

# 1 The applicability of Regional Red List 2 assessments for soil invertebrates: First 3 assessment of five native earthworm 4 species in Canada

5 Helen R. P. Phillips<sup>1,2,3</sup>, George G. Brown<sup>4,5</sup>, Sam W. James<sup>6</sup>, Jérôme Mathieu<sup>7</sup>, John Warren Reynolds<sup>8,9</sup>,  
6 Maheshi E. Dharmasiri<sup>2</sup>, Claire L. Singer<sup>2</sup>, Maria J. I. Briones<sup>10</sup>, Heather C. Proctor<sup>11</sup>, and Erin K. Cameron<sup>2</sup>

7

8 <sup>1</sup> Terrestrial Ecology, Netherlands Institute of Ecology (NIOO KNAW), Wageningen, The Netherlands

9 <sup>2</sup> Department of Environmental Science, Saint Mary's University, Halifax, Nova Scotia, Canada

10 <sup>3</sup> Organismal and Evolutionary Biology, University of Helsinki, Helsinki, Finland

11 <sup>4</sup> Embrapa Florestas, Colombo, PR, Brazil

12 <sup>5</sup> Department of Soil Science, Federal University of Paraná, Curitiba, PR, Brazil

13 <sup>6</sup> Maharishi International University, Fairfield, Iowa, USA

14 <sup>7</sup> Institut d'Ecologie et des Sciences de l'Environnement de Paris, Sorbonne Université, CNRS, UPEC, INRAE,  
15 IRD, Paris, France

16 <sup>8</sup> Oligochaetology Laboratory, Kitchener, Ontario, Canada

17 <sup>9</sup> New Brunswick Museum, Saint John, Canada

18 <sup>10</sup> Departamento de Ecología y Biología Animal, Universidad de Vigo, Vigo, Spain

19 <sup>11</sup> Department of Biological Sciences, University of Alberta, Edmonton, Alberta, Canada

20

## 21 **Abstract**

22

23 Earthworms (Annelida: Clitellata: Crassiclitellata) are prominent members of the soil community, important to  
24 many ecosystem functions. Despite this, and like many other soil invertebrates, they are rarely considered in  
25 conservation assessments, including the IUCN Red List assessments used to assess species' extinction risk. To  
26 investigate the applicability of the IUCN Regional Red Listing protocol to soil invertebrates, we assessed the  
27 conservation status of five earthworm species known to be native to Canada using this protocol and all available  
28 occurrence records. In Canada, no earthworm species have yet been assessed by the Committee on the Status of  
29 Endangered Wildlife in Canada (COSEWIC). Due to the lack of data on population sizes and their trends, all  
30 five species were assessed using their Extent of Occurrence (EOO) (Criterion B). One species was assessed as  
31 Vulnerable, two were assessed in non-threatened categories, and two were assessed as Data Deficient. For the  
32 majority, the main threats identified were the continuing loss of potential habitat due to land conversion and  
33 resource exploitation, as well as the effects of climate change. Increasing the amount of data, including but not

34 limited to distribution and habitat preferences, would make the assessment process easier and status decisions  
35 better supported. By undertaking regional assessments for five native earthworm species in Canada, we show  
36 that Regional Red List assessments are feasible for soil invertebrates.

37

38 **Keywords:** Species at risk assessments; soil fauna; extinction risk; soil biodiversity; endemic species; species  
39 distributions

40

41 **Acknowledgments**

42 This work was funded by a Canadian Institute of Ecology and Evolution working group grant and NSERC  
43 Discovery Grant (RGPIN-2019-05758 to EKC). In addition, HRPP received funding from European Union's  
44 Horizon 2020 research and innovation program under the Marie Skłodowska-Curie grant agreement No.  
45 101033214 (GloSoilBio). GGB received support from the Brazilian National Council for Scientific and  
46 Technological Development (Nos. 441930/2020-4 and 404191/2019-3). We thank Samantha Bennett, Jenacy  
47 Samways and Madison Silver for their help with extracting data from the literature. We also thank Marc W.  
48 Cadotte for his involvement with the working group.

49

50

51 **Introduction**

52 It has long been estimated that up to 95% of all species on earth are invertebrates (Wilson 1987). Furthermore,  
53 not only is there huge diversity of organisms within soil ecosystems (up to 59% of all species on earth; Anthony  
54 et al. 2023), these organisms play key roles in many ecosystem functions and services (Bardgett and van der  
55 Putten 2014; Wall et al. 2015), such as nutrient cycling, climate regulation, and disease and pest management  
56 (FAO et al., 2020). Earthworms (Annelida: Clitellata: Crassiclitellata) are a well-known member of soil  
57 macrofauna communities, and although they make up a very small proportion of species in the soil (Anthony et  
58 al. 2023), they are key players driving many ecosystem functions and services (Blouin et al. 2013). For example,  
59 they increase aboveground yield (van Groenigen et al. 2014), nutrient cycling rates, and decomposition (Huang  
60 et al. 2020).

61

62 IUCN Red List assessments are a common approach to assessing which organisms are at risk and which threats  
63 they are facing. The IUCN Red List protocol was created in 1994, providing a more quantitative framework to  
64 the assessment protocol that preceded it (Mace et al. 2008). Shortly after this development a framework for sub-  
65 global assessments was proposed, and Regional Red List assessments were adopted in 2003 (Mace et al. 2008).  
66 For both the Global and Regional Red List assessments, experts work using a standardised protocol (Rodrigues  
67 et al. 2006; Mace et al. 2008) extracting all available information and data on a species to provide an assessment  
68 on the likelihood of extinction (Mace et al. 2008). Whilst a global assessment examines the status of a species  
69 across the entirety of its range, the Regional Red List only evaluates the species within a small region or country  
70 (IUCN 2012). In undertaking the assessment, all available information on the species is combined, providing a  
71 valuable resource that can easily be disseminated (Rodrigues et al. 2006). In addition, the assessment can be  
72 used as a baseline to measure future actions, identify potential conservation sites, guide management plans  
73 (Rodrigues et al. 2006; Betts et al. 2020), and with additional analysis, determine conservation priorities  
74 (Fitzpatrick et al. 2007).

