

# 1 Engineered reciprocal chromosome translocations drive high threshold, 2 reversible population replacement in *Drosophila*

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17 **Abstract:**

18 Replacement of wild insect populations with transgene-bearing individuals  
19 unable to transmit disease or survive under specific environmental conditions  
20 provides self-perpetuating methods of disease prevention and population  
21 suppression, respectively. Gene drive mechanisms that require the gene drive  
22 element and linked cargo exceed a high threshold frequency to spread are  
23 attractive because they offer several points of control: they bring about local, but  
24 not global population replacement; and transgenes can be eliminated by  
25 reintroducing wildtypes into the population so as to drive the frequency of  
26 transgenes below the threshold required for drive. It has long been recognized  
27 that reciprocal chromosome translocations could, in principle, be used to bring  
28 about high threshold gene drive through a form of underdominance. However,  
29 translocations able to drive population replacement have not been reported,  
30 leaving it unclear if translocation-bearing strains fit enough to mediate gene drive  
31 can easily be generated. Here we use modeling to identify a range of conditions  
32 under which translocations should spread, and the equilibrium frequencies  
33 achieved, given specific introduction frequencies, fitness costs and migration  
34 rates. We also report the creation of engineered translocation-bearing strains of  
35 *Drosophila melanogaster*, generated through targeted chromosomal breakage  
36 and homologous recombination. By several measures translocation-bearing  
37 strains are fit, and drive high threshold, reversible population replacement in  
38 laboratory populations. These observations, together with the generality of the  
39 tools used to generate translocations, suggest that engineered translocations  
40 may be useful for controlled population replacement in many species.

41

42

43 Insects act as vectors for a number of important diseases of humans, animals,  
44 and plants (1). Traditional vector control is often challenging, with the degree of  
45 protection provided being proportional to the effort put into control. In addition,  
46 depending on the environment, specific methods of vector control, such as  
47 environment modification or use of insecticides, may be impractical or have  
48 undesirable side effects. A complementary strategy for disease prevention, first  
49 articulated many decades ago (2), involves using gene drive to bring about  
50 replacement of wild, disease transmitting insect populations with individuals  
51 engineered to be refractory to disease transmission, but still subject to traditional  
52 vector control (reviewed in (3-6)). In a variant of this idea, population replacement  
53 has also been proposed as a method for bringing about disease prevention  
54 and/or a reduction in insect mediated damage through periodic population  
55 suppression (7, 8). This can occur when replacement results in all individuals  
56 carrying genes that cause death or failure to diapause in response to application  
57 of an otherwise benign chemical, or a seasonal change in an environmental  
58 variable such as temperature or humidity. An important appeal of these  
59 strategies is that they are species-specific and potentially self-perpetuating.

60

61 Because transgenes that mediate disease resistance or conditional lethality are  
62 unlikely to confer a fitness benefit to carriers an essential component of most  
63 population replacement strategies (see (9-12) for several non-drive based  
64 replacement strategies) is linkage with a gene drive mechanism that carries  
65 transgenes to high frequency following release. These drive mechanisms must  
66 be strong enough to spread genes to high frequency in wild populations on  
67 human timescales, while also functioning within regulatory frameworks (13-17).  
68 Central to the latter are issues of confinement and reversibility: can the spread of  
69 transgenes to high frequency be limited to locations in which their presence is  
70 sought, and can the population be restored to the pre-transgenic state?

71

72 An important characteristic of any gene drive mechanism that relates to the  
73 above questions is its level of invasiveness: its ability to increase in frequency

74 both at the release site and in surrounding areas linked to the release site by  
75 various levels of migration, when introduced at various population frequencies.  
76 Low threshold gene drive mechanisms require that only a small fraction of  
77 individuals in the population carry the drive element in order for spread to occur  
78 locally (18, 19). Examples include engineered *Medea* chromosomal elements  
79 (20-22), several other possible single locus chromosomal elements (23), site-  
80 specific nucleases that home into their target site (24-29), and site-specific  
81 nucleases that result in sex ratio distortion (30). These mechanisms are  
82 predicted to be invasive because low levels of migration of drive element-bearing  
83 individuals into areas outside the release area may, depending on the threshold  
84 and the migration rate (18, 19, 31), result in these areas being seeded with  
85 enough transgene-bearing individuals for drive to occur. Low threshold, invasive  
86 gene drive mechanisms are attractive when the goal is to spread transgenes  
87 over a large area, and migration rates between the release site and surrounding  
88 areas of interest are low. However, for these same reasons, it is likely to be  
89 challenging to restore the population to the pre-transgenic state if desired. Given  
90 the intense scrutiny with which releases of insects engineered to suppress  
91 population numbers while ultimately disappearing from the population have been  
92 greeted (15-17), gene drive mechanisms that have a limited capacity to spread,  
93 and that can easily be eliminated from the population, thereby restoring the  
94 population to a pre-transgenic state, may be useful in some contexts.

95 High (or higher) threshold gene drive mechanisms require, as their name implies,  
96 that transgenes make up a much larger fraction of the total insect population  
97 (important examples range from 15-70%) before gene drive occurs. Below this  
98 frequency transgenes are instead actively eliminated from the population. In  
99 short, these drive mechanisms behave as a frequency-dependent bistable  
100 switch. High transgene frequencies are needed to initiate drive at the release  
101 site, limiting the possibility that unintended release of a few individuals could  
102 initiate replacement. Once replacement has occurred at the release site, spread  
103 to high frequency in areas connected to the release site by low levels of  
104 migration is prevented because the transgene never reaches the threshold

105 frequency needed for drive. Finally, transgenes can be eliminated from the  
106 population if the release of wildtypes results in the frequency of transgenics being  
107 driven below the threshold required for drive.

108 A number of gene drive mechanisms that could in principle bring about local and  
109 reversible population replacement have been proposed. Examples include a  
110 number of single locus gene drive mechanisms (23, 32, 33), reciprocal  
111 chromosome translocations, inversions and compound chromosomes (34), and  
112 several forms of engineered underdominance (23, 35-39) (40). One of these,  
113  $UD^{MEL}$  (double *Medea*), has recently been shown to drive reversible population  
114 replacement into populations of wildtype *Drosophila* (38). A second system has  
115 been shown to drive high threshold population replacement in *Drosophila* in a  
116 split configuration (40). In each of these systems gene drive occurs when  
117 transgene-bearing chromosomes experience frequency-dependent changes in  
118 fitness with respect to non-transgene-bearing counterparts, with the former  
119 having high fitness at high frequency and lower fitness at low frequency. These  
120 systems all rely, in one way or another, on the phenomena of underdominance,  
121 in which transgene-bearing heterozygotes (or some fraction of them or their  
122 progeny) have a lower fitness than either homozygous wildtypes or homozygous  
123 transgenics (or transgene-bearing trans-heterozygote in some three allele  
124 cases). If the frequency of one allele or pair of alleles or chromosome type is  
125 above a critical threshold it spreads to genotype, and in some cases allele  
126 fixation. Conversely, if it falls below the critical threshold it is lost in favor of the  
127 other allele or chromosome type, usually wildtype. In broad outline, this behavior  
128 occurs because when transgene-bearing individuals are common they mate  
129 mostly with each other, producing transgene-bearing offspring of high fitness  
130 (high survival and/or fecundity), while wildtypes mate mostly with transgene-  
131 bearing individuals, producing a preponderance of heterozygous offspring of low  
132 fitness (inviable and/or with reduced fecundity). However, when the frequency of  
133 wildtypes is high the tables are turned, with transgene-bearing individuals  
134 producing high frequencies of unfit heterozygous progeny, and wildtypes  
135 producing a high frequency of fit homozygous progeny.

136 Here we focus on the use of engineered reciprocal chromosome translocations  
137 as a high threshold gene drive mechanism. Reciprocal chromosome  
138 translocations were the first gene drive mechanism proposed (2). Their structure  
139 and genetic behavior are illustrated in Figure 1A. A reciprocal chromosome  
140 translocation results in the mutual exchange of DNA between two non-  
141 homologous chromosomes (41). Provided that the translocation breakpoints do  
142 not alter the expression and/or function of nearby genes, translocation  
143 heterozygotes and homozygotes can in principle be phenotypically normal. Thus,  
144 phenotypically normal, naturally occurring translocation-bearing individuals are  
145 found in populations of many species (42), including humans (43, 44). However,  
146 translocation heterozygotes are usually semisterile, producing a high frequency  
147 of inviable offspring. This occurs because meiosis in a translocation heterozygote  
148 can generate a variety of different products. Three patterns of segregation are  
149 possible: alternate, adjacent-1 and adjacent-2 (Figure 1A). While alternate  
150 segregation leads to the production of gametes with a full genome complement,  
151 adjacent-1 and adjacent-2 segregation lead to the production of aneuploid  
152 gametes, resulting in the death of progeny that inherit an unbalanced  
153 chromosome set. In many species alternate and adjacent-1 segregation occur  
154 roughly equally, with adjacent-2 segregation being rare (45, 46). In such species  
155 progeny genotypes and survival phenotypes resulting from crosses between  
156 translocation-bearing individuals and wildtypes are as illustrated in the Punnett  
157 square in Figure 1B. Progeny with unbalanced genotypes die, while balanced  
158 translocation heterozygotes, translocation homozygotes, and homozygous  
159 wildtypes survive.

160 In 1940 Serebrovski proposed that the release of homozygous translocation-  
161 bearing males could be used to drive population suppression because many  
162 progeny would be semisterile, thereby driving down population fitness over  
163 multiple generations (47). He, Dobzhansky (48), and later Curtis (2), also noted  
164 that the frequency of translocations lacks a stable internal equilibrium, with either  
165 wildtype or translocation-bearing chromosomes spreading to fixation in an  
166 isolated population through natural selection (differential survival of the relevant

167 chromosome type) if their frequency rose above 50%, for a translocation with no  
168 fitness cost to carriers. Curtis proposed that if a gene beneficial to humans could  
169 be linked to the translocation breakpoint, this behavior of translocations could be  
170 used to spread the gene into the wild population. Whitten subsequently noted  
171 that the same approach could be used to spread a trait conferring conditional  
172 lethality, which could be used to bring about population suppression (7). More  
173 recent modeling work has highlighted the potential of translocations for bringing  
174 about local, but not global population replacement, and the ease of reversal (19).

