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19 **ABSTRACT**

20 COVID-19 presents with a plethora of neurological signs and symptoms despite being
21 characterized as a respiratory disease, including seizures, anxiety, depression, amnesia, attention
22 deficits, and alterations in consciousness. The olfactory nerve is widely accepted as the
23 neuroinvasive route by which the etiological agent SARS-CoV-2 enters the brain, but the
24 trigeminal nerve is an often-overlooked additional route. Based on this consensus, we initially
25 conducted a pilot experiment investigating the olfactory nerve route of SARS-CoV-2
26 neuroinvasion via intranasal inoculation in AC70 human ACE2 transgenic mice. Notably, we
27 found that the trigeminal ganglion is an early and highly efficient site of viral replication, which
28 then rapidly spread widely throughout the brain where neurons were primarily targeted. Despite
29 the extensive viral infection across the brain, obvious evidence of tissue pathology including
30 inflammatory infiltration, glial activation, and apoptotic cell deaths were not consistently
31 observed, albeit inflammatory cytokines were significantly induced. However, the expression
32 levels of different genes related to neuronal function, including the neurotransmitter dopamine
33 pathway as well as synaptic function, and markers of neuronal damage were altered as compared
34 to mock-infected mice. Our findings suggest that the trigeminal nerve can be a neuroinvasive
35 route complementary to the olfactory nerve and that the ensuing neuroinvasion presented a
36 unique neuropathological profile. This study provides insights into potential neuropathogenic
37 mechanisms utilized by coronaviruses.

38

39 **IMPORTANCE**

40 COVID-19 presents with extrapulmonary signs and symptoms, the most notable of which
41 involve the central nervous system, such as seizures and alterations in consciousness, and can
42 eventually lead to death if severe enough. Some neurological signs and symptoms may continue
43 to persist in some patients even after the resolution of active viral infection in the form of post-
44 acute sequelae. Since the trigeminal nerve is a commonly under-studied route of entry into the
45 brain in studies of coronaviruses and the neuropathogenic mechanisms of COVID-19 are not
46 entirely elucidated, there is a need to thoroughly investigate this route of neuroinvasion. The
47 significance of our research is in providing insights into the possible routes of SARS-CoV-2
48 neuroinvasion as well as the discovery of potential neuropathogenic mechanisms which may help
49 guide the development of novel medical countermeasures.

50

51 **INTRODUCTION**

52 Over four years now since its emergence in Wuhan, China⁽¹⁾ Coronavirus Infectious
53 Disease-2019 (COVID-19) has infected over 700 million people in total across the globe, and
54 killed over 6.9 million of them⁽²⁾. The etiological agent of COVID-19 is a member of the
55 coronavirus family in the *Betacoronavirus* genus designated ‘SARS-CoV-2.’⁽³⁾ Despite being
56 characterized as a primarily respiratory disease, COVID-19 importantly also exhibits
57 neurological symptoms that range from the mild, such as loss of sense of smell (anosmia) and
58 taste (ageusia) as well as headache and fatigue, to the severe, such as strokes and seizures, as
59 well as neuropsychiatric disorders including delirium, anxiety, depression, psychosis, memory
60 loss (amnesia), and attention deficits⁽⁴⁻¹²⁾; with the plethora of neurological symptoms, it is
61 undeniable that there is neurological system involvement in the pathogenesis of COVID-19.
62 After having caused much death and illness globally, COVID-19 continues to be a public health
63 issue in the form of lingering neurological symptoms and post-acute sequelae^(13, 14). Yet, despite
64 great strides in the research effort in recent years, many of the neuropathogenic mechanisms of
65 COVID-19 continue to elude elucidation, which would help in informing treatment of the
66 neurological disorders that arise after disease onset.

67 One such neuropathogenic mechanism that still merits investigation is the routes of
68 neuroinvasion taken by SARS-CoV-2 (hereby abbreviated to ‘SARS-CoV-2’). There is plentiful
69 evidence to suggest SARS-CoV-2 has significant neuroinvasive potential^(4-6, 10-12, 15-18). SARS-
70 CoV-2 viral proteins and RNA have been detected within the brains of both COVID-19 patients
71 and SARS-CoV-2-infected mice, leading to associated tissue pathology, such as microglial
72 activation and immune infiltrates^(10, 15, 19, 20). Furthermore, neural tissue cells, including neurons
73 and glia, express low but sufficiently detectable levels of angiotensin-II-converting enzyme

74 (ACE2) as well as transmembrane serine protease 2 (TMPRSS2), which have been identified as
75 the main host enzymatic cofactors determining viral entry into permissive host cells⁽²¹⁻²³⁾.
76 Although at least eight routes of neuroinvasion have been hypothesized to be utilized by SARS-
77 CoV-2^(4-6, 10-12, 15-18), the current scientific consensus is the direct olfactory nerve is the main
78 route of SARS-CoV-2 neuroinvasion, owing to the immediate exposure of the nerve endings to
79 the outside atmosphere and short lengths of the nerves leading to the close proximity of the
80 olfactory bulb (OB) to the external environment⁽¹⁹⁾. Nevertheless, an oft-understudied route of
81 neuroinvasion that should be considered here in the context of SARS-CoV-2 infection is the
82 trigeminal nerve. Most well-characterized in studies involving human herpesvirus infections,
83 particularly serotypes 1/2/3/6 (HHV-1/2/3/6)⁽²⁴⁻²⁷⁾, the trigeminal nerve route of neuroinvasion is
84 a particularly attractive route of neuroinvasion because the trigeminal neurons are directly
85 connected to the brainstem at the pons while the nerve terminals still end in very close proximity
86 to the external environment, only being separated by nasal epithelial cells, which have been
87 reported to be susceptible to infection by SARS-CoV-2, thereby bypassing the blood-brain
88 barrier (BBB)^(19, 28-31).

89 There have been a few studies that seemingly confirm the trigeminal nerve route of
90 neuroinvasion by SARS-CoV-2. Early in the pandemic, a study reported the detection of SARS-
91 CoV-2 viral genome copies within the human TG while also reporting the detection of viral
92 proteins in the human olfactory epithelium (OE) and OB⁽¹⁹⁾. Similarly, a different study in K18
93 human ACE2 (hACE2) transgenic mice also reported the detection of SARS-CoV-2 viral
94 genomes and infectious virions within the TG and brains, thus apparently validating the
95 trigeminal nerve route of viral transmission⁽¹⁵⁾. Based on these early reports, we conducted a
96 pilot experiment investigating the olfactory nerve route of neuroinvasion to determine the

97 regions of greatest viral tropism within the brains of hACE2 transgenic mice; it was during this
98 pilot experiment that we incidentally found that the TG was intensely infected as well. In more
99 recent studies, TG infection by SARS-CoV-2 has also been reported in deer mice⁽³²⁾. However,
100 these previous studies failed to thoroughly investigate the trigeminal nerve route of
101 neuroinvasion by SARS-CoV-2 and illustrate the implications of this route of SARS-CoV-2
102 neuroinvasion on COVID-19 pathogenesis in great detail. Based on these early findings and our
103 observations in the preliminary exploratory experiment, we present here our thorough and
104 detailed findings confirming the trigeminal nerve route as an early and efficient route of SARS-
105 CoV-2 neuroinvasion in hACE2 transgenic mice, thereby resulting in a highly neurovirulent and
106 neurotropic viral infection that induces altered neural function without obvious
107 neuroinflammation or cell death.

