

1 **GFF3sort: a novel tool to sort GFF3 files for tabix**

2 **indexing**

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1 Abstract

2 **Background:** The traditional method of visualizing gene annotation data in JBrowse
 3 is converting GFF3 files to JSON format, which is time-consuming. The latest version
 4 of JBrowse supports rendering sorted GFF3 files indexed by tabix, a novel strategy
 5 that is more convenient than the original conversion process. However, current tools
 6 available for GFF3 file sorting have some limitations and their sorting results would
 7 lead to erroneous rendering in JBrowse.

8 **Results:** We developed GFF3sort, a script to sort GFF3 files for tabix indexing.
 9 Specifically designed for JBrowse rendering, GFF3sort can properly deal with the
 10 order of features that have the same chromosome and start position, either by
 11 remembering their original orders or by conducting parent-child topology sorting.
 12 Based on our test datasets from seven species, GFF3sort produced accurate sorting
 13 results with acceptable efficiency compared with currently available tools.

14 **Conclusions:** GFF3sort is a novel tool to sort GFF3 files for tabix indexing. We
 15 anticipate that GFF3sort will be useful to help with genome annotation data
 16 processing and visualization.

17

18 **Keywords:** GFF3, JBrowse, Visualization, Tabix

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1 Background

2 As a powerful genome browser based on HTML5 and JavaScript, JBrowse has been
 3 widely used since released in 2009[1, 2]. According to its configuration document[3],
 4 it works by first converting genome annotation data in GFF3 file formats to JSON
 5 files by a built-in script “flatfile-to-json.pl”, and then rendering visualized element
 6 models such as genes, transcripts, repeat elements, etc. The main problem, however, is
 7 that this step is extremely time-consuming. The time is proportional to the number of
 8 feature elements in GFF3 files (Additional file 1). Even for small genomes like yeast
 9 (*Saccharomyces cerevisiae*), it takes ~10 seconds to finish the conversion. For large
 10 and deeply annotated genomes such as that of humans, the time increases to more
 11 than 15 minutes. In addition, through the conversion process, a single GFF3 file is
 12 converted to thousands of piecemeal JSON files, thus putting a heavy burden on the
 13 ability to back up and store data.

14 In the recently released JBrowse version (v1.12.3), support for indexed GFF3
 15 files has been added[4]. In this strategy, the GFF3 file is compressed with bgzip and
 16 indexed with tabix[5], which generates only two data files: a compressed file (.gz) and
 17 an index file (.tbi). Compared with the traditional processing protocol, the whole
 18 compression and index process could be finished within a few seconds even for large
 19 datasets such as the human genome annotation data (Additional file 1). The tabix tool
 20 requires GFF3 files to be sorted by chromosomes and positions, which could be
 21 performed in the GNU sort program or the GenomeTools[6] package (see [7]). When
 22 dealing with feature lines in the same chromosome and position, both of the tools
 23 would sort them in an ambiguous way that usually results in parent features being
 24 placed behind their children (Figure 1A). Although this is still valid in tabix indexing,
 25 it would causing erroneous rendering in JBrowse[8] (Figure 1A). Currently there is no
 26 additional options or arguments for current tools to break such tied features by
 27 parent-child relationship. In the absence of a suitable bug fix to JBrowse, an

1 alternative sorting tool is needed to resolve this problem.

2 Here, we present GFF3sort, a novel tool to sort GFF3 files for tabix indexing.
3 Compared with GNU sort and GenomeTools, GFF3sort produces sorting results that
4 could be correctly rendered by JBrowse while still keeps enough efficiency. We
5 anticipate that GFF3sort will be a useful tool to help with processing and visualizing
6 genome annotation data.

7 **Implementation**

8 GFF3sort is a script written in Perl. It uses a hash table to store the input GFF3
9 annotation data (Figure 1B). For each feature, the chromosome ID and the start
10 position are stored in the primary and secondary key, respectively. Features with the
11 same chromosome and start position are grouped in an array in the same order of their
12 appearance in the original GFF3 data. After sorting the hash table by chromosome IDs
13 and start positions, GFF3sort implemented two modes to sort features within the array:
14 the default mode and the precise mode (Figure 1B). In most situations, the original
15 GFF3 annotations produced by genome annotation projects have already placed
16 parent features before their children. Therefore, GFF3sort returns the feature lines in
17 their original order, which is the default behavior. In some situations where orders in
18 the input file has not obeyed the parent-child relationship, GFF3sort would sort them
19 according to the parent-child topology using the sorting algorithm of directed acyclic
20 graph[9], which is the most precise behavior but costs a little more computational
21 source.

