

Tissue Resolved, Gene Structure Refined Equine Transcriptome

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48 **Abstract**

49 *Background:* Transcriptome interpretation relies on a good-quality reference transcriptome for accurate
50 quantification of gene expression as well as functional analysis of genetic variants. The current
51 annotation of the horse genome lacks the specificity and sensitivity necessary to assess gene expression
52 especially at the isoform level, and suffers from insufficient annotation of untranslated regions (UTR).
53 We built an annotation pipeline for horse and used it to integrate 1.9 billion reads from multiple RNA-
54 seq data sets into a new refined transcriptome.

55 *Results:* This equine transcriptome integrates eight different tissues from 59 individuals and improves
56 gene structure and isoform resolution while providing considerable tissue-specific information. We
57 utilized four levels of transcript filtration in our pipeline, aimed at producing several transcriptome
58 versions that are suitable for different downstream analyses. Our most refined transcriptome includes
59 36,876 genes and 76,125 isoforms, with 6474 candidate transcriptional loci novel to the equine
60 transcriptome.

61 *Conclusions:* We have employed a variety of descriptive statistics and figures that demonstrate the
62 quality and content of the transcriptome. The equine transcriptomes that are provided by this pipeline
63 show the best tissue-specific resolution of any equine transcriptome to date and can serve several types
64 of downstream analyses.

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66 **Keywords:** Equine transcriptome, tissue-specificity, RNA-seq
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68 **Introduction**

69 Transcriptomics is rapidly evolving from a focus on novel gene identification to resolving structural
70 gene details. The transcriptomes of better-studied organisms, such as *Drosophila*, mouse and human
71 have been updated to accommodate for this transition [1-3]. However, for less well characterized

animals, such as the horse, there is often only annotation of a single variant of a gene with insufficient annotation of multiple splice variants, UTR extensions and non-protein coding RNA. This lack of information can challenge subsequent differential gene expression analyses and functional studies. There have been several attempts to improve the equine transcriptome with single tissue transcriptomes from lamellar tissue [4] or peripheral blood mononuclear cells [5] and from pooled composites of various tissues [6, 7], however a broader effort defining and integrating many tissue-specific transcriptomes and obtaining the library depth and strand information required to capture gene complexity is still needed.

ENSEMBL and NCBI provide publically available annotations for several vertebrate genomes including horses [8]. Both underlying annotation pipelines integrate homology search and *ab initio* prediction however accurate UTR prediction and isoform recognition require species-specific transcriptional evidence [9, 10]. For this equine transcriptome, the transcriptional evidence provided by total RNA sequencing (RNA-seq) was the basis of our gene annotation. This approach permits more reliable discovery of novel genes and isoforms, extension of UTRs and the flexibility necessary to establish a balance between sensitivity and specificity of gene detection for downstream applications.

Our annotation integrates the benefits of increased depth in reads and strand-specificity, for some tissues, as well as using a range of tissues from many horses, which allows tissue-specific transcriptomes to be extracted. We have incorporated RNA-seq from a diverse set of 8 tissues ranging from the central nervous system (CNS), skin and skeletal muscle tissues in adults to the inner cell mass (ICM) and trophectoderm (TE) in embryonic tissues (Table 1). The diversity in age, sex and tissue of the samples included in our assembly supply the equine transcriptome with its best spatiotemporal resolution and most complete gene UTR definition to date.

We recognize that availability of annotation criteria and integration of transcriptome data is paramount for systematically improving the equine transcriptome. Our goal is to encourage equine

researchers to incorporate their transcriptomic data using our pipeline as the common annotation pipeline and our initial transcriptomes as a reference framework. We intend to continue improving equine gene annotation through better UTR definition, isoform splicing characterization and novel gene identification. The annotation presented in this paper will improve the gene structure definition in current databases and the accuracy of downstream analyses, including both differential gene expression analysis and genetic variant annotation in the horse.

Results

Overall Mapping Statistics and Gene Counts After Filtration

RNA-seq of 59 samples in 12 libraries from 8 different horse tissues provided 1.9 billion fragments and 364 Gb of sequence bases. A summary of the library preparation, number of horses per library and total number of fragments and bases provided by each tissue library can be found in Table 1. The overall average mapping rate for Tophat2 was ~83% with concordance rates ranging from 29% to 89% (average 75%) for paired end libraries. Concordance rates seem to be affected by the type of library preparation, where polyA selected and strand-specific libraries have the best rates. Library specific mapping rates can be found in Supplementary Table 1. The initial Cufflinks assembly identified 117,019 genes/211,562 transcripts. After this initial analysis we applied four steps of filtration (Figure 1). Primary filtration of transcripts removed the likely pre-mRNA fragments by eliminating single exon transcripts that were present within introns or overlapping with exons of other multi-exon transcripts. After primary filtration there were 75,102 genes/162,261 transcripts. The second filter was implemented

118 to remove isoforms likely to be experimental artifacts by excluding low abundant transcripts with less
 119 than 5% of total expression for their locus. The remaining 114,830 transcripts represented 75,375 genes.
 120 In the third filter, non-coding transcripts that lack any supporting evidence from NCBI or ENSEMBL
 121 annotations, non-horse gene models (“Other RefSeq” and “TransMap RefGene” UCSC tracks) or *ab*
 122 *initio* predictions (“Augustus”, “Geneid”, “Genscan” and “N-SCAN” UCSC gene prediction tracks)
 123 were excluded. This third filtered version of the transcriptome has 76,323 transcripts in 37,062 genes.
 124 The last filter was for removing likely erroneous transcripts. The mtDNA in mammals is known for gene
 125 overlapping and polycistronic expression [11], permitting inaccurate prediction of mitochondrial
 126 transcripts by Cufflinks; we therefore excluded the mitochondrial contigs from our filtered assembly.
 127 Also, short transcripts less than 201bp (192 transcripts in 184 genes) were removed because they are
 128 more likely to represent repetitive sequences or incomplete gene fragments. Once erroneous transcripts
 129 were removed, our final refined version of the transcriptome contained 36,876 genes (76,125 transcripts)
 130 including 15,343 single exon transcripts, 8,808 two-exon transcripts, and 51,974 transcripts with three or
 131 more exons. A version of our refined transcriptome that is merged with the NCBI and ENSEMBL
 132 annotations, with redundant transcripts removed, is also available. This is the most comprehensive
 133 product of our pipeline and is valuable for differential gene expression analysis in tissues other than
 134 those provided in our assembly. Summary statistics including N50, number of genes and Mb and
 135 average length of fragment for all six versions of the transcriptome can be found in Supplementary
 136 Table 2.

137 *Comparison between Our Transcriptome and Currently Available Equine Transcriptomes*

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139 We performed a comparison between our transcriptome and gene models from NCBI,
 140 ENSEMBL and two published equine transcriptomes, that we refer to as Hestand [7] and ISME [5]

(Table 2 and Supplementary Table 3). In our comparisons, transcripts sharing one or more splice junctions are considered similar but only those with identical intron chains are matching. The comparison shows that the matching transcripts between our refined transcriptome and NCBI annotation are greater than 2.5-fold in number those matching the ENSEMBL annotation. However the highest number of matching transcripts occurred with the ISME transcriptome with 12,849 transcripts (Figure 2A). About 50% of the refined transcripts have a similar match in all the public transcriptomes. Evidence of improvements to the annotation of genes with a similar match to other assemblies can be found in genes such as *MUTYH*, where the three major isoforms annotated in humans [12] are now distinguishable in the horse (Figure 2B). The gene *CYP7A1* is another example where a novel first exon has been annotated and extended in our version of the transcriptome [13] (Figure 2C). About 20% and 28% of the refined transcripts are novel when compared to NCBI and ENSEMBL annotations respectively. Combined, there are 22,641 transcripts in candidate novel loci. Our approach of applying four successive steps of filtration strictly qualifies our novel isoforms as transcripts with ORFs or exonic overlap with candidate gene models. Mainly, novel transcripts contained within introns of other genes were excluded to avoid artifacts from retained intronic reads, common in rRNA depleted libraries. Using NCBI as a reference for comparison, our novel transcripts from the refined transcriptome have no bias towards any particular chromosome after accounting for chromosome size (Supplementary Figure 1). In order to calculate the gene and isoform detectability of our transcriptome compared to current annotation, we calculated sensitivity and specificity [14] between our transcriptome and a reference and found that, using NCBI as the reference, our transcriptome had a 78.8% sensitivity and 23.8% specificity at the base level and a 32% sensitivity and 21.1% specificity at the locus level. Detailed pairwise assessment for all equine annotations can be found in Supplementary Table 4. We developed a statistic to assess the conflict between different assemblies, termed “complex loci”, which refer to the

