

1 No innocent bystanders: pertussis vaccination epitomizes evolutionary parallelisms between
2 *Bordetella parapertussis* and *B. pertussis*
3 Valérie Bouchez^{a,b}, Albert Moreno-Mingorance^c, Alba Mir-Cros^d, Annie Landier^{a,b}, Nathalie
4 Armatys^{a,b}, Sophie Guillot^{a,b}, Maria Teresa Martín-Gómez^e, Carla Rodrigues^{a,b}, Julie
5 Toubiana^{a,b,f}, Ana I. Bento^g, Michael R. Weigand^h, Juan José González-López^{c,d,e,i}# and
6 Sylvain Brisse^{a,b}#

7 ^a Institut Pasteur, Université Paris Cité, Biodiversity and Epidemiology of Bacterial Pathogens, Paris,
8 France.

9 ^b Institut Pasteur, National Reference Center for Whooping Cough and Other *Bordetella* Infections,
10 Paris, France.

11 ^c Vall d'Hebron Institut de Recerca (VHIR), Vall d'Hebron Hospital Universitari, Vall d'Hebron
12 Barcelona Hospital Campus, Barcelona, Spain

13 ^d CIBER de Enfermedades Infecciosas (CIBERINFEC), Instituto de Salud Carlos III, Madrid, Spain.

14 ^e Department of Clinical Microbiology, Hospital Universitari Vall d'Hebron, Barcelona, Spain.

15 ^f Université Paris Cité, Department of General Pediatrics and Pediatric Infectious Diseases, Hôpital
16 Necker–Enfants Malades, APHP, Paris, France.

17 ^g Department of Public & Ecosystem Health, College of Veterinary Medicine, Cornell University,
18 Ithaca, NY, USA.

19 ^h Division of Bacterial Diseases, Centers for Disease Control and Prevention, Atlanta, Georgia, USA.

20 ⁱ Department of Genetics and Microbiology, Universitat Autònoma de Barcelona, Barcelona, Spain.

21 **Running Head:** Genomic evolution of *Bordetella parapertussis*

22 # Address correspondence to Sylvain Brisse (sylvain.brisse@pasteur.fr) and Juan José
23 González-López (juanjo.gonzalez@vallhebron.cat)

24 Sylvain Brisse and Juan José González-López contributed equally to the supervision of this
25 work.

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27 **ABSTRACT**

28 Pathogens adapting to the human host and to vaccination-induced immunity may follow parallel
29 evolutionary paths. *Bordetella parapertussis* (*Bpp*) contributes significantly to the burden of
30 whooping cough (pertussis), shares vaccine antigens with *Bordetella pertussis* (*Bp*), and both
31 pathogens are phylogenetically related and ecological competitors. *Bp* vaccine antigen-coding
32 genes have accumulated variation, including pertactin disruptions, after introduction of
33 acellular vaccines in the 1990s. We aimed to evaluate evolutionary parallelisms in *Bpp*, even
34 though pertussis vaccines were designed against *Bp*.

35 We investigated the temporal evolution of *Bpp* sublineages, by sequencing 242 *Bpp* isolates
36 collected in France, the USA and Spain between 1937 and 2019, spanning pre-vaccine and two
37 vaccines eras.

38 We estimated the evolutionary rate of *Bpp* at 2.12×10^{-7} substitutions per site·year⁻¹, with a most
39 recent common ancestor of all sequenced isolates around year 1877, and found that pertactin
40 deficiency in *Bpp* was driven by 18 disruptive mutations, including deletion *prn*:ΔG-1895
41 estimated to have occurred around 1998 and observed in 73.8% (149/202) of post-2007 isolates.
42 In addition, we detected two mutations in the *bvgA-fhaB* intergenic region (controlling
43 expression of the master transcriptional regulator BvgA and the filamentous hemagglutinin),
44 that became fixed in the early 1900s.

45 Our findings suggest early adaptation of *Bpp* to humans through modulation of the *bvgAS*
46 regulon, and a rapid adaptation through the loss of pertactin expression, representing a late
47 evolutionary parallelism concomitant with acellular vaccination against whooping cough.

48

49 **IMPORTANCE**

50 Vaccination against *Bordetella pertussis* (*Bp*) has strongly affected the recent evolution of this
51 main agent of whooping cough. Whether it may have done so co-incidently on *Bordetella*

52 *parapertussis* (*Bpp*), which is genetically and ecologically very similar to *Bp*, has not been
53 described in detail. Our findings show striking evolutionary parallelisms of *Bpp* with *Bp*,
54 including early changes in a critical regulatory region, and strong evidence of adaptation to
55 vaccine-driven population immunity, even though whooping cough vaccines were not designed
56 explicitly against *Bpp*. The rapid populational loss of pertactin in countries where acellular
57 pertussis vaccines are used may also reduce protection by vaccination against *Bpp*, the second
58 agent of whooping cough.

59 **INTRODUCTION**

60 Public health interventions aiming at controlling specific pathogens may concomitantly
61 affect non-target human commensal or pathogenic organisms. For example, commensal
62 bacteria may evolve antimicrobial resistance in response to antimicrobial therapy against
63 pathogens, a phenomenon called bystander evolution [1] that has far-reaching implications in
64 microbial ecology and public health [2]. So far, there is little or no evidence of bystander
65 evolution under vaccination-induced immune pressure. Whooping cough (pertussis) is a human
66 respiratory disease caused mainly by *Bordetella pertussis* (*Bp*). In 2014, >24 million pertussis
67 cases causing >160,000 deaths in children under 5 years of age were estimated [3]. *Bordetella*
68 *parapertussis* (*Bpp*) is closely related to *Bp* and also causes whooping cough, though disease is
69 typically less severe [4–6] and thus reported much less frequently than *Bp* infections [7,8].
70 Further, *Bpp* infection is not reportable in many countries, including the USA. The first whole
71 cell vaccines were already developed using *Bp* strains when *Bpp* was first reported in 1938 [5],
72 and *Bpp* is still not considered a target of vaccines against whooping cough, which are designed
73 only from *Bp* antigens.

