

$\alpha 7$ nicotinic acetylcholine receptor signaling modulates the inflammatory and iron homeostasis in fetal brain microglia

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ABSTRACT

Neuroinflammation *in utero* may result in life-long neurological disabilities. Microglia play a pivotal role, but the mechanisms are poorly understood. No early postnatal treatment strategies exist to enhance neuroprotective potential of microglia. We hypothesized that agonism on $\alpha 7$ nicotinic acetylcholine receptor ($\alpha 7$ nAChR) in fetal microglia will augment their neuroprotective transcriptome profile, while the antagonistic stimulation of $\alpha 7$ nAChR will achieve the opposite. Using an *in vivo* - *in vitro* model of developmental programming of neuroinflammation induced by lipopolysaccharide (LPS), we validated this hypothesis in primary fetal sheep microglia cultures re-exposed to LPS in presence of a selective $\alpha 7$ nAChR agonist or antagonist. Our RNAseq and protein level findings show that a pro-inflammatory microglial phenotype acquired *in vitro* by LPS stimulation is reversed with $\alpha 7$ nAChR agonistic stimulation. Conversely, antagonistic $\alpha 7$ nAChR stimulation potentiates the pro-inflammatory microglial phenotype. Surprisingly, under conditions of LPS double-hit an interference of a postulated $\alpha 7$ nAChR - ferroportin signaling pathway may impede this mechanism. These results suggest a therapeutic potential of $\alpha 7$ nAChR agonists in early re-programming of microglia in neonates exposed to *in utero* inflammation via an endogenous cerebral cholinergic anti-inflammatory pathway. Future studies will assess the role of interactions between inflammation-triggered microglial iron sequestering and $\alpha 7$ nAChR signaling in neurodevelopment.

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65 INTRODUCTION

66 Brain injury acquired antenatally remains a major cause of long-term neurodevelopmental sequelae in
 67 children and adults.(1) Although the etiology of antenatal brain injury is undoubtedly multifactorial, there
 68 is growing evidence for a role for maternal and fetal infection and inflammation(2),(3),(4), which is
 69 supported by animal studies.(5),(2),(6) Both systemic and neuroinflammation have been implied as
 70 important pathophysiological mechanisms acting independently to cause fetal brain injury or contributing
 71 to *in utero* asphyxial brain injury with consequences for postnatal health.(7),(8)

72 The main cause of fetal inflammation, chorioamnionitis, is a frequent (10% of all pregnancies, up to 40%
 73 of preterm births) and often subclinical fetal inflammation associated with ~9fold increased risk for
 74 cerebral palsy spectrum disorders with life lasting neurological deficits and an increased risk for acute or
 75 life-long morbidity and mortality, inversely correlated with gestational age at delivery.(8),(9),(10)

76 In addition to short-term brain damage, recent studies suggest that neuroimmune responses to *in utero*
 77 infection may also have long-term health consequences. In adults, exposure to inflammatory stimuli can
 78 activate microglia (glial priming, reviewed in(11),(12)). Confronted with a renewed inflammatory
 79 stimulus, they can sustain chronic or exaggerated production of pro-inflammatory cytokines associated
 80 with postnatal neuroinflammatory diseases such as Multiple Sclerosis or sustained cognitive dysfunction
 81 (“second hit” hypothesis).(12),(13),(14)

82 $\alpha 7$ nicotinic acetylcholine receptor ($\alpha 7$ nAChR) signaling in microglia may be involved in modulating
 83 TNF- α release to push microglia towards a neuroprotective role under conditions of lipopolysaccharide
 84 (LPS) exposure.(15),(16),(17)

85 We hypothesized that agonistic stimulation of $\alpha 7$ nAChR in fetal microglia will augment their
 86 neuroprotective transcriptome profile, while the antagonistic stimulation of $\alpha 7$ nAChR will achieve the
 87 opposite. Using a novel *in vivo* - *in vitro* model of developmental programming of neuroinflammation
 88 induced by LPS, we validate this hypothesis in primary fetal sheep microglia cultures exposed to LPS in
 89 presence of a selective $\alpha 7$ nAChR agonist or antagonist.

90

RESULTS

Primary fetal sheep microglia culture

In vitro studies were conducted in primary cultures derived from six controls (naïve) and from two *in vivo* LPS-exposed animals (SH) in 1-2 *in vitro* replicates each animal depending on cell numbers obtained (Figure 1A). First, we investigated cytokine secretion properties of microglial cultures in the absence or presence of LPS. Methodology and results are presented elsewhere.(18) Second, we studied IL-1 β secretion profile (Figure 1B) in response to LPS accompanied by co-incubation with α 7nAChR agonist AR-R17779 (NA or SHA) and the α 7nAChR antagonist α -Bungarotoxin (NB or SHB).

The cytokine IL-1 β secretion profile showed a non-random distribution pattern ($p < 0.001$, main term “group”), but not for the main term “SH yes or no” ($p = 0.122$). The latter main term identified each group as having or not having been exposed to an *in vivo* hit, *i.e.*, testing for the SH effect on IL-1 β secretion profile without accounting for the experimental group. LPS exposure led to IL-1 β rise ($p = 0.020$) which was non-randomly changed by α 7nAChR agonism ($p < 0.001$), but not by antagonism ($p = 0.801$).

The GEE model exploring the contribution of the second hit to the IL-1 β secretion profile revealed a significant interaction between the four experimental groups (control, LPS, antagonist and agonist pre-treatments) and the presence or absence of two hits ($p < 0.001$ for interaction term “group”*“SH yes or no”). Specifically, without the preceding *in vivo* hit, α 7nAChR agonism reduced the effect of this heightened IL-1 β secretion ($p = 0.028$). Surprisingly, with the preceding *in vivo* hit, *i.e.*, in the SH groups, α 7nAChR agonism amplified the effect ($p = 0.028$). That is, *in vivo* exposure to LPS reversed the effect of the agonistic α 7nAChR stimulation. Meanwhile, for α 7nAChR antagonism the results were consistently supporting the initial hypothesis with IL-1 β rising when accounting in the model for the preceding absence or presence of the *in vivo* first LPS hit (interaction terms “NB”* “SH yes or no” ($p = 0.048$). We found no significant interaction for NC and NL groups and SH ($p = 0.204$).

RNAseq approach

Whole transcriptome analysis

We reported the genomic landscape of primary fetal sheep microglia in response to LPS using similar quality control methods to confirm the cell culture purity.(18) Here we sequenced at high throughput the whole transcriptome of microglia exposed to LPS and pre-incubated with α 7nAChR agonist or antagonist (Figure 1A). We performed a direct differential analysis of NA versus NB which eliminated the background noise of NC. This approach allowed us to observe the immediate effect of LPS on microglial transcriptome when it is modulated by α 7nAChR antagonist versus agonist treatments. We performed 6 differential analyses of microglia exposed to agonist and antagonist (NA, NB, respectively) versus control (NC) and second hit microglia exposed to antagonistic treatment (SHB). In Table 1, we summarized the number of differentially expressed genes (DEG) found for each differential analysis. Overall, the microglial transcriptome exposed to agonistic and antagonistic drugs revealed a greater amount of DEG than LPS-exposed microglia (latter results were published).(18)

Unique transcriptome signature of agonistic and antagonistic stimulation in microglia

We identified 1,432 DEG ($\text{padj} < 0.1$) up regulated genes in NB microglia compared to NL. We compared the population of DE up regulated genes in NB with those in NL microglia: 1,234 genes were unique to NB. The analysis of pathways with Topcluster revealed that unique genes to NB are members of the Jak-STAT, TNF- α and NF κ B signaling pathways (Table 1, Figure 2A).

968 DE down regulated genes were identified in NB microglia compared to NL. All 53 genes previously identified in NL were also DE down regulated in NB. Thus, NB showed a unique signature of 915 genes. Gene ontology of genes unique to NB revealed that these genes are mostly part of coenzyme binding (GO:0050662 and $P = 8.37 \times 10^{-6}$), GTPase regulator activity (GO:0030695 and $P = 3.11 \times 10^{-5}$), damaged DNA binding activity (GO:0003684 and $P = 2.59 \times 10^{-4}$) and H4 histone acetyltransferase activity (GO:0010485 and $P = 9.42 \times 10^{-4}$).

We extracted genes that were uniquely differentially expressed in NB and not found in LPS-exposed naïve microglia (NL vs. NC). We reported up regulation of genes involved in the NFkB and JAK-STAT pathway. (18) A closer look at all genes involved in these two pathways confirmed up regulation of TNF and IL1B. However, in our previous results, the latter two were not differentially expressed under LPS exposure alone. Our current differential analysis of microglia treated with α -Bungarotoxin prior to LPS exposure showed that both TNF and IL1B are differentially expressed and up regulated ($\text{padj} = 1.17 \times 10^{-25}$ and $\text{padj} = 8.02 \times 10^{-88}$, respectively), further confirming our hypothesis that antagonistic stimulation of $\alpha 7\text{nAChR}$ potentiates LPS-triggered microglial inflammation (Figure 2A).

In a similar way, from both differential analyses to baseline NC, we extracted DE down regulated genes unique to NB and not found in NL. Here we found that HDAC10 and HDAC5 are uniquely DE down regulated in NB ($\text{padj} = 8.81 \times 10^{-2}$, $\text{padj} = 5.24 \times 10^{-6}$, respectively). Further analysis of HDAC genes is described below (Figure 2B, Table 3).

When comparing NL to NA, we did not identify any DE down regulated genes. However, differential analysis of agonist-stimulated microglia rendered a unique signature compared to LPS-treated microglia. We found that two genes were DE up regulated in NA versus NL, ENSOARG00000020076 and HIST1H1T ($\text{padj} = 1.01 \times 10^{-10}$, $\text{padj} = 4.98 \times 10^{-2}$, respectively). Per ensemble database, the gene ENSOARG00000020076 corresponds to the HIST1H2BI, a family member of the Histone cluster 1 H2B (Table 3, Figure 2C).

Effect of $\alpha 7\text{nAChR}$ agonist and antagonistic drugs on microglial transcriptome

Our main analysis focused on differences between NB and NA treatment. Our differential analysis of NA versus NB revealed 162 DEG, among which 24 were upregulated and 138 were down regulated (Table 1). Gene ontology of DEG down regulated in NA versus NB showed that DE down regulated were associated with the immune system (GO:0002376), however two DE up regulated genes also clustered for the GO terms immune system, HSPA6 and GADD45G (Figure 3B). In the human genome, the gene HSPA6 codes for the Heat shock 70 kDa protein 6, and GADD45G codes for Growth arrest and DNA damage-inducible protein GADD45 gamma.