175 Though it is clear from evolutionary studies that translocations can become fixed  
176 in populations (42), efforts to directly bring about population replacement using  
177 translocations created in the lab have not been successful (34, 49-51). There  
178 may be several reasons for this. First, translocation-bearing individuals  
179 (particularly homozygotes) generated in the past typically had very low fitness,  
180 probably at least in part because they were generated using X-rays, which can  
181 result in a high frequency of background mutations. Second, more recently it has  
182 become clear that chromosome positioning and structure in the nucleus can play  
183 a role in determining large-scale patterns of gene expression, and that  
184 chromosome translocation can result in changes in the patterns of gene  
185 expression (52, 53). These latter observations leave it fundamentally unclear  
186 whether translocation-bearing individuals of high fitness can be easily generated,  
187 even if the breakpoints involved are located in gene deserts. For example, it  
188 could be that phenotypically normal translocation-bearing individuals observed in  
189 nature simply represent the relatively rare cases in which chromosome  
190 rearrangement does not result in fitness being compromised. To explore these  
191 issues, and to determine if translocation-based gene drive can be used to bring  
192 about population replacement, we first use modeling to explore the relationship  
193 between variables such as introduction frequency, fitness cost, and reciprocal  
194 migration with non-target populations containing wildtypes, for the ability of a  
195 translocation to spread, and the equilibrium frequencies achieved in replaced and  
196 surrounding populations. We then describe a general approach to generation and  
197 identification of site-specific reciprocal chromosomal translocations. Finally, we

198 provide the first demonstration that engineered translocations are capable of  
199 bringing about threshold-dependent population replacement, in *Drosophila*  
200 *melanogaster*.

201

202 **Some predicted characteristics of translocation-based gene drive.**

203 Early modeling work by Serebrovskii and Curtis showed that if a translocation  
204 results in no fitness cost to carriers, and is present in a population experiencing  
205 no incoming migration of wildtypes, it will spread to allele fixation when present at  
206 population frequencies greater than 50%, and will be eliminated when present at  
207 lower frequencies (2). Curtis also noted briefly that translocations that resulted in  
208 a fitness cost to carriers could still spread to allele fixation, but the threshold  
209 introduction frequency would be increased (2). Given the past failures to bring  
210 about translocation-mediated population replacement noted above, and the  
211 likelihood that chromosome translocation itself and/or the GOI placed at the  
212 breakpoints will result in some fitness cost to carriers, we sought to understand  
213 more generally how fitness cost affects translocation spread. The time to allele  
214 fixation is particularly relevant for contexts in which the goal is to ultimately bring  
215 about population suppression in response to a seasonal variable such as  
216 temperature or humidity.

217

218 In figure 2A we illustrate the relationship between fitness cost, introduction  
219 frequency and time to translocation allele fixation (approximated as the point at  
220 which >99% of individuals carry at least one translocation copy), for a single  
221 introduction into an isolated population. The plot illustrates several important  
222 points. First, whenever translocations spread, they spread to fixation relatively  
223 quickly, with the time needed being inversely related to the introduction  
224 frequency. Second, translocations that confer large fitness costs to carriers can  
225 also spread rapidly, so long as the introduction frequency is increased. The plot  
226 in Figure 2B illustrates a related case in which the translocation is introduced  
227 over three generations at the specified frequency. It shows that with modest extra  
228 effort rapid drive can be achieved, even for very high fitness costs. While these

229 introduction frequencies represent a large percentage of the wild population, they  
230 are still much lower than those used in self-limiting genetic population  
231 suppression strategies such as SIT and RIDL (54), and unlike SIT and RIDL,  
232 result in sustained changes to the population.

233

234 In real world scenarios other than initial field-testing - in which population  
235 isolation will be essential - there is likely to be some level of reciprocal migration  
236 between the target area (source population 1) and surrounding areas (population  
237 2) containing wildtypes. Marshall and Hay showed that for realistic population  
238 sizes (>1000 individuals), there are no reciprocal migration rates that support  
239 population replacement in a second, wildtype-containing population (population  
240 2) linked to a source population (population 1) in which replacement is initiated.  
241 Due to the high frequency of death among the progeny of translocation-bearing  
242 individuals that mate with wildtype, the frequency of translocation-bearing  
243 individuals in population 2 never rises to a level that supports drive (see also  
244 Figure 3A, C). Instead, when migration rates are high (~6.8%, or lower when the  
245 translocation is associated with a fitness cost), translocations are eliminated from  
246 both populations (19). Here we consider a related question: what effect does  
247 reciprocal migration have on the characteristics of population replacement in the  
248 target population, and the genotypic composition of neighboring populations  
249 linked by migration, in which drive does not occur?

250

251 We consider a specific scenario in which three populations are linked in series:  
252 the target population (population 1) is linked to a second population consisting  
253 initially of wildtypes (population 2) through migration; population 2 is also linked  
254 through migration to a third population consisting initially of wildtypes (population  
255 3), which is not linked directly with population 1. We ask what the equilibrium  
256 frequencies are in each population for different levels of migration? In the case of  
257 a low threshold gene drive mechanism such as *Medea* or homing by a HEG, the  
258 equilibrium frequency in population 1 will approach fixation since these drive  
259 elements spread invasively into surrounding populations connected to the target

260 population by low levels of migration. In contrast, the situation for high threshold  
261 gene drive mechanisms is fundamentally different since wildtypes will, by  
262 definition, always be present in surrounding non-target populations in which  
263 transgene levels sufficient for drive are not achieved. Previous modeling studies  
264 of underdominant systems have noted that the presence of reciprocal migration  
265 can result in internal equilibria containing both wildtype and underdominant alleles  
266 (36, 37) (55). Here we consider the case of reciprocal translocations specifically.  
267

268 Figure 3A illustrates a specific scenario, in which a translocation with no fitness  
269 cost is introduced into population 1 at a frequency of 70%, and is connected to a  
270 similarly sized population 2 by a migration rate of 1%. Population 2 is connected  
271 to a similarly sized population 3 by the same migration rate. The translocation  
272 spreads to high frequency (99%) in population 1, but not to allele or genotype  
273 fixation, since wildtypes are introduced into population 1 each generation.  
274 Translocation-bearing genotypes are also present at modest levels (<5%  
275 (4.954%) in population 2, and <1% (0.08116%) in population 3. Figure 3A also  
276 illustrates an identical scenario in which the migration rate is now 5%. In this  
277 case the translocation equilibrium frequency is <95% (94.55%) in population 1,  
278 <23% (22.58%) in population 2, and ~2% (2.031%) for population 3. The general  
279 relationship between fitness cost, migration rate and equilibrium frequency in  
280 population 1 is illustrated in Figure 3B. The highest level of incoming wildtype  
281 migration that can be tolerated for a translocation with no fitness cost (~6.8% /  
282 generation) results in an equilibrium translocation genotype frequency of ~90% in  
283 population 1. Decreased levels of migration result in correspondingly higher  
284 equilibrium frequencies, which approach fixation as the migration rate falls to  
285 zero (as in Figure 2). Populations 2 (Figure 3C) and 3 (Figure 3D) show the  
286 opposite behavior. As migration rate increases, the fraction of translocation-  
287 bearing individuals increases in population 2, reaching a maximum of ~25% for a  
288 translocation with no fitness cost and migration rate of 6.8%. However, for similar  
289 migration rates the fraction of translocation-bearing individuals in population 3 is

290 dramatically reduced. Increased fitness costs result in a minimal decrease in  
291 equilibrium translocation frequency in all three populations (Figure 3B-D).

292

293 These observations illustrate a fundamental set of tradeoffs associated with high  
294 threshold gene drive. While drive can be spatially limited to a single population,  
295 this comes with a cost: the continuous introduction of wildtypes from neighboring  
296 populations, which keeps the equilibrium frequency of transgene-bearing  
297 individuals below 100%. Depending on the disease system being considered, the  
298 presence of some level of non-transgene-bearing individuals within the target  
299 area may have important epidemiological consequences, as a residual  
300 population of wildtype mosquitoes may be capable of sustaining transmission,  
301 although this remains to be investigated. Population suppression following  
302 activation of condition-dependent lethality may also be challenging in the face of  
303 significant levels of wildtype migration. Finally, the presence of some level of  
304 translocation-bearing individuals outside the target area may have regulatory  
305 implications even if these levels are insufficient for drive. That said, any such  
306 issues are likely to be local since the decrease in frequency of drive element-  
307 bearing individuals in underdominant systems drops off rapidly in a series of  
308 linked populations (Figure 3B-D). Together, these observations suggest that high  
309 threshold gene drive is likely to be most epidemiologically effective and able to  
310 satisfy regulatory requirements relating to the presence and movement of  
311 transgene-bearing organisms within target areas circumscribed by significant  
312 barriers to migration.

313

### 314 **Engineering Reciprocal Translocations in *Drosophila***

315 Cells or organisms carrying translocations with defined breakpoints have recently  
316 been generated using several strategies. One set of approaches begins with two  
317 non-homologous chromosomes that each have a different transgene-bearing  
318 cassette inserted at a specific position. Recombination between the two  
319 chromosomes to generate a translocation is then driven by FLP/FRT  
320 recombination (56), Cre/loxP recombination (57, 58), or homologous

321 recombination following double-stranded break creation within the transgene  
322 cassettes using a site-specific nuclease (58-60). Translocations have also been  
323 generated in completely wildtype backgrounds, following Crispr/Cas9-mediated  
324 cleavage of two otherwise wildtype chromosomes followed by non-homologous  
325 end joining (61-63). In this latter case, PCR-based methods were used to  
326 identify pools of cells or individuals carrying translocations.

327

328 We sought to create translocations using a variant of the approach described by  
329 Egli et al. in which homologous recombination between two chromosomes  
330 follows double-stranded break creation using the rare-cutting site-specific  
331 nuclease I-SceI (58). However, rather than use their approach for identification of  
332 potential translocation bearing individuals, which involves scoring for the loss of  
333 the marker *y*<sup>+</sup> in an otherwise a *y*<sup>-</sup> background, we created a system in which  
334 recombination results in the creation of a dominant marker. This approach can be  
335 used in otherwise wildtype genetic backgrounds, in diverse species.