75

76 Despite their importance, soil organisms are rarely considered in conservation policies or assessments at the  
77 global level (Phillips et al. 2017). There are an estimated 5,755 described species/subspecies of earthworms  
78 across the globe (Brown et al. 2023; Misirlio lu et al. 2023), but only ~6% of them (361 species/subspecies)  
79 have been assessed in the Global IUCN Red List (Accessed on 8 February 2024; Table 1). Taxonomic biases  
80 within the Global IUCN Assessments are well known (Rodrigues et al. 2006), and thus it has been highlighted

81 **Table 1** The assessment given to each of the 361 earthworm species that have been assessed in the Global IUCN  
82 Red List, as well as the biogeographic realm that the species are found in. Data accessed 8th February 2024  
83 from IUCN (IUCN 2024)

84

Region	Extinct	Critically Endangered	Endangered	Vulnerable	Near Threatened	Least Concern	Data Deficient	Total
Antarctic						1		1
Australasia	2	5	11	6	2	45	78	149
Indomalaya					1	1	1	3
Palearctic		1	1	2	8	27	35	74
Nearctic			1	2	1		1	5
Afrotropic					2			3
Neotropic		1	3	2	7	30	84	127
Total	2	7	16	12	21	104	199	361

85

86

87 that Annelida should be a priority for Red Listing (Gerlach et al. 2014). This lack of representation is also found  
88 within the Regional Red Lists that have been published. Although there are a few Regional Red Lists that  
89 include earthworms, such as the Red Lists for Germany (Lehmitz et al. 2016), the Russian Federation  
90 (Geraskina and Kuprin 2021), Brazil (MMA, 2022), New Zealand (Buckley et al. 2015) and Australia  
91 (Australian Government n.d.), or other soil organisms (e.g., oribatid mites: Napierała et al., 2018, fungi:  
92 Dahlberg et al., 2010), these are the exception.

93

94 In Canada, regional assessments on the risk of extinction of a particular species are conducted by the Committee  
95 on the Status of Endangered Wildlife in Canada (COSEWIC), the framework of which is built upon the IUCN  
96 Red Listing protocols. Such assessments are an important way to understand and therefore mitigate or minimise  
97 the threats biodiversity faces. COSEWIC has completed over 850 species at risk assessments to date, including  
98 many invertebrates (COSEWIC 2023). However, the assessments of invertebrate species have so far been  
99 limited to arthropods (predominantly Lepidoptera) and molluscs (predominantly freshwater mussels). Outside of  
100 a few terrestrial snails, no soil organisms have been assessed (Naujokaitis-Lewis et al. 2022) and there is also no  
101 indication that taxonomic biases within COSEWIC action plans are improving (Creighton and Bennett 2019).

102

103 In Canada, most research on earthworms has examined the spread and effects of invasive, non-native  
104 earthworms (Addison 2009), as they can have severe negative impacts on ecosystems such as causing increased

105 decomposition of litter layers in forests, thus changing the structure of the understory (Frelich et al. 2019).  
106 However, there may be as many as eight native earthworm species in Canada (Addison 2009). Unfortunately, of  
107 these eight, due to a lack of certainty in the identification of specimens (e.g., *Bimastos lawrenceae*) and the lack  
108 of knowledge of whether some widespread species were historically present (e.g., *Bimastos parvus*), only five  
109 species can be considered native with certainty (Reynolds 2022).

110

111 Here we present the results of a Regional Red List assessment performed for five native earthworm species  
112 found in Canada. By doing these assessments we aim to demonstrate that they are also feasible for understudied  
113 invertebrates. In addition, by collating all the information into the assessment, it can be used for setting  
114 conservation priorities based on earthworms (and by extension, for other soil taxa). Ultimately, our aim is to  
115 increase conservation focus towards life belowground.

116

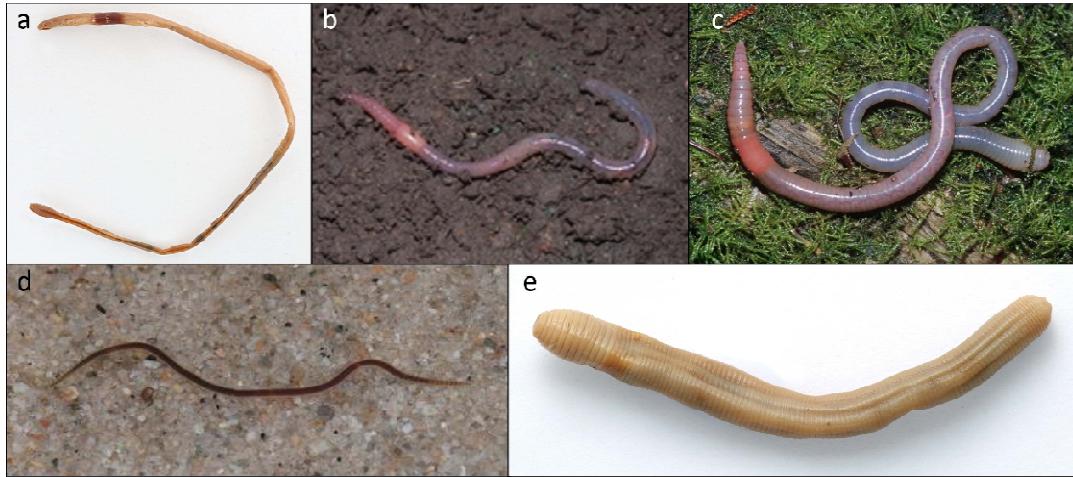
## 117 **Methods**

118

119 Of the eight earthworm species that are thought to be native to Canada, the taxonomic experts among us (GGB,  
120 SJ, JWR, EKC, JM) determined which were to be assessed. Although members of the genus *Bimastos* are  
121 present in Canada, it is less clear whether they are native to the country and therefore they were not included in  
122 the assessments (Table 2). The assessments of the remaining five species were done following all the guidelines  
123 provided by the IUCN for the Global and Regional Red List Assessments (IUCN 2012; IUCN Standards and  
124 Petitions Committee 2022).

125

126



127

128 **Figure 1** The five earthworms native to Canada that were assessed using the IUCN-based Red List Assessment.  
129 (a) *Arctiostrotus fontinalis*, (b) *Arctiostrotus perrieri*, (c) *Arctiostrotus vancouverensis*, (d) *Sparganophilus*  
130 *tamesis* and (e) *Toutellus oregonensis* (photographs from Reynolds, 2022, with permission)

131

132

133 Literature searches were conducted for *Arctiostrotus fontinalis*, *Arctiostrotus perrieri*, *Arctiostrotus*  
134 *vancouverensis* and *Toutellus oregonensis* (Family: Megascolecidae) and *Sparganophilus tamesis* (Family:  
135 Sparganophilidae) (Figure 1). Web of Science and Google (through Publish or Perish; Harzing, 2007) were  
136 searched using the keywords “[species binomial] + Canada”. After removing duplicate articles from the two  
137 searches, each article was reviewed and relevant information extracted into standardised templates. The  
138 standardised templates allowed the compilation of information relating to the taxonomy of the species, the  
139 geographical distribution using occurrence records (including exact locations of where the earthworms had been  
140 collected in Canada), population sizes, habitat types where the species had been found, as well as any threats the  
141 species face and which conservation actions were in place. The standardised template was designed to  
142 correspond with the IUCN assessment template.

