
148 such as APOBEC or ADAR proteins, or other aspects of viral epigenetics (23–25).

149 LAVA outputs all these files in HTML format (Fig 2), which are readily interpretable in  
150 any web browser by groups without significant bioinformatics experience. Once generated by  
151 LAVA, all these graphs can be sent and shared as standalone files. Additionally, LAVA also has  
152 an option to generate static PNG images of the results for situations where interactive  
153 visualization is not appropriate such as publications or presentations.

154

## 155 **Results and Discussion**

156 To demonstrate the intended use cases of LAVA and demonstrate why it represents a  
157 new and useful tool, we illustrate two real world examples from our own lab.

158

159 *Case Study 1 – Evolution of human parainfluenza virus 3 in culture*

160 The provided examples (<https://github.com/michellejlin/lava/tree/master/example>), which  
161 are included with the software, illustrate the automation of a task which the authors first  
162 performed manually. For case study 1, these example files are truncated versions of the real  
163 data analyzed in Iketani et al. (26), and are named Example 1 in LAVA. Example 1 illustrates  
164 how to use LAVA to rapidly perform whole genome analysis on matched samples to understand  
165 how a unique selective pressure (i.e. culture exposure) affects viral evolution.

166 Briefly, paired human parainfluenza virus type 3 (HPIV3) samples were sequenced  
167 directly from nasal sampling and after isolation in culture. The study aimed to examine how  
168 HPIV3 adapts to brief exposure to culture. Sequencing reads were adapter and quality trimmed  
169 using cutadapt, producing the FASTQ files available on the GitHub (27). A simple metadata  
170 sheet called Example1\_metadata.csv was created containing file names and 'passage  
171 numbers'. In this case, because we only use two samples, we put the first sample (nasal swab  
172 SC332) occurring at passage 0 and the second sample (cultured CUL 332) at passage 1. We  
173 have also provided a manually generated GFF/FASTA reference pair containing the protein  
174 locations for all HPIV3 proteins except C and D (which are created through RNA editing and

175 thus do not automatically translate correctly). To reduce the file size, the example files  
176 uploaded to GitHub contain only the first 20,000 original reads that correctly mapped to HPIV3 –  
177 full sequencing read files are available from BioProject PRJNA338014. Example 1 shows how  
178 rapid adaptations to culture can be discovered using LAVA as two non-synonymous mutations  
179 (S554G and P241L) appear in the sample after very brief growth in culture. This example also  
180 shows off the utility of the depth and allele frequency sliders which can be used to quickly filter  
181 low-level sequencing artifacts and mapping errors out of the data, allowing the user to focus on  
182 the most relevant points of data.

183

#### 184 Case Study 2

185 We have also included data for a case study which fully highlights the longitudinal  
186 analysis nature of LAVA. In this study, norovirus samples were recovered from a >250 day  
187 infection over 11 time points from a single patient (28). The fundamental question in this  
188 analysis is what whole genome changes accrue as norovirus adapts to the  
189 immunocompromised host over almost a yearlong period.

190 Samples were sequenced and reads were adapter and quality trimmed using cutadapt  
191 as part of our routine metagenomics analysis pipeline (27). As in Example 1, we selected a few  
192 samples: ST107, ST283, and ST709 (all available on BioProject PRJNA338014). Reads were  
193 trimmed to reduce file size to upload onto GitHub. A two-column metadata sheet called  
194 Example2\_metadata.csv was created mapping samples to collection day. The analysis was run  
195 with the one-line command “lava.py -q MH260507 ST107.fastq Example2\_metadata.csv -o  
196 norovirus\_output” (MH260507 is the GenBank Accession number for the actual day 0  
197 consensus of these samples). This command showcases the alternative method of generating  
198 reference files: using the -q flag to automatically download a GenBank reference and transfer  
199 annotations. This example also highlights the utility of the protein plots, which show how the  
200 allele frequency of all variants for each protein changes over time. Instead of using passages as

201 in Example 1, these plots demonstrate the evolution over number of days of infection. Using  
202 these plots, one can see how the entire norovirus genome accumulates fixed mutational  
203 changes over a long-term infection with an increased rate of fixed mutational changes in VP1,  
204 the capsid protein and main antigenic determinant of norovirus (29).