164 loci that represent one gene locus in one transcriptome and two or more gene loci in another. Our
 165 transcriptome has 1355 and 997 transcripts that were considered complex loci between our
 166 transcriptome and NCBI and ENSEMBL, respectively. The Hestand transcriptome, however, has fewer
 167 with 660 and 798 complex loci when compared to NCBI and ENSEMBL, respectively. The ISME
 168 transcriptome has substantially more, with 1546 and 1226 complex loci when compared to NCBI and
 169 ENSEMBL, respectively.

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171 *UTR extension*

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173 To test the effect of the new assembly on the UTRs of known genes, we identified the protein
 174 coding isoforms sharing the exact intron chain with NCBI isoforms, which yielded 9736 isoforms from
 175 7419 genes. The difference in the total length of each transcript was then calculated and we found that
 176 we extended the length of 8899 isoforms (6817 genes) by 29.7 Mb in total. 831 isoforms (718 genes)
 177 lost 0.3 Mb in total with an average of 0.4 kb per isoform, while 6 isoforms did not change.

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179 *Gene and Isoform Distinctions between Tissue-Specific Transcriptomes*

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181 We selected genes with high expression (a sum of TPMs across all tissues above 200) and
 182 substantial expression differences across tissues (a standard deviation above 200). Unsupervised
 183 hierarchical clustering grouped genes that may be co-expressed as well as illustrating the relationship
 184 between the tissue-specific transcriptomes. As expected, the transcriptomes from the three central
 185 nervous system (CNS) tissues clustered together, as did the two embryonic tissues, with the skin and
 186 skeletal muscle furthest from these clusters (Figure 3A). Blocks of genes showing uniquely high

expression in a given tissue were further annotated with NCBI gene names and then summarized with Panther biological processes annotations. The top two Panther pathways (lowest p-values) for each of these gene blocks are reported in the text below, with the corresponding p-values (p) and fold-enrichment values (FE). The full Panther annotation tables are detailed in Supplementary Table 5. The CNS cluster contained overrepresented processes regarding brain function and development: nervous system development ($p=2.10E-06$, $FE=7.12$) and neurogenesis ($p=9.36E-05$, $FE=7.73$). The retina contained processes consisting of photoreception and visual perception: phototransduction ($p=3.52E-08$, $FE=80.75$) and visual perception ($p=3.69E-18$, $FE=37.64$). The skeletal muscle encompassed genes pertaining to muscle physiology and assembly: muscle contraction ($p=1.48E-27$, $FE=57.58$) and myofibril assembly ($p=6.05E-11$, $FE=72.8$). The embryonic tissues have the most general processes assigned to their distinct clusters: translation ($p=1.15E-11$, $FE=16.35$) and peptide biosynthetic processes ($p=1.95E-11$, $FE=15.8$). And finally, the skin consisted of processes concerning epithelial organization and production: intermediate filament organization ($p=1.69E-07$, $FE > 100$) and skin development ($p=1.89E-09$, $FE=22.49$).

When attention is given to the isoforms showing unique presence or sole absence in a tissue, the retina and cerebellum possess the most isoforms that are uniquely present, with the retina also containing the largest amount of solely absent isoforms (Figure 3B). The uniquely present transcripts in the retina have Panther annotations of visual perception ($p=2.96E-23$, $FE=7.47$) and photoreceptor cell differentiation ($p=1.59E-09$, $FE=7.56$) and in the cerebellum nervous system development ($p=3.08E-09$, $FE=1.85$) and generation of neurons ($p=1.72E-08$, $FE=2.02$). Full Panther annotation tables of transcripts uniquely present in tissues can be found in Supplementary Table 6. The transcripts solely absent from the retina pertain mainly to positive regulation of DNA replication ($p=2.49E-03$, $FE=3.53$) and anatomical structure development ($p=2.72E-19$, $FE=1.48$). Full Panther annotation tables of

transcripts solely absent in tissues can be found in Supplementary Table 7. Utility of these isoforms, in terms of expression, is strongest in the skin, retina, skeletal muscle and to a small extent the cerebellum (Figure 3B). Despite these differences in unique isoforms, multi-exons transcripts and multi-transcript loci, the splicing rate across tissues, as calculated by Cuffcompare [15], ranges from 1.7 to 1.9 (Table 3).

Nuclear coding versus mitochondrial encoded genes were parsed out per tissue to determine how much of the sequencing resources are allocated to genes of the mitochondria (Figure 3C), with the conclusion that the brainstem, spinal cord, embryonic tissues and skeletal muscle exhibit the largest proportions of transcriptional output devoted to mitochondrial genes.

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219 *Classification and Annotation of Novel Genes*

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In total there were 22,640 novel transcripts, with varying levels of support from current equine annotations (Figure 4A). Classification of these candidate novel genes was necessary to better describe our novel gene identification and aid in interpreting the degree of support available to each category. Three categories of novel genes based upon the supportive evidence within and across species were made with each successive category being less supported by equine or orthologous gene models. Our first category of novel genes contains those missing from NCBI and/or ENSEMBL annotations, but supported by either NCBI, ENSEMBLE, Hestand or ISME annotations (Category I). The second group of novel genes were novel to all public equine annotations, but conserved by means of orthologous gene similarity or supported by possible gene prediction (Category II). The third category of novel genes were unsupported by any candidate gene models, but had an ORF (Category III). Category I has a total of 8459 transcripts, with 2/3 of these transcripts novel to the ENSEMBL annotation (Supplementary Figure 2). Another 1849 transcripts in this category are absent in both NCBI and ENSEMBL

annotations, yet supported by Hestand or ISME annotations. Homology with the SWISS-prot database identified at least one significant ($p < 1E-10$) hit for almost half the transcripts in this Category I (Supplementary Table 8). The second category has 7494 transcripts that – unless on the opposite strand – do not overlap with known gene models in public annotations. Annotation of these transcripts was performed partially by testing overlap with non-horse gene models and also by homology search. Only 16% of these transcripts have significant hits against the SWISS-prot database (Supplementary Table 8). The third category of novel genes includes 6687 transcripts with an ORF as the only functional support for these transcripts. The first category of novel genes shows the most diverse distribution of exon numbers comprising the genes (ranging from 1 to 28), whereas the unsupported genes contained mainly single exon genes (Figure 4B). The expression analysis of the three novel gene categories shows a clear reduction of cumulative expression from category I to III. There was also an obvious tissue-specific pattern in the expression of novel genes. Supported novel genes (Category I) had the highest expression in the cerebellum. However, when looking at only the second category of novel genes, the embryo contributed the highest expression of novel transcripts. Category III novel transcripts mainly consisted of single exon transcripts and showed similarly low expression across all tissues (Figure 4C).

Discussion

Using RNA-seq from fifty-nine horses across eight tissues has allowed us to capture transcriptome complexity and provide spatial resolution in terms of tissue-specificity in manner that exceeds any current equine annotations. Our descriptive statistics and accessible pipeline make this project open to modifications and further integration of transcriptomes.

