

1 **GENETIC DIVERSITY OF *T. B. RHODESIENSE* SERUM RESISTANCE ASSOCIATED GENE IN**
2 **MALAWIAN ISOLATES**

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17 **Abstract**

18 **Background:** Human African Trypanosomiasis (HAT) is a health burden in most remote areas of Sub-
19 Saharan Africa. Only 2 species of the Trypanosome parasites, namely, *T. b. rhodesiense* and *T. b. gambiense* can establish infection in humans whereas other trypanosome parasites are lysed by
21 human serum APOL-1 protein. The mechanism of *T. b. gambiense* resistance to APOL-1 activity is
22 complex and involves several parasite factors. On the other hand, *T. b. rhodesiense* evades the lytic
23 activity of APOL-1 by intracellular expression of a *Serum Resistance Associated* (SRA) gene that
24 binds to APOL-1 when uptaken by the parasite thereby disabling APOL-1 from causing cellular
25 membrane rupture. APOL-1 has 2 variants, namely, APOL-1 G1 and APOL-1 G2 with the later having
26 mutations on the SRA binding sites which restores APOL-1 lytic activity in parasite lysis assays. This
27 phenomenon remains elusive in clinical setting as limited data is available. In the present study we
28 investigated the genetic diversity of *T. b. rhodesiense* SRA gene and APOL-1 genotypes in Malawian
29 r-HAT clinical phenotypes.

30 **Methods:** *T. b. rhodesiense* SRA gene from Malawi endemic HAT samples (n= 77) as well as from
31 Zambia and Uganda (n= 13) was amplified by PCR and PCR products were commercially sequenced.
32 APOL-1 variants were identified by restriction fragment length polymorphism (RFLP) after a PCR
33 amplification (n= 61).

34 **Results and conclusion:** Sequencing data revealed a heterozygosity of the SRA gene within Malawi
35 *T. b. rhodesiense* isolates. Malawian SRA gene was genetically different from some isolates in
36 Uganda and Zambia. Contrary to the current understanding that APOL-1 G2 variants are immune
37 to *T. b. rhodesiense* infection, severe cases of r-HAT in G2 individuals were identified. This study has
38 brought new insight in understanding the determinants of r-HAT severity.

39 **INTRODUCTION**

40 Human African Trypanosomiasis (HAT) is endemic in Sub-Saharan Africa and causes chronic and
41 acute disease. Most chronic infections are caused by *T. brucei gambiense* whereas, *T. b. rhodesiense*
42 mostly causes an acute disease although some chronic infections have been reported in some
43 disease foci (MacLean et al., 2010). *T. b. rhodesiense* evade the trypanolytic effect of human serum
44 apolipoprotein L1 (APOL-1) by intracellular expression of a *serum resistance associated* (SRA) gene
45 that binds to the N-terminal of APOL-1 and prevents binding to the trypanosome cell wall to cause
46 membrane rupture (Fontaine et al., 2017; Pays et al., 2014; Vanhamme et al., 2003). In some
47 individuals, APOL-1 gene has evolved by having mutations (APOL-1 G1 variants) or deletions (APOL-
48 1 G2 variants) on the binding sites of *T. rhodesiense* SRA. Individuals with APOL-1 G2 variant
49 (Asn388_Tyr389del) may have a five-fold dominance protection against *T. b. rhodesiense* infection
50 (Cooper et al., 2017). Moreover, other experimental results of recombinant APOL1 variants have
51 demonstrated a fivefold protective effect of G2 variants against *T. b. rhodesiense* (Cuypers et al.,
52 2016; Fontaine et al., 2017). APOL-1 G2 variants have also been associated with protection against
53 *T. b. rhodesiense* in Malawi (Kamoto et al., 2019). However, it is not well established whether there
54 are genetic variations of *SRA* gene in endemic *T. b. rhodesiense* in Malawi's r-HAT foci. Therefore,
55 the aim of this study was to characterize the genetic diversity of *SRA* gene from *T. b. rhodesiense*
56 isolates in Malawi as well as characterize APOL-1 genotypes in individuals from which the *T. b.*
57 *rhodesiense* were isolated from Rumphi and Nkhotakota r-HAT foci.