205

206 *Comparisons*

207 While there are many programs that process and visualize somatic mutations, LAVA is  
208 unique in its focus on monitoring minor variant alleles in viruses (30–32). With both its  
209 component parts of pipeline and visualizer, LAVA fills an important need in the viral  
210 bioinformatics community. The Broad Institute, for example, has several well-documented  
211 workflows for both germline and somatic variant discovery: HaplotypeCaller and MuTect2.  
212 These tools are excellent for their intended use cases and LAVA uses a workflow inspired by  
213 these tools. However, HaplotypeCaller is not well suited for whole genome analysis of viral  
214 genomes, as the tool is focused on germline SNPs and does not handle the extreme allelic  
215 variance found in viral genomes. MuTect2, the Broad Institute’s somatic SNP and indel caller,  
216 performs well for its intended use but does not emit all bases of a genome, which is vital  
217 information for viral whole genome analysis. Both of these tools are excellent for their intended  
218 purposes but would have to be significantly modified to reproduce the analysis of LAVA.

219 The Broad Institute’s viral-ngs suite, pipelines designed specifically for the analysis of  
220 viral genomics, takes paired-end reads and calls intrahost variants (iSNVs). Taxonomic read  
221 identification is also visualized with Krona. For variant calling in viral genomes, viral-ngs is an  
222 excellent tool and we recommend using it over LAVA. However, LAVA was created specifically  
223 to automatically compare longitudinal data, which is not a built-in feature of viral-ngs. LAVA also  
224 has a visualization tool to easily see and compare minor allele variants across the genome and  
225 across time. In these use cases, LAVA adds functionality over other bioinformatics programs.

226 Two other bioinformatics pipelines exist that perform similar tasks as LAVA. SMuPFI is a  
227 pipeline that, like LAVA, analyzes NGS data to provide a graphical representation of SNPs and  
228 works well for viral analysis (33). However, due to its nature as a tool designed to better  
229 understand viral escape mechanisms, SMuPFI operates in the area of co-occurring mutations,  
230 and works best with only two co-occurring mutations at the same time due to the complex  
231 statistical analysis involved.

232 Another pipeline that serves to identify variant sites is ViVan (34). ViVan takes similar  
233 input as LAVA and has a very easy to use, albeit size limit restricted, web interface. It also  
234 detects more sensitive variant alleles than LAVA does—it claims to identify variant alleles with a  
235 frequency of >0.1%, with a slightly higher rate of false positives, whereas LAVA by default both  
236 filters out any minor allele variants below 1% frequency (though this can be adjusted using the -  
237 af argument), and allows dynamic filtration in its visualization to suit the user's purpose. ViVan  
238 searches for variants within each sample individually and currently provides no built-in feature  
239 for comparisons between samples.

240 LAVA combines many of the gold-standard bioinformatics tools into a single pipeline to  
241 annotate minor allele variants in viruses and adds a truly unique functionality with its interactive  
242 visualization. The plots that LAVA outputs present easily understandable comparisons between  
243 longitudinal samples, illustrating complex relationships in a simple format that makes patterns  
244 like evolution of minor allele variants across samples, nucleotide change frequency in different  
245 proteins, and synonymous vs. nonsynonymous mutations in the genome evident. By allowing  
246 dynamic filtering of data by allele frequency and coverage depth, these plots can be adjusted to  
247 suit the individual needs of the user.

248 Additionally, the inherently shareable nature of the HTML plots that LAVA creates as  
249 output is an advantage. The small size, ability to be viewed on any web browser, and lack of  
250 dependencies allow data to be shared quickly and extensively through email or any other  
251 means, especially with collaborators who are not comfortable filtering BAM and VCF files.

252

253 *Limitations*

254 LAVA is a powerful tool for analyzing a diverse variety of viral datasets, yet it is not  
255 without its limitations. While stopgains and stoplosses are handled correctly and included in the  
256 plots, LAVA is currently unable to handle complex mutations, wherein two neighboring  
257 nucleotide variants occur within a single codon. Multiple nucleotide changes within the same  
258 codon are each treated individually as separate amino acid changes. However, LAVA  
259 automatically detects this situation, and both prints a warning to the console and colors points  
260 corresponding to complex mutations distinctly. Sequence variations such as copy number  
261 changes, recombination, or large deletions and insertions that escape the bwa-mem aligner  
262 may also be missed (35). Due to the nature of its visualization, LAVA also does not display  
263 overlapping genes properly and instead shows them side-by-side. However, LAVA does print a  
264 warning message to the console if overlapping proteins are detected, directing users to the  
265 README which contains directions for how to manually prepare a GFF file without overlapping  
266 proteins. LAVA also does not correctly analyze proteins with RNA editing or ribosomal slippage.  
267 Many of these limitations can be fixed by editing the GFF file accordingly.

268 Another limitation of LAVA is that web browsers can fail to render the output plots if there  
269 are an extremely large number of variants (>5,000). This does not impact the actual analysis,  
270 only the visualization, and the merged.csv output file will still contain all relevant data. This could  
271 create problems if LAVA was used to analyze bacterial genomes or other extremely large  
272 genomes. LAVA will print a warning message if there are greater than 5,000 variants. The  
273 nature of the merged.csv output file is such that manual analysis could easily be performed in  
274 an environment better suited to visualizing extremely large data sets such as R.