RNA-seq based transcriptomes are prone to false inflation of gene numbers for several reasons. Technical limitations such as limited sequencing read length and amplification errors, false splicing

256 events, and assembler deficiencies are among several reasons of misassembly. Pervasive transcription is
 257 another predominant source of such inflation [16-18]. Some types of sequencing libraries increase the
 258 problem as well; for example rRNA depletion inflates the assembly with primary transcripts and false
 259 isoforms exhibiting intronic retention [19]. Our pipeline takes these factors into account and runs
 260 unguided by previous transcriptome annotations with several transcript filtration steps that reduce
 261 inclusion of inaccurate transcripts, while retaining the sensitivity for novel transcript detection. The
 262 effect of this procedure can be seen by comparing the gene numbers between our initial unfiltered and
 263 final filtered transcriptomes, where gene inflation was reduced by 68% (Table 2) and our final refined
 264 transcriptome contained 36,876 genes and 76,125 isoforms.

265 Although not indicative of transcriptome quality, we calculated specificity, as a measure of
 266 difference between our transcriptome and other annotations, and sensitivity, which indicates how our
 267 transcriptome covers another annotation. These parameters demonstrate that our aggressive filtering
 268 does sacrifice sensitivity at the locus level only by a margin of approximately 5%, and increases our
 269 specificity often by more than 10%, relative to NCBI and ENSEMBL (Supplementary Table 4). We
 270 have a comparable sensitivity to the Hestand transcriptome, which could be explained by adopting strict
 271 filtering approaches in both pipelines. However, the numbers of unstranded and multi-exon transcripts in
 272 the Hestand transcriptome relative to our refined version serve as the more discriminating statistics. We
 273 have approximately six fold less unstranded transcripts and more than double the multi-exon transcripts
 274 (Table 2). Regarding how our transcriptome compares to the other recent equine ISME assembly [5],
 275 which is ENSEMBL annotation guided, we have three times more matching transcripts to the ISME
 276 assembly than to the ENSEMBL annotation itself (Figure 2A), suggesting significant improvement
 277 made by the ISME annotation. However their improvements are impaired by false inflation in the
 278 number of genes identified due to presenting most of the transcripts in two copies representing the

forward and reverse strands. This inflation of the ISME annotation can explain why it has different statistics from Hestand as well as our new transcriptome. Hestand et al (2015) also observed a bias towards single exon genes, which represented approximately 55% of their whole transcriptome [7]. Our native assembly identified similar percentage of single exon transcripts, however those numbers went down to 20% after filtration of single exon pre-mRNA. Our statistic, complex loci, also highlights a level of sensitivity as well as an area for further investigation in our transcriptome. We have more than two times more complex loci, using NCBI as a reference, than Hestand. The inflated ISME complex loci numbers could be attributed to the double reporting of their transcripts. Awareness of these complex loci allows for refinement of transcriptome-wide gene structure, while a pipeline to appropriately process these loci has yet to be established. The evaluation of these alternative descriptive statistics permits comparisons with transcriptomes that have pipeline-specific limitations.

Accurate identification of UTRs is often difficult for *ab initio* programs and requires sufficient support of transcription evidence. Our integrative analysis of several tissues using different library preps enabled us to achieve unprecedented extension of equine transcripts' UTRs by an average of 3.3 kb per transcript. Indeed high coverage of CNS tissues in our analysis was an important factor as reported previously with several transcriptomes [20], [21] and [22]. Further improvements to this transcriptome would include providing tissue-specific UTR lengths and allowing for a more clear depiction of differences in gene structure between tissues. The improved UTR structure provided by our transcriptome has already shown its utility in the horse community by defining isoform and gene boundaries of *MUTYH* and *TOE1* [23] as well as providing an alternative start exon for *CYP7A1* [13]

The distinct RNA-seq libraries from eight tissues, allow us to extract tissue-specific features regarding gene expression, isoform usage and mitochondrial gene expression. Tissue specificity, in terms of gene expression, was demonstrated on two levels, first as biases in exon usage, especially one

and two exon gene expression in the embryo (Supplementary Table 3). Second, gene clustering and Panther annotation revealed inherent functions associated with each tissue (Figure 3A, Supplementary Table 5). The retina displayed the most uniquely present and absent isoforms, in agreement with other studies [25], and had correlated isoform annotations unique to the retina: visual perception and photoreceptor cell differentiation. The skeletal muscle was a tissue with a relatively low amount of unique tissue-specific isoforms, however, it shows utility of these isoforms with relatively high cumulative expression values (Figure 3B) as well as comparable splicing rates (Table 3). Three tissues: retina, skin, and embryo, had shorter read lengths and were not prepared as stranded libraries and thus these data may be artificially understated in terms of transcriptome complexity. In addition to the nuclear gene expression, the amount of transcription occurring from the mitochondrial chromosome can show how much of the sequencing resources are being allocated to genes of mitochondrial origin. Across the eight tissues, one would expect the tissue with the largest numbers of mitochondria to have the largest proportion of mitochondrial allocated transcriptional output [2]. Our data demonstrates these trends in the brainstem, skeletal muscle and spinal cord, however the cerebellum and retina do show an unexpectedly low mitochondrial gene load (Figure 3C). Further research establishing the relationship between the amount of mitochondria processed in a sample for RNA-seq and the resulting mitochondrial expression loads would be beneficial to understanding how much of the transcriptional output is dominated by an individual mitochondrion.

We identified 7494 candidate novel transcripts. These novel transcripts are selected based on having no overlap with genes in current equine annotation and authenticated by their protein-coding ability and/or overlap with aligned non-horse genes or *ab initio* gene predictions. Our novel transcripts have a diversity of coding exons in Category I and a particular expression bias in the embryonic tissues of Category II, in which a majority of these novel transcripts contain two exons (Figure 4C). The

Category I novel transcripts highlight the deficient equine ENSEMBL annotation, the need to pool the databases to get the most transcriptome coverage and the ability of our transcriptome to capture the potentially rare novel gene models (Figure 4A). Despite the ORF requirement for Category III novel transcripts, there is an obvious enrichment for single exon transcripts and a marked reduction of total transcription level (Figure 4C), which is indicative of non-coding RNA. Our novel gene analysis also produced a category of novel transcripts that were removed due to not having ORFs and were presumed to represent noisy transcription relating to primary transcripts, repetitive elements, sequencing errors and genome-based errors. The collection of Category III and these excluded novel transcripts may represent a repository of non-annotated non-coding RNA, which is an area that needs further annotation in the horse genome.

This transcriptome assembly pipeline not only produces flexible incorporation of additional transcriptomes, it also provides several products regarding levels of transcript filtering and appropriateness for downstream analysis. The different extractable transcriptome versions include transcriptomes after each individual filter, with the final refined transcriptome containing only genes with complete ORFs and genes aligning with other non-horse genes or *ab initio* gene predictions. A version of our transcriptome merged with NCBI and ENSEMBL annotations achieves breadth not covered by our tissues. These transcriptomes as well as the pipeline to make each of these transcriptomes are publically available on our GitHub repository. By making the workflow public and easy to execute and manipulate, we aim to expand the spectrum of tissues embodying this transcriptome and eliminate biases in annotated genes and thus downstream differential gene analysis. Increasing and providing the option for tissue-specific transcriptomes, allows for more targeted and refined usage of a certain tissue's transcriptome for differential gene analysis, resulting in downstream analysis of more significant differentially expressed genes. As stated in our overall goals of this project, we have

provided a framework for further improving the equine transcriptome and produced an equine transcriptome that expands on current equine annotations in the manner of UTR extension, isoform detection and novel gene identification.