58

59 **METHODOLOGY**

60 **Samples**

61 We used samples that were collected during active and passive surveillance in Nkhotakota and
62 Rumphi -HAT foci as described (Nambala P et al., 2022). Ethical approval was obtained from Malawi
63 National Health Research Commission to conduct the study. Other samples from Uganda, Zambia
64 and Nkhotakota (collected in 2003) were provided by Annette Macleod's lab at University of
65 Glasgow where we carried out lab analysis for part of this study.

66 **Genotyping of *T. b. rhodesiense* SRA Gene**

67 DNA extraction on whole blood in heparin tubes and FTA cards was done using [QIAGEN DNeasy](#)
68 [Blood and Tissue kit](#) following the manufacturers' protocol. A PCR of *SRA* gene was done as
69 described (Radwanska et al., 2002), and the PRC products were purified using a [ZYMO RESEARCH](#)
70 [PCR Purification Kit](#) before proceeding to DNA sequencing. *SRA* gene was sequenced using Sanger
71 sequencing platform to determine genetic variations of the circulating *SRA* gene in Malawi.
72 Sequencing of DNA was outsourced from INQABA Biotechnical Company (South Africa) and Eurofins
73 Genomics (United Kingdom). Furthermore, genotyping of the VSG expression site (VSG-ES) was
74 done as previously described (Radwanska et al., 2002).

75 **Genotyping of APOL-1 Gene**

76 Determination of *APOL-1* genotype of each *T. b. rhodesiense* infected individual at G1 and G2
77 haplotype was done using PCR-restriction fragment length polymorphism (RFLP) analysis as
78 previously described (Cooper et al., 2017). This enabled identification of individuals having either
79 *APOL-1* G1 or *APOL-1* G2 variant *genes*. *APOL-1* G2 variant *gene* is associated with conferring
80 resistance to *T. b. rhodesiense* infection.

81 **Data Analysis**

82 Analysis of *SRA* gene sequence data was done using [QIAGEN CLC Main Workbench](#) v20.0.2.
83 Consensus sequences of Malawi *SRA* gene were obtained in reference to the publicly available *SRA*
84 sequence (Gene ID AF097331.1).

85 **RESULTS**

86 **Sanger Sequencing Sample Attributes**

87 A total of 90 human blood samples were sequenced by Sanger Platform. 2/90 samples were isolates
88 from Zambia that were collected in 1961, 11/90 were Ugandan isolates with no record of collection
89 date, 3/90 were collected from Nkhotakota in 2003 and 74/90 were collected from Nkhotakota and
90 Rumphi foci from 2016 through 2020. Of the 74 isolates, 46/74 (62.16 %) were from Rumphi foci
91 and 28/74 (37.83 %) were from Nkhotakota foci (**Table 1**).

92

93 ***T. b. rhodesiense* Isolates Circulating in Southern and Eastern Africa have Diverse SRA Gene**

94 Since *SRA* gene is an evolutionary mechanism for *T. b. rhodesiense* to establish infection in human
95 (Pays et al., 2014), We next aligned consensus *SRA* gene sequences of isolates from Malawi, Uganda
96 and Zambia and generated a phylogenetic tree. For this, there was genetic distance differences in
97 the *SRA* gene of isolates circulating in Malawi, Zambia and Uganda (**Fig 1**). Four of Uganda isolates,
98 and one Zambia isolate were phylogenetically the same as the reference *SRA* sequence ID
99 (Z32159.2). The other Zambian isolate was genetically the same as the reference Zambian isolate
100 (AJ345058.1) and different from all isolates from Malawi and Uganda. Eight Ugandan isolates were
101 closely related to some Malawian isolates. Whereas *SRA* gene of *T. b. rhodesiense* isolates from
102 Nkhotakota that were obtained in 2003 were phylogenetically different from most Malawian *SRA*
103 genes. This indicate that *T. b. rhodesiense* circulating in different HAT foci have genetically distinct
104 *SRA* genes.