143

144 For each species, the Extent of Occurrence (EOO) was calculated using the sites where each species had been  
145 found. All site coordinates were converted to decimal degrees, and if a site lacked coordinates but a location  
146 name was presented, this was matched to appropriate coordinates using Google Maps. A convex hull was then  
147 created around all points (i.e., the smallest polygon that could enclose all the sites), and the area of the convex  
148 hull was calculated as the EOO. Given the lack of population data, location data or habitat data (with the last  
149 two as defined by the IUCN) for the five species, the EOO, together with information on trends of threats, was  
150 the only criterion used for the assessments (discussed further below).

151

152 Across three meetings in 2021 and one meeting in 2022, the assessors (six of the authors of this paper) met to  
153 assess the five species. Information collated in the standardised data templates was reviewed, alongside the EOO  
154 maps. Information was fully compiled and entered into the assessment templates. The IUCN Red List category  
155 for each species was only determined following the entire review.

156

157 If there was insufficient data to make a full assessment, the species were marked as Data Deficient (DD). Lack  
158 of data typically corresponded to species that had only been found in a couple of locations within Canada, and  
159 thus evaluation based on the criteria below was not possible. For species that had sufficient data, the assessment  
160 could result in one of three threatened categories (Critically Endangered [CE], Endangered [EN], Vulnerable  
161 [VU]) or one of two categories of lower risk (Near Threatened [NT], Least Concern [LC]). Species are assessed  
162 as LC if they are widespread and abundant, and thus are not threatened, but are assessed as NT if they are close  
163 to qualifying for the threatened categories. As mentioned previously, the lack of population data for the five  
164 earthworm species meant that they were primarily assessed based on their EOO (criterion B1 of the assessment  
165 and associated sub-criteria). In brief, species can be classified as VU with an EOO  $< 20,000\text{km}^2$ , EN with an  
166 EOO  $< 5,000\text{km}^2$ , and CE with an EOO  $< 100\text{km}^2$ . However, in order to meet the criteria of B1, the species also  
167 need to meet at least two of three sub-criteria: (a) severely fragmented or known to exist in few locations, (b)  
168 continuing decline, or (c) extreme fluctuation. Sub-criteria (b) and (c) can be represented by declines or  
169 fluctuations in any of the following: (i) extent of occurrence; (ii) area of occupancy; (iii) number of locations or  
170 subpopulations; or (iv) number of mature individuals (see IUCN guidelines for full details; IUCN 2012; IUCN  
171 Standards and Petitions Committee 2022). However, when one sub-criterion is met, but it is uncertain whether  
172 the second sub-criterion is met, the assessment category can be given as a range, with an indication of the most  
173 plausible category (IUCN Standards and Petitions Committee 2022).

174

175 Whilst the assessment was primarily completed using the EOO and therefore Criterion B, any information on  
176 population trends, habitat requirements, ecology, threats and conservation actions were compiled into the  
177 standardised templates, and where applicable, was used as additional evidence for the assigned assessment  
178 category.

179

180

181 **Results**

182

183 The five species assessed belong to three genera: *Arctiostrotus*, *Sparganophilus* and *Toutellus*. *Arctiostrotus* and  
184 *Toutellus* are members of the Megascolecidae and are found only in Canada and the USA. *Sparganophilus* is a  
185 member of the Spanganophilidae, and the species assessed here, *S. tamesis* (formerly *S. eiseni*), has been  
186 reported from Canada, USA, Mexico and several European countries. There are 12 additional species and two  
187 subspecies in the genus, but currently they are not known from Canada, only from the USA (Table 2).

188

189 Due to lack of data, two of the five species were classified as DD (*A. fontinalis* and *T. oregonensis*) (Phillips et  
190 al. 2022). Both species are known from only two sites within Canada, with only a few individuals found in total,  
191 although both species are also present in the USA (Figure 2a and d). Interestingly, in the case of *A. fontinalis*,  
192 there is considerable difference in habitats occupied by the Canadian population versus the US population. The  
193 Canadian locations were situated at >1,000 m above-sea level, whereas the USA sites were near sea level,  
194 implying three possibilities, either broad habitat requirements, habitat requirements that differ between  
195 subpopulations, or the individuals were different (sub-)species. Further investigation, potentially including  
196 DNA-based identification, would need to be conducted to ascertain.