275

276 **Conclusions**

277 LAVA allows users to go from sequencing data to dynamically interactive plots  
278 illustrating longitudinal changes in their samples. The only required inputs are 1) FASTQ files  
279 with sequences for analysis, 2) either a GFF file and reference FASTA or a Genbank accession  
280 number, and 3) a simple metadata.csv file containing information about sample name and  
281 passage number. LAVA cuts down the time and effort significantly for data analysis of  
282 longitudinal samples, and provides an intuitive and interactive visualization that can be easily  
283 shared among collaborators.

284

285 *Web resources*

286 LAVA can be found at <https://github.com/michellejin/lava> and is programmed in Python.

287

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293

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393

394 **Figure Legends**

395

396 **Figure 1** - General workflow of the LAVA pipeline is depicted to offer a high-level overview of  
397 program execution. Dashed arrows represent optional steps. Input are shown boxed in green,  
398 output in blue, and the main lava program is circled in pink. For input, either a GenBank  
399 Accession number or a FASTA/GFF pair is required. If a GenBank Accession number is  
400 provided, LAVA generates a FASTA/GFF pair following the outlined steps. The linked chain  
401 symbol between the metadata.csv input and the FASTQ reads is meant to emphasize that the  
402 metadata.csv must contain all the file names that you wish to include in your analysis. General  
403 steps are given with tools used during that specific step listed to the side or underneath each  
404 step in parentheses. The final output is given as HTML files that contain the interactive plots.  
405 For exactly what is passed to each of the other programs and information about parameters and  
406 optional arguments (such as mapping parameters), the source code is available on GitHub.

