

# Clinical Response to Neurofeedback in Major Depression Relates to Subtypes of Whole-Brain Activation Patterns During Training

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## Abstract

Major Depressive Disorder (MDD) poses a significant public health challenge due to its high prevalence and the substantial burden it places on individuals and healthcare systems. Real-time functional magnetic resonance imaging neurofeedback (rtfMRI-NF) shows promise as a treatment for this disorder, although its mechanisms of action remain unclear. This study investigated whole-brain response patterns during rtfMRI-NF training to explain interindividual variability in clinical efficacy in MDD. We analyzed data from 95 participants (67 active, 28 control) with MDD from previous rtfMRI-NF studies designed to increase left amygdala activation through positive autobiographical memory recall. Significant symptom reduction was observed in the active group ( $t=-4.404$ ,  $d=-0.704$ ,  $p<0.001$ ) but not in the control group ( $t=-1.609$ ,  $d=-0.430$ ,  $p=0.111$ ). However, left amygdala activation did not account for the variability in clinical efficacy. To elucidate the brain training process underlying the clinical effect, we examined whole-brain activation patterns during two critical phases of the neurofeedback procedure: activation during the self-regulation period, and transient responses to feedback signal presentations. Using a systematic process involving feature selection, manifold extraction, and clustering with cross-validation, we identified two subtypes of regulation activation and three subtypes of brain responses to feedback signals. These subtypes were significantly associated with the clinical effect (regulation subtype:  $F=8.735$ ,  $p=0.005$ ; feedback response subtype:  $F=5.326$ ,  $p=0.008$ ; subtypes' interaction:  $F=3.471$ ,  $p=0.039$ ). Subtypes associated with significant symptom reduction were characterized by selective increases in control regions, including lateral prefrontal areas, and decreases in regions associated with self-referential thinking, such as default mode areas. These findings suggest that large-scale brain activity during training is more critical for clinical efficacy than the level of activation in the neurofeedback target region itself. Tailoring neurofeedback training to incorporate these patterns could significantly enhance its therapeutic efficacy.

## 1      **Introduction**

2      Major Depressive Disorder (MDD) presents a significant public health challenge, with  
3      approximately one-third of diagnosed patients not responding to first-line treatments such as  
4      antidepressants and psychotherapy. This results in substantial disability and economic losses  
5      due to treatment costs and lost productivity <sup>1, 2</sup>. Real-time functional magnetic resonance  
6      imaging neurofeedback (rtfMRI-NF) has emerged as a promising alternative, demonstrating  
7      large to medium effect sizes in treating depressive symptoms <sup>3-5</sup>. This noninvasive brain  
8      modulation technique involves the real-time analysis and visualization of brain activation signals,  
9      thereby enabling participants to self-regulate their brain activity. Its efficacy in training  
10     participants to modulate their brain activation is well-supported by many studies, including  
11     several meta-analyses <sup>3-11</sup>. However, the direct impact of rtfMRI-NF on symptom relief is not yet  
12     fully understood due to incomplete knowledge of the neural mechanisms by which this training  
13     alleviates symptoms through the regulation of specific brain activations.

14     Previous studies on the mechanisms of NF training <sup>12-15</sup>, including investigations into brain  
15     responses to feedback signals <sup>15-19</sup>, have identified a broad spectrum of brain activities  
16     associated with NF-mediated self-regulation training. This training process is considered to  
17     include aspects of reinforcement learning, and two types of brain activation - evaluation of  
18     feedback values and modulation of brain activation - are common components of the  
19     reinforcement learning process <sup>20</sup>. Thus, to elucidate the learning mechanisms of NF-mediated  
20     brain regulation, investigating these two epochs is crucial. Active regions during these epochs  
21     typically include the prefrontal cortex, salience network, and reward processing areas <sup>12-15</sup>.  
22     However, while many studies have focused on the success of regulating target brain activities,  
23     the relationship between these activities and subsequent symptom relief remains elusive.  
24     Furthermore, interindividual variability in the clinical efficacy of NF necessitates further  
25     investigation to identify brain response subtypes associated with therapeutic outcomes.

26 This study aimed to characterize whole-brain activation patterns during rtfMRI-NF training in  
27 individuals with MDD, with the goal of identifying brain activation subtypes associated with  
28 interindividual variability in therapeutic efficacy. To this end, we analyzed a large dataset from  
29 rtfMRI-NF studies where participants with MDD were trained to regulate left amygdala activity  
30 through neurofeedback <sup>21-24</sup>. These studies consistently observed significant reductions in  
31 depressive symptoms on average post-training, albeit with variations in therapeutic outcomes  
32 among participants.

33 We hypothesized that the observed variability in treatment efficacy could be explained by  
34 variations in whole-brain activation patterns during self-regulation training, extending beyond the  
35 NF target region (amygdala). The involvement of large-scale networks in NF training has been  
36 demonstrated in a meta-analysis of NF studies <sup>12</sup>, and burgeoning evidence suggests that the  
37 effects of NF training may extend beyond the targeted brain region <sup>25-27</sup>. Specifically, we focused  
38 on two types of brain activation critical for NF training: regulatory task activity throughout the  
39 task block and instantaneous responses to neurofeedback signal presentations (Figure 1).  
40 These two types of activation are thought to correspond to two critical components of the  
41 reinforcement learning process <sup>20</sup> and should characterize the training process for each  
42 individual.

## 43 **Methods**

### 44 **Participants**

45 The present study was a secondary analysis of data from our previously published studies <sup>21</sup>,  
46 <sup>22, 24</sup> and a preliminary study utilizing the same rtfMRI-NF protocols in individuals diagnosed with  
47 MDD. The University of Oklahoma Institutional Review Board (IRB) or the Western IRB  
48 reviewed and approved the study protocols, ensuring adherence to the ethical principles of the  
49 Declaration of Helsinki. Participants provided written informed consent prior to participation and  
50 were financially compensated. Participants met DSM-IV-TR <sup>28</sup> criteria for MDD based on the

51 Structural Clinical Interview for DSM-IV disorders <sup>29</sup> or DSM-5 criteria for MDD based on the  
52 Mini-International Neuropsychiatric Interview (MINI) <sup>30</sup>. Previous articles <sup>21, 22, 24</sup> detailed each  
53 study's inclusion and exclusion criteria. Common inclusion criteria across studies are ages 18-  
54 65, current diagnosis of MDD, and common exclusion criteria are current diagnosis of PTSD,  
55 substance use disorder, bipolar disorder, active suicidal ideation or behavior within a year, a  
56 history of psychosis, pregnancy, and MRI contraindicators.