108

109 **RESULTS**

110 **Intranasal challenge with a lethal dose of SARS-CoV-2 caused a profound infection in the**
111 **TG before spreading to the brain.**

112 To gain insights into the neuroinvasive potential of SARS-CoV-2, we intranasally
113 challenged AC70 human ACE2 (hACE2) transgenic mice with 1×10^3 TCID₅₀ (approximately
114 333 LD₅₀) of SARS-CoV-2 (US-WA-1/2020 strain) (Drelich et al., 2024; manuscript in press)
115 and monitored them daily for morbidity (e.g., weight changes) and mortality. Starting on 4 days
116 post-infection (dpi), the challenged mice began to exhibit significant weight loss as well as other
117 signs of disease, before rapidly succumbing to infection with nearly 100% mortality by 5 dpi
118 (Fig. 1A-C). Then, we assessed the kinetics of viral spread within the brain and its nearby
119 peripheral nervous structures, e.g. OE and TG, by using immunohistochemical (IHC) staining for
120 SARS-CoV-2 Spike (S) protein.

121 We noted that SARS-CoV-2 S could only be sporadically detected within the OE as early
122 as 1 dpi (one out of five mice), and progressively sustained thereafter through 4 dpi (Fig. 2A-D),
123 thereby confirming earlier reports that the OE serves as an early site of viral replication^(19, 33).
124 Despite the incrementing viral infection of OE over time, we were unable to detect any SARS-
125 CoV-2 S within the OB until 4 dpi (Fig. 2E-H). Similarly, we could not detect any SARS-CoV-2
126 S staining within the pons either until 4 dpi (Fig. 2I-L). An analysis revealed that at 4 dpi most
127 challenged mice stained positively for SARS-CoV-2 S in the OB and the pons. Interestingly, we
128 were able to unambiguously detect the expression of SARS-CoV-2 S in the TG starting at 3 dpi
129 in a couple of infected mice, of which the staining intensity profoundly increased in all infected
130 mice at 4 dpi (Fig. 2M-P). Taken together, the finding of TG as a permissive site of SARS-CoV-
131 2 infection suggests that the trigeminal nerve route could be another early and olfactory nerve-

132 independent route of neuroinvasion by SARS-CoV-2 to enter the CNS/brain. Nevertheless,
133 consistent with the onset of severe disease in infected mice, we observed at 4 dpi overwhelming
134 viral infection in all major anatomic regions of the brain, including the proposed initial ports of
135 entry, i.e., OB and pons of the olfactory nerve and trigeminal nerve neuroinvasive routes,
136 respectively (Fig. 2H&L), as well as regions distal to the initial sites of entry, such as the frontal
137 cerebral cortex (prefrontal, somatomotor, somatosensory, etc.), basal ganglia (caudate putamen
138 and striatum), thalamus, hypothalamus, hippocampal formation, cerebellum, and brainstem
139 (mesencephalon and medulla) (Suppl. Fig. 2). At 5 dpi, we observed the viral antigen staining
140 continue to spread throughout almost all regions of the brain (Suppl. Fig. 3).

141 **Kinetics of SARS-CoV-2 viral infection in brain and TG**

142 As we have shown the TG is an early site of SARS-CoV-2 infection, we investigated the
143 kinetics of viral infection within the brain and TG. As shown in Figure 3, we found that
144 infectious virus could be recovered from the brain at 3 dpi with a titer of approximately 4.5 log
145 TCID₅₀/g, followed by a sharp increase to ~7 log and ~7.5 log TCID₅₀/g at 4 and 5 dpi,
146 respectively. While we could not detect any signs of viral infection by IHC staining within the
147 TG until 3 dpi (Fig. 2O), infectious virus was recovered at 2 dpi (~4 log TCID₅₀/g). The titers of
148 infectious virus in the TG increased thereafter to 6.5 log TCID₅₀/g 5 dpi. Specifically, while we
149 were only able to isolate a low titer of live virus from the TG of 1/10 challenged mice at 1 dpi,
150 we were able to increase the detection frequency to 7/10 and 10/10 at 2 and 3 dpi and thereafter.
151 Therefore, viral replication occurs one day earlier in the TG than in the brain.

152 **Neurons are the primary brain cells supporting productive SARS-CoV-2 infection**

153 The profound SARS-CoV-2 infection within the brain of infected mice prompted us to
154 investigate the identity of permissive brain cells by using two-color immunofluorescent (IF)
155 staining by simultaneously targeting specific cell markers and viral antigens. Encouraged by the
156 data shown in Fig. 2 and Suppl. Fig. 3 that a vast majority of cells positively stained for the S
157 protein by IHC morphologically resembled neuronal cells, we repeated the IHC staining for the
158 expression of beta tubulin III (TUBB3, also known as Tuj1), a marker of neuronal cells, and
159 SARS-CoV-2 S protein. We found that most cells that were stained positively for the S protein
160 co-expressed Tuj1 in the cytosols of the main bodies of the neurons, indicating neurons are likely
161 the prime brain cells permissive to SARS-CoV-2 infection (Fig. 4A-D). To further verify that
162 neurons were indeed the preferred brain cells targeted by SARS-CoV-2, we use the same IHC
163 staining technique for detecting the expressions of glial fibrillary acidic protein (GFAP) and
164 ionized calcium-binding adaptor molecule 1 (IBA1), markers for astrocytes and microglia,
165 respectively, along with SARS-CoV-2 S protein. While there were a few cells co-labelled with
166 GFAP and SARS-CoV-2 S (Fig. 4H, arrowheads), the majority of GFAP⁺ astroglia were not
167 permissive to SARS-CoV-2 infection (Fig. 4E-H). Moreover, we did not observe any signs of
168 proliferative response (astrogliosis) and activation of astroglia (Fig. 4F), based on the absence of
169 detectable extension and thickening of cellular processes⁽³⁴⁻³⁶⁾, when compared to mock-infected
170 animals (Fig. 4J). In contrast to SARS-CoV-2-permissive neurons and, astroglia, to a much
171 lesser extent, we were unable to reveal any cells dually labelled with IBA1, the marker of
172 microglial cells, and SARS-CoV-2 S protein (Fig. 4M-P), indicating that microglial cells likely
173 are not permissive to infection by SARS-CoV-2. However, as we could only detect very few
174 cells that were IBA1⁺, we could not make any conclusions on microglial activation based on
175 proliferation and retraction of processes (Fig. 4N).

176 While human (h) ACE2 transgene is known to constitutively express in tissues/organs of
177 AC70 transgenic mice^(37, 38), to what extent this human hACE2 expression conferred the
178 susceptibility of brain cells to SARS-CoV-2 infection has not been fully investigated. To study
179 this, paraffin-embedded brain sections of infected AC70 mice were subjected to the standard
180 IHC staining for hACE2 and SARS-CoV-2 S protein, as described above. As shown in Fig. 5A-
181 D, we found that in the choroid plexus, hACE2 expression alone cannot act as the determinant
182 for permissiveness to SARS-CoV-2 infection; the choroid plexus, which is composed of
183 endothelial and glial ependymal cells, was shown to intensely express hACE2 (Fig. 5B), which is
184 consistent with the earlier reports⁽²³⁾, and yet, cells within this region apparently were not stained
185 positively with SARS-CoV-2 S protein (Fig. 5C&D). The nature of such a loose correlation
186 between hACE2 expression and SARS-CoV-2-permissiveness within different regions of the
187 brain warrants additional studies.