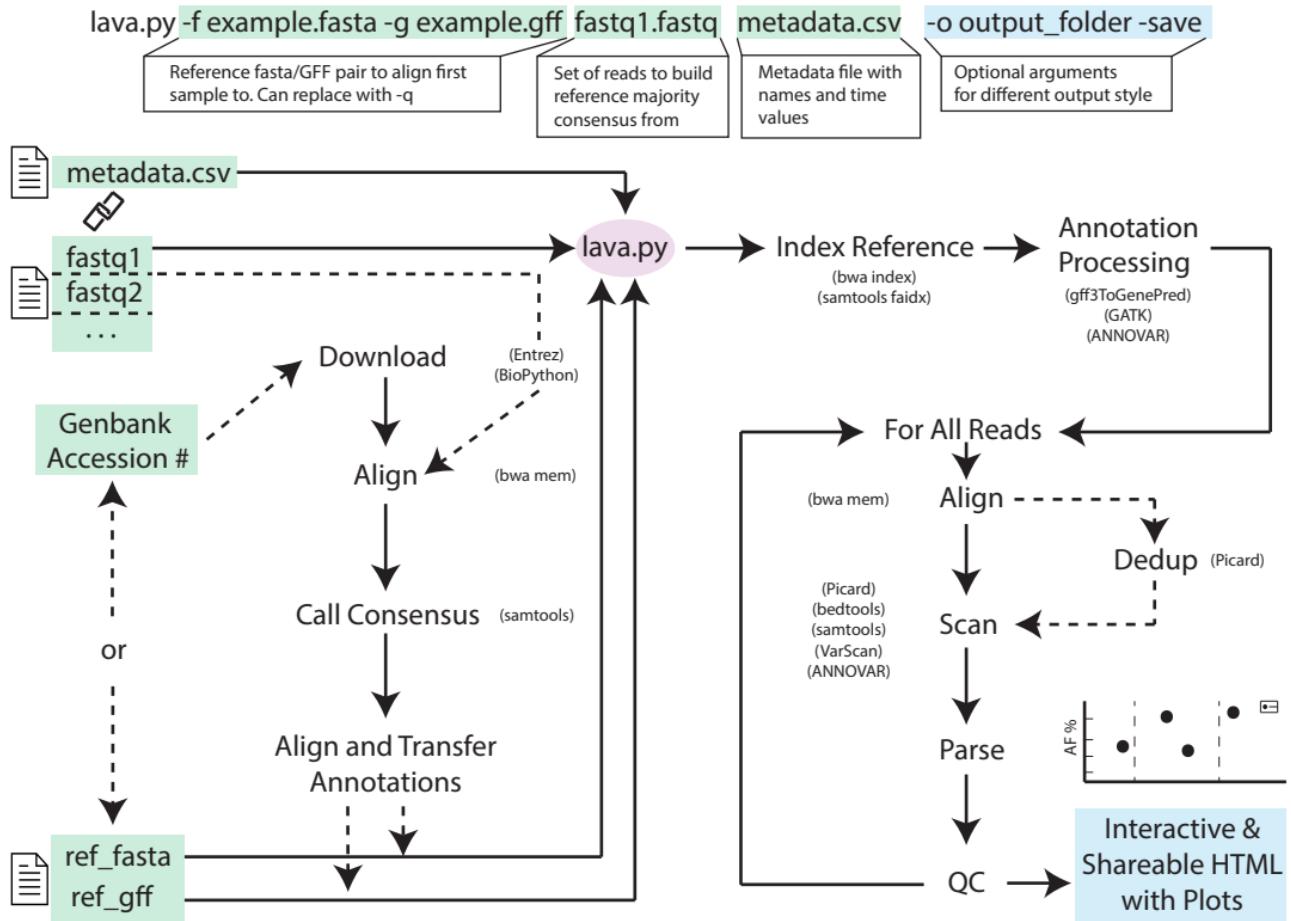
407

408 **Figure 2** - Example LAVA output is shown, this figure shows the results from running Example 1  
409 (All files are available on GitHub and a more in-depth coverage of this data is provided in *Case*  
410 *study 1*.) This example is a screenshot of a Chrome browser displaying the final HTML created  
411 by LAVA. The plot on the top of the page shows all amino acid changes across the whole  
412 genome for each sample. You can switch between the samples using the tabs highlighted in a  
413 red box. The bottom plot shows changes in by-protein allele frequencies over time. You can use  
414 tabs once again to switch between proteins. All changes meeting display requirements are  
415 plotted over time (or whatever your numerical metadata was). For example, this example shows  
416 the hemagglutinin-neuraminidase protein for HPIV3 undergoing changes during the culturing  
417 process. All output can be filtered by depth, allele frequency and type of mutation using the  
418 sliders boxed in red to the right of each main plot. A small plot is displayed next to the whole

419 genome graph providing a visual representation of the per-base coverage of reads mapping to

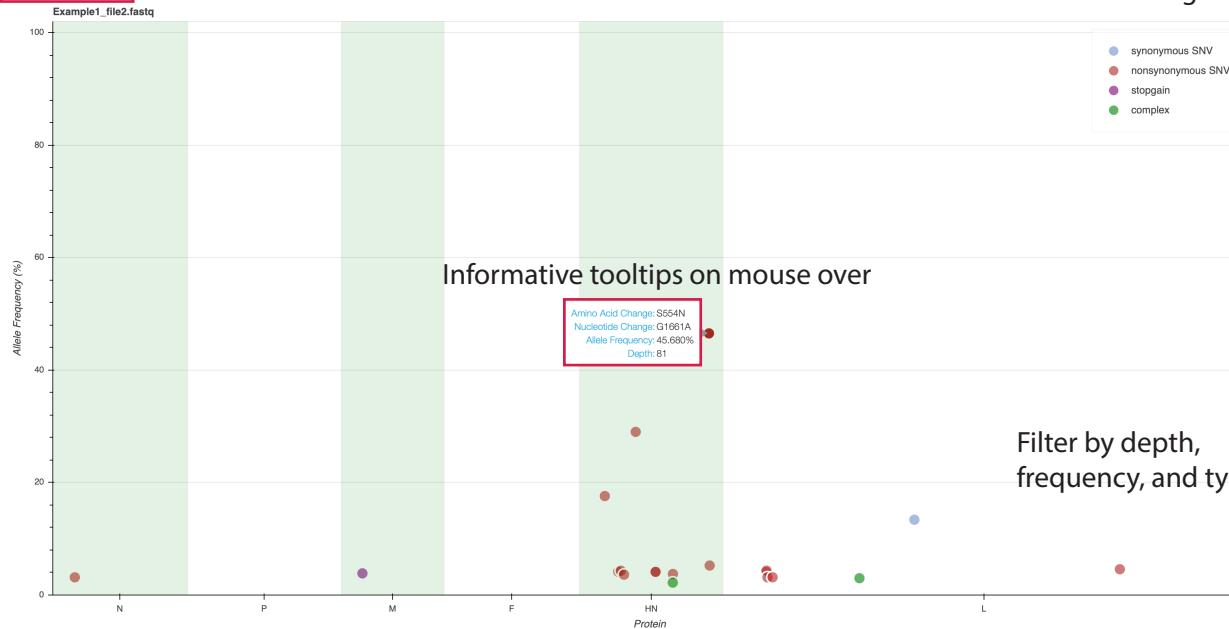
420 the consensus.

421



Example1\_file1.fastq  
Example1\_file2.fastq

## Switch between samples



## Select proteins

N P M F HN L