57 The present analysis included data from 95 participants with MDD (68 females; mean age  $\pm$   
58 SD = 33.6  $\pm$  10.4 years), consisting of 67 in the active NF group (46 females) who received left  
59 amygdala neurofeedback and 28 in the control group (22 females) who received neurofeedback  
60 from a brain region not associated with emotional processing.

## 61 **Real-time fMRI Neurofeedback Paradigm**

62 The NF training was designed to enhance the activation signal in the left amygdala while  
63 recalling happy autobiographical memories <sup>31</sup>. The task sequence utilized a blocked design  
64 consisting of alternating periods of rest, self-regulation with NF, and number counting, each  
65 lasting 40 seconds. This sequence was repeated four times in a single training run, with  
66 participants undergoing three such runs per session. Because the number of sessions differed  
67 among the studies, the present analyses were confined to data from the three runs of the initial  
68 session. Depressive symptom severity was estimated with the Montgomery-Åsberg Depression  
69 Rating Scale (MADRS) <sup>32</sup> immediately before the NF session, and one-week post-training.

70 MRI imaging in all experiments was conducted using the same 3T Discovery MR750 scanner  
71 (GE Healthcare). Blood Oxygenation Level-Dependent (BOLD) fMRI data acquisition employed  
72 a T2\*-weighted gradient-echo planar imaging (EPI) sequence, with parameters set as follows:  
73 TR/TE = 2000/30 ms, acquisition matrix = 96  $\times$  96, field of view (FOV)/slice thickness = 240  
74 mm/2.9 mm, flip angle = 90°, and 34 axial slices, using a SENSE acceleration factor of 2. EPI  
75 images were then reconstructed to a 128x128 matrix, resulting in an fMRI voxel size of

76 1.875x1.875x2.9 mm<sup>3</sup>. Anatomical reference was obtained using a T1-weighted magnetization-  
77 prepared rapid gradient-echo (MPRAGE) sequence.

78 A detailed description of the rtfMRI-NF procedure can be found in our previous publications <sup>21</sup>,  
79 <sup>22, 24</sup>. Briefly, a custom in-house rtfMRI system was utilized for the experiments <sup>31</sup>. For the active  
80 group, the NF signal was extracted from the left amygdala, defined by 7-mm diameter spheres  
81 centered at Talairach coordinates (x, y, z = -21, -5, -16 mm), and then mapped to each  
82 participant's brain space. For the control group, the NF signal was sourced from the horizontal  
83 segment of the intraparietal sulcus at Talairach coordinates (-42, -48, 48 mm), a region  
84 suggested to be unrelated to emotion regulation <sup>33</sup>. During the happy memory recall block, the  
85 NF signal was quantified as the percent signal change from the mean signal in the preceding  
86 rest block. The signal was updated every 2 s and visually presented to participants as a red bar.

87 **MRI data processing**

88 The Analysis of Functional NeuroImages (AFNI; <http://afni.nimh.nih.gov>) software suite was  
89 utilized for image processing. After discarding the first three volumes to achieve signal  
90 equilibrium, preprocessing steps were conducted, including despike, RETROICOR <sup>34</sup> along with  
91 respiratory volume per time (RVT) regression <sup>35</sup> for physiological noise correction, slice-timing  
92 and motion corrections, nonlinear warping to the MNI template brain with resampling to 2 mm<sup>3</sup>  
93 voxels using the Advanced Normalization Tools (ANTs; <http://stnava.github.io/ANTs/>), spatial  
94 smoothing with a 6 mm full-width at half maximum (FWHM) Gaussian kernel, and scaling of  
95 signals to percent change relative to the voxel-wise mean.

96 Activation during the self-regulation was assessed using a general linear model (GLM)  
97 analysis. We extracted the two types of brain response in this process (Fig. 1). The GLM  
98 regressors included response models for the regulation and counting blocks, each modeled with  
99 a boxcar function convolved with the canonical hemodynamic response function (HRF). The  
100 regressor for the regulation block was used for estimating the first type of brain activation,  
101 regulation block activation (Fig. 1). The GLM regressors also included twelve motion parameters

102 (three rotations, three translations, and their temporal derivatives), three principal components  
103 of ventricle signals, local white matter signals (ANATICOR)<sup>36</sup>, and an event-related regressor  
104 for the onset of any condition block (modeled as a delta function convolved with HRF) as  
105 nuisance covariates. Volumes with frame-wise displacement greater than 0.3 and their  
106 preceding volume were censored in the GLM.

107 Another type of brain response, the feedback event-related response (Figure 1), was  
108 evaluated using additional event-related regressors for each feedback presentation. The  
109 response was modeled as a delta function, modulated by the feedback amplitude normalized in  
110 each run, and convolved with the hemodynamic response function (HRF). Since the feedback  
111 signal was presented during the regulation block and this event regressor could be collinear with  
112 the regulation block regressor, we orthogonalized the feedback-response-event regressor with  
113 respect to the regulation block regressor. The beta coefficients from the general linear model  
114 (GLM) analysis were used as response estimates.

115 These response estimates were assessed for each block independently using the least  
116 squares - separate (LS-S) approach<sup>37</sup>, in which separate regressors for the target block and all  
117 other blocks were included to estimate the response in a block, and repeated for each block in  
118 separate GLM analyses. The use of this block-wise response facilitates subsequent group  
119 analysis using linear mixed-effect model and provides the estimates with better test-retest  
120 reliability<sup>38, 39</sup>.

## 121 **Clustering analysis**

122 Clustering analysis was conducted on the whole-brain beta maps solely for the active group  
123 participants to categorize their brain activation patterns. This approach was chosen because  
124 including the control group could introduce brain activation patterns with various unspecific  
125 effects. Such inclusion might increase the dimensionality of latent subtypes and complicate the  
126 extraction of subtypes relevant to the active NF training process.

127 A significant challenge posed by this analysis is the high dimensionality of the whole-brain  
128 beta maps; the problem often referred to as the "curse of dimensionality" <sup>40</sup>. This phenomenon  
129 refers to the issues arising in high-dimensional spaces, where distances between points  
130 become uniformly large, data points are sparsely distributed, and there is a high risk of  
131 overfitting, leading to clustering solutions that are difficult to reproduce.