Methods

RNA-seq library preparation

A total of twelve RNA-seq libraries in 8 tissues from 59 individuals (20 female, 27 males and 12 embryos) were used to prepare our transcriptome. The brainstem, spinal cord and cerebellum were strand-specific 100 bp paired-end (PE) libraries. The skeletal muscle tissues were strand-specific PE125 bp libraries. A subset of the embryo ICM (3 samples) and TE (3 samples) were unstranded PE100 bp libraries, the other subset (3 ICM and 3 TE) consisted of single end (SE) 100 bp reads. The retina RNA-seq libraries were unstranded SE80 bp libraries. And the skin libraries were all unstranded and consisted of PE80 bp, SE80bp and SE95 bp reads. The brainstem, spinal cord and cerebellum RNA libraries were all rRNA-depleted, the skin, retina and skeletal muscle libraries were poly-A captured. The embryonic libraries were neither poly-A selected nor rRNA depleted, they were prepared with the Ovation® RNA-seq System V2 (NuGEN, San Carlos, CA, USA), which aims to amplify mRNA as well as non-polyadenylated transcripts. Table 1 summarizes the tissue-specific RNA-seq library parameters.

Trimming and Mapping of Reads

The Illumina adaptors as well as the reads were trimmed with the sliding window quality trimmer Trimmomatic [26] with a window size of 4 and a softer quality threshold of 2 [27]. Mapping of the trimmed reads was done with Tophat2 [28] to EquCab2.0, 2007 (ftp://hgdownload.cse.ucsc.edu/goldenPath/equCab2). Cufflinks [15] was used to assemble transcripts from the aligned RNA-Seq reads. Two cerebellar samples failed assembly due to computation limitations (8 CPUs, 250 Gb RAM and 7 days) and required digital normalization [29] to 200X coverage before mapping with Tophat2.

Filtering Transcripts

Four categories of filters were used to remove likely pre-mRNA and artifactual transfrags, as summarized in Figure 1, resulting in six versions of the transcriptome. Primary transcript filtration was done using Cuffcompare [15] between our assembly and a version of our assembly containing only multi-exon transcripts and removing transcripts overlapping with intronic regions and with class codes “i”, “e” and “o”. The input trimmed RNA-seq reads were then back-mapped to the pre-mRNA free transcriptome using the quasi-mapping based software package Salmon [30]. While back-mapping, a second filtration step was implemented: low abundance transcripts in every locus were excluded with the lower threshold of a TPM (normalized read count standing for transcripts per million) less than 5% of the total TPM per locus. For the third filter, Transdecoder [31] was used to predict the ORFs and Cuffcompare [15] to determine any exonic overlap with any candidate gene locus using the class codes “j”, “o”, “x” and “c”. In the Transdecoder analysis, the longest open reading frames were extracted as well as any sequences having significant homology to the Pfam and Swissprot protein databases.

394 Finally, the removal of likely erroneous mitochondrial and short transcripts was done by a homemade
395 script.

396

397 *Transcriptome Comparisons*

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399 Comparisons of our refined transcriptome to the four public horse transcriptomes were done
400 using Cuffcompare [15]. In any pairwise comparison, two transcripts are considered matching if they
401 have the exact intron chain, despite differing terminal exons (class code “=”). If the transcripts are not
402 matching but sharing one or more splice junctions (class code “j”), these would be considered similar
403 transcripts. A transcript is considered novel if it does not overlap with any gene model in the 2nd
404 reference assembly (class code “u”). All other class codes including any kind of overlap with a reference
405 annotation on the opposite strand were considered as “other”. For more detailed descriptions of the class
406 codes provided by Cuffcompare, please see their manual [15]. Complex loci were flagged if a gene
407 model of one assembly overlapped with 2 different gene models in the other assembly. Sensitivity and
408 specificity relative to a given reference transcriptome were calculated per base, intron and locus for each
409 transcriptome and reference combination as described by Burset and Guigó [14].

410

411 *Novel Gene Prediction*

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413 Any transcript in our final *refined* transcriptome is defined as novel if it does not overlap with a
414 gene model in at least one of the two public equine assemblies, NCBI and ENSEMBL (Cuffcompare
415 class code “u”). Transcripts considered novel were divided into three groups according to the degree of
416 supportive evidence. Transcripts novel to either the NCBI or ENSEMBL assemblies with transcriptional

supportive evidence from the other or any other public assembly [5, 7] were in the first category of novel transcripts. Supportive evidence is defined as any overlapping with exon sequence (Cuffcompare class code “=”, “j”, “o”, “x” or “c”). The second and third categories of novel transcripts required that the transcript be absent in all current equine transcriptomes. Transcripts in the second category have supportive evidences in non-horse alignment gene models or *ab initio* gene prediction tracks from the UCSC genome browser. The third category of novel transcripts included transcripts that lack such evidence but have ORFs.

Tissue-Specific Characterization of The Transcriptome

Tissue-specific transcriptomes were generated by back-mapping the input trimmed RNA-seq reads with Salmon [30] to the refined version of the transcriptome to obtain expression information on a tissue-specific level. A transcript is considered expressed in a given tissue if it has a TPM more than 5% of the total TPM per locus calculated from the tissue specific libraries only. Biological processes identified within the tissue-specific gene blocks were annotated with Panther [32] and reported if the p-values were below the Bonferroni-corrected threshold (5% experiment-wide).

UCSC Track hubs

Gene Annotation Format (GTF) files were converted into the binary bigbed files [33] using UCSC kintUtils (<https://github.com/ENCODE-DCC/kentUtils>). The track hub directory structure was designed as recommend by UCSC genome browser [34]. Tracks were constructed using “bigBed 12” format and multiple libraries of the same tissue were organized in composite tracks. The hub files are

440 hosted on a github server as a part of the horse_trans repository (https://github.com/dib-lab/horse_trans).

441

442 **List of Abbreviations:**

443 Single Nucleotide polymorphism (SNP)

444 Untranslated region (UTR)

445 Central nervous system (CNS)

446 Inner cell mass (ICM)

447 Trophectoderm (TE)

448 RNA sequencing (RNA-seq)

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450

451 **Declarations**

452 *Ethics approval and consent to participate*

453 Not applicable

454 *Consent for publication*

455 All consent for publication is available

456 *Availability of data and materials*

457 The data including the scripts for the pipeline as well as the GTF files for the transcriptome can be found

458 at: https://github.com/dib-lab/horse_trans. (This repository is archived by Zenodo at

459 10.5281/zenodo.56934). All sequencing reads used in this study have been submitted to NCBI

460 Sequence Read Archive; SRA SRP082284 for muscle samples, SRP073514 for brainstem, SRP073514

461 and SRP082291 for spinal cord, SRP082342 for cerebellum, ERP001525 for retina, SRP031504 and

462 SRP082454 for embryonic tissues and ERP001524, ERP001525 and ERP005568 for skin.

463
464 *Competing Interests*

465 The authors declare that there are no competing interests.

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470 *Authors' Contributions*