336

337 Two constructs (A and B) were generated (Figure 4B). Each construct includes  
338 several components. These include (from left to right) a transformation marker  
339 (the *white* gene); a location that could be used as an insertion point of a gene of  
340 interest (GOI); a promoter that drives the expression of a dominant fluorescent  
341 marker, either ubiquitously (the Opie2 viral promoter, (64) or in oenocytes (65); a  
342 splice donor site, and two stretches of DNA used as substrates for homologous  
343 recombination, annotated as UVW and XYZ, each roughly 670bp in length.  
344 These DNA fragments were derived from the mouse IgG locus, and thus lack  
345 homology with the *Drosophila* genome. Two target sites for the rare cutting  
346 homing endonuclease I-SceI were inserted between UVW and XYZ. To the right  
347 of these elements were positioned a splice acceptor, a promoterless reporter  
348 gene (GFP or dsRed), and a phiC31 recombination attB site.

349

350 These constructs were introduced into flies at three separate attP locations:  
351 construct A at 51C on chromosome 2, and construct B at 68E or 70A2 on

352 chromosome 3 (Figure 4A). The attP insertion sites at 51C and 68E lie some  
353 distance from annotated genes, while the 70A2 site lies within a cluster of tRNA  
354 loci. Both constructs were oriented in the same direction with respect to their  
355 centromeres (Figure 4A). The constructs were designed so that flies bearing  
356 construct A, located on the second chromosome, would express the svp-driven  
357 eGFP marker, while construct B, located on the third chromosome, would  
358 express the opiap2-driven dsRED marker (Figure 4B). Transgenics for construct  
359 B behaved as expected, and were dsRED positive throughout their body.  
360 However, transgenics for construct A had no detectable GFP expression. The  
361 basis for this is unclear, but could be due to inappropriate splicing of the XYZ-  
362 UVW sequence in this construct. Regardless, as illustrated below, one marker is  
363 sufficient to identify translocation-bearing individuals.

364

365 To generate translocation-bearing individuals we created stocks doubly  
366 homozygous for constructs A and B (51C; 71A2 or 51C; 68E). These were then  
367 mated with flies that express I-SceI under the control of the Hsp70 heat shock  
368 promoter (66). Progeny carrying all three transgenes were subjected to multiple  
369 rounds of heat shock during larval stages and as adults. Adults were outcrossed  
370 to wildtype, and progeny examined under a fluorescent dissecting scope. In a  
371 number of individuals strong ubiquitous GFP expression was observed. This is  
372 the predicted outcome if I-SceI expression results in cleavage of both transgene-  
373 bearing chromosomes (Fig. 4C), followed by homologous recombination between  
374 XYZ- and UVW-bearing ends of the two different chromosomes (Fig. 4D,E).  
375 Putative translocation heterozygotes ( $T_1/+; T_2/+$ ) were individually mated to wild  
376 type individuals ( $+/+; +/+$ ) to generate males and female translocation  
377 heterozygotes (identified as GFP-expressing). These were mated with each other  
378 to generate putative translocation homozygotes ( $T_1/ T_1; T_2/ T_2$ ). PCR and  
379 sequencing of products from genomic DNA of these individuals was used to  
380 demonstrate that these individuals were homozygous for both translocation  
381 products (Methods and Figure 4F).

382

383 To explore the genetic behavior of translocation-bearing chromosomes and the  
384 fitness of carriers we carried out a number of crosses and quantified progeny  
385 genotype (Table 1). Stocks consisting of translocation homozygotes appeared  
386 generally healthy as adults, and survival from egg to adult was 96% of that  
387 observed for the Canton S (CS) wildtype stock. In contrast, crosses between  
388 males or females heterozygous for the translocation and wildtype resulted in  
389 semisterility, with only about 50% of progeny surviving to adulthood, and 50% of  
390 the survivors being translocation heterozygotes. These are the expected results if  
391 alternate and adjacent-1 segregation occur with equal frequency in translocation-  
392 bearing individuals during meiosis, resulting in the production of 50% aneuploid  
393 gametes (Figure 1B). Finally, for each translocation type we also carried out  
394 crosses between male and female translocation heterozygotes. Only 37.5% of  
395 progeny are predicted to survive, due to the large fraction of zygotes carrying  
396 unbalanced chromosome complements. However, many of the survivors (83%)  
397 are predicted to carry one or two copies of the translocation (Figure 1B). The  
398 levels of embryo survival and percentage of adults carrying the translocation  
399 were in good agreement with these predictions (Table 1). Together, these  
400 observations suggest that the translocation-bearing strains are fit  
401 (notwithstanding the expected semisterility), at least to a first approximation.  
402 These points notwithstanding, fitness measurements such as these are not  
403 sufficient to know that frequency-dependent drive will occur. This is well  
404 illustrated by the results of Curtis and Robinson, who found that a 2;3  
405 translocation strain generated with X-rays, which had homozygous viability and  
406 fertility equivalent to wildtype in crosses such as those described above, was  
407 unable to drive population replacement, even when introduced at a 9:1  
408 translocation: wildtype ratio (49).

409  
410 For population replacement experiments we first introgressed our translocation-  
411 bearing systems, 51C; 70A2 and 51C; 68E flies, with Canton S (CS) for 8  
412 generations, so as to minimize background genetic differences between  
413 translocation-bearing and wildtype strains. Translocation-bearing individuals

414 were then backcrossed to each other to create homozygous stocks. We initiated  
415 population cage experiments by introducing translocation-bearing males and  
416 virgin females into cages along with Canton S males and virgin females of similar  
417 age. A number of different introduction frequencies were tested, in triplicate.  
418 These included frequencies predicted to be super-threshold (80%, 70%, 60%),  
419 and sub-threshold (20%, 30%, 40%). Populations were then followed for 14  
420 generations, with the frequency of translocation-bearing individuals noted each  
421 generation.

422

423 Results of these experiments are summarized in Figure 5A,B (solid lines). For  
424 both translocation-bearing strains, all nine releases at frequencies lower than  
425 50% resulted in elimination of the translocation from the population. Conversely,  
426 introductions at frequencies greater than 50% resulted in translocation-bearing  
427 genotypes spreading to high frequency. These results are generally consistent  
428 with the modeling predictions. However, the dynamics of drive are clearly distinct  
429 from those predicted for translocations that lack a fitness cost (dotted lines in  
430 Figure 5A,B). When translocations were introduced at predicted super-threshold  
431 frequencies spread was slower than expected for a translocation with no fitness  
432 cost. Sub-threshold releases also resulted in lower initial translocation  
433 frequencies than expected, and this was generally followed in later generations  
434 by a modestly decreased time to elimination as compared with a translocation  
435 with no fitness cost (except at the 20% introduction frequency).

436

437 To understand these dynamics, we fitted the experimental data with our  
438 previously described deterministic model framework (19) using a range of  
439 different fitness cost models (Methods). By comparing the Akaike Information  
440 Criterion (AIC) values for each of these fitness cost models we found the best  
441 fitting model for the observed population dynamics to be one in which the relative  
442 fitness of homozygotes having the translocation is time-dependent, with the  
443 relative fitness of these individuals rapidly increasing over time, at first rapidly  
444 and converging upon some higher value as described by an exponential function.

445 Calculations of fitness parameters for translocation system 1 suggest an initial  
446 relative fitness of transgenic homozygotes of 0.0004 (95% Crl: 0-0.0019) relative  
447 to wild-types in generation 1 (the first progeny generation post adult introduction),  
448 rising to a relative fitness of 1.51 (95% Crl: 1.48-1.53) in subsequent generations.  
449 Calculations suggest an initial relative fitness of transgenic heterozygotes of 1.23  
450 (95% Crl: 1.14-1.31) relative to wild-types, falling slightly to a relative fitness of  
451 1.05 (95% Crl: 1.02-1.08). Calculations for translocation system 2 suggest an  
452 initial relative fitness of transgenic homozygotes of 0.0003 (95% Crl: 0-0.0016)  
453 relative to wild-types, rising to a relative fitness of 1.52 (95% Crl: 1.50-1.55) in  
454 subsequent generations, and an initial relative fitness transgenic heterozygotes  
455 that remains fairly constant: 1.12 (95% Crl: 1.05-1.18) at the beginning of the  
456 experiment and 1.11 (95% Crl: 1.08-1.14) at the end of the experiment.

457

458 While speculative, the initial very low fitness of homozygotes in generation 1  
459 could reflect the fact that these individuals must derive from homozygous  
460 translocation parents. Our analysis of fitness presented in table 1 only examines  
461 viability, not ability to compete against other genotypes. Decreased fitness of  
462 homozygotes in competition with heterozygotes and wildtypes at some life stage  
463 (such as larval competition) could reflect incomplete removal of deleterious  
464 mutations during introgression into the CS background prior to carrying out drive  
465 experiments since recombination on translocation-bearing chromosomes in  
466 *Drosophila* is reduced throughout the involved arms (67, 68). Alternatively, it  
467 could also reflect the acquisition of genetic modifiers during the post-  
468 introgression crosses of the translocation stocks required to generate large  
469 numbers of homozygotes for population cage experiments. Such modifiers  
470 would, in this model, increase the fitness of homozygous carriers in competition  
471 with non-carrier homozygotes, but would result in a cost to carriers when in  
472 competition with heterozygotes and wildtypes. In either of these models it is  
473 unclear why fitness of translocations becomes greater than that of wildtype in  
474 later generations. Understanding the basis for these dynamics, and whether they

475 are specific to these translocations, will require further study in other genetic  
476 backgrounds, and with other engineered translocations.

477

478

479 **Discussion**

480 Here we report the creation of engineered reciprocal translocations able to drive  
481 high threshold population replacement in *Drosophila*. The tools we used to create  
482 translocations in *Drosophila* - transgene cassettes located on two different  
483 chromosomes, a dominant marker created through the act of translocation, a  
484 site-specific nuclease able to bring about breakage within each cassette, and  
485 unique sequences that can mediate recombination between the two  
486 chromosomes - should be portable to other species. This, coupled with the  
487 common genetic behavior of reciprocal translocations in diverse species  
488 (semisterility in heterozygotes), suggests that translocation-based, high threshold  
489 and reversible drive may be possible in many species.

490

491 An important unknown from previous work is whether engineered translocations  
492 with high fitness are rare or common. Our observations demonstrating population  
493 replacement at high but not low introduction frequencies, while limited to two  
494 translocations sharing one breakpoint in common, suggest that engineered  
495 translocations with high fitness may at least not be rare. That said, while the  
496 translocations we generated are competitive in laboratory populations, it remains  
497 to be shown that these or any other engineered translocations are fit in  
498 competition with the diversity of genotypes that will be encountered in complex  
499 natural environments.