188 **Host responses to SARS-CoV-2 infection within the brains of AC70 transgenic mice.**

189 Having revealed the profound viral infection throughout major anatomical regions of the
190 brain, we investigated how host would respond in the brain upon lethal challenge with SARS-
191 CoV-2. We initially profiled the inflammatory responses by RT-qPCR, followed by examining
192 the brain sections for the histopathology. We found that among 13 inflammatory mediators
193 measured, 11 mediators were significantly induced in the brains at 4 dpi (Fig. 6), the time when
194 significantly elevated viral titers were recovered, as shown in Fig. 3. We also found that 10 out
195 of 11 virally induced soluble mediators were proinflammatory, including IFN-I (α/β), IFN-II (γ),
196 TNF- α , IL-1 β , IL-6, IP-10, MCP-1, MX-1, and RANTES, whereas the transcriptional levels of
197 IL-4 and IL-10, markers of Th2 and anti-inflammatory or inflammatory regulator, respectively,
198 were either slightly downregulated (IL-4) or significantly upregulated (IL-10). Despite the

199 significant expression of inflammatory mediators within the brain, we did not identify any
200 infiltrates of mononuclear cells within H&E-stained sections of the brains even at 4 dpi (Suppl.
201 Fig. 1). Neither did we notice any readily detectable tissue damage in the brains. Because SARS-
202 CoV-2 infection exhibits cytopathic effects resulting in the deaths of permissive host cells⁽³⁹⁾, we
203 examined the brain sections for any histopathological signs of cell death. As shown in Suppl. Fig.
204 1, we were unable to detect any signs of apoptotic cell death as apoptotic cell deaths were the
205 most common type of cell deaths associated with neurovirulent viral infections. We used the
206 standard TUNEL assay kit (Abcam, Cambridge, UK) to detect apoptotic cells within the brains
207 harvested at 5 dpi. Among a total of five brains examined, only one exhibited a few apoptotic
208 cells while the other four were negative for TUNEL assays (data not shown). Together, these
209 results suggested that infected brain cells, especially neurons as indicated by the IF dual-labeling
210 results (Fig. 4A-D), but not inflammatory infiltrates, are the likely sources of the inflammatory
211 mediators detected in the brain. Additionally, the lack of inflammatory infiltrates and convincing
212 cell death within the brain emphasize the neuronopathy, but not encephalitis, is the likely cause
213 of death of acutely infected AC70 transgenic mice.

214 **Neuroinvasive SARS-CoV-2 infection dysregulated the expression of key genes regulating
215 neurological functions.**

216 Despite the intense SARS-CoV-2 infection within the brain, preferentially targeting
217 neurons, especially neurons, of acutely infected AC70 transgenic mice that succumbed to
218 infection within days, we did not reveal histopathological evidence of neuroinflammatory
219 response (encephalitis) with noticeable cell death. We examined whether this seemingly nonlytic,
220 but extensive neuronal infection of SARS-CoV-2 might still alter neurological functions. Thus,
221 we explored the expression of six key genes, i.e., *DRD1*, *TH*, *NEFL*, *Eno2 (NSE)*, *Syn-1A*,

222 *SNAP-25*, that serve as the regulators and markers for neuronal function and damage,
223 respectively, in the brains of infected AC70 transgenic mice over time, compared to uninfected
224 brains. We found that, except for *DRD1*, the transcriptional expressions of all other five genes
225 evaluated were downregulated, with statistical significance only at a few timepoints for *TH*,
226 *NEFL*, and *Syn-1A* (Fig. 8). The up- and down-regulated expressions of the dopamine receptor
227 D1 (*DRD1*) and tyrosine hydroxylase (*TH*), respectively, a functional pair of molecules
228 governing a unique neuronal function, is of interest; at 2 dpi, the significant upregulation of
229 *DRD1* was mirrored by the significant downregulation of *TH* (Fig. 7). Nevertheless, these results
230 show that neuroinvasive SARS-CoV-2 infection could indeed alter functional gene expression
231 without causing neuroinflammation or obvious cell death.

232 **DISCUSSION**

233 In the study of coronavirus pathogenesis and particularly that of SARS-CoV-2, the
234 trigeminal nerve is a possible route into the CNS that is often overlooked in favor of the
235 olfactory nerve. Widely reported and generally accepted as the main route of entry into the CNS,
236 the olfactory nerve route alone does not explain how SARS-CoV-2 infection could penetrate the
237 main bulk of the brain at its rear⁽⁴⁰⁻⁴²⁾. To this end, we mapped and characterized the trigeminal
238 nerve route of neuroinvasion by SARS-CoV-2 in the AC70 hACE2 transgenic mouse model and
239 found that there are many potential consequences. Specifically, we demonstrated that the
240 trigeminal nerve may be an early and highly efficient site of SARS-CoV-2 viral replication, on
241 par with that of the olfactory nerve, and that SARS-CoV-2 viral infection primarily targets
242 neurons, leading to changes in neural function with minimal tissue pathology.

243 Early in the course of viral infection, SARS-CoV-2 can be readily detected in the TG,
244 even before the onset of weight loss and disease signs in our mouse model. IHC staining
245 showing the early SARS-CoV-2 S staining in the TG (Fig. 2O&P), supported by the high viral
246 titer of the TG at an earlier timepoint (Fig. 3), implied that viral infection of the trigeminal nerve
247 occurred nearly simultaneously with the OE and olfactory nerve, which would have occurred
248 immediately after intranasal challenge. Additionally, the SARS-CoV-2 S staining pattern
249 changing from undetectable to observable in all major anatomic regions of the brain within the
250 span of one day suggested that the spread of viral infection occurred extremely rapidly. Since on
251 4 dpi the peripheral regions of the brain closest to both the OE (e.g. OB and frontal cortex) and
252 the TG (e.g. hypothalamus, midbrain, and pons) (Suppl. Fig. 3A) stained more intensely with
253 SARS-CoV-2 S than the central internal regions, we speculated that SARS-CoV-2 neuroinvasion
254 proceeded via retrograde axonal transmission. Alternatively, the more extensive viral antigen
255 staining of the peripheral anatomic regions of the brain than the central regions could indicate the
256 virus was transported in the cerebrospinal fluid (CSF), as has been previously suggested⁽⁴³⁾; not
257 only is the TG itself situated anatomically in the CSF-rich Meckel's cavity, but terminals of all
258 three branches of the trigeminal nerve penetrate through the cribriform plate to innervate skull
259 bone marrow niches and ultimately the dura mater of the meninges, which are awash in CSF^{(28, 44,}
260 ⁴⁵⁾. Although the TG and trigeminal nerve were previously reported to have been infected early
261 on during the heights of the COVID-19 pandemic⁽¹⁹⁾, this study greatly extends the findings from
262 the previous study by investigating the dynamics of SARS-CoV-2 neuroinvasion along the
263 trigeminal nerve on a time course basis.