471 All authors contributed to study conception and design. TAM produced the annotation pipeline
472 and did the data analysis with oversight on writing of the manuscript as well as figure formation. EYS
473 wrote the manuscript and made the figures. CTB, CJF and JDM aided with experimental design and
474 supervised the whole project. RRB and MJM provided retina and skin data. SJV provided muscle data.
475 PJR provided both embryonic tissue data. CJF provided spinal cord and brainstem data. EYS, JDM and
476 MCP provided the cerebellar RNA-seq data. All authors reviewed and approved the final version of the
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486
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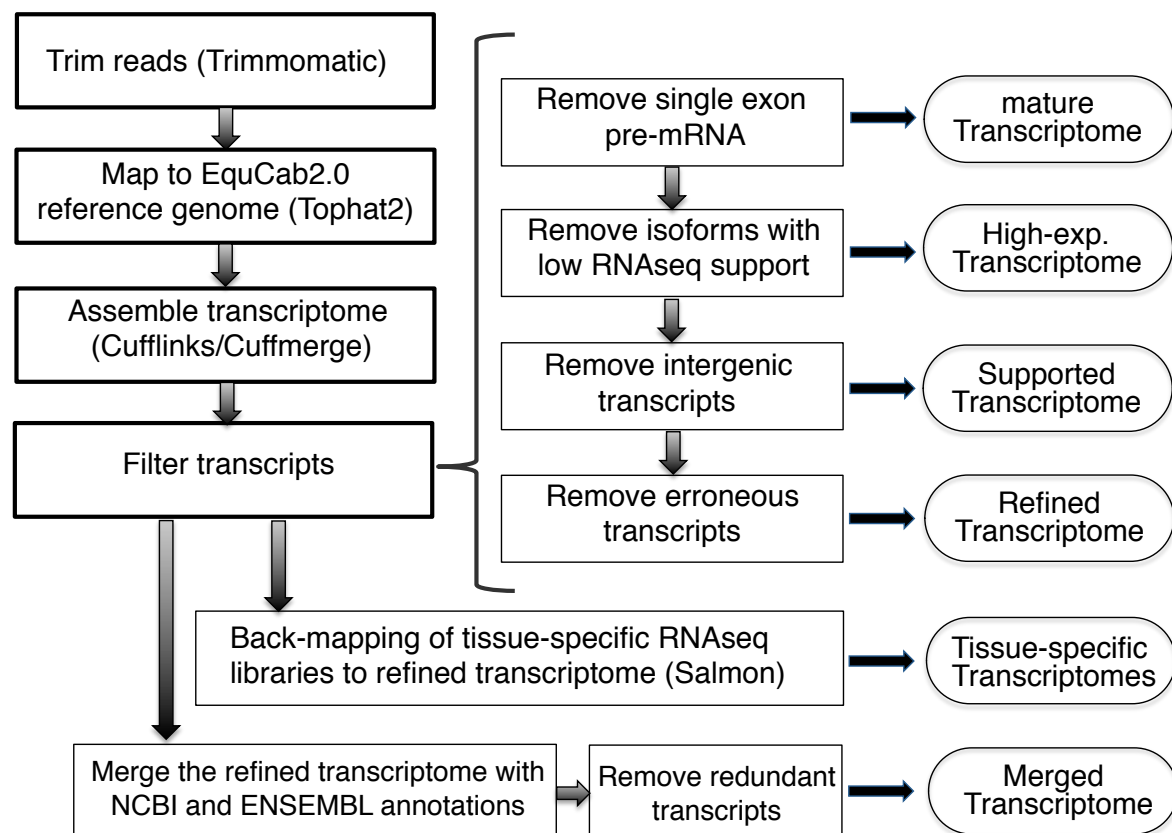
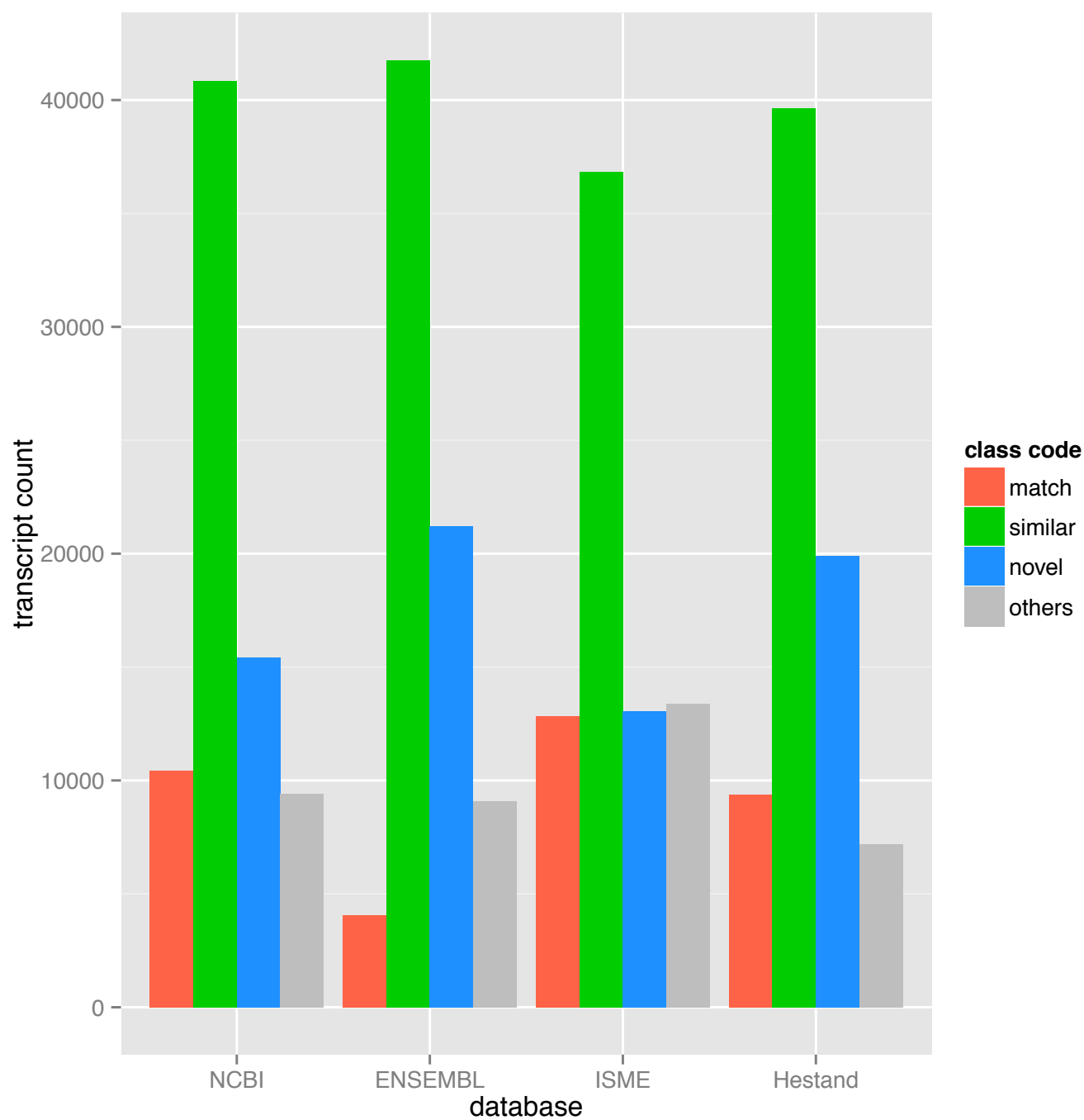


Figure 1. An outline of the workflow used to generate each version of the transcriptome. Transcriptome products are in ovals. Programs used to perform various steps are indicated in parentheses. All transcriptome versions and the pipeline scripts are publically available.

