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2 **Single-stranded DNA drives  $\sigma$  subunit loading onto RNA polymerase to unlock initiation-competent**  
3 **conformations**  
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20

21 **ABSTRACT**

22 Initiation of transcription requires the formation of the “open” promoter complex (R<sub>Po</sub>). For this, the  $\sigma$   
23 subunit of bacterial RNA polymerase (RNAP) binds to the non-template strand of the -10 element sequence  
24 of promoters and nucleates DNA unwinding. This is accompanied by a cascade of conformational changes  
25 on RNAP the mechanics of which remains elusive. Here, using single-molecule Förster resonance energy  
26 transfer and cryo-electron microscopy, we explored the conformational landscape of RNAP from the human  
27 pathogen *Mycobacterium tuberculosis* upon binding to a single-stranded DNA fragment that includes the -10  
28 element sequence (-10 ssDNA). We found that like the transcription activator RbpA, -10 ssDNA induced  $\sigma$   
29 subunit loading onto the DNA/RNA channels of RNAP. This triggered RNAP clamp closure and unswiveling  
30 that are required for R<sub>Po</sub> formation and RNA synthesis initiation. Our results reveal a mechanism of ssDNA-  
31 guided RNAP maturation and identify the  $\sigma$  subunit as a regulator of RNAP conformational dynamics

32

33 **Keywords:** RNA polymerase maturation; promoter recognition; sigma factor sigB; tuberculosis; stress  
34 response; RbpA; conformational dynamics, single-molecule FRET, microfluidics diffusional sizing.

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38 **INTRODUCTION**

39 Transcription is the first step in gene expression that is required to interpret the information encoded in  
40 duplex DNA. To initiate transcription, all cellular RNA polymerases (RNAPs) must recognize promoter  
41 DNA motifs, locally melt duplex DNA and unwind the transcription start site. Duplex DNA is an energetic  
42 barrier for transcription initiation and requires the application of mechanical force by RNAPs. In bacteria,  
43 transcription initiation is performed by the RNAP holoenzyme ( $E\sigma$ ) assembled from RNAP core (E, subunits  
44  $\alpha 2\omega\beta\beta'$ ) and the  $\sigma$  subunit that controls promoter recognition and DNA melting. The  $\sigma$  subunits are  
45 classified into four groups according to their role and number of structural domains (numbered 1.1 to 4)(1).  
46 Group I includes the housekeeping  $\sigma$  subunit ( $\sigma^70$  in *Escherichia coli* (*Eco*) and  $\sigma^A$  in *Mycobacterium*  
47 *tuberculosis* (*Mtb*) and *Thermus aquaticus* (*Taq*) and controls transcription of most genes during exponential  
48 growth. Group II  $\sigma$  subunits (*Eco*  $\sigma^{38}$ , *Mtb*  $\sigma^B$ ) have a shorter region 1.1 compared with group I and are  
49 implicated in the stress response and stationary phase growth (2, 3). During transcription initiation,  $\sigma$  region  
50 2 ( $\sigma R2$ ) and region 4 ( $\sigma R4$ ) recognize the promoter -10 element sequence ( $T_{-12}A_{-11}T_{-10}A_{-9}A_{-8}T_{-7}$ ) and -35  
51 element sequence (TTGACA) respectively, to form the closed promoter complex (RPC). RPC spontaneously  
52 isomerizes to an open complex (RPO) through several structurally distinct intermediates (RPI) (4–6). In RPO,  
53 RNAP is ready to initiate RNA synthesis and forms a stable elongation complex after the synthesis of 11 – 14  
54 nt RNA. The  $\sigma$  subunit regions  $\sigma R1.1$ ,  $\sigma R3$  and  $\sigma R4$  occupy the DNA and RNA binding channels of the  
55 RNAP core and should be displaced in a stepwise fashion by the DNA template ( $\sigma R1.1$  and  $\sigma R3$ ) and by  
56 nascent RNA ( $\sigma R3$  and  $\sigma R4$ ) during transcription initiation(7).

57 Binding of  $\sigma R2$  to ssDNA bearing the -10 element sequence (-10 ssDNA) is a key event that triggers  
58 promoter melting.  $\sigma R2$  captures the -11A base flipped out of the duplex DNA (8, 9). This results in local  
59 DNA melting and then unwinding of ~13 bp of DNA duplex (8, 10). Base-flipping is the universal  
60 mechanism for nucleation of promoter melting by all classes of  $\sigma$  factors classes (11–13). Biochemical  
61 studies showed that the free  $\sigma$  subunit and  $\sigma R2$  alone can recognize the -10 motif and bind to -10 ssDNA  
62 with low affinity (8, 14). Binding of  $\sigma R2$  to the  $\beta'$  subunit clamp coiled-coil, called also clamp helices ( $\beta'$ -  
63 CH), triggers a cryptic conformational switch in  $\sigma R2$  that increases its affinity for -10 ssDNA (14–17).  
64 Although  $\sigma R2$  can bind to -10 ssDNA, neither free  $\sigma$  nor  $\sigma R2$  alone can melt promoter DNA duplex without  
65 the RNAP core (18). It has been suggested that the opening-closing dynamics of the RNAP clamp and  $\beta$   
66 subunit lobe (called RNAP pincers) play an essential role in RPO formation by RNAPs in all kingdoms of life  
67 (19–22). Single-molecule Förster resonance energy transfer (smFRET) studies of *Eco* RNAP showed that the  
68 clamp mainly adopts an open state in the RNAP core and  $E\sigma^70$  holoenzyme and a closed state in RPO where it  
69 remains closed during elongation (23–26). In  $E\sigma$ , the gap between  $\sigma R2$  bound to clamp and  $\beta$ -lobe (10 – 12  
70 Å) is narrower than the diameter of dsDNA helix (20 Å). Therefore, as  $\sigma R2$  obstructs dsDNA access to the  
71 RNAP active site cleft (21, 27), the clamp should be opened to allow dsDNA entry and then closed over  
72 DNA to hold it in the cleft. Conversely, entry of ssDNA (diameter < 10 Å) does not necessitate clamp  
73 opening. The importance of the clamp opening-closing dynamics for RPO formation is supported by studies  
74 on antibiotics binding to the RNAP switch regions (28, 29). Specifically, fidaxomicin inhibits transcription

75 initiation by blocking the clamp in the open state (29–32) Conversely, myxopyronin and coralopyronin  
76 block the clamp in the closed state (28, 33). Although, clamp dynamics seem to be important for RPo  
77 formation, no clear causal relationship has been established between clamp dynamics and promoter melting.  
78 Structural studies of promoter melting intermediates formed by *Eco* E $\sigma$ <sup>70</sup> (10, 31) and *Mtb* E $\sigma$ <sup>A</sup> (34, 35)  
79 suggest that nucleation of the duplex -10 element DNA melting takes place outside the RNAP cleft and may  
80 require clamp closure (31) while entry of the downstream DNA duplex (dwDNA) into the DNA channel  
81 requires clamp opening. Unwinding of the transcription start site occurs in the RNAP cleft after dwDNA  
82 entry and requires a transient increase in the distance between the  $\beta'$  switch-2 ( $\beta'$ -SW2) and  $\beta$  fork-loop 2  
83 ( $\beta$ -FL2) that restricts ssDNA access to the active site (34). This scheme fits into the “bind-melt-load-unwind”  
84 model and is supported by the results of biochemical studies on real-time RPo formation kinetics (36–38). In  
85 the alternative “bind-melt-unwind-load” model, unwinding occurs outside the active site cleft and then  
86 ssDNA loads inside (27, 39, 40). Indeed, smFRET studies showed that the clamp remains in the closed  
87 conformation during promoter binding and unwinding, suggesting no transient clamp opening during RPo  
88 formation (24). Moreover, these models cannot explain biochemical data showing that *Eco*  $\sigma$ <sup>70</sup>R2-R3 and  $\beta'$   
89 subunit clamp domain (aa 1-314) are sufficient to melt supercoiled DNA duplex (18). As in this “minimal”  
90 system clamp closure is irrelevant, it is not clear how DNA unwinding occurs.

91 In *Mtb*, RPo formation by RNAPs that contain the principal  $\sigma^A$  or principal-like  $\sigma^B$  subunits is  
92 regulated by RNAP-binding protein A (RbpA), essential for bacterial growth (41–44). Our studies depicted  
93 RbpA as a transcription factor that stabilizes  $\sigma^A$  and  $\sigma^B$  interactions with the RNAP core (42, 43), stabilizes  
94 RPo, and decreases the energetic barrier for promoter DNA melting (42, 45). RbpA interacts with the  $\beta'$   
95 subunit Zn<sup>2+</sup> binding domain ( $\beta'$ -ZBD) and with the non-conserved region (NCR) of  $\sigma$  ( $\sigma$ -NCR) which is  
96 regulated by lineage-specific transcription factors (46–48). Biochemical, biophysical and structural studies  
97 suggest that RbpA acts as a  $\sigma$ -loader, inducing a  $\sigma$  conformational change that results in  $\sigma$ R2 and  $\sigma$ R4  
98 stretching over the RNAP core surface to match the distance between the -10 and -35 elements (48, 49). In  
99 the absence of RbpA, the E $\sigma^B$  holoenzyme (but not E $\sigma^A$ ) oligomerizes to an octamer in which the RNAP  
100 clamp is captured in a fully opened conformation, similar to the one found upon RNAP inhibition with the  
101 antibiotic fidaxomicin (50). RbpA binding, which induces  $\sigma^B$  remodeling, also leads to clamp closure (30)  
102 and octamer dissociation (50)(Figure 1A). Here we used *Mtb* E $\sigma^B$  as a model system to explore the link  
103 between clamp dynamics, -10 element recognition, and  $\sigma$  remodeling. We found that the -10 ssDNA  
104 fragment is sufficient to induce huge RNAP structure rearrangements, leading to the E $\sigma^B$  holoenzyme release  
105 from a conformational lock. Our results suggest that ssDNA, together with the  $\sigma$  subunit, acts as driver of  
106 RPo formation by triggering clamp closure.

107

## 108 MATERIAL AND METHODS

### 109 Proteins and DNA templates

110 The *M. tuberculosis* RNAP core (harboring the C-terminal 6xHis-tag on rpoC) and its mutant ( $\Delta$ F7), in  
111 which amino acids 811-825 of the  $\beta$  subunit were deleted, were expressed in BL21 DE3 *E. coli* cells  
112 transformed with the pMR4 plasmid and purified as described before (42, 50). The 6xHis-tagged  $\sigma^A$ ,  $\sigma^B$ ,  $\sigma^B$ -

113 Cys151/292 mutant, and RbpA were expressed in BL21 DE3 *E. coli* cells and purified as described before  
114 (42, 49). Mutant RbpA-R88,89A was constructed using the Quick Change Lightening site-directed  
115 mutagenesis kit (Agilent). HPLC-purified DNA oligonucleotides (**Supplementary Table S1**) were  
116 purchased from Sigma-Aldrich.

117

### 118 **Protein labeling and smFRET measurements**

119 Random labeling of the  $\sigma^B$  subunit with the DY547P1 and DY647P1 fluorescent dyes at Cys151/Cys292 was  
120 performed as described before (49). The fluorescent dye derivatives DY547P1-maleimide (donor) and  
121 DY647P1-maleimide (acceptor) were purchased from Dyomics GmbH. The double-labeled  $\sigma^B$  subunit at 25  
122 pM was prepared in filtered (0.1  $\mu$ m) FRET buffer [20 mM Tris-HCl (pH 7.9), 150 mM NaCl, 5 mM MgCl<sub>2</sub>,  
123 5% glycerol, and bovine serum albumin (0.1 mg/ml)]. smFRET measurements were performed using a  
124 homebuilt confocal PIE-MFD microscope, as described before (49).

125

### 126 **Run-off transcription assays**

127 100 nM of core RNAP and 300 nM of the  $\sigma$  subunit were incubated in transcription buffer (TB) [20 mM tris-  
128 HCl (pH 7.9), 50 mM NaCl, 5 mM MgCl<sub>2</sub>, 0.5 mM DTT, 0.1 mM EDTA, 1  $\mu$ M ZnCl<sub>2</sub> and 5% glycerol] at  
129 37°C for 10 min. When indicated, RbpA was added to 300 nM. The reaction mixtures were incubated with  
130 the *sig4P* and *sig4P*-TGTG derivative (40 nM) promoters at 37°C for 10 min. Transcription was initiated by  
131 adding ATP, GTP, UTP to a final concentration of 25 mM/each, 3  $\mu$ Ci of [ $\alpha$ -<sup>32</sup>P] CTP (PerkinElmer Life  
132 Sciences), 1 mM CTP and was carried out at 37°C for 5 min.

133

### 134 **DNA-protein cross-linking by formaldehyde**

135 Cross-linking reactions and analysis of cross-linked complexes were performed as described before (51) with  
136 the following modifications. Briefly, the indicated combinations of RNAP core at 400 nM,  $\sigma^A$ ,  $\sigma^B$  at 600 nM,  
137 RbpA at 400 nM where mixed in TB and incubated at 37°C for 10 min. The Cy5-labeled DNA  
138 oligonucleotide was added to 50 nM and incubated at 22°C for 20 min. Formaldehyde was added to 0.1%  
139 and cross-linking performed for 30 sec. Cross-linked complexes were analyzed on 13% SDS-PAGE and gels  
140 were scanned with a Typhoon 9400 Imager (GE Healthcare) and stained with Coomassie blue.

141

### 142 **Microfluidics diffusional sizing (MDS) measurements and quantification**

143 4  $\mu$ M *Mtb* RNAP core and 4.8  $\mu$ M  $\sigma^B$  or  $\sigma^A$  and 4.8  $\mu$ M RbpA (when added) were mixed in 40  $\mu$ l of binding  
144 buffer (20 mM HEPES-KOH pH 8.0, 150 mM KCl, 0.01% BSA, 5% glycerol) incubated at 37°C for 5 min  
145 and dialyzed against binding buffer on 0.025 $\mu$ m MF-Millipore membrane filters (VSWP) for 15 min. A  
146 series of dilutions were prepared with RNAP concentrations from 4  $\mu$ M to 15 nM. Then -10 ssDNA labeled  
147 by fluorescein at the 5' end was added at 20 nM final concentration and incubated at room temperature for 20  
148 min. Samples were processed using Fluidity One-W (Fluidic Analytics Ltd). The collected gyration radius  
149 values,  $R_h$  (nm), were normalized and plotted as a radius relative change ( $R_{RC}$ ):  $R_{RC} = (R_h - R_{h0})/R_{h0}$ .  $R_{h0}$  is  
150 the gyration radius for DNA alone and  $R_h$  is the gyration radius for RNAP-bound DNA. Values from three

151 technical replicates were averaged and fitted using the Grace software (v. 5.1.25) with the Hills equation:  $R_{FC}$   
152  $= k[RNAp]^n / ([RNAp]^n + K_d^n)$ .  $[RNAp]$  is the concentration of RNAP holoenzyme,  $K_d$  is dissociation  
153 constant, and  $k$  the amplitude coefficient.