105 **Some Malawian *T. b. rhodesiense* Isolates have Inverted Duplicate SRA Sequences.**

106 Generation of consensus sequence revealed that some Malawi isolates had an extended *SRA*
107 sequence beyond the open reading flame 5' end of the reference sequence by 364bp (**Fig 2A**). To
108 confirm this extension and rule out Sanger sequencing errors, a qPCR was done on the samples for
109 analysis of high-resolution melting curve as well as *SRA* gene relative quantity. Differences in
110 nucleotide composition of a gene requires different melting temperature to open the double
111 stranded DNA during denaturation in a PCR process. Therefore, high resolution melting generates
112 sequence-specific melting curves and may reveal variations in the species genotype at the level of
113 a single nucleotide (Chatzidimopoulos et al., 2014).

114 There was a clear difference in the melting curve of wild type SRA genes compared to SRA gene
115 that had an inverted duplicate (**Fig 2B**). Wild type SRA genes had a melting temperature of about
116 75°C where inverted duplicate SRA genes had a melting temperature of about 88°C. The qPCR
117 relative quantity also showed that there was significantly ($p<0.01$) more DNA quantity in inverted
118 duplicate SRA gene compared to wild type SRA genes from Malawi (**Fig 2C**). Put together, the results
119 indicate that the nucleotide sequences of wild type SRA genes in Malawi are different from those
120 with inverted sequences.

121

122 **The Structure of SRA Protein from Malawi *T. b. rhodesiense* isolates is Different from the
123 Current Reference SRA Protein Structure.**

124 The crystal structure of SRA protein has been resolved through Cryo-Electron microscopy (Zoll et
125 al., 2018). The *SRA* protein has three binding sites for APOL-1, the highest binding affinity site is
126 between amino acid I55 and L77 (binding site I) on the SRA N-terminal which interact with APOL-1
127 C-terminal; the second APOL-1 binding sites are found between Y166 and L172 (binding site II), and
128 the last binding site (binding site III) is between E186 and L201 (Zoll et al., 2018). To determine
129 whether the single nucleotide polymorphisms (SNPs) in Malawi *SRA* gene affected the protein
130 folding, we first converted the SRA DNA sequences from sanger sequencing into amino acid and do
131 amino acid sequence alignment to identify mutations between Malawi, Uganda and Zambia in
132 comparison to the reference sequence (AF097331.1). For this, we identified M75L mutation on the
133 APOL-1 interacting domain in Malawi SRA protein as well as some isolates from Uganda and Zambia
134 (**Fig 3A**). However, there were no mutations on the second and third APOL-1 interacting domains
135 of the SRA protein. High number of amino acid substitutions were found from residue 332 to 358
136 which is *SRA* protein C-terminal and does not interact with APOL-1 protein (Vanhinne et al., 2003).

137 Since we have established that there are mutations on the *SRA* protein domain I, we next checked
138 whether the mutation affect SRA protein structure. The SRA protein sequence were loaded into
139 [QIAGEN CLC Main Workbench](#) version 20 in order to identify the best backbone flame for the SRA
140 protein model. The best backbone flame was the one that has been well described (Zoll et al., 2018).
141 The SRA model was further enhanced in [BIOVIA Discovery Studio Visualizer](#). The results shows that
142 the protein folding of Malawi *SRA* changed due to amino acid substitutions in reference to the
143 published *SRA* protein structure (**Fig 3B**). In summary, the *SRA* protein modeling results indicate

144 that variations in SRA genes in *T. b. rhodesiense* isolates from Malawi, Uganda and Zambia result in
145 protein folding changes of the SRA protein.