132 To address this challenge, we implemented a multi-step strategy to reduce dimensionality and  
133 identify an informative space associated with treatment outcomes. Additionally, we employed  
134 cross-validation to ensure the clustering solution's stability and reproducibility. Our approach  
135 involved (1) aggregating voxel-wise responses into regional averages using a functional brain  
136 atlas, (2) removing the regions irrelevant to treatment outcomes, (3) applying Uniform Manifold  
137 Approximation and Projection (UMAP) <sup>41</sup> to extract a low-dimensional representation, (4)  
138 applying k-means clustering in the UMAP space, and (5) employing repeated cross-validation to  
139 assess the robustness of the clustering solution <sup>42</sup>. Figure 2 illustrates the flowchart of the  
140 analysis performed to delineate subtypes of brain activation.

141 Initially, voxel-wise beta values were averaged within each functional region defined by the  
142 Shen 268 atlas <sup>43, 44</sup>. This atlas was chosen for its demonstrated performance in various  
143 predictive modeling studies <sup>43, 45-49</sup>. The data for each participant are averaged across the three  
144 runs or for each run. We tested both approaches as a hyperparameter to test which one yielded  
145 the most stable clustering solution. Subsequently, we identified regions correlating with changes  
146 in the MADRS score (change relative to the baseline score expressed as a ratio) after adjusting  
147 for the effects of age and sex. A threshold of  $p < 0.1$  was used for this selection, prioritizing  
148 dimensionality reduction rather than finding significantly related regions. After excluding the  
149 regions irrelevant to the treatment outcome, we utilized UMAP for dimensionality reduction,  
150 followed by k-means clustering. The UMAP parameters were set to optimize the clustered  
151 distribution within the reduced-dimensional space, with 'n\_neighbors' (the number of  
152 neighboring points used in the local manifold approximation) set to 50, and 'min\_dist' (the

153 minimum distance apart that points are allowed to be in the low-dimensional representation) set  
154 to 0. The large 'n\_neighbors' value and small 'min\_dist' value encourage the UMAP to extract a  
155 space with a clustered distribution. Subsequently, k-means clustering was executed within the  
156 UMAP-defined space.

157 The stability of the clusters was rigorously evaluated through cross-validation, facilitated by  
158 the 'reval' Python package <sup>42</sup>. This involved dividing the dataset into two halves, applying  
159 clustering to one half, and then applying the derived clustering rule to the opposite half, and vice  
160 versa, to assess the consistency of the cluster labels between the two rules (Fig. 2). This  
161 procedure was repeated 10 times, each with a unique data split, to calculate the average  
162 stability score. The stability measure is the mean normalized Hamming distance between the  
163 two solutions, ranging from 0 to 1. A smaller value indicates a more stable and reproducible  
164 solution. Since this measure is larger with a larger number of clusters, it was scaled by the  
165 stability of random labeling of the same number of clusters <sup>50</sup>.

166 In summary, these procedures were conducted across the hyperparameter space of response  
167 summary (average across runs or a sequence of three runs), UMAP random seed (50 values),  
168 UMAP dimension (ranging from 2 to 50), and the number of clusters (ranging from 2 to 5) to find  
169 robust clustering with the smallest mean distance between the cross-validated solutions.

## 170 **Mapping the brain responses in each subtype**

171 After identifying the subtypes, we evaluated the voxel-wise response patterns for each  
172 subtype using a linear mixed-effect (LME) model analysis performed voxel-wise with the 'lme4'  
173 package <sup>51</sup> in R language and environment for statistical computing. This LME analysis was  
174 applied to the beta values for each block, run, and participant, incorporating fixed effects for the  
175 run, the identified subtype from the clustering analysis, age, and sex, as well as a random effect  
176 for participants at the intercept level. The mean response for each subtype was calculated using  
177 the 'emmeans' package <sup>52</sup> in R. The mean maps of the subtypes were thresholded at a voxel-  
178 wise  $p < 0.001$ , corrected for cluster size with a  $p < 0.05$  using AFNI 3dClustSim.

179 **Statistical testing**

180 LME analysis was performed to investigate changes in MADRS scores one week after a NF  
181 session for both active and control groups. The LME model included fixed effects for time (pre-  
182 /post-NF), group (active/control), age, and sex, as well as a random effect for participants at the  
183 intercept. We also examined whether treatment efficacy was correlated with NF training  
184 performance measures, including the mean NF amplitude, mean left amygdala response during  
185 the regulation block, and the change in left amygdala response between the first and the last  
186 training runs using linear model analysis.

187 We then examined whether the identified subtypes of brain activation during NF training were  
188 associated with demographic and training performance variables, as well as the depressive  
189 symptom score (MADRS) at the baseline and its change post-NF. Each variable was examined  
190 using linear model analysis, with subtypes serving as independent variables. The post-hoc  
191 analysis for the mean response for each subtype and the difference between the subtypes was  
192 performed using the 'emmeans' package <sup>52</sup>, and *p*-values for the post-hoc comparisons were  
193 corrected by multivariate *t* adjustment.

194 In addition, the subtyping rules established in the active group were applied to the control  
195 group to assess if similar patterns in MADRS score changes could be observed across the  
196 subtypes. The presence of consistent subtype associations with symptom reduction in both the  
197 active and control groups would suggest the operation of a common treatment mechanism. This  
198 could indicate that the efficacy of NF training is not specific to the neurofeedback signal from an  
199 emotion-related region.

200 **Results**

201 **Data selection**

202 Post-training MADRS scores were not available for two participants in the active group. fMRI  
203 data from five participants were excluded from the analysis due to problems with physiological

204 signal acquisition (two from the active group and one from the control group) or excessive head  
205 motion (two from the active group) with more than 30% of time points censored (Framewise  
206 Displacement [FD] > 0.3) in all training runs. Additionally, four participants in the active group  
207 who exhibited excessive head motion in any one of the training runs were excluded only when  
208 analyzing data with a series of three training runs. Analyses were performed using the largest  
209 sample size available for each test measure, regardless of missing data on other variables (see  
210 Supplementary Information [SI], Section 1). No significant differences in age, sex, and baseline  
211 MADRS scores were observed between the active and control groups in any of these selected  
212 datasets.

### 213 **Reduction of depressive symptoms following NF training**

214 Figure 3 shows the MADRS scores before and after NF training for each group, with average  
215 scores represented by the height of bars and individual participant scores depicted as points  
216 connected by lines. LME analysis identified a significant main effect of time. Post-hoc analysis  
217 revealed a significant decrease in post-session scores ( $t = -4.404$ ,  $d = -0.704$ ,  $p < 0.001$ ). SI  
218 Table S2a provides the ANOVA tables for the LME analysis. While the time by group interaction  
219 was not statistically significant ( $p = 0.090$ ), the active group showed a significant symptom  
220 reduction ( $t = -5.576$ ,  $d = -0.978$ ,  $p < 0.001$ ) but not the control group ( $t = -1.609$ ,  $d = -0.430$ ,  $p =$   
221 0.111).