154

### 155 **Cryo-electron microscopy (cryo-EM) sample preparation**

156 15  $\mu$ M *Mtb*RNAp core was mixed with 22.5  $\mu$ M  $\sigma^B$  in 30  $\mu$ l of 20 mM HEPES-KOH pH 8.0, 150 mM KCl,  
157 5 mM MgCl<sub>2</sub>, 2 mM DTT and incubated at 37°C for 5 min. To remove glycerol traces, samples were dialyzed  
158 in 10  $\mu$ l drops on 0.025  $\mu$ m MF-Millipore membrane filters (VSWP) against the same buffer at room  
159 temperature for 1 h. Then, 3.75  $\mu$ l of DNA oligonucleotide was added to 22  $\mu$ M and incubated at 22°C for 30  
160 min. CHAPSO was added to 8 mM immediately before sample freezing. About 3.5  $\mu$ l of sample was spotted  
161 on Quantifoil Ultra AuFoil R2/2 Au 200 mesh grids that were prepared using Fischione plasma cleaner  
162 (NanoClean model 1070). Grids were flash-frozen in liquid ethane using Vitrobot Mark IV (FEI) at 18 °C  
163 and 90% of humidity.

164

### 165 **Cryo-EM data acquisition and processing**

166 Data were collected using a spherical aberration (Cs) - corrected Titan Krios S-FEG instrument (FEI)  
167 operating at 300 kV acceleration voltage and equipped with a Gatan K3 Summit direct electron detector  
168 (Gatan, Warrendale, PA) and a Gatan BioQuantum energy filter. A total of 9,202 movies (50 frames) were  
169 collected at an exposure rate of 27.91 e<sup>-</sup>/Å<sup>-2</sup>/s and total electron dose of 55.735 e<sup>-</sup>/Å<sup>-2</sup> over a nominal defocus  
170 range from -0.8 to -2.5  $\mu$ m, at a nominal magnification of x81,000 with a physical pixel size of 0.862 Å.  
171 Semi-automatic image acquisition was performed with Serial-EM (52). Motion correction, dose weighting,  
172 CTF parameters estimation and particles picking were carried out using WARP (53). A set of WARP-selected  
173 695,496 particles was used for further processing. Particles with box size of 360<sup>2</sup> pixels underwent several  
174 2D classification rounds in cryoSPARC (54). A cleaned dataset of 371,233 particles was used to compute  
175 three *ab-initio* 3D models (three classes). The resulting best *ab-initio* model and two junk 3D models were  
176 used as references for the 3D heterogeneous refinement and classification. A cleaned set of 290,345 particles  
177 from the best 3D class was used for the final local non-uniform refinement resulting in the consensus I cryo-  
178 EM map refined to 3.19 Å (**Supplementary Table S2**).

179

### 180 **3D variability analysis (3DVA) in cryoSPARC**

181 The set of 695,496 particles was re-extracted with box size of 540<sup>2</sup> pixels and underwent several 2D  
182 classification rounds in cryoSPARC. A subset of 394,448 particles from the best 2D classes was used for the  
183 *ab-initio* reconstruction to produce five reference volumes: three junk classes, one class corresponding to  
184 RNAP dimers, and one class corresponding to RNAP monomers. These volumes were used for the  
185 heterogeneous refinement/classification with a larger dataset of 476,952 particles. Next, a subset of 368,449  
186 particles that represented RNAP dimers and monomers was used for the heterogeneous  
187 refinement/classification with four reference volumes. The heterogeneous refinement produced two classes  
188 of RNAP dimers and one class of RNAP monomers. The final clean dataset of 167,825 particles that

189 represented RNAP monomers was re-extracted with box size of  $360^2$  pixels and used in non-uniform  
190 refinement to produce the consensus II cryo-EM map refined to 3.33 Å.

191 *Separating clamp conformations.* A clean dataset of 167,825 particles that included RNAP monomers was  
192 used in 3DVA processing with three principal components (reaction coordinates) and a mask excluding σR4.  
193 Particles were sorted in three clusters over coordinate 1. The resulting three maps were used as references to  
194 classify the set of 167,825 particles using heterogeneous refinement. Class 0, which represents RNAP with  
195 the unswiveled clamp conformation (36,319 particles), was refined to 3.79 Å. Class 2, which represents  
196 RNAP with the swiveled clamp conformation (21,873 particles), was refined to 4.33 Å.

197 *Separating docked and undocked conformations of σR4.* The 3DVA processing was repeated with a mask to  
198 select σR4. Particles were sorted in four clusters over coordinate 1. The resulting four volumes were used as  
199 references to classify the set of 167,825 particles using heterogeneous refinement. Class 1 comprised docked  
200 σR4 (72,799 particles) and was refined to 3.43 Å. Class 2 included undocked σR4 (67,957 particles) and was  
201 refined to 3.48 Å.

202

### 203 **Model building and refinement**

204 The coordinates of the *Mtb* Eσ<sup>B</sup> holoenzyme (PDB ID: 7PP4) were used as starting model. The starting model  
205 of full length σ<sup>B</sup> was built by AlphaFold. The model of the *lacUV5* DNA oligonucleotide was built by Coot  
206 (55). The molecular models were assembled and fitted to the cryo-EM map using UCSF Chimera (56) and  
207 manually modified with Coot. Fitting of the RNAP clamp and lobe domains was adjusted using rigid body  
208 real space refinement in Phenix (57). Full cycles of real space refinement in Phenix were performed with  
209 secondary-structure restraints and geometry optimization. The refined models were manually adjusted in Coot  
210 (**Supplementary Table S2**).

211

### 212 **RNAP conformational heterogeneity analysis in cryoSPARC**

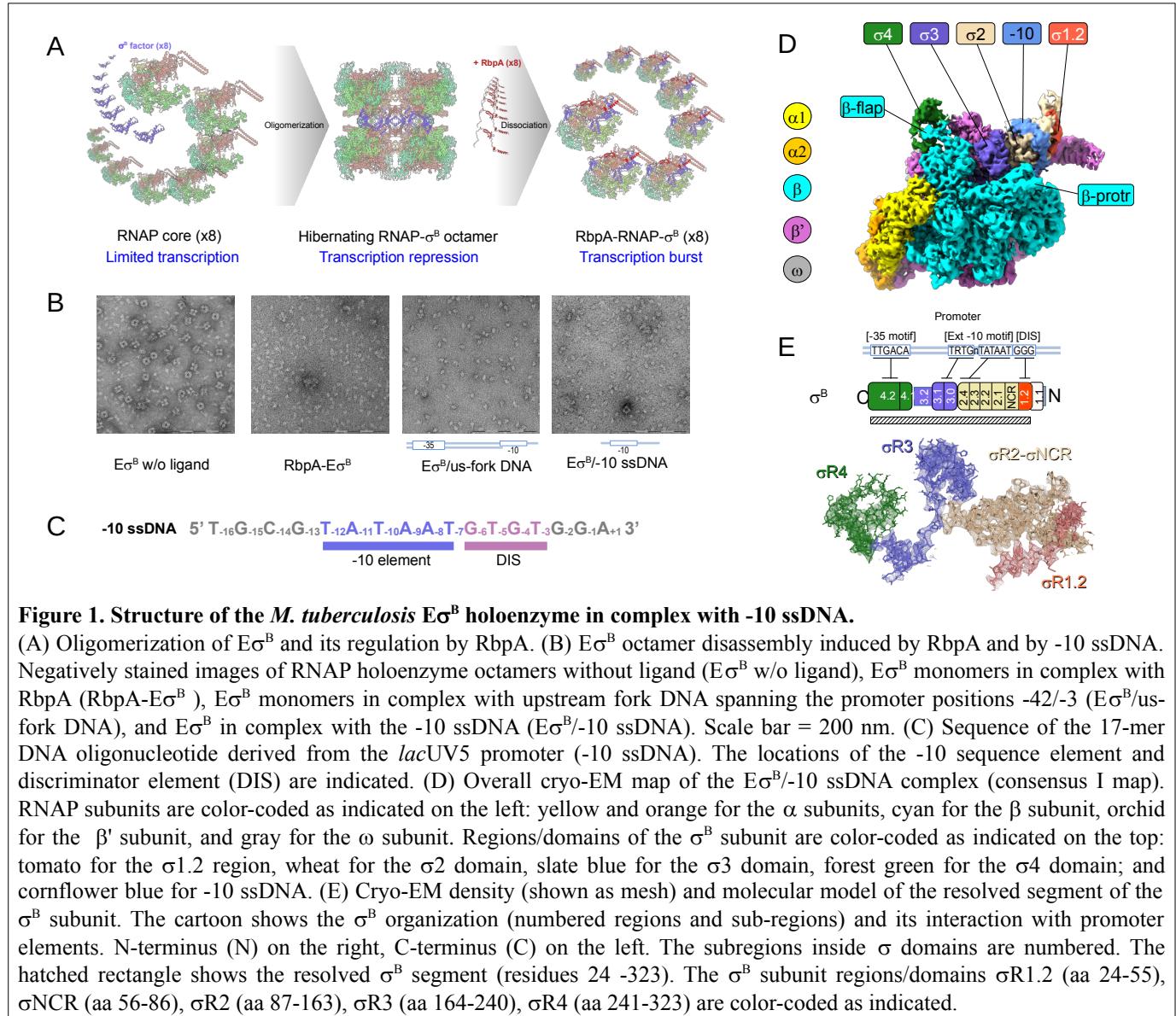
213 3DVA analysis was performed in cryoSPARC with the 167,825 particles using three variability components  
214 (eigenvectors). For each component pair, particles were separated in nine clusters and the corresponding  
215 cryo-EM maps were calculated. The generated 27 maps and the consensus-I molecular model were used for  
216 rigid body real-space refinement with Phenix. (57). Models were aligned with Chimera (56) relative to the  
217 RNAP α subunits. Distances between atoms were calculated using custom Python scripts. Clamp rotation  
218 was measured with PyMOL Molecular Graphics System using the *draw\_rotation\_axis.py* script.

219

## 220 **RESULTS**

### 221 **The promoter -10 ssDNA triggers large scale conformational changes in the Eσ<sup>B</sup> holoenzyme**

222 Our previous smFRET studies showed that binding of promoter dsDNA to Eσ<sup>B</sup> does not affect σ<sup>B</sup>  
223 conformation, whereas synthetic upstream fork (us-fork) DNA induces formation of the “open” σ<sup>B</sup>



**Figure 1. Structure of the *M. tuberculosis* Eσ<sup>B</sup> holoenzyme in complex with -10 ssDNA.**

(A) Oligomerization of Eσ<sup>B</sup> and its regulation by RbpA. (B) Eσ<sup>B</sup> octamer disassembly induced by RbpA and by -10 ssDNA. Negatively stained images of RNAP holoenzyme octamers without ligand (Eσ<sup>B</sup> w/o ligand), Eσ<sup>B</sup> monomers in complex with RbpA (RbpA-Eσ<sup>B</sup>), Eσ<sup>B</sup> monomers in complex with upstream fork DNA spanning the promoter positions -42/-3 (Eσ<sup>B</sup>/us-fork DNA), and Eσ<sup>B</sup> in complex with the -10 ssDNA (Eσ<sup>B</sup>/-10 ssDNA). Scale bar = 200 nm. (C) Sequence of the 17-mer DNA oligonucleotide derived from the *lacUV5* promoter (-10 ssDNA). The locations of the -10 sequence element and discriminator element (DIS) are indicated. (D) Overall cryo-EM map of the Eσ<sup>B</sup>/-10 ssDNA complex (consensus I map). RNAP subunits are color-coded as indicated on the left: yellow and orange for the α subunits, cyan for the β subunit, orchid for the β' subunit, and gray for the ω subunit. Regions/domains of the σ<sup>B</sup> subunit are color-coded as indicated on the top: tomato for the σ1.2 region, wheat for the σ2 domain, slate blue for the σ3 domain, forest green for the σ4 domain; and cornflower blue for -10 ssDNA. (E) Cryo-EM density (shown as mesh) and molecular model of the resolved segment of the σ<sup>B</sup> subunit. The cartoon shows the σ<sup>B</sup> organization (numbered regions and sub-regions) and its interaction with promoter elements. N-terminus (N) on the right, C-terminus (C) on the left. The subregions inside σ domains are numbered. The hatched rectangle shows the resolved σ<sup>B</sup> segment (residues 24-323). The σ<sup>B</sup> subunit regions/domains σR1.2 (aa 24-55), σNCR (aa 56-86), σR2 (aa 87-163), σR3 (aa 164-240), σR4 (aa 241-323) are color-coded as indicated.