74 While whole cell pertussis vaccines (wPV), produced using *Bp* strains, remain in use in
75 most of the global South, acellular pertussis vaccines (aPV) were adopted in the mid-1990s and
76 2000s by many high-income countries. aPVs contain 1 to 5 *Bp* antigens: pertussis toxin (PT),
77 which is always present, combined in most vaccines with filamentous hemagglutinin (FHA),
78 pertactin (PRN) and/or type 2 and type 3 fimbriae (FIM2 and FIM3). It is well established that
79 circulating *Bp* populations, which are human restricted (as is *Bpp*), have evolved in response to
80 vaccine-induced immunity. For example, rates of evolution of vaccine antigen-encoding genes
81 have accelerated since the introduction of aPV, compared to other surface protein genes [9].
82 Non-synonymous mutations (nsSNP) in PT, PRN, FHA, FIM2 and FIM3 encoding genes, as
83 well as the promoter region of the PT gene cluster, have occurred and raised in frequency, often

84 to fixation in extant *Bp* populations, compared to the pre-vaccine era [10]. Moreover, the rapid
85 emergence of PRN-deficient *Bp* isolates has been observed in countries where aPV vaccination
86 has been implemented [11,12], resulting from multiple independent mutation events rather than
87 the spread of a few genotypes. PRN deficiency has reached near-fixation in early aPV-using
88 countries [10,13], and confers a selective advantage during infection [14,15] that appears higher
89 under the aPV era [16]. The vaccine-driven evolution of *Bp* is regarded as a prominent example
90 of global population-level effects of large-scale vaccination [17,18].

91 Of the five *Bp* vaccine antigens, *Bpp* expresses orthologs of PRN and FHA only, which
92 are 91.5% and 95.2% identical in their amino acid sequence to their *Bp* counterparts,
93 respectively [19,20]. Given that *Bpp* is phylogenetically related and antigenically similar to *Bp*,
94 the possibility exists that *Bpp* may have also evolved under immune pressure exerted by
95 vaccination targeting *Bp*. PRN-deficient *Bpp* isolates have been observed since 2007 in France
96 [21], but the emergence of PRN-deficient *Bpp* is otherwise undescribed.

97 *Bp* and *Bpp* have converged in adapting to their human-restricted niche independently,
98 both having evolved from the genetically broader species *Bordetella bronchiseptica* (*Bbs*), an
99 ecological generalist observed in multiple animal host species [22,23]. Among other events, the
100 evolution of *Bp* has involved gene loss, genomic rearrangements and mutations in the intergenic
101 region between the genes coding for FHA and the BvgAS 2-component master regulator of
102 virulence [10,24].

103 Currently, little is known about the evolution of *Bpp*, largely because it has been rarely
104 isolated in culture. The aim of this study was, by gathering a large international collection of
105 human clinical isolates of *Bpp*, to define its population structure and evolution and explore
106 whether signatures of evolution may be driven by pertussis vaccination-induced immunity.

107

108

109 **METHODS**

110 **Collection of 242 *Bordetella parapertussis* clinical isolates**

111 We collected a large biological resource dataset of *Bpp* isolates from three countries. In
112 France, 119 *Bpp* isolates were collected at the National Reference Center for Whooping Cough
113 and Other *Bordetella* Infections, isolated between 1937 and 2019. Most of these isolates were
114 collected through the hospital-based pediatric network RENACOQ, which was operated
115 continuously since 1996 [25,26]. From the USA, 85 *Bpp* isolates were collected by Centers for
116 Disease Control and Prevention's (CDC), being gathered through routine surveillance and
117 during outbreaks between 1937 and 2017, many of which were sequenced as part of a previous
118 study [24]. From Spain, 38 isolates were collected from patients attending the hospitals and
119 primary health care centers according to usual routine diagnostic procedures between 1993 and
120 2019 in three Spanish regions (Catalonia, Community of Madrid and Castilla-La Mancha). In
121 addition, 8 publicly available genomes of isolates originating from other countries (Australia,
122 Japan, UK and Germany) were included. Details about isolates characteristics are provided
123 in **Table S1**.

124 **Microbiological characterization, DNA preparation and genomic sequencing**

125 Isolates were grown on Bordet-Gengou agar, antigen characterization was done by
126 Western blot or ELISA, and DNA preparation and genomic sequencing were performed using
127 Illumina technology; details are provided in the supplementary material (Supplementary
128 Method section: Microbiological characterization, DNA preparation and genomic sequencing
129 paragraphs).

130 **Phylogenetic and gene content analyses**

131 Raw reads were trimmed with Trimmomatic (v. 0.38). Snippy (v. 4.3.6) was used for
132 SNP analysis with *Bpp* strain 12822 (GenBank accession no. BX470249.1) used as reference,
133 without removing recombinant or repetitive regions. A maximum likelihood analysis based on

134 the whole genome SNPs was carried out with IQ-TREE (v. 1.6.10) using 1,000 bootstrap
135 replicates. The existence of a temporal signal in the genomic data was estimated with TempEst
136 by a regression analysis between the root-to-tip divergence in the maximum likelihood tree,
137 and the isolation year (**Fig.S1**). The software tool BEAST version 1.10.4 [27] was used to infer
138 the phylogenetic dynamics as detailed in the supplementary material (Supplementary Method
139 section: Phylogenetic dynamics paragraph).

140 We looked for transposases using ISMapper [28] or blastN
141 (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>) with *IS1001* (BPP0078) and *IS1002* (BPP1897)
142 sequences as queries. We estimated the pan genome using Roary version 3.13.0 [29] with
143 default parameters (core genes defined as being present in 95% genomes; without paralogs)
144 from gff3 archives previously annotated with bakta version1.2.1 [30]. We looked for plasmids
145 using PlasmidFinder version 2.1.1 [31].

146

147 **Mutations analysis and genotyping**

148 We performed *prn* gene sequence analysis using blastN
149 (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>) with *Bpp* strain 12822 (NC_002928) gene sequence
150 as queries. Genotyping of virulence genes was done using the BIGSdb platform
151 (<https://bigsdb.pasteur.fr/bordetella/>) using de novo assemblies as previously detailed [32,33].

152 **RESULTS**

153 ***Bordetella parapertussis* genomic evolution, population structure and time-resolved**
154 **phylogeny**

155 We collected 242 *Bpp* isolates between 1937 and 2019 in France, the USA and Spain,
156 conducted genomic sequencing and analyzed the data using phylogenetic and population
157 biology approaches. The number of isolates varied temporally, with a maximum of 32 isolates
158 collected in 2014 (Fig. 1). Together with the 8 additional public genome sequences, a dataset
159 of 250 genomic sequences was analyzed.