Interestingly, we noticed that GO terms such as Locomotion (GO:0040011) and Reproduction (GO:0000003) were associated with DE down regulated genes (Figure 3A). We performed a second GO analysis with ToppGene of DEG down regulated, selected significant GO terms clusters ($P < 10^{-3}$) and represented these in a bar chart with $-\text{Log}(P)$ (Figure 4). Among DEG down regulated, the immune response was strongly significant ($P = 6.10 \times 10^{-15}$); we also noticed leukocyte migration and inflammatory response among the GO terms clustering (Figure 4, Table 3).

Lending support to our hypothesis, these results confirmed the anti-inflammatory effect of the $\alpha 7\text{nAChR}$ agonistic stimulation on microglia.

Modulation of the memory of inflammation by $\alpha 7\text{nAChR}$ signaling

From two differential analyses, NB versus NC and SHB versus NB, we selected up and down regulated genes with $\text{Log}_2 > |2|$ and represented common genes into a Venn diagram (Figure 5A). A total of 7 genes

were DE and up regulated in both analyses, and two genes were down regulated. Interestingly, common down regulated genes were HMOX1 and SLC40A1.

We reported the potential role of heme-oxygenase 1 (HMOX1) during neuroinflammation and will focus here on the gene SLC40A1 (ferroportin). (18) Using a double-hit model of LPS exposure, we showed that microglia gained memory of inflammation when pre-exposed to LPS *in vivo*, and we also pin-pointed the role of iron metabolism in this process. Here, gene ontology of uniquely down regulated genes in SHB versus NB contained, among pathways affected, metal ions compound ($P = 4.64 \times 10^{-9}$) and SLC-mediated transmembrane transport ($P = 3.49 \times 10^{-10}$). Similar to our earlier results with HMOX1 (18), this finding now highlights the putative role of SLC genes, another key component of the iron homeostasis in neuroinflammation, when cholinergic signaling is perturbed (Figure 5B).

Hepcidin (HAMP) plays a key role in linking inflammation and iron homeostasis (19), therefore we examined the RNA transcript level changes of hepcidin and ferroportin in our $\alpha 7$ nAChR signaling model. Differential analyses of NL and NB to baseline and NA vs. NB revealed an opposite pattern of expression of HAMP and SLC40A1 in agonist-treated microglia, wherein ferroportin was up regulated ($\text{Log}_2 = 0.49$) and hepcidin was down regulated ($\text{Log}_2 = -1.31$). However, only ferroportin was differentially expressed in naïve microglia and bungarotoxin-treated microglia ($\text{padj} = 9.79 \times 10^{-4}$, $\text{padj} = 4.26 \times 10^{-5}$, respectively). Further validation will be necessary to confirm these results (Figure 6).

Similar to our findings on naïve microglia (18) and lending support to the epigenetic mechanisms of such neuroinflammation memory, here we also found HDAC1 up regulation following LPS exposure to be potentiated by pre-treatment with $\alpha 7$ nAChR antagonist (NC-NB). This supports the notion that blocking $\alpha 7$ nAChR signaling has pro-inflammatory effects not only on the level of cytokine secretion, but also on the level of strengthening the inflammation memory. HDAC6 behaved in the opposite direction of HDAC1, again similar to what we reported for LPS alone and potentiated by the $\alpha 7$ nAChR antagonism (Table 3).

DISCUSSION

In a unique double hit model of fetal neuroinflammation in a large mammalian brain, we studied transcriptomic changes following modulation of cholinergic signaling through $\alpha 7$ nAChR with selective antagonistic drug α -Bungarotoxin and agonistic drug AR-R17779. We previously reported the activation of major inflammatory pathways JAK-STAT and NFkB in naïve microglia exposed to LPS as an inflammatory stimulus.(18) In our current analysis, we demonstrated the enhanced activation of these inflammatory pathways in microglia exposed to LPS and pre-treated with α -Bungarotoxin. Both, transcriptomic activation and protein level IL-1 β secretion patterns were enhanced in α -Bungarotoxin pre-treated microglia compared to microglia exposed to LPS alone. Our findings extend the *in vitro* observations in mature rodent primary microglia cultures to a large mammalian developing brain exposed to LPS *in vivo* and *in vitro*.(15)

We aimed to understand biological processes in microglia when exposed to AR-R17779. The key finding from the differential analysis of NA versus NB was that genes of the immune response were DE down regulated in NA and most of these genes were up regulated in LPS-treated microglia (data not shown). Notably, JAK3 was DE down regulated in NA, and C-FOS was up regulated. DEG up regulated in NA and clustering in the immune system process GO term comprised only HSPA6 and GADD45G. The latter is known to regulate cytokine expression during LPS-induced inflammation (20), whereas HSPA6 is only induced under severe oxidative stress.(21) Lending support to our hypothesis, our findings in NA versus NB comparisons confirmed the anti-inflammatory effect of the $\alpha 7$ nAChR agonistic stimulation in microglia.

The current findings represent the first direct *in vitro* validation of our earlier indirect *in vivo* observations in the fetal sheep brain of the same gestational age when we proposed the existence of a fetal cerebral cholinergic anti-inflammatory pathway with *in situ* evidence of the expression of $\alpha 7$ nAChR on microglia.(22)

Effect of $\alpha 7$ nAChR signaling on the FBP / HMOX1 microglial phenotype

We reported HMOX1_{down} / FBP^{up} transcriptome phenotype in SHL microglia.(18) We expected that the agonistic stimulation of $\alpha 7$ nAChR would attenuate this phenotype. HMOX1 and FBP were both differentially expressed in SHL microglia and this phenotype was sustained with antagonistic treatment. Differential expression in naïve microglia NA and NB did reveal an opposite expression, wherein HMOX1 was up regulated and FBP was down regulated in NA, however a statistically significant differential expression was not observed. Thus, further studies are needed to validate these results in the SHL microglia stimulated agonistically on the $\alpha 7$ nAChR (cf. Methodological considerations).

$\alpha 7$ nAChR signaling modulates the epigenetic memory of inflammation in fetal microglia

Our findings suggest a potential role of histones in the memory of neuroinflammation.(18) Here, we asked whether epigenetic mechanisms are involved in enhancement and reduction of neuroinflammation in microglia via $\alpha 7$ nAChR signaling. Differential analysis of NA versus NL revealed two DEG up regulated, HIST1H2BI and HIST1H1T, both corresponding to histone cluster 1H, strengthening our hypothesis of memory of neuroinflammation sustained by epigenetic factors(18) and extending it to involve $\alpha 7$ nAChR signaling.

Our previous report showed that HDAC1, HDAC2 and HDAC6 were potentially involved in the memory of neuroinflammation. In this study, among selected HDAC genes DE in NB compared to NC, all showed an opposite expression pattern in NA compared to NB. The gene HAT1 was also DE down regulated in our previous report and was up regulated in the agonistically-treated microglia. Of note, similar to our published findings on naïve microglia, here we also found HDAC1 up regulation following LPS exposure and this effect was further potentiated by pre-treatment with $\alpha 7$ nAChR antagonist (NC-NB) supporting

the notion that blocking $\alpha 7$ nAChR signaling has pro-inflammatory effects not only on the level of cytokine secretion, but also on the epigenetic level by strengthening the inflammation memory.

Microglial iron homeostasis is modulated by $\alpha 7$ nAChR signaling: implications for neurodevelopment

To further address mechanisms involved in memory of inflammation, we extracted common DE genes between naive and second hit microglia treated with α -Bungarotoxin. Common DEG up regulated included the genes CCL17, CYP3A and DNMT3L. CCL17 is known to mediate inflammation through macrophages (23), while CYP3A (cytochrome P450 3A) plays a major role in drug metabolism. CYP3A function in microglia is not known. Previous reports cited the up regulation of DNMT3L in TLR3- and TLR4 stimulated microglia (24), concordant with our results in α -Bungarotoxin treated microglia. We identified two DEG down regulated genes SLC40A1 and HMOX1. In conjunction with published results on HMOX1, we aimed to understand the role of SLC40A1, an iron-regulated transporter, known as ferroportin.

Our data indicate a combination of down regulation of metal ion transporter, ferroportin, with HMOX1. Ferroportin acts as a receptor for hepcidin (HAMP). (25) Hepcidin production is increased during the inflammatory response with increased binding of hepcidin to ferroportin leading to the internalization and degradation of ferroportin. This mechanism consequently suppresses enteral iron absorption and cellular iron release, whereas a decrease in hepcidin promotes iron uptake. Our results in fetal microglia are concordant with these published data in non-brain cells. Indeed, in naïve microglia (NL) compared to baseline (NC), HAMP was up regulated during inflammation and SLC40A1 was down regulated. This expression pattern was reversed in $\alpha 7$ nAChR agonist-treated (NA) compared to antagonist-treated microglia (NB). Our results suggest that in microglia, during inflammation, iron uptake is not only regulated by hepcidin binding to ferroportin but also by the level of transcription of ferroportin under cholinergic control.

Further studies are needed to clarify the role of hepcidin and heme-oxygenase during neuroinflammation and in memory of neuroinflammation. Such studies will help elucidate the mechanism underlying memory of neuroinflammation acquired *in utero* and the neurodevelopmental sequelae.

Evidence is emerging that iron overload is intricately involved in cognitive dysfunction with microglia priming or activation playing a key role in this process. (26-28) Excess intracellular iron may result from postoperative inflammation mediated by hepcidin or age-related iron accumulation under conditions of chronic inflammation. While most work in this area has been done in cultures or rat animal models, to our knowledge, this is the first report of inflammation-triggered changes in iron homeostasis in a larger mammalian brain with high resemblance to human physiology and in patterns of response to injury. We believe the current report is also the first observation of the putative link between cholinergic signaling in fetal microglia and the inflammatory milieu. Remarkably, iron homeostasis genes turn out to be key in determining the phenotype of the double-hit microglia. In light of the known role of iron in cognitive function (and dysfunction), our results raise the possibility that early disturbances in iron metabolism may have profound consequences in fetal and postnatal brain development. Our findings also suggest a possible therapeutic venue to modulate intracellular iron load via the $\alpha 7$ nAChR.