224 conformation, like RbpA (49). Here, using negative stain electron microscopy (EM), we observed that the  
 225 Eσ<sup>B</sup> octamer disassembled in the presence of us-fork DNA or RbpA (Figure 1A,B). We hypothesized that  
 226 the ssDNA segment of the us-fork comprising the promoter -10 element sequence was responsible for the  
 227 observed RNAP conformational change. Indeed, addition of a synthetic DNA oligonucleotide (-10 ssDNA)  
 228 that contains the "perfect consensus" -10 element sequence (T<sub>-12</sub>A<sub>-11</sub>T<sub>-10</sub>A<sub>-9</sub>A<sub>-8</sub>T<sub>-7</sub>) to the Eσ<sup>B</sup> octamer was

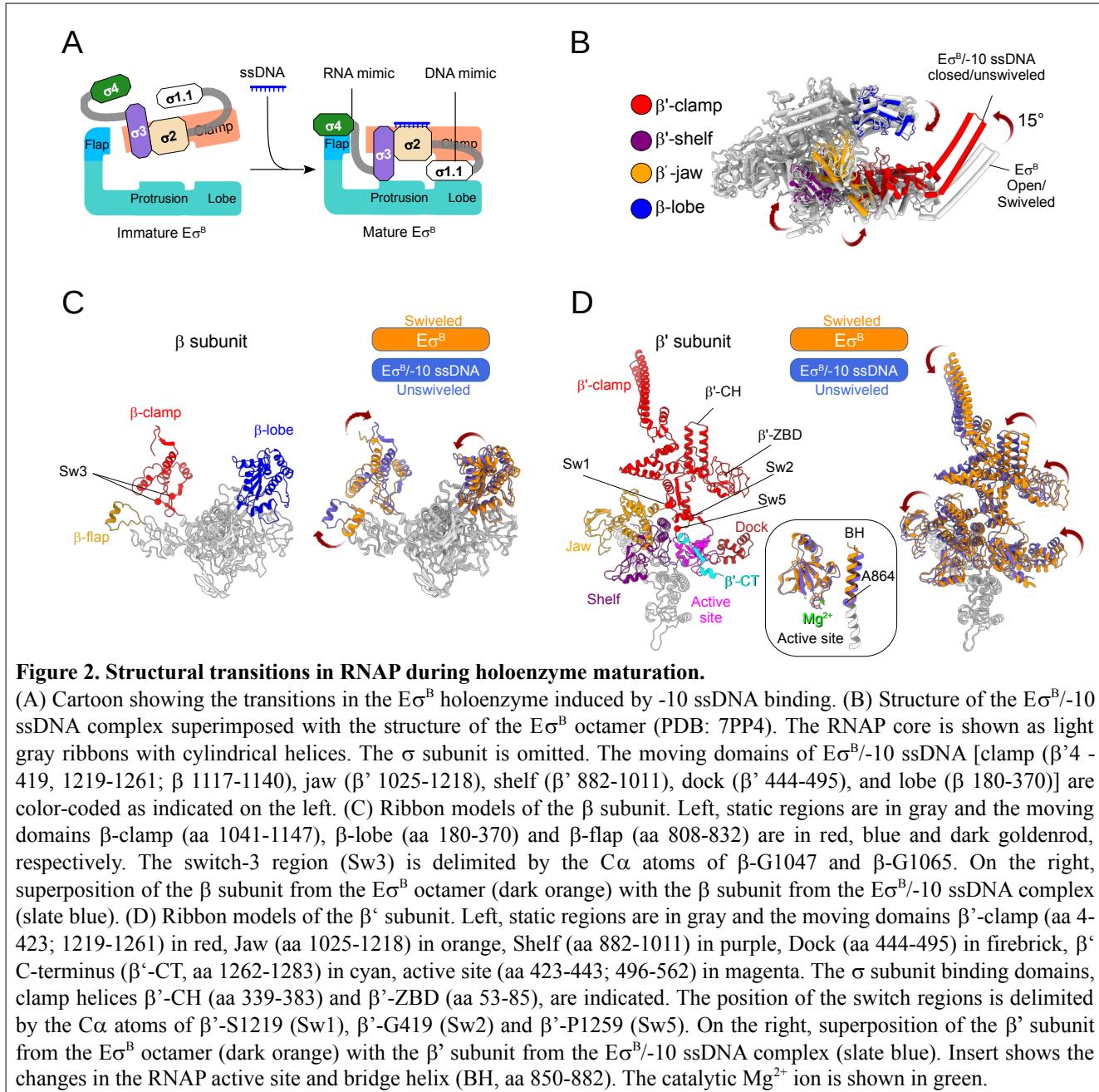
**Table 1. Relative rotation angles of the RNAP domains.**

Conformational states	Moving domain	Rotation angle	Distance between mass centers
E $\sigma^B$ octamer vs. E $\sigma^B$ /-10 ssDNA (consensus-I)	Clamp core	15.27°	10.5 Å
	$\beta$ -lobe1	3.59°	2.31 Å
E $\sigma^B$ /-10 ssDNA unswiveled vs. swiveled (3DVA component 0)	Clamp core	9.38°	5.69 Å
	$\beta$ -lobe1	3.68°	1.74 Å
E $\sigma^B$ /-10 ssDNA open vs. closed (3DVA component 2)	Clamp head / $\beta'$ i1	13.57°	5.03 Å
	$\beta$ -lobe1	3.71°	1.95 Å
E $\sigma^B$ /-10 ssDNA docked vs. undocked (3DVA component 1)	$\sigma$ R4	31.63°	10.02 Å

Clamp core:  $\beta'$ 4-419,  $\beta'$ 1215-L1245,  $\beta$ 1117-1140. Clamp head/ $\beta'$ i1:  $\beta'$ L97-L314,  $\beta'$ L1162-L1245.  $\beta$ -lobe1: I234-S323.  $\sigma$ R4: I234-S323.

230

231 sufficient to induce its dissociation (**Figure 1B,C**). To identify the nature of the RNAP conformational  
232 changes induced by -10 ssDNA, we determined the structure of the E $\sigma^B$ /-10 ssDNA complex by single-  
233 particle cryo-EM to a nominal resolution of 3.2 Å (Consensus I map, **Figure 1D, Supplementary Figure S1**,  
234 **Supplementary Table S2**). In the published structure of the E $\sigma^B$  octamer, only 44% of the  $\sigma^B$  polypeptide  
235 was resolved and the cryo-EM density of the  $\sigma^B$  subunit C-terminal domains  $\sigma$ 3 and  $\sigma$ 4 was missing (50).  
236 Here, the E $\sigma^B$ /-10 ssDNA complex displayed the complete cryo-EM density of the  $\sigma^B$  subunit (93% of  $\sigma^B$   
237 resolved) with the C-terminal domain  $\sigma$ 3 contacting the  $\beta$ -protrusion, and the domain  $\sigma$ 4 inserted into the  
238 RNA exit channel and contacting the  $\beta$ -flap (**Figure 1D,E, Supplementary Figure S2A**). The overall  $\sigma^B$   
239 fold was identical to that of the primary  $\sigma^A$  subunit in the published structures of RNAP-promoter complexes  
240 (34, 46), with an root mean square deviation of 1 Å. The cryo-EM densities of domains  $\sigma$ 2 and  $\sigma$ 3 were well  
241 defined (resolution 2.9 – 3.5 Å), while the densities of  $\sigma$ R1.1 and  $\sigma$ R4 were poorly resolved (resolution: 4 - 6  
242 Å) revealing their high conformational mobility. The central part of the RNAP core, including its active site,  
243 displayed the highest resolution (between 2.2 – 3.0 Å) while the mobile domains,  $\beta'$ -clamp with bound  
244 ssDNA and  $\beta$ -lobe, displayed a lower resolution (between 2.7 - 5 Å) (**Supplementary Figure S1**). We  
245 previously showed that the *Mtb* RNAP core and *Mtb* E $\sigma^B$  holoenzyme adopt poorly active conformations,  
246 characterized by a wide open clamp and a mobile  $\beta$ -flap (50). Superposition of the E $\sigma^B$  and E $\sigma^B$ /-10 ssDNA  
247 structures revealed that the RNAP core displayed large scale rearrangements during holoenzyme maturation  
248 (**Figure 2A,B, Table 1**). The clamp domain ( $\beta'$  residues 4-423, 1219-1261 and  $\beta$  residues 1041-1147),  
249 attached to the main RNAP body through switches 1, 2, 3, 5, rotated 22° orthogonal to the main channel and  
250 adopted a closed conformation (**Figure 2B**, red). The  $\beta$ -lobe (residues 180-370, blue) rotated 3.59° towards  
251 the clamp, bringing the  $\beta$  subunit gate loop ( $\beta$ -GL) close to the  $\sigma$ R1.2 and blocking the access to the active  
252 site cleft. The  $\beta$ -flap rotated towards the  $\beta$ -dock and was fastened by  $\sigma$ R4 in a conformation found in all



258 RNAP-promoter complexes structures. In addition, parts of the clamp/jaw/shelf and dock of the  $\beta'$  subunit  
 259 (referred as swivel module (58)) exhibited different extents of rotation parallel to the main channel (**Figure**  
 260 **2C,D**). A similar movement, called swiveling, was first observed in the paused elongation complex formed  
 261 by *Eco* RNAP (*Eco* PEC) (58) and later in *Mtb* PECs (59, 60). The *Mtb* RNAP active site elements ( $\beta'$  423-  
 262 562) that hold the catalytic  $\text{Mg}^{2+}$  ion and the bridge helix (BH  $\beta'$  850-882) also underwent conformational  
 263 changes upon maturation (**Figure 2D insert**). Indeed, in the  $E\sigma^B$  octamer, the bridge helix was kinked at  $\beta'$ -  
 264 A864, adopting the catalysis-inhibited conformation observed in PECs (59). After  $\sigma$ R3- $\sigma$ R4 loading, BH  
 265 adopted a catalysis-ready conformation. Overall, 78% of the  $\beta'$  subunit and 30% of the  $\beta$  subunit underwent  
 266 conformational changes. We concluded that the *Mtb* RNAP core and *Mtb*  $E\sigma^B$  holoenzyme adopt a  
 267 catalytically inactive swiveled conformation, corresponding to an energetically favored, relaxed state.  $\sigma$ R3  
 268 and  $\sigma$ R4 loading onto the RNAP core, induced by -10 ssDNA, forces RNAP to adopt an unswiveled,

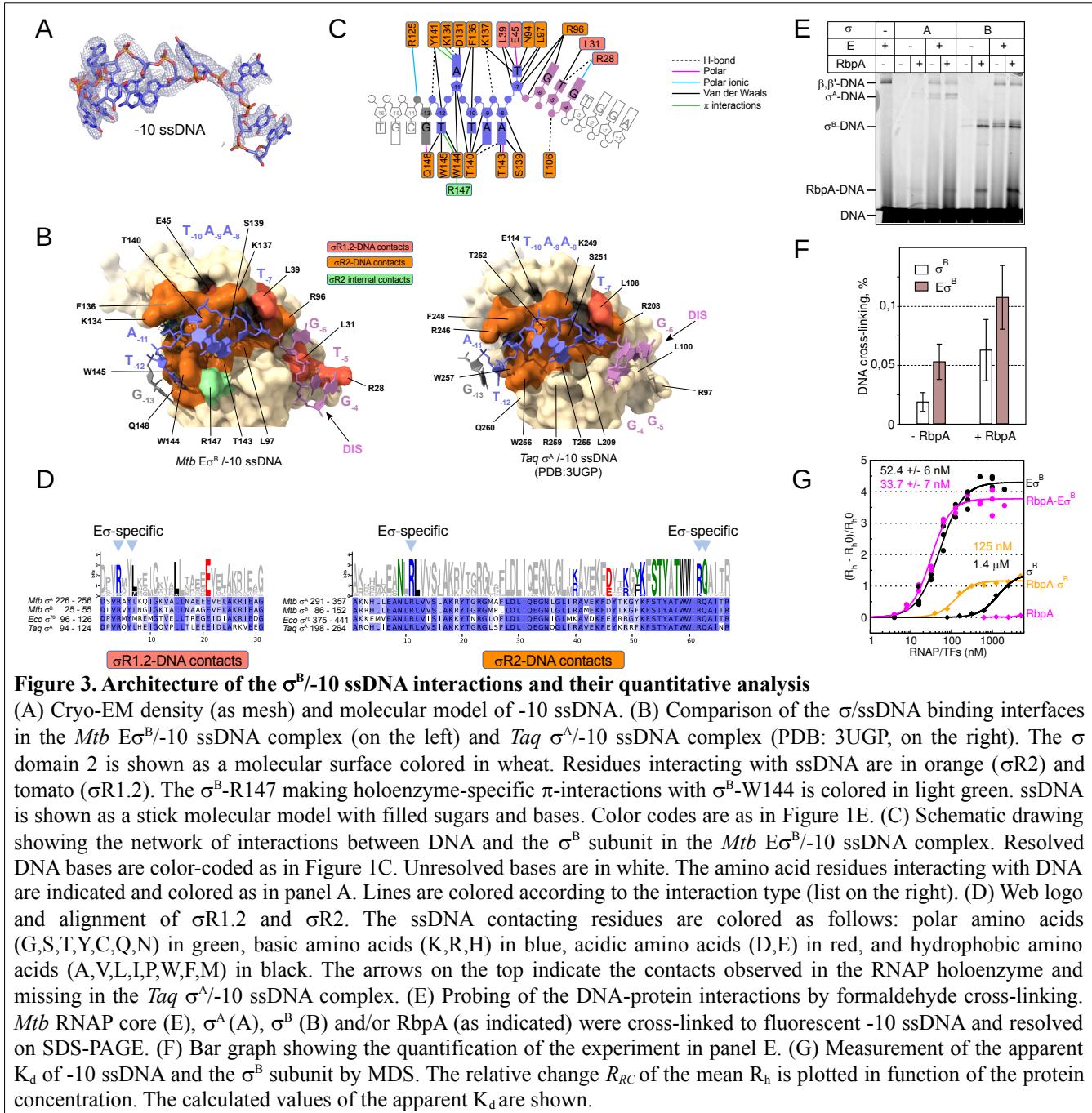
269 catalytically active conformation competent to form RPo and initiate RNA synthesis. These results explain  
270 the anti-pausing activity of the  $\sigma$ R4 in *Eco*  $\sigma^70$  and *Mtb*  $\sigma^B$  and its capacity to stimulate the initial RNA  
271 synthesis (61).

272

### 273 **Changes in the ssDNA-binding interface of $\sigma^B_2$**

274 The  $E\sigma^B$ -10 ssDNA structure displayed a well-defined cryo-EM density of nucleotides from -13G to -4G  
275 with a resolution between 3.0 - 4 Å (**Figure 3A**). This structure included the -10 element (positions -12 to -7)  
276 and discriminator element (DIS; positions -6 to -4) (**Figure 3B,C**). The remaining nucleotides were not  
277 visible, suggesting that they do not form stable contacts with RNAP. Nucleotides from -13 to -5 interacted  
278 only with the  $\sigma^B_2$  domain that encompasses  $\sigma$ R1.2 to sR2 (**Figure 3C**). The -4G of DIS can interact with the  
279 conserved residues  $\beta$ -R282 (*Eco*  $\beta$ -R371) and  $\beta$ -E285 (*Eco*  $\beta$ -E374) of  $\beta$ -GL (**Supplementary Figure S2D**).  
280 In RPo, when the clamp adopts a fully closed conformation, these residues contact the non-template DNA  
281 strand at positions -4, -5 (62).  $\beta$ -GL and  $\beta'$ -CH/ $\sigma_2$ , direct the non-template DNA strand to the main channel  
282 and contribute to RPo stabilization (63). Due to the weak -4G density, we concluded that  $\beta$ -GL does not  
283 make stable contacts with ssDNA, but stabilizes binding by sterically restraining fluctuations of the ssDNA  
284 3'-end. The overall path of -10 ssDNA and network of interactions between nucleotides and amino acids  
285 (**Figure 3B,C**) matched that of the non-template DNA strand of the transcription bubble in published  
286 structures of *Eco*  $E\sigma^70$  RPo (64) and *Mtb*  $E\sigma^A$  promoter melting intermediate RPi2 (34). (**Supplementary**  
287 **Figure S3**). Thus, we concluded that domain  $\sigma_2$  is a major determinant for the path of the non-template  
288 DNA strand in RPo.