160 The average genome size was 4,732,038 bp and the average G+C% was 68.1%. No
161 plasmid replicons were identified. Using ISMapper, the copy numbers of IS1001 and IS1002,
162 two mobile elements used for diagnosis of *Bpp* [34], were determined to be 22 and 9,
163 respectively, in all isolates except four, which had one or two copies missing. Gene content was
164 highly conserved among the collection of isolates, indicating minimal gene gain or loss. In total,
165 5,329 different protein-coding genes were identified. Among these, 3,640 were present in at
166 least 99% of isolates, and 4,269 in at least 95%. Genes encoding the main virulence factors and
167 Bvg regulation factors of *Bpp* (i.e., *prn*, *fhaBCD*, *dnt*, *ptlABCDEFGHI*, *ptxAS12345*, *ptxP*, *fim2*,
168 *fim3*, *fimBCD*, *cyaA*, *brkA*, *brkB*, *bvgA* and *bvgS*) were detected in all isolates except for type
169 IV secretion system encoding gene *ptlD*, where a pseudogene was identified in 9 isolates.

170 Genome-wide nucleotide variation analysis identified 1,994 single nucleotide
171 polymorphisms (SNP; Table S2). On average, strains differed by 47 pairwise SNPs (ranging
172 from 1 to 214). Only 2 mutations were located within IS1001 transposases. Of the 1,994 SNPs,
173 35.7% were phylogenetically informative, i.e., the variation was observed in at least 2 genomes.
174 Phylogenetic reconstruction (Fig. 2A) uncovered a scaled population structure, within which
175 four main lineages were defined. Lineage 1 comprised 18 isolates placed on early diverging
176 branches of the phylogenetic tree. Lineage 2 corresponded to all isolates (n=23 isolates) with

177 the mutation G3773A within gene *dnt*, leading to the A1258V. A SNP common to lineages 1
178 and 2 was the A425G nucleotide substitution within gene BPP_RS11415, leading to a N142S
179 change in the corresponding protein. This SNP was absent in isolates from lineages 3 and 4
180 except for 2 isolates (BBP1_NCBI and B144). Lineage 4 (n=152 isolates) was defined as
181 comprising isolates with the mutation *prn*::delG-1895, which occurred shortly before lineage
182 4's MRCA in 1998 [95% HPD: 1996-2001] (**Fig. 2A**). Lineage 3 was defined as comprising
183 the remaining isolates (*i.e.*, with neither the N142S change – with two exceptions – nor the
184 *prn*::delG-1895 mutation). We note that lineages 1 and 2 comprise a previously defined clade
185 1, whereas lineages 3 and 4 correspond to a previously defined clade 2 [35]. The characteristics
186 of the 4 lineages in terms of pertactin expression are given in the Supplementary appendix.
187 Strikingly, a previously described [24] large genomic rearrangement occurred just before the
188 expansion of lineage 4, in all isolates of which it is observed (**Fig. S7**).

189 The proportion of the four lineages varied with time: until the mid-1980s, isolates
190 mainly belonged to lineage 1; in contrast after 2010, lineage 4 predominated largely (**Fig. 2B**).
191 We estimated from the genomic data, the fluctuation of the size of the *Bpp* population reflected
192 by our dataset since 1960 (**Fig. 2C**). The effective population size appeared stable until the mid-
193 1980s, when it began to increase, reaching a maximum in the 2010s. Similar results were
194 obtained when analyzing separately the isolates collected either in the USA, or in Europe (*i.e.*,
195 France and Spain), or when considering random subsamples of 90% of isolates (**Fig. S2**).

196 A strong temporal signal of SNP accumulation over time was found, with a root-to-tip
197 genetic divergence *versus* time of isolation regression parameter $R^2=0.85$ (**Fig. S1**). Bayesian
198 analysis estimated the mean evolutionary rate of *Bpp* as 2.1×10^{-7} substitutions per site·year⁻¹
199 (95% highest posterior density [HPD]: 1.9×10^{-7} , 2.3×10^{-7} substitutions per site·year⁻¹),
200 corresponding to 1.02 substitutions per genome·year⁻¹. The most recent common ancestor
201 (MRCA) of our *Bpp* dataset was estimated in 1877 [95% HPD: 1865 to 1889]. In turn, the node

202 corresponding to the early diversification of lineages 3 and 2 were estimated to have occurred
203 in 1964 [95% HPD: 1962, 1968] and 1976 [95% HPD: 1974, 1980] respectively, whereas
204 lineage 4 diversification was estimated to have arisen in 1998 [95% HPD: 1996, 2001] (**Fig.**
205 **2A**).

206

207 **Single nucleotide polymorphisms and insertion and deletion (INDEL) events**

208 Of the 1,994 SNPs, 265 were intergenic and 1,729 were intragenic. Among the latter,
209 659 were synonymous and 1,070 were non-synonymous (**Table S2**). SNP densities in genes
210 involved in regulation or coding for hypothetical proteins were statistically higher than the
211 average ($p<0.05$), whereas SNP densities were statistically lower than average in genes
212 involved in virulence or metabolism ($p<0.05$) (Supplementary material, SNP densities per
213 functional category paragraph; **Table S3**).

214 A total of 69 SNPs were located within virulence-associated genes category (**Table S2**),
215 some of which represented landmarks in the evolution of *Bpp*. First, the lineage-2 defining
216 A1258V change in the dermonecrotic factor was inferred to have occurred shortly before 1976
217 [95% HPD: 1974, 1980]. Second, a SNP observed within gene *ptlD* (leading to a V26M change
218 in the PtID pertussis toxin export protein) was a marker for a single phylogenetic branch that
219 included part of lineage 3 and the entire lineage 4 isolates; this SNP was observed in 183 isolates
220 (33 of lineage 3 and all of lineage 4) that were collected between 1994 and 2018, and was
221 estimated to have occurred around 1987 [95% HPD: 1984, 1991]. Additional SNPs were also
222 observed in toxins (including the dermonecrotic factor) or in other autotransporters than FHA
223 and pertactin, and in SphB1 (locus tag BPP_RS02120), a serine-protease involved in
224 proteolysis maturation of FHA. Most of these SNPs were observed in only a few *Bpp* isolates.
225 In addition, 18 SNPs were located in genes related to LPS-structural genes and 5 in genes

226 involved in LPS modification (Details are provided in the Supplementary Appendix and in
227 Table S2).

228 Homoplastic SNPs, *i.e.*, mutations at single positions having occurred in separate
229 branches, are indicative of strong selective pressure leading to convergent evolution. Only 2 of
230 the 1,994 SNPs were homoplastic, including a nonsense mutation leading to a stop codon
231 (Q845Stop) in *prn*, observed in two isolates from lineages 2 and 3 (see Supplementary Results
232 section).