Impact of $\alpha 7$ nAChR signaling manipulation on complement signaling pathway: putative implications for early programming of susceptibility to Alzheimer's disease

Cognitive dysfunction may result not only from iron overload, but also from derangements in the neuronal-glial complement pathway interactions. Hyperactive microglia may prevent physiological synaptogenesis predisposing to Alzheimer's disease (AD) later in life. (29),(30) The mediating pathway involves microglial-neuronal complement signaling suggesting that microglia could be potential early therapeutic targets in AD prevention or treatment and in other neurodegenerative diseases. The

complement genes C1Q and CR3 (also known as CD11B) were involved in the microglia-mediated synaptic loss in a mouse model of AD.(29) Less is known about the function of the complement receptor 2 (CR2, also known as CD21) in neuroinflammation, especially in microglia. One study reports CR2^{-/-} mice to be more prone to neuronal injury with higher levels of astrogliosis following nerve root cord injury. This would suggest a neuroprotective role for CR2(31). Another study reports CR2^{-/-} mice subjected to traumatic brain injury to exhibit less astrogliosis and less microglial activation which would suggest the opposite role for CR2. (32) In mice, CR1 and CR2 are coded on the same gene and expressed as splice variants. In sheep, however, and other higher mammals these complement genes are coded separately. Hence, more studies are needed to gauge the functional role of CR2 in neuroinflammation, microglia in particular, especially with respect to human neurodegenerative diseases such as AD. Consequently, we conducted a secondary analysis of DEG in SHB compared to NB for CR2, C1Q chain A and B (C1QA, C1AB, respectively) and Complement component 3A Receptor 1 (C3AR1) as the best equivalent of CR3 in the annotated genome (Table 4). C3AR1 was the only gene showing a clear opposite pattern between agonistic and antagonistic $\alpha 7$ nAChR treated microglia. Specifically, we found that agonistic stimulation of $\alpha 7$ nAChR up regulated C3AR1 compared to antagonistic stimulation. We believe these findings deserve further study because pro-cholinergic drugs are used to treat AD symptoms, but the consequences of cholinergic stimulation on microglial signaling are not well understood. Our results suggest that blocking rather than enhancing $\alpha 7$ nAChR signaling in microglia may be beneficial to help slow down synaptic degradation.

Mechanistic model of interactions between iron homeostasis and $\alpha 7$ nAChR signaling pathways in microglia

Based on our findings and the supporting literature, we propose a model of interactions between iron homeostasis and $\alpha 7$ nAChR signaling in microglia (Fig. 7). The model highlights in red three exogenous factors that may be driving the microglial phenotype, some more intuitive (inflammation) than others (iron and stress). We briefly outline below the mechanistic connections to the “non-intuitive” factors and refer the interested reader to the cited references for details.

Iron deficiency is the most common form of nutrient deficiency worldwide. According to the World Health Organization, it affects nearly 2 billion people and up to 50% of women who are pregnant.(33) At birth, 25-85% of premature babies are iron deficient and all will become iron deficient after birth, if not adequately supplemented, especially in developing countries.(34) Iron is essential for neonatal and long-term cognitive and physical development.(35),(36) Fetal or early postnatal inflammation may result in hepcidin-mediated intracellular microglial iron sequestration which may polarize microglia toward an inflammatory phenotype. We suggest a yet to be validated signaling pathway between the $\alpha 7$ nAChR signaling cascade and the hepcidin-ferroportin steady-state. Such pathway may counteract to a degree hepcidin’s inhibitory effect on iron release due to the ferroportin internalization and degradation. We propose that such pathway is bidirectional with modulatory effects of hepcidin-ferroportin signaling on $\alpha 7$ nAChR signaling. The bidirectional aspect is supported by our surprising observation of the IL-1 β secretion profile in the SHA group. *In utero* inflammation may reprogram microglial iron homeostasis toward iron sequestration which in turn diminishes the anti-inflammatory effect of the $\alpha 7$ nAChR signaling. At second hit, the resulting net effect on the expression of pro-inflammatory cytokines controlled by NF- κ B via the putative $\alpha 7$ nAChR - ferroportin – hepcidin signaling network becomes pro-inflammatory which would explain the surprising switch of the IL-1 β secretion profile in the SHA group compared to NA group. This is further supported by the finding that only accounting for *in utero* inflammation (first hit) revealed the SHB effect.

The second major factor is the actual availability of $\alpha 7$ nAChR agonists to drive this pathway. One obvious candidate is the afferent cholinergic anti-inflammatory pathway via the vagus nerve that is comprised to ~80% of afferent fibers and has wide-ranging projections in the brain.(37),(22),(38),(39) Another very

well researched knob controlling ACh availability in the brain, independent of the vagal cholinergic signaling pathway, is the acetylcholinesterase (AChE). AChE is a key enzyme that regulates the ACh levels.(40) ACh binds to immune system cells such as microglia in the brain and macrophages in the periphery and decreases their propensity to respond to inflammatory stimuli during healthy (homeostatic) and infectious states (*e.g.*, bacterial sepsis).(41),(42) Under stress, elevated cortisol levels alter AChE gene expression to induce over-production of AChE and replace the major stable AChE splice variant AChE-S by the less stable AChE-R variant.(43, 44) Increased levels of AChE-R have been shown to result in chronic inflammation that ultimately impedes the body's ability to defend itself against acute infections.(45, 46) AChE-S and -R ratios may influence ACh availability for the microglial $\alpha 7$ nAChR signaling. The dependence on stress is particularly intriguing and relevant for neurodevelopment in the context of fetal microglial physiology, because stress during pregnancy is a very common phenomenon with estimates ranging from conservative 10% to as high as 50% of all pregnant women to report at least one major stress event during pregnancy.(47),(48, 49)

Methodological considerations

Aside from the lack of biological replicates for SHL microglia, we conducted differential analyses in all other samples. The lack of SHA replicates for sequencing prevented us from studying directly the effect of agonistic drugs on SHL microglia. Thus, further study is needed on the transcriptomic level in $\alpha 7$ nAChR agonistic stimulated SHL microglia.

METHODS

In vitro microglia culture protocol

Fetal sheep brain tissues were obtained during sheep autopsy after completion of the experiment for *in vitro* study. The non-instrumented, untreated twins were designated “naïve” (no LPS exposure *in vivo*). Instrumented animals that received LPS *in vivo* were used for 2nd hit LPS exposure *in vitro*. Fetal sheep microglia culture protocol was adapted from an established human adult and fetal microglia culture protocol that was modified to include a myelin removal step following high-speed centrifugation.(50) Briefly, fetal sheep cells were plated on poly-L-lysine (PLL)-coated tissue culture flasks at a concentration of 2×10^6 cells /ml in DMEM with 5% heat-inactivated fetal bovine serum (Gibco, Canada Origin), 1% penicillin/ streptomycin, and 1% glutamine (5% DMEM), in which microglia are preferable to grow.(50) Cells were allowed to incubate for seven days at 37°C, 5% CO₂, followed by media change by centrifugation and addition of re-suspended cells back to the culture flask. Cells were continued to incubate for seven more days with 5% DMEM at 37°C, 5% CO₂, before floating cells were collected. Carefully collecting the floating microglia to avoid contamination with astrocytes and oligodendrocytes, the cells were incubated in 24-well plate at 1×10^5 cells/mL with 5% DMEM for another 4-5 days, and then treated with or w/o LPS (100ng/ml, Sigma L5024, from E coli O127, B8)) for 6h. Cell conditioned media were collected for cytokine analysis, 0.5ml TriZol were added per well for RNA extraction. To verify microglia purity, a portion of floating cells were cultured in 24-well plate at above conditions for flow cytometry analysis (see below), cell morphology was documented with light microscopy. Another portion of floating cells were plated into Lab-Tek 8 well chamber glass slide (Thermo Scientific) and treated with or w/o LPS for immunocytochemistry analysis, in this experiment, some wells of astrocytes cultured at DMEM with 10% FCS were included for comparison.

Cell culture

Microglia cells isolation and culture were described in detail elsewhere.(18) Briefly, prior to exposure to LPS, cells were pre-treated for 1 hour with either 10nM AR-R17779 hydrochloride (Tocris Bioscience Cat# 3964), a selective $\alpha 7$ nAChR agonist, or 100nM α -Bungarotoxin (Tocris Bioscience Cat# 2133), a selective $\alpha 7$ nAChR antagonist. Optimal dose of AR-R17779 (A) or α -Bungarotoxin (B) was chosen based on a dose-response experiment with LPS; AR-R17779 hydrochloride was dissolved in DMSO into a stock solution. We then made serial dilutions, whereas α -Bungarotoxin was reconstituted with culture media into a stock solution and underwent serial dilutions. AR-R17779 and α -Bungarotoxin preparations were added well by well; the same volume of vehicle (either DMSO or cell culture media) was added in control wells. Therefore, in a complete cell culture experiment, we had four experimental groups: Control, LPS, LPS+B and LPS +A. Second hit cell cultures were designed with the same pattern and divided into four experimental groups: Control (SHC), LPS (SHL), LPS+B (SHB) and LPS+A (SHA).

Measurements of inflammatory responses

Measurement of cytokines in plasma and cell culture media

Cytokine concentrations in cell culture media (IL-1 β) were determined by using an ovine-specific sandwich ELISA. Briefly, 96-well plates (Nunc Maxisorp, high capacity microtitre wells) were pre-coated with the capture antibody, the mouse anti sheep monoclonal antibodies (IL-1 β , MCA1658, Bio Rad AbD Serotec) at a concentration 4 μ g/ml on ELISA plate at 4°C for overnight, after 3 times wash with washing buffer (0.05% Tween 20 in PBS, PBST), plates were then blocked for 1h with 1% BSA in PBST for plasma samples or 10% FBS for cell culture media. Recombinant sheep proteins (IL-1 β , Protein Express Cat. no 968-405) were used as ELISA standard. All standards and samples (50 μ l per well) were run in duplicate. Rabbit anti sheep polyclonal antibodies (detection antibody IL-1 β , AHP423, Bio Rad AbD Serotec) at a concentration of 4 μ g/ml were applied in wells and incubated for 30min at room

temperature. Plates were washed with washing buffer for 5-7 times between each step. Detection was accomplished by assessing the conjugated enzyme activity (goat anti-rabbit IgG-HRP, dilution 1:5000, Jackson ImmunoResearch, Cat. No 111-035-144) via incubation with TMB substrate solution (BD OptEIA TMB substrate Reagent Set, BD Biosciences Cat. No 555214), colour development reaction was stopped with 2N sulphuric acid. Plates were read on ELISA plate reader at 450nm, with 570nm wavelength correction (EnVision 2104 Multilabel Reader, Perkin Elmer). The sensitivity of IL-1 β ELISA for media was 41.3 pg/ml. For all assays, the intra-assay and inter-assay coefficients of variance was <5% and <10%, respectively.