222 However, left amygdala activation had no significant main effect of the group ( $F = 2.870$ ,  $p =$   
223 0.094) and the interaction between the group and run ( $F = 0.597$ ,  $p = 0.551$ ). Furthermore,  
224 within the active group, no significant associations were found between changes in MADRS  
225 scores and measures of NF training performance, including mean NF amplitude, average left  
226 amygdala response during regulation blocks, or changes in left amygdala response from the  
227 first to the last training runs (see SI Section 2 for comprehensive details).

228 **Clustering results**

229 In clustering of activation maps related to the regulation block, the optimal stability score of  
230 0.217 was obtained using the following hyperparameters: averaging responses across runs for  
231 each participant, extracting a 40-dimensional UMAP space, and clustering into two subtypes,  
232 which included 32 and 31 participants respectively. Similarly, in the clustering of activation maps  
233 associated with the feedback event, an optimal stability score of 0.229 was reached by  
234 analyzing a series of responses across three runs, extracting a 14-dimensional UMAP space,  
235 and forming three subtypes consisting of 23, 15, and 22 participants each. However, no  
236 significant association was found between the subtypes identified in the regulation block and  
237 those in the feedback event ( $\chi^2 = 3.143$ ,  $p = 0.208$ ).

238 Figure 4 shows *t*-maps of the mean beta values for the subtypes of regulation block  
239 activations (REG subtypes), with detailed peak coordinates available in SI, Section 3, Table S3.  
240 The first subtype, REG-A, exhibited increased BOLD signals in regions commonly identified in  
241 NF studies <sup>12</sup>, including the lateral prefrontal cortex, superior and inferior parietal lobules,  
242 supplementary motor area (SMA), anterior insula, thalamus, and cerebellum. In contrast,  
243 decreased BOLD signals were observed in Heschl's gyrus, posterior insula, middle cingulate  
244 cortex, and cuneus. The second subtype, REG-B, demonstrated increased BOLD signals in the  
245 lateral prefrontal cortex, SMA, and anterior insula, with decreased activity in default mode  
246 network (DMN) areas such as the precuneus, posterior cingulate cortex, mediodorsal prefrontal  
247 cortex, Rolandic operculum, and fusiform gyrus.

248 Figure 5 presents *t*-maps of the mean beta values for subtypes of the brain responses to the  
249 feedback event (FBR subtypes), with peak coordinates detailed in SI, Section 4, Table S4. The  
250 first subtype, labeled FBR0, demonstrated a limited response, particularly in the Rolandic  
251 operculum and right supramarginal gyrus. The second subtype, labeled FBR-, was  
252 characterized by a negative association of BOLD signal changes in response to NF signals,  
253 affecting regions including the SMA, middle cingulate cortex, Heschl's gyrus, Rolandic

254 operculum, and visual cortex. In contrast, the third subtype, labeled FBR+, displayed a positive  
255 association of BOLD signal changes in areas such as the precuneus, middle cingulate cortex,  
256 lateral prefrontal cortex, nucleus accumbens, cerebellum, and inferior occipital regions.

257 **Subtype associations with demographics, NF-training characteristics, and the**  
258 **depressive symptom in the active group**

259 Linear model analyses examining associations of the REG and FBR subtypes with age, mean  
260 NF signal amplitude, mean left amygdala activation across runs, and baseline MADRS scores  
261 revealed no significant differences between the subtypes. Similarly,  $\chi^2$  tests showed no  
262 significant associations of these subtypes with sex or study participation (refer to SI section 5 for  
263 further detailed statistics).

264 However, changes in MADRS scores, indicative of clinical efficacy, were significantly  
265 associated with these subtypes. The main effects of both REG and FBR subtypes, as well as  
266 their interaction effect on changes in MADRS scores, were statistically significant (REG,  $F =$   
267  $8.735, p = 0.005$ ; FBR,  $F = 5.326, p = 0.008$ ; interaction  $F = 3.471, p = 0.039$ ). Post-hoc  
268 analysis revealed a significant decrease in MADRS scores within the REG-B subtype ( $t = -6.077,$   
269  $d = -1.702, p < 0.001$ ), in contrast to REG-A ( $t = -2.170, d = -0.608, p = 0.068$ ). For the FBR  
270 subtypes, significant decreases in MADRS scores were observed in both FBR- ( $t = -4.995, d = -$   
271  $1.399, p < 0.001$ ) and FBR+ ( $t = -3.818, d = -1.069, p = 0.001$ ), whereas the FBR0 subtype  
272 exhibited no significant change ( $t = -0.839, d = -0.235, p = 0.786$ ).

273 Moreover, changes in MADRS scores varied by subtype combination, as illustrated in Figure  
274 6. A significant reduction in scores was noted when REG-A was paired with FBR- ( $t = -3.327, d$   
275  $= -0.931, p = 0.003$ ). Within the REG-B group, no significant reduction was observed with the  
276 FBR0 subtype ( $t = -1.221, d = -0.342, p = 0.401$ ). However, significant reductions were seen  
277 when REG-B was paired with FBR- ( $t = -3.789, d = -1.061, p < 0.001$ ) and FBR+ ( $t = -6.018, d =$   
278  $-1.685, p < 0.001$ ). In summary, participants in the active group classified as REG-A with FBR-,

279 or REG-B with FBR- or FBR+ experienced significant reductions in MADRS scores one week  
280 after NF training.

### 281 **Application of clustering rules to the control group**

282 When the clustering rules derived from the active group were applied to the control group,  
283 participants were classified as follows: 13 into REG-A and 14 into REG-B for the REG subtypes;  
284 and 11 into FBR0, 5 into FBR-, and 11 into FBR+ for the FBR subtypes. No significant  
285 association was observed between the REG and FBR subtypes within the control group ( $\chi^2 =$   
286 0.345,  $p = 0.842$ ). Additionally, the distribution of participants across subtypes did not  
287 significantly differ from that observed in the active group for both REG ( $\chi^2 = 0$ ,  $p = 1.000$ ) and  
288 FBR ( $\chi^2 = 0.526$ ,  $p = 0.768$ ) subtypes.

289 In the control group, no significant associations were found between the subtypes and  
290 variables such as age, sex, study participation, mean NF signal amplitude, changes in left  
291 amygdala activation, or baseline MADRS scores, as detailed in SI Section 6. Contrary to the  
292 active group, there were no significant differences in MADRS score changes across subtypes  
293 within the control group.