146 **APOL-1 G2 Variants were Infected with *T. b. rhodesiense* in Malawi.**

147 Since we have established that there is genetic diversity of SRA gene in Malawi's *T. b. rhodesiense*
148 isolates, next we sought to determine the APOL-1 genotype of individuals from which the isolates
149 were collected. Therefore, 61 whole blood samples from HAT cases were randomly selected and
150 genotyped for APOL1 variants. For this, 22.95% (14/61) of individuals were APOL1 G1 variants and
151 9.84% (6/61) individuals were APOL1 G2 variants (**Table 2**). Stage 1 and stage 2 HAT was diagnosed
152 in both variants. Interestingly, an individual (MR20T) who had both G1 and G2 variant, was infected
153 with *T. b. rhodesiense* isolate that had an inverted duplicate SRA gene (**Fig 2A**). Our results indicate
154 that APOL1 G2 variant was not protective against *T. b. rhodesiense* isolates in Malawi's endemic
155 setting contrary to experimental findings in cell cultures.

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157 **DISCUSSION**

158 The *SRA* gene of *T. b. rhodesiense* plays a vital role in rhodesiense HAT as it enables the
159 trypanosome to establish human infections. In this study, we sequenced the *SRA* gene of 28 and 46
160 endemic isolates from Nkhotakota and Rumphu foci respectively, that were collected from 2016
161 through 2020. We compared the current endemic isolates of Malawi to those collected in 2003 to
162 identify whether *SRA* gene evolves over time. Our study has shown that, indeed there are genetic
163 differences in circulating *SRA* gene depending on HAT foci. Additionally, we have also found that
164 the SNPs on Malawi *SRA* gene results in changes of *SRA* protein folding in the *APOL1* binding
165 domain. This may have implications on the transmission and pathology of r-HAT as may increases
166 the risk of r-HAT transmission in individuals who were supposed to be resistant to *T. b. rhodesiense*
167 infection.

168 Furthermore, four *T. b. rhodesiense* (three from Rumphu and one from Nkhotakota) isolates had an
169 inverted duplicate *SRA* gene extending with 364bp from the 5' end of the wild-type *SRA* sequences.
170 The nucleotide sequence of the 364bp extended part was similar to a section of the *SRA* open
171 reading flame. Melting curve analysis showed that indeed the inverted duplicate *SRA* genes were
172 genetically different from the wild-type *SRA* gene. Future studies should validate the inverted

173 sequence through Cryo-Electron microscopy and how it affects interaction with both wild-type and
174 variant *APOL-1*.

175 As humans have also evolved in the APOL1 binding domain, it was elusive whether individuals
176 with variant *APOL-1* G2 variants are infected with *T. b. rhodesiense* in endemic settings of Malawi.
177 In this study, we found that 9.84 % of blood samples we genotyped were APOL1 G2 variants and
178 had either stage 1 or stage 2 r-HAT, suggesting that the G2 variant was not protective against *T. b.*
179 *rhodesiense* infections in endemic r-HAT setting of Malawi. Speculatively, this indicate that the
180 mechanisms of SRA and APOL1 binding are more complex than has been concluded in literature.
181 In this study, we did not perform APOL-1 and SRA protein binding assays using endemic samples,
182 hence this opens an avenue for future studies to determine the effect of protein folding of
183 Malawi SRA protein on APOL-1 binding.

184 In conclusion, our findings have added to the current understanding on the genetic diversity of *SRA*
185 gene in endemic *T. b. rhodesiense* isolates in Malawi which may impact r-HAT control startegies and
186 clinical pathology. Contrary to the current consensus that APOL-1 G2 variants are protective against
187 *T. b. rhodesiense* infections in cell culture, we found APOL-1 G2 variant individuals that were in
188 either stage 1 or stage 2 of r-HAT indicating that the mechanisms of *SRA* and *APOL-1* protein
189 interaction is more complex than what is currently known. Future studies should explore the
190 mechanisms underpinning this phenomenon.