22 In order to test the performance of GFF3sort, the GFF3 annotation files of seven
23 species, *Saccharomyces cerevisiae* (R64-1-1), *Aspergillus nidulans* (ASM1142v1),
24 *Chlamydomonas reinhardtii* (INSDC v3.1), *Drosophila melanogaster* (BDGP6),
25 *Arabidopsis thaliana* (Araport11), *Rattus norvegicus* (Rnor_6.0), and *Homo sapiens*
26 (GRCh38), were downloaded from the ENSEMBL database [10]. All the tests were

1 conducted on a SuperMicro® server equipped with 80 Intel® Xeon® CPUs
 2 (2.40GHz), 128 GB RAM, and running the CentOS 6.9 system. By default, CentOS
 3 6.9 carries GNU sort v8.4, a relatively old version released in 2010. Therefore, we
 4 downloaded and installed a new version (v8.28) from the official repository of GNU
 5 Coreutils[11]. Both the old and the new version of GNU sort would be used in
 6 performance test.

7 **Results and Discussion**

8 GFF3sort takes a GFF3 file as its input data and returns a sorted GFF3 file as output.
 9 Several optional parameters are provided such as turning on the precise mode, sorting
 10 chromosomes in different ways and properly dealing with inline FASTA sequences.
 11 Element models sorted by GFF3sort can be correctly rendered by JBrowse (Figure
 12 1C).

13 Besides the fixation of JBrowse rendering, GFF3sort has also other advantages over
 14 traditional tools. Compared with the GNU sort program, GFF3sort can properly deal
 15 with GFF3-specific lines or directives that are preceded by the '##' symbol, such as
 16 the topmost GFF version line and the heading sequence-region line. Compared with
 17 the GenomeTools, GFF3sort runs significantly faster (Additional file 1). In the default
 18 mode, GFF3sort saves ~70% running time in our seven test datasets. The precise
 19 mode takes longer time but still runs faster than GenomeTools, especially for large
 20 annotation data such as human. While keeping a high running speed, the memory
 21 consumption is still acceptable (Additional file 1). For the largest annotation dataset
 22 (the GRCh38 annotation version of human) with a ~400MB GFF3 file, the memory
 23 usage of GFF3sort is ~758MB, ~40% less than GenomeTools.

1 **Conclusions**

2 In conclusion, GFF3sort is a novel tool to sort GFF3 files for tabix indexing and
 3 therefore can be used to visualize annotation data in JBrowse appropriately. It has a
 4 fast running speed compared with similar, existing tools. We anticipate that GFF3sort
 5 will be a useful tool to simplify data processing and visualization.

6 **Figure Legends**

7 Figure 1. **The motivation for, outlines of, and action effects of GFF3sort.** A) An
 8 example of incorrectly sorted GFF3 data and its snapshots in JBrowse. Blocks with
 9 the same start position are marked in blue-yellow stripes. The two lines (mRNA)
 10 marked in red were placed after their sub-features (exon or UTR). Such incorrect
 11 placement leads to losing the first exon in JBrowse rendering results. See Additional
 12 file 2 for the full annotation lines. B) Outlines of GFF3sort. C) An example of
 13 correctly sorted data by GFF3sort and its snapshots in JBrowse. In this example, the
 14 two lines (mRNA) marked in red were correctly placed before their sub-features,
 15 allowing JBrowse to render them properly.

16 **Additional files**

17 Additional file 1: Benchmark data. This file displays: 1) the detailed running time of
 18 GFF3-to-JSON conversion and the bgzip-tabix process on our test datasets; 2) the
 19 detailed running time and 3) memory usage of GFF3sort, GNU sort (v8.4 and v8.28),
 20 and GenomeTools on our test datasets. (PDF)
 21 Additional file 2: The full GFF3 annotation lines used in Figure 1A and C. It is the
 22 gene AT1G01110 extracted from the *Arabidopsis thaliana* (Araport11) annotation
 23 files. It includes three plain-text files: raw.gff3, GNUsort.gff3 (Figure 1A),

1 and GFF3sort.gff3 (Figure 1C). (ZIP)

2 **List of abbreviations**

3 JBrowse: JavaScript-based genome browser

4 GFF3: General Feature Format, version 3

5 JSON: JavaScript Object Notation

6 HTML5: HyperText Markup Language, version 5

7 **Declarations**

8 **Ethics approval and consent to participate**

9 Not applicable.

10 **Consent for publication**

11 Not applicable.

12 **Availability of data and material**

13 Project name: GFF3sort

14 Project home page: <https://github.com/billzt/gff3sort>

15 Operating system(s): Linux

16 Programming language: Perl

17 Other requirements: No

18 License: No restrictions for academic users.

19 Any restrictions to use by non-academics: license needed

20 **Competing interests**

21 The authors declare that they have no competing interests.

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5 **Authors' contributions**

6 SG, RZ, and TZ initiated the idea of the tool and conceived the project. TZ designed
7 the tool and analyzed the data. CL and ZM helped to test the tool. TZ wrote the paper.
8 All authors read and approved the final manuscript.