### 294 **Discussion**

295 The primary objective of this study was to identify subtypes of brain activation patterns during  
296 NF training that could explain interindividual differences in clinical response. Our analysis  
297 revealed that training characteristics within the target brain region, such as mean NF signal  
298 amplitude, mean left amygdala activation, and signal changes in the left amygdala, were not  
299 associated with changes in depressive symptoms. However, we found significant associations  
300 between subtypes of whole-brain activation patterns during NF training and changes in MADRS  
301 scores. In contrast, the control group showed no significant associations between the subtypes  
302 and changes in MADRS scores, underscoring that the effects of NF treatment are distinct from  
303 placebo effects.

304 Significant symptom reduction was observed across multiple subtype combinations, indicating  
305 the existence of multiple brain functional pathways to successful treatment. Notably, individuals  
306 classified within the FBR0 subtype, characterized by their non-responsiveness to feedback  
307 signals, did not experience significant symptom reduction, highlighting the critical role of brain  
308 response to feedback signals in effective NF training. Significant symptom reduction was  
309 evident in individuals exhibiting brain activation correlated with feedback signals, either in a  
310 positive (FBR+) or negative (FBR-) manner. However, the efficacy of this response pattern was  
311 dependent on their regulation subtype. Individuals exhibiting increased activation across broad  
312 brain areas during self-regulation (REG-A), which overlap with areas commonly reported in NF  
313 studies <sup>12</sup>, required a negative response to NF signals (FBR-) to achieve significant symptom  
314 reduction. Conversely, individuals in the REG-B subtype, who showed increased activation in  
315 executive control areas such as the lateral prefrontal cortex and SMA and salience network  
316 regions such as the anterior insula (areas that overlap with those involved in general skill  
317 learning and emotion regulation <sup>53, 54</sup>), alongside suppressive activation in DMN regions during  
318 self-regulation, achieved symptom reduction regardless of the polarity of their brain response to  
319 feedback signals. These findings suggest that nonspecific increases in brain activation do not  
320 contribute to treatment efficacy; rather, selective modulation of brain responses is crucial for  
321 successful NF therapy.

322 Increased activation of the DMN, often associated with self-referential thoughts <sup>55, 56</sup>, has  
323 been frequently observed in individuals with MDD compared to healthy controls, and is linked to  
324 repetitive negative thinking <sup>57-65</sup>. Particularly relevant to the pathophysiology of MDD and its  
325 treatment mechanisms could be the significant role of deactivating the posterior DMN,  
326 especially the posterior cingulate cortex (PCC). Current evidence suggests that the PCC acts as  
327 a major cortical hub for various self-referential phenomena, ranging from spatial body  
328 localization to self-talk and autobiographical information processing <sup>66-69</sup>. In this context, the  
329 characteristic deactivation of the PCC observed in brain activation subtypes associated with

330 clinical response in our study supports the hypothesis that this region may underlie the  
331 persistent and intensified negative self-referential mentation that characterizes depression.

332 The absence of suppressive activation during the regulation task can be compensated for by  
333 negative responses to feedback signals in the SMA, middle cingulate cortex (MCC), auditory  
334 cortex (including Heschl's gyrus and Rolandic operculum), and visual cortex. Although the  
335 functional mechanism of these negative responses to NF signals remains unclear, they may  
336 counteract maladaptive brain activation patterns associated with MDD. Notably, alterations in  
337 SMA activity, frequently reported in MDD<sup>70-73</sup>, and changes in middle cingulate activation during  
338 reward anticipation have also been highlighted in a meta-analysis<sup>74</sup>. The association between  
339 less MCC activation during NF training and greater symptom reduction was also reported<sup>26</sup>.  
340 Furthermore, increased connectivity in the auditory cortex was associated with repetitive  
341 negative thinking<sup>75</sup>, and heightened activity or connectivity in the visual cortex have also been  
342 reported in individuals with MDD<sup>48, 76</sup>.

343 Selective increases and decreases in brain activation associated with successful NF training  
344 have also been documented in a meta-analysis of amygdala NF studies<sup>4</sup>. This study reported  
345 that successful amygdala modulation was linked to deactivation in the posterior insula and DMN  
346 regions, including the ventromedial prefrontal cortex and PCC, during the regulation period.  
347 Additionally, negative activations in successful modulators were noted in the left  
348 parahippocampal gyrus and cuneus, aligning with the present results observed in the FBR-  
349 subtype. Notably, this meta-analysis<sup>4</sup> defined training success as the ability to down-regulate  
350 amygdala activation, in contrast to our study, which focused on up-regulating amygdala  
351 activation and evaluated training success by changes in depressive symptoms. This suggests a  
352 common underlying mechanism in emotion regulation training that leads to depressive symptom  
353 reduction, despite varying approaches to NF signal definition and operational definitions of  
354 success.

355 In light of our findings, the therapeutic effects of NF targeting the left amygdala cannot be  
356 solely attributed to changes in its activity alone. This observation aligns with previous research  
357 showing that activations in brain regions beyond the intended target during NF training can  
358 mediate symptom reduction <sup>26</sup>. Such evidence supports the notion that brain self-regulation  
359 through NF involves a large-scale network, extending well beyond a single target region.

360 Although amygdala activity is a reliable marker of emotional states and can indicate successful  
361 regulatory efforts, as demonstrated in many NF protocols <sup>11</sup>, our findings underscore the  
362 importance of the regulation process itself in achieving treatment success and explaining the  
363 observed variability in treatment outcomes.

364 To our knowledge, this study is the first to explore interindividual differences in brain activation  
365 during NF training and its association with therapeutic effects on depressive symptoms. This  
366 investigation marks a significant step toward understanding the mechanisms of NF as a  
367 treatment for psychiatric disorders. Our findings, which highlight the importance of the whole-  
368 brain regulation process over the mere amplitude of amygdala activity, could pave the way for  
369 improvements in NF protocols. Thus, incorporating feedback that targets both regulation-related  
370 activities and responses to feedback within large-scale brain networks could potentially enhance  
371 treatment efficacy. As NF methodologies continue to evolve, focusing on the process of  
372 regulating affective states rather than merely activating specific brain regions might yield more  
373 effective treatments. In this context, pattern-based NF approaches such as DecNef <sup>77</sup>,  
374 connectome-based neurofeedback <sup>78</sup>, and semantic neurofeedback <sup>79, 80</sup>, which emphasize  
375 extracting feedback signals from multivariate brain activation patterns, offer a promising  
376 direction for refining training protocols.