289 To understand how the RNAP core stimulates -10 ssDNA binding to  $\sigma_2$ , we compared the  $E\sigma^B$ -10  
290 ssDNA structure with the published crystal structure of the *Taq*  $\sigma^A$ 2-3 fragment in complex with -10 ssDNA  
291 (8) (**Figure 3B**). The overall path of the -10 element nucleotides (blue) was identical in the two structures.  
292 However, the orientations of residues -6 to -4 in DIS (pink) and of the upstream "fork region" residues -13  
293 to -12 (gray) were different. In the *Mtb*  $E\sigma^B$ -10 ssDNA complex, two DIS nucleotides (-5T and -6G)  
294 contacted the groove formed by  $\sigma$ R1.2 (residues  $\sigma^B$ -R28,  $\sigma^B$ -L31) and  $\sigma$ R2.1 ( $\sigma^B$ -R96). In the *Taq*  $\sigma^A$ 2-3/-10  
295 ssDNA structure, these interactions were missing because DIS nucleotides were displaced outside the  $\sigma$ R1.2  
296 groove. The lack of interaction with DIS explains the difference in affinities to -10 ssDNA displayed by the  
297 free  $\sigma$  subunit and RNAP holoenzyme reported earlier (14, 17) and see below. Concerning the us-fork region,  
298 -12T interacted with  $\sigma^B$ -Q148 (*Eco*  $\sigma^70$ -Q437, *Taq*  $\sigma^A$ -Q260). The -12T nucleotide, which is recognized as a  
299 base pair in RPo; was unpaired in the *Mtb*  $E\sigma^B$ -10 ssDNA complex analogously to the melting intermediate  
300 complex T-RPi1 (10). In the  $E\sigma^B$ -10 ssDNA complex, -12 T interacted with the invariant  $\sigma^B$ -W144,145  
301 residues of  $\sigma$ R2.3 (W-dyad, *Eco*  $\sigma^70$ -W433,434, *Taq*  $\sigma^A$ -W256,257). The W-dyad was stabilized in a "chair-  
302 like" conformation through  $\pi$ -stacking with  $\sigma^B$ -R147 (**Figure 3C, Supplementary Figure S2D**). The W-dyad chair-like  
303 conformation is characteristic of RPo (**Supplementary Figure S2F**). W-dyad isomerization  
304 from the edge-on to the chair-like conformation occurs after nucleation of -11A melting, but before melting  
305 of +1, and correlates with the transcription bubble propagation from -11 up to -9 (10). In T-RPi1, -11A was



**Figure 3. Architecture of the  $\sigma^{\text{B}}$ -/10 ssDNA interactions and their quantitative analysis**

(A) Cryo-EM density (as mesh) and molecular model of -10 ssDNA. (B) Comparison of the  $\sigma$ /ssDNA binding interfaces in the *Mtb*  $\text{E}\sigma^{\text{B}}$ /-10 ssDNA complex (on the left) and *Taq*  $\sigma^{\text{A}}$ /-10 ssDNA complex (PDB: 3UGP, on the right). The  $\sigma$  domain 2 is shown as a molecular surface colored in wheat. Residues interacting with ssDNA are in orange ( $\sigma$ R2) and tomato ( $\sigma$ R1.2). The  $\sigma^{\text{B}}$ -R147 making holoenzyme-specific  $\pi$ -interactions with  $\sigma^{\text{B}}$ -W144 is colored in light green. ssDNA is shown as a stick molecular model with filled sugars and bases. Color codes are as in Figure 1C. Unresolved bases are in white. The amino acid residues interacting with DNA are indicated and colored as in panel A. Lines are colored according to the interaction type (list on the right). (C) Schematic drawing showing the network of interactions between DNA and the  $\sigma^{\text{B}}$  subunit in the *Mtb*  $\text{E}\sigma^{\text{B}}$ /-10 ssDNA complex. Resolved DNA bases are color-coded as in Figure 1C. Unresolved bases are in white. The amino acid residues interacting with DNA are indicated and colored as in panel A. Lines are colored according to the interaction type (list on the right). (D) Web logo and alignment of  $\sigma$ R1.2 and  $\sigma$ R2. The ssDNA contacting residues are colored as follows: polar amino acids (G,S,T,Y,C,Q,N) in green, basic amino acids (K,R,H) in blue, acidic amino acids (D,E) in red, and hydrophobic amino acids (A,V,L,I,P,W,F,M) in black. The arrows on the top indicate the contacts observed in the RNAP holoenzyme and missing in the *Taq*  $\sigma^{\text{A}}$ /-10 ssDNA complex. (E) Probing of the DNA-protein interactions by formaldehyde cross-linking. *Mtb* RNAP core (E),  $\sigma^{\text{A}}$  (A),  $\sigma^{\text{B}}$  (B) and/or RpbA (as indicated) were cross-linked to fluorescent -10 ssDNA and resolved on SDS-PAGE. (F) Bar graph showing the quantification of the experiment in panel E. (G) Measurement of the apparent  $K_d$  of -10 ssDNA and the  $\sigma^{\text{B}}$  subunit by MDS. The relative change  $R_{\text{RC}}$  of the mean  $R_h$  is plotted in function of the protein concentration. The calculated values of the apparent  $K_d$  are shown.

306      flipped out, but W-dyad was still in the edge-on conformation (**Supplementary Figure S2E**). In the *Taq*  
 307       $\sigma^{\text{A}}2$ -3/-10 ssDNA structure, -12T was displaced and could not interact with *Taq*  $\sigma^{\text{A}}$ -Q260 ( $\sigma^{\text{B}}$ -Q148) and W-  
 308      dyad. The W-dyad of the *Taq*  $\sigma^{\text{A}}2$ -3/-10 ssDNA complex was in the edge-on conformation, like in free  $\sigma^{\text{70}}$   
 309      (65) and in RNAP holoenzymes (**Supplementary Figure S2A,B,C**). Therefore, we suggest that binding of  
 310      the ssDNA segment between -11 to -5 to  $\sigma$  forces W-dyad isomerization into the chair-like conformation that  
 311      is further locked by interaction with  $\sigma^{\text{B}}$ -R147 and stacking with -12T. As we did not observe this RPo-  
 312      specific conformation in the *Taq*  $\sigma^{\text{A}}2$ -3 apo complex, we suggest that  $\beta$ -GL stabilizes the interaction of  
 313       $\sigma$ R1.2 with DIS and thus in turn stabilizes upstream ssDNA contacts. Functional studies demonstrated that  
 314      *Taq*  $\sigma^{\text{A}}$ -W256 ( $\sigma^{\text{B}}$ -W144) is not required for -10 ssDNA binding, but is implicated in RPi isomerization to  
 315      RPo (66). Therefore, ssDNA binding to domain  $\sigma$ 2 acts as a trigger for isomerization in the context of the

316 RNAP holoenzyme. To conclude: (1)  $\sigma$  binding to the RNAP core does not change the ssDNA-binding  
317 interface of domain  $\sigma$ 2; (2) -10 ssDNA binding to the RNAP holoenzyme leads to changes in the ssDNA-  
318 binding interface of domain  $\sigma$ 2, such as W-dyad isomerization and contacts formation between  $\sigma$ R1.2 and  
319 the DIS element. Contacts with DIS might be stabilized by the interactions with  $\beta$ -GL. These findings  
320 explain a large set of biochemical data showing that the RNAP core stimulates -10 ssDNA binding to  $\sigma$ 2 (14,  
321 16, 67).

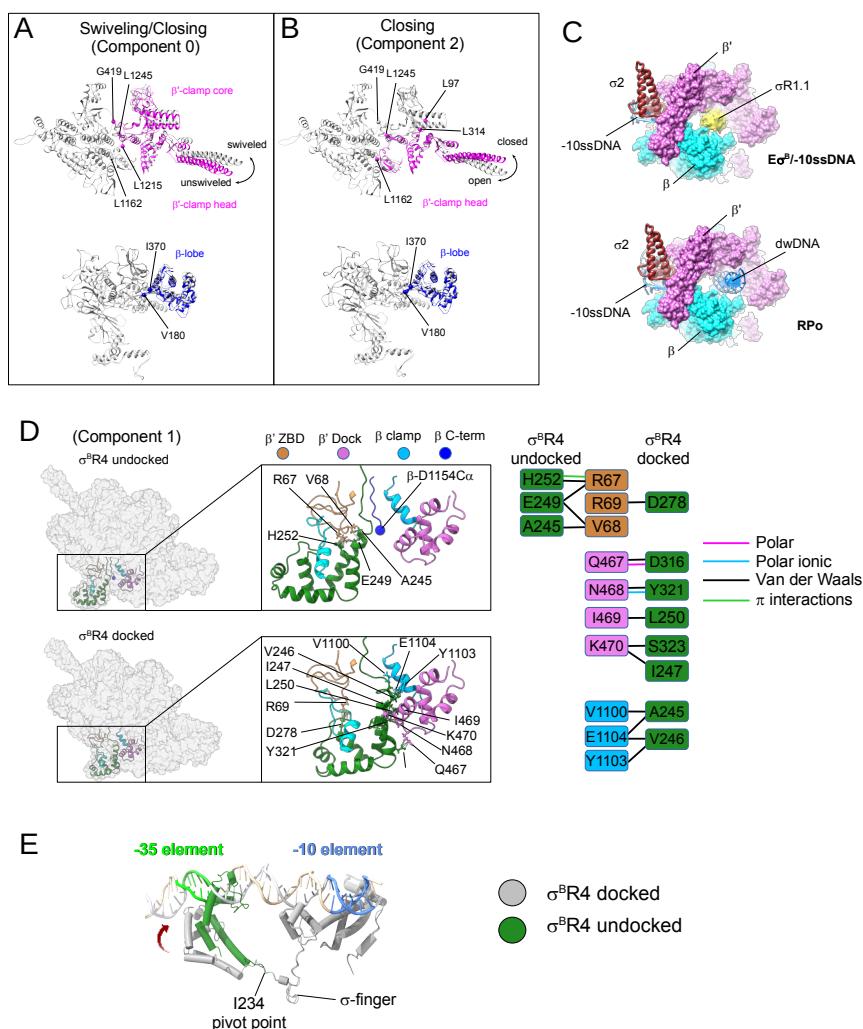
322

323 **The RNAP core and RbpA stabilize the interaction of the  $\sigma$ 2 domain with -10 ssDNA**

324 *Eco*  $\sigma$ <sup>70</sup> and *Taq*  $\sigma$ <sup>A</sup> models have been used to investigate how -10 ssDNA binding to region 2 of the  $\sigma$  factor  
325 is stimulated by the RNAP core. However  $\sigma$ <sup>70</sup> and  $\sigma$ <sup>A</sup> differ from *Mtb*  $\sigma$ <sup>A</sup>/ $\sigma$ <sup>B</sup> by the presence of large  
326 insertions in their NCR. These insertions could partly explain the observed “stimulation”. To determine  
327 whether the *Mtb* RNAP core also stimulates *Mtb*  $\sigma$ <sup>A</sup>/ $\sigma$ <sup>B</sup> binding to -10 ssDNA, we used a formaldehyde  
328 cross-linking assay that gives a relative estimation of protein-ssDNA affinity (51, 68). After cross-linking the  
329 RNAP core, free  $\sigma$ <sup>B</sup> subunit and E $\sigma$ <sup>B</sup> to -10 ssDNA (end-labeled with Cy5) in the presence or absence of  
330 RbpA, we analyzed the obtained DNA-protein complexes by SDS-PAGE followed by Cy5 fluorescence  
331 imaging (**Figure 3E**) or Coomassie blue staining (**Supplementary Figure S4A**). In agreement with previous  
332 findings using *Eco* E $\sigma$ <sup>70</sup> (14, 16), the *Mtb* RNAP core produced one slow-migrating cross-linked species with  
333 a mobility that corresponded to the  $\beta/\beta'$  subunits. Conversely, we observed only a weak cross-linking  
334 between DNA and the free  $\sigma$ <sup>B</sup> subunit. In the presence of RbpA, we detected a fast-migrating band at the  
335 bottom of the gel, above the free DNA that we assigned to RbpA-DNA cross-linking (marked as “RbpA-  
336 DNA”). The  $\sigma$ -specific cross-linking was increased by ~2 fold in the presence of the *Mtb* E $\sigma$ <sup>B</sup> holoenzyme  
337 compared with free  $\sigma$ <sup>B</sup> (**Figure 3E,F**). This indicated that the, *Mtb* RNAP core stimulated -10 ssDNA  
338 binding to the  $\sigma$ <sup>B</sup> subunit, like the *Eco* RNAP core to  $\sigma$ <sup>70</sup>. Addition of RbpA to free  $\sigma$ <sup>B</sup> resulted in a similar  
339 level of  $\sigma$ <sup>B</sup>-DNA interaction stimulation, as observed with the RNAP holoenzyme alone (~3-fold higher  
340 stimulation relative to free  $\sigma$ ), indicating similar affinities of -10 ssDNA for the  $\sigma$ <sup>B</sup>-RbpA complex and the  
341 *Mtb* E $\sigma$ <sup>B</sup> holoenzyme. The combination of  $\sigma$ <sup>B</sup>, RbpA and *Mtb* RNAP core resulted in a cooperative effect that  
342 was reflected by the further increase of  $\sigma$ -specific cross-linking. We concluded that both RbpA and RNAP  
343 core stabilize the interaction of  $\sigma$ <sup>B</sup><sub>2</sub> with the -10 element. Cross-linking experiments with the  $\sigma$ <sup>A</sup> subunit  
344 demonstrated that RbpA also stimulated binding of -10 ssDNA to free  $\sigma$ <sup>A</sup> and to the E $\sigma$ <sup>A</sup> holoenzyme to a  
345 similar extent as observed with  $\sigma$ <sup>B</sup> (**Figure 3E**). RbpA stimulated  $\sigma$ <sup>B</sup>-DNA cross-linking even in the  
346 presence of a truncated -10 ssDNA (positions -12 to +1) that lacked the RbpA-contacting nucleotides -14/-15  
347 (**Supplementary Figure S4B**). Conversely, Hubin et al., (46) reported that RbpA does not affect binding of  
348 truncated -10 ssDNA to the  $\sigma$ <sup>A</sup> subunit in the context of the *Mtb* RNAP holoenzyme. The origin of this  
349 discrepancy remains unclear.