191

192 **Author Contributions**

193 **Peter Nambala:** Conceptualization, Methodology, Investigation, Formal analysis, Writing - original draft.
194 **Harry Noyes:** Conceptualization, Methodology, Formal analysis, Writing - review & editing. **Vincent Pius**
195 **Alibu:** Conceptualization, Writing - review & editing, Methodology. **Barbara Nerima:** Conceptualization,
196 Writing - review & editing, Methodology. **Joyce Namulondo:** Formal analysis. **Priscilla Chammudzi:** Formal
197 analysis. **Oscar Nyangiri:** Formal analysis. **Enock Matovu:** Conceptualization, Supervision. **Annette**
198 **MacLeod:** Conceptualization. **Janelisa Musaya:** Conceptualization, Writing - review & editing,
199 Methodology, Supervision, Formal analysis. **Julius Mulindwa:** Conceptualization, Writing - review &
200 editing, Methodology, Formal analysis, Supervision.

201

202 **Funding**

203 This study was funded through the Human Heredity and Health in Africa (H3Africa; Grant ID H3A-18-004)
204 from the Science for Africa Foundation. H3Africa is jointly supported by Wellcome and the National
205 Institutes of Health (NIH). The views expressed herein are those of the author(s) and not necessarily of the
206 funding agencies. The funders had no role in study design, data collection and analysis, decision to publish,
207 or preparation of the manuscript.

208 **Acknowledgement**

209 We would like to acknowledge Nkhotakota and Rumphi district health offices for their assistance in sample
210 collection.

211 **Table 1** Demographic attributes of individuals from which *T. b. rhodesiense* was isolated.

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HAT Foci	Year Isolated	Females	Males	HAT Stage 1	HAT Stage 2	Total Isolates
Rumphi	2016- 2020	11	35	6	40	46
Nkhotakota	2016- 2020	13	15	22	6	28
Nkhotakota	2003	Unknown	Unknown	Unknown	Unknown	3
Uganda	Unknown	Unknown	Unknown	Unknown	Unknown	11
Zambia	1961	Unknown	Unknown	Unknown	Unknown	1
Zambia	Unknown	Unknown	Unknown	Unknown	Unknown	1

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218 **Table 2** Blood samples that were identified as either APOL1 G1 or G2 variants out of the
219 genotyped samples (n=61).

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SAMPLE ID	ApoL1 Variants		HAT Stage
	G1 Variant	G2 Variant	
MN05T	Yes	No	1
MN06T	Yes	No	1
MN08T	Yes	No	1
MR02T	Yes	No	2
MR03T	Yes	No	2
MR09	Yes	No	2
MR17T	Yes	No	1
MR18T	Yes	No	1
MR23T	Yes	No	1
MR31T	Yes	No	2
MR34T	Yes	No	2
MR39T	Yes	No	2
MR42T	Yes	No	2
MR20T	Yes	Yes	2
MR25T	No	Yes	1
MR32T	No	Yes	2
MR33T	No	Yes	2
MR52T	No	Yes	2
MR53T	No	Yes	2
Total Genotyped (n=61)	14/61 (22.95%)	6/61 (9.84%)	