RNAseq approach

The overall experimental design was divided into three phases: sequencing, quantification and discovery (Figure 1A). RNA extraction and RNA quantification: Total RNA was extracted from cultured microglia using TRIzol Reagent (Life Technologies), to obtain enough amount RNA, same treatment cells maybe pooled in same replicates. RNA quantity and quality (RNA integrity number, RIN) was established by using a RNA Nano Chips (Agilent RNA 6000 Nano Chips) with Agilent 2100 BioAnalyzer. All samples had acceptable RIN value ranging from 6 to 8.5 A total of 12 naïve microglia cultures from four sets of replicates were selected for RNA sequencing at high throughput, as well as three second hit microglia cultures, including SHC, SHL and antagonist-exposed microglia (SHB). Second hit microglia further exposed to agonistic drugs (SHA) were not sequenced due to low RIN. This is left for future studies.

RNAseq libraries were prepared by using Illumina TruSeq RNA Sample Preparation v2 kit (Illumina) and quality control was performed on the BioAnalyzer. Single-end 50-bp sequencing was performed at high throughput on an Illumina HiSeq2500 at the CHU Ste-Justine Core Facility Sequencing Platform.

RNAseq data analysis

Reads alignment to the reference genome. To maximize the number of genes covered, raw data were mapped to the reference genome of the sheep *Ovis aris* v3.1 from NCBI and Ensembl (GCA_000298735.1) as transcriptome reference. Index of the reference fasta file were built with Bowtie2(51), we then trimmed the adaptor of the fastQ files with TrimGalore, and mapped reads to the reference with Tophat2.(52) From the aligned reads from Tophat2, the number of reads per gene were counted with HTseq and assembled into a matrix containing the read count of each gene per sample.(53)

Normalization and transcriptome analysis. In order to find differentially expressed genes we used DESeq2 to normalize the dataset, generate Log₂-fold changes and adjacent P values (padj).(54) We performed 6 differential analyses of microglial transcriptome (Table 1). After stimulation through α 7nAChR with agonistic and antagonistic drugs, we aimed to measure changes at the transcriptome level. Thus, we eliminated the background carried by exposure to LPS by comparing directly NA read count variation to NB. Due to the lack of replicates in our second-hit experiment, we were unable to perform thorough differential analysis of second hit microglial cells and leave the second hit transcriptome analysis for future studies. A gene was considered differentially expressed if its adjacent p-value was strictly lower than 0.1. Pools of up and down regulated genes and differentially expressed genes were clustered and visualized into heat maps, generated in R using the log₂ normalized counts and the heatmap.2 method of the gplots library.(55)

Gene selection and Gene Ontology (GO). The sheep genome is not yet supported by most gene ontology platforms; therefore, downstream analyses were performed with orthologs in the human genome *Homo sapiens*. To select relevant genes among up regulated and down regulated genes, we performed gene enrichment analysis for biological process and molecular function with ToppGenes and FDR < 0.05.(56, 57) Bar diagram of significant GO terms ($P < 10^{-3}$) was presented on a -Log (P) scale. Protein-protein interaction networks were generated with the STRING database and disconnected nodes were not

represented.(58) Gene Ontology was also performed in parallel with PantherDB and only biological processes were presented in pie charts.(59)

STATISTICS

Generalized estimating equations (GEE) modeling approach was used to assess the effects of LPS and drug treatments. We used a linear scale response model with LPS/drug treatment group and presence or absence of second hit exposure as predicting factors to assess their interactions using maximum likelihood estimate and Type III analysis with Wald Chi-square statistics. SPSS Version 21 was used for these analyses (IBM SPSS Statistics, IBM Corporation, Armonk, NY). Significance was assumed for $p < 0.05$. Results are provided as means $\pm$ SEM or as median {25-75} percentile, as appropriate. Not all measurements were obtained for each animal studied.

Study approval

This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The respective *in vivo* and *in vitro* protocols were approved by the Committee on the Ethics of Animal Experiments of the Université de Montréal (Permit Number: 10-Rech-1560).

AUTHOR CONTRIBUTIONS

CSM, PB, GF, AD, JPA and MGF are responsible for the conception and design.

MCo, HLL, CSM, LDD, PB, GF, and AD acquired data.

MCo, MCo, HLL, CSM, LBB, JPA and MGF did the analysis and interpretation of data.

MCo, MCo and MGF drafted the manuscript.

MCo, MCo and MGF are responsible for revising it for intellectual content.

MCo, MCo, HLL, CSM, LDD, PB, GF, AD, LBB, JPA and MGF gave final approval of the completed manuscript.

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Figure legends

Figure 1. Experimental design of modulation through $\alpha 7$ nAChR signaling in a double-hit fetal sheep model. **A.** *In vivo*, *in vitro* and RNAseq experiments are illustrated. *In vivo* study, Control (saline); *in vitro* study, cultured cells derived from *in vivo* Control animal, named as Naïve, there are 8 experimental groups: naïve Control (NC), naïve LPS (NL), naïve exposed to α -Bungarotoxin (NB), naïve exposed to AR-R17779 (NA), and each respective second-hit groups (SH). For RNAseq data comparisons, the group SHA was excluded. **B.** Box plot graph of cytokine IL-1 β response obtained for each group of replicates. * represents an outlier outside 95th percentile. GEE model results are presented in text and no significance marks are provided in the figure. Briefly, we found significant main and interaction term effects ($p < 0.05$) for LPS and drug treatment and the contribution of *in vivo* LPS exposure, i.e., SH effect on IL-1 β secretion profile.

Figure 2. Stimulation of $\alpha 7$ nAChR enhances the anti-inflammatory properties of fetal microglia. Differential analysis of the transcriptome of microglia pre-treated with the $\alpha 7$ nAChR antagonist α -Bungarotoxin (NB) and the $\alpha 7$ nAChR agonist AR-R17779 (NA) compared to controls (NC) and compared to the *in vitro* LPS-treated microglia whose $\alpha 7$ nAChR activity was not modulated (NL). The Venn diagrams represent the number of unique genes for each group, and in the middle, the number of common genes. Selected genes of interest are written on the right side of each heat map. **A.** Microglia treated with the $\alpha 7$ nAChR antagonist α -Bungarotoxin recruited more genes involved in the inflammatory pathway. **B.** α -Bungarotoxin also increased the response in DE down regulated genes. **C.** NL and NA microglia revealed two DE up regulated histone genes.

Figure 3. Differentially expressed genes (DEG, $p_{adj} < 0.1$) in agonist (NA) compared to antagonist-preincubated LPS-exposed naïve microglia (NB). **A.** Heat map representation of differentially expressed down regulated genes and gene ontology pie chart. **B.** Heat map of up regulated genes in NA, compared to NB. Gene Ontology of each set of DEGs was presented as a pie chart at the bottom of each corresponding heat map. Note that “Immune system” and “Metabolic process” are both strongly down and up regulated, possibly referring to different functions being turned off while others are turned on under the influence of cholinergic signaling through $\alpha 7$ nAChR.

Figure. 4. Gene ontology analysis with ToppGene of selected DEGs in agonist (NA) compared to antagonist-preincubated LPS-exposed naïve microglia (NB). Bar graph of 138 DEG down regulated. Each selected GO term ($P < 10^{-3}$) was represented on a $-\log(P)$ scale.

Figure 5. Stimulation with α -Bungarotoxin shows a unique transcriptome profile in microglia. **A.** Uniquely DEG in SHB ($p_{adj} < 0.01$, $\log_2 > |2|$). Each set of the common DEGs is written at the bottom of the Venn diagram. **B.** Pathways revealed by Gene Ontology of uniquely differentially expressed down regulated genes in SHB; pathways are represented with their $-\log(P)$.

Figure 6. Genetic expression variation of SLC40A1 and HAMP through $\alpha 7$ nAChR signaling. Each differential analysis is noted on the x-axis. The gene SLC40A1 is coding for the protein Ferroportin-1, a transmembrane protein, transporter of iron molecules into the bloodstream. Ferroportin-1 and HAMP have both an opposite pattern in agonist-treated microglia compared to naïve LPS-exposed microglia.

Figure 7. A model of interactions between iron homeostasis and $\alpha 7$ nAChR signaling in microglia. Highlighted in red are the three exogenous factors that may be driving the microglial phenotype: inflammation, iron and stress. The former two stimulate hepcidin which in turn acts on ferroportin to be internalized and degraded. This reduces extracellular iron (sensed as Fe-TF, heme transferrin by TFR2,

550 transferrin receptor 2 [shown here simplified as the representative iron sensor receptor] and increases the
 551 intracellular iron, a process referred to as iron sequestration. We propose that ferroportin's membrane
 552 localization appears to also be controlled by the $\alpha 7$ nAChR signaling (blue arrow). $\alpha 7$ nAChR signaling
 553 depends on the acetyl choline (ACh) availability. The latter depends on the afferent vagus nerve
 554 cholinergic signaling in the brain via a distributed network as well as the non-vagal sources of ACh
 555 controlled by ACh esterase (AChE) activity and the availability of dietary choline. Remarkably, a large
 556 body of research has shown that AChE activity depends on chronic stress levels, a factor highly relevant in
 557 fetal microglia context in particular, because stress is very common in pregnancy. Stress results in shifts of
 558 the post-translational modification of AChE from AChE-S splice variant (healthy) to the less stable
 559 AChE-R variant.
 560

Table 1. Differential analysis summary in naïve and second hit microglia after modulation of $\alpha 7$ nAChR signaling. Differential analysis of count data was done with the DESeq2 package. Differential expressed genes were selected for $\text{padj} < 0.1$. Up regulation and down regulation represent positive and negative Log2 fold changes, respectively.

Table 2. Genetic expression of HMOX1 and FBP after exposure to LPS and signaling through $\alpha 7$ nAChR. Confirming our previous findings and the notion of the pro-inflammatory effect of blocking signaling through $\alpha 7$ nAChR, we found HMOX1 to be progressively stronger down regulated and FBP up regulated due to a double-hit LPS exposure and subsequent pre-treatment with the receptor antagonist (SHB).

Table 3. Impact of $\alpha 7$ nAChR signaling on memory of inflammation. Differential analysis of HDACs and HATs genes, DEG are indicated with a **bold** font ($\text{padj} < 0.1$). In our previous report, we highlighted the potential role of HDAC1, 2 and 6 in memory of inflammation in fetal microglia.