350 To quantify the interactions between the  $\sigma$  subunit and -10 ssDNA, we used MDS to measure the  
351 average gyration radius ( $R_h$ ) of diffusing macromolecules labeled with a fluorescent probe. To decrease  
352 possible artifacts from protein aggregation, we used a high ionic strength buffer (150 mM KCl) without Mg<sup>2+</sup>

353 ions. The  $R_h$  value of -10 ssDNA end-labeled with fluorescein was ~1.9 nm. Addition of  $E\sigma^B$  increased the  $R_h$   
 354 value to ~10 nm (**Supplementary Figure S4C**). We did not observe any significant change using an  
 355 oligonucleotide that carried a substitution of the invariant "master" base A<sub>-11</sub> to C in the -10 motif (-11C  
 356 ssDNA) to abolish the interaction between  $\sigma$ R2 and the -10 element (8, 9, 69) (**Supplementary Figure**  
 357 **S4C**). Therefore, we concluded that the MDS assay detected specific interactions between -10 ssDNA and  
 358 RNAP. Next, we measured the affinity for -10 ssDNA of  $\sigma^B$  alone, RbpA- $\sigma^B$  complex,  $E\sigma^B$  and RbpA- $E\sigma^B$   
 359 (**Figure 3G**). We presented data as the relative change in gyration radius ( $R_{RC}$ ), calculated as the change in  $R_h$   
 360 at a given protein concentration divided by the  $R_h0$  of DNA alone:  $R_{RC} = (R_h - R_h0) / R_h0$ . RbpA alone did not



**Figure 4. Conformational landscape of  $E\sigma^B$  bound to -10ssDNA**

(A) Conformational changes in the RNAP core for the 3DVA component 0: clamp swiveling and closing. The  $\beta$  and  $\beta'$  subunit domains that underwent conformational changes are in blue and pink, respectively. (B) Conformational changes in the RNAP core for 3DVA component 2: clamp closing. The  $\beta$  and  $\beta'$  subunit domains that underwent conformational changes are in blue and pink, respectively. (C) Comparison of the position of  $\sigma$ R1.1 in the unswiveled  $E\sigma^B$ -10ss DNA complex and position of the dwDNA in RPo. RNAP is shown as a molecular surface color-coded as in Figure 1D. The  $\sigma^B$  subunit is shown as ribbons. The cryo-EM density of  $\sigma$ R1.1 is represented as a yellow surface. (D) Conformational changes for the 3DVA component 1:  $\sigma$ R4 swinging between the docked and undocked state. RNAP is represented as a molecular surface. The  $\sigma$ R4 and RNAP core domains ( $\beta'$ -dock,  $\beta'$ -ZBD,  $\beta$ -clamp) contacting  $\sigma$ R4 are shown as ribbon models. The contacting residues are shown as ball & stick molecular models. The cartoon on the right shows the map of the interactions between  $\sigma$ R4 and RNAP core in the undocked and docked  $\sigma$ R4 states. Lines are colored according to the interaction type. (E) Superposition of the  $\sigma^B$  subunit in the docked (gray) and undocked (green) conformations with the promoter DNA structure from the *Mtb* RPo (PDB: 7KIM).

361 induce any detectable  $R_h$  change, indicating that it does form a stable complex with ssDNA. The  $\sigma^B$  subunit  
362 displayed low affinity for -10 ssDNA (apparent  $K_d$  of 1.4  $\mu\text{M}$ ). In the presence of RbpA,  $\sigma^B$  affinity for -10  
363 ssDNA increased by ~11-fold ( $K_d \sim 125$  nM). In the presence of the  $\text{E}\sigma^B$  holoenzyme, affinity increased by  
364 27-fold ( $K_d \sim 52$  nM). Addition of RbpA to the  $\text{E}\sigma^B$  holoenzyme further increased the affinity by ~1.6-fold  
365 ( $K_d \sim 34$  nM), in agreement with the results of the formaldehyde cross-linking assay. Altogether, these  
366 experiments showed that binding to  $\sigma^B$  of RbpA or RNAP core stabilizes its interaction with -10 ssDNA.

367

### 368 **RNAP in complex with -10 ssDNA adopts multiple conformational states**

369 The consensus I cryo-EM map of the *Mtb*  $\text{E}\sigma^B$ /-10 ssDNA complex revealed the conformational mobility of  
370 the RNAP clamp and  $\sigma^B$ R4. To find the range of conformational states adopted by RNAP in our sample, we  
371 performed a 3DVA using cryoSPARC (54, 70). The 2D-aligned images of the  $\text{E}\sigma^B$ /-10 ssDNA complex  
372 revealed two particle populations: RNAP monomers and RNAP dimers (**Supplementary Figure S5, 2D-**  
373 **classification**). For the 3DVA, we selected a subset of particles that included only RNAP monomers. First,  
374 we refined the  $\text{E}\sigma^B$ /-10 ssDNA monomer map (consensus-II map) to a nominal resolution of 3.3  $\text{\AA}$   
375 (**Supplementary Figure S5**). The structure of this consensus II  $\text{E}\sigma^B$ /-10 ssDNA complex was identical to  
376 that of the consensus I map. Next, we performed 3DVA on the consensus II map using three variability  
377 components (eigenvectors) (**Supplementary Figure S6**). Reconstruction of a series of twenty intermediate  
378 maps over each 3DVA component revealed a full range of RNAP conformational states (**Supplementary**  
379 **Figure S6C, Movie 1**). The first and the last intermediates represented the boundary states with a maximum  
380 amplitude in domain movement relative to the “average” consensus structure (**Table 1**). We observed three  
381 conformational change types: i) swiveling of the clamp core ( $\beta'$  residues 4-419, 1215 -1245, **Figure 4A, pink**)  
382 parallel to the main cleft with simultaneous closing, orthogonal to the main cleft (components 0 and  
383 2); ii) closing of the clamp head ( $\beta'$  residues 97-314, 1162-1245, **Figure 4B, pink**), orthogonal to the main  
384 cleft (component 0 and 2); and iii) swinging of the  $\sigma$ R4/ $\beta$ -flap module between the  $\beta'$ -dock and  $\beta'$   $\text{Zn}^{2+}$ -  
385 binding domain ( $\beta'$ -ZBD) (component 1) (**Figure 4D**). In components 0 and 2, clamp movement was  
386 accompanied by  $\beta$ -lobe closing (residues 180-370, **Figure 4A,B blue**). Clamp and lobe movements were  
387 gradual, while  $\sigma$ R4 hopped between boundary states (**Supplementary Figure S7, S8**).

388

### 389 **Region 1.1 of $\sigma^B$ occupies the downstream DNA channel when the clamp is closed and unswiveled**

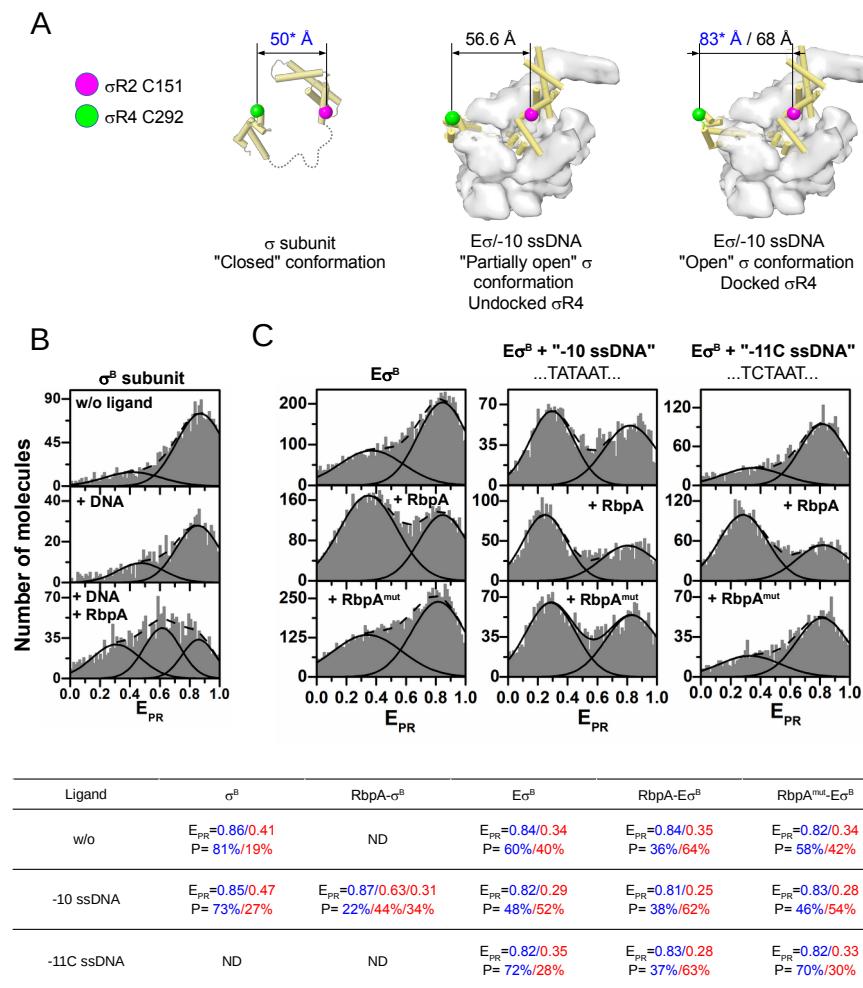
390 To determine the structures of the most populated boundary states of the clamp, we refined the maps and  
391 sorted particles according to the 3DVA (**Supplementary Figure S8**). We computed two  $\text{E}\sigma^B$  structures that  
392 corresponded to boundary clamp states: swiveled clamp (swRNAP) and unswiveled clamp (usRNAP). For  
393 both states, the -10 ssDNA conformation was identical except its 3' end nucleotides -4/-5 that fluctuated  
394 between  $\beta$ -GL and  $\beta$  protrusion. The most noticeable change was in the cryo-EM density assigned to  $\sigma^B$ R1.1  
395 (residues 1-23) and located in the DNA-binding channel ( $\sigma$ R1.1, **Figure 4C**). Previously we showed that in  
396 the *Mtb*  $\text{E}\sigma^B$  holoenzyme, residues L17-A24 of  $\sigma^B$ R1.1 were stacked to the clamp head surface, while the  
397 cryo-EM density of  $\sigma^B$  N-terminus was missing. In usRNAP, the missing density of  $\sigma^B$ R1.1 was well defined

398 and occupied the place of the downstream promoter DNA duplex (dwDNA, **Figure 4C**). We concluded that  
 399 in the *Mtb*  $\text{E}\sigma^{\text{B}}$  holoenzyme,  $\sigma^{\text{B}}\text{R1.1}$  stays in the RNAP DNA-binding channel, but adopts multiple  
 400 conformational states or unfolds. In usRNAP,  $\sigma^{\text{B}}\text{R1.1}$  movements are restrained by the clamp/lobe and  
 401 therefore, its density become better defined. We propose that transient clamp opening weakens the  
 402 interactions of  $\sigma^{\text{B}}\text{R1.1}$  with RNAP and allows dwDNA to displace the  $\sigma^{\text{B}}\text{R1.1}$  out of the DNA channel, an  
 403 essential step for the isomerization from the RPi to RPo.