233 Regarding small insertions and deletions, 329 INDEL events (as compared to the
234 genome sequence of reference isolate 12822) were observed. Only 29 INDEL events were
235 present in more than 10 isolates; 20 of these were located out of coding regions, and 9 within
236 them (see INDEL, **Table S4**). Of these, 7 were inferred to induce frameshifts, including 2
237 INDELs within the *prn* gene and one within *bscR*. Two INDELs were also observed within
238 *fhaB*, but only affected 3 and 1 isolate, respectively (**Table S4**). As described above, the single
239 G deletion in *prn* position 1895 (*prn*::ΔG-1895) was present in 150/152 from lineage4 (for the
240 H299 and H602, the *prn* sequence did not allow to confirm the presence of the mutation).

241

242 **Pertactin gene diversity and its multiple disruptions**

243 Only 51 of 250 isolates had a *prn* nucleotide sequence (locus tag BPP_RS05740)
244 identical to the reference strain 12822, including all isolates of lineage 1 (n=18 isolates) and
245 some isolates of lineage 2 (n=8) and lineage 3 (n=25, including the reference). PRN production
246 was confirmed experimentally for 27 of these 51 isolates (**Table S1**).

247 For 192 of the 199 remaining isolates, the *prn* sequence had a point mutation, frameshift,
248 or insertion sequence mutation (**Table S1**). We found a total of 18 distinct mutations. Four
249 mutations were nonsense SNPs resulting in stop codons, whereas 13 were insertions or
250 deletions events inferred to lead to *prn* deficiency (**Table S5**). These distinct *prn* mutations

251 were scattered in lineages 2, 3 and 4 and one of them (described above) was homoplasic (**Fig.**
252 **2**). Last, *prn*::ΔG-1895 deletion was by far the most frequently observed mutation, having been
253 associated with the expansion of lineage 4. PRN production was confirmed experimentally to
254 be deficient for 125 of the 192 isolates corresponding to all the different types of mutations
255 (**Table S1**). Overall, 56.5% (13/23) of lineage 2 isolates, 50.9% (29/57) of lineage 3 isolates
256 and 98.7% (150/152) of lineage 4 isolates were demonstrated or inferred to be PRN deficient
257 based on the presence of one of the 18 *prn* mutations.

258 Whereas region 1 of PRN is highly variable in *Bp* [36], almost all *Bpp* isolates with a
259 full length gene displayed the same number of repeats in the two repeat regions of *prn* (4 repeats
260 in region 1 and 9 in region 2), consistent with previous reports [37,38].

261

262 **SNPs in the *fhaB-bvgA* intergenic region, and in FHA and functionally related genes**

263 An exceptionally high SNP density was observed in the intergenic region located
264 between *fhaB* and *bvgA*, with six intergenic SNPs (**Fig. 3**) (**Table S3**). Whereas four SNPs
265 located in the phosphorylated BvgA binding site just upstream of *fhaB* gene (also corresponding
266 to P3 *bvgA* promoter) were each observed in a few isolates (all collected after 2004), two SNPs
267 were largely shared by *Bpp* isolates, located at position 3,267,769 (G>A) and 3,267,889 (C>T).
268 Both occurred in an early branch of the phylogeny (**Fig. 2**), with an MRCA estimated in 1909
269 (95% HPD: 1899, 1921). These two mutations have been fixed in extant *Bpp* populations, as
270 isolates that did not carry these SNPs were not observed after 1958. While the first of these
271 SNPs is located 23 nucleotides upstream of the -35 box of *fhaB*, the SNP at position 3,267,889
272 (C>T) is located within the -35 element of *bvgA* gene, changing the element from TTCAAGAA
273 to TTGAGAA, clearly suggesting an impact on gene expression (**Fig. 3**).

274 FHA production was confirmed experimentally for 145/ 250 isolates of the study, as
275 evidenced using either Western blots or ELISA (**Table S1**). We nevertheless observed 11 SNPs

276 within the *fhaB* gene itself (locus tag BPP_RS15295), all being found in only one or a few
277 isolates (**Table S2**). Of these, six were non-synonymous, two of which affecting the mature
278 FHA [31]: one at position 3,261,750 (V1963A), observed in four isolates of lineage 1; and one
279 at position 3,266,524 (T372A), observed in a single isolate (J324) of lineage 3 (**Table S2**).

280 nsSNPs were also observed affecting proteins functionally related to FHA, including
281 FhaS, FhaJ and SphB1, a serine-protease involved in proteolysis maturation of FHA [39]
282 (**Table S2**; detailed in supplementary appendix).

283

284 DISCUSSION

285 Acellular vaccines against *B. pertussis* (*Bp*) are in use since more than 20 years in
286 multiple countries including the USA, France and Spain. Here, we addressed the question of
287 the possible impact that large-scale whooping cough vaccination might have exerted on the
288 second agent of whooping cough, *B. parapertussis* (*Bpp*), even though this organism was not
289 the explicit target of the vaccine. Because *Bpp* expresses two antigens, FHA and pertactin, that
290 are closely related orthologs of *Bp* vaccine antigens and are part of the *bvgAS* virulence regulon,
291 changes in these proteins may have important consequences on the current epidemiology and
292 pathogenesis of *Bpp* infections.

293 By taking advantage of a unique dataset of human isolates collected over 83 years in
294 three different countries, we also address the broader biological questions of the genomic
295 diversity, population structure and genome-scale evolution of the so-far elusive *Bpp*, and the
296 possible evolutionary parallelisms that this pathogen might show with *Bp*, its close relative and
297 ecological competitor. Our data reveal, over time, the successive replacement of *Bpp*
298 subpopulations by more recently emerged ones. By considering four deep *Bpp* phylogenetic
299 lineages, we showed how their relative proportions have shifted: whereas lineage 1 was
300 predominant before 1990, lineage 4 became the most frequent since 2010, now being nearly

301 exclusive. This temporal replacement pattern is reminiscent of the disappearance of ancient
302 lineages of *Bp*, which were replaced among extant infectious isolates by more recently evolved
303 sublineages [10]. This scaled phylogenetic structure pattern is also typically encountered in
304 human viruses evolving to escape previously built host immunity by antigenic drift, such as
305 Influenza virus [40] or more recently SARS-CoV-2 [41]. The expansion of lineage 4,
306 characterized by a genomic rearrangement and the lack of PRN production, coincides with the
307 peak detected in the effective population size analysis, around the year 2010, suggesting that
308 isolates from lineage 4 may have a better fitness in the three surveyed countries using acellular
309 vaccines. The evolution of *Bpp* by successive lineage replacement might be driven by a
310 combination of its ongoing adaptation to humans, natural immunity built in human populations
311 as a result of infection, ecological interactions with *Bp* [42,43] and possibly also by vaccination-
312 induced immunity, which we discuss below.