A)



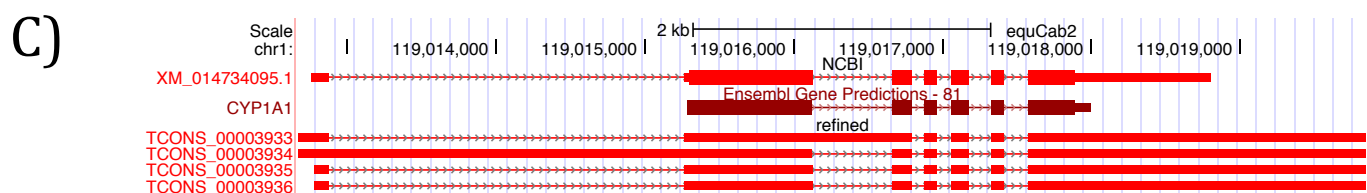
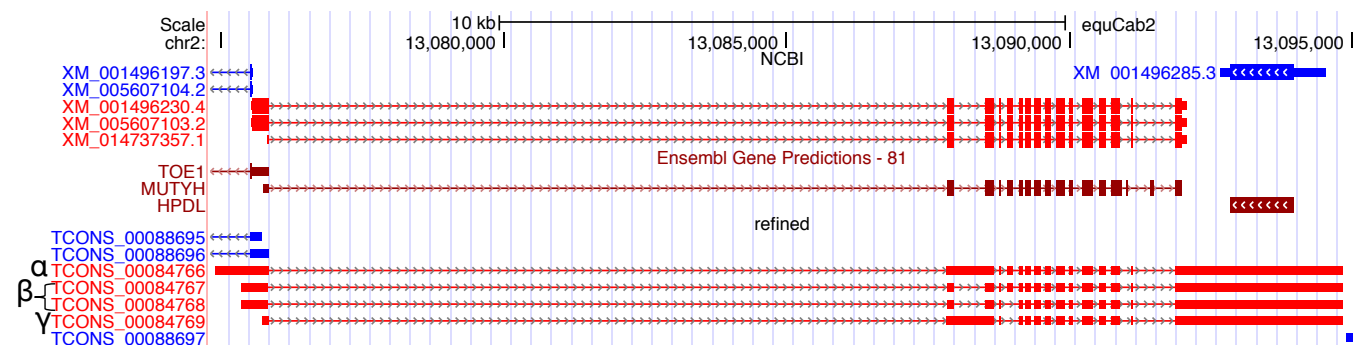
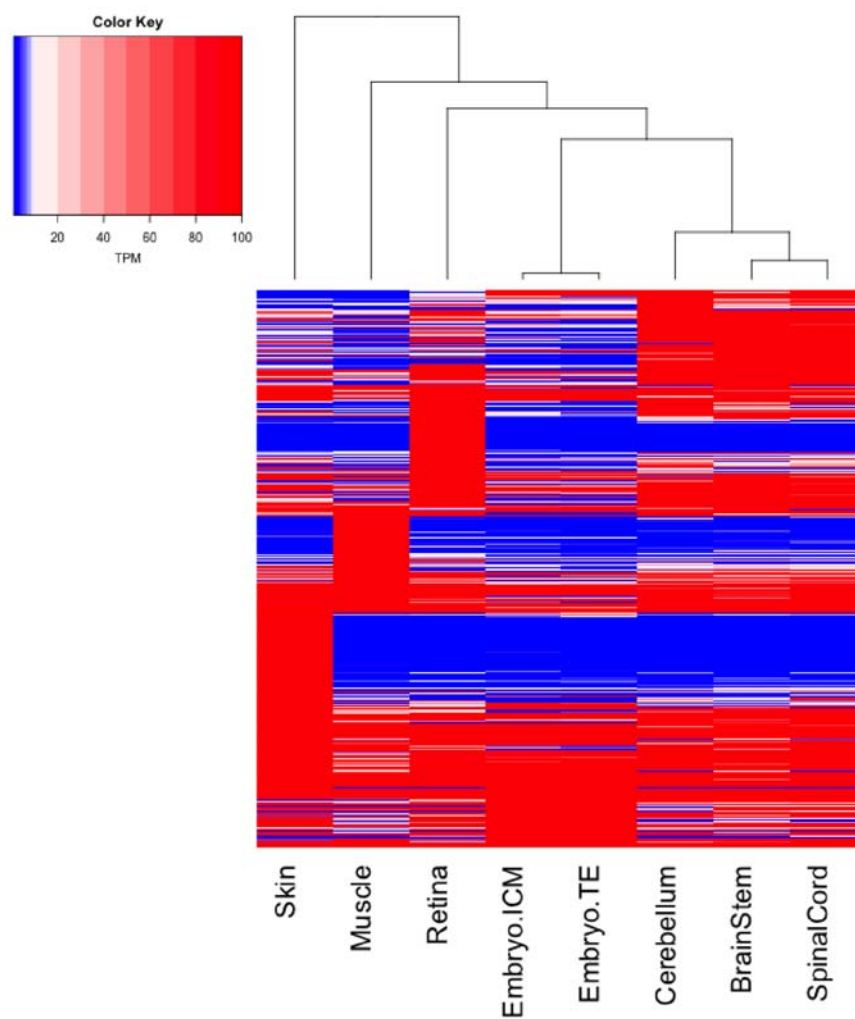
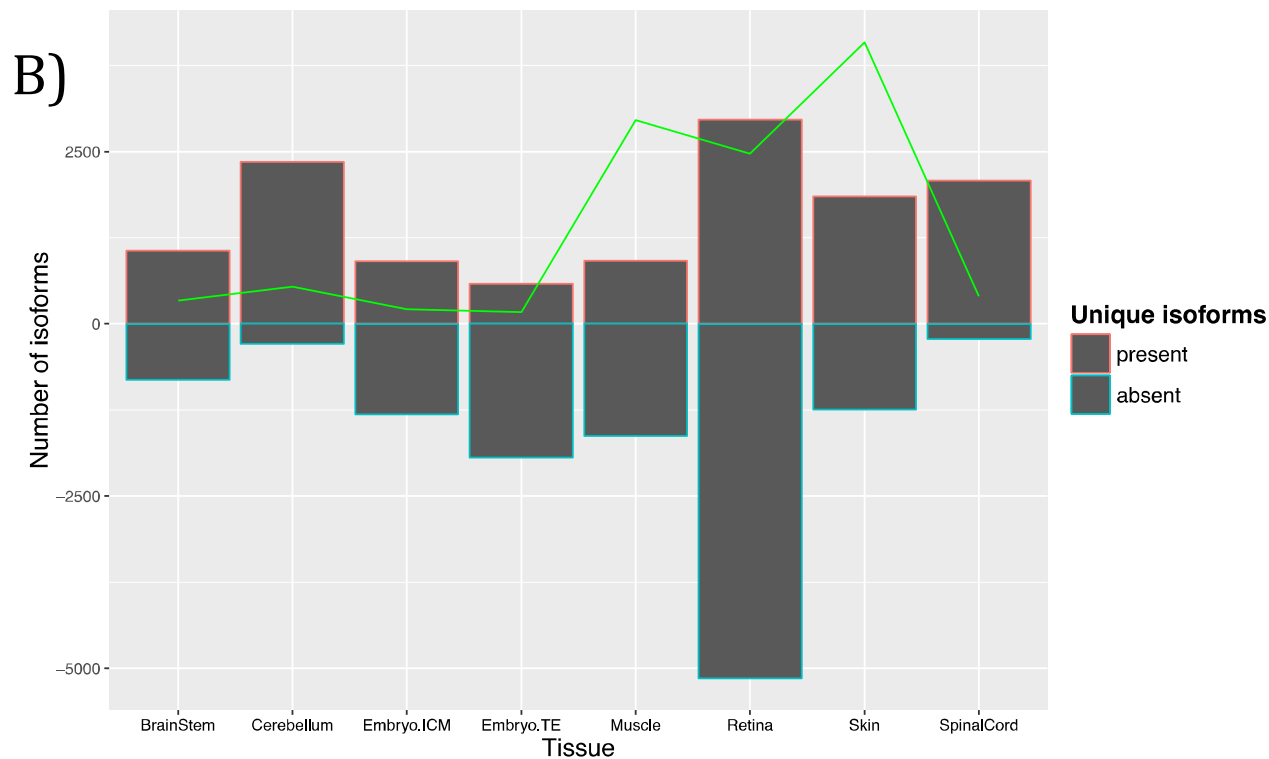


Figure 2. Comparison of our refined transcriptome to current equine annotations. (A) Our refined transcriptome compared to current annotations. (B) The annotation of *MUTYH* in the refined version of the transcriptome shows the addition of several isoforms, α , β , and γ , as seen in the human, of *MUTYH*. (C) The gene annotation of *CYP7A1* in the refined transcriptome also shows the inclusion of an extended alternative first exon not seen in other species.