404

#### 405 **Docking of $\sigma$ R4 in the RNA channel coincides with the $\beta$ subunit C-terminus restructuring**

406 To determine the structures of the most populated boundary states of  $\sigma$ R4 we performed 3DVA with a mask



**Figure 5. Conformational changes in  $\sigma^{\text{B}}$  upon the -10 ssDNA and RbpA binding**

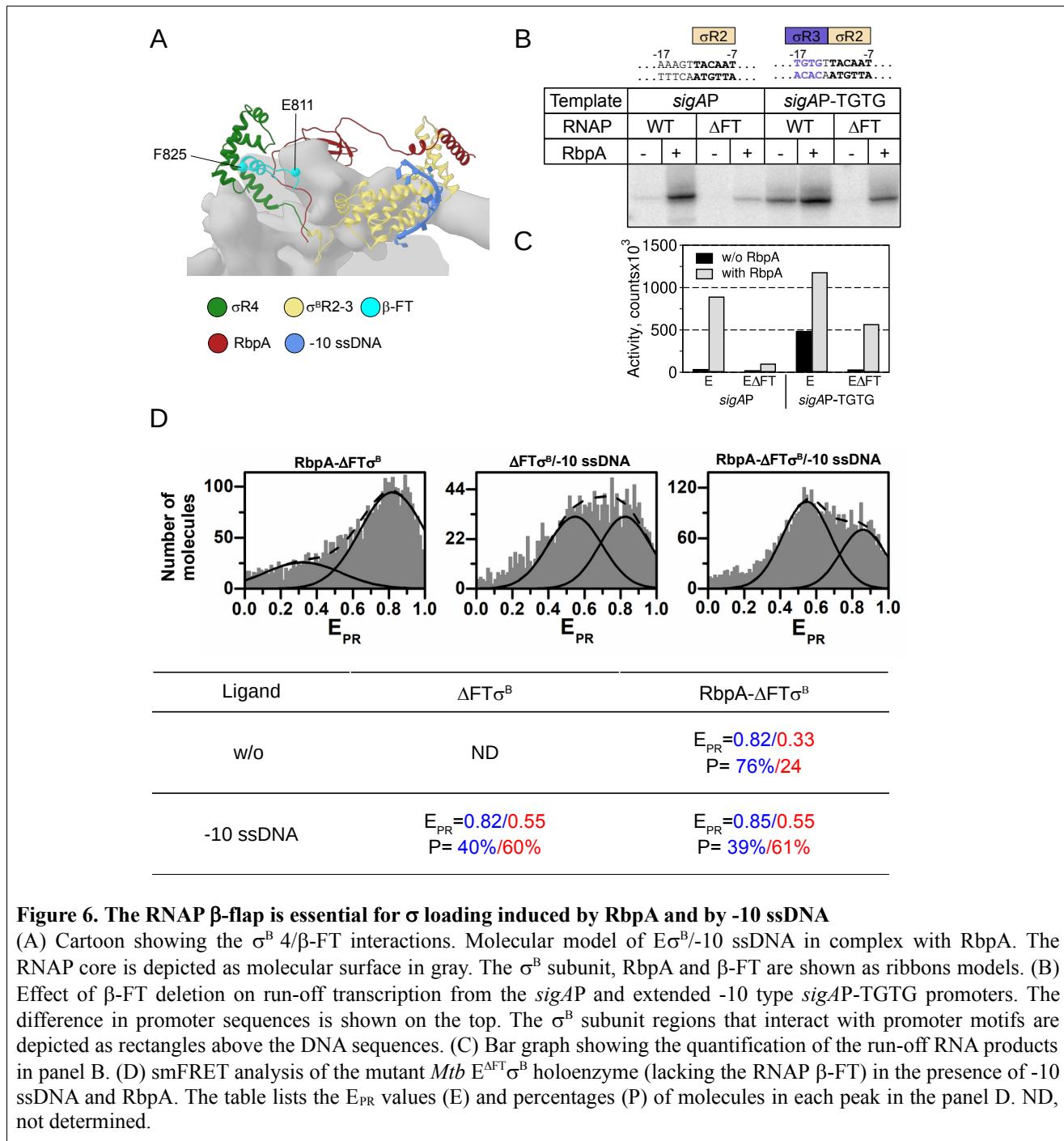
(A) Molecular models of the free  $\sigma^{\text{B}}$  subunit (ribbons with cylindrical helices) and  $\text{E}\sigma^{\text{B}}$  in the undocked and docked conformations. The RNAP core is depicted as a molecular surface in gray. Spheres (pink and green) represent the  $\text{C}\alpha$  atoms of the  $\sigma^{\text{B}}$  subunit residues labeled with the DY-547P1 and DY-647P1 fluorescent dyes. Distances between dyes, shown above the models, were calculated using the smFRET data (in blue) (49) and from the  $\text{E}\sigma^{\text{B}}$  structures reported here (in black). (B) smFRET of free  $\sigma^{\text{B}}$  performed without ligands or in the presence of -10 ssDNA (DNA) and RbpA. (C) smFRET of the  $\text{E}\sigma^{\text{B}}$  holoenzyme in the presence of -10 ssDNA, -11C ssDNA, wild type RbpA and the RbpA double mutant R88,89A (RbpA<sup>mut</sup>). The table lists the  $E_{\text{PR}}$  values (E) and percentages (P) of molecules in each histogram peak from panels B and C. ND, not determined.

407 on  $\sigma$ R4 and calculated maps from the particles sorted according to component 1 (**Supplementary Figure S6**,  
408 **Figure S8**). Particles were distributed equally between two distinct RNAP conformations (43.4% of docked  
409  $\sigma$ R4 and 40.5% of undocked  $\sigma$ R4). The respective maps were refined to 3.43 Å and 3.48 Å. In the RNAP  
410 bearing docked  $\sigma$ R4, the overall  $\sigma^B$  path matched that of  $\sigma^B$  in the consensus I/II structures and that of  $\sigma^A$  in  
411 the published structures of *Mtb* RPo. Moreover,  $\sigma$ R4 was inserted in the RNA-exit channel and its residues  
412  $\sigma^B$ -I247, L250, D316, Y321 and S323 contacted the  $\beta'$ -dock domain, residue  $\sigma^B$ -D278 contacted the  $\beta'$ -  
413 ZBD, and residues  $\sigma^B$ -A245 and V246 contacted the  $\beta$ -clamp (**Figure 4D**). The structure of RNAP with  
414 undocked  $\sigma$ R4 was different from all published RNAP holoenzyme structures. First, the RNA exit channel  
415 was partially occluded by the C-terminal tail (CTT) of the  $\beta$  subunit (residues 1148-1154) that inserts  
416 between the  $\beta'$ -ZBD and the  $\beta$ -clamp (**Figure 4D**). Second,  $\sigma$ R4 was displaced toward the  $\beta'$ -ZBD and  
417 residues  $\sigma^B$ -H252, E249 and A245 made new contacts with  $\beta'$ -ZBD. The contacts of  $\sigma$ R4 with the  $\beta'$ -dock  
418 and  $\beta$ -clamp, characteristics of the docked  $\sigma$ R4 conformation, were lost (**Figure 4D**). Superposition of the  
419 undocked E $\sigma^B$ R4 structure with the published structure of *Mtb* RPc (47, 71) showed that undocked  $\sigma$ R4 was  
420 incompatible with binding to the -35 element (**Figure 4E**) and should hinder RPc formation on -10/-35 class  
421 promoters. Conversely, it should not affect RPc formation on extended -10 class promoters because  $\sigma$ R3  
422 positioning was unchanged. Our results revealed the high conformational flexibility of  $\sigma$ R4 that may help  
423 RNAP to adopt a large spectrum of promoter architectures and provides a target for regulation by  $\sigma$ R4-  
424 binding transcription factors (48, 72).  $\beta$ -CTT, visible only in the undocked RNAP structure, was missing  
425 from all published of *Mycobacterial* RNAP holoenzymes structures, but was observed in the paused  
426 transcription elongation complex (TEC) that comprises the elongation factor NusG (60). We hypothesize that  
427  $\beta$ -CTT, found only in a subset of bacterial species, may be implicated in the assembly of the mature RNAP  
428 holoenzyme and also in promoter escape when  $\sigma$ R4 should be ejected from RNA exit channel.  
429

#### 430 **Binding of the -10 promoter element to RNAP mimics RbpA-induced $\sigma$ R3- $\sigma$ R4 loading**

431 To investigate the mechanism by which -10 ssDNA induces a conformational change in E $\sigma^B$ , we explored the  
432 conformational dynamics of  $\sigma^B$  by smFRET. We used a  $\sigma^B$  subunit stochastically labeled with the DY547P1  
433 and DY647P1 fluorophores in  $\sigma$ R2 (position 151) and  $\sigma$ R4 (position 292), as described before(49) (**Figure**  
434 **5A**). The distances between labels in free (unbound)  $\sigma^B$  and  $\sigma^B$  in RPo were ~50 Å (closed  $\sigma^B$  conformation,  
435 E<sub>PR</sub> = 0.83, E=0.78) and 83 Å (open  $\sigma^B$  conformation, E<sub>PR</sub> = 0.41, E=0.17), respectively (49) (**Figure 5A**).  
436 The distance between the C $\alpha$  atoms of the  $\sigma^B$  residues 151 and 292 in the E $\sigma^B$ -/10 ssDNA complex (docked  
437  $\sigma^B$ R4), was 68 Å, which matches the distance in the *Mtb* RPo (**Figure 5A**). The distance in the E $\sigma^B$ -/10  
438 ssDNA complex with undocked  $\sigma^B$ R4, was intermediate (56.6 Å). Previously, we showed that RbpA forms a  
439 stable complex with free  $\sigma^B$  (42), but does not affect its conformational dynamics (49). Addition of -10  
440 ssDNA to free  $\sigma^B$  also had little effect on its conformation (~70% of molecules remained in the closed state)  
441 (**Figure 5B**), in agreement with the cross-linking experiments (**Figure 3E**). Addition of both, -10 ssDNA and  
442 RbpA resulted in a multimodal distribution with a peak corresponding to the closed conformation (E<sub>PR</sub>=0.87,

443 22%), a mean peak at intermediate FRET efficiency ( $E_{PR}=0.63$ , 44%), and a third peak at low FRET  
 444 efficiency ( $E_{PR}=0.31$ , 34%) (**Figure 5B**). We concluded that cooperative binding of the -10 ssDNA and RbpA  
 445 to  $\sigma^B$  increases its dwell time in a partially open conformation but is not sufficient to stabilize the fully open  
 446 conformation found in the RNAP holoenzyme. This correlated with the increased affinity of  $\sigma^B$  to -10 ssDNA  
 447 (**Figure 3E-G**). We suggest that binding of -10 ssDNA to the RbpA- $\sigma^B$  complex is stabilized through direct  
 448 interactions with both proteins. In the holoenzyme (*Mtb* E $\sigma^B$ ),  $\sigma^B$  was mainly found in its closed  
 449 conformation as reported earlier (49) (**Figure 5C**). Addition of -10 ssDNA resulted in a significant increase  
 450 in the low FRET subpopulation ( $E_{PR}=0.31$ ) (**Figure 5C**), leading to a pattern that resembled the one observed  
 451 in the complex of RNAP with us-fork DNA (49). This suggested that -10 ssDNA binding to domain  $\sigma 2$



452 stimulates RNAP core-dependent conformational changes in  $\sigma^B$  and shifts the equilibrium towards the open  
453  $\sigma^B$  conformation with  $\sigma$ R4 loaded onto the RNA exit channel. Addition of both -10 ssDNA and RbpA to the  
454 *Mtb* E $\sigma^B$  holoenzyme shifted the equilibrium towards low FRET ( $E_{PR}=0.29$ ) and 20% more molecules  
455 adopted the open conformation (**Figure 5C**). This change may reflect an increase in the number of molecules  
456 that comprise docked  $\sigma^B$ R4. We concluded that RbpA, which interacts with -10 ssDNA,  $\beta'$ -ZBD and  $\sigma$ -NCR,  
457 fastens the whole complex and stabilizes  $\sigma^B$ R2 interactions with -10 ssDNA. Stabilization of -10 ssDNA  
458 binding hinders the spontaneous collapse of  $\sigma^B$  to the closed conformation and favors  $\sigma^B$ R3-R4 loading to  
459 RNAP.

460

#### 461 **Interaction of $\sigma$ 2 with -10 ssDNA and RbpA $\sigma$ -binding-domain (SID) induces $\sigma$ R3- $\sigma$ R4 loading**

462 To verify that the smFRET efficiency change induced by -10 ssDNA was due to its sequence-specific  
463 interaction with  $\sigma$ R2, we performed experiments with -11C ssDNA (**Figure 5C**). Addition of -11C ssDNA to  
464 the *Mtb* E $\sigma^B$  holoenzyme did not induce any increase in the low FRET population, suggesting that  $\sigma^B$   
465 remained in the closed confirmation. The  $E_{PR}$  distribution pattern matched that of the RNAP holoenzyme.  
466 Addition of RbpA and of -11C ssDNA to the *Mtb* E $\sigma^B$  holoenzyme resulted in the increase of the low FRET  
467 subpopulation ( $E_{PR}=0.28$ , 60%), as observed with the RbpA-E $\sigma^B$ -10 ssDNA complex ( $E_{PR}=0.31$ , 53%)  
468 (**Figure 5C**). This is likely due to stabilization of the  $\sigma^B$ -10 ssDNA interaction by RbpA that compensates  
469 the effect of the -11C mutation. Interaction of RbpA with the  $\sigma^B$  subunit NCR ( $\sigma^B$ -NCR) is essential for  
470 transcription activation (46, 47). To verify that the observed effect of RbpA on  $\sigma^B$  conformation was due to  
471 its interaction with  $\sigma^B$ -NCR, we performed smFRET experiments with a mutant RbpA harboring R88A and  
472 R89A in its SID (**Figure 5C, panels RbpA<sup>mut</sup>**). These alanine substitutions abolish RbpA- $\sigma$  interactions and  
473 RbpA-mediated transcription activation (41). The two mutations completely suppressed  $\sigma$  opening by RbpA.  
474 Addition of -10 ssDNA to the RbpA<sup>mut</sup>-E $\sigma^B$  complex produced the same pattern as -10 ssDNA alone,  
475 showing the stimulating effect of RbpA loss. Finally, combining mutant -11C ssDNA with RbpA<sup>mut</sup> resulted  
476 in a  $E_{PR}$  distribution similar to that of the E $\sigma^B$  holoenzyme. Based on these results, we concluded that  $\sigma$   
477 loading is induced by the specific interaction of RbpA and -10 ssDNA with their respective binding sites on  
478 the RNAP holoenzyme and that RbpA and -10 ssDNA are interchangeable (**Figure 5C bottom**).  
479

480

#### 481 **The $\beta$ subunit flap is essential for RbpA-driven $\sigma$ R3- $\sigma$ R4 loading**

482 Binding of  $\sigma$ R4.2 to the  $\beta$  subunit flap-tip-helix ( $\beta$ -FT) domain (**Figure 6A**) is essential for positioning  
483  $\sigma$ R4.2 to interact with the -35 sequence element (73), but not for the *Mtb* E $\sigma^B$  immature holoenzyme  
484 formation (50). To determine whether the  $\beta$ -FT/ $\sigma$ R4.2 contact was implicated in RbpA and -10 ssDNA-  
485 mediated  $\sigma$ R3- $\sigma$ R4 loading, we performed transcription assays and smFRET measurements with the mutant  
486 *Mtb* E $^{\Delta FT}$  in which the  $\beta$  subunit residues 811-825 were deleted. The mutant *Mtb* E $^{\Delta FT}$  core is active in the  
487 promoter-independent initial transcription assays with a DNA scaffold template (61). Here, we first tested the  
488 activity of the *Mtb* E $^{\Delta FT}$  $\sigma^B$  holoenzyme in transcription runoff assays with the RbpA-dependent *sigAP*  
489 promoter that contains an almost perfect -10 sequence element (5 of 6 matches) and displays weak homology