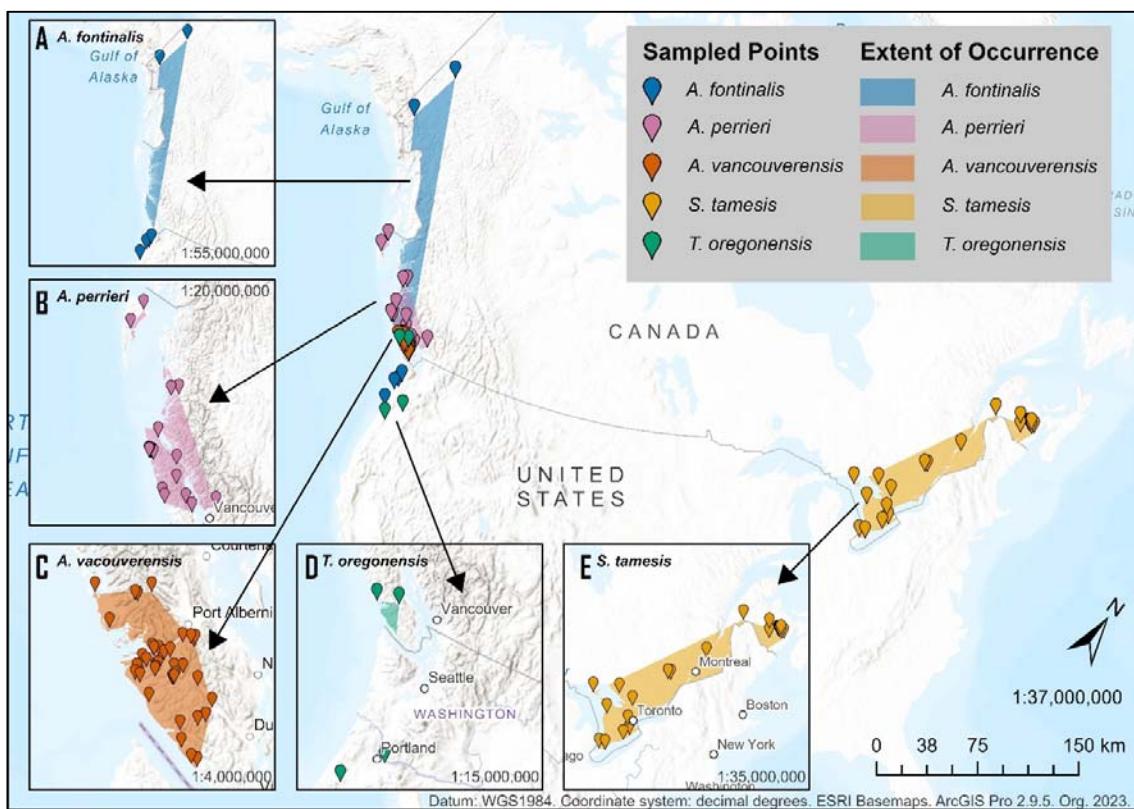
197

198 Two species were not classified in the threatened categories (Phillips et al. 2022). *Sparganophilus tamesis* was  
199 classified as LC, due to its very large range across the Atlantic Maritimes (Figure 2e). *Arctiostrotus perrieri* was  
200 classified as NT, as although it has a large EOO (56,401 km<sup>2</sup>), it is only found on Vancouver Island and Haida  
201 Gwaii (Figure 2b). It is therefore subjected to a number of anthropogenic threats across the entirety of its known  
202 range, including an increase in urbanisation, climate change (increases in area, intensity and duration of forest  
203 fires, as well as increase in extreme weather events) and forestry activities.

204

205 *Arctiostrotus vancouverensis* was the only species to be classified within the threatened categories (Figure 2c;  
206 Phillips et al. 2022). The small EOO (5,221 km<sup>2</sup>) put the species well within the VU category. However, in  
207 order to be classified in a threatened category using the B1 criteria, two sub-criteria also need to be met. Given  
208 the lack of data on the number of locations (as defined by the IUCN), Criterion B1a could not be determined.

209



210

211 **Figure 2** Main map indicates the sites where the five earthworm species have been recorded in Canada, as well  
212 as occurrences in the USA for *A. fontinalis* and *T. oregonensis*. Inset maps A-E show in greater detail the EOO  
213 within Canada for each of the species

214

215

216 Criterion B1c also could not be determined, as the species has not been reported since 1994 (Marshall and  
217 Fender 2007), and therefore there is no way to determine whether there were extreme fluctuations in extent of  
218 occurrence. However, given the logging activities that occur within its EOO, as well as climate extremes (fires,  
219 floods, average increases in temperature and heat waves), it is likely that this species undergoes extreme  
220 population and/or EOO fluctuations as a result of the increase in mortality from these direct disturbances.

221 Criterion B1b was the only sub-criterion to be met, as due to a 35% increase in the number of dwellings being  
222 built on Vancouver Island between 2001 and 2021, as well as the increase in human population by 30% during  
223 the same timeframe (data from <https://www.statcan.gc.ca/>, accessed 12 September 2023), it can be strongly  
224 inferred that the EOO (Criterion B1b(i)) is declining as a result of increased disturbance and soil perturbations.

225 Thus, *A. vancouverensis* was assessed as VU, but with a range of VU-NT, reflecting the uncertainty of not  
226 meeting both sub-criteria.

227

228 **Table 2** Eight earthworm species found in Canada. For the five species that the taxonomic experts deemed to be native to the country, results from the Regional Red List  
 229 Assessments are given. Results include the areas that the species was found at, the estimated EOO within Canada (\* indicates that, due to too few sites within Canada, the  
 230 EOO was calculated using the sites from the USA), the main threats that the species faces, and the final assessment. No species listed in this table has been assessed as part of  
 231 the IUCN Global Red List Assessment  
 232

Assessed Species	Canadian Location(s)	Estimated EOO in Canada (km <sup>2</sup> )	Main threats	Regional Assessment
<i>Arctiostrotus fontinalis</i>	Yukon	237,138*	- Residential & commercial development - Biological resource use	Data Deficient
<i>Arctiostrotus perrieri</i>	Vancouver Island, Haida Gwaii, mainland coastal regions of southern BC	44,080	- Residential & commercial development - Agriculture & aquaculture - Natural system modifications - Biological resource use - Pollution - Climate change & severe weather	Near Threatened
<i>Arctiostrotus vancouverensis</i>	Vancouver Island	5,221	- Residential & commercial development - Agriculture & aquaculture - Natural system modifications - Biological resource use - Pollution - Climate change & severe weather	Vulnerable
<i>Sparganophilus tamesis</i>	New Brunswick, Quebec and Ontario	256,991	- Natural system modification - Climate change & severe weather	Least Concern

<i>Toutellus oregonensis</i>	Vancouver Island	2,595*	<ul style="list-style-type: none"> <li>- Residential &amp; commercial development</li> <li>- Agriculture &amp; aquaculture</li> <li>- Natural system modifications</li> <li>- Biological resource use</li> <li>- Pollution</li> <li>- Climate change &amp; severe weather</li> </ul>	Data Deficient
<b>Non-assessed species</b>	<b>General Remarks</b>			
<i>Bimastos parvus</i>	Widespread and cosmopolitan species, even outside of North America (Csuzdi et al. 2017).			
<i>Bimastos beddardi</i>	Potential synonym for <i>Bimastos parvus</i> (Csuzdi et al. 2017). Only found at two sites in Canada (Quebec) with a limited number of specimens, but unlikely to be an established species (Reynolds 2010).			
<i>Bimastos lawrenceae</i>	Only 5 specimens, across two dates (1985 and 1993), found at one site in British Columbia (Douglas Peak, Vancouver Island) (McKey-Fender et al. 1994).			