264 Our findings indicate that the primary target of SARS-CoV-2 viral tropism in the brain is
265 neurons, but not astrocytes, microglia, or even endothelial cells. Initially, based on the expression

266 levels of ACE2, in descending order, the main targets of SARS-CoV-2 tropism in the brain were
267 thought to be endothelial cells, and then ependymal glial cells, astrocytes, and microglia, but not
268 neurons^(46, 47). Yet, our IF staining showing the amount of SARS-CoV-2 S expression in different
269 cell types to be highest in neurons (Fig. 4A-D) suggests otherwise in our model. We identified an
270 anatomic structure of the brain, the choroid plexus, as not only the structure of the brain with one
271 of the highest expression levels of ACE2 as previously reported^(23, 48), but one that was not
272 observably infected by SARS-CoV-2 at all. We were interested in the permissiveness of the
273 choroid plexus to SARS-CoV-2 because the choroid plexus has been suggested as an alternative
274 portal of viral entry into the brain via the hematogenous routes due to its composition of
275 primarily endothelial and ependymal glial cells^(10, 23, 48).

276 Despite the profound viral infection throughout the brain, the brain was notably devoid of
277 the typical correlates of tissue pathology associated with neuro-dysfunction. Although the pro-
278 inflammatory cytokines were generally significantly induced corresponding to the magnitude and
279 kinetics of viral replication, we were initially very surprised to observe an overall lack of any
280 histopathological signs of neuroinflammation; inflammatory infiltrates and the associated
281 vascular cuffing were rarely, if at all, observed, while our IF staining revealed a lack of
282 astrocytic and microglial activation, which is atypical for viral neuroinvasion. However, we
283 rationalized this by considering a sufficiently highly virulent and rapid viral infection has the
284 ability to induce an immunosuppressive state that the neuroimmune system does not have the
285 time to mount an inflammatory response before the organism succumbs⁽⁴⁹⁻⁵¹⁾. Additionally, we
286 noticed that the occurrences of apoptosis were not consistent with the vast extent of viral
287 infection; for example, in two anatomic regions of the brain with heavy viral infection, the
288 frontal cortex and medulla oblongata, there were only a few cells actively undergoing apoptosis

289 as revealed by TUNEL assay, while the hypothalamus, one of the most heavily infected region of
290 the brain, had no cells detected undergoing apoptosis. However, we again surmised that owing to
291 their postmitotic nature and limited numbers, mature neurons are remarkably resistant to
292 apoptosis and programmed cell death, even after viral infections⁽⁵²⁻⁵⁴⁾. All the histopathological
293 results combined prompted us to investigate the expression levels of neural function markers,
294 namely those for dopamine neurotransmitter processing and synaptic function, to gain any
295 insights into the mechanisms of neurodysfunction. The pair of DRD1 and TH is relevant because
296 this gene couple is directly involved in neurotransmitter dopamine processing; TH is the rate-
297 limiting enzyme that catalyzes the production of the dopamine precursor L-DOPA⁽⁵⁵⁾, while
298 DRD1 is the most abundant dopamine receptor within the CNS⁽⁵⁶⁾. Furthermore, the
299 downregulation of the neural damage markers neurofilament light chain (*NEFL*) and neuron-
300 specific enolase (*NSE*) (Fig. 7) ran counter to our expectations; in cases of acute brain injury,
301 such as traumatic brain injuries (TBI) or ischemic events, *NEFL* and *NSE* have been reported to
302 be elevated as markers of neuronal injury⁽⁵⁷⁻⁶¹⁾. These results indicated to us that there were
303 indeed alterations to neural function because of viral infection, whether due to inflammation or
304 viral infection. Our results suggest that there are alternative mechanisms of neurological disorder
305 that extend beyond neuroinflammation and cell death.

306 Overall, our results show that the trigeminal nerve is an early and efficient site of SARS-
307 CoV-2 infection in our model, suggesting that it may be an efficient entry route to the brain/CNS.
308 Based on all our results together, we speculated neuroinvasive SARS-CoV-2 uses a two-pronged
309 route from the OB and the pons towards the center of the brain (Fig. 8). We also characterized
310 alterations in neuronal function that were observed despite a general lack of typical

311 histopathological findings of neuroinflammation. It is clear from these findings that additional
312 studies are warranted for the further characterization of COVID-19 neuropathogenesis.

313 **Materials and Methods**

314 All procedures involving animals and infectious virus were performed in a biosafety level 3
315 (BSL-3) or animal biosafety level 3 (ABSL-3) facility at Galveston National Laboratory at the
316 University of Texas Medical Branch (UTMB) at Galveston, Texas, an Association for
317 Assessment and Accreditation of Laboratory Animal Care (AAALAC)-accredited (November 24,
318 2020) and Public Health Service-Office of Laboratory Animal Welfare (PHS-OLAW)-approved
319 (February 26, 2021) high-containment National Laboratory. All animal procedures were carried
320 out in accordance with animal protocols approved by an Institutional Animal Care and Use
321 Committee (IACUC) at UTMB.

322 **Virus**

323 The SARS-CoV-2 (strain US-WA-1/2020) used throughout this study was generously provided
324 to us by Dr. Natalie Thornburg at the Centers for Disease Control (CDC), Atlanta, GA, through
325 the World Reference Center for Emerging Viruses and Arboviruses (WRCEVA). SARS-CoV-2
326 were propagated in Eagle's Minimal Essential Medium (MEM) (Corning, 10-010-CV)
327 supplemented with 2% fetal bovine serum (FBS), 2% L-Glutamine (GIBCO, 25030-164), and
328 1% Penicillin-Streptomycin (GIBCO, 15140-122); this media formulation has been designated
329 '2-MEM.' The original stock of SARS-CoV-2 was cultured in 2-MEM and passaged two more
330 times in Vero-E6 cells to generate the working viral stocks, which were stored at -80 °C. The
331 working viral stocks used throughout this study were titrated at $\sim 5 \times 10^6$ TCID₅₀/mL by a
332 standard TCID₅₀ assay in Vero-E6 cells.

333 **Cells**

334 Vero-E6 immortalized African green monkey kidney cells (CRL-1580, American Type Culture
335 Collection) were grown in a media formulation designated '10-MEM,' a media formulation
336 similar to 2-MEM but supplemented instead with 10% FBS.

337 **SARS-CoV-2 infection and necropsy**

338 Isoflurane-anesthetized female AC70 hACE2 transgenic mice at 8-9 weeks old were challenged
339 intranasally with 1×10^3 TCID₅₀ SARS-CoV-2 in 60 μ L of 2-MEM; five control mice were mock-
340 challenged with the same volume of phosphate-buffered saline. All mice were weighed daily to
341 monitor disease progression. Additionally, illness severity in infected mice was scored
342 independently by two investigators who used a standardized 1-4 grading system as follows: 1,
343 healthy; 2, ruffled fur, lethargic; 3, ruffled fur, lethargic, hunched posture, orbital tightening,
344 labored breathing/dyspnea, and/or more than 15% weight loss; 4, reluctance to move when
345 stimulated or at least 20% weight loss. Each day after infection, five infected mice were
346 sacrificed to obtain whole skulls for determining viral infectivity titers, staining for viral antigen
347 by IHC as well as other antigens by two-color IF, profiling inflammatory responses, and
348 histopathological analysis. The control mice were sacrificed on the first day post-mock-challenge
349 (1 dpi) to harvest the same as above described. The whole skull samples were then split into left
350 and right hemispheres, with the left hemispheres subsequently being further split into whole
351 brain and trigeminal ganglion samples; half of each of whole brain and trigeminal ganglion
352 samples would later be homogenized in 2% FBS-PBS while the other half of each tissue type
353 samples would be homogenized in TRIzol (Invitrogen, Waltham, Massachusetts, USA). The

354 remaining right hemisphere of each whole skull sample was then fixed by immersion in 10%-
355 buffered formalin for 72 hours followed by transfer to 70% ethanol.