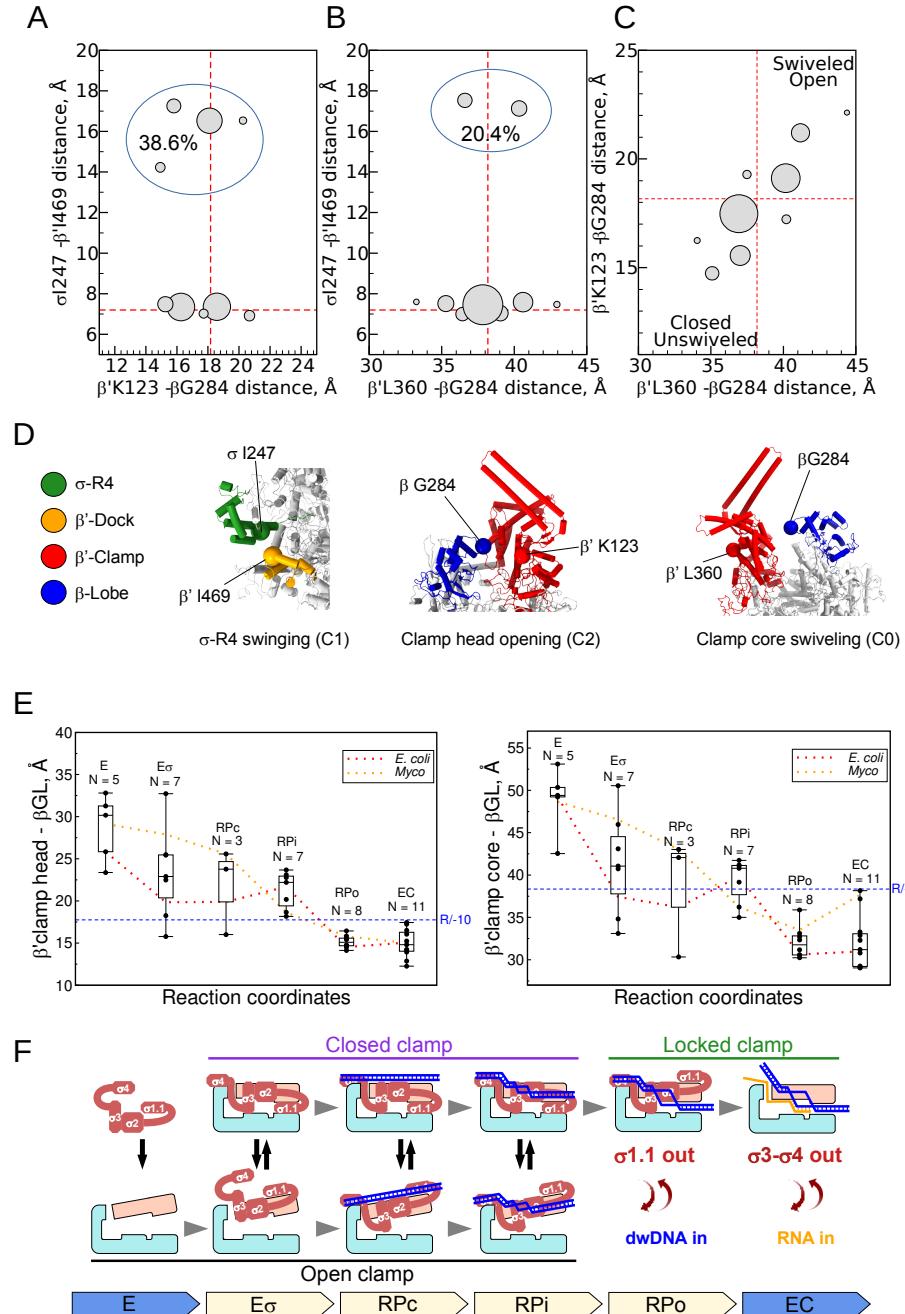
489 to the -35 sequence element (3 of 6 matches) (**Figure 6B,C**) (43). The  $\beta$ -FT deletion almost fully suppressed  
490 the transcription stimulation by RbpA, suggesting that RbpA functioning strongly depends on the  $\beta$ -flap.  
491 Previous studies on *Ecol* RNAP showed that  $\beta$ -FT is dispensable for transcription initiation from the  
492 extended -10 class promoters without the -35 motif. In this context, interaction of  $\sigma$ R3 with the T<sub>17</sub>R<sub>16</sub>T<sub>15</sub>G<sub>14</sub>  
493 motif of the extended -10 element is essential for recognition of the extended -10 class promoters, while  
494 interaction of  $\sigma$ 4.2 with the -35 motif is dispensable (74). The extended -10 motif stimulates transcription  
495 initiation by E $\sigma$ <sup>B</sup> independently of RbpA (45). When we tested the activity of the mutant *Mtb* E<sup>AFT</sup> $\sigma$ <sup>B</sup>  
496 holoenzyme on a synthetic *sigAP* promoter variant that contained the T<sub>17</sub>R<sub>16</sub>T<sub>15</sub>G<sub>14</sub> motif (*sigAP*-TGTG,  
497 **Figure 6B,C**), we found that the  $\beta$ -FT of *Mtb* RNAP was essential for transcription from the *sigAP*-TGTG  
498 promoter (**Figure 6B,C**), unlike what observed for *Eco* RNAP (73). Addition of RbpA restored the *Mtb*  
499 E<sup>AFT</sup> $\sigma$ <sup>B</sup> holoenzyme activity to the level of wild type RNAP in the absence of RbpA. Our results indicate that  
500 despite the high level of conservation in the core RNAP structure and function, the *Eco* paradigm might not  
501 be applicable to other bacterial species. The effect of the  $\beta$ -FT deletion was reminiscent of the effect of the  
502 deletion of its partner  $\sigma$ R4.2 that leads to transcription inhibition from *sigAP*-TGTG (45).

503 In agreement with the transcription assay findings, smFRET experiments showed that binding of  
504 RbpA to the mutant *Mtb* E<sup>AFT</sup> $\sigma$ <sup>B</sup> holoenzyme had little effect on E<sub>PR</sub> distribution (**Figure 6D**), compared with  
505 RbpA binding to wild type *Mtb* E $\sigma$ <sup>B</sup> (**Figure 5C**). Only a minor subpopulation of molecules (24%, vs 64%  
506 for wild type) were in the low FRET state, corresponding to the open  $\sigma$ <sup>B</sup> conformation (E<sub>PR</sub> = 0.34). The  
507 distribution of FRET states was similar to that of the *Mtb* E $\sigma$ <sup>B</sup> holoenzyme in the absence of RbpA (**Figure**  
508 **5C**). We concluded that in the *Mtb* E<sup>AFT</sup> $\sigma$ <sup>B</sup> holoenzyme, most  $\sigma$ <sup>B</sup> molecules remained in the closed  
509 conformation. Therefore, when  $\sigma$ <sup>B</sup>R4.2/ $\beta$ -FT interaction is disrupted, RbpA cannot induce the  $\sigma$ <sup>B</sup>  
510 conformational change essential for RPo formation. These results perfectly fit with the mechanism where  
511 RbpA promotes the formation of the contacts between  $\sigma$ R4.2 and  $\beta$ -FT that are essential for the correct  $\sigma$ R3  
512 positioning relative to the extended -10 motif.

513

#### 514 **The $\beta$ subunit flap is essential for -10 ssDNA driven $\sigma$ R3- $\sigma$ R4 loading**

515 Then, we tested the effect of  $\beta$ -FT deletion on -10 ssDNA-induced loading of  $\sigma$ R3- $\sigma$ R4 to RNAP. Addition  
516 of the -10 ssDNA to *Mtb* E<sup>AFT</sup> $\sigma$ <sup>B</sup> resulted in a broad E<sub>PR</sub> distribution of the molecules that could be fitted with  
517 two Gaussian models. It indicated an equilibrium between a  $\sigma$ <sup>B</sup> intermediate in a partially opened  
518 conformation (E<sub>PR</sub>=0.55; 52%) and the closed confirmation (E<sub>PR</sub>=0.82; 48%). We observed no peak with E<sub>PR</sub>  
519 =0.31, a feature of the open  $\sigma$  conformation (see **Figure 6D**, panel “ $\Delta$ F $\sigma$ <sup>B</sup>-/10 ssDNA”). Thus, similar to  
520 what observed for RbpA,  $\beta$ -FT is required for the -10 ssDNA-induced conformational change in the  $\sigma$   
521 subunit. Upon addition of both RbpA and -10 ssDNA (**Figure 6D**, panel “RbpA-  $\Delta$ F $\sigma$ <sup>B</sup>-/10 ssDNA”), the  
522 equilibrium was further shifted towards the intermediate  $\sigma$ <sup>B</sup> conformation (E<sub>PR</sub>=0.54, 63%). These results  
523 suggest that both RbpA and -10 ssDNA bind to the mutant *Mtb* E<sup>AFT</sup> $\sigma$ <sup>B</sup>, but cannot promote the correct  
524 positioning of  $\sigma$ R4 due to the absence of  $\beta$ -FT, its anchoring point. Furthermore, RbpA and -10 ssDNA  
525 remodel the  $\sigma$  subunit through a similar mechanism by targeting  $\sigma$ 2. The effect of -10 ssDNA on  $\sigma$



**Figure 7. Correlation between  $\sigma$  loading,  $\sigma$ R4 swinging and clamp motions**

(A,B,C) Graphs showing the distances between  $\sigma$ R4 and  $\beta'$ -dock and  $\beta'$ CH and  $\beta$ -lobe in the nine clusters produced in the 3DVA. Diameter of the circles is proportional to the cluster size (D) Molecular models showing the above mentioned regions; the C $\alpha$  atoms of the residues used for the distance calculations are shown as spheres. (E) Box plots showing the compilation of the clamp-lobe distances from published cryo-EM structures of RNAP plotted in function of the transcription cycle progression (Reaction coordinates). Dotted lines show the mean values for *E. coli* and *M. tuberculosis* (Myco), respectively. N, number of data points (N) used for each reaction step. (F) Model depicting the changes in RNAP clamp (light salmon) and  $\sigma$  subunit (Indian red) conformations throughout the transcription cycle. Promoter DNA is depicted as a blue ladder, RNA as an orange line. Each complex type (E; E $\sigma$ , RPc, RPi, RPo, EC) and the reaction direction are indicated by arrows at the bottom.

526 conformation in the absence of the  $\sigma$ R4/ $\beta$ -FT contact on the RNAP core suggests that  $\sigma$ 2 is exposed for  
 527 binding to ssDNA. We propose that ssDNA binding to  $\sigma$ 2 destabilizes the overall fold of the  $\sigma$  subunit,  
 528 which allows regions  $\sigma$ 3 and  $\sigma$ 4 to interact with their respective binding sites on the RNAP core.

529

530 **Clamp movements and σR4 swinging do not correlate**

531 To determine whether there is a correlation between σR4 docking and clamp closure/swiveling, we  
532 performed pairwise cluster analysis (nine clusters) on variability components generated by 3DVA with the  
533 full consensus II map (**Supplementary Figure S6**). We compared the variability components 1 (C1, σR4  
534 swinging) with the variability components 0 (C0, clamp swiveling) and 2 (C2, clamp closing) and also C0  
535 with C2. For each component pair, we sorted particles in nine clusters (**Figure 7A-C, Supplementary**  
536 **Figure S6C**). We used the resulting series of cryo-EM maps for rigid body refinement of the consensus I  
537 molecular model. Clamp rotation angle measurement has been widely used to characterize RPo  
538 conformational dynamics (30, 34, 75). However, results depend on the choice of reference structure. Here, to  
539 characterize RNAP domain movements, we measured the distances between reference points in each of the  
540 refined models that provide absolute characteristics for a given structure independently of the reference  
541 choice (**Supplementary data table 1**). To quantify the σR4 domain movement, we measured the distances  
542 between the C $\alpha$  atoms of σ-I247 in σR4 and β'-I469 in β' dock. To quantify clamp/lobe movements, we  
543 measured the distances between β-G284 in the β lobe and β'-K123 in the β' clamp head and β'-L360 in the  
544 clamp core (**Figure 7D**). By plotting the distance ranges between σ-I247 and β'-I469 against the distances  
545 between β-G284 and clamp (β'-K123, β'-L360) (**Figure 7A,B, Supplementary data table 1**), we found that  
546 σR4 adopted two distinct conformations with average distances of ~7 Å (docked σR4) and ~17 Å (undocked  
547 σR4). We did not detect any intermediate state. This suggests that such states are short-lived. Moreover, we  
548 did not find any correlation between clamp and σR4 movements. Unlike σR4, clamp movements were  
549 gradual and displayed a clear correlation between swiveling and opening/closing (**Figure 7C,**  
550 **Supplementary data table 1**): the open clamp state adopted a more swiveled conformation and the closed  
551 clamp state an unswiveled conformation. We concluded that after σR3 binding to the β-lobe and σR4  
552 insertion into the RNA exit channel, clamp adopts preferentially an unswiveled/closed conformation, but  
553 remains dynamic. This is essential for DNA entry into the active site cleft during the isomerization from RPc  
554 to RPo.