313 The *Bpp* population genomics data also revealed a regular pattern of SNP accumulation
314 over time, enabling us to estimate the mutation rate of *Bpp* at 2.1×10^{-7} substitutions per
315 site·year⁻¹. This rate is remarkably similar to the one estimated within the main branch of *Bp*
316 (2.24×10^{-7} substitutions per site·year⁻¹) [10], consistent with the shared recent ancestry and
317 similar ecology of these two pathogens, which both evolved from their progenitor genomic
318 species *B. bronchiseptica* [10,22,33]. Remarkably, the estimated most recent common ancestor
319 of human *Bpp*, around year 1877, is more recent by only a few decades than the main *Bp* branch,
320 which was estimated to have emerged between years 1790 and 1810 [10]. The crowding and
321 promiscuity that increased rapidly during the industrial revolution in the 19th century represents
322 a possible driver of the expansions of *Bpp* and *Bp* in the populations of developed countries.
323 Clearly, our sample (mainly from three Northern hemisphere countries) may miss deeper
324 branching isolates that could be circulating elsewhere or have become extremely rare, similar
325 to the exceptionally rare deep lineage of *Bp* [10]. Note that ovine *Bpp* isolates, which were

326 seldom reported and for which only a single genomic sequence is available [37,44,45], were
327 not considered in this study because they belong to a separate evolutionary lineage [22] and
328 hence would not affect the above temporal analyses and conclusions.

329 FHA is one of the components of most (but not all) current aPVs, and BvgA is the main
330 regulator of virulence genes in *Bbs* and *Bp*. The *bvgA-fhaB* intergenic region was shown to have
331 undergone extensive evolution in *Bp* [10], which may impact not only the expression of both
332 genes, but also those of the *bvgAS* regulon [46]. Here we report several mutations located in the
333 orthologous intergenic region of *Bpp*. One of these mutations (in position 3,267,792 in **Fig. 3**
334 or 155 in **Fig. S3**) is at the exact same position as a mutation observed in *Bp* [10,34]. Although
335 six SNPs were observed in the *bvgA-fhaB* intergenic region of *Bpp*, two of these occurred early
336 in the evolutionary history of this pathogen (estimated around 1909) and became fixed in *Bpp*.
337 These two mutations predate largely pertussis vaccination and may have been selected for
338 adaptation to humans, which were recent novel hosts for *Bpp* at that time, or in reaction to
339 natural infection-driven immunity. The high SNP density in the *bvgA-fhaB* intergenic region in
340 both *Bp* and *Bpp* suggests a central role of this critical regulatory region in evolutionary
341 adaptation to the human niche, as both pathogens diverged from their ecological generalist
342 progenitor species *B. bronchiseptica*. Whether and how these two ancestral *Bpp* intergenic
343 mutations have impacted the levels of *fhaB* expression, *bvgA* expression, or both, thus stands
344 out as a central question to decipher the adaptive trajectory of *Bpp*.

345 The four other *bvgA-fhaB* intergenic SNPs are located in the phosphorylated BvgA
346 binding site of the *fhaB* promoter [10,46], also suggests a functional impact of these mutations,
347 but as these were observed in only a few isolates, they may reflect a transient selective
348 advantage, perhaps in patients with atypical anti-FHA immunity. Further non-synonymous
349 genetic variation in *fhaB* and functionally related genes was observed (supplementary
350 Appendix). Mutations in the coding sequence of *fhaB* may reflect the fine-tuning of FHA

351 protein interaction with its receptor, even though none were located in the FHA-RGD motif.
352 Several mutations also occurred in *Bp* within the gene encoding FHA [10,16]. We confirmed
353 FHA production experimentally in all (145/250) tested *Bpp*, and as FHA-negative *Bp* are
354 exceptional too [25], this protein seems to exert an essential role in the biology of both agents
355 of whooping cough. Overall, these observations point to a particular role of FHA and related
356 functions in *Bpp* biology, as in *Bp* [47].

357 Regarding the effect of whooping cough vaccination on *Bpp*, our work uncovers a
358 number of genetic signatures of evolution in the genes coding for the two *Bp* vaccine antigens
359 which are expressed by *Bpp*. As *Bpp* produces neither pertussis toxin, due to several mutations
360 within the promoter sequences of the synthesis gene cluster [19,48], nor the fimbriae FIM2 and
361 FIM3 proteins (even though their genes are present and undisrupted in *Bpp* genomes) [20,34],
362 the lack of evidence for selection in these other antigens acts as an interesting control. No SNP
363 was observed within fimbriae genes: neither within *fimABCD* structural genes nor within *fimX*
364 or *fimN* genes, which code for other fimbriae subunits. This lack of variation is consistent with
365 the lack of expression of these genes in *Bpp* [20], which implies an absence of positive selection
366 to optimize interactions with host receptors and to escape immunity. Similarly, as pertussis
367 toxin is also not produced by *Bpp*, the mutations we observed may be considered as contributing
368 further to the gene decay of the pertussis toxin gene cluster.

369 In *Bp*, pertactin deficiency is a major recent evolutionary phenomenon, shown to be
370 driven mainly by acellular vaccine (aPV)-induced immunity [12,39,49]. But so far, the genetic
371 evolution of pertactin expression in *Bpp* has been little documented [36,50]. Our data provide
372 strong evidence for the evolution of this antigen being driven by acellular pertussis vaccines
373 too. First, we observed a population shift towards pertactin deficiency in *Bpp*, which has started
374 just after the roll-out of aPVs. Second, besides the prominent *prn*::ΔG-1895 mutation, 17 other
375 pertactin deficiency mutations were identified, and all were dated between 2005 and 2018. This

376 convergent pattern of gene disruption after the introduction of aPV strongly supports the view
377 that pertactin expression by *Bpp* is disadvantageous in aPV countries. Data from three countries
378 that use wPV instead of aPV further support this hypothesis, as no pertactin-deficient was
379 observed in *Bpp* isolates collected between 1998 and 2015 [35,51,52]. Although more *Bpp*
380 sampling would strengthen the trend we observed, the pertactin-deficient population increase
381 seems to be even faster in *Bpp* than observed for *Bp* (Fig. S5), as almost all *Bpp*, but only 50%
382 to 90% *Bp*, depending on country, are now deficient [53,54].

383 Thus, even though pertussis vaccines were designed against *Bp*, the main whooping
384 cough agent, our genomic analyses indicate that *Bpp* has indeed been affected by pertussis
385 vaccination. Although the phylogenetic proximity and shared antigens of *Bp* and *Bpp* makes
386 the ‘bystander’ status of *Bpp* questionable, our work uncovers a clear evolutionary impact of
387 vaccination on an organism that was not the explicit target of the vaccine. In the strict sense,
388 this work thus demonstrates a bystander impact of vaccination on a non-target organism.