377 The limitations of this study warrant careful consideration. Although the clustering analysis  
378 was designed to classify individuals into distinct subtypes, it is possible that these subtypes do  
379 not represent distinct groups per se. Rather, the subtypes may be part of a continuous  
380 distribution with no clear demarcation between groups. This is suggested by the fact that the

381 obtained optimal stability scores, which indicate the discrepancy between cross-validated  
382 solutions, were greater than 0.2, indicating potential ambiguity in cluster definition. In scenarios  
383 where the samples have a continuous distribution, splitting into an equal number of participants  
384 could emerge as a more stable approach. This phenomenon may explain why our analysis  
385 resulted in nearly equal numbers of participants being categorized into each subtype,  
386 highlighting that these identified subtypes may not delineate distinct groups but rather capture  
387 different facets of the continuous response spectrum. It is also noteworthy that subtype  
388 classification was not related to age, sex, or baseline severity of depressive symptoms.  
389 However, the relationship between the subtypes and other demographic factors or  
390 neurobiological indicators before and after treatment remains unexplored. Further investigation  
391 may reveal subtypes of treatment response based on specific pretreatment characteristics.

392 In conclusion, the present study demonstrates that the therapeutic outcomes of NF training  
393 are significantly influenced by whole-brain activation patterns both during the process of affect  
394 regulation and during the response to feedback signals. Our findings reveal multiple patterns of  
395 brain activity associated with significant therapeutic effects, suggesting a variety of potential  
396 pathways to recovery through NF training. In future studies, the optimization of NF training may  
397 involve real-time monitoring of brain activity during regulation efforts and in response to  
398 feedback signals, as well as tailoring feedback signals to the individual's current state of training  
399 progress. Such an approach could enhance treatment precision, adjusting it to match each  
400 participant's unique neural response patterns, thus potentially increasing the effectiveness of NF  
401 training.

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415 **Conflict of Interest**