555

556 **Clamp dynamics gradually decrease during progression of the transcription cycle**

557 To relate the observed β'-clamp/β-lobe movements in the RNAP/-10 ssDNA complex with RNAP  
558 conformational dynamics throughout the transcription cycle, we analyzed the β'-clamp/β-lobe states in forty  
559 published cryo-EM structures of bacterial RNAPs, alone and in complex with various transcription factors  
560 (**Figure 7E, supplementary data table 2**). The ranges of distances from the β lobe (β-G284) to the β' clamp  
561 head (β'-K123) and to the clamp core (β'-L360) are presented as box plots for each transcription cycle step:  
562 assembly of the core (E) and σ subunit into the RNAP holoenzyme (Eσ), promoter complex formation (RPc,  
563 RPi, RPo), and elongation (EC). The distance analysis showed that the RNAP core adopted the largest open  
564 clamp state with a wide range of distances (amplitude ~10 Å). After RNAP holoenzyme assembly, the clamp  
565 displayed a broader range of states (amplitude ~17 Å), from wide open (matching the RNAP core state) to

566 closed (matching the RPo state). The immature *Mtb* E $\sigma^B$  holoenzyme, in which domains  $\sigma$ R3- $\sigma$ R4 are  
567 unbound from their respective sites in the core, adopted a wide open clamp state (distances of 32.7/50.6 Å),  
568 similar to the RNAP core (50, 76). We concluded that binding of the  $\sigma$ R3 domain to the RNAP  $\beta$ -protrusion  
569 and insertion of the  $\sigma$ R4 domain in the RNA channel increase the conformational mobility of the core  
570 enzyme and promote clamp closure. RNAP binding to promoter DNA duplexes restrained clamp movements  
571 in RPc while keeping the wide range of states characteristics of holoenzymes. Nucleation of promoter DNA  
572 melting in RPi led to a decrease in clamp mobility (amplitude ~5 Å); however, the clamp could still adopt  
573 partially open states. Upon RPo formation, replacement of the  $\sigma$ R1.1 in the DNA channel by the downstream  
574 promoter DNA duplex froze the clamp in the closed state and limited its conformational dynamics  
575 (amplitude ~2 Å) (**Figure 7E,F**). After the promoter escape to elongation,  $\sigma$ R3- $\sigma$ R4 are replaced by nascent  
576 RNA that favors the  $\sigma$  subunit dissociation. Overall, clamp dynamics increased, but the clamp remained  
577 mainly closed over DNA template. We observed the most closed clamp state in *Eco* his paused TEC and the  
578 most unswiveled clamp state in *Eco* elemental paused TEC. Although the general tendency was towards a  
579 decrease in clamp-lobe distances over the reaction coordinates, we observed some differences in distance  
580 distribution in every dataset due to uncoupling between clamp closing and clamp swiveling (most noticeable  
581 for RPo and EC). Plotting separately the average distances of the *Eco* (red dotted line) and *Mtb* (orange  
582 dotted line) datasets (**Figure 7E**) highlighted a large data divergence particularly for the RNAP core (E) and  
583 RPc. Yet, due to the small number of available structures, this difference was not significant. The clamp-lobe  
584 distance range of the *Mtb* E $\sigma^B$ -10 ssDNA complex (17.4/38.6 Å, amplitude of ~5 Å) positioned it between  
585 RPi and RPo (**Figure 7E**, blue dashed line, R/-10). We suggest that RNAP conformational state in this  
586 complex may correspond to that in the transient intermediate on the path to RPo. Unlike in RPi and the *Mtb*  
587 E $\sigma^B$ -10 ssDNA complex, in RPo, region  $\sigma$ R1.1 is displaced by dwDNA and the downstream part of the  
588 transcription bubble (dw-fork DNA) that enters the DNA channel. Thus, we concluded that binding of dw-  
589 fork DNA locks the clamp in the closed state, which is retained during elongation (EC) (**Figure 7F**). The  
590 results of our analysis are in perfect agreement with the smFRET data showing a wide open clamp in the  
591 RNAP core, a partially closed clamp in the RNAP holoenzyme, and a fully closed/locked clamp in RPo (26).  
592

## 593 DISCUSSION

594 The principal finding of our work is that the  $\sigma$  subunit functions as a sensor of regulatory signals from the  
595 ssDNA effector, leading to isomerization between the open and closed RNAP clamp conformations.  
596 Therefore, as proposed by Ishihama (77), several decades ago,  $\sigma$  is not just a promoter-recognition-melting  
597 factor, but also a major regulator of RNAP conformational dynamics. We suggest that in solution, the default  
598 “relaxed” conformations of the clamp in the RNAP core and of the  $\sigma$  subunit are open/swiveled and closed  
599 with masked DNA binding regions, respectively. Bringing together the two partners leads to  $\sigma$  opening and  
600 RNAP clamp closing/unswiveling and consequently to the formation of a “stressed” RNAP, competent to  
601 initiate transcription on promoter DNA.  
602

603 **The  $\sigma$ -mediated maturation of the RNAP active site and analogy with NusG/RfaH**

604 The swiveled clamp state was first described as an attribute of the PECs (58) regulated by the universal  
605 transcription factor NusG/Spt5 and its paralog RfaH (59, 60, 78). The anti-pausing *Eco* NusG suppresses  
606 swiveling by contacting  $\beta$ -GL (59). We showed that  $\sigma$  subunit domains loading onto the nucleic acid binding  
607 channels of RNAP induced a catalytically proficient unswiveled conformation of the active site. This finding  
608 provides a structural rational for the previously reported anti-pausing activity of *Eco*  $\sigma^{70}$  and *Mtb*  $\sigma^B$  during  
609 initial transcription (61) and reveals a remarkable functional similarity between  $\sigma$  factors and elongation  
610 factors that act via universal RNAP domains:  $\beta'$ -CH, protrusion,  $\beta$ -flap and  $\beta$ -GL.

611

612 **Linking  $\sigma$  loading and clamp closure**

613 The clamp closure/unswiveling observed in the  $E\sigma^B$ -10ssDNA complex can be induced by loading of  $\sigma$   
614 domains or/and by -10 ssDNA binding. As -10 ssDNA does not make stable contacts with the RNAP core,  
615 we propose that loading of  $\sigma$ R3- $\sigma$ R4, and probably of  $\sigma$ 1.1, promotes clamp closure. This conclusion is  
616 supported by the comparison of the published cryo-EM structures of RNAPs. (1) The clamp is open in the  
617 *Mtb* RNAP core and RNAP holoenzyme that comprises  $\sigma$  subunits with unloaded  $\sigma$ R3- $\sigma$ R4. (2) Similarly, in  
618 the published structures of *Mycobacterium smegmatis* RNAP core and holoenzyme with unloaded  $\sigma^A$ R4, the  
619 clamp is in the open conformation. (3) In the RbpA- $E\sigma^A$  complex, which contains fully loaded  $\sigma$ R3- $\sigma$ R4, the  
620 clamp adopts a more closed conformation than in the RNAP core. (4) In *Eco*, the clamp is open in the RNAP  
621 core and closed in the  $E\sigma^{70}$  holoenzyme that contains fully loaded  $\sigma$ R3- $\sigma$ R4. Yet, the smFRET findings  
622 suggested that the clamp adopts mainly an open state in both *Eco* RNAP core and holoenzyme, unlike the  
623 closed state in RPo. Conversely, the cryo-EM analysis of *Eco*  $E\sigma^{70}$  showed the clamp in a closed state, as  
624 found in RPo. In addition, smFRET showed a reduction of the opening-closing dynamics of  $\sigma^{70}$  in RPo  
625 compared with the holoenzyme (49). This discrepancy might reflects the fact that the published structures  
626 represent a “consensus” conformation of RNAP derived from a conformational ensemble. The clamp high  
627 conformational mobility, modulated by the transcription factor TraR, has been observed in the *Ecol*  $E\sigma^{70}$   
628 holoenzyme (75). We interpret these findings as the evidence of a larger amplitude of clamp motions in the  
629 holoenzyme induced by  $\sigma$  loading. In *Mtb* RNAP, the equilibrium between clamp states is shifted towards  
630 the open conformation due to the weak binding of  $\sigma$ R3- $\sigma$ R4. In *Ecol* RNAP, the equilibrium is shifted  
631 towards the closed conformation due to the stable binding of  $\sigma$ R3- $\sigma$ R4.

632

633 **Stabilization of the closed clamp state by the  $\sigma$ /ssDNA interaction and path to RPo**

634 The presence of a DNA template drastically reduces the clamp and  $\sigma$  domain conformational mobility in  
635 RPi/RPo (23, 24). In the  $E\sigma^B$ -10 ssDNA complex, the clamp adopted a more closed state than in the *Mtb*  
636 RNAP holoenzymes, but more open than in RPo. We infer that ssDNA binding to RNAP restricts the clamp  
637 dynamics and shifts its conformational equilibrium towards a closed state, similar to that observed in RPi.  
638 Indeed, all early intermediates (RPi) with the melted -10 element show a partially closed clamp state (10, 34,  
639 64). In RPo, after the replacement of  $\sigma$ R1.1 by dwDNA, the clamp becomes locked in the closed state and

640 remains locked during elongation (26) (**Figure 7F**). This scheme fits well with the *Mtb* model, but may differ  
641 from that of *Eco* (**Figure 7E, dotted lines**). Moreover, the conformational equilibrium between clamp states  
642 can be influenced by the experimental conditions, such as type of promoter DNA template, divalent ion  
643 concentration and presence of transcription factors (e.g. *Eco* TraR) (26, 75).

644

#### 645 **Difference in RNAP holoenzyme assembly pathway in *E. coli* and *M. tuberculosis***

646 Despite decades of studies, the mechanism of RNAP holoenzyme assembly remains poorly understood, and  
647 the exact order of events of the  $\sigma$  subunit loading to the RNAP core is unknown (77, 79, 80). Our results  
648 suggest that assembly starts after  $\sigma$ R2 binding to  $\beta'$ -CH on the clamp and  $\sigma$ R1.1 insertion into the dwDNA  
649 channel. The open clamp conformation of the RNAP core and  $\beta$ -flap wobbling allow the entry of the C-  
650 terminal domains of  $\sigma$  into RNAP main cleft. Binding of  $\sigma$ R3 to the  $\beta$ -protrusion ties the RNAP pincers  
651 together and favors clamp closure. Finally,  $\sigma$ R4 inserts into the RNA exit channel and binds to the  $\beta$ -flap and  
652  $\beta$ -clamp, stabilizing the whole system. On the basis of the high conservation of the core binding regions of  
653 group I/II  $\sigma$  subunits and their respective binding sites on RNAP, the assembly pathway should be universal  
654 for all bacteria, but may differ in the nature of bottleneck steps delimited by lineage-specific  
655 insertions/deletions in  $\sigma$  and RNAP. For example, in *Eco*,  $\sigma^{70}$  loading onto the RNAP core is a spontaneous  
656 process that does not require any additional co-factor. Conversely, in *Mtb*,  $\sigma^A$  and  $\sigma^B$  loading onto the RNAP  
657 core is RbpA-dependent. This RbpA dependency is a feature of the *Mtb* RNAP core and not of the  $\sigma$  subunit  
658 because assembly of a chimeric holoenzyme (*Mtb*  $\sigma^B$  and *Eco* RNAP core) proceeds without RbpA (49).

659

#### 660 **Allosteric switch in $\sigma$ and stimulation of binding to the non-template DNA strand binding by RNAP 661 core**

662 A large number of biochemical studies on *Eco* and *Taq* models reported a core-induced conformational  
663 change in the group I  $\sigma$  subunit leading to unmasking of its DNA binding regions and to stimulation of -10  
664 ssDNA binding (8, 66, 81). Our smFRET and structural studies in *Mtb* and *Eco* suggest that the only  
665 detectable conformation change in  $\sigma$  is the movement of  $\sigma$ R3- $\sigma$ R4 away from  $\sigma$ R2, providing a time  
666 window for ssDNA binding. The RNAP core stabilizes -10 ssDNA/ $\sigma$ 2-interactions by favoring contacts  
667 between DIS and  $\sigma$ R1.2, thus preventing  $\sigma$  closing. In *Mtb*, RbpA tethers -10ssDNA to  $\sigma$ R2 and prevents  $\sigma$   
668 closing. Similarly, it has been shown that a DNA aptamer containing -10 ssDNA induces *Taq*  $\sigma^A$  opening  
669 (82). However, a  $\sigma^{70}$  fragment that lack regions  $\sigma$ R3- $\sigma$ R4 ( $\sigma$ 2) displayed enhanced binding to -10 ssDNA in  
670 the presence of the RNAP core (14) or the  $\beta'$  clamp fragment alone (18). Our analysis of cryo-EM structures  
671 suggest that the RNAP core alone does not induce any global conformational change in  $\sigma$ R2. Conversely, -10  
672 ssDNA induces W-dyad isomerization that may stabilize contacts between DIS and  $\sigma$ R1.2. Although the  
673 overall  $\sigma$ 2 fold is conserved in all group I-II  $\sigma$  subunits, the regulation of -10 element binding may vary  
674 among species due to lineage-specific insertions in  $\sigma$ -NCR. For instance, insertions in the *Eco*  $\sigma^{70}$  and *Taq*  $\sigma^A$   
675  $\sigma$ -NCR would clash with  $\beta'$ -CH and impose a conformational change in  $\sigma$ 2 upon holoenzyme assembly. A  
676 plausible hypothesis is that  $\sigma$ R1.2 N-terminal  $\alpha$ -helix (*Mtb*  $\sigma^B$  residues 26-36), which is attached to the main

677 body of  $\sigma$ 2 through an unstructured linker, may be displaced in free  $\sigma$  and will not form optimal contacts  
678 with RNAP. Binding to the RNAP core may lock the  $\sigma$ R1.2  $\alpha$ -helix in the correct conformation that is optimal  
679 for DIS binding.

680

### 681 **Multiple RbpA roles in transcription initiation**

682 RbpA stabilizes the RNAP holoenzyme (43), stimulates promoter DNA melting (42, 43) and slows down  
683 promoter escape (47, 83). Our results explain the multiple roles of RbpA in transcription initiation and  
684 suggest that RbpA acts from RNAP assembly to promoter escape by stabilizing the  $\sigma$ 2/-10 element,  
685  $\sigma$ 3/extended -10 element and  $\sigma$ 4/-35 element interactions. Specifically, RbpA induces the optimal fit of  
686 RNAP to -10/-35 elements upon RPc formation. Then, RbpA-mediated anchoring of  $\sigma$ R2 to -10 ssDNA  
687 should stimulate formation of the transcription bubble during isomerization from RPc to RPo. The latter  
688 implies that RbpA should slow down promoter escape by inhibiting the disruption of  $\sigma$ R2/-10 contacts, in  
689 agreement with the kinetics studies (83) .

690

### 691 **DATA AVAILABILITY**

692 The data underlying this article will be shared on reasonable request to the corresponding author. The cryo-  
693 EM density maps and model coordinates reported in this article are available in the Electron Microscopy  
694 Data Bank (EMDB) and Protein Data Bank (PDB) and can be accessed with the accession codes: EMD-  
695 50508 (Consensus-I map); 9FJP (Consensus-I model); EMD-50509 (Consensus-II map); EMD-50510 ( $\sigma$ R4-  
696 docked state map); 9FJR ( $\sigma$ R4-docked state model); EMD-50511( $\sigma$ R4-undocked state map); 9FJS ( $\sigma$ R4-  
697 undocked state model); EMD-50512 (swiveled clamp state map); EMD-50514 (unswiveled clamp state  
698 map).

699

### 700 **Supplementary Data are available at NAR online**

701

### 702 **AUTHOR CONTRIBUTIONS**

703 Conceptualization, K.B.; Data Curation, K.B., E.M. and R.K.V; Formal Analysis, K.B., E.M., R.K.V., N.M.,  
704 and M.B.; Investigation, R.K.V., N.M. Z.M., and K.B.; Methodology, K.B., E.M. and N.M.; Supervision  
705 K.B. and EM.; Visualization K.B. and R.K.V.; Validation, K.B., E.M., R.K.V. and M.B.; Writing – original  
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715

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720

## 721 **Declaration of interests**

722 The authors declare no competing interests.

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