A)





C)

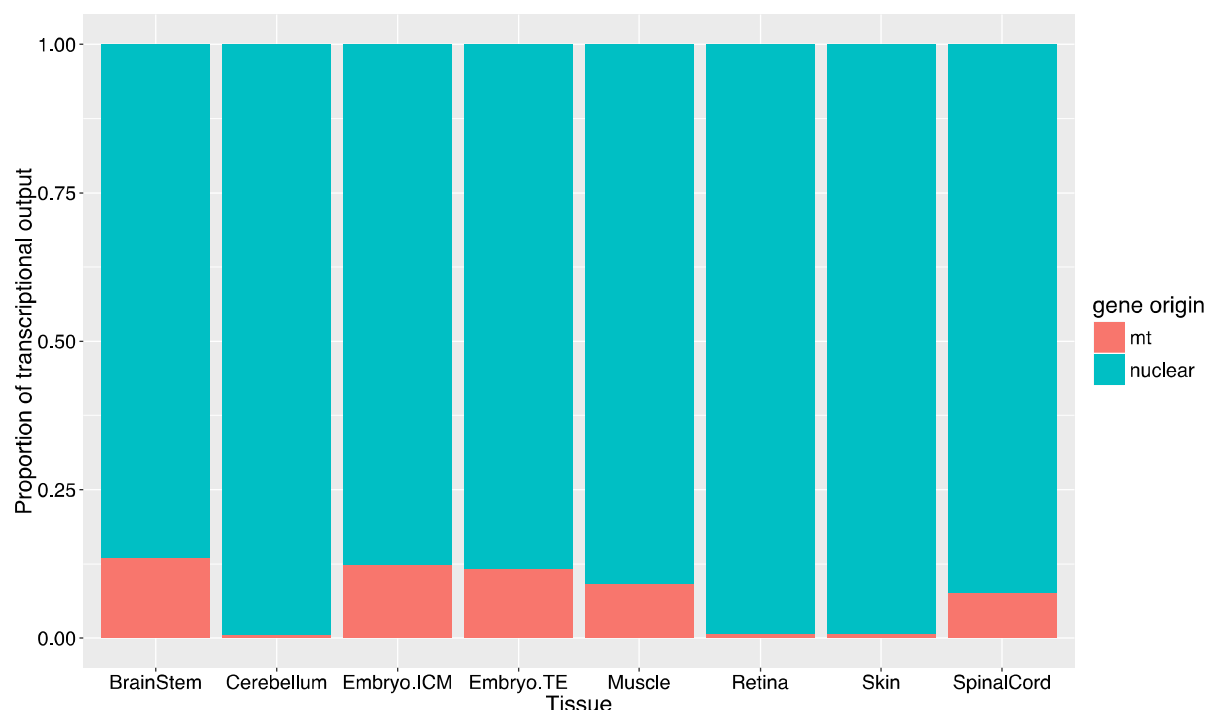
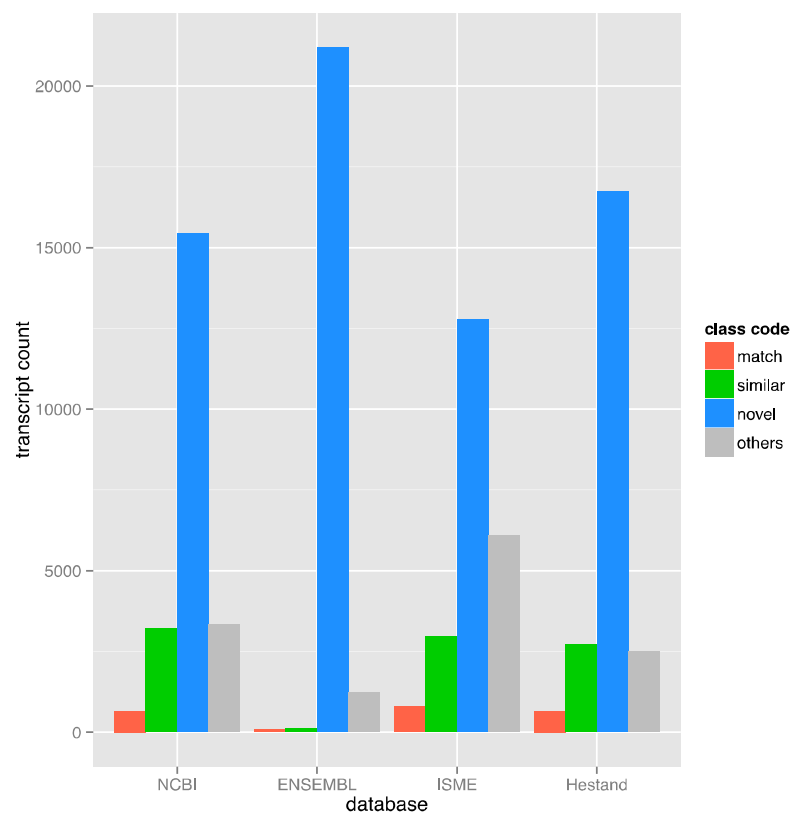
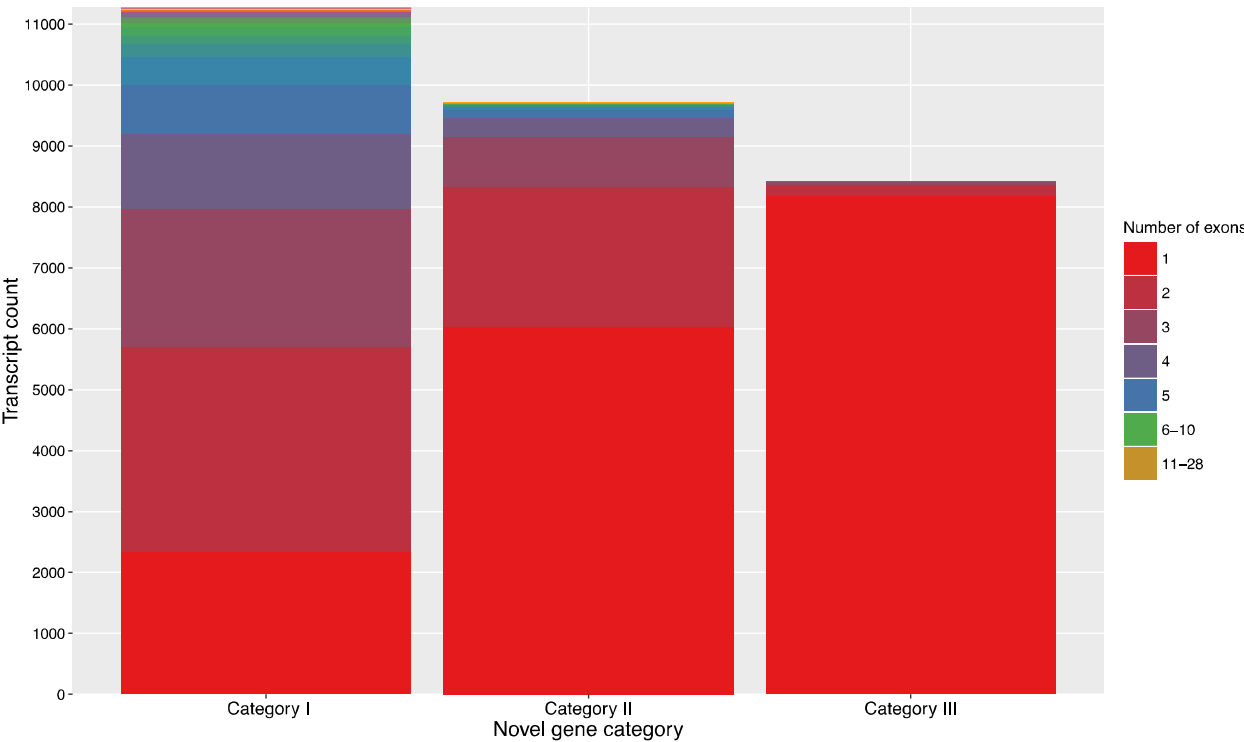


Figure 3. Tissue-specific gene and isoform composition of the transcriptome. (A) A heatmap of genes with high expression and substantial expression differences across tissues. (B) A bar graph showing isoforms uniquely present (the bar outlined in red above the x-axis) or solely absent (the blue outlined bars extending below the x-axis). The green trendline corresponds to the cumulative TPM of the uniquely present transcripts. (C) A stacked bar graph showing the transcription percentage of mitochondrial genes versus nuclear encoded genes.