500

501 Our modeling results suggest that given high enough introduction frequencies,  
502 even translocations with high fitness costs, and facing significant levels of  
503 incoming migration of wildtypes, can spread to high frequency within a target  
504 area. However, modeling also identifies a set of tradeoffs associated with high  
505 threshold gene drive. Population replacement is local, but gene flow due to  
506 migration has significant effects on the equilibrium frequencies of transgenes  
507 within and outside the target area. Consideration of these effects will be  
508 important in identifying contexts in which population replacement is likely to have

509 an epidemiological impact, and is able to satisfy regulatory requirements relating  
510 to the presence and movement of transgene-bearing organisms. These points on  
511 gene flow within a target species notwithstanding, translocation-based drive  
512 should be very species specific. This is because drive involves the behavior of  
513 entire recombinant chromosomes. It seems unlikely that such a novel entity  
514 would thrive when transferred to a different species through mating or horizontal  
515 gene transfer.

516

517 A key feature of any population replacement mechanism is its degree of  
518 evolutionary stability. A translocation drives because its presence in a single  
519 copy in heterozygotes creates a toxic condition (genomic imbalance in some  
520 gametes) that can be prevented by a second copy of the translocation, which  
521 results in the creation of a fit translocation homozygote (genomic balance in all  
522 gametes). One can think of this as a toxin-antidote system in which the toxin (the  
523 translocation) is dominant (one copy results in genomic imbalance and some  
524 death) and the antidote is recessive (two copies of the translocation results in  
525 genomic balance and progeny viability). However, in contrast to other toxin-  
526 antidote gene drive systems (23, 32, 33, 35-40), the toxin and antidote functions  
527 of a translocation are inextricably linked: the toxin is the translocation (in one  
528 copy), and the antidote is also the translocation (in two copies). It is presumably  
529 very unlikely that the translocation will revert back to the wildtype chromosome  
530 configuration. However, even if this happened, necessarily in a single rare  
531 individual, this chromosome would be eliminated along with other wildtype  
532 chromosomes in a population (of this or any other species (see above)) in which  
533 the translocation was present at high frequency. In short, translocation-  
534 dependent gene drive cannot break down through mutation of toxin function to  
535 inactivity, as with many other chromosomally based drive mechanisms. It is also  
536 insensitive to chromosomal sequence variation, mutation and non-homologous  
537 end joining, which can prevent the spread of homing-based gene drive  
538 mechanisms that rely on cleavage of a specific target sequence (69, 70). Finally,  
539 the genes of interest will be placed at the translocation breakpoints. Meiotic

540 recombination is inhibited in these regions (67, 68). In addition, the transgenes  
541 are not located in regions that undergo pairing during meiosis. Since they are  
542 insertions of novel sequences, they are adjacent to regions that undergo pairing.  
543 Thus, transgenes are unlikely to become unlinked from the translocation  
544 breakpoint.

545

546 Finally, with any population replacement strategy one must plan for the eventual  
547 failure of the cargo, whether it encodes one or more genes that mediate disease  
548 resistance, or conditional lethality. Failure can occur through evolution of the  
549 pathogen. It can also occur through mutational inactivation of the cargo genes. In  
550 this latter case, if loss of cargo gene function also results in loss of an associated  
551 fitness cost, chromosomes carrying the mutant allele will spread at the expense  
552 of those carrying the functional allele. While mutation to inactivity cannot be  
553 prevented, chromosome-based drive mechanisms such as translocations have  
554 the attractive feature that it should be possible to incorporate multiple transgenes  
555 near the breakpoints, bringing about redundancy in effector function and thereby  
556 increased functional lifetime in the wild. Cycles of population replacement to  
557 bring new genes into the population can also be imagined. In one approach, the  
558 translocation can first be removed from the population by driving its frequency  
559 below the threshold needed for drive, through dilution with wildtypes. This can  
560 then be followed by a second release of a new translocation-bearing strain that  
561 has the same breakpoints, and a new cargo. Alternatively, if high fitness  
562 translocations with distinct breakpoints can be generated routinely, it may be  
563 possible to drive a first generation translocation and any remaining wildtypes out  
564 of the population in favor of a second, distinct translocation (a point also made by  
565 Serebrovskii (47) in the context of use of translocations for population  
566 suppression) carrying a new cargo, as with proposals for cycles of replacement  
567 of *Medea*-based gene drive systems (5, 21).

568

569 The above positive points notwithstanding, several unknowns remain to the  
570 implementation of translocation-based population replacement in other insects.

571 First, generating translocations with the approaches described herein will be  
572 more challenging in other species in which a high quality annotated genome  
573 sequence is not available. Such a resource allows one to identify gene deserts,  
574 good candidates for sites in which to locate breakpoints associated with a  
575 minimal fitness cost to carriers. It also allows one to determine the orientation  
576 with respect to the centromere of sequences that mediate homologous  
577 recombination at breakpoints, so as to promote the formation of translocations  
578 rather than dicentric and acentric chromosomes. As an example, while the level  
579 of annotation of the *Aedes aegypti* genome sequence and transcriptome is  
580 otherwise quite high, much of the genome is annotated as a series of contigs of  
581 unknown orientation, due to the large amount of repetitive sequences in the  
582 genome. Finally, a sequenced genome makes it possible to identify or create,  
583 using HEGs, Zinc fingers, TALENs or Crispr/Cas9, site-specific nucleases that  
584 promote recombination by cleaving within the transgenes but not elsewhere in  
585 the genome.

586  
587 In addition, the models we have used to characterize translocation behavior do  
588 not take into account important real world variables such as non-random mating  
589 and local spatial heterogeneity, which can affect the dynamics of translocation  
590 spread (55, 71). In order to understand how these and other environmental  
591 variables effect translocation-based replacement, and high threshold  
592 replacement more generally, it will be important to model drive element behavior  
593 using spatially explicit models based on analysis of real populations in complex  
594 environments (72, 73). Finally, mosquito populations in the wild consist of  
595 multiple chromosomal forms, and may also display some level of reproductive  
596 isolation (74-76). How engineered translocations will fare in the face of these  
597 variants remains to be determined, but can be explored in competition with  
598 genetically diverse laboratory strains (77, 78). While an understanding of the  
599 above issues is critical for the success of any population-replacement strategy,  
600 the problems are not intractable, as evidenced by successes in controlling pest

601 populations using non-transgenic (79) and transgenic inundative population  
602 suppression strategies (80, 81).

603 **Methods**

604 **Construct Assembly**

605 The Gibson enzymatic assembly (EA) cloning method was used for all cloning  
606 (82). For both constructs (A and B), translocation allele components were cloned  
607 into the multiple cloning site (MCS) of a plasmid (83) containing the *white* gene  
608 as a marker and an attB-docking site. For construct A (Figure 1B), the oenocyte-  
609 specific *svp* enhancer (65) and Hsp70 basal promoter fragments were amplified  
610 from *Drosophila melanogaster* genomic DNA using primers P16 and P17 (*svp*)  
611 and P18 and P19 (Hsp70). The GFP fragment was amplified from template  
612 pAAV-GFP (addgene plasmid #32395) using primers P26 and P27. A Kozak  
613 sequence (CAACAAA) directly 5' of the GFP start codon was added with primer  
614 P26. The SV40 3'UTR fragment was amplified from template pMos-3xP3-DsRed-  
615 attp (addgene plasmid #52904) using primers P28 and P10. The 5' and 3' CTCF  
616 insulator fragments (84) were amplified from *Drosophila melanogaster* genomic  
617 DNA using primers P11 and P15 (for the 5' CTCF fragment) and P13 and P14  
618 (for the 3' CTCF fragment). The 667 XYZ and 668 UVW homology fragments  
619 were amplified as above with primers P22 and P23 (XYZ) and P20 and P21  
620 (UVW), from plasmid pFUSE-mIgG1-Fc Invivogen, San Diego). The 5' and 3'  
621 splice sites utilized were from a 67bp intron located in the *Drosophila*  
622 *melanogaster* Myosin Heavy Chain (Mhc) gene ID CG17927. They were added  
623 to UVW and XYZ sequences using PCR; the 5' splice site was added to the 5'  
624 end of the UVW fragment via PCR with primer P24, and the 3' splice site was  
625 added to the 3' end of fragment XYZ via PCR with primer P25. Two I-SceI  
626 recognition sequences Two 18bp I-SceI recognition sequences  
627 (ATTACCCTGTTATCCCTA-CTAG-TAGGGATAACAGGGTAAT) were added to  
628 the 3' end of the UVW fragment with primer P21 and the 5' end of the XYZ  
629 fragment with primer P22. The construct was assembled in two steps, as above,  
630 with the first (5') CTCF, the *svp* and *hsp70* fragments, the UVW fragment, and  
631 the XYZ fragment cloned in via a first EA cloning step, and the GFP fragment,  
632 the SV40 3'UTR fragment, and the second (3') CTCF cloned in via a second EA  
633 cloning step. For construct B (Figure 1B), the *opie2* promoter fragment was

634 amplified from plasmid pIZ/V5-His/CAT (Invitrogen) using primers P1 and P2.  
635 The XYZ and UVW homology fragments were amplified from plasmid pFUSEss-  
636 CHIg-mG1 using primers P3 and P4 (XYZ) and P5 and P6 (UVW). Two 18bp I-  
637 SceI recognition sequences (ATTACCCTGTTATCCCTA-CTAG-  
638 TAGGGATAACAGGGTAAT) were added to the 3' end of the XYZ fragment and  
639 the 5' end of the UVW fragment in inverse orientation to each other separated by  
640 a 4bp linker sequence (CTAG) using primers P4 (for XYZ) and P5 (for UVW).  
641 The 5' and 3' splice sites utilized were from a 67bp intron located in the  
642 *Drosophila melanogaster* Myosin Heavy Chain (Mhc) gene ID CG17927; the 5'  
643 splice site was added to the 5' end of the XYZ fragment via PCR with primer P7,  
644 and the 3' splice site was added to the 3' end of fragment UVW via PCR with  
645 primer P8. The dsRed fragment, together with the SV40 3'UTR, were amplified  
646 from template pMos-3xP3-DsRed-attp (addgene plasmid #52904) using primers  
647 P9 and P10, with a Kozak sequence (CAACAAA) directly 5' of the DsRed start  
648 codon added with primer P9. The 5' and 3' CTCF insulator fragments (84) were  
649 amplified from *Drosophila melanogaster* genomic DNA using primers P11 and  
650 P12 (for the 5' CTCF fragment) and P13 and P14 (for the 3' CTCF fragment).  
651 The construct was assembled in two steps. First, the *Drosophila melanogaster*  
652 attB stock plasmid (83) was digested with Ascl and XbaI, and the first (5') CTCF,  
653 the opie-2 promoter, the XYZ fragment, and the UVW fragments were cloned via  
654 EA cloning. Then, the resulting plasmid was digested with Xhol, and the dsRed-  
655 SV40 3'UTR fragment and the second (3') CTCF were cloned in via EA cloning.  
656 All sequences were analyzed with NNSPlice 0.9 (available at  
657 [http://www.fruitfly.org/seq\\_tools/splice.html](http://www.fruitfly.org/seq_tools/splice.html)) to confirm strength of splice signals  
658 and to check for cryptic splice sites. A list of primer sequences used in the above  
659 construct assembly can be found in Supplementary Table 1.  
660