416 The authors report no financial relationships with commercial interests in relation to the work  
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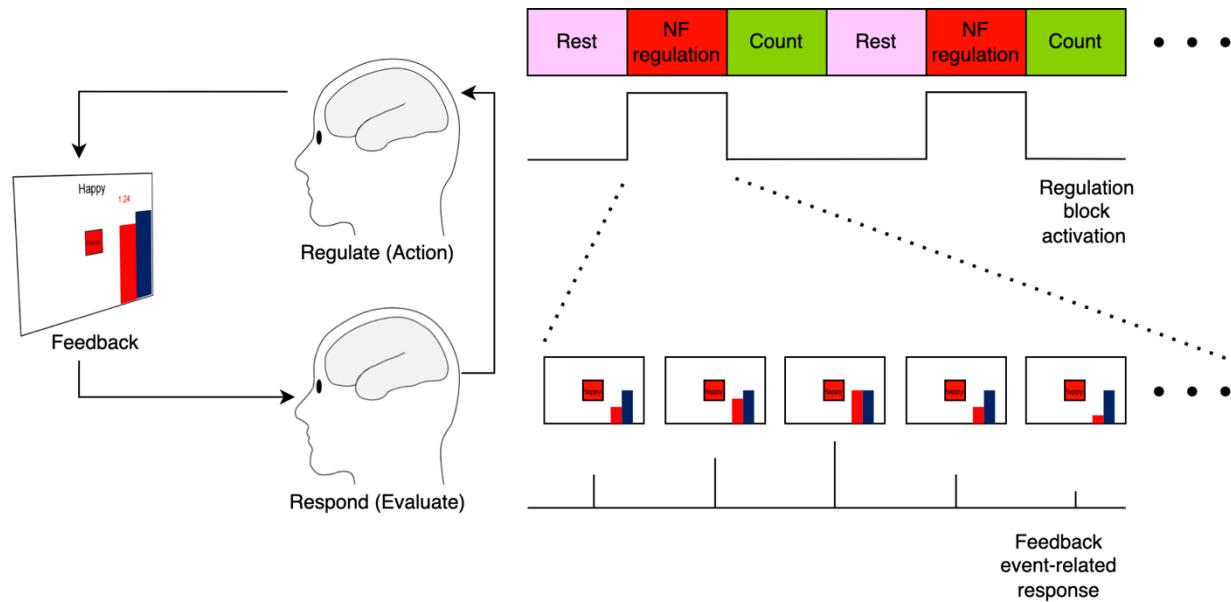
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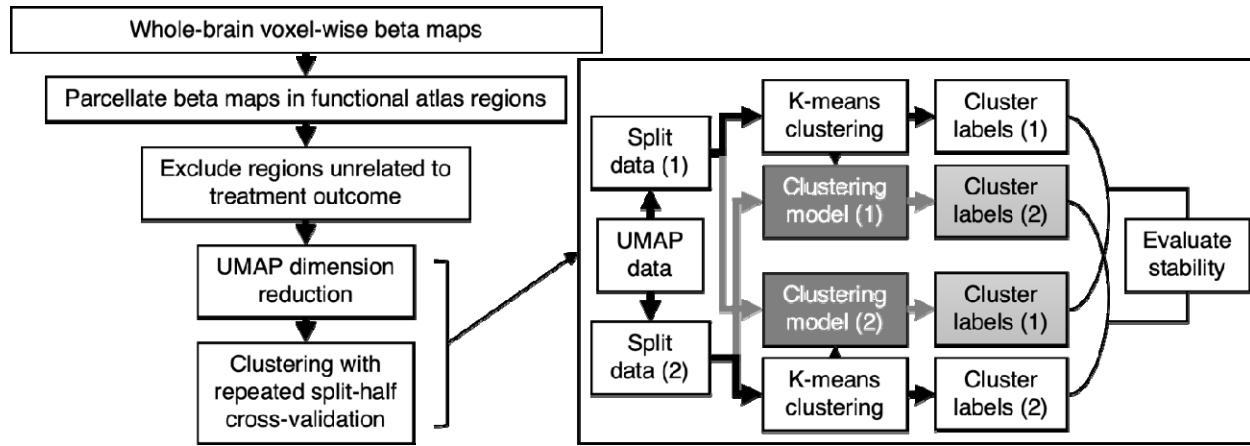
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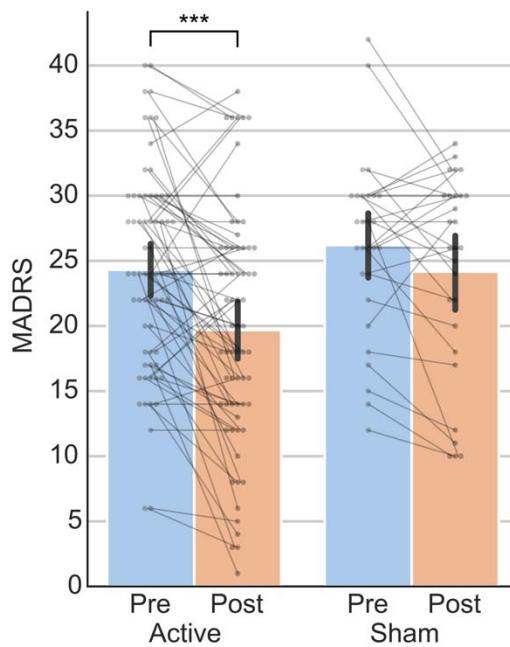
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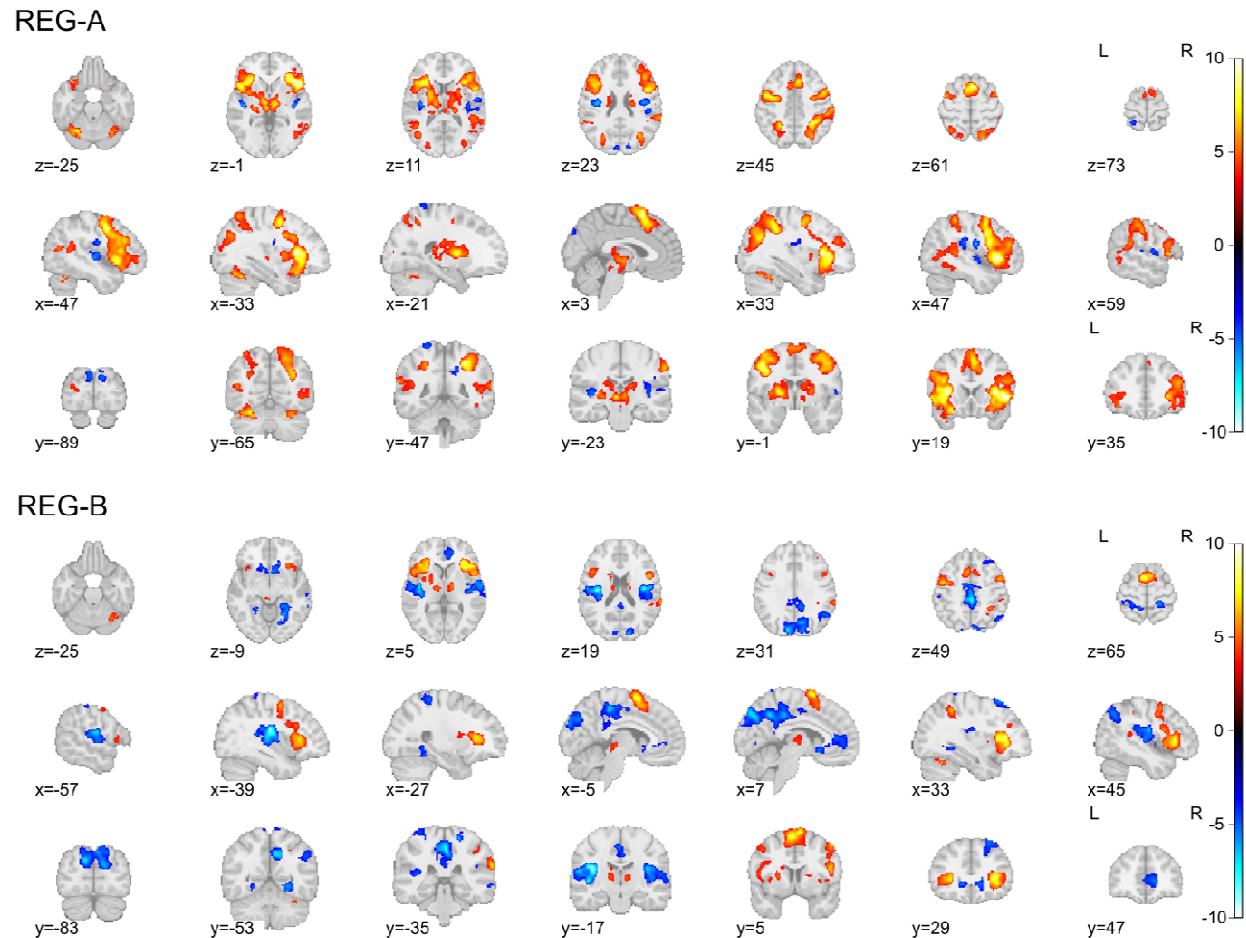
**Figure 1.** Schematic diagram of the neurofeedback-based brain regulation training loop (left panel) and the models used to estimate brain activation at each epoch (right panel). The reinforcement learning process is typically characterized by the evaluation of a feedback signal followed by the adjustment of action (mental regulation) to increase the reward (feedback) signal. Brain activations corresponding to these two components were analyzed using a block-wise response model during the neurofeedback regulation blocks and an event-related response model for each neurofeedback presentation at every TR, modulated by feedback amplitude.



**Figure 2.** Procedures of subtyping whole-brain beta maps.

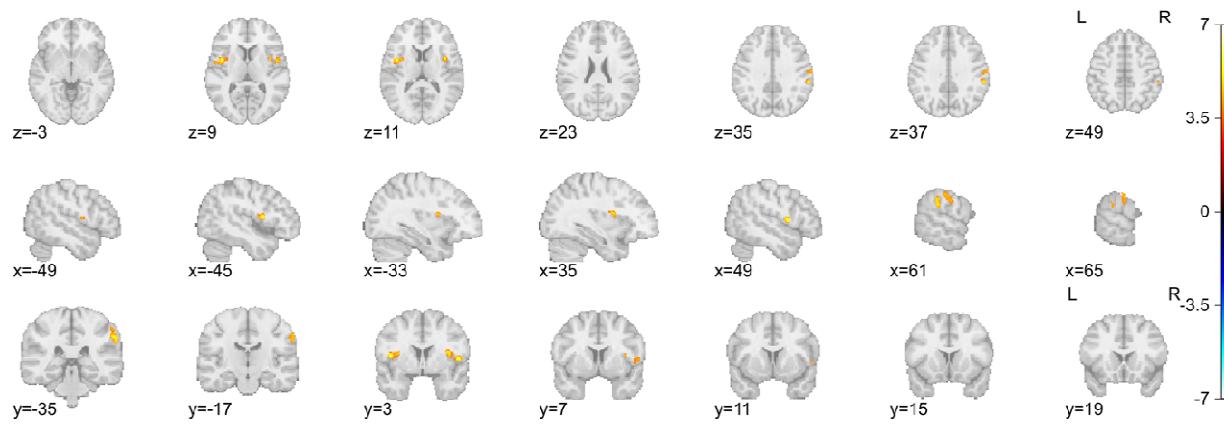


**Figure 3:** MADRS scores before and after NF training in the active and control groups. The bars show the mean MADRS scores for each group, with the error bars representing their standard error. Individual participant scores are shown as dots, with lines connecting pre- and post-training scores to highlight changes for each individual. A statistically significant decrease in post-training MADRS scores was observed in the active group (\*\*\*,  $p < 0.001$ ).