233 **Discussion**

234

235 Using the Regional IUCN Red List Assessment, we were able to assess the extinction risk of five native  
236 earthworm species found in Canada; two as Data Deficient, and one each as Least Concern, Near Threatened  
237 and Vulnerable (Fig. 2, Table 2). Overall, despite our being able to classify three of the species in a non-Data  
238 Deficient category, there was little data available. Although some of the earthworm occurrence records dated  
239 from the 1930s (A. perrieri; McKey-Fender et al. 1994), there was considerably less research effort from more  
240 recent decades. We therefore call for increased awareness and more surveys of native species of earthworms  
241 across Canada, as well as of other soil organisms that could be potentially at risk (e.g., terrestrial snails; Nicolai  
242 and Ansart 2017). Without up-to-date distribution data it is not possible to fully understand the extinction risks  
243 of species, and the threats they face. We also hope that there will be more focus on soil organisms, and other  
244 invertebrates, by COSEWIC in the future.

245

246 The threats the earthworm species faced were very similar. All species but *A. fontinalis* are threatened by  
247 climate change. Unfortunately, Canadian greenhouse gas emissions have only declined by 1.1% between 2005  
248 and 2019, despite pledges in the Paris Agreement to reduce greenhouse gas emissions by 30% by 2030 (Office  
249 of the Auditor General of Canada 2021). Thus, as a country, Canada is still contributing substantially to climate  
250 change. Given that the global average for the climate change velocity (the speed at which a species would need  
251 to move in order to stay within its climatic niche) is 0.42 km/year (Loarie et al. 2009), and earthworm  
252 populations have been estimated to spread at a rate of only 0.005 - 0.018 km/yr on their own (Marinissen and  
253 van den Bosch 1992; Cameron and Bayne 2014), it is unlikely that any of the species will be able to respond to  
254 the impacts of a changing climate via movement out of the unsuitable area without human assistance or a  
255 considerable shift in climate change trajectories.

256

257 The second most prevalent threat faced by all species except *S. tamesis* (the only native species in the east of the  
258 country) were factors related to urbanisation. Indeed, urbanisation is becoming an increasingly important threat  
259 to many species and their habitats (Li et al. 2022). It has been estimated that 93% of Canada's endangered  
260 species are affected by habitat degradation, with the majority of the habitat degradation being a result of  
261 agricultural activity and urbanisation (Venter et al. 2006).

262

263 These five earthworm species face similar threats as other many species in Canada, and so conservation actions  
264 that are put in place for other species may benefit any of the earthworm species with overlapping distributions  
265 (Cameron et al. 2019). In 2021, 13.5% of terrestrial land in Canada was either conserved or protected  
266 (Environment and Climate Change Canada 2022) as a result of implementation actions towards the Aichi Target  
267 13 (referred to as Canada Target 1 in Canadian policy). Of the ~130 sites of earthworm occurrences across the  
268 five assessments, 15 sites were within a conservation area. Expansion of these areas, or creation of new  
269 protected and conservation areas, could benefit the conservation of earthworms where their range overlaps.

270

271 Due to the lack of data, two species had to be listed as DD in their assessments, *A. fontinalis* and *T. oregonensis*.  
272 However, if research efforts were put into gathering additional data, it is highly likely that those species would  
273 fall within the threatened categories. At the two sites these two species were found, very few individuals were  
274 collected, indicating that population sizes at these sites are likely to be very small. Additionally, previous studies  
275 have estimated that 56% of species listed as DD in the Global IUCN assessment may actually be threatened by  
276 extinction (Borgelt et al. 2022). Thus, not only are DD species of high conservation interest, they also often  
277 require the same level of conservation protection as species that have been assessed as threatened (Mace et al.  
278 2008).

279

280 *Applicability of the IUCN Red List assessments for soil invertebrates*

281 We have shown that it is possible to undertake IUCN Red List Assessments for understudied invertebrate  
282 species, and by using the standardised quantitative protocol provided by the IUCN these assessments can be  
283 compared with other taxa (Collen et al. 2016). Some authors have stated that they do not think the IUCN Red  
284 List Assessments are appropriate for invertebrates (Cardoso et al. 2011; Adriaens et al. 2015; Napierała et al.  
285 2018). They question whether the thresholds for the threatened categories using Criterion B are appropriate for  
286 small organisms (such as invertebrates) that typically have small ranges. Additionally, as invertebrates are  
287 typically undersampled, this may result in a reduced size of the EOO and thus an overestimation of extinction  
288 risk (Cardoso et al. 2011). However, others have found that EOO is relatively robust with reduced sampling  
289 effort (Marsh et al. 2023). While noting that Criterion B is the most commonly used for invertebrates (Cardoso  
290 et al. 2011), it is also the most commonly used across the Global IUCN Red List as a whole (Collen et al. 2016).  
291 Additionally, it is difficult to assess the number of individuals in invertebrate populations, and assessors are  
292 therefore unable to assess how the population is changing over time (Criterion A)(Cardoso et al. 2011).

293 However, as others have countered, invertebrates are not unique with their issues in aligning with the different  
294 criteria, and problems exist in other taxa (e.g., fungi; Dahlberg and Mueller 2011; seaweeds; Brodie et al. 2023).  
295 As the assessments were developed for a broad range of species with diverse life histories (Mace et al. 2008;  
296 Collen et al. 2016) applying the assessment should not be discouraged.

297

298 In our assessments, particularly for *A. vancouverensis*, the most noticeably lacking data were related to number  
299 of 'Locations' of the species, and the habitat requirements of the species, both discussed further below. Having  
300 this information about the species would have allowed easier classification in the sub-criteria of Criterion B.  
301 Therefore, besides increasing our knowledge on the distribution of soil-dwelling invertebrates, we also call on  
302 researchers to further investigate ecological requirements of each species, as well as other information such as  
303 life history and population dynamics, which may help in future assessments.

304

305 The IUCN defines 'Location' as "*a geographically or ecologically distinct area in which a single threatening  
306 event can rapidly affect all individuals of the taxon present.*" (IUCN Standards and Petitions Committee 2022).

307 While we know that *A. vancouverensis* is sensitive to increased temperatures (Fender 1995), we do not have  
308 accurate information on its exact tolerance limits, or data on other direct impacts from climate change that may  
309 be impacting the species now or in the future. Equally, the observations of the species are too sparse, including  
310 too temporally sparse, to match with data related to other threats (such as logging, or housing development) to  
311 calculate number of Locations using these other threats. Given the amount of data related to the threats that are  
312 available for Vancouver Island (and the wider BC area; <https://catalogue.data.gov.bc.ca/>), it was the lack of  
313 information about the connection between the threats and the species' observations or its ecology that prevented  
314 us calculating the number of Locations. We are well aware that for many regions of the world and for many  
315 species globally, environmental data is not available. For understudied invertebrate species that may be relying  
316 on Criterion B for assessment, this poses even further problems.