Table 4. $\alpha 7$ nAChR signaling modulates the patterns of the complement C1Q-C3AR1 network activity implicated into microglial-neuronal interactions and pruning. Antagonistic stimulation of the microglial $\alpha 7$ nAChR, but not the agonistic stimulation, down regulates both C1Q and C3AR1 expressions while up regulating C2. This is important to study further because pro-cholinergic drugs are used to treat AD symptoms, but it seems that at least in microglial $\alpha 7$ nAChR signaling the opposite effect, blocking the cholinergic signaling, may be beneficial to help slow down synaptic degradation.

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713

FIGURES

Fetal microglia $\alpha 7$ nAChR signalling

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Figure 1.

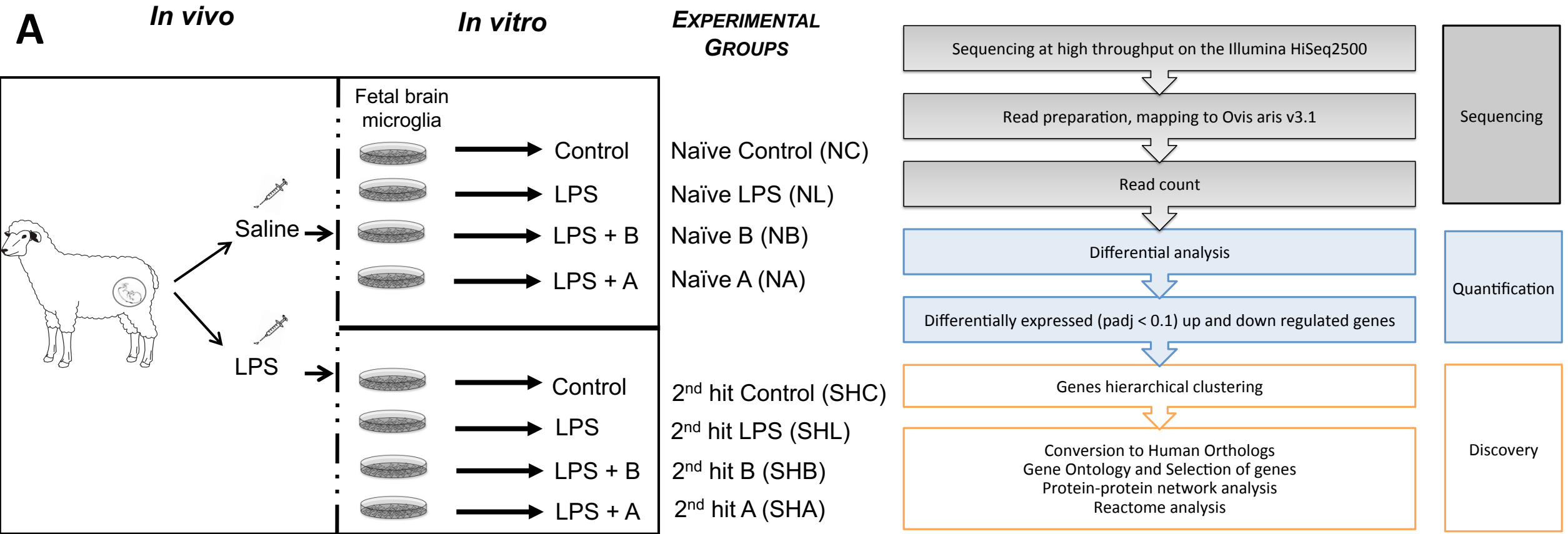


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Figure 1.

B

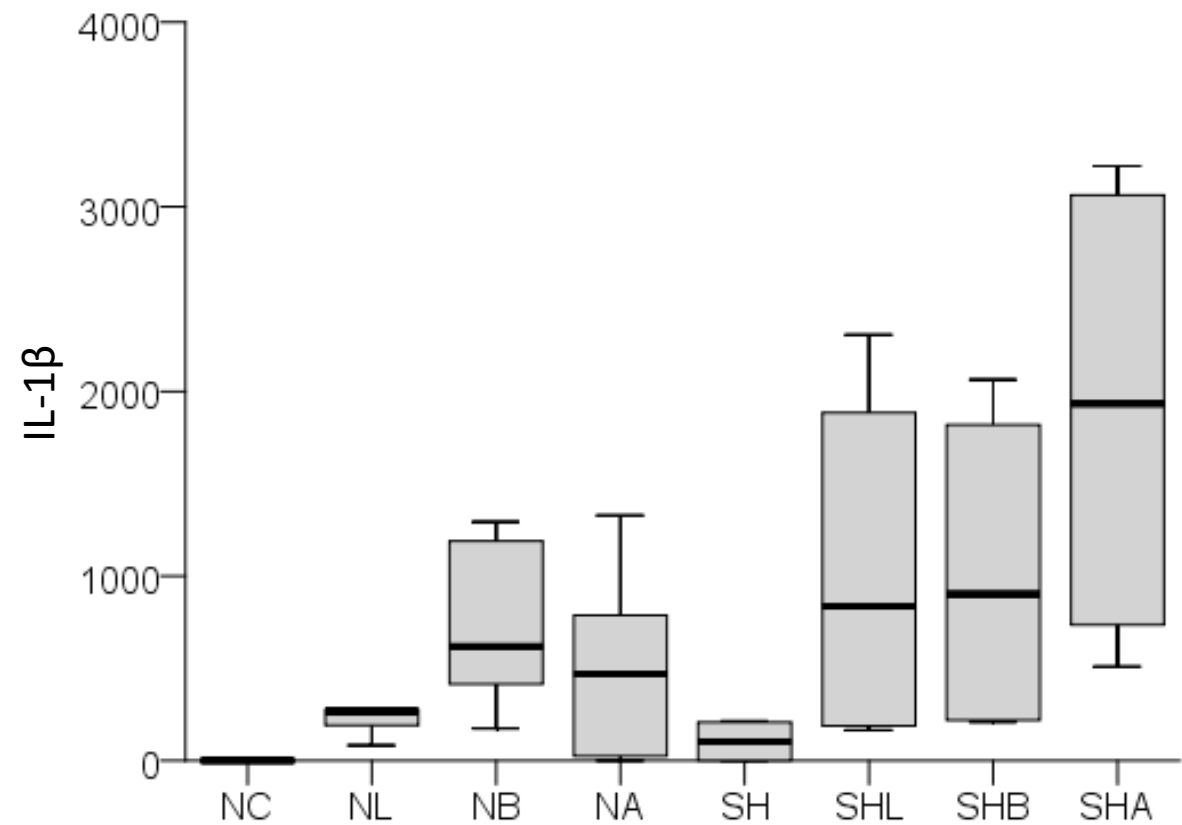


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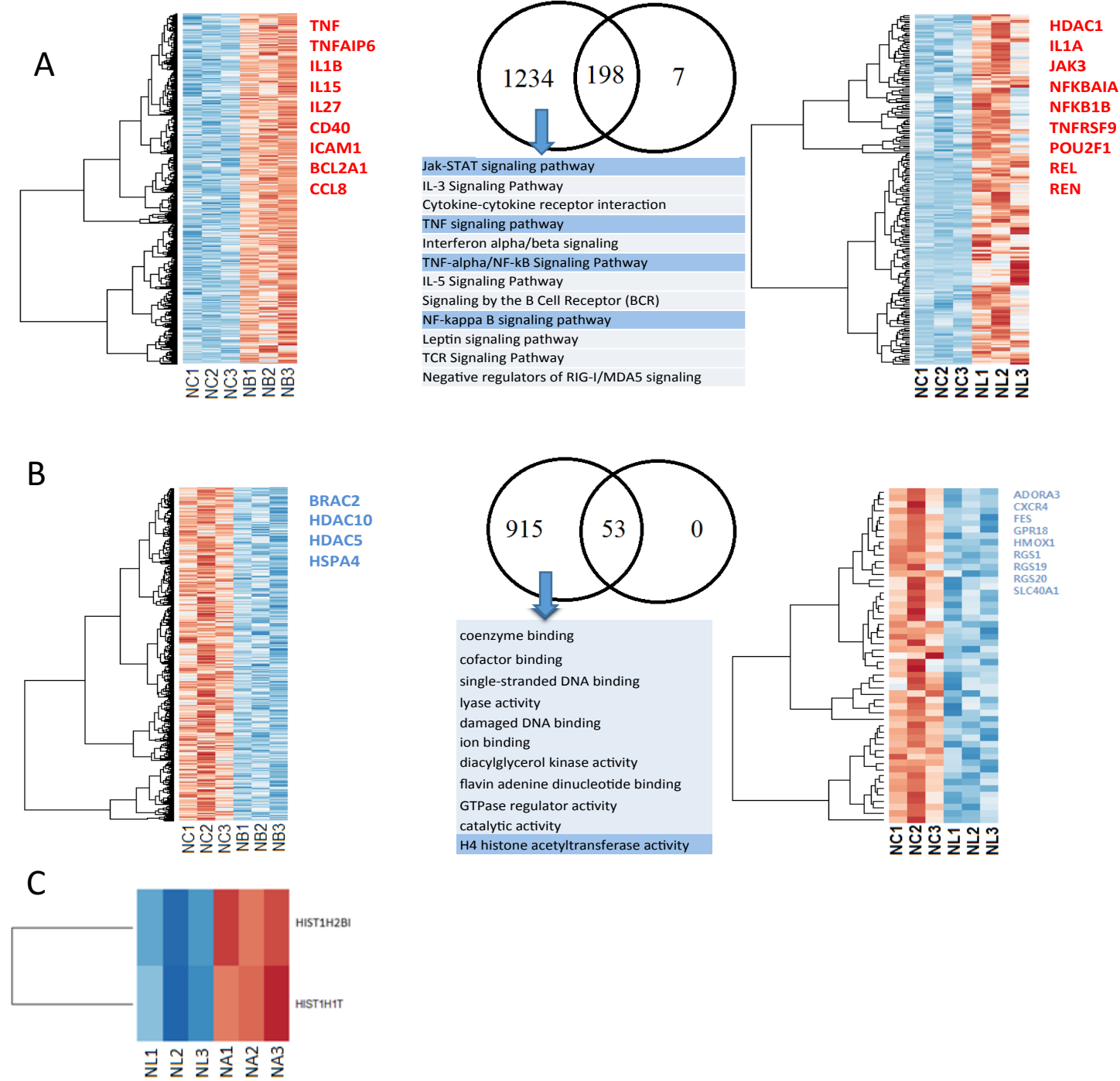


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Figure 3.