## 661 **Fly Culture and Strains**

662 Fly husbandry and crosses were performed under standard conditions at 25°C.  
663 Rainbow Transgenics (Camarillo, CA) carried out all of the fly injections.  
664 Bloomington Stock Center (BSC) fly strains utilized to generate translocations

665 were attP lines 68E (BSC #24485:  $y^1$  M{vas-int.Dm}ZH-2A  $w^*$ ; M{3xP3-  
666 RFP.attP'}ZH-68E), 51C (BSC #24482;  $y[1]$  M{vas-int.Dm}ZH-2A  $w^*$ ); M{3xP3-  
667 RFP.attP'}ZH-51C), and 70A2 (BSC #9741:  $y[1]$   $w[1118]$ ; PBac{y[+]-attP-  
668 9A}VK00023). Fly Stock BSC#6935 ( $y[1]$   $w^*$ ; P{ry[+t7.2]=70FLP}23  
669 P{v[+t1.8]=70I-SceI}4A/TM) was used as the source of heat shock induced I-  
670 SceI. For balancing chromosomes, fly stocks BSC#39631 ( $w^*$ ; wg[Sp-1]/CyO;  
671 P{ry[+t7.2]=neoFRT}82B lsn[SS6]/TM6C, Sb[1]) BSC#2555 (CyO/sna[Sco]) were  
672 used. For introgression into a wild type background we used the Canton-S stock  
673 BSC#1. Translocation construct A was inserted at site 51C, and construct B was  
674 inserted at 68E and 70A2 using phiC31 mediated attP/attB integration. These  
675 site combinations allowed for the generation of two distinct translocation types,  
676 51C;68E and 51C;70A2. Stocks homozygous for both constructs were then  
677 mated with flies that express I-SceI under the control of the Hsp70 heat shock  
678 promoter(66). Progeny carrying all three transgenes were subjected to 5 rounds  
679 of heat shock during larval stages and as adults. Heat shocks were conducted by  
680 submerging fly vials in a water bath set to 38°C for one hour. Adults were  
681 outcrossed to  $w^-$ , and progeny examined under a fluorescent dissecting scope  
682 for ubiquitous GFP expression, indicative of translocation generation.

683  
684 Homozygous translocation-bearing stocks were generated for both 51C;68E and  
685 51C;70A2 site combinations by crossing translocation heterozygotes and  
686 identifying homozygous progeny by eye color (light orange eyes for homozygotes  
687 versus yellow for heterozygotes for the 51C;68E site combination; light red eyes  
688 for homozygotes versus orange for heterozygotes for the 51C;70A2 site  
689 combination. After confirming homozygous viability, translocations were  
690 introgressed into a Canton-S genetic background. First, CS females were  
691 crossed to translocation-bearing males so as to bring the CS mitochondrial  
692 genotype into the translocation background. Subsequently, translocation  
693 heterozygote females were outcrossed to CS males for 8 generations.  
694 Heterozygous translocation-bearing males and virgin females were then crossed  
695 to each other to generate homozygous stocks in the CS background for each site

696 combination. Homozygosity was confirmed by outcrossing. Drive experiments for  
697 these stocks were set up against CS as the wildtype stock.

698

### 699 **Embryo and Adult viability determination**

700 For embryo viability counts (Table 1), 2-4 day old adult virgin females were  
701 mated with males of the relevant genotypes for 2-3 days in egg collection  
702 chambers, supplemented with yeast paste. On the following day, a 3hr egg  
703 collection was carried out, after first having cleared old eggs from the females  
704 through a pre-collection period on a separate plate for 3hrs. Embryos were  
705 isolated into groups and kept on an agar surface at 25°C for 48-72 hrs. The %  
706 survival was then determined by counting the number of unhatched embryos.  
707 One group of 100-200 embryos per cross was scored in each experiment, and  
708 each experiment was carried out in biological triplicate. The results presented are  
709 averages from these three experiments. Embryo survival was normalized with  
710 respect to the % survival observed in parallel experiments carried out with the  
711 Canton-S wild-type strain, which was 93.00%  $\pm$  1.82%. For adult fly counts  
712 (Table 1), individual flies for each genotype cross were singly mated. For each  
713 genotype cross, we set up 10-15 individual fly crosses, and the results presented  
714 are averages from all these experiments.

715

### 716 **Population cage experiments**

717 All population cage experiments were carried out at 25°C, 12 hour-12 hour day  
718 night cycle, with ambient humidity in 250 ml bottles containing Lewis  
719 medium supplemented with live, dry yeast. Starting populations for drive  
720 experiments included equal numbers of virgins and males of similar ages, for  
721 each genotype. Translocation-bearing homozygotes were introduced at  
722 population frequencies of 60%, 70%, and 80% ( $T_1/T_1$ ;  $T_2/T_2$ ) for above threshold  
723 drive experiments, and 20%, 30%, and 40% ( $T_1/T_1$ ;  $T_2/T_2$ ) for below threshold  
724 drive experiments. CS virgin females and males (+/++; +/+) of similar age as the  
725 translocation-bearing individuals made up the remainder of the population. The  
726 total number of flies for each starting population was 100. All experiments were

727 conducted in triplicate. After being placed together, adult flies were removed after  
728 seven days. After another seven days, progeny were collected and divided  
729 arbitrarily into two equally sized groups. For one group the fraction of  
730 translocation-bearing individuals ( $T_1/T_1$ ;  $T_2/T_2$  or  $T_1/+$ ;  $T_2/+$ ) was determined,  
731 while the other group was placed into a new bottle to initiate the next generation.  
732

### 733 **Theoretical Framework**

734 We apply the model of Curtis and Robinson (1971) to describe the spread of  
735 reciprocal translocations through a population. This is a discrete-generation,  
736 deterministic population frequency model assuming random mating and an  
737 infinite population size. We denote the first chromosome with a translocated  
738 segment by “ $T$ ” and the wild-type version of this chromosome by “ $t$ .” Similarly, we  
739 denote the second chromosome with a translocated segment by “ $R$ ” and the wild-  
740 type version of this chromosome by “ $r$ .” As a two-locus system, there are nine  
741 possible genotypes; however, only individuals carrying the full chromosome  
742 complement are viable, which corresponds to the genotypes  $TTRR$ ,  $TtRr$  and  $ttrr$ ,  
743 the proportion of the  $k$ th generation of which are denoted by  $p_k^{TTRR}$ ,  $p_k^{TtRr}$  and  $p_k^{ttrr}$ .  
744 The four haplotypes that determine the genotype frequencies in the next  
745 generation –  $TR$ ,  $tR$ ,  $Tr$  and  $tr$  – are described by the following frequencies:

746 
$$f_k^{TR} = p_k^{TTRR}(1-s) + 0.25 p_k^{TtRr}(1-hs)$$

747 
$$f_k^{tR} = f_k^{Tr} = 0.25 p_k^{TtRr}(1-hs)$$

748 
$$f_k^{tr} = p_k^{ttrr} + 0.25 p_k^{TtRr}(1-hs)$$

749 Here,  $s$  denotes the reduced fecundity of  $TTRR$  individuals and  $hs$  denotes the  
750 reduced fecundity of  $TtRr$  individuals relative to wild-type individuals, where  
751  $h \in [0,1]$ . By considering all possible mating pairs, the genotype frequencies in the  
752 next generation are:

753 
$$p_{k+1}^{TTRR} = (f_k^{TR})^2 / \sigma_k$$

754 
$$p_{k+1}^{TtRr} = 2(f_k^{TR} f_k^{tr} + f_k^{tR} f_k^{Tr}) / \sigma_k$$

755 
$$p_{k+1}^{trr} = (f_k^{tr})^2 / \sigma_k$$

756 where  $\sigma_k$  is a normalizing term given by,

757 
$$\sigma_k = (f_k^{TR})^2 + 2(f_k^{TR} f_k^{tr} + f_k^{tR} f_k^{Tr}) + (f_k^{tr})^2$$

758 For our three-population models, there are three sets of the above equations to  
759 represent each population. We let  $m$  represent the migration rate per generation.  
760 After genotype frequencies for all three populations are calculated for a given  
761 generation, a proportion  $m$  is removed from each genotype from populations 1  
762 and 3 and added to population 2, and a proportion  $2m$  is removed from each  
763 genotype from population 2, half of which is added to population 1 and the other  
764 half of which is added to population 3.

765 We investigated a number of different fitness cost models and chose the one that  
766 provided the best fit to the data. In all cases, the parents in the first generation  
767 were not subject to a fitness cost. The simplest model is one in which the fitness  
768 of each genotype stays constant over time. Another model considers fitness  
769 costs that depend on the population frequency of the genotype. For linear  
770 frequency-dependence, this is given by,

771 
$$s = (s_0 - s_1)p_k^{trr} + s_1$$

772 Here,  $s_0$  represents the fitness cost of a translocation homozygote in an almost  
773 fully wild-type population, and  $s_1$  represents the fitness cost in an almost fully  
774 transgenic population. An alternative model is that fitness is time-dependent, as  
775 could be explained by introgression of introduced genotypes. For linear time-  
776 dependence, this is given by,

777 
$$s = \left( \frac{s_1 - s_0}{t_f} \right) t + s_0$$

778 Here,  $s_0$  represents the fitness cost in the second generation and  $s_1$  represents  
779 the fitness cost in the final generation, denoted by  $t_f$ . For sigmoidal time-

780 dependence, it is given by,

781 
$$s = (s_0 - s_1) \left( 1 - \frac{1}{1 + e^{-\alpha(1-\tau)}} \right) + s_1$$

782 Here,  $s_0$  and  $s_1$  are as before,  $\tau$  denotes the time of intermediate fitness cost,  
783 and  $\alpha$  denotes the speed of transition between the two fitness costs.