317

318 As with Locations, the definition of 'Habitat' is also quite specific within the IUCN Assessments, specifically,  
319 "*[an] area, characterized by its abiotic and biotic properties.....avoid using generic classifications such as  
320 "forest" that indicate a biotope, a vegetation type, or a land-cover type, rather than a species-specific  
321 identification of habitat*" (IUCN Standards and Petitions Committee 2022). For more widely spread species,  
322 definition of the habitat type was possible; for example, *A. perrieri* is often found in mixed coniferous forests,

323 such as spruce-hemlock (Spiers et al. 1986; McKey-Fender et al. 1994). However, for species with less  
324 distributional data, habitat could not be determined. For example, *A. vancouverensis* is known to be found in  
325 forested areas (Reynolds 2019), which is too general to match the requirements of the IUCN categories, and  
326 specifically found in humus and logs (McKey-Fender et al. 1994), a microhabitat that is unlikely to have data  
327 available for calculating habitat trends. Thus, ensuring that appropriate observations of the surrounding area are  
328 taken when collecting specimens, as well as reporting when species are *not* found in an area (especially within  
329 or near to the estimated range of the species), would help with determining habitat requirements.

330

331 *Incorporation of the Regional Red List assessments into national and global conservation frameworks*  
332 The species at risk assessments undertaken by COSEWIC are built upon the IUCN Red List assessment  
333 framework. Therefore, the information that we have gathered for the five earthworm species for these IUCN  
334 Red List Regional Assessments, and indeed any species assessed within the Red List framework, would be  
335 almost directly translatable to the COSEWIC database. The primary route for assessments by COSEWIC  
336 consists of three stages. Firstly, a list of possible species to assess is compiled by specialist subcommittees.  
337 Unfortunately, there is no specialist subcommittee for soil organisms, or even terrestrial invertebrates that are  
338 not arthropods or molluscs, which is potentially the main barrier for their inclusion in species at risk  
339 assessments. Secondly, all available data, knowledge and information is compiled. Finally, the species is  
340 assessed and results recorded. Although there is no relevant expert group for earthworms within COSEWIC,  
341 COSEWIC does accept unsolicited assessments (i.e., assessments created outside of the primary route), and  
342 whilst there is no guarantee that these unsolicited assessments would be accepted by COSEWIC, they seem the  
343 most viable option for getting soil organisms represented in the species at risk assessments in the short term.

344

345 As some of the species we assessed are endemic to Canada, the information that we have collated and their  
346 assessments would be directly applicable to the Global Red List, especially as none of the species are currently  
347 included. However, others, such as *S. tamesis*, would need additional information to be added as they are  
348 widespread outside of Canada (Rota et al. 2016). It is not uncommon for National Red Lists to include species  
349 not globally assessed. Brito et al., (2010) found that only 25% of the species on China's National Red List had  
350 also been globally assessed. Similarly, Karam-Gemael et al., (2020) identified 596 Myriapoda species on  
351 Regional Red Lists around the world, and 210 species on the global red list; however, there were no species in  
352 common between any of the Regional Red Lists and the Global Red List. Given the typical lack of overlap

353 between the two types of Red Lists (Dahlberg and Mueller 2011), this may provide some framework for  
354 prioritisation for the assessment, both global and regional, of soil biodiversity, and earthworms, in other  
355 countries. Undertaking regional assessments of soil-dwelling invertebrates that have already been globally  
356 assessed would provide additional understanding of the local threats they face, as well as identify potential  
357 conservation actions that can be done within a country.

358

### 359 **Conclusions**

360

361 Despite earthworms being key players in many ecosystem functions and services, they are routinely omitted  
362 from conservation assessments, including IUCN Red List Assessments at global and sub-global levels. By  
363 undertaking regional assessments for five native earthworm species in Canada, we show that such assessments  
364 are feasible, despite rarity of locality and habitat data. These assessments can provide insights into where the  
365 species occur, the threats that they face and the knowledge gaps. Given that changes in climate, habitat loss and  
366 habitat degradation are increasing as a direct result of increases in human population, we call for more  
367 assessments of soil organisms to be undertaken and to be considered in future conservation efforts.

368

### 369 **References**

- 370 Addison JA (2009) Distribution and impacts of invasive earthworms in Canadian forest ecosystems. *Biol  
371 Invasions* 11:59–79. <https://doi.org/10.1007/s10530-008-9320-4>
- 372 Adriaens T, San Martin y Gomez G, Bogaert J, et al (2015) Testing the applicability of regional IUCN Red List  
373 criteria on ladybirds (Coleoptera, Coccinellidae) in Flanders (north Belgium): Opportunities for  
374 conservation. *Insect Conserv Divers* 8
- 375 Anthony MA, Bender SF, van der Heijden MGA (2023) Enumerating soil biodiversity. *Proceedings of the  
376 National Academy of Sciences* 120:. <https://doi.org/10.1073/pnas.2304663120>
- 377 Australian Government Species Profile and Threats Database; EPBC Act List of Threatened Fauna
- 378 Bardgett RD, van der Putten WH (2014) Belowground biodiversity and ecosystem functioning. *Nature* 515  
379 505:505–511. <https://doi.org/10.1038/nature13855>
- 380 Betts J, Young RP, Hilton-Taylor C, et al (2020) A framework for evaluating the impact of the IUCN Red List  
381 of threatened species. *Conservation Biology* 34:. <https://doi.org/10.1111/cobi.13454>
- 382 Blouin M, Hodson ME, Delgado EA, et al (2013) A review of earthworm impact on soil function and ecosystem  
383 services. *Eur J Soil Sci* 64:161–182. <https://doi.org/10.1111/ejss.12025>
- 384 Borgelt J, Dorber M, Høiberg MA, Verones F (2022) More than half of data deficient species predicted to be  
385 threatened by extinction. *Commun Biol* 5:679. <https://doi.org/10.1038/s42003-022-03638-9>
- 386 Brito D, Ambal RG, Brooks T, et al (2010) How similar are national red lists and the IUCN Red List? *Biol  
387 Conserv* 143:. <https://doi.org/10.1016/j.biocon.2010.02.015>
- 388 Brodie J, Wilbraham J, Maggs CA, et al (2023) Red List for British seaweeds: evaluating the IUCN  
389 methodology for non-standard marine organisms. *Biodivers Conserv* 32:. <https://doi.org/10.1007/s10531-023-02649-0>