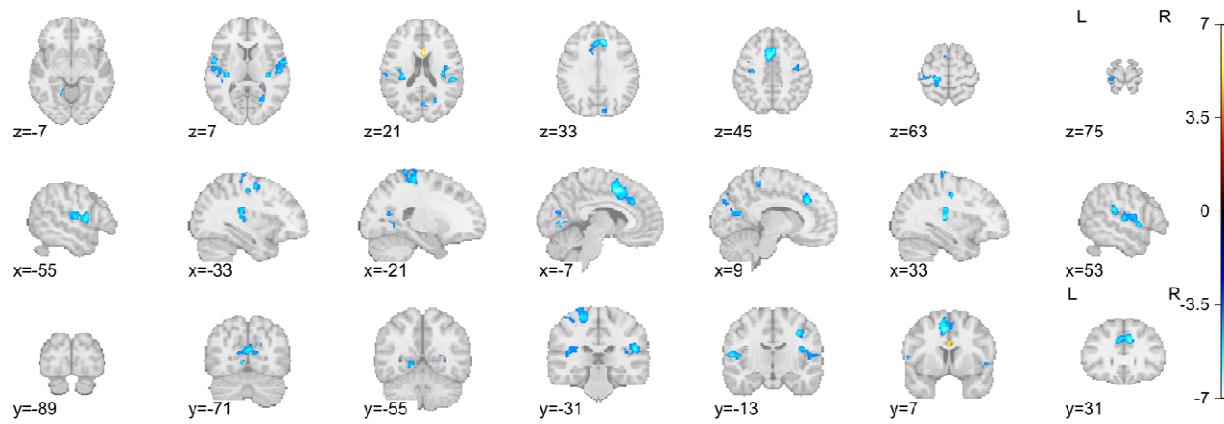


**Figure 4.**  $t$  maps of mean activation in the regulation block for the REG subtypes. The maps are thresholded at a voxel-wise  $p < 0.001$ , with cluster-size correction at  $p < 0.05$ .

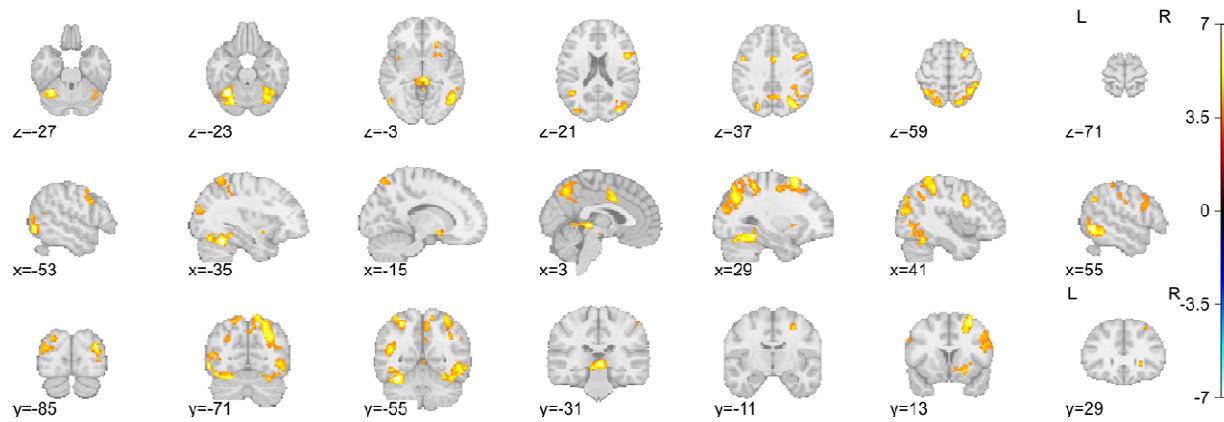
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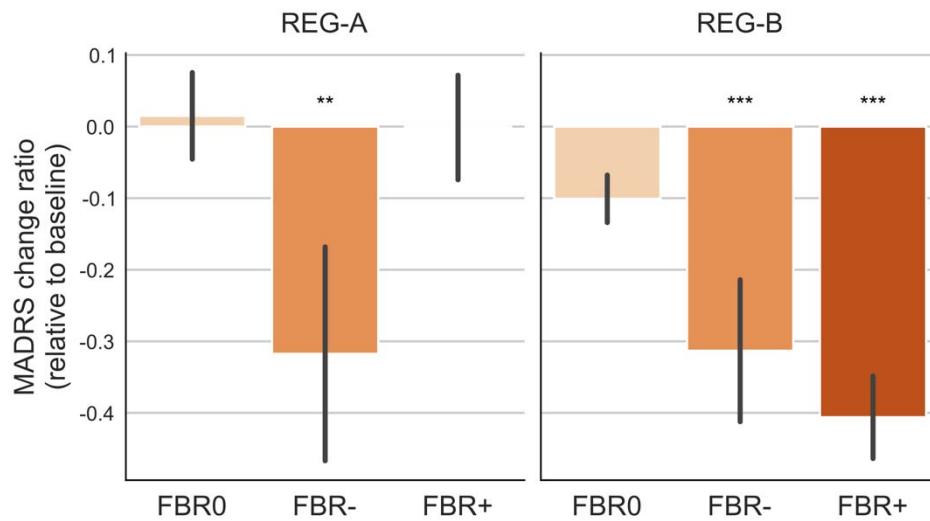
FBR-



FBR+



**Figure 5.**  $t$  maps of mean activation in response to NF signals for the FBR subtypes. The maps are thresholded at a voxel-wise  $p < 0.001$ , with cluster-size correction at  $p < 0.05$ .



**Figure 6.** Ratio of change in MADRS score from baseline for each REG and FBR subtype. \*\*,  $p < 0.005$ ; \*\*\*,  $p < 0.001$ .