356 **End-point dilution median tissue culture infectious dose (TCID₅₀) viral titration assay.**

357 The end-point dilution median tissue culture infectious dose (TCID₅₀) viral titration assay was
358 performed as previously described^(37, 38, 62). Briefly summarized, after an initial clarification by
359 centrifugation step, we carried out a 1:10 serial dilution from 10⁻¹ to 10⁻⁸ from a starting dilution
360 of 50 µL of viral samples into 450 µL of 2-MEM. Then, we aliquoted 100 µL of the dilution into
361 a 96-well plate of confluent Vero E6 cells at four wells each dilution. All 96-well plates were
362 incubated at 37°C at 5% CO₂ for up to three days, after which the number of wells exhibiting
363 cytopathic effect were counted for each dilution. Then, the number of viable virions were
364 calculated and quantified based on the Reed and Muench method and expressed as
365 TCID₅₀/mL⁽⁶³⁾.

366 **RNA extraction and reverse transcription-quantitative polymerase chain reaction (RT-
367 qPCR)**

368 Total RNA was isolated from the tissues of infected mice homogenized in TRIzol solution as
369 indicated above using a chloroform extraction method according to manufacturer instructions.
370 Contaminating genomic DNA was removed upon digestion with DNase I during the extraction
371 procedure using a DNase I clean-up kit (Invitrogen, AM1907, Waltham, Massachusetts, USA).
372 The resulting RNA samples were subjected to two-step RT-qPCR analysis to assess the
373 expression of SARS-CoV-2 E gene as well as other genes, starting with reverse transcription into
374 cDNA using the iScript Reverse Transcription kit (Bio-Rad, 1708841Hercules, California, USA).
375 The primers for all genes can be seen in Table 1. 18S rRNA was used as the endogenous control.

376 20 ng cDNA was amplified for each replicate, with each animal specimen being assayed in
377 duplicate for each gene, using an iTaq Universal SYBR Green supermix reagent kit (BioRad,
378 1725124, Hercules, California, USA), in a CFX96 thermocycler (BioRad, Hercules, California,
379 USA). The cycling parameters for PCR for 40 cycles were as follows: initial polymerase
380 activation at 95°C for 30 s, denaturation at 95°C for 10 s, and annealing/extension with plate read
381 at 60°C for 30 s. The relative fold gene expression for each sample was calculated based on the
382 Livak delta-delta Ct method⁽⁶⁴⁾.

Gene	Forward Primer (5'-3')	Reverse Primer (5'-3')
<i>18s</i>	GGACCAGAGCGAAAGCATTGCC	TCAATCTGGTGGCTGAACG
<i>Sars2e</i>	ACAGGTACGTTAATAGTTAATAGCGT	ATATTGCAGCAGTACGCACACA
<i>Ifna</i>	GACCTTCCTCAGACTCATAACC	CATCCACCTCTCCTGCG
<i>Ifnβ</i>	GC GGACTTCAAGATCCCTATG	ACAATAGTCTCATTCCACCCAG
<i>Ifnγ</i>	AAATCCTGCAGAGCCAGATTAT	GCTGTTGCTGAAGAAGGTAGTA
<i>Tnfa</i>	TTGTCTACTCCCAGGTTCTCT	GAGGTTGACTTCTCCTGGTATG
<i>Il1β</i>	TGGACCTCCAGGATGAGGACA	GTTCATCTCGGAGCCTGTAGTG
<i>Il6</i>	TACCACTTCACAAGTCGGAGGC	CTGCAAGTGCATCATCGTTGTT
<i>Il10</i>	AGCCGGAAAGACAATAACTG	GGAGTCGGTTAGCAGTATGTTG
<i>Il4</i>	TTGAGAGAGATCATCGGCATT	CTCACTCTGTGGTGTCTTC
<i>Ip10</i>	ATCATCCCTGCGAGCCTATCCT	GACTTTTGGCTAACGCTTTC
<i>Mcp1</i>	GTCCCTGTCATGCTTCTGG	GCTCTCCAGCCTACTCATTG
<i>Mx1</i>	TGGACATTGCTACCACAGAGGC	TTGCCTTCAGCACCTCTGTCCA
<i>Rantes</i>	CCTGCTGCTTGCCTACCTCTC	ACACACTTGGCGGTTCTCGA

383 *Table 1 List of RT-qPCR primers*

384 **Histopathology and immunostaining**

385 Formalin-fixed whole skull sections in 70% ethanol from the above-described necropsy were
386 subsequently paraffin-embedded and then sectioned at 5 μ m thickness along the sagittal plane.
387 Histopathological evaluation was performed on deparaffinized sections stained by routine
388 hematoxylin-and-eosin (H&E) staining. Testing for the SARS-CoV-2 S viral antigen was
389 performed using a standard colorimetric indirect horseradish peroxidase (HRP) IHC protocol
390 modified from a previously described protocol^(37, 38) using a rabbit anti-SARS-CoV-2 S protein
391 antibody (Abcam, ab272504, Cambridge, UK) at 1:5000 dilution (0.2 μ g/mL). Heat-mediated
392 antigen retrieval at pH 6 using citrate buffer was performed. Specifically, the primary antibody
393 was detected using the ImmPRESS® HRP Horse Anti-Rabbit IgG PLUS Polymer Kit (Vector
394 Laboratories, MP-7801-15, Newark, California, USA) following manufacturer instructions.
395 Counterstaining was achieved with Mayer's hematoxylin (Sigma-Aldrich, MHS16-500 mL, St.
396 Louis, Missouri, USA). For two-color IF staining, the above IHC protocol was modified such
397 that the anti-SARS-CoV-2 S primary antibody was at 1:1000 dilution (1 μ g/mL) in background-
398 reducing antibody diluent (Dako, S302283-2, Santa Clara, California, USA). A second primary
399 antibody to detect a different cell marker antigen was simultaneously used with the SARS-CoV-
400 2 S primary antibody at the following dilutions: TUBB3/Tuj1 (GeneTex, GTX85469, Irvine,
401 California, USA, 1:100), GFAP (GeneTex, GTX85454, Irvine, California, USA, 1:500), and
402 ACE2 (R&D Systems, AF933, Minneapolis, MN, USA, 1:250); IBA1 (GeneTex, GTX637629,
403 Irvine, California, USA, 1:100) was used with a different mouse SARS-CoV-2 S antibody
404 (GeneTex, GTX632604, Irvine, California, USA, 1:1000). The primary antibodies were then
405 visualized using secondary antibodies conjugated with the appropriate listed fluorophores: goat
406 anti-rabbit IgG Alexa Fluor 568 (Invitrogen, A-11011, Waltham, Massachusetts, USA, 1:1000),
407 goat anti-chicken IgY Alexa Fluor 488 (Invitrogen, A-11039, Waltham, Massachusetts, USA,

408 1:2000), and goat anti-mouse IgG Alexa Fluor 555 (Invitrogen, A-32727, Waltham,
409 Massachusetts, USA, 1:2000).