- 391 Brown GG, James SW, Csuzdi C, et al (2023) A checklist of megadrile earthworm (Annelida: Clitellata) species  
392 and subspecies of the world [Data set, v3]. Zootaxa 5255:417–438.  
393 <https://doi.org/10.5281/zenodo.8348860>
- 394 Buckley TR, Boyer S, Bartlam S, et al (2015) Conservation status of New Zealand earthworms , 2014. New  
395 Zealand Threat Classification Series 10:
- 396 Cameron EK, Bayne EM (2014) Spatial patterns and spread of exotic earthworms at local scales. Can J Zool  
397 93:. <https://doi.org/10.1139/cjz-2014-0197>
- 398 Cameron EK, Martins IS, Lavelle P, et al (2019) Global mismatches in aboveground and belowground  
399 biodiversity. Conservation Biology 430. <https://doi.org/10.1111/cobi.13311>
- 400 Cardoso P, Borges PAV, Triantis KA, et al (2011) Adapting the IUCN Red List criteria for invertebrates. Biol  
401 Conserv 144:. <https://doi.org/10.1016/j.biocon.2011.06.020>
- 402 Collen B, Dulvy NK, Gaston KJ, et al (2016) Clarifying misconceptions of extinction risk assessment with the  
403 IUCN Red List. Biol Lett 12:. <https://doi.org/10.1098/rsbl.2015.0843>
- 404 COSEWIC (2023) COSEWIC summary of assessment results to date, December 2023. In:  
405 <https://cosewic.ca/index.php/en/assessment-process/summary-results-december-2023.html#fn1>
- 406 Creighton MJA, Bennett JR (2019) Taxonomic Biases Persist from Listing to Management for Canadian  
407 Species at Risk. Ecoscience 26:. <https://doi.org/10.1080/11956860.2019.1613752>
- 408 Csuzdi C, Chang CH, Pavláček T, et al (2017) Molecular phylogeny and systematics of native North American  
409 lumbricid earthworms (Clitellata: Megadrili). PLoS One 12:.  
410 <https://doi.org/10.1371/journal.pone.0181504>
- 411 Dahlberg A, Genney DR, Heilmann-Clausen J (2010) Developing a comprehensive strategy for fungal  
412 conservation in Europe: current status and future needs. Fungal Ecol 3
- 413 Dahlberg A, Mueller GM (2011) Applying IUCN red-listing criteria for assessing and reporting on the  
414 conservation status of fungal species. Fungal Ecol 4:. <https://doi.org/10.1016/j.funeco.2010.11.001>
- 415 Environment and Climate Change Canada (2022) Canadian Environmental Sustainability Indicators: Canada's  
416 conserved areas. Available at: [www.canada.ca/en/environment-climate-change/services/environmental-indicators/conserved-areas.html](http://www.canada.ca/en/environment-climate-change/services/environmental-indicators/conserved-areas.html)
- 417 FAO, ITSP, GSBI, et al (2020) State of knowledge of soil biodiversity - Status, challenges and potentialities
- 418 Fender WM (1995) Native earthworms of the Pacific Northwest: an ecological overview. In: Hendrix PF (ed)  
419 Earthworm Ecology and Biogeography in North America. Lewis Publishers, pp 53–66
- 420 Fitzpatrick Ú, Murray TE, Paxton RJ, Brown MJF (2007) Building on IUCN regional red lists to produce lists of  
421 species of conservation priority: A model with Irish bees. Conservation Biology 21:.  
422 <https://doi.org/10.1111/j.1523-1739.2007.00782.x>
- 423 Frelich LE, Blossey B, Cameron EK, et al (2019) Side-swiped: ecological cascades emanating from earthworm  
424 invasions. Front Ecol Environ 17:502–510. <https://doi.org/10.1002/fee.2099>
- 425 Geraskina A, Kuprin A (2021) Functional diversity of earthworm communities in forests in the south of the  
426 Russian Far East. Ecological Questions 32:. <https://doi.org/10.12775/EQ.2021.016>
- 427 Gerlach J, Samways MJ, Hochkirch A, et al (2014) Prioritizing non-marine invertebrate taxa for Red Listing. J  
428 Insect Conserv 18:. <https://doi.org/10.1007/s10841-014-9660-6>
- 429 Harzing AW (2007) Publish or Perish, available from <https://harzing.com/resources/publish-or-perish>
- 430 Huang W, González G, Zou X (2020) Earthworm abundance and functional group diversity regulate plant litter  
431 decay and soil organic carbon level: A global meta-analysis. Applied Soil Ecology 150:.  
432 <https://doi.org/10.1016/j.apsoil.2019.103473>
- 433 IUCN (2012) Guidelines for Application of IUCN Red List Criteria at Regional and National Levels: Version  
434 4.0
- 435 IUCN (2024) The IUCN Red List of Threatened Species. Version 2022-2
- 436 IUCN Standards and Petitions Committee (2022) Guidelines for Using the IUCN Red List Categories and  
437 Criteria. Version 15.1. Prepared by the Standards and Petitions Committee. Downloadable from  
438 <https://www.iucnredlist.org/documents/RedListGuidelines.pdf>
- 439 Karam-Gemael M, Decker P, Stoev P, et al (2020) Conservation of terrestrial invertebrates: A review of IUCN  
440 and regional red lists for myriapoda. Zookeys 2020:. <https://doi.org/10.3897/zookeys.930.48943>