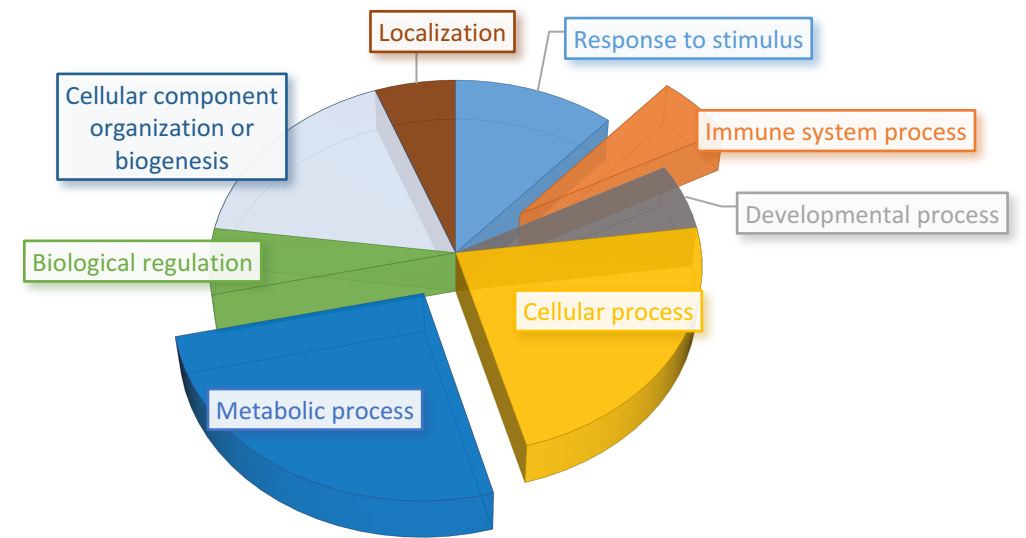
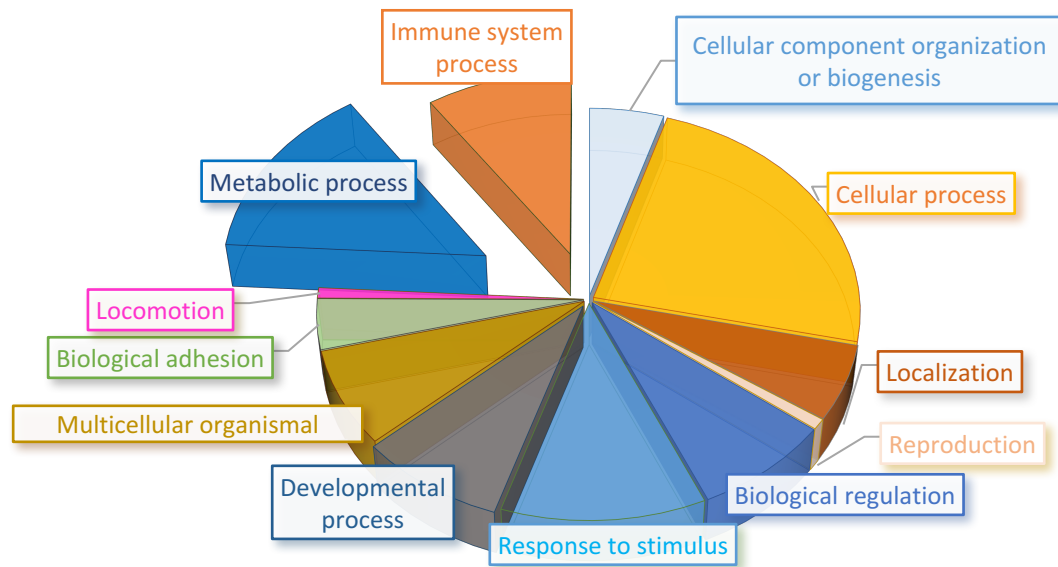
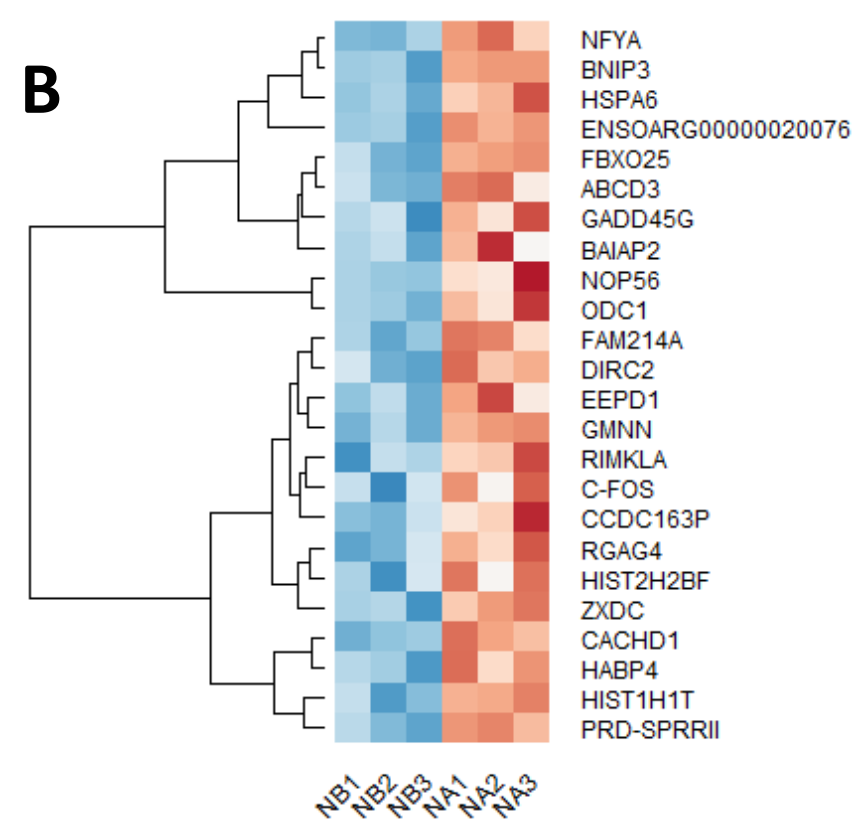
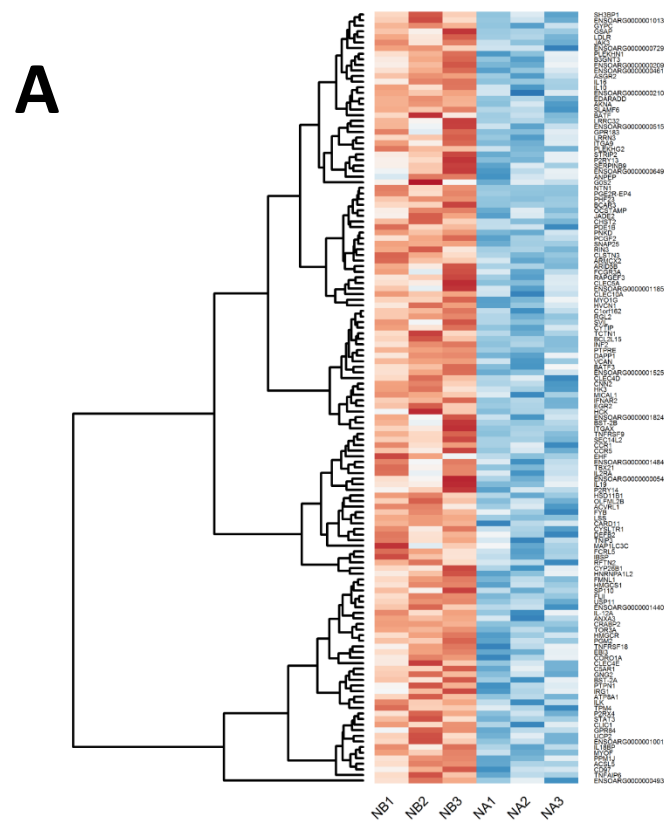


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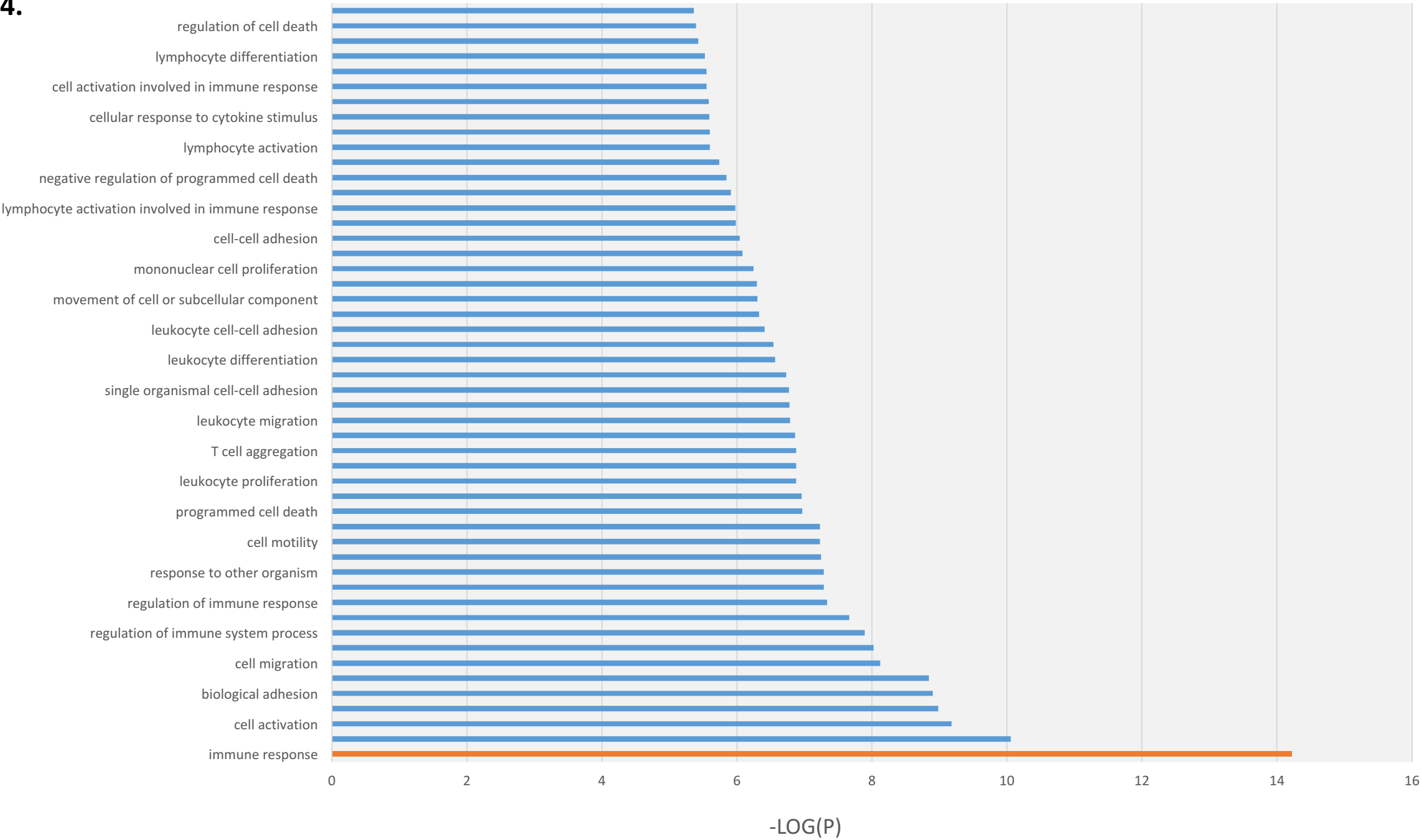