A)



B)



C)

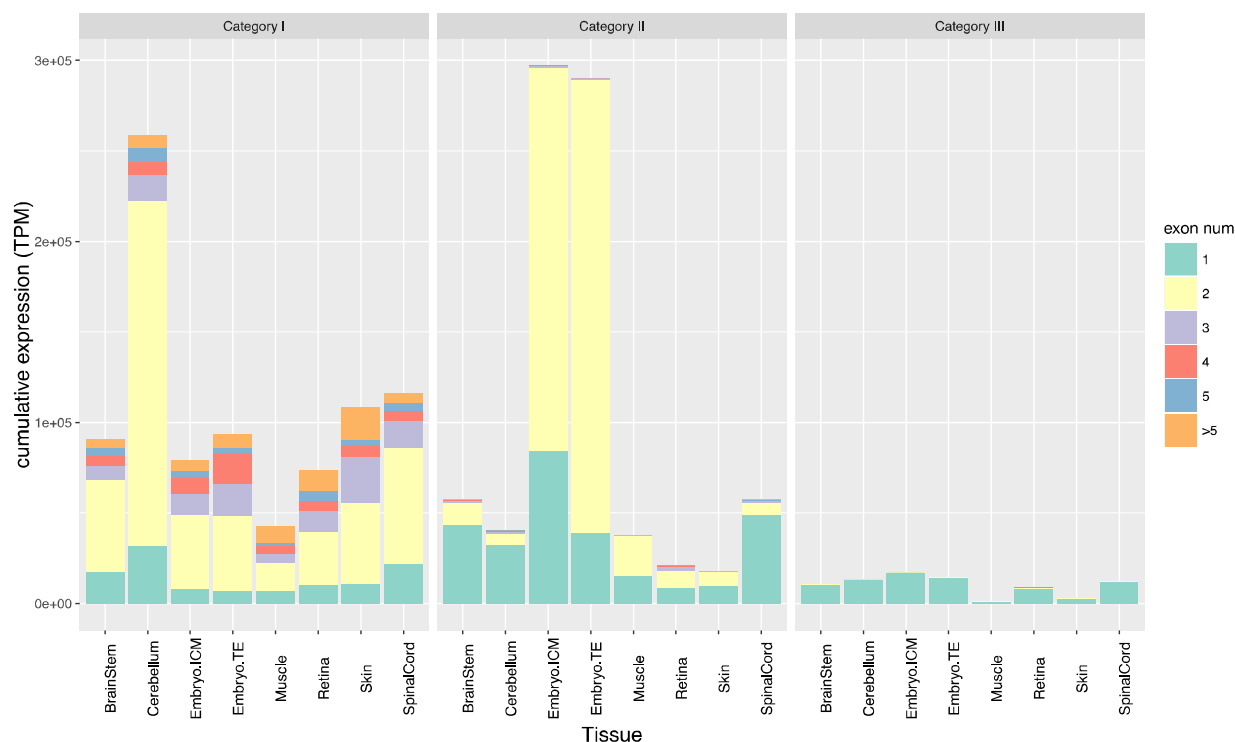


Figure 4. Novel gene analysis and classification. (A) A bar graph showing the comparison of all the novel genes against the current equine annotations. The three categories of novel genes were supported novel genes (Category I), unsupported, but conserved, novel genes (Category II) and the unsupported, un-conserved, but novel genes with an ORF (Category III). (B) A stacked bar graph of transcript counts with all three categories of novel genes showing exonic composition and (C) their cumulative TPM in a tissue specific manner.

Table 1. Sample and library preparations used as input for our equine transcriptome.

Tissue	Library Preparation	Library Characteristics	#Samples	#Frag(M)	#bp(Gb)	Reference
BrainStem	RiboRNA-depleted	PE100bp, stranded	8*	166.73	33.68	Finno et al, 2016
Cerebellum	RiboRNA-depleted	PE100bp, stranded	12	411.48	82.3	Scott et al, 2016
Muscle	Poly-A capture	PE125bp, stranded	12	301.94	76.08	
Retina	Poly-A captured	PE80bp unstranded	2	20.3	3.28	Bellone et al, 2013
SpinalCord	RiboRNA-depleted	PE100bp, stranded	16*	403	81.4	Finno et al, 2016
Skin	Poly-A captured	PE80bp, unstranded	2	18.54	3	Holl et al, 2016
	Poly-A captured	SE80bp, unstranded	2	16.57	1.34	Holl et al, 2016
	Poly-A captured	SE95bp unstranded	3	105.51	10.02	Bellone et al, 2013
Embryo ICM	Ovation RNA-seq	PE100bp, unstranded	3	126.32	25.26	Iqbal et al, 2014
	Ovation RNA-seq	SE100bp, unstranded	3	115.21	11.52	Iqbal et al, 2014
Embryo TE	Ovation RNA-seq	PE100bp, unstranded	3	129.84	25.96	Iqbal et al, 2014
	Ovation RNA-seq	SE100bp, unstranded	3	102.26	10.23	Iqbal et al, 2014
Total			69	1917.7	364.07	

*Seven individuals had both brainstem and spinal cord tissue collected from them. Seven of the skin samples were taken from 5 individuals and one individual had both retina and skin sampled, bringing our total number of individuals to 59.

Table 2. Comparison of current public equine annotations to six versions of our transcriptome (bolded and outline in red) in terms of gene numbers and composition

	Unfiltered	Mature	High-exp	Supported	Refined	Merged	Hestand	ISME	NCBI	ENSEMBL
Genes (super-loci)	117019	75102	75375	37062	36876	47760	56495	42654	24342	26962
Transcripts	211562	162261	114830	76323	76125	121997	68594	285538	43417	29196
Multi-transcript loci	17136	15430	14602	14511	14505	17835	8465	23833	7257	1592
Multi-exon transcripts	108985	108985	61570	60839	60782	97654	30949	259556	39272	19805
Redundant transcripts	0	0	0	0	0	2	3	12578	141	79
Unstranded transcripts	46928	35881	35872	6676	6618	5705	37673	4732	0	0
Single exon transcripts	102577	53276	53260	15484	15343	24341	37642	13404	3723	6862
Two exons transcripts	11114	11114	9449	8857	8808	11350	4410	13092	2425	2972
Many exons transcripts	97871	97871	52121	51982	51974	86306	26542	259042	37269	19362

Table 3. Tissue-specific splicing rate as calculated by Cuffcompare, with relevant number of multi-exonic transcripts and multi-transcript loci per tissue.

	Embryo ICM	Embryo TE	Skin	Brainstem	Cerebellum	Retina	Spinal cord	Muscle
Genes	33998	32050	30003	34792	36139	26733	34980	29549
transcripts	57400	54424	51995	62993	66364	47095	66001	52000
multi-exon transcripts	44069	42433	42432	49346	51640	39420	52175	42483
multi- transcript loci	11938	11461	11797	13066	13334	10866	13352	11560
Splicing rate	1.7	1.7	1.7	1.8	1.8	1.8	1.9	1.8