226 Fig 1

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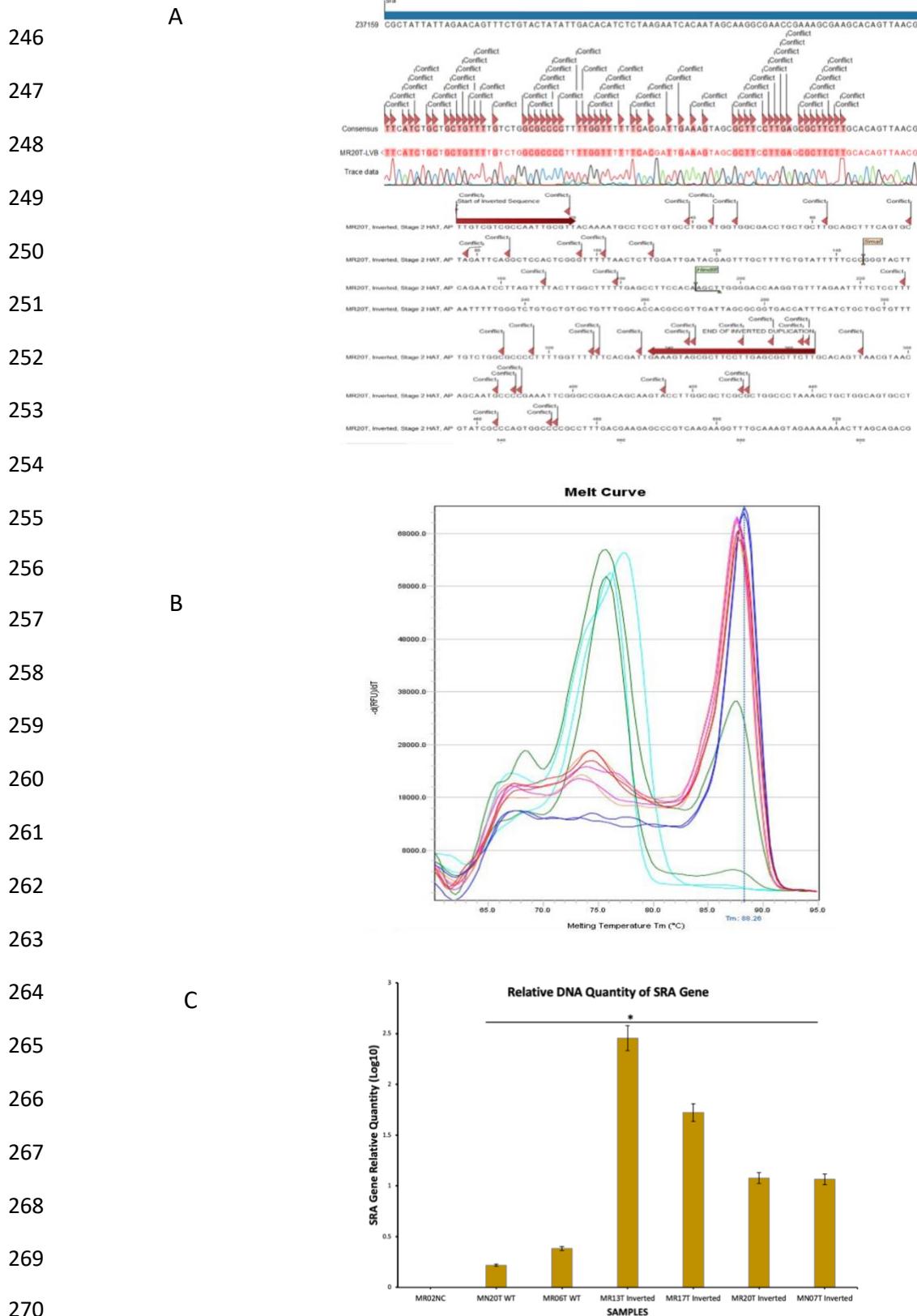
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242 **Figure 1** A phylogenetic analysis of SRA gene from *T. b. rhodesiense* isolates in Malawi, Zambia and 243 Uganda.