784 And for exponential time-dependence, it is given by,

785 
$$s = a2^{-t/t_{1/2}} + (s_0 - a)$$

786 Here,  $s_0$  represents the fitness cost in the second generation,  $s_1$  represents the  
787 fitness cost after many generations,  $t_{1/2}$  denotes the time at which the fitness cost  
788 is halfway between the two, and  $a$  is given by,

789 
$$a = \frac{s_0 - s_1}{1 - 2^{-t_f/t_{1/2}}}$$

790 We estimated fitness parameters for each model and compared models  
791 according to their Akaike Information Criterion (AIC) values. Model fitting was  
792 performed using population count data for the 18 drive experiments conducted  
793 for each translocation system (three for each of the 80%, 70%, 60%, 40%, 30%  
794 and 20% release frequencies). AIC was calculated as  $2k - 2\log L$ , where  $k$   
795 denotes the number of model parameters, and the preferred model is the one  
796 with the smallest AIC value. The likelihood of the data was calculated, given  
797 fitness costs  $s$  and  $hs$ , assuming a binomial distribution of the two phenotypes  
798 (individuals homozygous or heterozygous for the translocation were considered  
799 as the same phenotype to match the experimental counts). Model predictions  
800 were used to generate expected genotype proportions over time for each fitness  
801 cost, and the log likelihood had the form,

802 
$$\log L(h, s) = \sum_{i=1}^{18} \sum_{k=1}^{14} \log \left( \frac{TTRR_{i,k} + TtRr_{i,k} + ttrr_{i,k}}{TTRR_{i,k} + TtRr_{i,k}} \right) + ttrr_{i,k} \log(p_{i,k}^{ttrr}(h, s)) + (TTRR_{i,k} + TtRr_{i,k}) \log(1 - p_{i,k}^{ttrr}(h, s))$$

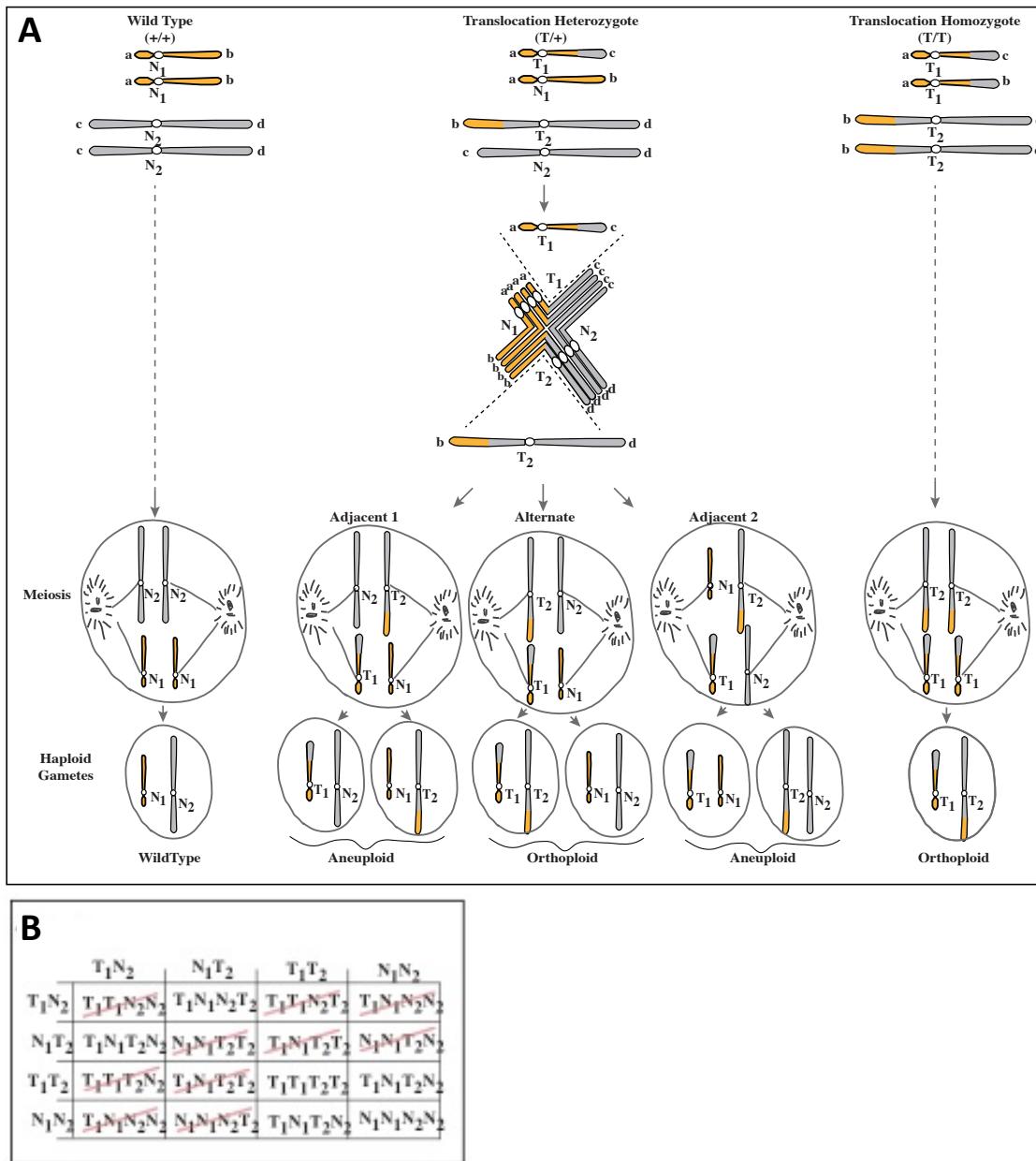
803 Here,  $TTRR_{i,k}$ ,  $TtRr_{i,k}$  and  $ttrr_{i,k}$  represent the number of  $TTRR$ ,  $TtRr$  and  $ttrr$   
804 individuals at generation  $k$  in experiment  $i$ , and the corresponding expected  
805 genotype frequencies are fitness cost-dependent. The best estimate of the  
806 fitness cost is that having the highest log-likelihood. A 95% credible interval was  
807 estimated using a Markov Chain Monte Carlo sampling procedure. Matlab and R  
808 code implementing these equations is available upon request. The AIC values for  
809 each of the fitness cost models are shown in the table below:

<b>Fitness cost model:</b>	<b>AIC (Translocation system 1):</b>	<b>AIC (Translocation system 2):</b>
Constant fitness costs	6577.6	7448.0
Linear, frequency-dependent fitness costs	5051.3	5572.7
Linear, time-dependent fitness costs	3888.2	3752.1
Sigmoidal, time-dependent fitness costs	3344.2	3321.1
Exponential, time-dependent fitness costs	3336.2	3319.1

810

811 In summary, the best fitting model for the observed population dynamics is one in  
812 which the relative fitness of homozygotes having the translocation is time-  
813 dependent, with the relative fitness of these individuals increasing over time, at  
814 first rapidly and then converging upon some higher value as described by an  
815 exponential function (Figure 5).

816



817

818 **Figure 1.** Gamete and zygote genotypes associated with the presence of a  
 819 reciprocal translocation. Wildtype chromosomes  $N_1$  and  $N_2$ , and translocation  
 820 chromosomes  $T_1$  and  $T_2$ , are indicated. (A) One chromosome type (a) is  
 821 indicated in yellow. A second chromosome type (b) is in gray. Gamete types  
 822 generated by wildtype (+/), translocation heterozygotes (T/), and translocation  
 823 homozygotes (T/T) are indicated. (B) Gamete and zygote genotypes possible in

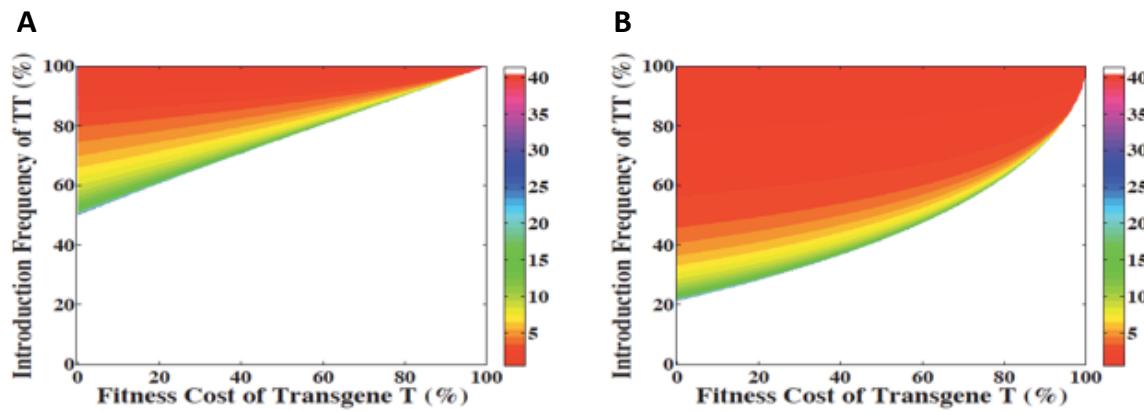
824 crosses involving a translocation are indicated. Inviable genotypes are indicated  
825 by a red line.