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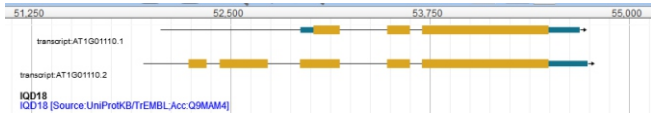
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- 7

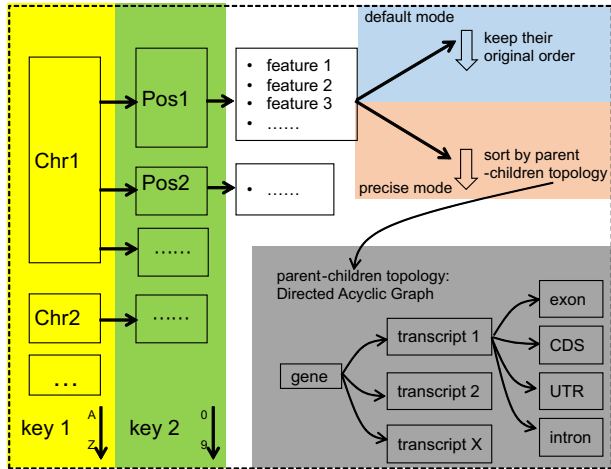
A

| | | | | | |
|-----------|----------------|-------|-------|-----|--|
| araport11 | exon | 51953 | 52346 | + | Parent=AT1G01110. 2 |
| araport11 | five_prime_UTR | 51953 | 52238 | + | Parent=AT1G01110. 2 |
| araport11 | gene | 51953 | 54737 | + | ID=AT1G01110 |
| araport11 | mRNA | 51953 | 54737 | + | ID=AT1G01110. 2;Parent=AT1G01110 |
| araport11 | exon | 52061 | 52730 | + | Parent=AT1G01110. 1 |
| araport11 | five_prime_UTR | 52061 | 52730 | + | Parent=AT1G01110. 1 |
| araport11 | mRNA | 52061 | 54689 | + | ID=AT1G01110. 1;Parent=AT1G01110 |
| araport11 | CDS | 52239 | 52346 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | CDS | 52434 | 52730 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | exon | 52434 | 52730 | + | Parent=AT1G01110. 2; |
| araport11 | CDS | 52938 | 53183 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | exon | 52938 | 53183 | + | Parent=AT1G01110. 1; |
| araport11 | exon | 52938 | 53183 | + | Parent=AT1G01110. 2; |
| araport11 | five_prime_UTR | 52938 | 53021 | + | Parent=AT1G01110. 1 |
| araport11 | CDS | 53022 | 53183 | + 0 | ID=CDS: AT1G01110. 1;Parent=AT1G01110. 1 |
| araport11 | CDS | 53484 | 53624 | + 0 | ID=CDS: AT1G01110. 1;Parent=AT1G01110. 1 |
| araport11 | CDS | 53484 | 53624 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | exon | 53484 | 53624 | + | Parent=AT1G01110. 1 |
| araport11 | exon | 53484 | 53624 | + | Parent=AT1G01110. 2 |



B

Hash Table



C

| | | | | | |
|-----------|----------------|-------|-------|-----|--|
| araport11 | gene | 51953 | 54737 | + | ID=AT1G01110 |
| araport11 | mRNA | 51953 | 54737 | + | ID=AT1G01110. 2;Parent=AT1G01110 |
| araport11 | five_prime_UTR | 51953 | 52238 | + | Parent=AT1G01110. 2 |
| araport11 | exon | 51953 | 52346 | + | Parent=AT1G01110. 2 |
| araport11 | mRNA | 52061 | 54689 | + | ID=AT1G01110. 1;Parent=AT1G01110 |
| araport11 | exon | 52061 | 52730 | + | Parent=AT1G01110. 1 |
| araport11 | five_prime_UTR | 52061 | 52730 | + | Parent=AT1G01110. 1 |
| araport11 | CDS | 52239 | 52346 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | exon | 52434 | 52730 | + | Parent=AT1G01110. 2; |
| araport11 | CDS | 52434 | 52730 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | exon | 52938 | 53183 | + | Parent=AT1G01110. 2; |
| araport11 | CDS | 52938 | 53183 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |
| araport11 | five_prime_UTR | 52938 | 53021 | + | Parent=AT1G01110. 1 |
| araport11 | exon | 52938 | 53183 | + | Parent=AT1G01110. 1; |
| araport11 | CDS | 53022 | 53183 | + 0 | ID=CDS: AT1G01110. 1;Parent=AT1G01110. 1 |
| araport11 | exon | 53484 | 53624 | + | Parent=AT1G01110. 1 |
| araport11 | CDS | 53484 | 53624 | + 0 | ID=CDS: AT1G01110. 1;Parent=AT1G01110. 1 |
| araport11 | exon | 53484 | 53624 | + | Parent=AT1G01110. 2 |
| araport11 | CDS | 53484 | 53624 | + 0 | ID=CDS: AT1G01110. 2;Parent=AT1G01110. 2 |