410 **Graph creation and statistical analysis.**

411 Statistical analysis was performed, and graphs were created in GraphPad Prism 10.2.3.

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643

644 **Figure legends:**

645 **Figure 1. SARS-CoV-2 infection via the intranasal route results in a rapid development of**
646 **clinical disease and mortality.** 25 AC70 mice were intranasally inoculated with 1×10^3 TCID₅₀
647 of SARS-CoV-2 strain US-WA-1/2020 in 2% fetal bovine serum-supplemented cell media (2-
648 MEM) and then five mice were sacrificed each day for five days post-infection. (A) Significant
649 weight loss rapidly developed starting on 4 dpi, correlating with (B) the onset of severe clinical
650 signs of disease. (C) The onset of clinical disease quickly advanced to near full mortality at 5 dpi
651 Weight changes were expressed as the mean percent changes in infected animals relative to the
652 initial weights at 0 dpi Error bars represent standard errors of the mean (SEM). ***p < 0.0001.
653 These were the representative results of one out of two independent experiments.

654 **Figure 2. Immunohistochemical analysis of SARS-2 antigen in the brain, OE, and TG after**
655 **infection via the intranasal route.** Formalin-fixed, paraffin-embedded (FFPE) skull sagittal or
656 coronal sections containing the brain, OE, and TG were analyzed via immunohistochemistry
657 (IHC) for the expression of the SARS-2 spike (S) protein. SARS-2 S antigen (brown) can be
658 detected only in the OE starting from 1 dpi but can begin to be detected in the TG starting from 3
659 dpi; SARS-2 S could not be detected in all other regions of the brain from 1 dpi to 3 dpi,
660 including the olfactory bulb. Black arrowheads indicate selected points of antigen detection. (A-
661 D) Olfactory epithelium; (E-H) olfactory bulb; (I-L) brainstem (pons); (M-P) trigeminal
662 ganglion. Magnification 40X. Blue nuclei indicate hematoxylin counter-staining.

663 **Figure 3. SARS-CoV-2 replication kinetics in the trigeminal ganglion and the brain.** The
664 titers of infectious virus in brain and TG were calculated and expressed as log₁₀ TCID₅₀ virus per
665 gram of tissue and were plotted as the mean of two different cohorts (n = 10 animals per
666 timepoint). Virus titers in the brain (blue) and TG (green) were assessed using a standard Vero-

667 E6 cell-based TCID₅₀ assay. *p < 0.05, by Student's *t*-test, comparing brain and TG. Error bars
668 represent standard errors of the mean (SEM). These were the combined data of two different
669 independent experiments.

670 **Figure 4. Viral tropism analysis via immunofluorescence of SARS-CoV-2 antigen in the**
671 **brain.** Serial sections of the FFPE brain sections were analyzed via dual-labeling
672 immunofluorescence (IF) for the expression of the SARS-2 spike (S) protein (red) and different
673 cell identity markers (green). (A-D) Neurons (Tuj1⁺, frontal cortex); (E-L) Astrocytes (GFAP⁺,
674 frontal cortex), white arrowheads indicate selected points of colocalization, E-H: SARS-CoV-2-
675 infected mice, I-L: mock-infected mice; (M-P) Microglia (IBA1⁺, frontal cortex).
676 Magnifications: A to L, 10X; M to P, 40X. DAPI counterstaining (blue).

677 **Figure 5. ACE2 co-expression with SARS-CoV-2 S antigen at the choroid plexus.** FFPE
678 brain section showing specifically the choroid plexus analyzed via immunostaining (IHC and
679 dual-labeling IF) for hACE2 and SARS-2 S. (A) SARS-2 S IHC (brown); (B) hACE2 IF
680 (ACE2⁺, green); (C) SARS-2 S IF (SARS-2 S⁺, red); (D) Merge of hACE2 and SARS-2 IF
681 (ACE2⁺ and SARS-2 S⁺). Magnification 10X. IHC hematoxylin counterstaining (blue).

682 **Figure 6. Kinetics of the cytokine responses in the brains of SARS-2-infected AC70 mice.**
683 Total RNA extracted from the brains of AC70 mice sacrificed daily after SARS-2 infection were
684 used to measure the expression of various cytokines and chemokines by RT-qPCR. Each
685 individual brain sample was assayed in duplicate. Results are shown as the mean for five animals
686 at each time point. Error bars represent SEM. *p < 0.05, **p < 0.01, ***p < 0.001, ****p <
687 0.0001, p < 0.00001 where indicated (Student's *t*-test, compared to mock-infected mice).

688 **Figure 7. SARS-CoV-2 brain infection alters gene expression of neuronal function and**
689 **neural damage markers.** Total RNA extracted from the brains of infected AC70 mice daily

690 after SARS-2 infection were used to measure the gene expression levels of selected neural
691 biomarkers of damage (*Nefl* and *Eno2*) and neuronal function (*DRD1*, *TH*, *Syn-1A*, and *SNAP-*
692 25). Each individual brain sample was assayed in duplicate. Results are shown as means (\pm SEM)
693 of five animals at each time point. * $p < 0.05$, ** $p < 0.01$ (Student's *t*-test, compared to mock-
694 infected mice).

695 **Figure 8. Two-pronged neuroinvasion route of SARS-CoV-2.** Theorized two-pronged
696 neuroinvasion route taken by SARS-CoV-2 starting from the nasal cavity during respiratory
697 infection based on the observed viral antigen staining pattern. Red and blue arrows indicate the
698 two main forks invading into the brain (e.g. OE \rightarrow OB (blue); nasal/olfactory epithelium \rightarrow TG
699 \rightarrow pons (red)). Orange arrows indicate speculated routes of further viral spread inside the brain
700 proper once brain has been penetrated.

701 **Supplemental Figure 1. Histopathological analysis of SARS-CoV-2-infected mice brains.**