Figure. 4. Gene ontology analysis with ToppGene of selected DEGs in agonist (NA) compared to antagonist-preincubated LPS-exposed naïve microglia (NB). Bar graph of 138 DEG down regulated. Each selected GO term ($P < 10^{-3}$) was represented on a $-\text{Log}(P)$ scale.

Figure 5A.

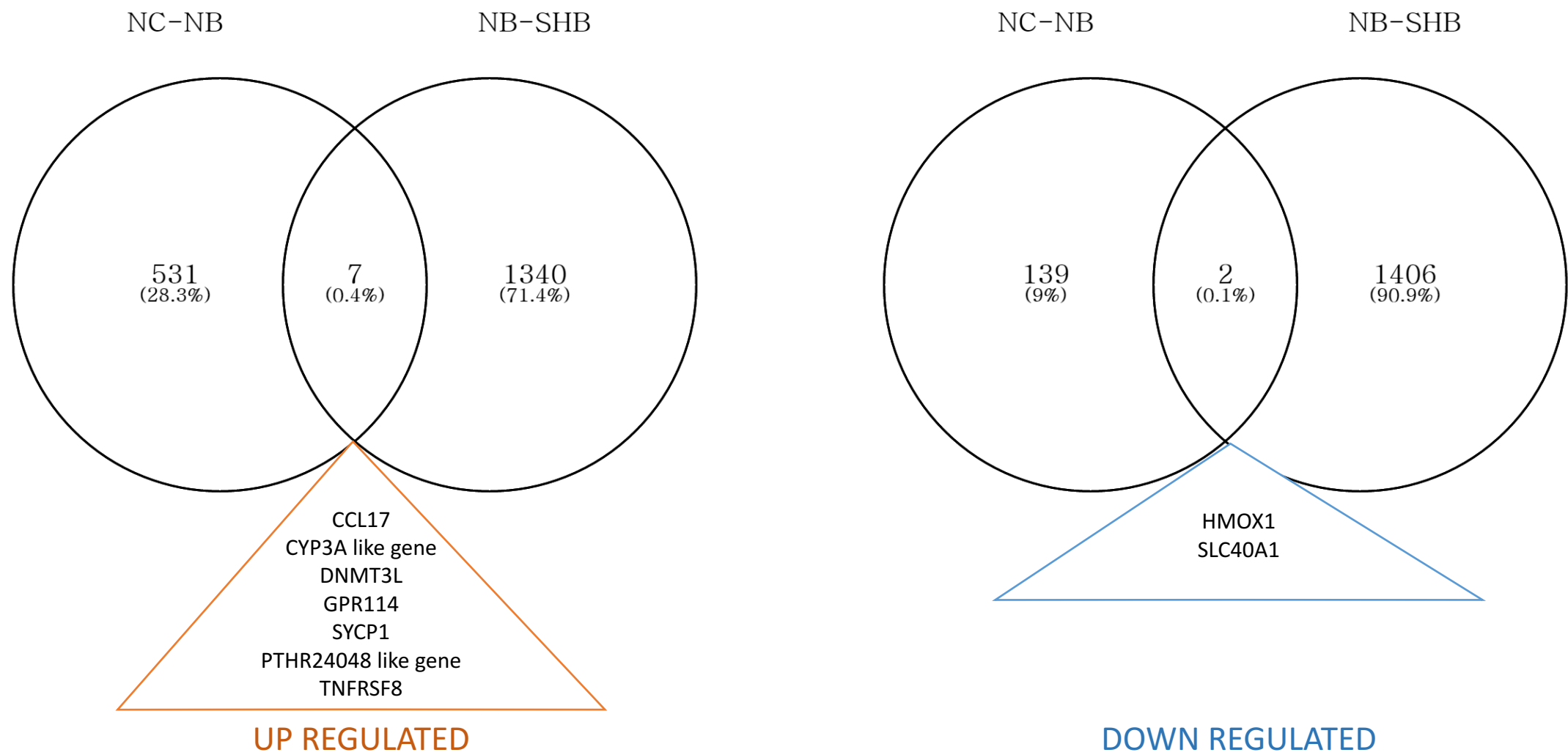


Figure 5. Stimulation with α -Bungarotoxin shows a unique transcriptome profile in microglia. A. Uniquely DEG in SHB ($p_{adj} < 0.01$, $\text{Log}_2 > |2|$). Each set of the common DEGs is written at the bottom of the Venn diagram. **B.** Pathways revealed by Gene Ontology of uniquely differentially expressed down regulated genes in SHB; pathways are represented with their $-\text{Log}(P)$.

Figure 5B.

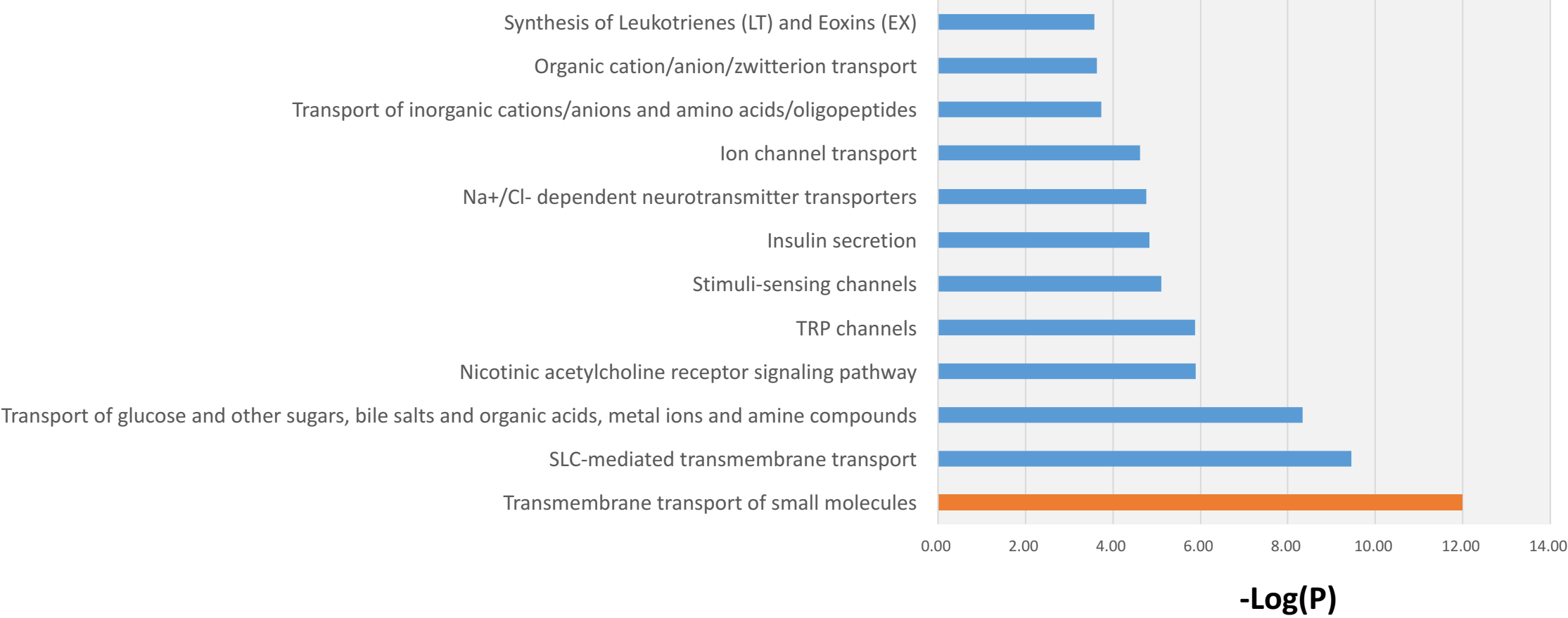


Figure 5B. Pathways revealed by Gene Ontology of uniquely differentially expressed down regulated genes in SHB; pathways are represented with their -Log(P).

Figure 6.