389 Vaccination against *Bp* has been considered to have low, or even no, efficacy against
390 *Bpp* [55–57]. The strong evidence provided here of aVP vaccination driving pertactin
391 deficiency in the populations of *Bpp*, indeed suggests a cross-protection of aPV pertussis
392 vaccines on *Bpp* isolates that produce pertactin [12], which we hypothesize to exert the selective
393 disadvantage we observed in the three aVP vaccinated populations that we surveyed. An
394 important implication is that, as extant isolates of *Bpp* now rarely produce pertactin, cross-
395 protection against *Bpp* from whooping cough vaccines may have weakened significantly in the
396 last 20 years. This evolution leaves only FHA as an aVP vaccine antigen expressed by *Bpp*,
397 against which the bactericidal activity of antibodies is weak [49]. Future improved whooping
398 cough vaccines could benefit from comprising *Bp*-*Bpp* cross-reacting antigens explicitly, such
399 as the adenylate cyclase [58] or conserved antigens identified through immune-informatics
400 [59], or could incorporate *Bpp*-specific antigens, such as the O-antigen [60].

401 In conclusion, our study provides important novel insights into the past evolutionary
402 dynamics of *Bpp* and uncovers a remarkable picture of parallel evolution between the
403 adaptation of *Bpp* and *Bp* populations to humans, including their timing of emergence, rate of
404 evolution, successive lineage replacement, early adaptation to the human niche, and vaccine-
405 driven evolution. These parallelisms illuminate how two distinct pathogens that have evolved
406 from a single common ancestral species, have adapted to the human host and later in response
407 to vaccination-induced immunity. The deep evolutionary picture we uncovered for *Bpp*,
408 highlights the bystander effect of pertussis vaccination against *Bpp* as the latest example of the
409 evolutionary parallelism between the two agents of whooping cough.

410

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454

455 **Authors license statement**

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457 For the purpose of open access, the authors have applied a CC-BY public copyright license to
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459

460 **Conflict of interests**

461 No potential conflict of interest was reported by the authors.

462

463 **Ethical statements**

464 All French bacteriological samples and associated clinical data are collected, coded, shipped,
465 managed and analyzed according to the National Reference Center protocols that received
466 approval by French supervisory ethics authority (CNIL, n°1474593). The study of the Spanish
467 isolates was approved by the Ethics Committee of the Vall d'Hebron Hospital (reference
468 number: PR(AG)694/2020). This activity was reviewed by CDC, deemed not research, and was
469 conducted consistent with applicable federal law and CDC policy. See e.g., 45 C.F.R. part 46,
470 21 C.F.R. part 56; 42 U.S.C. §241(d); 5 U.S.C. §552a; 44 U.S.C. §3501 et seq.

471

472 **Author's contributions**

473 Valérie Bouchez gathered WGS data, analyzed SNP data and PRN genotypes, and wrote the
474 initial versions of the manuscript together with Sylvain Brisson, Annie Landier and Nathalie
475 Armatys performed the experimental work on French isolates, supervised by Sophie Guillot

476 and Carla Rodrigues, who validated the data. Maria Teresa Martín-Gómez performed the
477 laboratory work and participated in the characterization of the Spanish isolates. Alba Mir-Cros
478 and Albert Moreno-Mingorance gathered WGS, performed SNP and Bayesian analyses and
479 wrote initial parts of the manuscript. Ana Bento supervised the Bayesian analyses. Michael
480 Weigand provided WGS from USA and analyzed the genomic rearrangements data. Julie
481 Toubiana provided input for the collection and validation of the clinical data. Juan José
482 González-López and Sylvain Brisson conceived and coordinated the study. All authors revised
483 and agreed on the last version of the manuscript.

484

485 **Data availability**

486 The genome sequence reads were deposited in European Nucleotide Archive and are available
487 from accession number PRJEB45017, and in the National Center for Biotechnology
488 Information accession number PRJNA731630 (**Table S1**).

489 **Figure legends**

490

491 **Fig. 1. Number of *B. parapertussis* isolates collected per year according to country of**
492 **origin.**

493 This figure includes the 242 collected isolates and 8 isolates for which genomic sequences were
494 publicly available. The data is broken down per year except for the first three bars; Blue: France;
495 Pink: USA; Green: Spain; Grey: others. Dark colors represent PRN-positive (PRN+) isolates
496 and lighter colors PRN-negative (PRN-) isolates (as verified experimentally for France and
497 Spain; and as deduced from genomic sequences for the USA and public sequences from other
498 countries).

499

500 **Fig. 2. Time-scaled phylogeny of *Bordetella parapertussis***

501 **Panel A:** Bayesian phylogenetic reconstruction of 250 *B. parapertussis* isolates collected
502 between 1937 and 2019. The phylogenetic tree was built using BEAST (strict clock and
503 Bayesian Skygrid model) from whole-genome SNPs (compared to the reference strain 12822,
504 GenBank accession no. BX470249.1). The reference strain belongs to lineage 3 and its position
505 is indicated by a black rhombus symbol. The two black stars indicate the two lineage 3 isolates
506 with the N142S change (see text). The country of origin of the isolates is represented with
507 colored circles at the tree leaves. Pertactin (PRN) production status, with the three most frequent
508 (>2%) *prn* mutations associated to non-PRN production, are indicated by the two columns on
509 the right of the tree leaves (see color key; see **Table S1** for complete information; missing data
510 are represented in white). **Panel B:** Bayesian Skygrid plot showing temporal changes in
511 effective population size of *B. parapertussis* populations since 1960 (black line) with 95%
512 confidence intervals (discontinuous lines). **Panel C:** proportions of *B. parapertussis* lineages

513 according to three time periods (before 1990, 1990-2009 and 2010-2019). PRN, pertactin;

514 MRCA, most recent common ancestor; UK, United Kingdom; USA, United States of America.

515

516 **Fig. 3. Mutations located in the intergenic region between *fhaB* and *bvgA*.**

517 **Panel A:** Circular phylogenetic tree based on SNPs, rooted on isolate Bpp63.34. The four

518 lineages are represented with colored branches as in Figure 2. Lineage 1: light red; Lineage 2:

519 light blue; Lineage 3: light green; Lineage 4: light orange. Mutations observed within the *fhaB*-

520 *bvgA* intergenic region are indicated by circles on tree branches and labelled with their