826

827

828

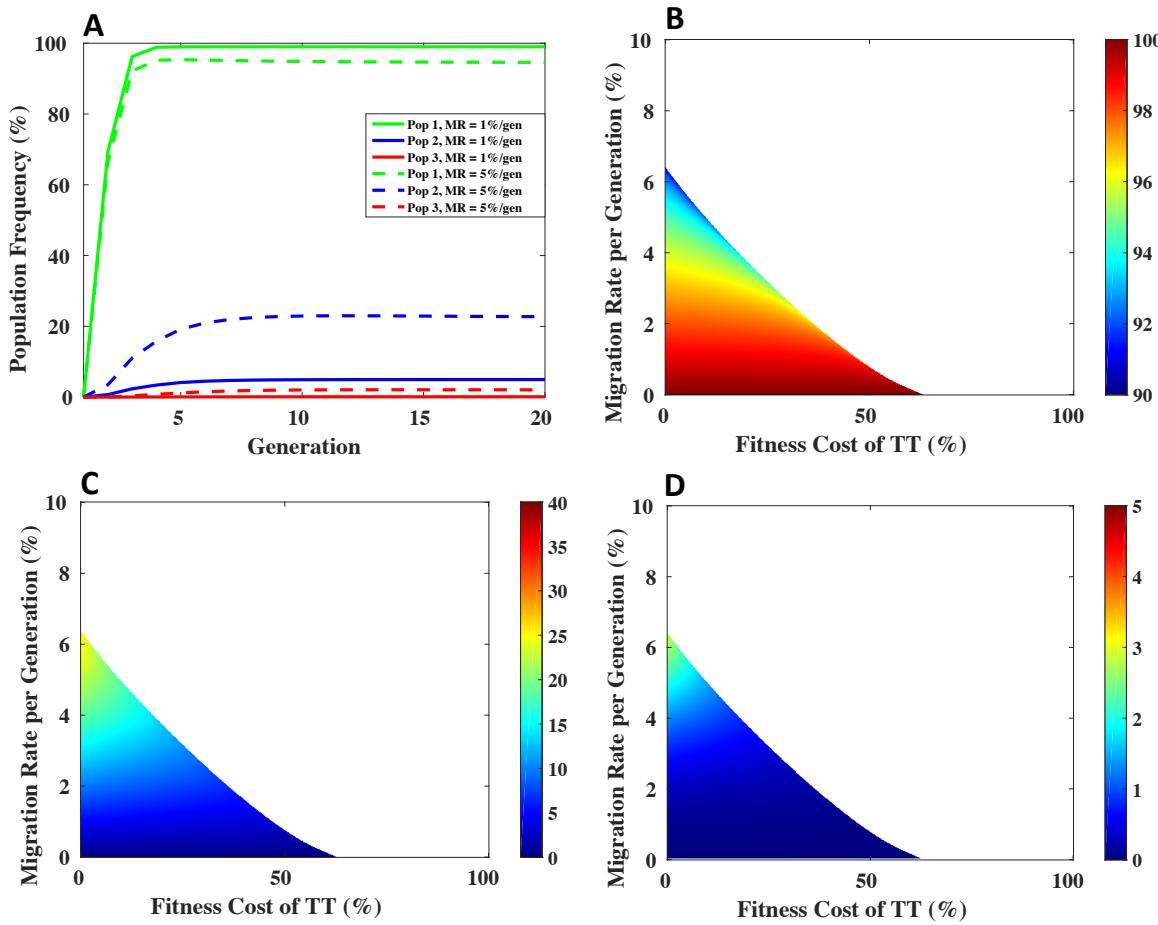
829  
830  
831  
832



833  
834

835 **Figure 2.** Engineered reciprocal translocations are predicted to show threshold-  
836 dependent gene drive and bring about local population replacement. A discrete  
837 generation, deterministic population frequency model of translocation spread  
838 through a single population for varying introduction frequencies and fitness costs  
839 for one (A) or three (B) introductions at the specified frequency. The heatmap  
840 indicates the number of generations required for the translocation to reach  
841 fixation (i.e., >99% of the total population) for all combinations of fitness cost and  
842 introduction frequency.

843

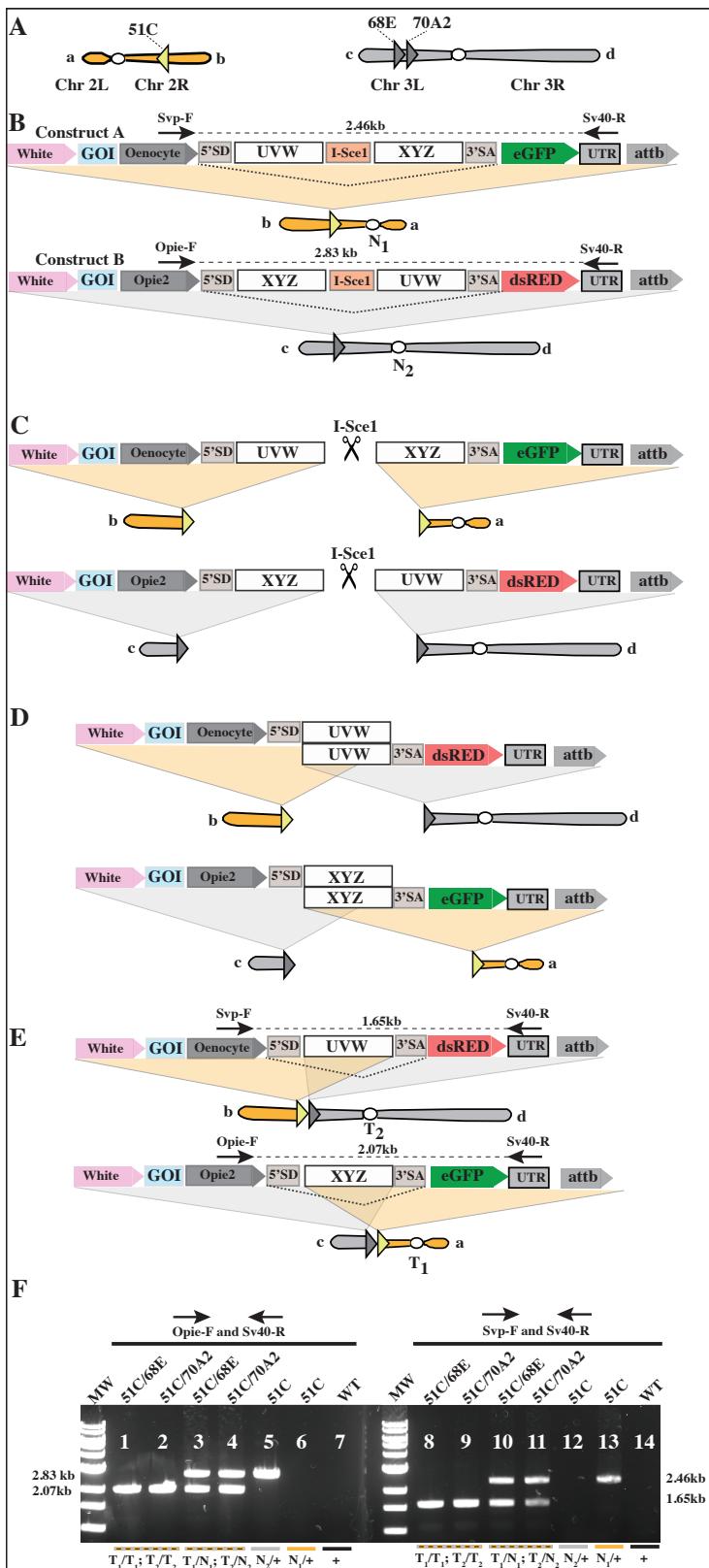


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845

846 **Figure 3. Translocation dynamics in a linear, three population migration**  
847 **model.** (A) Population frequency of a translocation with no fitness cost,  
848 introduced into population 1 using three consecutive releases of translocation-  
849 bearing homozygotes. Populations 1-3 are linked through a linear chain of  
850 migration of 1% (solid lines) or 5% (dashed lines). (B-D) Equilibrium frequency of  
851 translocation bearing individuals over a range of fitness costs and migration rates  
852 for each of the three linked populations 1 (B), 2 (C), and 3 (D), respectively. For  
853 all three populations increasing fitness cost has little effect on the equilibrium  
854 frequency at low migration rate and increased effects at higher migration rates. In  
855 contrast, migration rate has a much stronger effect on equilibrium frequency  
856 independent of fitness cost as seen by the color gradient shifts. Note that the  
857 equilibrium frequency varies between 90-100%, 0-25%, and 0-3% in the target  
858 population (population 1), population 2, and population 3, respectively.

859 **Figure 4**

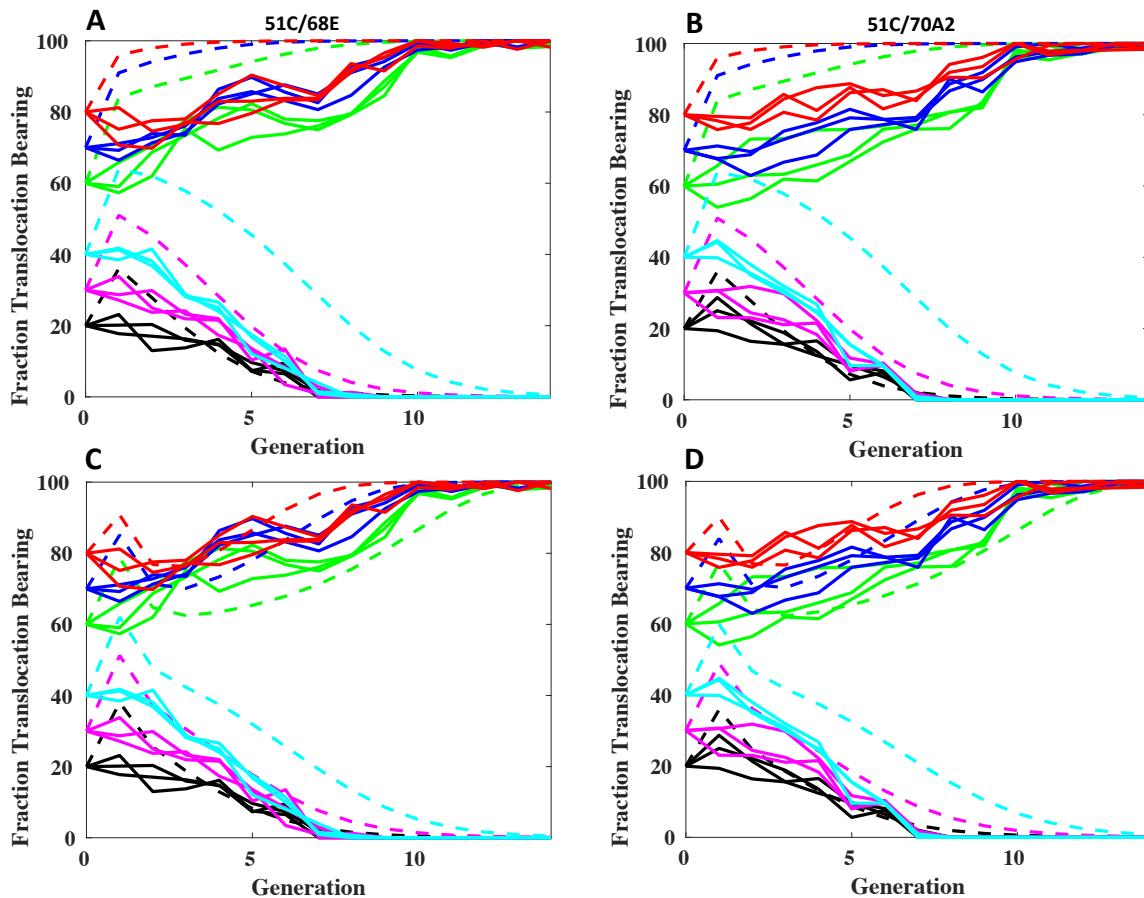


860

861 **Figure 4.** Generation of reciprocal translocations in *Drosophila*. (A) Approximate  
862 location of the attP sites used for transgene insertion; orientation with respect to

863 the centromere are indicated by triangles. (B) Components of each starting  
864 transgene cassette. Construct A is inserted on the second chromosome and  
865 construct B on the third chromosome. Components are as indicated in the text.  
866 (C) I-Sce-dependent cleavage results in a double-stranded break in each  
867 transgene-bearing chromosome. (D) Alignment of broken chromosome ends  
868 occurs using homologous sequences UVW and XYZ. (E) Recombinant  
869 chromosomes are generated by homologous recombination using sequences  
870 UVW and XYZ. (F) Agarose gel image is shown of PCR amplification products  
871 generated from different genotypes: translocation homozygotes (T1/T1; T2T2);  
872 translocation heterozygotes (T1N1; T2N); individuals carrying only the 51C  
873 starting chromosome insertion (N1/+); or the 68E and 70A2 starting chromosome  
874 insertion (N2/+). Primers used, and expected amplification product sizes, are  
875 indicated in B and E.