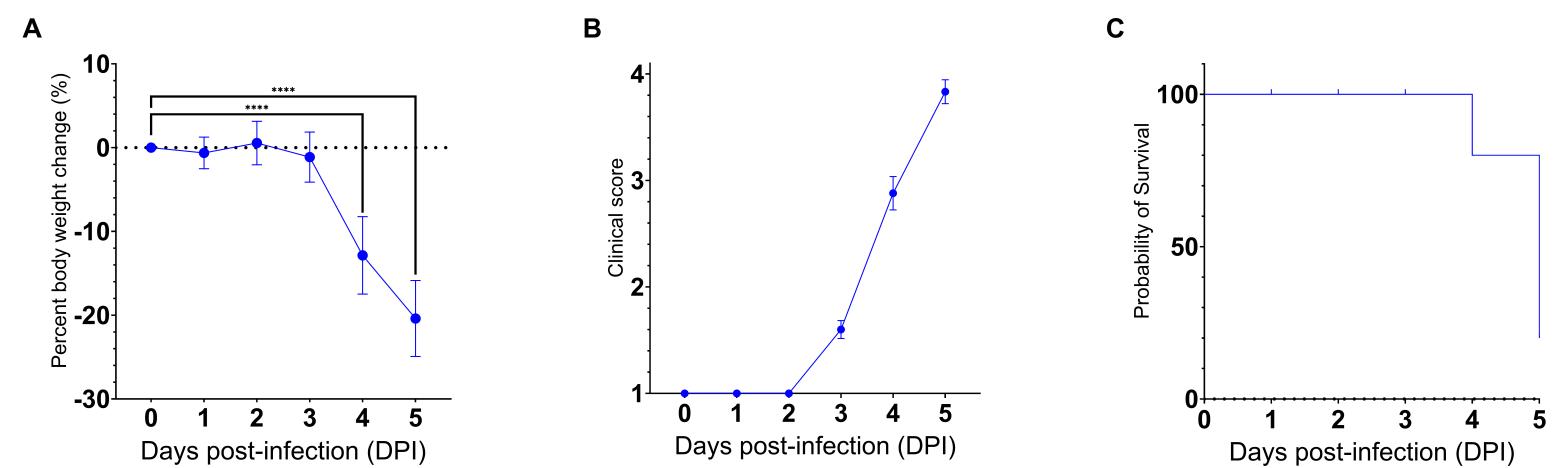
702 Micrograph of whole skull section (right hemisphere) of infected AC70 mouse at 5 dpi. show a
703 lack of abnormalities or differences between the mock-infected sections (A-D) and sections from
704 the SARS-2-infected brains (E-H). Magnification 10X.

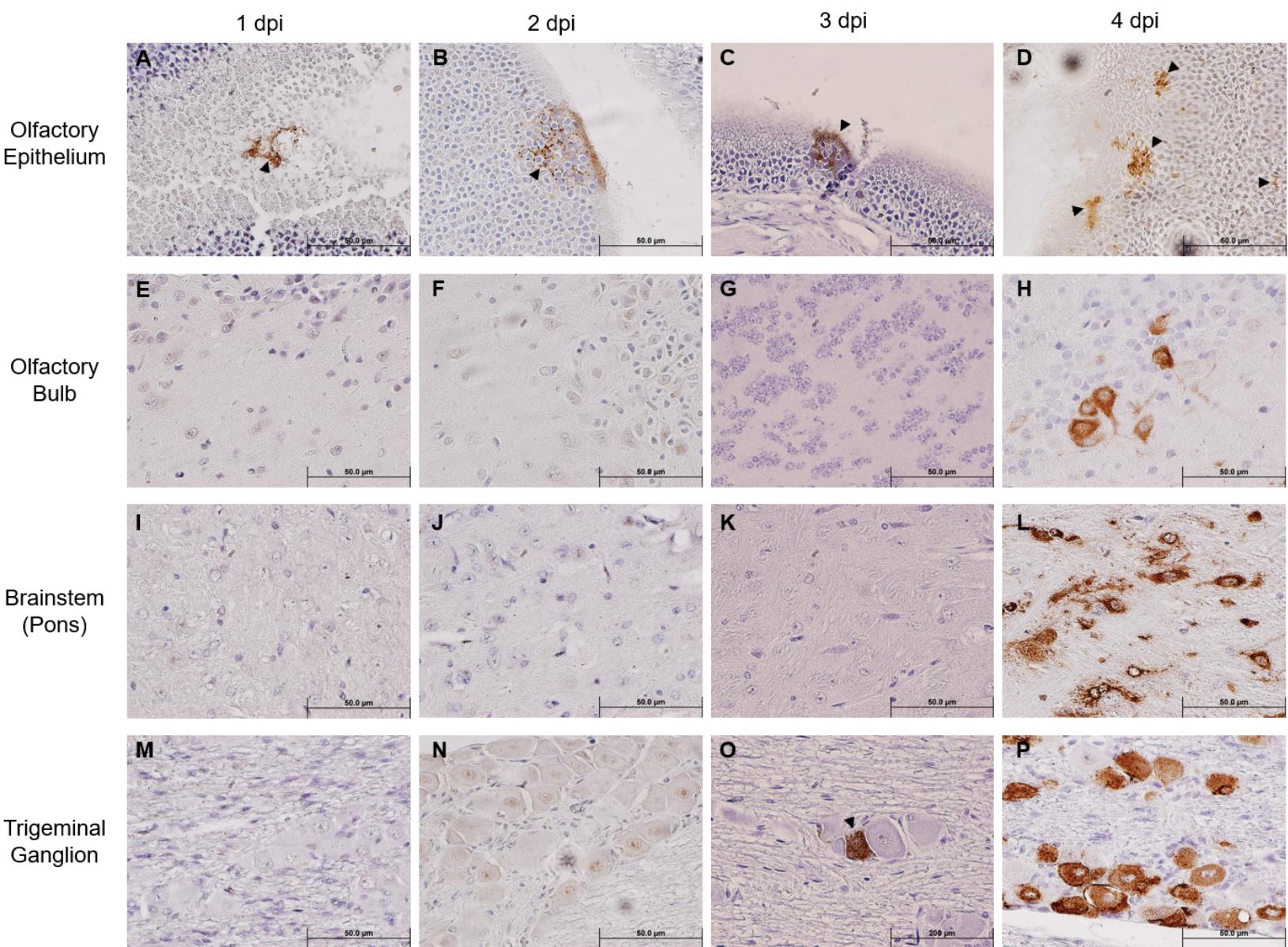
705 **Supplemental Figure 2. Immunohistochemical analysis of SARS-CoV-2 antigen in the**
706 **brain at 4 dpi.** Images of FFPE skull sections of infected AC70 mice at 4 dpi. (A) Whole left
707 hemisphere, 10X; (B) pre-frontal cortex, 40X; (C) striatum, 40X; (D) thalamus, 40X; (E)
708 hypothalamus, 40X; (F) hippocampus, 40X; (G) cerebellum, 40X; (H) medulla oblongata, 40X.
709 Blue nuclei indicate hematoxylin counter-staining.