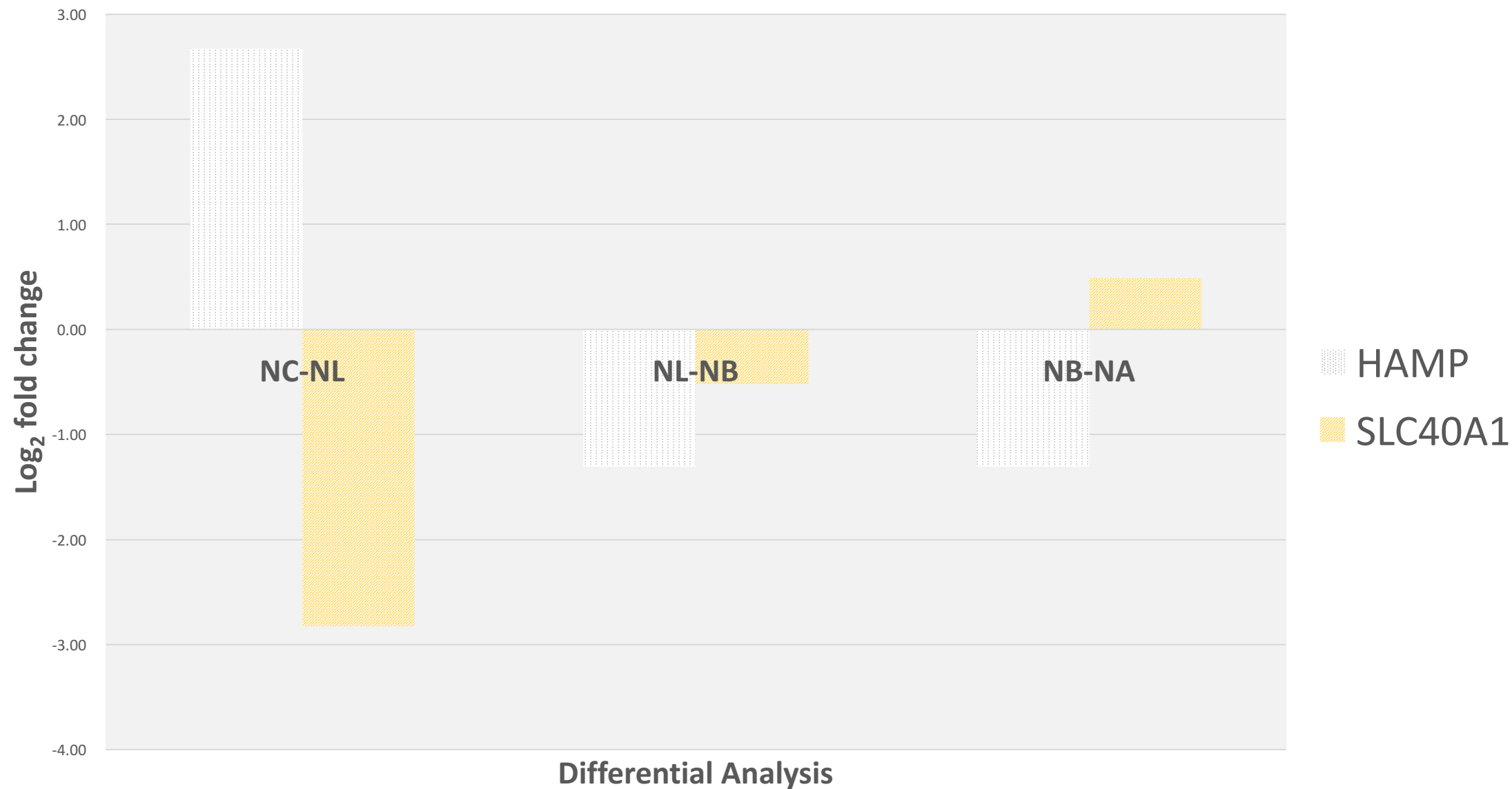


Figure 6. Genetic expression variation of SLC40A1 and HAMP through $\alpha 7$ nAChR signaling. Each differential analysis is noted on the x-axis. The gene SLC40A1 is coding for the protein Ferroportin-1, a transmembrane protein, transporter of iron molecules into the bloodstream. Ferroportin-1 and HAMP have both an opposite pattern in agonist-treated microglia compared to naïve LPS-exposed microglia.

Figure 7.

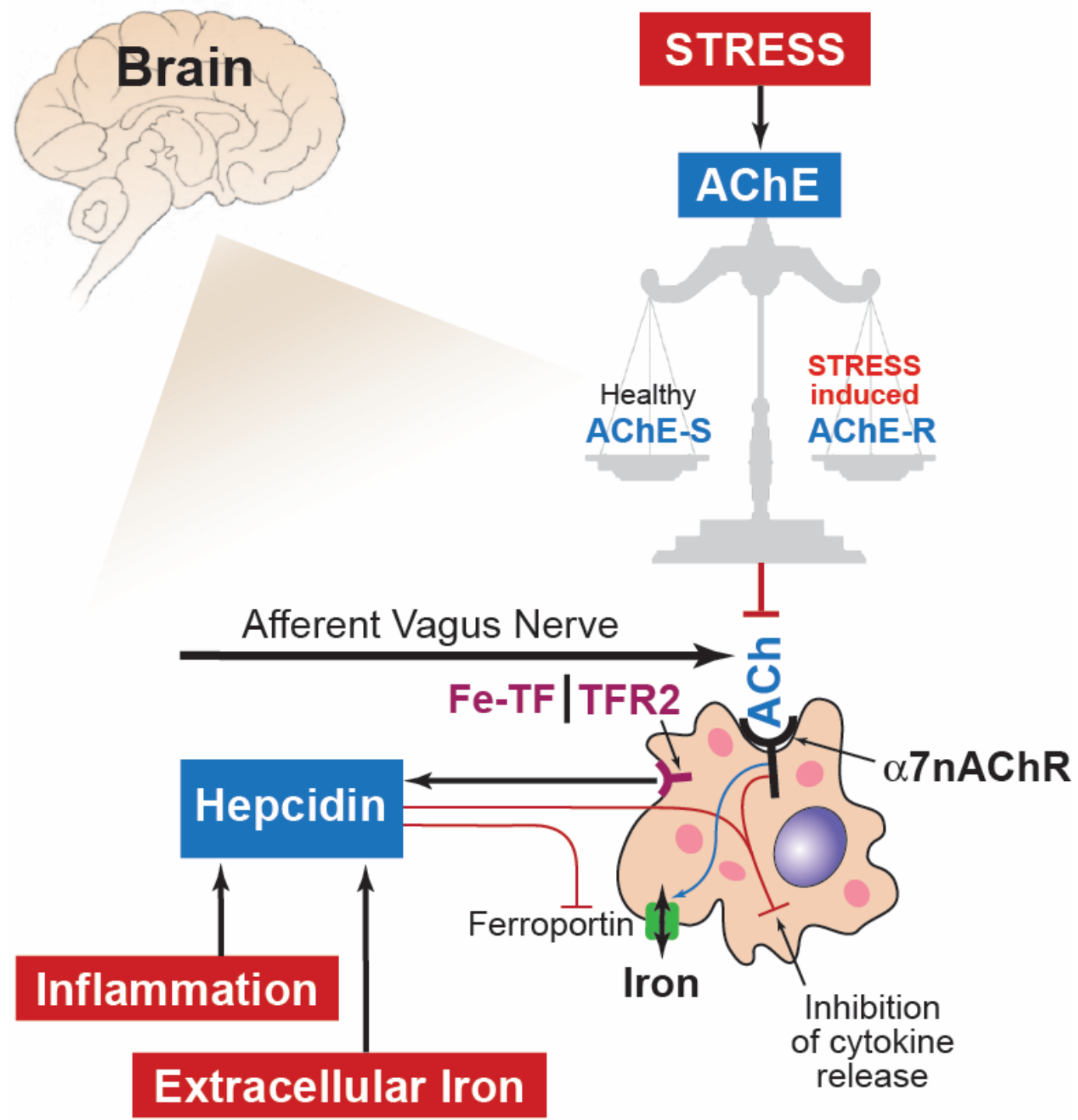


Figure 7. A model of interactions between iron homeostasis and $\alpha 7$ nAChR signaling in microglia. Highlighted in red are the three exogenous factors that may be driving the microglial phenotype: inflammation, iron and stress. The former two stimulate hepcidin which in turn acts on ferroportin to be internalized and degraded. This reduces extracellular iron (sensed as Fe-TF, heme transferrin by TFR2, transferrin receptor 2 [shown here simplified as the representative iron sensor receptor] and increases the intracellular iron, a process referred to as iron sequestration. We propose that ferroportin's membrane localization appears to also be controlled by the $\alpha 7$ nAChR signaling (blue arrow). $\alpha 7$ nAChR signaling depends on the acetyl choline (ACh) availability. The latter depends on the afferent vagus nerve cholinergic signaling in the brain via a distributed network as well as the non-vagal sources of ACh controlled by ACh esterase (AChE) activity and the availability of dietary choline. Remarkably, a large body of research has shown that AChE activity depends on chronic stress levels, a factor highly relevant in fetal microglia context in particular, because stress is very common in pregnancy. Stress results in shifts of the post-translational modification of AChE from AChE-S splice variant (healthy) to the less stable AChE-R variant.

Tables

Table 1.

	NC - NB	NC - SHB	NB - SHB	NC - NA	NL - NA	NB-NA
DE genes*	2,400	7,314	7,340	2,007	2	162
DE* up regulated	1,432	4,351	4,086	1,103	2	24
DE* down regulated	968	2,963	3,254	904	0	138

* padj < 0.1

Table 2.

Differential analysis	HMOX1		FBP	
	Log2	padj	Log2	padj
NL – SHL	-4.30	8.13E-02	4.06	9.40E-02
NB – SHB	-4.78	3.02E-13	3.26	3.23E-05
NC – SHB	-7.07	3.92E-40	3.06	6.86E-04
NB – NA	0.17	1.00E+00	-0.72	8.45E-01

Table 3.

Relevance	Gene	NC-NL	NC-SHC	NL-SHL	NB-NA	NC-NB	NC-NA	NB-SHB
HDAC genes : Potential epigenetic regulators	HDAC1	2.271	0.145	0.676	-0.533	3.006	2.470	-0.690
	HDAC10	-0.242	-0.84	0.116	0.193	-1.109	-0.914	1.140
	HDAC11	-0.214	0.867	0.812	0.040	-1.201	-1.166	2.368
	HDAC2	-0.299	-2.746	-2.423	0.051	0.206	0.256	-2.719
	HDAC3	0.045	-0.692	0.321	-0.160	0.211	0.057	-0.331
	HDAC4	1.292	1.502	-0.691	-0.515	0.761	0.243	0.718
	HDAC5	-0.869	0.333	0.501	0.260	-1.614	-1.355	0.889
	HDAC6	-0.688	-0.126	-0.43	0.129	-0.824	-0.695	0.250
	HDAC7	-0.109	0.643	-0.486	-0.534	-0.156	-0.690	-0.474
	HDAC8	0.336	-0.889	-2.51	-0.150	0.802	0.653	-2.623
	HDAC9	0.732	1.556	-1.816	-0.311	-0.251	-0.565	0.296
Histone Acetyltransferase 1	HAT1	-0.379	-1.639	-0.865	0.564	-0.137	0.431	-1.470

Table 4.

Description	Gene	NC-NL	NB - SHB	NC - NA	NB - NA
Complement C1Q A chain	C1QA	-0.274	-2.379	-0.081	-0.227
Complement C1Q B chain	C1QB	-0.093	-2.657	0.047	-0.293
Complement component 3a receptor 1	C3AR1	1.662	-4.719	1.301	-1.571
Complement receptor type 2	CR2	4.549	5.488	1.425	2.509