876



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878

879 **Figure 5.** Dynamics of translocation-based population replacement, and  
880 predictions from zero fitness cost, and best fit models. (A, B) Population  
881 frequency of the adult population having the indicated translocation is plotted  
882 versus generation number for a number of homozygous translocation release  
883 ratios: 80%, 70%, 60%, 40%, 30% and 20%. Solid lines indicate observed  
884 population frequencies, and dashed lines indicate predicted translocation-bearing  
885 genotype frequencies for an element with no fitness cost. (C, D). The same data  
886 as in (A, B) but plotted along with dynamics predicted based on a best fit model  
887 described in the methods and text.

888

889

parental genotypes		progeny genotype (%)	embryo survival %		transgene bearing adults %	
male	female		predicted	observed*	predicted	observed*
T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /T <sub>2</sub>	T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /T <sub>2</sub>	T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /T <sub>2</sub> (100%)	100	96.9 ± 1.8 96.9 ± 0.3	100	100 ± 0.0 100 ± 0.0
T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /T <sub>2</sub>	+/+ ; +/+	T <sub>1</sub> /+ ; T <sub>2</sub> /+ (100%)	100	94.6 ± 2.2 98.2 ± 2.6	100	100 ± 0.0 100 ± 0.0
+/-	T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /T <sub>2</sub>	T <sub>1</sub> /+ ; T <sub>2</sub> /+ (100%)	100	90.1 ± 1.6 92.5 ± 4.8	100	100 ± 0.0 100 ± 0.0
T <sub>1</sub> /+ ; T <sub>2</sub> /+	+/- ; +/+	T <sub>1</sub> /+ ; T <sub>2</sub> /+ (25%) T <sub>1</sub> /+ ; +/+(25%)** +/- ; T <sub>2</sub> /+ (25%)** +/- ; +/- (25%)	50	51.2 ± 1.6 50.4 ± 1.3	50	49.3 ± 3.4 49.5 ± 2.4
+/- ; +/+	T <sub>1</sub> /+ ; T <sub>2</sub> /+	T <sub>1</sub> /+ ; T <sub>2</sub> /+ (25%) T <sub>1</sub> /+ ; +/+(25%)** +/- ; T <sub>2</sub> /+ (25%)** +/- ; +/- (25%)	50	48.3 ± 2.8 48.3 ± 3.9	50	49.4 ± 2.2 48.5 ± 3.4
T <sub>1</sub> /+ ; T <sub>2</sub> /+	T <sub>1</sub> /+ ; T <sub>2</sub> /+	T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /T <sub>2</sub> (6.25%) T <sub>1</sub> /T <sub>1</sub> ; T <sub>2</sub> /+ (12.5%)** T <sub>1</sub> /T <sub>1</sub> ; +/- (6.25%)** T <sub>1</sub> /+ ; T <sub>2</sub> /T <sub>2</sub> (12.5%)** T <sub>1</sub> /+ ; T <sub>2</sub> /+ (25%) T <sub>1</sub> /+ ; +/- (12.5%)** +/- ; T <sub>2</sub> /T <sub>2</sub> (6.25%)** +/- ; T <sub>2</sub> /+ (12.5%)** +/- ; +/- (6.25%)	37.5	36.2 ± 1.8 32.4 ± 4.0	~83%	80.4 ± 6.5 80.8 ± 5.8

\* Translocation 51C/68E (top) and 51C/9741 (bottom)

\*\* These genotypes are not viable.

890

891 **Table 1.** Behavior of translocations in crosses to various genotypes. Crosses  
 892 between parents of specific genotypes - wild-type (+/+; +/-), translocation  
 893 heterozygotes (T<sub>1</sub>/+; T<sub>2</sub>/+), and translocation homozygotes (T<sub>1</sub>/T<sub>1</sub>; T<sub>2</sub>/T<sub>2</sub>), were  
 894 carried out. Embryo survival (fifth column from right) and percentage of  
 895 translocation-bearing adults (rightmost column) were independently quantified.  
 896 The top number in each column shows results for the 51C/68E translocation; the  
 897 bottom number shows the results for the 51C/70A2 translocation. \*\* Indicates  
 898 unviable genotypes. Embryo survival was normalized with respect to percent  
 899 survival (± SD) observed in the *w<sup>1118</sup>* stock used for transgenesis (methods).

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903 **Supplementary Table 1.** List of primer sequences used in this study.

Primer name	Primer sequence, 5' to 3'	Source
P1	CCTAACAACTCACACCTTGCAGGCCACCTG	pIZ/V5-

P2	GCCCTAGAGATCCACCAACTTTTTGCACTG C  ATTCCCTAACATCAGTGGTTAACCTACCTTG TTGGCGTGACCAAGAGACAGGTTGCGCG	His/CAT (Invitrogen)
P3	AGGTTCAACCACTGATGCTTAGGAATAGGCC ATGTGAAGCTGAAGGAATC	pFUSEss- CHIg-mG1 (Invivogen)
P4	TATTACCCTGTTATCCCTACTAGTAGGGATAA CAGGGTAATACTAGAATCCCTGGGCACAATT T	
P5	CTAGTATTACCCTGTTATCCCTACTAGTAGGG ATAACAGGGTAATAGTGGTTGTAAGCCTTGC A	pFUSEss- CHIg-mG1 (Invivogen)
P6	AAAGGATAAGAATTAGGGTTAGTCGTTCGG TGTGCCTAGTTACCAGGAGAGTGGGAGA	
P7	CGCCCACGCCATCCAACCGCCGCCGCAACC TGTCTCTGGTCACGCCAACAAAGGTAGGTTTC	P3/P4 XYZ PCR
P8	ATGACGTTCTGGAGGAGCGCACCATTTGT TGCTAAAGGAAAGGATAAGAATTAGGGTT	P5/P6 UVW PCR
P9	AAACGACTAACCTAATTCTTATCCTTCCTTT AGCAACAAAATGGTGCCTCCTCCAAG	pMos-3xP3- DsRed-attp (addgene plasmid #52904)
P10	AATGGAACTCTCGCGGCCAGGTGGCGCTG CAAGGCTCGAGGGTCGACTGATCATAATCA	
P11	GGATCCGGGAATTGGGAATTGGGCAATATT AAATGGCGGCCCTGCAGCGCCACCTGGCC	Drosophila genomic DNA
P12	AGCGTGTTCGGCAGTGCAAAAAAGTTGGT	

P15	GGATCTCTAGGGCCAGGTGGCGCTGCAA CCAACGCATTTCCAAGCTTGTAAACGTGG ATCTCTAGGGCCAGGTGGCGCTGCAAGG	
P13	TACAAATGTGGTATGGCTGATTATGATCAGTC GACCCTCGAGCCTTGCAGCGCCACCTGG	Drosophila genomic DNA
P14	GAGACCGTGACCTACATCGTCGACACTAGTG GATCTCTAGGGCCAGGTGGCGCTGCAAGG	
P16	CCTTGCAGCGCCACCTGGCCCTAGAGATCCA CGTTAAACAAGCTTGGAAAATGCGTTGG	Drosophila genomic DNA
P17	CGAAGCGCCTCTATTATACTCCGGCGCTCG TTTAAACAAAGTGGCAGGGCCCATGTGTT	
P18	GAGTGGAGCACAAACACATGGGCCCTGCCA CTTGTTAAACGAGCGCCGGAGTATAAT	Drosophila genomic DNA
P19	AAGCATCAGTGGTTAACCTACCTTGTGGC GTGTCTGATGCAGATTGTTAGCTTGTTC	
P20	GCCAACAAGGTAGGTTAACCACTGATGCTT AGGAATAGGCGTGGTTGTAAGCCTTGCAT	pFUSEss- CHIg-mG1 (Invivogen)
P21	CCCTGTTATCCCTACTAGTAGGGATAACAGG GTAATACTAGTTACCAGGAGAGTGGGAG	
P22	TATTACCCTGTTATCCCTACTAGTAGGGATAA CAGGGTAATACATGTGAAGCTGAAGGAA	pFUSEss- CHIg-mG1 (Invivogen)
P23	AAAGGATAAGAATTAGGGTTAGTCGTTCGG TGTGCCTAGAATCCCTGGGCACAATTTTC	
P24	CAAGCGCAGCTGAACAAAGCTAAACAAATCTGC ATCAGACACGCCAACAGGTAGGTTCAAC	P20/P21 UVW PCR

P25	ACCTACATCGTCGACACTAGTGGATCTCTAG CTCGAGCTAAAGGAAAGGATAAGAATTAGGG	P22/P23 XYZ PCR
P26	CCCTAATTCTTATCCTTCCTTAGGAATTCC AACAAAATGGTGAGCAAGGGCGAGGAGC	pAAV-GFP (addgene plasmid #32395)
P27	TTCACTGCATTCTAGTTGTGGTTGTCCAAAC TCATCAATGTTACTTGTACAGCTCGTC	
P28	GCCGCCGGGATCACTCTCGGCATGGACGAG CTGTACAAGTAAACATTGATGAGTTGGAC	pMos-3xP3- DsRed-attp (addgene plasmid #52904)

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