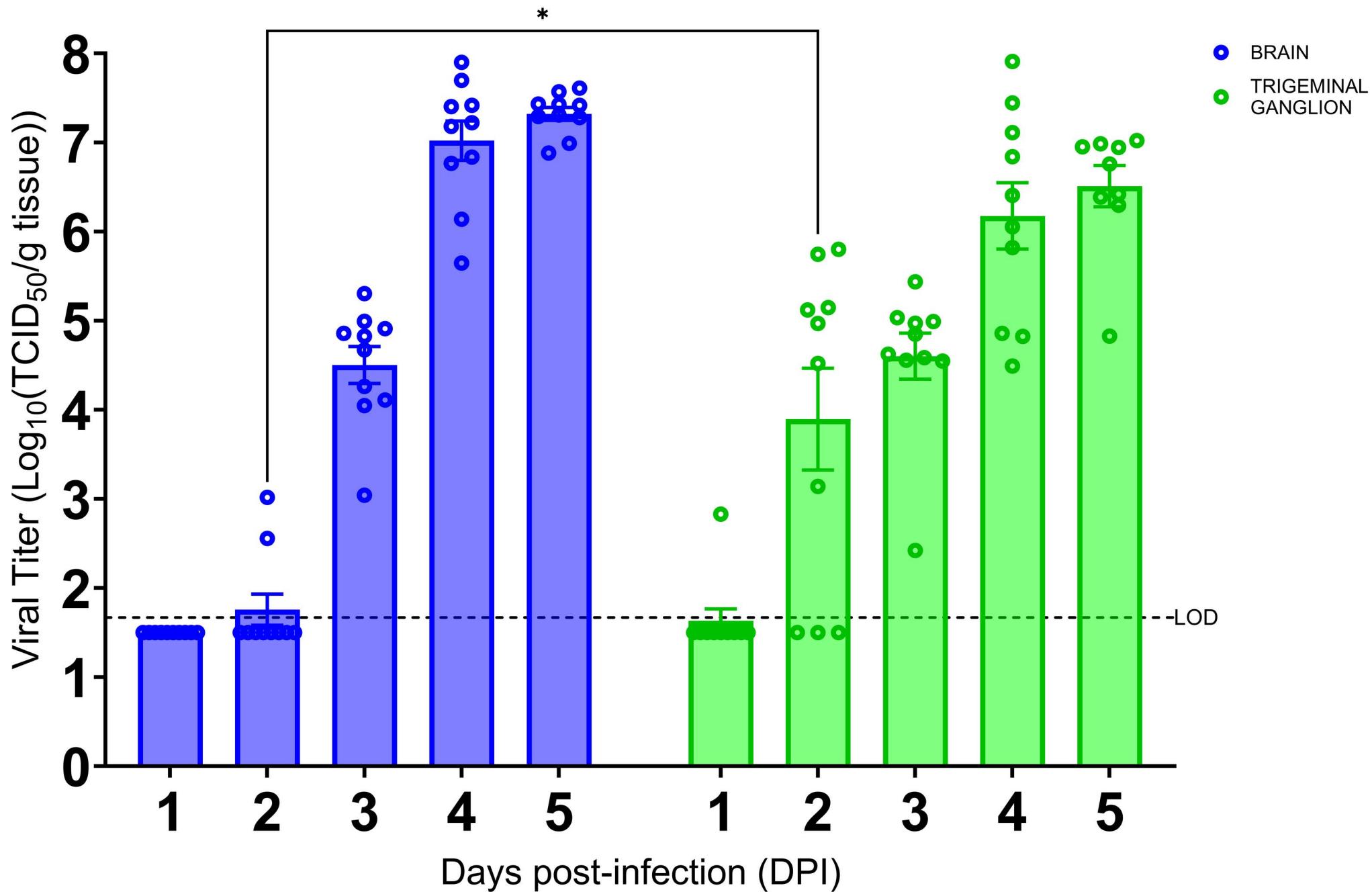
710 **Supplemental Figure 3. Immunohistochemical analysis of SARS-CoV-2 antigen in the**
711 **brain at 5 dpi.** Micrograph of whole skull section (right hemisphere) of infected AC70 mouse at
712 5 dpi.

713

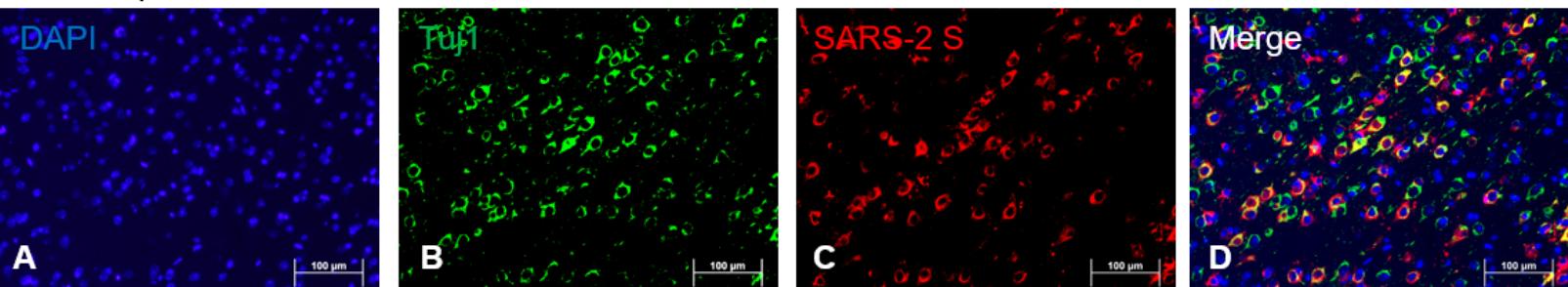
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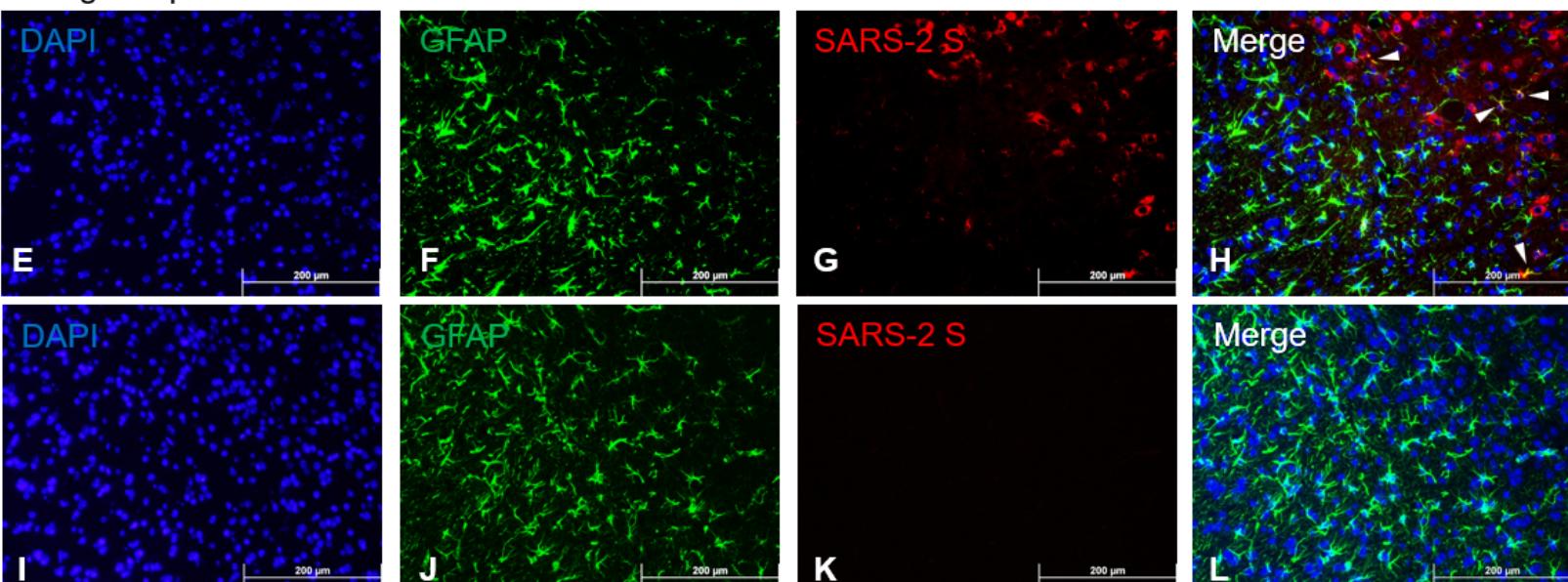




Neurotropism



Astrogliotropism



Microglia-tropism