521 nucleotide position in the genome. **Panel B:** Precise localization of the observed mutations

522 (highlighted with color background as in panel A) within the intergenic sequence. The minus

523 10 and minus 35 motives upstream of both genes, the +1 transcription start site of *fhaB*, and the

524 BvgA binding motif (from 3267789 to 3267804), are indicated in bold.

525

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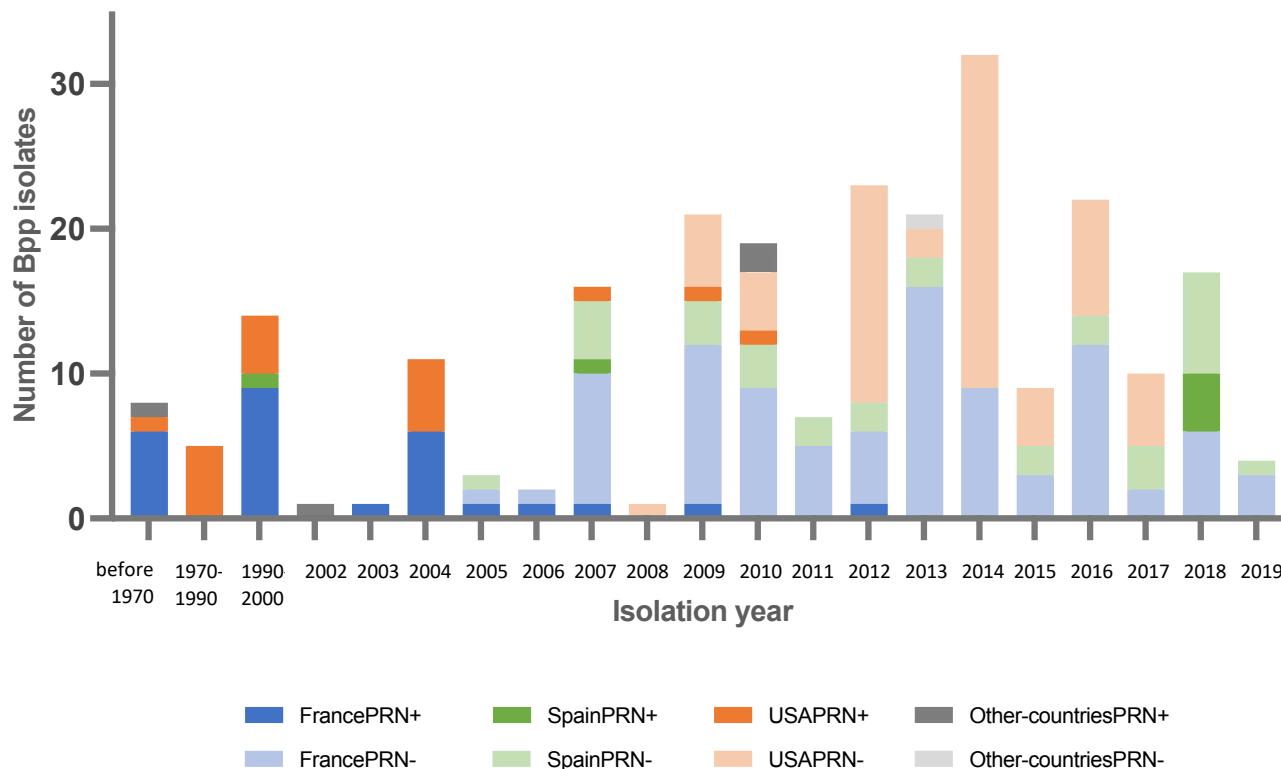
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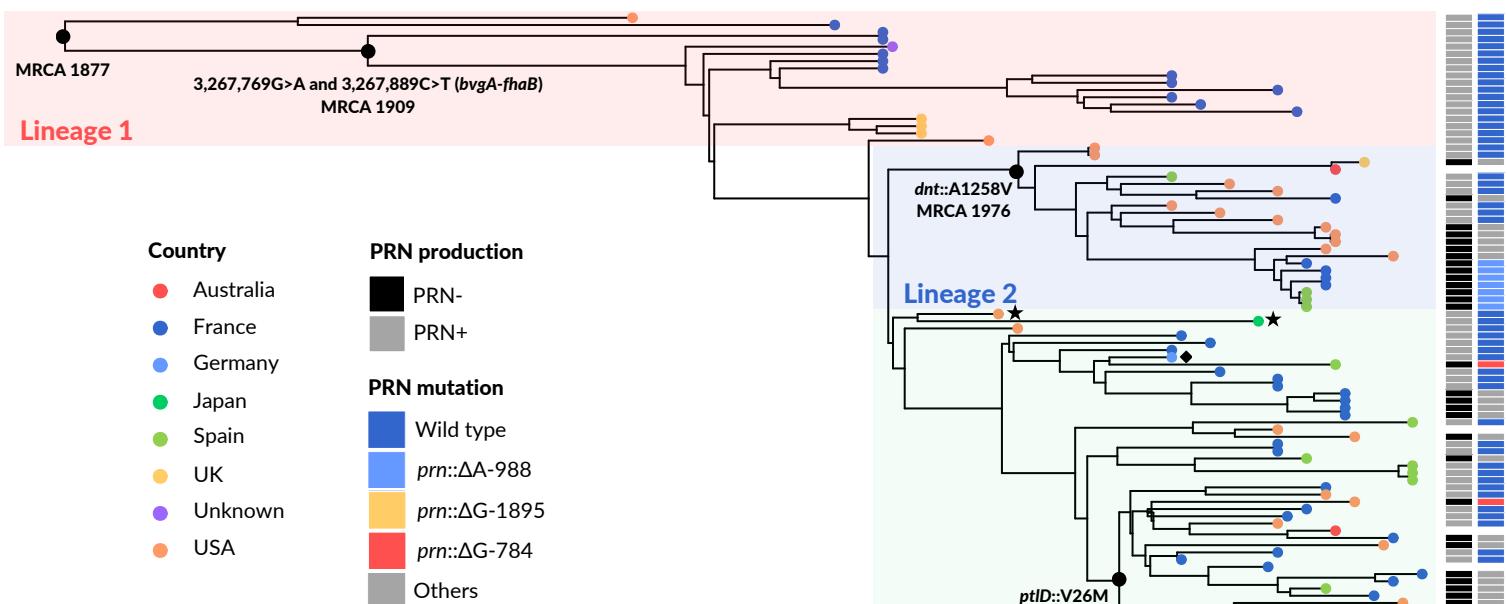
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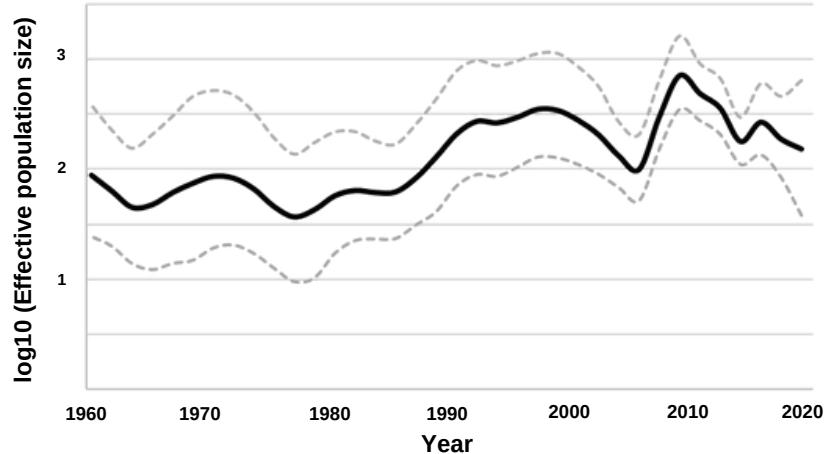
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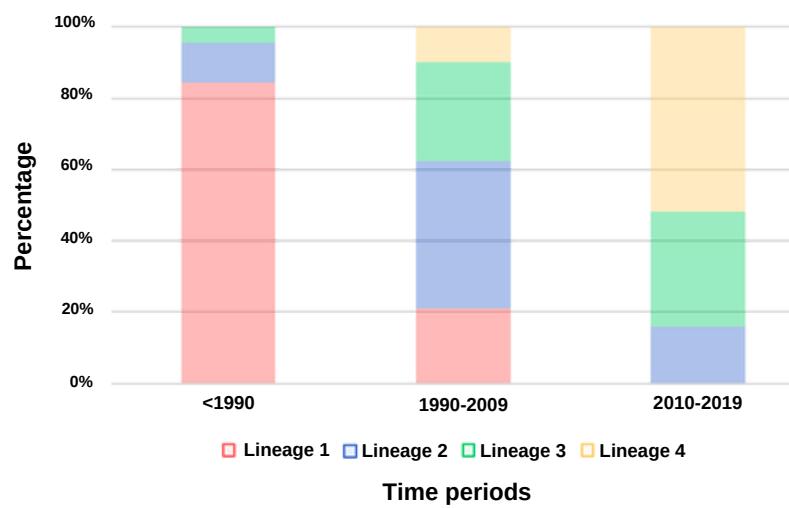
A)