- 442 Lehmitz R, Römbke J, Graefe U, et al (2016) Rote Liste und Gesamtartenliste der Regenwürmer (Lumbricidae  
443 et Criodrilidae) Deutschlands. In: Grutke H, Balzer S, Binot-Hafke M, et al. (eds) Rote Liste gefährdeter  
444 Tiere, Pflanzen und Pilze Deutschlands, Band 4: Wirbellose Tiere (Teil 2). Münster  
445 (Landwirtschaftsverlag) - Naturschutz und Biologische Vielfalt
- 446 Li G, Fang C, Li Y, et al (2022) Global impacts of future urban expansion on terrestrial vertebrate diversity. *Nat  
447 Commun* 13:.. <https://doi.org/10.1038/s41467-022-29324-2>
- 448 Loarie SR, Duffy PB, Hamilton H, et al (2009) The velocity of climate change. *Nature* 462:..  
449 <https://doi.org/10.1038/nature08649>
- 450 Mace GM, Collar NJ, Gaston KJ, et al (2008) Quantification of extinction risk: IUCN's system for classifying  
451 threatened species. *Conservation Biology* 22
- 452 Marinissen JCY, van den Bosch F (1992) Colonization of new habitats by earthworms. *Oecologia* 91:371–376.  
453 <https://doi.org/10.1007/BF00317626>
- 454 Marsh CJ, Syfert MM, Aletrari E, et al (2023) The effect of sampling effort and methodology on range size  
455 estimates of poorly-recorded species for IUCN Red List assessments. *Biodivers Conserv* 32:..  
456 <https://doi.org/10.1007/s10531-023-02543-9>
- 457 Marshall VG, Fender WM (2007) Native and Introduced Earthworms (Oligochaeta) of British Columbia,  
458 Canada. *Megadrilogica* 11:29–52
- 459 McKey-Fender D, Fender WM, Marshall VG (1994) North American earthworms native to Vancouver Island  
460 and the Olympic Peninsula. *Can J Zool* 72:1325–1339. <https://doi.org/10.1139/z94-176>
- 461 Ministério do Meio Ambiente (2022) Portaria MMA Nº 148, de 7 de Junho de 2022. Brazilian Ministry of  
462 Environment, Brasília. In:  
463 [https://www.icmbio.gov.br/cepsul/images/stories/legislacao/Portaria/2020/P\\_mma\\_148\\_2022\\_altera\\_anexos\\_P\\_mma\\_443\\_444\\_445\\_2014\\_atualiza\\_especies\\_ameacadas\\_extincao.pdf](https://www.icmbio.gov.br/cepsul/images/stories/legislacao/Portaria/2020/P_mma_148_2022_altera_anexos_P_mma_443_444_445_2014_atualiza_especies_ameacadas_extincao.pdf)
- 464 Misirlio lu M, Reynolds JW, Stojanović M, et al (2023) Earthworms (Clitellata, Megadrili) of the world: an  
465 updated checklist of valid species and families, with notes on their distribution. *Zootaxa* 5255:..  
466 <https://doi.org/10.11646/zootaxa.5255.1.33>
- 467 Napierała A, Książkiewicz-Parulska Z, Błoszyk J (2018) A Red List of mites from the suborder Uropodina  
468 (Acari: Parasitiformes) in Poland. *Exp Appl Acarol* 75:.. <https://doi.org/10.1007/s10493-018-0284-5>
- 469 Naujokaitis-Lewis I, Endicott S, Guezen JM (2022) CAN-SAR: A database of Canadian species at risk  
470 information. *Sci Data* 9:.. <https://doi.org/10.1038/s41597-022-01381-8>
- 471 Nicolai A, Ansart A (2017) Conservation at a slow pace: Terrestrial gastropods facing fast-changing climate.  
472 *Conserv Physiol* 5:.. <https://doi.org/10.1093/conphys/cox007>
- 473 Office of the Auditor General of Canada (2021) Report 5: Lessons Learned from Canada's Record on Climate  
474 Change
- 475 Phillips HRP, Brown GG, James SW, et al (2022) Regional IUCN Assessments of the native earthworm species  
476 of Canada. Zenodo. <https://doi.org/10.5281/zenodo.6625351>
- 477 Phillips HRP, Cameron EK, Ferlian O, et al (2017) Red list of a black box. *Nat Ecol Evol* 1:0103.  
478 <https://doi.org/10.1038/s41559-017-0103>
- 479 Reynolds JW (2022) The Earthworms (Lumbricidae, Megascolecidae and Sparganophilidae) in Canada. Ottawa:  
480 Canada Food Inspection Agency
- 481 Reynolds JW (2010) The earthworms (Oligochaeta: Acanthodrilidae, Lumbricidae, Megascolecidae and  
482 Sparganophilidae) of northeastern United States, revisited. *Megadrilogica* 14:101–157
- 483 Reynolds JW (2019) Rare earthworms (Annelida: Oligochaeta) in Canada. *Megadrilogica* 25:19–32
- 484 Rodrigues ASL, Pilgrim JD, Lamoreux JF, et al (2006) The value of the IUCN Red List for conservation.  
485 *Trends Ecol Evol* 21:.. <https://doi.org/10.1016/j.tree.2005.10.010>
- 486 Rota E, Martinsson S, Bartoli M, et al (2016) Mitochondrial evidence supports a Nearctic origin for the  
487 spreading limicolous earthworm *Sparganophilus tamesis* Benham, 1892 (Clitellata, Sparganophilidae).  
488 Contributions to Zoology 85:113–119
- 489 Spiers GA, Gagnon D, Nason GE, et al (1986) Effects and importance of indigenous earthworms on  
490 decomposition and nutrient cycling in coastal forest ecosystems. *Canadian Journal of Forest Research*  
491 16:983–989. <https://doi.org/10.1139/x86-172>

- 493 van Groenigen JW, Lubbers IM, Vos HMJ, et al (2014) Earthworms increase plant production: a meta-analysis.  
494 *Sci Rep* 4:6365. <https://doi.org/10.1038/srep06365>
- 495 Venter O, Brodeur NN, Nemiroff L, et al (2006) Threats to endangered species in Canada. *Bioscience* 56:.  
496 [https://doi.org/10.1641/0006-3568\(2006\)56\[903:TTESIC\]2.0.CO;2](https://doi.org/10.1641/0006-3568(2006)56[903:TTESIC]2.0.CO;2)
- 497 Wall DH, Nielsen UN, Six J (2015) Perspective Soil biodiversity and human health. *Nature* 528:69–76.  
498 <https://doi.org/10.1038/nature15744>
- 499 Wilson EO (1987) The Little Things That Run the world (The Importance and Conservation of Invertebrates).  
500 *Conservation Biology* 1:.. <https://doi.org/10.1111/j.1523-1739.1987.tb00055.x>
- 501

502 **Statements and Declarations**

503 This work was funded by a Canadian Institute of Ecology and Evolution working group grant and NSERC  
504 Discovery Grant (RGPIN-2019-05758 to EKC). In addition, HRPP received funding from European Union's  
505 Horizon 2020 research and innovation program under the Marie Skłodowska-Curie grant agreement No.  
506 101033214 (GloSoilBio). GGB received support from the Brazilian National Council for Scientific and  
507 Technological Development (Nos. 441930/2020-4 and 404191/2019-3). The authors have no relevant financial  
508 or non-financial interests to disclose. All authors contributed to the study conception and design. Data was  
509 collected by HRPP, and assessments were completed by GGB, SWJ, JM, JWR and EKC, and facilitated by  
510 HRPP. The first draft of the manuscript was written by HRPP and all authors commented on previous versions  
511 of the manuscript. All authors read and approved the final manuscript. The assessments created are available on  
512 Zenodo: <https://doi.org/10.5281/zenodo.6625351>.