244

245 Fig 2



271 **Figure 2** Validation of inverted SRA gene. **A)** Sequence of inverted SRA gene showing the 364bp
 272 sequence duplicate extending from the 5' end of the reference SRA sequence (Z37159.2). **B)** Melting
 273 curve of wild type SRA genes (left pick) and inverted duplicated SRA gene (right pick) indicating the
 274 differences in melting temperatures between the two variant SRA genes. **C)** A qPCR Comparison of
 275 DNA relative quantity between wild type (WT) and inverted SRA genes. *Student test p<0.01

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277 Fig 3

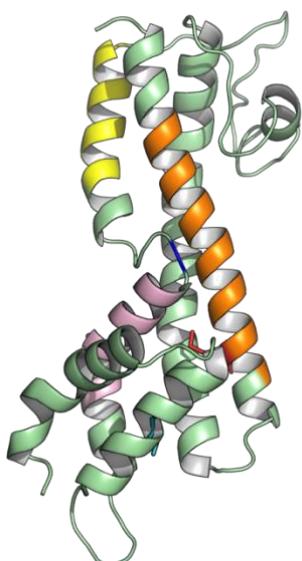
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Amino Acid Position	1	9	16	30	31	75	81	139	183	201	220	223	232	318	322	333	341	344	345	348	345	355	357	358
	APOL1 interaction site I (amino acid 55 to 77)												APOL1 interaction site III (amino acid 187 to 201)											
UgandaAF097331.1 (Consensus Sequence)	M	T	L	T	S	M	T	Q	S	L	L	V	S	G	T	D	E	E	A	A	P	G	V	N
Uganda(WB30, WB43, WB57, WB59)	M	T	L	P	S	M	T	Q	S	L	L	A	S	C	T	D	E	E	A	A	P	G	V	N
Uganda(WB31)	M	A	L	P	S	L	T	Q	C	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
Uganda(WB18, WB25, WB28, WB29, E6Q, F147)	M	A	L	P	S	L	T	Q	S	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
ZambiaAU345058.1	M	T	L	P	S	L	T	Q	S	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
Zambia(Z310)	M	A	L	P	S	L	T	Q	S	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
Zambia(Z1052[1961])	M	T	L	T	S	M	T	Q	S	L	L	A	S	C	T	D	E	E	A	A	P	G	V	N
Malawislates (WT)	M	A	L	P	S	L	T	Q	S	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
Malawi (NKK10)	M	A	L	P	S	L	T	a/g	C	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
Malawi (MN167)	M	A	L	P	S	L	T	Q	S	L	M	A	c/t	C	K	G	T	A	E	V	H	T	G	T
Malawi (MN327)	M	A	L	P	S	L	g/a	Q	S	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T
Malawi (MRO11 & MR147)	M	A	L	P	g/a	L	T	a/g	C	L	M	A	S	C	K	G	T	A	E	V	H	T	G	T

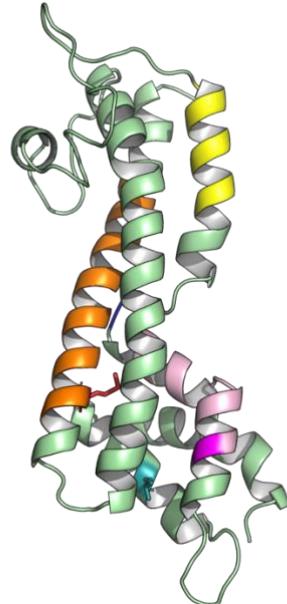
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287 **Figure 3** SRA amino acid substitutions and predicted protein model. **A)** Amino acid substitutions on
288 sequenced SRA gene of *T. b. rhodesiense* isolates from Malawi, Zambia and Uganda in comparison to
289 reference sequence (AF097331.1). Yellow highlights indicate heterozygous mutations or amino acid
290 mutations different from the other isolates. **B)** The structure of Malawi wild type SRA protein model
291 viewed in different angles. Orange colour depicts APOL1 Binding site 1 (55-77) where residue 75 is
292 sticking out; yellow colour is APOL1 Binding site 2 (166-172), and **C)** light pink colour is Binding site 3
293 (186- 201) where residue 201 is the darker pink.

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