B)

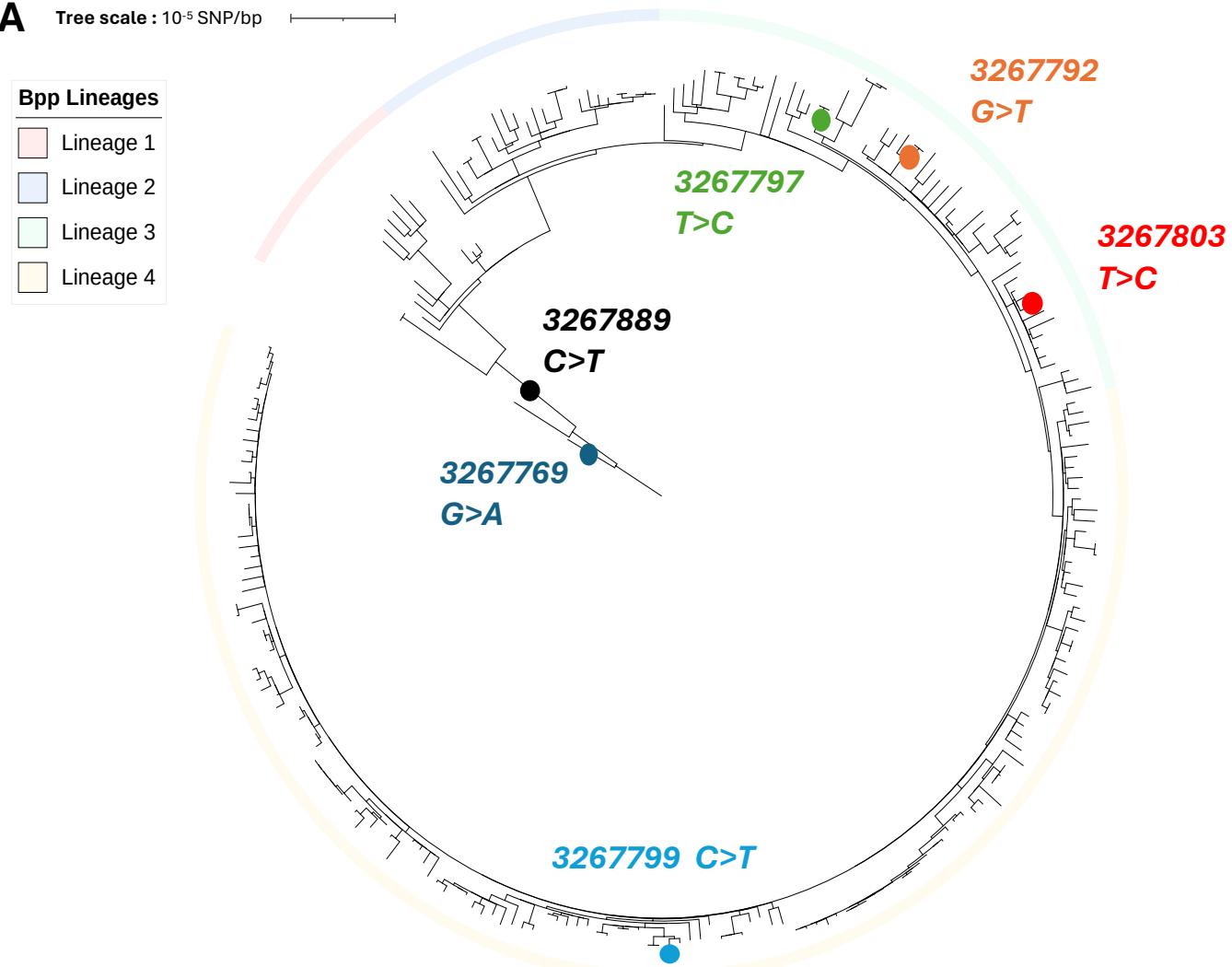


C)



Time

PRN production
PRN mutation

A**B**